

1 **Ideotype definition to adapt legumes to climate change: A case study for field pea** 2 **in Northern Italy.**

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8 **Primary research article**

9 **Abstract**

10 One of the key strategies to alleviate negative impacts of climate change on crop production is the development
11 of new cultivars better adapted to the conditions expected in the future. Despite the role of legumes as protein
12 sources, medium- and long-term strategies currently debated mainly focus on agricultural policies and on
13 improved management practices, whereas ideotyping studies using climate projections are scarcely reported. The
14 objective of this study was to define pea ideotypes improved for yield and irrigation water productivity targeting
15 current climate and four future projections centred on 2040, resulting from the combination of two General
16 Circulation Models (HadGEM2 and GISS-ES) and two Representative Concentration Pathways (RCP4.5 and
17 RCP8.5). The STICS model was used, with the default pea parameterization refined using data from two years
18 of dedicated field experiments. Ideotypes were defined by combining STICS and the E-FAST sensitivity analysis
19 method focusing on model parameters representing traits on which breeding programs are ongoing. Results
20 showed that climate change is expected to decrease the productivity of current pea cultivars (up to -12.6%), and
21 that increasing irrigation (to cope with the expected less favourable rainfall distribution) would not avoid yield
22 losses. The proposed ideotypes, characterized by a shorter vegetative phase and by increased tolerance to high
23 temperature, performed better than current varieties, providing higher yields (+4.5%) and reduced water
24 consumption (-20%). For the first time, we demonstrated the suitability of STICS for ideotyping purposes and
25 used a simulation model to define pea breeding strategies targeting future climate conditions.

26

27 **Keywords:**

28 Adaptation strategies; ideotyping; *Pisum sativum*; sensitivity analysis; STICS.

29

30 **1. Introduction**

31 Climate change is considered one of the major threats to agricultural productions worldwide and its implications
32 for food security are rising to alarming levels (IPCC 2014). Global food demand in 2050 is projected to increase
33 by at least 60 percent above 2006 levels because of population and income growth, in a context where
34 urbanization is exacerbating the competition for soil, water and energy between countryside and cities (FAO
35 2016). The interaction between warmer temperatures, changes in rainfall distribution and frequency and intensity
36 of extreme weather events is expected to impact agriculture in different ways, ranging from increase in yields
37 and arable lands in some regions to the aggravation of food security issues in already vulnerable areas (Parry et
38 al. 2004). In case of negative impacts, adaptation strategies are needed to reduce the extent of projected yield
39 losses and, in the medium-long term, one of the most promising one is the development of new varieties better
40 adapted to the forecasted agro-climatic conditions.

41 Given their capability to interpret genotype (G) × environment (E) × management (M) interactions, process-based
42 crop models are increasingly used to support breeding programs via the definition or evaluation of plant types
43 suited for specific conditions (Martre et al. 2015), including those resulting from future climate projections (e.g.,
44 Tao et al. 2017). Indeed, under the assumption of a close relationship between plant traits and model parameters
45 (Casadebaig et al. 2016), process-based crop models can be used to define and test new ideotypes (corresponding
46 to combinations of model parameters) more suited for future conditions (Paleari et al. 2017a), thus reducing costs
47 and time needed to develop new cultivars. However, some plant traits are represented in the available crop models
48 in a coarse way (Messina et al. 2018) and plant responses to some abiotic stressors are poorly formalized (Rötter
49 et al. 2018). While new models are being developed explicitly to support breeding (e.g., Paleari et al. 2017b), the
50 choice of the crop model to use and of the traits to consider should thus be carried out carefully. In particular, the
51 analysis should be restricted to the traits actually represented by one or more model parameters (Paleari et al.,
52 2017a).

53 While the development of model-aided ideotypes to support breeding programs is gradually becoming more
54 popular for cereals (e.g., Peng et al. 2008), empirical breeding methodologies such as ‘selection for yield’ (yield-
55 driven selection, without considering functional traits leading to genotype performance) or ‘default elimination’
56 (correcting morphophysiological imperfections or quality-related features) (Donald 1968) are still the most
57 adopted for legumes. Despite being successful in selecting most productive cultivars in current agro-
58 environmental contexts, those methodologies could be not enough to define plant types suited to the climate
59 conditions expected in the future. Under these conditions, indeed, the improvement of complex traits involved
60 with phenology or water stress tolerance could be crucial (Bahl 2015).

61 The interest in legumes, motivated by their health, economic and environmental value, is increasing (FAO 2016).
62 In EU-28, dry legumes harvested area increased by 64.7% between 2013 and 2015, with field pea playing a key
63 role, with more than one third (34.2%) of the total grain legumes area (ec.europa.eu/eurostat). To support the
64 rising interest in legumes, studies on the evaluation of the impacts of climate change over those crops are ongoing
65 (e.g., Anwar et al. 2015), as well as on the development of new breeding strategies to effectively derive improved
66 cultivars, especially for resistance/tolerance to biotic and abiotic stressors (Mousavi-Derazmahalleh et al. 2019).
67 Among legumes, field pea (*Pisum sativum* L.) is highly sensitive to climatic conditions during the crop cycle,
68 with pea yields being markedly influenced by drought and high temperatures during the phase of grain formation
69 (Guilioni et al. 2003). Despite studies were published on the use of crop models to assist pea varietal selection
70 for specific growing conditions (e.g., Jeuffroy et al. 2012), analyses involving crop models for defining pea
71 ideotypes under climate change scenarios are not available.

72 The objective of this study was to perform a crop model-based analysis to derive field pea ideotypes for both
73 current climate and future projections. As a case study, the focus was on Northern Italy. The potential benefits
74 deriving from the adoption of the proposed ideotypes were quantified in terms of changes in productivity and
75 irrigation water use efficiency compared to current cultivars.

76

77 2. Materials and methods

78 2.1. Breeding targets and crop model

79 The first step of the analysis was to identify the morphological and physiological traits on which breeders are
80 currently focusing to improve the productive performance of field pea. In this light, selecting a crop model whose
81 parameters have the most direct link to the plant traits of interest is of primary importance to increase the
82 feasibility of *in silico* ideotypes (Paleari et al. 2017a). The model was thus selected according to its capability (i)
83 to simulate the crop of interest and (ii) to properly take into account key plant traits via parameters representing
84 as closely as possible the traits. The analysis led to identify the model STICS (Brisson et al. 2002) as the most
85 suitable for the objective of the study, given its reliability for reproducing field pea growth and development (e.g.,
86 Corre-Hellou et al. 2009) and the close relationships between model parameters and traits of interest. In particular,
87 six plant traits of potential interest for field pea breeding were selected for the study based on a dedicated literature
88 search, which correspond to nine STICS parameters (Table 1).

