

1 **A novel behavioural approach to assess responsiveness to auditory and visual**
2 **stimuli before cognitive testing in family dogs**

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10 **Abstract**

11 Visual and auditory impairments can have a large impact on performance in cognitive tests. It is
12 important to evaluate the sensory capacities of dogs before enrolling them in cognitive tests, in order to
13 exclude sensory impairment as confounding effect. Therefore we designed multiple non-invasive
14 testing paradigms to detect subjects with potential auditory and visual impairment, without requiring
15 extensive training for the dog. Multiple testing was a means to add internal reliability, and to reduce the
16 risk of false positives due to habituation and previous learning or false negatives due to random errors.
17 Our sensory test battery consisted of four subtests: (1) 'Clapping' auditory test, (2) 'Recorded sound'
18 auditory test, (3) 'Distance' visual test, and (4) 'Darkness' visual test. The 'Clapping test' was similar to
19 the clapping test used by veterinarians, with the addition that the clapping was performed at various
20 distances from the dog. In the 'Recorded sound test', the dogs' reaction to various sounds played back
21 at different volumes. In the 'Distance test' we placed a small piece of food on one of four plates placed
22 on the grounds at varying distances from the dogs. In the 'Darkness test', we measured the dogs'
23 performance in walking through an S-shaped route during artificial dusk and daylight-like conditions.
24 We were able to design two standardised tests measuring dogs' responsiveness to visual stimuli and its
25 variance based on a) the distance of stimuli from the dog, and b) the lighting conditions in the room.
26 The performance in our tests requires two elements of the visual function, namely visual acuity and
27 vision in dusk. These tests should be considered for further validation, in order to evaluate their
28 usefulness as screening tools for the decline in dogs' visual function. In our behaviour tests measuring

29 the response to auditory stimuli, we found that dogs reacted similarly to different sounds. However,
30 older dogs reacted less frequently to sounds with lower decibel, suggesting that older dogs become less
31 reactive to auditory stimuli. The tests we developed are useful to identify subjects who do show a
32 behavioural reaction to the stimuli typically used in cognitive tests (rewards, small objects, barriers,
33 etc.) under various levels of artificial light. It is possible to identify the dogs' baseline level of
34 reactivity to visual/auditory stimuli before these are used in cognitive tests.

35 **Keywords:** dog, sensory testing, vision, hearing, sensory impairment

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38 **1. Introduction**

39 Ageing dogs are typically affected by a physio-pathological degeneration of the sensory
40 systems. Noticeably, recent findings suggest that impairments in sensory functions (hearing, vision,
41 olfaction) have an effect on age-related behavioral changes, as reported by dog owners (Szabó,
42 Miklósi, & Kubinyi, 2018). Age related cataract might be used as a general biomarker for life
43 expectancy in domestic dogs (Urfer, Greer, & Wolf, 2011). A study involving 240 dogs identified a
44 positive correlation between age and refractive error (ametropia) (Murphy, Zadnik, & Mannis, 1992).
45 Sclerosis of the ocular lenses (age-related cataractS) affects up to one-third of dogs older than 7 years
46 (Baumworcel, Soares, Helms, Rei, & Castro, 2009; Tobias, Tobias, & Abood, 2010). Myopic shift,
47 which likely compromises the visual function, is particularly associated with ageing in Beagles
48 (Hernandez et al., 2016). To our knowledge, only a small number of studies investigated how these eye
49 conditions affect dogs' behaviour. Parry (1953) examined 15 dogs (of various breeds and ages) and
50 found behaviour symptoms in dogs was affected by moderate and severe retinal degeneration.
51 Symptoms of retinal degeneration vary from difficulty in seeing small objects placed on the ground
52 (e.g. failing to mark a prey during gundog training, or overrunning it) to, in the most severe cases,
53 complete blindness (Parry, 1953). Garcia et al. (2010) constructed an obstacle course and reported
54 under high light density (i.e. extremely bright lighting conditions) dogs affected by achromatopsia (a
55 medical syndrome causing colour blindness) were slower in completing the course. Although the
56 condition is genetic, rather than affected by age, achromatopsia causes the individual to have blurred
57 vision at high light densities; similar symptoms are reported by humans affected by age-related
58 cataract. Thus the condition can be considered a good proxy to predict the performance of dogs
59 affected by age-related visual decline.

