

1 **Impulsivity and Behaviour Problems in Dogs: A Reinforcement Sensitivity Theory**
2 **Perspective**

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19

Abstract

Trait impulsivity is an increasingly relevant topic for human and non-human animal personality research. There are similarities in dog and human manifestations of trait impulsivity at the behavioural, genetic, and neurobiological level. We investigated a well-validated measure of dog impulsivity and responsivity (the Dog Impulsivity Assessment Scale, DIAS) and a neuropsychological theory of human trait approach and avoidance (the Reinforcement Sensitivity Theory of personality, RST). Owners reported their dogs' dispositional behaviour on the DIAS, an RST scale modified to describe dogs' behaviour, and a list of common dog behaviour problems. In a sample of 730 dogs, we observed convergence between the RST and the DIAS. There was a negative correlation between RST 'Behaviour Inhibition System' and DIAS impulsivity factor ('Behavioural Regulation'). RST 'Behavioural Approach System' correlated positively with DIAS 'Responsiveness'. The RST 'Fight-Flight-Freeze System' (FFFS) and the DIAS 'Aggression and response to novelty' factor were both distinct from other factors. However, the DIAS 'Aggression and response to novelty' factor and the RST FFFS explained different aspects of dog behaviour problems. Importantly, whilst the DIAS factors indicated tendencies towards avoidant behaviours, the FFFS discriminated between active and passive avoidance. The findings suggest a partial overlapping between the DIAS and RST scales, and highlights the utility of personality models in investigating behaviour problems in dogs.

Keywords: dog; personality; trait impulsivity; Reinforcement Sensitivity Theory; RST; DIAS

43 1. Introduction

44 Trait impulsivity is stirring growing interest among comparative researchers. One species
45 where the trait is being extensively investigated is the domestic dog (*Canis familiaris*) (e.g. Miller
46 et al., 2010, 2012; Fadel et al., 2016; Riemer et al., 2014; Wright et al., 2011, 2012). Research
47 shows theoretical and evidential convergence between human and dog impulsivity. For
48 example, consistent neuro-behavioural individual differences in cognitive control are found in
49 dogs (Cook et al., 2016) as well as humans (e.g. Kane and Engle, 2002). Likewise, both in dogs
50 and humans, self-control relies on biological mechanisms related to blood glucose levels (Miller,
51 et al., 2010). There are also indications of human-dog convergence regarding genotype-
52 phenotype associations for trait impulsivity (humans: Munafó et al., 2008; dogs: Hejjas et al.,
53 2009; Wan et al., 2013). Impulsivity in dogs is also related to behaviours similar to human
54 psychological disorders. For example, genetic and behavioural homologies between dogs and
55 humans have been observed in relation to the Attention Deficit and Hyperactive Disorder
56 (ADHD) (e.g. Hejjas et al., 2009; Vas et al., 2007), as measured in dogs through a rating scale
57 for the assessment of ADHD in children, reworded for describing dog behaviour (Vas et al.,
58 2007) and a behaviour battery (Kubinyi et al., 2012). Another typical case is the relationship
59 between high impulsivity and aggressive behaviour, which has also been observed both in
60 humans (Apter, et al., 1990) and dogs (Amat et al., 2013; Wright et al., 2012).

61 The current study brings together a biologically based human measure of impulsivity and a well
62 validated dog measure of impulsivity, to investigate the extent to which the measures show
63 convergence.

64 Trait impulsivity may be measured in domestic dogs with questionnaire scales, such as
65 the Dog Impulsivity Assessment Scale (DIAS; Wright et al., 2011). The DIAS provides an overall
66 questionnaire score (OQS), which directly reflects the dog owner's opinion on how impulsive
67 their dog is and resulted to be higher in dogs with behaviour problems (Wright et al., 2011) as
68 well as behavioural measures (Brady et al., 2018). However, the scale also provides three

69 independent sub-factors, which can reflect distinct nuanced features of dog impulsivity. Factor
70 1, 'Behaviour Regulation' factor provides a more focused measure of impulsivity: high scores
71 relates to having little control over a response to stimuli, little thinking before acting and being
72 impatient, on the other side relates to showing extreme physiological signs when being excited.
73 Factor 2, 'Aggression and Response to Novelty' relates to lower tolerance thresholds to
74 potentially aversive stimuli: individuals with high scores are less keen on new situations and
75 more likely to respond aggressively to stimuli. Factor 3, 'Responsiveness' relates to general
76 responsiveness and environmental awareness: high scores reflect high trainability, long interest
77 in stimuli and quick reactions (Wright et al., 2011). The scale was found to relate to variation in
78 the behaviour observed in two systematically manipulated experimental designs, i.e. a delayed
79 reward choice test (Wright et al., 2012) and, for the OQS and Factor 1, a spatial discounting test
80 (Brady et al., 2018); correlations were found also between the DIAS scores and variation in
81 physiological factors - i.e. serotonin metabolites (5-HIAA) levels (Wright et al., 2012). This
82 suggests that the DIAS is a reliable measure of trait impulsivity in domestic dogs. Further
83 investigations have indicated that DIAS scores and cognitive measures in behaviour tests
84 remain stable over time, suggesting that personality trait of impulsivity is consistent over time
85 (Riemer et al., 2014; Fadel et al., 2016).

86 In a broader sense trait impulsivity, as measured by the DIAS, may also be regarded as
87 part of a wider network of theories investigating dispositional approach and avoidance
88 behaviour. In this paper, we investigated how one such theory, the Reinforcement Sensitivity
89 Theory (RST) of personality, might be of interest to research areas on dogs' individual
90 differences.