89 STICS is a generic crop model that simulates on a daily basis crop growth and development, as well as soil and
90 crop water, carbon and nitrogen budgets. Its flexibility allowed the adoption of the model for a variety of crops
91 with determinate and indeterminate growth habit, the latter being simulated by accounting for trophic interactions
92 between different cohorts of fruits. Crop development is derived as a function of thermal time, estimated based
93 on daily mean crop temperature and the parameters base, optimal and critical temperature, and modulated by
94 photoperiod sensitivity, vernalisation requirements and drought stress. Leaf area index (LAI, -) is simulated as a
95 function of crop development and the crop responses to temperature, plant density, nitrogen and water stress.
96 Radiation interception within the canopy depends on light extinction coefficient and LAI (Beer's law analogy),
97 as well as on presence of pods that also contributes to light harvesting. Aboveground biomass accumulation is
98 estimated using a photosynthesis approach based on radiation use efficiency (RUE), with the maximum radiation
99 use efficiency modulated by temperature limitation, radiation excess, drought stress, nutrient availability and
100 atmospheric CO₂ concentration (parameter ALPHACO₂, in this study set to 1.2 as from model documentation).
101 Yield is derived via a dynamic harvest index, which increases linearly during grain filling (Brisson et al. 2002).
102 Further details on model algorithms can be found in the seminal literature (Brisson et al., 1998) and in the model
103 documentation (Brisson et al., 2009).

104

Trait	Relevance for breeding	Parameter	Unit	Parameter description	Mean	Source
Cold tolerance	McPhee 2003; Shafiq et al., 2012; Mayer and Badaruddin, 2001; Sadras et al., 2012	tgmin	°C	Minimum temperature for germination and emergence	4	This study (calibration)
		tdebgel	°C	Temperature at the beginning of frost action	-4	STICS documentation
Heat tolerance	Vocanson and Jeuffroy 2008; Guilioni et al., 2003; Sadras et al., 2012	teoptbis	°C	End of thermal optimal plateau for net photosynthesis	25	This study (calibration)
		temax	°C	Maximum temperature for net photosynthesis	32	This study (calibration)
Root flooding sensitivity	Vozáry, et al. 2012	sensanox	-	Anoxia sensitivity	0.1	This study (calibration)
Drought tolerance	McPhee 2003 Sadras et al., 2012	sensrsec	-	Root sensitivity to drought	0.4	STICS documentation
Plant height	Tar'an, et al. 2003	hautmax	m	Maximum plant height	0.65	STICS documentation
Early development and maturity	Vocanson and Jeuffroy 2008; Tayeh et al., 2015; Weller and Ortega, 2015	stlevamf	°C- days	Thermal time between emergence and end of juvenile phase	300	This study (calibration)
		stlevdrp	°C- days	Thermal time between emergence and onset of fruit filling	700	This study (calibration)

105 *Table 1. Traits included in the ideotyping study because of interest for pea breeding and corresponding STICS*
106 *parameters.*

107 **2.2. Model parameterization**

108 Data to adapt STICS default parameters to Italian pea cultivars were collected on seven field trials between 2016
109 and 2017. The experimental fields were distributed in five locations across the Emilia-Romagna region, which
110 was selected as representative of the conditions explored by the crop in Northern Italy. Sowing dates per site
111 were 13 March 2017 in Alseno (44.92° N, 9.96° E; soil: clay, USDA texture classification), 2 April 2016 in
112 Jolanda di Savoia (44.88° N, 11.98° E; soil: clay), 16 April 2016 and 23 March 2017 in San Rocco al Porto
113 (45.08° N, 9.69° E; soil: silt loam), 13 and 15 April 2016 in San Pietro in Trento (44.32° N, 12.08° E; soil: sandy
114 loam in one field, sandy clay in the other), and 15 March 2017 in Bagnolo (44.93° N, 9.94° E; soil: clay). Soil
115 organic matter ranged between 1.6% (Alseno) to 2.2% (San Pietro in Trento). More details on soil properties are
116 reported in Table S1. All the field experiments were located in the Po river alluvial plain, mostly characterized
117 by deep soils that – together with heavy textures – guarantee good water reserves. Sowing dates in the
118 experimental fields reflected standard practices in the region, and they were immediately after the spring rainfall
119 peak typical of northern Italy. This allowed initializing the simulations with soil water content at field capacity
120 for model calibration/validation and for the ideotyping experiments. Different weather conditions (source:
121 Regional Agency for Environmental Protection, ARPAE) characterized the pea season in the different
122 combinations site × sowing date, especially for precipitations, which ranged between 145 and 285 mm (total
123 rainfall over the growing season, which lasted on average 75 days). According to the management practices of
124 the study area, in case of scarce precipitations sprinkle irrigation was applied. Cultivars Waverex (San Pietro in
125 Trento, sowing date: 13 April 2016) and Wolf (all other experiments) were grown, selected since they are the
126 most cultivated in the study area and among those suggested by the Regional product specification. Field
127 management allowed keeping the fields weed-, disease- and pest-free, with optimal water and nutrients supply.
128 The aim of the study was indeed to analyse the impact of climate variations on productivity and on changes in
129 water requirements that would be needed to maintain the crop under unlimiting conditions for water, as they
130 currently are. Parameter calibration was performed using data collected on the crops sown on 15 April 2016 in
131 San Pietro in Trento, 16 April 2016 and 23 March 2017 in San Rocco al Porto, and 15 March 2017 in Bagnolo,
132 whereas remaining datasets were used for validation. The number of observations (single measurements) used
133 for calibration and validation was 56 and 40, respectively.

134 Field measurements were carried out at four development stages, as described in the Biologische Bundesanstalt,
 135 bundessortenamt und Chemische industrie (BBCH) scale for pea (Feller et al. 1995): leaf development (BBCH
 136 code 17), flowering (BBCH code 64), development of fruits (BBCH code 73), and fully ripe (BBCH code 89).
 137 To account for in-field variability, data were collected in three random points at each sampling event. For biomass
 138 determination, twenty plants for each point were sampled and divided into stems, leaves, flowers and fruits, and
 139 dried at 105°C until constant weight. For the 2017 experiments, LAI, specific leaf area (SLA, m² kg⁻¹), canopy
 140 height and the number of branches, leaves, flowers and pods per plant were also determined at each measuring
 141 point and for each measuring date. LAI was estimated by using the PocketLAI smart-app (Confalonieri et al.,
 142 2013), whereas SLA was derived by digitalizing sampled leaves and calculating the leaf area to dry mass ratio.
 143 The refinement of STICS parameters for Italian pea cultivars was carried out manually using a trial-and-error
 144 approach, targeting the highest agreement between observed and simulated values of yield, LAI, aboveground
 145 biomass, and biomass of the different organs (stems, leaves and pods). The agreement between measured and
 146 simulated values was quantified using mean absolute error (MAE), relative root mean square error (RRMSE),
 147 Nash and Sutcliffe modelling efficiency (EF), coefficient of residual mass (CRM) (Table 2), and R². Overall, the
 148 calibration led to modify the values of 33 out of 258 crop parameters.