60 Hearing loss is another debilitating change affecting aged dogs (ter Haar et al., 2010).
61 Degenerative lesions have been observed in the cochlea (i.e. auditory portion of the inner ear) of dogs
62 as they age (onset of lesions observed between 5 and 12 years of age) and in the (cerebral) cochlear
63 nuclei of dogs over 10 years of age (Shimada, Ebisu, Morita, Takeuchi, & Umemura, 1998). Auditory
64 testing indicated that these morphological changes are accompanied by hearing loss (Johnsson, Felix,
65 Gleeson, & Pollak, 1989; Johnsson & Hawkins, 1972; Liu, Erikson, & Brun, 1996; Shimada et al.,
66 1998), which was measured from dogs' reaction to hand claps of a range of loudness (assessed using a
67 recorder) and recording brainstem auditory-evoked responses test (BAER). The BAER test detects

68 ongoing electrical activity in the brain and records via electrodes placed on the scalp, under the skin (a
69 relatively invasive procedure).

70 These studies indicate how the sensory perception of ageing dogs may be profoundly altered. It
71 is not surprising that older dogs often show difficulties in navigating the environment, recognising
72 familiar individuals, or responding to commands. A recent survey raised the possibility that such
73 symptoms might be related to the sensory decline of ageing dogs (Szabó et al., 2018). Specifically, in
74 the survey, dogs were classified based on their predicted lifespan (calculated based on size and weight)
75 as ‘adult’ (up to 50% of their predicted lifespan), ‘mature’ (50% to 75% of their predicted lifespan),
76 ‘senior’ (75-100%), and ‘geriatric’ (> 100%, i.e. they outlived their expected lifespan). According to
77 these data (Szabó et al., 2018), 14% of Hungarian owners of adult dogs reported that their dog had
78 visual and/or acoustic impairment, 11% indicated ‘probably yes’, 15% ‘probably no’, while 60%
79 reported that their dog definitely had no sensory impairment. However, in the oldest age group
80 (geriatric), the proportion of definitely unaffected dogs was only 10%, meaning that nearly 90% of the
81 dogs’ owners reported some degree of sensory impairment. Such numbers suggest that cognitive
82 ageing researchers should be screening for sensory capacity before any cognitive tests.

83 While some instrumental tests exist (e.g. retinoscopy, BAER test), these are relatively expensive
84 and uncomfortable for the animal; thus, in the veterinary field, they are only used if the loss of function
85 is already suspected, rather than for screening. Non-instrumental screening of sensory function is
86 performed in veterinary medicine by looking for the presence of specific behavioural reflexes, such as
87 the menace reflex and the orientation reflex. One limitation of such non-instrumental tests is that they
88 do not provide indications on the degree of functional loss that affects the animal, rather they tell
89 whether the function under exam is likely to be either somewhat present or lost completely. Over the
90 years, some experimental (i.e. laboratory) methods have been developed to provide more subtle
91 measures of dogs’ sensory functions. However, they either required extensive training or they employ
92 methods detrimental to the animal’s welfare. For example, earlier attempts were made to design
93 audiometry exams of dogs, i.e. they measured the animals’ response to auditory stimuli that varied
94 systematically in their tone (Hz) and intensity (dB). In order to elicit reliable behaviour responses to the
95 stimuli, the experimental paradigms were based on conditioning with electric shock (Anderson &
96 Wedenberg, 1968), increased room temperature to elicit panting (Van Der Velden & Rijkse, 1976) or
97 required prolonged and extensive training (Culler, Finch, Girden, & Brogden, 1935; Lipman & Grassi,

98 1942). While these tests provide precise information, and have been extensively used in the past in
99 laboratory settings, due to the ethical implication or the length of the pre-training required (days or
100 weeks), these are not feasible alternatives for routine screening.