91 RST is a neuropsychological account of the neural and cognitive processes underlying
92 the major dimensions of personality (Corr, 2008). The theory describes three neurologically
93 defined systems which influence the organism's behaviours; the Behavioural Approach System
94 (BAS, activated by signals of reward), the Behavioural Inhibition System (BIS, related to the

95 monitoring and resolution of conflict between compelling goals) and the Fight-Flight-Freeze
96 System (FFFS, activated by aversive stimuli). The BAS has its neural basis in the dopaminergic
97 reward circuitry (Pickering and Gray, 2001) and underlies any behaviour that involves
98 approaching appetitive stimuli, whether it is to eat food or attack a prey. Because of this, the
99 BAS is related to personality traits such as optimism, reward-orientation and impulsivity (Corr,
100 2004). The neural basis of the FFFS is the periaqueductal grey and medial hypothalamus
101 (McNaughton and Corr, 2004). On a proximal level, the system is activated in response to
102 aversive stimuli, encouraging active avoidance behaviours, and is responsible for personality
103 traits such as proneness to fear (Corr & McNaughton, 2008). The BIS can be related to the
104 septo-hippocampal system (Gray & McNaughton, 2000; Miller, 1991). The BIS is concerned
105 with the monitoring and resolution of conflict between incompatible but equally compelling
106 approach and avoidance goals. In humans, a strong presence of trait BIS is experienced as
107 repetitive thoughts, rumination and anxiety (Andersen, Moore, Venables & Corr, 2008;
108 Markarian, Pickett, Deveson & Kanona, 2013; Morgan et al., 2009). While low trait BIS is
109 manifested as risk proneness and has been linked to Attention Deficit Hyperactivity Disorder
110 (Gomez, Woodworth, Waugh & Corr, 2012).

111 RST is highly relevant to the non-human animal research as it was developed from
112 experimental non-human animal behaviour research (Gray, 1987; Wilson, Barrett & Gray,
113 1989). In fact, several RST neurological studies have been performed on non-human animals,
114 such as rodents (Ito & Lee, 2016; Young & McNaughton, 2008) and even AI programs have
115 been coded using RST (Fua, Horswill, Ortony & Revelle, 2009). RST is especially useful when
116 observing behaviour in non-verbalising species, as tendencies of approaching or avoiding
117 aspects of the environment are readily codeable, in that behaviour measures may be
118 unambiguous, such as increasing and decreasing of distances from a specific stimulus (see
119 Budaev, 1997; Mather & Anderson, 1993). Finally, the strong focus on overt behaviour in

120 experimental settings, such as go/no-go tasks, (Moore, Mills, Marshman & Corr, 2012) aids
121 objective scoring of behaviour by human observers.

122 There are also some examples where elements drawn from RST have been employed in
123 the development of frameworks directed, for example, to domestic animal research of affective
124 states (Mendl, Burman & Paul, 2010) or individual differences in sensitivity to punishment and
125 reward (Sheppards & Mills, 2002). To our knowledge, however, the RST of personality has not
126 been applied in its entirety to companion animals' research (i.e. without integration within further
127 theories). It is therefore not yet clear to which degree RST may be relevant to companion
128 animals and whether there is any overlapping with existing theories. For this reason, it was of
129 interest to place domestic dogs' trait impulsivity in an RST theoretical network.

130 Dogs were chosen as a species of interest because they are adapted to life with humans
131 and share human social environment (Hare & Tomasello, 2002; Miklósi et al., 2003), which
132 makes them an ideal and convenient model of comparison in the study of personality (Gosling
133 et al., 2003). Additionally, the investigation of frameworks that are able to predict individual traits
134 potentially linked to increased risk of developing behaviour problems in dogs has implications
135 for animal welfare. For example, there are indications that aggressive behaviour in dogs may
136 relate to neurotransmitters linked to impulsivity (Amat et al., 2013; Wright et al., 2012), a low BIS
137 or high BAS-related trait. Aggressive responses may also be fear-related in dogs (van der Borg,
138 Graat and Beerda, 2017; Zapata, Serpell and Alvarez, 2017), i.e. relevant to the FFFS. For
139 example, tendency to engage in active avoidant behaviours like barking or growling could be
140 seen as defensive behaviours, reflected in the FFFS. Or it could be the case that high trait BIS
141 leads to better inhibition of destructive behaviour that may occur when the animal is distressed.
142 Consistently with the theoretical link between impulsivity and behaviour inhibition, it has been
143 observed that depletion of self-control is linked to risk proneness in dogs (Miller et al., 2012).

144 The current study brings together the DIAS (Wright et al., 2011) and a psychometric
145 measure of RST (adapted from a children-focused scale; Cooper et al., 2017). As stated above,

146 the principal reason for including both DIAS and RST measures is due to the mutual importance
147 of trait impulsivity. We therefore predicted a positive association between the DIAS 'Behaviour
148 Regulation' trait (which is correlated with the spatial discounting test of impulsivity, Brady et al.,
149 2018) and the RST BAS (which includes impulsivity) traits. Further, given that RST BIS is
150 arguably the inverse of impulsivity and we expected this factor to negatively relate to the DIAS
151 impulsivity measures. We had an exploratory approach regarding the relationship between the
152 other factors of the RST and DIAS measures. Further we investigated the relationships between
153 behavioural problems and the personality measures. Given that the DIAS was designed with
154 behavioural problems in mind, we predicted that the DIAS traits predict behavioural problems. It
155 was expected that FFFS would positively correlate with avoidance behaviours (e.g. biting,
156 barking, cowering, trying to escape). We had no other explicit hypotheses for the relationship
157 between the RST measures and the behavioural problems.