Agreement metric	Equation	Range and optimum
Mean absolute error (MAE; Jørgensen et al., 1986)	$\text{MAE} = \frac{\sum_{i=1}^n Y_i - X_i }{n}$	From 0 (optimum) to +∞
Relative root mean square error (RRMSE; Jørgensen et al., 1986)	$\text{RRMSE} = 100 \cdot \frac{\sqrt{\frac{\sum_{i=1}^n (Y_i - X_i)^2}{n}}}{\bar{X}}$	From 0 (optimum) to +∞
Modelling efficiency (EF; Nash and Sutcliffe, 1970)	$\text{EF} = 1 - \frac{\sum_{i=1}^n (Y_i - X_i)^2}{\sum_{i=1}^n (X_i - \bar{X})^2}$	From -∞ to 1 (optimum)

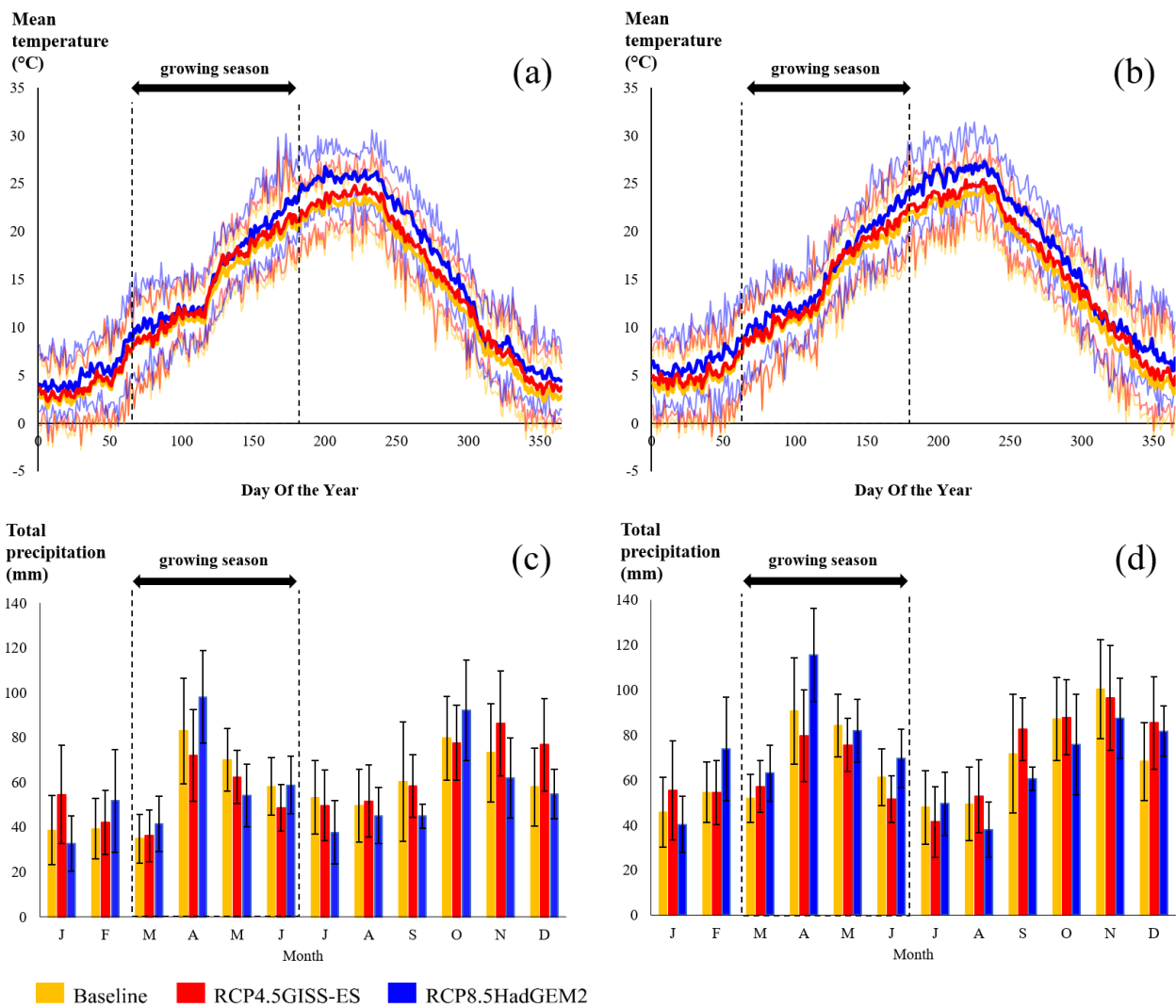
Coefficient of residual mass (CRM; Loague and Green, 1991)	$\text{CRM} = 1 - \frac{\sum_{i=1}^n \bar{Y}}{\sum_{i=1}^n \bar{X}}$	From $-\infty$ to $+\infty$; optimum: 0. Negative values indicate model overestimation, positive values underestimation
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149 *Table 2. Agreement metrics used for model evaluation (Y, predicted values; X, observed values; n, number of*
150 *observations).*

151 **2.3. Definition of ideotypes and evaluation as compared to current cultivars**

152 The analysis of the 1986-2005 weather data in the region suggested to perform the ideotyping study for two sites,
153 centered in Piacenza (45.05° N, 9.70° E; site A hereafter) and Ravenna (44.42° N, 12.18° E; site B), considered
154 as representative of the range of the conditions explored by pea in the study area. Site A is drier, with average
155 temperature during the pea season close to the optimum for the crop, whereas site B is warmer and rainier.

156 Ideotypes were defined for both current climate (1986-2005 baseline; derived from the European Centre for
157 Medium-Range Weather Forecasts; ECMWF) and future scenarios. To handle the uncertainty in future climate
158 projections, four 20-year timeframes centred on 2040 were derived for each site by considering (i) two
159 Representative Concentration Pathways (RCPs) – RCP4.5 and RCP8.5 (IPCC’s Fifth Assessment Report AR5,
160 IPCC 2014) – which represent potential pathways of greenhouse gas (GHG) emissions and atmospheric
161 concentration for the 21th century, and (ii) two General Circulation Models (GCMs) – HadGEM2, (Hadley
162 Centre, UK, Collins et al., 2011) and GISS-ES (NASA, Schmidt et al., 2006) – which provide climate projections
163 at global scale accounting for variations in GHGs concentrations. RCP4.5 is considered an optimistic scenario,
164 with CO₂-equivalent stabilized at about 650 ppm in 2100, whereas RCP8.5 derives from the hypothesis of no
165 specific climate mitigation targets, with about 1370 ppm CO₂-equivalent in 2100 (IPCC 2014). Downscaling for
166 both baseline and future climate projections was carried out with the stochastic weather generator LarsWG5
167 (Semenov and Barrow 1997). After comparing the four forecasted climatic scenarios (resulting from the
168 combination of two GCMs × two RCPs) in terms of temperatures and rainfall amount and distribution, we
169 selected for the ideotyping study the two combinations RCP × GCM characterized by the largest differences in
170 the thermal and pluviometric regimes: RCP4.5-GISS-ES and RCP8.5-HadGEM2 (Figure 1).