101 We were interested in tests that could be effectively used to assess the sensory function
102 (specifically vision and hearing) of dogs of various ages. The first step to this process was to design
103 paradigms that could detect a variance in the behavioural response of animals, as this could reflect loss
104 of function. Once this is established, future research should further validate the relationship between
105 the response to these tests and the loss of function. In our study, a form of internal validation was
106 provided through the triangulation of (1) a battery of tests that measured dogs' behavioural responses
107 to auditory and visual stimuli (sensory tests) with (2) a veterinary examination and (3) a report from
108 dog owners in relation to their dogs' sensory abilities (vision, hearing). The sensory tests did not
109 require any formal training or special equipment.

110 It was expected that the overall score of the sensory tests, the owners' score, and the veterinary
111 exam's score would correlate positively while the age would correlate negatively with performance. It
112 was also expected that the different conditions of the sensory tests would provide various levels of
113 difficulty for the dogs.

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115 **2. Ethical statement**

116 The procedures comply with national and EU legislation and institutional guidelines. In
117 Hungary, according to Hungarian legislation and the corresponding definition by law ('1998. évi
118 XXVIII. Törvény' 3. §/9. — the Animal Protection Act), non-invasive studies on dogs are currently
119 allowed to be performed without the requirement of any special permission. Owners provided written
120 consent to their dogs' participation. Our Consent Form was based on the Ethical Codex of Hungarian
121 Psychologists (2004). We took special care to ensure that the consent process was understood
122 completely by the owners to allow their dog to participate. In the Consent Form, owners were informed
123 about the identity of the researchers, the aim, procedure, location, expected time commitment of the
124 experiment, the handling of personal and research data, and data reuse. The information included the
125 owner's right to withdraw their consent at any time. Owners could at any point decline to participate
126 with their dog and could request for their data not to be used and/or deleted after collection. The study

127 was performed in accordance with the recommendations in the International Society for Applied
128 Ethology guidelines (www.applied-ethology.org) for the use of animals in research.

129

130 **3. Methods**

131 **Participants**

132 A sample of 53 dogs was included in the study ($Mdn_{age} = 11$ years, range 1.5 – 16; 28 males
133 and 25 females). Inclusion criteria for the dogs were to be comfortable in the testing environment; if
134 the dog showed signs of fear or anxiety (e.g. excessive salivation, panting, tucked tail, cowering,
135 hiding, growling or barking at the experimenters) or they were unable to relax within few minutes from
136 their arrival in the laboratory, were excluded from testing. All dogs were privately owned and dog
137 owners were recruited through social media.

138

139 **Procedure**

140 We obtained data from 3 sources:

141 (1) *Owner assessment*. Owners provided an overall assessment about their dogs' vision and
142 hearing function based on two 9 points Likert scales (one for vision and the other for hearing), where
143 the score 1 was given to blind or deaf animals and 9 indicated perfect sensory abilities. These scores
144 aimed to provide a quantitative measure of the owners' opinion about the dog's sensory abilities.

145 (2) *Veterinary assessment*. The veterinary assessment consisted of a physical examination by
146 a veterinary surgeon of the eyes and the ears, and standard veterinary tests for vision and hearing (i.e.
147 cotton ball test, menace test, and clap test). The "cotton ball test" (Gelatt, 1998) was performed by
148 dropping a piece of cotton from above the dog and at the edge of the of the dogs' field of view to assess
149 the presence / absence of a voluntary behavioural response, indicating that the dog was following the
150 movement of the object (i.e. eye movement or head movement). Care was taken to avoid producing
151 noises or hair movements while dropping the cotton ball. The "menace test" was performed on each
152 eye of the dog by quickly moving a hand in front and towards one eye of the dog, so to induce a blink
153 reflex, while the other eye was shielded with the other hand. Care was also taken to avoid touching the
154 dogs' hair or move the air in front of the eye. The "clap test" was performed by standing approximately
155 2 meters behind the dog and clapping once or twice; an orientation reflex (the dog turning around) or
156 Preyer's reflex (the dog moving the pinnae of its ears towards the source of the sound) was recorded.

157 At the end of the assessment, the veterinary surgeon provided two scores, one for vision and one for
 158 hearing, on a 9 points Likert scale identical to the one used by the owner.