158

159 **2. Material and Methods**

160

161 **2.1. Procedure & Questionnaires**

162 The current study was approved by the University of Portsmouth's Science Faculty
163 Ethics Committee (2017 - 026). The described work been performed in accordance with the
164 Code of Ethics of the World Medical Association (Declaration of Helsinki) for experiments
165 involving humans. Responses were provided anonymously by the participants. This work did not
166 involve direct experimentation, observation or interaction with live animals and ethics was
167 required for the data collection with animal owners.

168 After providing informed consent, participants completed the RST personality trait
169 questionnaire. This measure was adapted from the 'Reinforcement Sensitivity Theory
170 Personality Questionnaire - Child (RSTPQ-C, 21 items; Cooper et al., 2017): for the current
171 study, the RSTPQ-C was reworded into a format that allowed owners to report on their dogs'

172 behaviour, creating a Reinforcement Sensitivity Theory Personality Questionnaire-Dog
173 (RSTPQ-D). Care was taken so that the RST system each question was referring to was not
174 altered. In order to imitate the RSTPQ-C, the RSTPQ-D was also answered on a four-point
175 scale with the options; *Not at all* (scoring 1), *Slightly* (2), *Moderately* (3) and *Highly* (4). The
176 mean response to each of the RSTPQ-D subscales was used for analysis. The RSTPQ-D has 3
177 subscales of 7 items each, reflecting trait BIS, FFFS and BAS.

178 After completion of the RSTPQ-D, participants completed the 18 item DIAS. DIAS
179 response is measured on a 5 point scale from *Strongly Agree* (5) to *Strongly Disagree* (1), with
180 a sixth *Don't know/not applicable* option. Consistent with the scoring for the DIAS (Wright et al.,
181 2011), each sub-factor was calculated as a ratio of the potential total score of items that had a
182 response (due to the *Don't know* option, participants could opt to not respond to some items).
183 The DIAS (Wright et al. 2011) has 3 factors, Factor 1 'Behavioural Regulation' (10 items, a high
184 score implies higher trait impulsivity), Factor 2 'Aggression and Response to Novelty' (5 items, a
185 high score suggests a more aggressive/aversive aversion to novelty) and Factor 3
186 'Responsiveness' (5 items, a high score implies fast and engaged responses to new things).

187 Finally, participants were asked to answer to a checklist of 12 further questions related
188 to behaviour problems and indicate how well they described their dog's behaviour. Questions
189 were presented in a 5-point scale, from *Very much like my dog* (5), to *Not at all like my dog* (1).
190 Questions referred to aggressive behaviours (barking, growling, biting, showing teeth,
191 snapping), cowering/fearful behaviour (shaking, panting, moving away), destructive behaviour
192 (digging, chewing) and house soiling. A copy of the questionnaire is provided as Supplemental
193 Information 1.

194

195 **2.2. Participants**

196 The inclusion criteria for dog owners to participate in the study were to be at least 18
197 years old and to have owned their dog for at least 6 months at the time they participated.

198 Responses from owners of 730 adult dogs (age range: 1 - 16 years, median = 5 years, SD =
199 3.36, M : F = 1, neutered : intact = 6 : 1) were used for analysis. Dogs' demographic information
200 is included in the Supplemental Information 2.

201

202 **3. Results**

203 **3.1. Behaviour Checklist Factors.**

204 Data were analysed using IBM SPSS Statistics version 22 and R (R Core Team, 2015).
205 We examined the grouping of the behaviours listed in the checklist as it was expected that some
206 behaviours may co-occur in some dogs. We first used an exploratory orthogonal (varimax)
207 principal component analysis (henceforth 'EFA') with the loadings of the 12 behaviours. This
208 suggested a four factor structure (Eigenvalue= 1.69, explaining 72% of variance) and grouped
209 the behaviours in the checklist in the expected manner. A confirmatory factor analysis
210 (henceforth 'CFA') further evidenced this ($\chi^2 (df= 48) = 153.90, p < 0.001, CFI= 0.97, RMSEA=$
211 0.06). The four factors generated related to Active Avoidance (i.e. increasing distance from a
212 perceived threat), Passive Avoidance (i.e. withholding interaction with a perceived threat),
213 Destructive and Inappropriate Elimination Behaviours. Active Avoidance Behaviours consisted
214 of frequency of Snapping (EFA loading= 0.89; CFA loading= 0.86), Biting (0.91; 0.92), Growling
215 (0.82; 0.83) and Barking (0.74; 0.78). Passive Avoidance Behaviours constituted of frequency of
216 Avoiding others (0.83; 0.95), Shaking (0.83; 0.96) and Panting (0.78; 0.87). Destructive
217 Behaviours included frequent Damaging of objects (0.81; 0.71), Digging (0.72; 0.59) and Other
218 Destructive behaviours (0.84; 0.76). Inappropriate Elimination related to reported Defecation
219 (0.90; 0.52) and Urination (0.90; 0.74) at inappropriate locations. For further analyses, we retain
220 aggregate response of the items for each factor, with a higher score indicating stronger
221 endorsement of that behaviour. It is important to note that Inappropriate Elimination Behaviours
222 were rarely endorsed (see Table 1) as were Destructive Behaviours (to a lesser extent). There

223 was more variation in the Active and Passive Avoidance Behaviours but, on average, owners
224 were more likely to disagree that these behaviours describe their dogs than agree (see Table 1).