171

172 *Figure 1. Comparison between the daily mean temperature (a, b) and the monthly cumulative precipitation (c,*
 173 *d) of the baseline scenario (yellow) and of the two 2040 climate scenarios used in the study: RCP4.5-GISS-ES*
 174 *(red) and RCP8.5-HadGEM2 (blue). For temperatures, solid lines refer to the 20-year average (1986-2005 for*
 175 *the baseline, 20 years centered in 2040 for future projections); thin lines refer to the lowest and the highest value*
 176 *of the series. For precipitations, the bars represent the 20-year mean of monthly cumulative precipitation. Panel*
 177 *(a, c): Piacenza (site A), panel (b, d): Ravenna (site B). The arrows provide information on the main growing*
 178 *season for the crop in the study area.*

179

180 Parameter hyperspace was explored to identify key traits for field pea improvement using global sensitivity
 181 analysis techniques (Martre et al. 2015) and the parameter distributions reported in Table 1. Given available
 182 parameter values retrieved from literature and from the field experiments carried out during this study did not
 183 allow to define robust distributions, we assumed all distributions being normal, with standard deviation equal to
 9

184 the 5% of the mean of available values (Table 1) according to Richter et al. (2010). Although this approach could
185 underestimate the variability available in current pea cultivars for some traits, it reduces the risk of proposing
186 ideotypes that cannot be realized in vivo.

187 The variance-based global sensitivity analysis method Extended Fourier Amplitude Sensitivity Test (E-FAST;
188 Saltelli et al. 1999) was used. For each parameter, E-FAST allows the estimation of first- and total-order effects,
189 the latter including the amount of output variance explained by the interactions of the parameter with all the
190 others. For each combination site \times climate scenario, the sample size for the sensitivity analysis was set to 2600,
191 calculated as the product of the number of factors (10), the number of repetitions of the sampling scheme (4), and
192 the sample size for each repetition (65). The number of factors was the number of parameters analysed plus a
193 *dummy* factor used to quantify method default-error threshold. In fact, given that the *dummy* factor cannot affect
194 the model output – because it is not used in the simulations – the value of its SA metric represents the basal error
195 of the SA method. Model parameters with sensitivity indices below this threshold were considered as not relevant
196 and thus not included in the ideotype design. This sample size was considered the minimum one to guarantee an
197 adequate exploration of the parameter hyperspace while avoiding inefficiencies caused by the symmetry
198 properties of trigonometric functions used by the method (Saltelli et al. 1999). The total number of 1-season
199 simulations was 312000. To account for both productivity and yield stability across years, sensitivity indices
200 were calculated on the composite output shown in Eq. 1:

$$201 \quad Y_{index} = \left[\left(\frac{Y_i}{Y_{MAX}} \right) \cdot 0.7 \right] + \left[\left(1 - \frac{CV_i}{CV_{MAX}} \right) \cdot 0.3 \right] \quad \text{Eq. 1}$$

202 where Y_i and CV_i are the mean and the coefficient of variation of the yield values simulated with the combination
203 of parameters i for the 20 season of each climate scenario; Y_{MAX} and CV_{MAX} are mean and coefficient of variation
204 corresponding to the combination of parameters that achieved the maximum values for the metrics. Y_{index} was
205 also used to rank the combinations of parameters and – for each combination site \times RCP \times GCM – to define the
206 ideotype as represented by the means of parameter values of the best 1% combinations. The efficient exploration
207 of the parameter hyperspace achieved with the SA sampling design can indeed be used to derive context-specific-
208 ideotype (Paleari et al. 2017a), specifying the extent and the direction of the improvement suggested for each
209 trait. By considering the mean of multiple top-ranked combinations, this approach has also the advantage of
210 reducing the effect of potential local minima in the parameters space on the in vivo realizability of ideotypes.

211 The potential benefits deriving from the adoption of the designed ideotypes as compared to current pea cultivars
 212 were evaluated, for both current climate and climate change projections, in terms of percentage variation of yield,
 213 irrigation water requirements, and irrigation water-productivity. Aboveground biomass (AGB, t ha⁻¹), yield
 214 stability, and cycle length (days) were also evaluated.

215 The agreement between parameter rankings (the first parameter being the one with the highest total order effect)
 216 obtained for different environmental conditions was evaluated using the Top-Down Concordance Coefficient
 217 (TDCC, Iman and Conover 1987; Eq. 2), where TDCC values equal to 1 indicate perfect agreement.

$$218 \quad TDCC = \frac{\sum_{i=1}^k \left[\sum_{j=1}^{nSA} ss(SM_{ij}) \right]^2 - nSA^2 \cdot k}{nSA^2 \left[k - \sum_{i=1}^k \frac{1}{i} \right]} \quad \text{Eq. 2}$$

219 where nSA is the number of sensitivity analysis replicates; SM_{ij} the sensitivity measure of the parameter X_i and
 220 the replicate R_j ; $ss(SM_{ij}) = \sum_{i=r(SM_{ij})}^k 1/i$ is the Savage score (Savage, 1956) calculated for all parameters X_i
 221 and replicates R_j , and $r(SM_{ij})$ the rank assigned to the sensitivity measure of the replicate R_j .

222 In particular, TDCC was calculated within climate scenarios (thus comparing rankings obtained for the two sites)
 223 and within site (comparing rankings obtained for different climate scenarios). TDCC was finally used to estimate
 224 the plasticity of the STICS model (Confalonieri et al. 2012), quantifying the model aptitude to change the
 225 sensitivity to parameters while changing the conditions explored (Eq. 3):

$$226 \quad L = TDCC \cdot e^{(\sigma_{SAM}-1)} \quad \text{Eq. 3}$$

227 where σ_{SAM} is the normalized difference between cumulated rainfall and reference evapotranspiration during the
 228 crop season. L varies from 0 to about 1.51, with highest plasticity at 0.