159 (3) *Sensory tests*. As we were designing a screening procedure, the sensory tests were
 160 administered to all adogs in the same order and in identical conditions. The test order was (i)
 161 ‘Clapping’ auditory test, (ii) ‘Recorded sound’ auditory test, (iii) ‘Distance’ visual test, (iv) ‘Darkness’
 162 visual test (Table 1). We decided to follow the same order for all dogs as we were designing a
 163 screening procedure, thus we aimed to expose all participants to the same identical conditions. The
 164 carryover effect of the tests was unknown; therefore, we decided that the use of a fixed order would
 165 equally affect all dogs.

166 All experiments were performed indoor, in a room (5 m x 2.5 m) of the Department of
 167 Ethology, Eötvös Loránd University.

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Table 1. Sensory tests and scoring

(i) ‘Clapping’ auditory test	Scores:		
	0 – no reaction 1 – ears movement, head orientation		
(ii) ‘Recorded sound’ auditory test	Trials (distance between the experimenter and the dog):		
	1 – 4 meters		
	2 – 2 meters		
	3 – less than 0.5 meter (right behind the dog without touching it)		
(ii) ‘Recorded sound’ auditory test	Trials:	Volume levels:	
	1 – unspecified dog crying		1 - 50 dB
			2 - 58 dB
			3 - 68 dB
			4 - 78 dB
	2 – Border Collie dog barking		1 - 45 dB
			2 - 55 dB
			3 - 65 dB
			4 - 73 dB
	3 – plate crashing		1 - 41 dB
		2 - 48 dB	
		3 - 58 dB	
		4 - 67 dB	
4 – Chihuahua dog yelping and whining		1 - 53 dB	
		2 - 63 dB	
		3 - 71 dB	
		4 - 81 dB	
5 – siren		1 - 59 dB	

	<p>2 - 69 dB</p> <p>3 - 73 dB</p> <p>4 - 85 dB</p>
	<p>1 - 45 dB</p> <p>2 - 55 dB</p> <p>3 - 63 dB</p> <p>4 - 73 dB</p>
	<p>6 – Basset hound dog baying</p>
(iii) ‘Distance’ visual test	<p>Scores:</p> <p>0 – dog visits first a non-baited plate or does not find the treat in 5 seconds</p> <p>1 – dog goes directly to the baited plate and eats within 5 seconds</p>
	<p>Trials (distance between the plate and the dog):</p> <p>1 – 0 meters (plate is right in front of the dog)</p> <p>2 – 0.5 meters</p> <p>3 – 1 meter</p> <p>4 – 1.5 meters</p> <p>5 – 2 meters</p>
(iv) ‘Darkness’ visual test	<p>Scores:</p> <p>0 – dog touches the obstacles or takes longer than 10 seconds</p> <p>1 – dog does not touch the obstacle and takes between 5-10 seconds</p> <p>2 – dog does not touch the obstacle and takes less than 5 seconds</p>
	<p>Trials:</p> <p>1 – obstacle on the right with dark condition</p> <p>2 – obstacle on the left with dark condition</p> <p>3 – obstacle on the right with light condition</p> <p>4 – obstacle on the left with light condition</p>

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(i) ‘Clapping’ auditory test

175 This test aimed to measure the variation in dogs’ response to clapping performed at various
176 distances. As it is difficult to regulate the loudness of a clap, we attempted to maintain the loudness of
177 the clap as constant as possible while varying the distance of the experimenter from the dog. As it
178 happens with the test commonly performed during clinical examinations, there is no guarantee that the
179 clapping was identical between trials. In veterinary practice, dogs that consistently fail to show a clear
180 response through repeated trials and decreasing distances, are suspected to have hearing decline or
181 deafness and may be referred for instrumental evaluation).

182 During our test, the owner sat on a chair and held the dog while the dog was sitting on the
183 ground in front of him/her, so that the dog was looking towards the owner (Fig. 1, top picture). As the
184 experimenter stood in front of the owner, the dog was facing away from the experimenter and,

185 therefore, could only hear but not see her. The experimenter clapped her hands once. The presence or
186 absence of a reaction of the dog was live coded. A reaction was defined as 1) a movement of the pinnae
187 of the ear towards the noise (Preyer's effect), 2) if the dog was panting, as holding the breath (reported
188 by the owner), 3) head orientation towards the noise (orienting reflex; Fig. 1, bottom picture). If the
189 dog did not react to the first clap, the experimenter clapped once more, then the trial was over. The trial
190 was repeated 3 times, once at each distance. Distances were: 4 meters away from the dog-owner dyad,
191 2 meters away, and within 1 meter. The dog was given a 0-1 score based on presence (score 1) or
192 absence (score 0) of a reaction (Table 1).