225

226 **3.2. Personality Factors.**

227 We computed average score totals for the DIAS and RSTPQ-D. The RSTPQ-D retained
228 an acceptable fit for its factor structure (21 items into three domains of FFFS, BIS and BAS)
229 when applied to the owner's ratings of dogs (CFA: χ^2 ($df= 186$) = 1001.94, $p < 0.001$, CFI= 0.88,
230 RMSEA= 0.08). The descriptive statistics for these personality factors can be found in Table 1.
231 Given that both these data and those of the behaviours are considered non-normal by
232 Kolmogorov-Smirnov testing (table 1), we investigate relationships between our variables using
233 Spearman's rho correlations. In order to correct for multiple comparisons, the significance level
234 has been corrected for the number of comparisons, therefore a significance level of alpha =
235 0.002 was accepted (alpha = 0.05 / 24).

236 The RSTPQ-D's BAS measure positively correlated with the DIAS' Responsiveness
237 ($r_s(730)= 0.46$, 95% *CI* [0.40, 0.53], $p < 0.001$), this suggests that the RST's BAS has a similar
238 function to the DIAS' Responsiveness trait. There were small negative correlations with the
239 DIAS' Aggression/Response to Novelty ($r_s(730)= -0.19$, 95% *CI* [-0.26, -0.12], $p < 0.001$) and
240 Behavioural Regulation ($r_s(730)= -0.12$, 95% *CI* [-0.19, -0.05], $p= 0.002$).

241 There was a notable negative correlation between the RSTPQ-D's BIS and the DIAS'
242 Behavioural Regulation factor ($r_s(730)= -0.30$, 95% *CI* [-0.37, -0.22], $p < 0.001$), reflecting that
243 reported impulsivity is in opposition to reported inhibition. There were much weaker correlations
244 with the DIAS' Aggression/Response to Novelty ($r_s(730)= 0.15$, 95% *CI* [0.08, 0.23], $p < 0.001$)
245 and Responsiveness ($r_s(730)= -0.08$, 95% *CI* [-0.15, -0.01], $p= 0.024$) factors.

246 The RSTPQ-D's FFFS factor was largely distinct to the DIAS factors. It did not notably
247 correlate with Behavioural Regulation ($r_s(730)= -0.02$, 95% *CI* [-0.10, 0.06], $p= 0.531$),
248 Aggression/Response to Novelty ($r_s(730)= 0.04$, 95% *CI* [-0.03, 0.12], $p= 0.243$) or

249 Responsiveness ($r_s(730) = -0.12$, 95% CI [-0.20, -0.04], $p = 0.002$). Overall, RST's FFFS and the
250 DIAS' Aggression/Response to Novelty did not correlate with the behavioural factors. Both
251 FFFS and Aggression/Response to Novelty relate to avoidance-style behaviours and this result
252 would suggest that they relate to different aspects of behavioural avoidance. Fig 1. provides a
253 visual overview of the relationships between the trait factors.

254

255 **3.3. Personality and Behaviours.**

256 One aim of this study was to identify personality traits that related to common problem
257 behaviours in dogs. The correlations between personality and behaviours are reported in Table
258 2. Overall the DIAS better reflects problem behaviours than the RSTPQ-D. There are notable
259 correlations between DIAS' Behavioural Regulation (impulsivity measure) and the more overt
260 Active Avoidance and Destructive Behaviours. DIAS' Aggression/Response to Novelty positively
261 correlated with both the Active and Passive Avoidance Behaviours, implying that a trait aversion
262 to novel stimuli was more likely to lead to behavioural avoidance. DIAS' Responsiveness
263 showed no noteworthy correlations with the behaviours.

264 The RSTPQ-D had smaller correlations with the Behaviours than the DIAS. However,
265 the FFFS trait did positively correlate with Passive Avoidant traits and (weakly) negatively with
266 Active Avoidance traits and the difference in the size of these two correlations is notably large
267 (Fisher's Z test = 7.76, $p < 0.001$). This suggests that the FFFS trait may reflect an axis of Active
268 to Passive Avoidant Behaviour and offer more discriminability in the *style* of avoidance
269 behaviour than the DIAS traits. BIS and BAS largely did not correlate with the behaviours.

270

271 **4. Discussion**

272 The current study investigated the overlap between measures of domestic dog
273 impulsivity (DIAS) and a broader cross-species theory of individual differences in
274 approach/avoid behaviour, Reinforcement Sensitivity Theory (Gray and McNaughton, 2000).

275 Our results show that, in dogs, RST trait inhibition (BIS) is the inverse to impulsivity, as
276 measured by the DIAS Behavioural Regulation, as hypothesised. Another interesting result is
277 the positive relationship between BAS and Responsiveness, as predicted. The DIAS
278 Responsiveness factor contains behaviours related to high trainability, interest in the
279 environment and quick reactions (Wright et al., 2011). Such behaviours intuitively relate to trait
280 BAS, which promotes reward seeking and goal-oriented behaviours (Corr, 2004). These
281 findings suggest that the RST theoretical framework can be used to complement the DIAS tool.