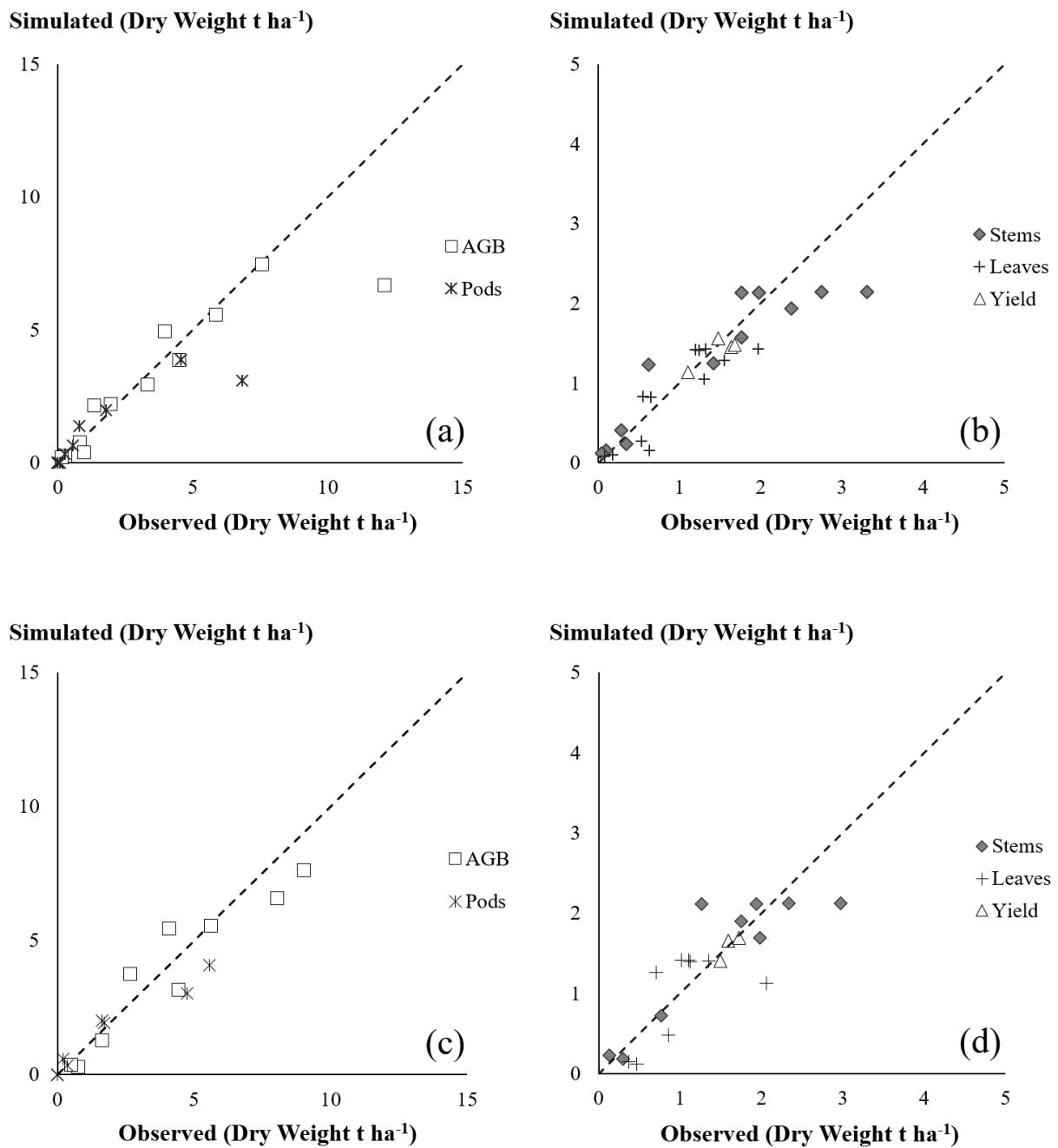
229 The sensitivity analysis (i.e., sampling the parameters hyperspace and estimating SA metrics) was conducted
 230 using the software SIMLAB (Tarantola and Becker, 2016), whereas a dedicated VBA software was developed
 231 for generating the configuration files (.usms) and the plant files (one for each combination of parameters) required
 232 to run STICS in batch. SIMLAB is freely available at <https://ec.europa.eu/jrc/en/samo/simlab>; the VBA code is
 233 available for the Authors upon request.

234 **3. Results**

235 **3.1. Model parameterization**

236 The parameterization allowed obtaining a good agreement between observed and simulated values for all state
237 variables (Fig. 2, Table 3). For the calibration datasets, EF (modelling efficiency; min: $-\infty$, max and optimum: 1)
238 was largely positive for both LAI and biomass-related state variables (mean EF equal to 0.75). The satisfactory
239 behavior of the model was confirmed by the other agreement metrics, with R^2 always higher than 0.70, mean
240 RRMSE equal to 40.2, and CRM equal to 0.14 on average.

241 The good agreement between measured and simulated values was confirmed during validation, with mean
242 RRMSE equal to 30.4, R^2 equal to 0.75 on average (higher than 0.70 for four out of five biomass-related
243 variables), and mean EF equal to 0.62. Similarly to what observed for the calibration datasets, model over- and
244 under-estimation were limited, with average CRM values equal to +0.06.
245



246

247 *Figure 2. Agreement between measured and simulated biomass values for different plant organs for the*

248 *calibration (a, b) and validation (c, d) datasets. Dotted lines refer to perfect agreement. For yield (b and d panel),*

249 *only one sampling point (harvest) was available for each dataset.*

250

Activity	Variable	MAE	RRMSE (%)	EF	CRM	R ²	p-value
Calibration	Yield (t ha ⁻¹)	0.12	9.67	0.61	0.04	0.70	n.s.
	LAI (-)	0.56	33.44	0.85	0.28	0.99	**
	AGB (t ha ⁻¹)	0.79	45.75	0.77	0.12	0.82	***
	Leaf biomass (t ha ⁻¹)	0.24	29.71	0.75	0.08	0.78	***
	Stem biomass (t ha ⁻¹)	0.34	33.1	0.81	0.08	0.84	***
	Pod biomass (t ha ⁻¹)	0.45	89.59	0.72	0.24	0.83	***
Validation	Yield (t ha ⁻¹)	0.06	4.26	0.49	0.01	0.77	n.s.
	AGB (t ha ⁻¹)	0.85	24.7	0.88	0.07	0.89	***
	Leaf biomass (t ha ⁻¹)	0.39	44.73	0.11	0.03	0.37	n.s.
	Stem biomass (t ha ⁻¹)	0.31	28.83	0.77	0.02	0.77	**
	Pod biomass (t ha ⁻¹)	0.47	49.42	0.85	0.16	0.94	***