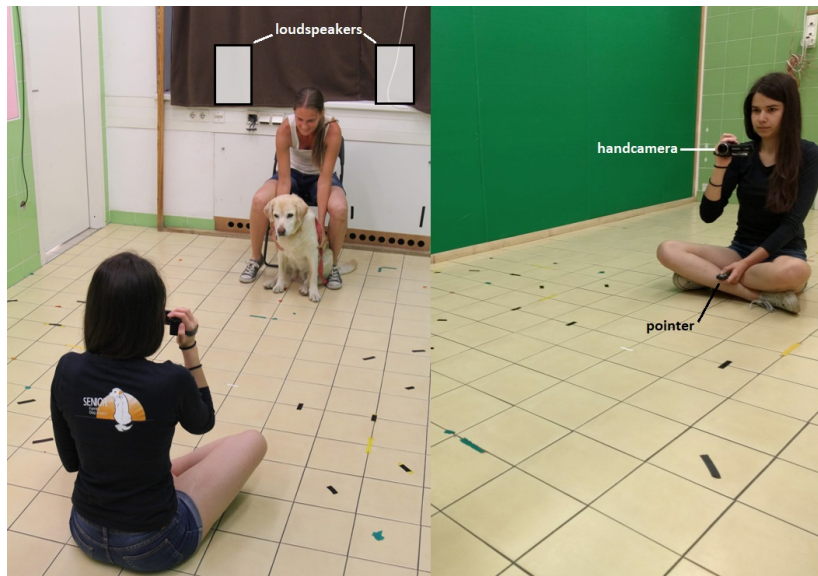
193
194 **Fig. 1. Clapping test. Set up of the test (top picture) and example of a reaction from the**
195 **dog (bottom picture).**

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197 **(ii) 'Recorded sound' auditory test**

198 A chair was placed in the room between the loudspeakers of a built-in audio system (Fig. 2). A
199 set of 2 Technics SB-M300M2 loudspeakers were placed on a shelf behind the dog-owner dyad,
200 connected to a PC set to maximum volume. The PC was not placed in the room, but could be

201 controlled using a remote. During the test, the owner was sitting on the chair and held the dog in front
202 of him/her, so that the dog could see the experimenter, who was sitting on the ground in front of dog-
203 owner dyad, while holding a video camera and the pointer.
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205
206 **Fig. 2. Recorded sounds. Set up of the dog, owner, and experimenter (left picture) and**
207 **camera (right picture).**

208
209 Before playing each sound, the experimenter directed the dog's attention towards herself by
210 calling the dog, clapping or waving her hands. Once the dog looked at the experimenter, she started a
211 power point presentation with embedded sounds. A set of 6 recorded sounds was played in the
212 following order: 1) an unspecified dog whining, 2) a Border Collie barking, 3) the noise of a plate
213 crashing, 4) a Chihuahua yelping and whining, 5) a siren, and 6) a Basset Hound baying. All sounds
214 were set up to play at four increasing levels of loudness (the levels were obtained with the software
215 Audacity 1.3 Beta; details are in Table 1). For each sound, the software played the recording for 5
216 seconds consecutively, each time at a different volume, starting from the lowest volume up to the
217 loudest one. Before each sound playback, there was a silent slide for the experimenter to call the dog. If
218 the dogs reacted to the sound, the experimenter stopped the slides and played the following sound thus
219 skipping the remaining (louder) volume levels.

220 For each sound, we recorded the volume the dog where showed the first reaction (see volume
221 levels in Table 1). If the dog did not react to a sound at any volume, we marked it as 'censored data'

222 for the survival analysis (described in the statistical analysis paragraph) and we gave an arbitrary 100
223 dB as the maximum volume level. A reaction was defined as a movement of the pinnae of the ear
224 towards the noise, holding breath (if the dog was panting), or head orientation towards the noise. The
225 dog's reaction was recorded with a hand camera held by the experimenter.