282 None of the DIAS facets related with the RST trait FFFS. FFFS did demonstrate a small
283 positive correlation with the Passive Avoidance behaviour problems and a negative relationship
284 with the Active Avoidance. From this, we see that FFFS is largely distinct from the DIAS model
285 but it may potentially have predictive value for fear-related behavioural problems in dogs, such
286 as aggression (in line with previous findings on dog aggression: Amat et al., 2013; Wright et al.,
287 2012). According to RST, FFFS is related to the Fight-Flight-Freeze response, which reflects
288 defensive avoidance strategies based on the perceived intensity of a threat. Threat perception
289 may be measured in terms of defensive distance, i.e. distance from a threat that causes various
290 defensive behaviours (Blanchard and Blanchard, 1988). The smallest defensive distances result
291 in explosive attack (fight response), while intermediate defensive distances lead to flight and
292 freeze (Blanchard and Blanchard, 1988; McNaughton and Corr, 2004). Interestingly, an
293 alternative measure of individual differences in dogs, the PANAS scale (Positive and Negative
294 Activation Scale, Sheppard and Mills, 2002), is partially driven from an RST scale based on an
295 earlier version of the framework (Carver and White, 1994) and measures dogs' sensitivity to
296 reward and to punishment, which reflects the directional component of the most recent version
297 of RST (Gray and McNaughton, 2000). Given the current results, investigating how the PANAS
298 relates with the updated FFFS domain would provide further evidence of the applicability of RST
299 to the investigation of dog behaviour.

300 The relationship between DIAS-Behaviour Regulation and Active Avoidance /
301 Destructiveness (both characterised by high activity levels) also suggests that such behaviours
302 might relate to mechanisms such as frustration and lack of self-control. Such a possibility is in
303 line with the human literature, where low BIS is associated with risk proneness and ADHD
304 (Gomez, Woodworth, Waugh & Corr, 2012) and the canine literature, where high impulsivity, as
305 measured with the DIAS, is associated with aggressive behaviour (Wright et al., 2012). While it
306 is not possible to draw conclusions on similar patterns in dogs, given the established similarities
307 between human and dog ADHD (Hejjas et al., 2009; Kubinyi et al., 2012; Vas et al., 2007), it
308 may be also of interest to understand whether RST relates with the existing measures of canine
309 ADHD.

310 Overall, the current results highlight how RST might be potentially of interest for the
311 investigation of dogs' individual differences, especially in the investigation of approach and
312 avoidance behaviour. We suggest that the questions relating to the FFFS factor of the RSTPQ-
313 D could integrate the existing DIAS scale. We also suggest that future research should look
314 further into how RST framework may be used to interpret results obtained from the DIAS. In
315 order to further explore this possibility, future research on the relationship between RST and
316 trait dog behaviour should be investigated through behavioural experiments, providing direct
317 observation of behaviour under systematic manipulation. Various existing experimental
318 paradigms have indicated individual differences in dogs based on approach and avoidance
319 behaviours (e.g. cognitive bias test, Starling et al., 2014; response to threat, Vas et al., 2008).
320 There is also evidence that difference in persistence affects dogs' strategies when trying to
321 retrieve a food reward in the presence of a cognitive conflict, such as in the so-called *unsolvable*
322 *task* (Marshall-Pescini et al., 2017). Finally, several experimental tasks have been developed to
323 measure inhibitory control in dogs (which is supposedly related to impulsive behaviour as
324 measured by the DIAS, Wright et al., 2011), suggesting a subdivision in persistency,
325 compulsivity and decision speed (Brucks et al., 2017). This subdivision suggests it may be of

326 interest to investigate how the result of these behaviour tests relate with the RST domains.
327 Given the existing strong neurobiology basis of RST, it is also worthy to consider that
328 behavioural findings should be followed up by electrophysiological measures, typical of the RST
329 literature - for example, in humans, behaviour tests based on go/no go and stop signal tasks are
330 paired with EEG measurements (Brier et al., 2010; Moore et al., 2012; Shadli, Glue, McIntosh &
331 McNaughton, 2015). Finally, RST work could also be extended to other non-human species
332 where individual differences research focuses on approach-avoid behaviours (e.g. birds: Meier
333 et al., 2017; sheep: Beausoleil et al., 2005; sharks: Byrnes and Brown, 2016; Finger et al., 2016;
334 minks: Malmkvist et al., 2003)

335 The current study revolves on data coded by dog-owners rather than direct observations
336 of dogs' behaviour, which may be considered a limitation of the presented work. Although care
337 was taken to avoid questions on "internalised" processes, it should be understood that the
338 responses reflect the owner's interpretation of their dog's behaviour. However, previous
339 research indicates that dog owners are relatively reliable in describing their dogs' behaviour
340 (Gosling et al., 2003). Additionally, the DIAS scale has been validated against experimental
341 measures and for consistency over time (Wright et al., 2011; Riemer et al., 2014; Fadel et al.,
342 2016). Moreover, the aim of the current study was to measure correlations between the two
343 scales, RSTPQ-D and DIAS, rather than informing on the validity of an RST measure in itself.
344 Validation should be in fact a consideration for future studies.

345 Another consideration regards the relatively small correlations observed between the
346 RST and the DIAS factors. This may suggest that part of the observed variance might be
347 attributable to external factors (Ferguson, 2009) not considered in this study, such as breed
348 differences or training experience. These and other potential confounders should be evaluated
349 in the future.