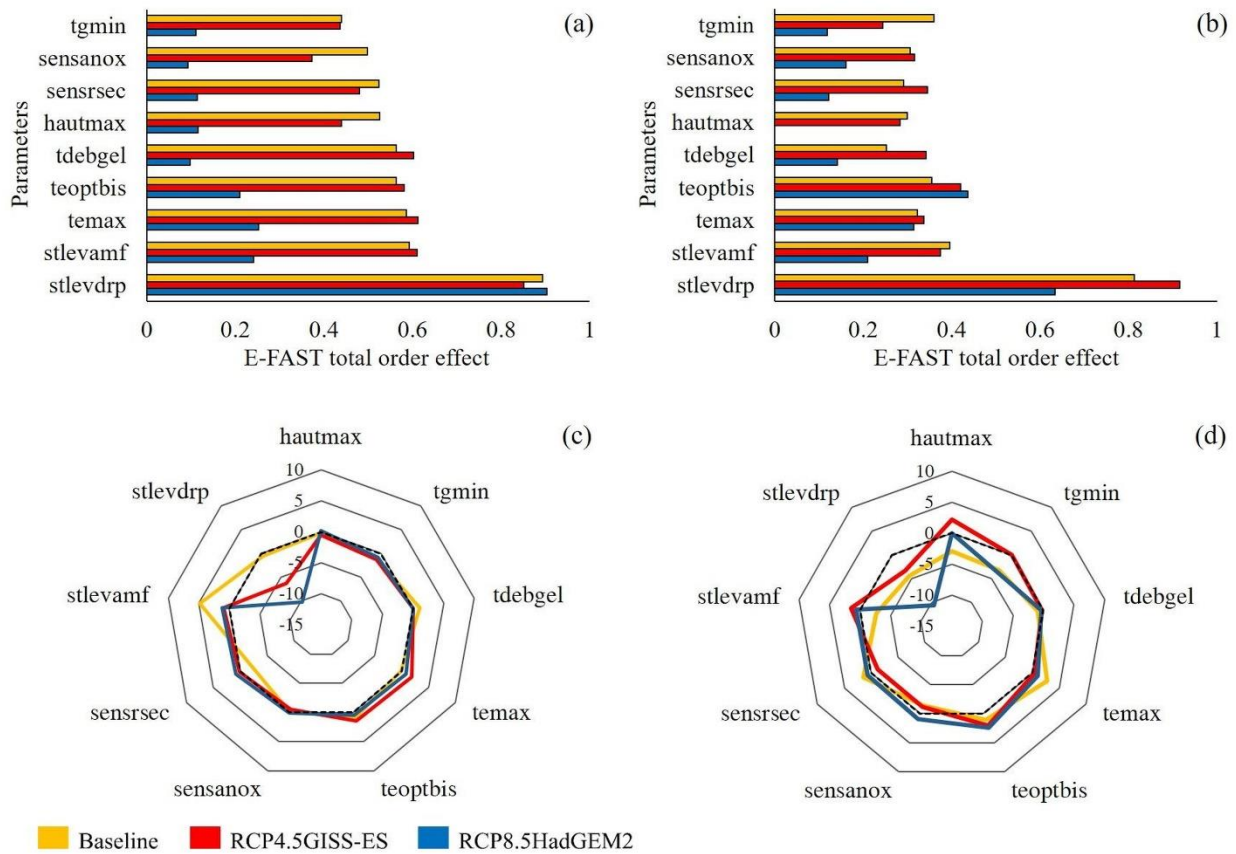
251 Table 3. Agreement between observed and simulated values for the calibration and validation datasets. MAE:
252 mean absolute error; RRMSE: relative root mean square error; EF: modelling efficiency; CRM: coefficient of
253 residual mass; R²: coefficient of determination of the regression between measured and simulated values. See
254 Table 2 for a detailed description of these metrics. All biomass-related variables refer to dry weight. **: p-value
255 <0.01; ***: p-value <0.001; n.s.: not significant. P-values (F-test) represent the significance of the linear
256 regression between observed and simulated values to which the R² refer to.

257

258 3.2. Ideotypes improved for productivity and irrigation water use efficiency

259 The ideotypes are presented and discussed in terms of percentage variation of parameter values as compared to
260 the parameter values characterizing the current cultivars (Fig. 3). The absolute values of the nine parameters
261 defining the ideotypes are reported in Table S2.

262 Sensitivity analysis results (Fig. 3a, b) revealed the relevance of all the parameters presented in Table 1, being
263 their total effects always higher than the one calculated for the *dummy* factor.



264
 265 *Figure 3. Sensitivity analysis results (a and b) and ideotype profiles (c and d) for the two sites (a and c refers to*
 266 *site A; b and d to site B) and the three climate scenarios analyzed (yellow refers to the baseline; red to RCP4.5-*
 267 *GISS-ES; blue to RCP8.5-HadGEM2). Sensitivity analysis results are presented as E-FAST total order effects.*
 268 *Ideotype profiles are represented as percentage variation of parameter values with respect to the parameter*
 269 *values for current genotypes (for which the reference is the dotted line). Hautmax: maximum plant height; tgmin:*
 270 *minimum temperature for germination and emergence; tdebgel: threshold temperature for frost damage; teoptbis*
 271 *and temax: optimum and maximum temperature for growth; sensanox: root sensitivity to anoxia; sensrsec: root*
 272 *sensitivity to drought; stlevamf: thermal time to end the vegetative phase; stlevdrp: thermal time to start fruit*
 273 *filling. Details on parameter description are available in Table 1.*

274
 275 For the baseline scenario, the ideotype presented minor differences compared to existing cultivars both in terms
 276 of variation in parameter values and productive performances (e.g., for yield, less than 2.5% in site A, less than
 277 4.0% in the site B), indicating that available genotypes are well suited for the climatic conditions they are
 278 currently exploring. However, for site A (yellow series in Fig. 3c), the longer juvenile phase of the ideotype
 279 (stlevamf: +4.8%) allowed an overall increase in photosynthetic area and, consequently, higher photosynthetic

280 rates and yields. The ideotype defined for the same scenario (baseline) in site B (Fig. 3d), instead, was
281 characterized by a shorter cycle compared to existing cultivars (stlevamf: -2.7%; stlevdrp: -4.4%) and by a higher
282 tolerance to high temperatures (teoptbis: +1%; temax: + 2.7%).

283 Similar results were obtained for the two sites for the RCP4.5-GISS-ES scenario (red series in Figs. 3c, 3d), with
284 ideotypes characterized by wider optimal temperature range (teoptbis: +1.5% and teoptbis: +2.1% for site A and
285 B, respectively) and by higher tolerance to abiotic stressors as compared to current cultivars. The main feature of
286 the two ideotypes defined by targeting the RCP4.5-GISS-ES scenario is nevertheless a reduction of the thermal
287 time needed to complete the vegetative phase (stlevdrp: -6.3% and -3.4% for site A and B, respectively), allowing
288 an earlier flowering with respect to current cultivars. Also the ideotypes defined for the RCP8.5-HadGEM2
289 scenario (blue series in Figs. 3c, 3d) were characterized by shorter vegetative phases (stlevdrp: -10.17% and -
290 10.6% for site A and B, respectively), which allowed earlier flowering and limited the exposure to the combined
291 effect of heat and drought in the last part of the season. Indeed, the pronounced earliness required for the ideotypes
292 turns into just minor changes in the optimal temperature (teoptbis: +0.78% and +2.4% for site A and B,
293 respectively), and no variation for traits involved with tolerance to water stress.