350 Finally, it is noteworthy that the current findings support the idea that investigating the
351 potential applications to RST to non-human animals may provide benefits also to animal

352 welfare. Versions of RST scales (e.g. Carver and White, 1994; Gray 1994; McNaughton & Corr,
353 2008) have been beneficial to the development of frameworks, based on approach and
354 avoidance, to be used in non-human animal research (e.g. Sheppard and Mills, 2002; Mendl et
355 al., 2010), providing evidence that RST may be relevant to companion animals in general and
356 dogs specifically. For example, research based on measuring dogs' tendency to approach
357 rewarding stimuli and avoid unpleasant ones, has led to the demonstration of a negative
358 cognitive bias in dogs affected by separation related issues (Burman et al., 2009). Additionally,
359 a scale (PANAS), which partially draws from an earlier version of RST, proved to be useful in
360 measuring sensitivity to reward and punishment in dogs, which is particularly relevant to predict
361 the success of dog training or veterinary behaviour medicine interventions (Sheppard and Mills,
362 2002). Indeed, RST provides a theoretical framework grounded on neurobiological evidence to
363 understand traits related to behaviour issues, such as impulsive behaviour or anxiety. The
364 partial overlapping between the RSTPQ-D and the DIAS and the relationship of the FFFS facet
365 with reported behaviour issues potentially related to fear and anxiety (avoidance behaviours)
366 further advocates for the investigation of RST as a tool to understand companion animals'
367 behaviour. Given the necessary validation, RST might, in the future, aid the selection of
368 treatments in clinical cases through a better distinction between FFFS-fear behaviours and BIS-
369 anxiety behaviours, in line with the definitions provided by Gray and McNaughton (2000),
370 especially in those cases characterised by immobility as behavioural response, which might
371 reflect freezing behaviour (activation of FFFS) or conflict (activation of BIS). Again, RST has
372 proved to be beneficial in human psychology for the identification of markers for the risk to
373 develop psychological disorders (e.g. anxiety, Shadli et al., 2015); similar research directions
374 could be explored in veterinary behaviour medicine, especially in the presence of other known
375 environmental risk factors, such as dogs adopted from pet shops or shelters (Cannas et al.,
376 2017). Nevertheless, benefits may be extended also to other species, even beyond domestic

377 animals. For example, inhibitory control in a stop-signal task has been linked to increased fit and
378 survival in pheasants (Whiteside et al., 2016).

379

380 **4.1. Conclusion**

381 In conclusion, the findings of this work suggest an overlap between RST and the
382 constructs of trait impulsivity in dogs (as measured by the DIAS). However, this is a starting
383 point, the aim of which is to suggest RST as a useful framework for the cross-specific
384 investigation of individual differences. Future experimental and large scale personality studies
385 will allow for the comprehensive framework of RST to contribute to the literature on dogs' and
386 other non-human animals' welfare and behaviour.

387

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391

392 **Compliance with Ethical Standards**

393 Conflict of Interest: Patrizia Piotti declares that she has no conflict of interest. Liam Satchell
394 declares that he has no conflict of interest. Tom Lockhart declares that he has no conflict of
395 interest.

396

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520 **Tables:**

Table 1. The descriptive statistics of the critical behavioural and personality variables in this study

Variable	Mean	SD	Skewness	Kurtosis	Kolmogorov-Smirnov test
Behaviours					
Active Avoidance Behaviours	1.93	0.93	1.25	1.44	0.16**
Passive Avoidance Behaviours	2.20	1.05	0.67	-0.25	0.13**
Destructive Behaviours	1.74	0.82	1.20	1.12	0.19**
Inappropriate Elimination Behaviours	1.34	0.71	2.62	7.76	0.41**
Traits					
Reinforcement Sensitivity Theory Personality Questionnaire - Dog					
Behavioural Approach System	3.81	0.81	-0.58	-0.08	0.09**
Behavioural Inhibition System	2.92	1.07	-0.04	-0.89	0.07**
Fight/Flight/Freeze System	2.46	0.84	0.29	-0.23	0.05**
Dog Impulsivity Assessment Scale					
Behavioural Regulation	2.78	0.78	-0.13	0.10	0.05*
Aggression/Response to Novelty	2.07	0.78	0.51	-0.14	0.12**
Responsiveness	3.63	0.59	-0.21	-0.01	0.09**
Overall Questionnaire Score	2.88	0.51	0.19	-0.35	0.05*

Notes: * $p \leq .001$, ** $p < .001$

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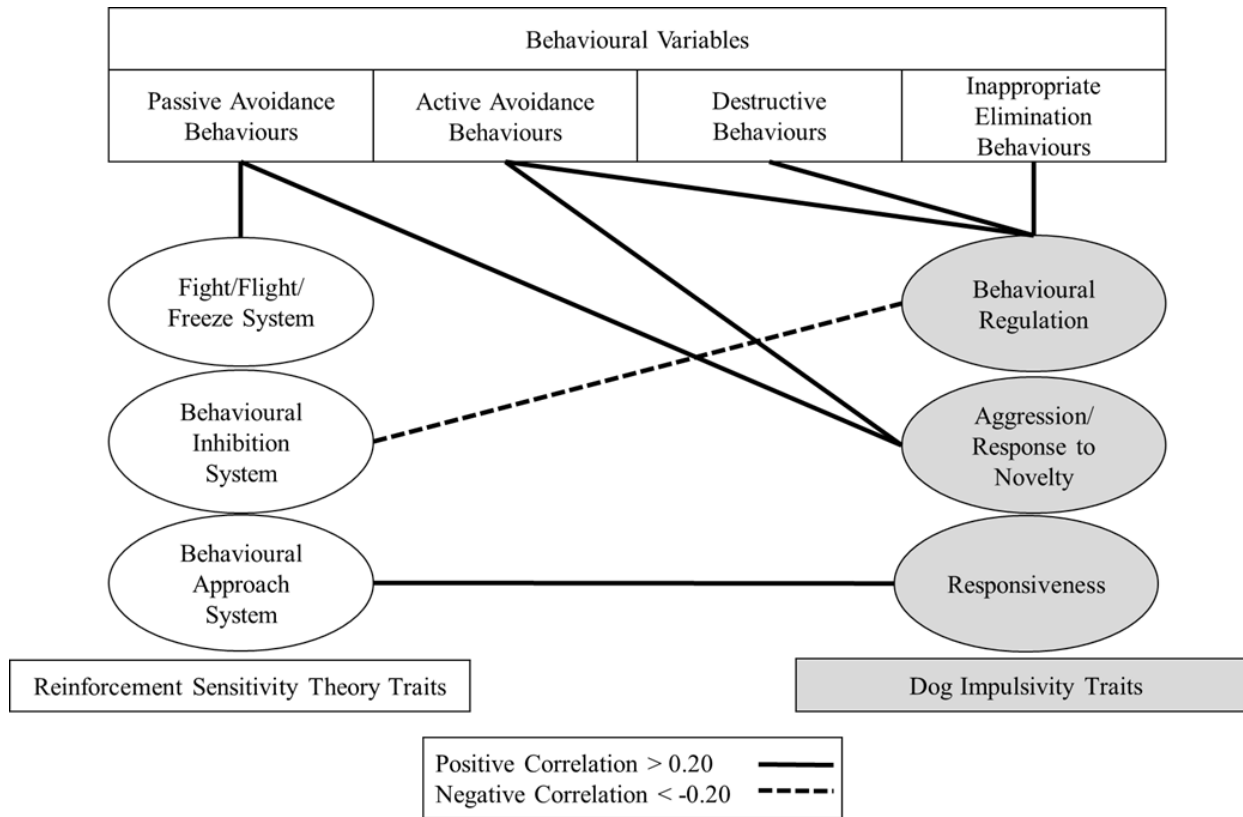
Table 2. Spearman's correlations between the behaviour and personality trait variables (absolute *p* values in brackets) [95% CI in square brackets]

Traits	Active Avoidance Behaviours	Passive Avoidance Behaviours	Destructive Behaviours	Inappropriate Elimination Behaviours
<i>Reinforcement Sensitivity Theory Personality Questionnaire - Dog</i>				
Behavioural Approach System	-0.08 (=0.032) [-0.15, -0.00]	-0.11 (=0.002) [-0.18, -0.04]	0.01 (=0.965) [-0.08, 0.08]	-0.04 (=0.281) [-0.12, 0.05]
Behavioural Inhibition System	-0.00 (=0.961) [-0.08, 0.08]	0.16 (<0.001) [0.08, 0.23]	-0.15 (<0.001) [-0.24, -0.08]	0.06 (=0.113) [-0.02, 0.13]
Fight/Flight/Freeze System	-0.14 (<0.001) [-0.22, -0.06]	0.26 (<0.001) [0.19, 0.34]	0.03 (=0.360) [-0.04, 0.11]	0.11 (=0.002) [0.02, 0.19]
<i>Dog Impulsivity Assessment Scale</i>				
Behavioural Regulation	0.34 (<0.001) [0.27, 0.40]	0.17 (<0.001) [0.09, 0.24]	0.30 (<0.001) [0.23, 0.36]	0.22 (<0.001) [0.15, 0.29]
Aggression/Response to Novelty	0.53 (<0.001) [0.45, 0.57]	0.48 (<0.001) [0.38, 0.50]	0.10 (=0.005) [0.02, 0.17]	0.13 (<0.001) [0.04, 0.18]
Responsiveness	-0.034 (=0.319) [-0.11, 0.04]	-0.11 (=0.003) [-0.18, 0.04]	0.03 (=0.415) [-0.04, 0.11]	-0.03 (=0.431) [-0.10, 0.05]
Overall Questionnaire Score	0.40 (<0.001) [0.34, 0.46]	0.18 (<0.001) [0.12, 0.26]	0.29 (<0.001) [0.23, 0.36]	0.20 (<0.001) [0.13, 0.26]

Notes:

Bold = $p < 0.002$ (corrected alpha level of 0.05 over 24 comparisons)

526 **Figures:**



527

528 **Fig 1. Overview of the relationships between the behavioural and trait (from the Reinforcement Sensitivity Theory**
529 **Personality Questionnaires and Dog Impulsivity Assessment Scale) factor variables in the study.** Spearman rho's
530 correlations, with r above 0.20 are shown ($p < 0.002$ – corrected alpha level of 0.05 over 24 comparisons; r cut-off was selected
531 based on Ferguson, 2009).
532

533 ***Supplemental information 1***

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Reinforcement Sensitivity Theory of Personality Questionnaire - Dog