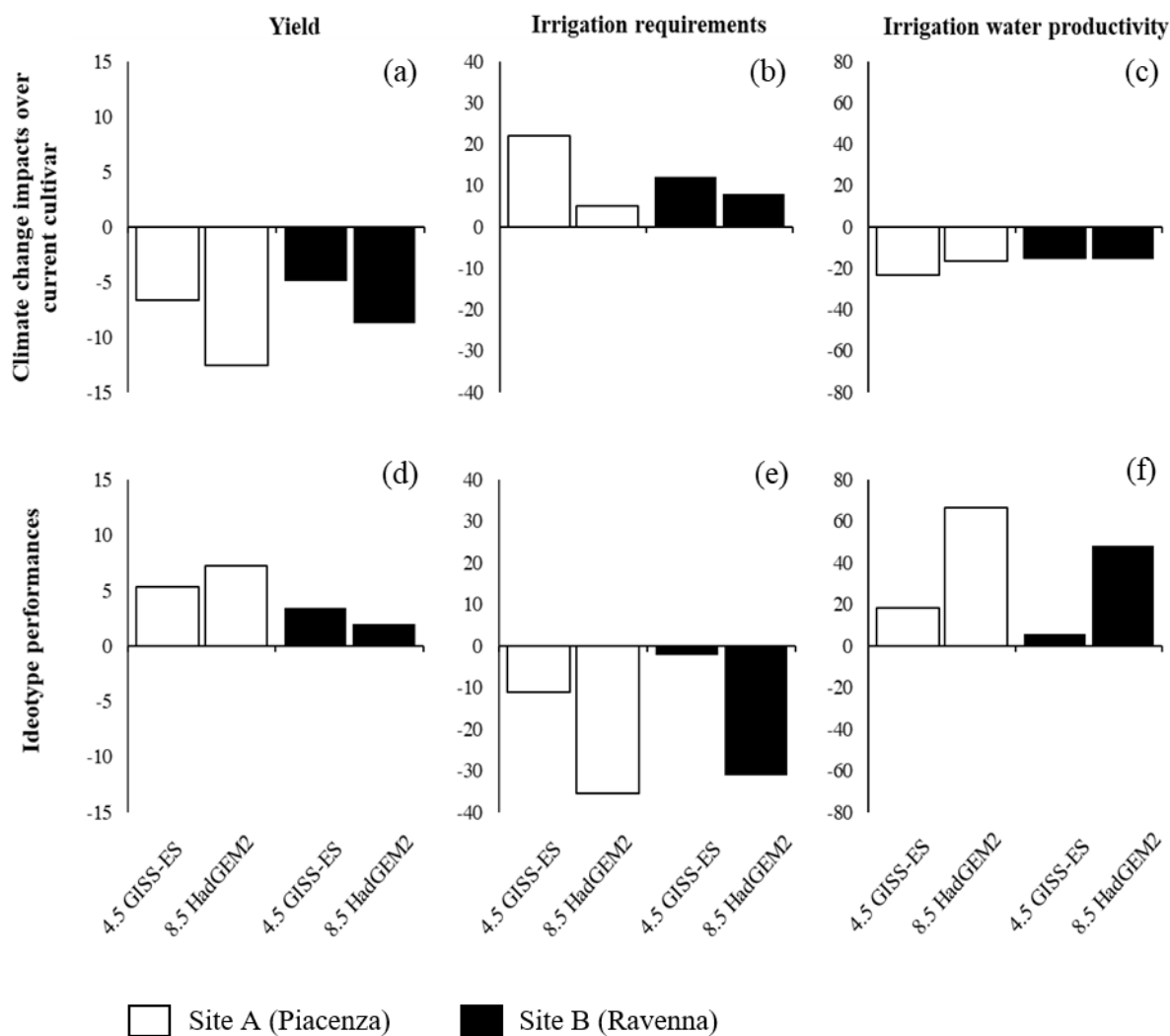
294 The profile of the ideotypes described above refers to the mean of the 1% top-ranked parameter combinations
295 (see Materials and methods). The variability observed around those values was limited, with an average coefficient
296 of variation equal to 3%.

297

298 **3.3. Potential benefits from the adoption of the ideotypes under climate change scenarios**

299 Simulation results showed that climate change will have a negative impact on current field pea cultivars, since
300 average projected yield variations compared to the baseline were around -6% in both sites for the RCP4.5-GISS-
301 ES scenario, and equal to -12.6% and -8.3% for site A and B, respectively, for RCP8.5-HadGEM2 (Fig 4a). The
302 simulated average dry yield over the 20-year baseline was equal to 1.58 t DM ha⁻¹ for site A and 1.40 t DM ha⁻¹
303 for site B (corresponding to about 6.3 t ha⁻¹ and 5.6 t ha⁻¹ of fresh weight). Water requirements are also expected
304 to increase (from 5% to 22%, Fig 4b) leading the overall productivity of irrigation water to decline of more than
305 20% (Fig 4c). Compared to the productivity simulated for current cultivars under the different climate change
306 projections, ideotypes would assure – under the same conditions – yield increases ranging from +5.4% to +7.2%
307 for site A and from +2.0% to +3.4% for site B (Fig. 4d), whereas the reduction in water requirements would vary

308 between -11.0% and -35.5% in site A, and between -2.2% and -31.0% in site B (Fig. 4e). This led to water-
 309 productivity values that range from 5.8% (site B, RCP4.5-GISS-ES) to 66% (site A, RCP8.5-HadGEM2) higher
 310 than the values simulated for current genotypes and climatic conditions (Fig. 4f).
 311



312
 313 *Figure 4. Climate change impacts on field pea in Northern Italy: a, b and c refer to the impacts simulated for*
 314 *current cultivars (percentage variation as compared to the baseline); d, e and f to the performances (percentage*
 315 *variation) of the defined ideotypes in comparison to the current cultivars simulated in each forecasted climate*
 316 *scenarios (4.5-GISS-ES: RCP4.5 generated with the general circulation model GISS-ES; 8.5-HadGEM2:*
 317 *RCP8.5 generated with the general circulation model HadGEM2). Light and dark bars refer to site A and B,*
 318 *respectively.*

319 4. Discussion

320 The results obtained for the calibration and validation datasets are consistent with what reported by Coucheney
321 et al. (2015), who evaluated the performance of the STICS model using a dataset covering different crops and a
322 variety of environmental and management conditions, in turn confirming the reliability of the parameterization
323 developed in this study.