		Strongly disagree	Somewhat disagree	Neither agree or disagree	Somewhat agree	Strongly agree
1.	My dog would be frozen to the spot if there was a dangerous animal in the house with him/her.	1	2	3	4	5
2.	My dog would be frozen to the spot if he/she saw a large shadow when swimming.	1	2	3	4	5
3.	My dog would run away if he/she saw a dangerous animal.	1	2	3	4	5
4.	My dog would freeze if he/she thought a something was going to attack him/her.	1	2	3	4	5
5.	My dog would freeze if he/she heard scary noises at night.	1	2	3	4	5
6.	My dog would run away from an animal if it was making her/him feel scared.	1	2	3	4	5
7.	My dog would run upstairs if there was something scary downstairs.	1	2	3	4	5
8.	My dog is careful when doing something that might hurt him/her.	1	2	3	4	5
9.	My dog would be careful when playing.	1	2	3	4	5
10.	My dog would stop what he/she was doing if he/she thought there was physical danger or he/she might hurt him/herself.	1	2	3	4	5
11.	My dog would stop what he/she was doing if he/she thought it was too risky to keep going.	1	2	3	4	5
12.	My dog worries about getting hurt.	1	2	3	4	5
13.	My dog would stop and think before going down a steep slope or sharp drop (where they would not be able to stop easily).	1	2	3	4	5
14.	My dog appears to stop and think carefully before trying out for something.	1	2	3	4	5
15.	My dog spends a lot of time trying to get better at things he/she likes doing (such as fetch/agility).	1	2	3	4	5
16.	My dog works hard to do well at the things they like doing (like playing 'find it' or 'fetch').	1	2	3	4	5
17.	My dog likes to practice something he/she likes doing.	1	2	3	4	5
18.	My dog puts in lots of effort to achieve a goal (or get what he/she wants).	1	2	3	4	5
19.	My dog wants to keep on improving (getting better) at his/her favourite things.	1	2	3	4	5
20.	My dog is interested in exploring places.	1	2	3	4	5
21.	My dog likes to do new and exciting things.	1	2	3	4	5

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RSTPQ-D questionnaire and comparison with the original child version (Cooper et al., 2017)

	RSTPQ-D	RSTPQ-Child (Cooper et al., 2017)
FFFS:		
1.	My dog would be frozen to the spot if there was a dangerous animal in the house with him/her.	I would be frozen to the spot if there was a snake or spider in the bathroom with me.
2.	My dog would be frozen to the spot if he/she saw a large shadow when swimming.	I would be frozen to the spot if I saw a large shadow when swimming in the ocean.
3.	My dog would run away if he/she saw a dangerous animal.	I would run away if I saw a spider or snake.
4.	My dog would freeze if he/she thought a something was going to attack him/her.	I would freeze if I thought a bird was going to attack me.
5.	I would say my dog would freeze if he/she heard scary noises at night.	I would freeze if I heard strange noises when in bed at night time.
6.	My dog would run away from an animal if it was making her/him feel scared.	I would run away from an animal if it was making me feel scared.
7.	My dog would run upstairs if there was something scary downstairs.	I would run back upstairs if there were no lights on downstairs.
BIS:		
8.	My dog is careful when doing something that might hurt him/her.	I am careful when doing something that might hurt me.
9.	My dog would be careful when playing.	I would be careful when playing a game or sport.
10.	My dog would stop what he/she was doing if he/she thought there was physical danger or he/she might hurt him/herself.	I would stop what I was doing if I thought there was physical danger or I might hurt myself.
11.	My dog would stop what he/she was doing if he/she thought it was too risky to keep going.	I would stop what I was doing if I thought it was too risky to keep going.
12.	My dog worries about getting hurt.	I worry about what would happen if I was hurt.
13.	My dog would stop and think before going down a steep slope or sharp drop (where they would not be able to stop easily).	I would stop and think before going down a hill on a skateboard, rollerblades, bike etc.
14.	My dog appears to stop and think carefully before trying out for something.	I would think carefully about trying out for something (e.g., sports team, school captain etc.) in case I didn't

		make it in.
BAS:		
15.	My dog spends a lot of time trying to get better at things he/she likes doing (such as fetch/agility).	I am training to be better at sport/things I like doing.
16.	My dog works hard to do well at the things they like doing (like playing 'find it' or 'fetch').	I work hard to do well at the things I like doing.
17.	My dog likes to practice something he/she likes doing.	I like to practise something I like doing so I can get better.
18.	My dog puts in lots of effort to achieve a goal (or get what he/she wants).	I put in lots of effort to achieve a goal (or get where I want).
19.	My dog wants to keep on improving (getting better) at his/her favourite things.	I want to keep on improving (getting better) at my favourite things.
20.	My dog is interested in exploring places.	I am interested in exploring places.
21.	My dog likes to do new and exciting things.	I like to do new and exciting things.

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545 **Check-list of Behaviour Problems:**

546 1. My dog barks, charges or lunges at people, dogs, other animals or certain objects

547 2. My dog growls or snarl (shows his/her teeth) at people, dogs, other animals or certain
548 objects

549 3. My dog tries to bite people, dogs, other animals or certain objects

550 4. My dog snaps or bites people, dogs, other animals or certain objects

551 5. My dog urinates where he/she shouldn't (e.g. in the house)

552 6. My dog defecates where he/she shouldn't (e.g. in the house)

553 7. My dog shakes in the presence of certain people, animals, objects or situations (e.g.
554 crowded places or loud noises)

555 8. My dog pants in the presence of certain people, animals, objects or situations (e.g.
556 crowded places or loud noises)

- 557 9. My dog tries to avoid people, other animals, objects or situations (e.g. crowded places
558 or loud noises)
- 559 10. My dog damages or destroys objects (e.g. chews shoes or carpets)
- 560 11. My dog digs holes in the garden, etc.
- 561 12. My dog shows other destructive behaviours
- 562
- 563