324 Sensitivity analysis results showed large variability, especially when different climate scenarios were considered
325 (Figs. 3a, 3b). TDCC values (Top-Down Concordance Coefficient, indicating agreement between rankings)
326 revealed significant differences (p -value >0.05) between parameter rankings resulting from sensitivity analysis
327 experiments run using baseline climate and future projections, in turn confirming the importance of considering
328 climate change scenarios for model-based ideotyping analysis. Moreover, this demonstrated the STICS suitability
329 for the identification of climate-zone-specific ideotypes – i.e., optimal combination of parameter values
330 (representing simple traits) for target conditions (Tao et al. 2017) – because of its capability of changing the
331 sensitivity to parameters while changing the conditions of application. Indeed, despite the overall variability in
332 the conditions explored was not large (coefficient of variation of SAM across the six combinations site \times climate
333 scenario was equal to 0.04), STICS showed a value of plasticity ($L = 0.30$), similar to that estimated for the
334 WOFOST model in a comparative study, where the model was regarded as the most plastic (Confalonieri et al.
335 2012).

336 Concerning the differences between the ideotypes defined for different agro-climatic contexts (Fig. 3), in the
337 baseline scenario the ideotype defined targeting site B was characterized by a shorter cycle and higher tolerance
338 to heat as compared to that identified in site A. This is due to higher daily mean temperature in the first site, with
339 maximum temperature frequently exceeding the optimal threshold for field pea, especially in the last part of the
340 growing season. In this context, reducing the length of the cycle would allow to avoid heat stress and related
341 yield losses (Guilioni et al. 2003). Moreover, the ideotypes were characterized by an improved adaptation to low
342 temperatures during germination and emergence, which allows a faster establishment of the crop (parameter
343 $tgmin$). Together with an improved adaptation to high temperatures during grain filling, this allowed the ideotype
344 to achieve higher growth rates over the entire season, thus counterbalancing the negative trade-offs of a shorter
345 cycle. This is in line with the results of Sadras et al. (2012), who highlighted that an enhanced growth rate during
346 the early crop stages and the capability of maintaining high photosynthetic rates during pod setting and filling

347 are key traits for field pea breeding in case of environments characterized by terminal heat and drought stress.
348 Thermal conditions were instead within the optimal range for the crop (18-25 °C) in site A, which led to an
349 ideotype with slightly increased duration of the vegetative phase to take advantage of higher photosynthetic area,
350 in line with experimental results reported by Tagliapietra et al. (2018) for soybean (*Glycine max L. Merr*).
351 While differences between the ideotypes defined for the two sites were marked for the baseline scenario, the site
352 effect was less clear when future climate projections were considered (Figs. 3c, 3d). Indeed, regardless of the
353 site, the results obtained for future climatic scenarios agreed in defining ideotypes characterized by an increased
354 tolerance to high temperature and by a shorter cycle, mainly to limit the possible negative impacts of unfavorable
355 rainfall distribution and thermal extremes during the pod set and filling phase. As already discussed for the
356 ideotypes defined for the baseline condition, the improved adaptation to warmer conditions and to sub-optimal
357 temperatures during germination and emergence allowed the ideotypes to reach higher growth rates, thus
358 improving yield performance even with a shorter cycle. Moreover, the earliness of the ideotypes allows them to
359 better take advantage of spring precipitations (Fig. 1). This turns into lower irrigation requirements, despite
360 comparable values of cumulative evapotranspiration and higher yields than current cultivar under the same
361 conditions (Table S3).

362 Overall, ideotyping results are coherent with what reported by Mousavi-Derazmahalleh et al. (2019) in their
363 review about available genomic resources to adapt legumes to climate change, in turn supporting the usefulness
364 and the feasibility of the breeding targets we are proposing. These authors indeed identified phenology and heat
365 tolerance as important target traits for future legumes breeding, showing how several tools and methods, as well
366 as genetic resources, are available to successfully pursue these objectives.

367 The hypothesis of a low suitability of current field pea genotypes for the conditions expected in the mid-term in
368 Northern Italy is confirmed by the evaluation of climate change impacts on current cultivars in the study area,
369 which showed a marked reduction in productivity and an increase in irrigation requirements (Figs 4a and 4b). In
370 agreement with what reported by Bénézit et al. (2017), our results suggest that, for field pea, the advantages given
371 by the increased CO₂ availability are more than counterbalanced by the negative effects caused by raising
372 temperatures and drought stress. Yield losses observed under future climate projections for the current genotypes
373 were mainly related with the marked temperature increase in the second half of the cycle, which coincides with
374 the pod set and filling phase (Fig. 1). High temperatures are known to be detrimental for pea yield, by negatively

375 affecting both seed formation and plant growth rate (e.g., Lecoeur and Guilioni, 2010; Guilioni et al., 2003). The
376 projected thermal anomalies are particularly evident for the RCP8.5-HadGEM2 scenario, in which pea showed
377 indeed the worst yield performance (Fig. 4a).

378 The adoption of the ideotypes defined in this study would markedly increase the system productivity under
379 climate change scenarios, by reducing both yield losses (Fig. 4d) and water requirements (Fig. 4e). In a context
380 characterized by the exacerbation of the conflicts for water use between countryside and urban areas, the
381 forecasted raise in irrigation requirements (Figs. 4b and 4e) highlights the importance of increasing water use
382 efficiency, either by improving irrigation techniques or by developing new genotypes with reduced water
383 demand.

384 **5. Conclusions**

385 This study confirmed the suitability of the generic crop model STICS to successfully reproduce field pea growth
386 and development under different climatic and management conditions. Moreover, we demonstrated for the first
387 time that STICS, combined with global sensitivity analysis techniques, can be successfully used for ideotyping
388 purposes, identifying critical traits for improving productivity and water use efficiency under current conditions
389 and future climate projections. This is partly due to the high plasticity of the model, which allows its use for the
390 definition of climate-zone-specific ideotypes (Tao et al. 2017; Paleari et al. 2017a).

391 Regardless of the scenario considered, our results showed how climate change is expected to have a negative
392 impact on field pea productions in Northern Italy, thus confirming the relevance of breeding programs targeting
393 the adaptation of field pea features to the conditions forecasted in the medium-term. In particular, our analysis
394 led to identify crop earliness as the most important trait for increasing yield and irrigation water productivity,
395 given it allows to partly escape the unfavorable conditions otherwise experienced by the crop in the last part of
396 the cycle. To a smaller extent, also traits involved with heat tolerance resulted important for defining the
397 ideotypes.

398 Further studies will focus on extending the analysis to other traits, for instance those related to resistance to
399 diseases, given that biotic stressors are also of primary concern within legumes breeding programs. This will
400 require to couple the STICS model to a plant disease one (Caubel et al. 2017). Another factor that could reduce
401 the uncertainty of this kind of analysis is the use of parameter distributions tailored on the germplasm involved

402 in specific breeding programs instead of generic distribution for the species, in order to fully exploit the potential
403 of the available genetic resources.

404

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408

409 **Declaration of conflict of interest**

410 The authors declare that they have no conflicts of interest.

411

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