226 In order to obtain an overall score for this test, we scored trials based on the volume (in dB) of
227 the stimuli at first reaction:

- 228 - $40\text{dB} < \text{reaction} \leq 45 \text{ dB}$: 6 points,
- 229 - $45\text{dB} < \text{reaction} < 55\text{dB}$: 5 points,
- 230 - $55 \leq \text{reaction} < 60$: 4 points,
- 231 - $60 < \text{reaction} < 70$: 3 points,
- 232 - $70 < \text{reaction} < 75$: 2 points,
- 233 - $75 < \text{reaction} \leq 85$: 1 point,
- 234 - No reaction: 0 points.

235 This scoring system yielded a points-range between 0 (i.e. no reaction in any of the 6 trials) and 32 (i.e.
236 the dog always reacted to lowest volume) . Subsequently, we normalized this score for further
237 comparisons using the following formula: $X_{\text{normalized}} = X - X_{\text{min}} / (X_{\text{max}} - X_{\text{min}})$.

238 At the time of statistical analysis it was decided *post hoc* to include only the playback test, as this was
239 the only test where we could adequately control the volume of the stimuli. We therefore excluded the
240 clap test from further analysis, as the experimenter may not have clapped with the same intensity each
241 time (datasets including the clapping test are available upon request from the authors).

242
243 **(iii) 'Distance' visual test**

244 The test set up with a chair at one side of the room. The owner was asked to sit on the chair
245 while holding the dog in front of him/her, so that the dog was to face the rest of the room. Four
246 identical white plates (diameter 20 cm) were placed on the floor in front of the chair at 4 pre-
247 determined distances from the chair, i.e. 0.5m, 1m, 1.5m, and 2m (Fig. 3).

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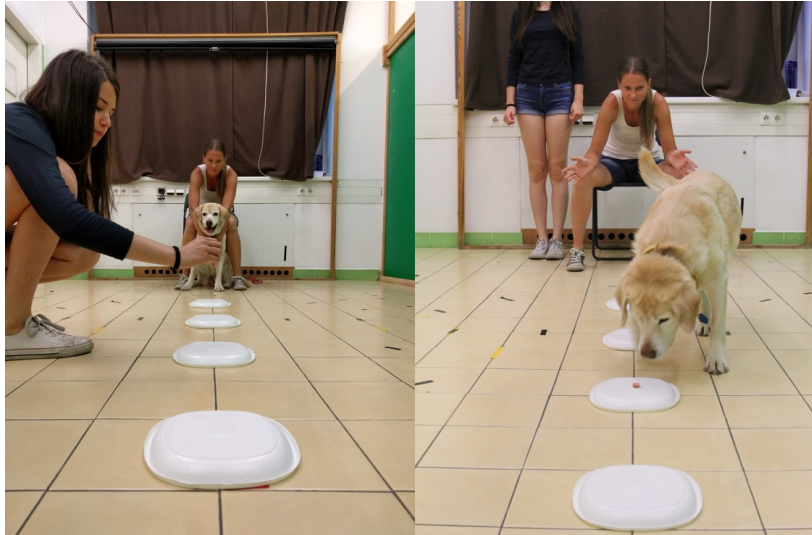


Fig. 3. Distance test. Positioning of the food (picture on the left),

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The task, based on the paradigm by Parry et al. (1953), measured the dog's efficiency in locating a small object (a piece of frankfurter) on a single plate from varying distances. The linear placement of the plates prevents side biases and reduce the overall space required for the test. It is known that sniffer dogs trained for explosives' detection are more prone to rely on olfactory signals and visibility does not affect their performance (Gazit & Terkel, 2003). However, research indicates that, in similar situations, untrained family dogs do not rely on olfactory cues, but rather on visual cues, even when relying on olfaction would be more successful (Polgár, Miklósi, & Gácsi, 2015; Szetei, Miklósi, Topál, & Csányi, 2003). For this reason, the dogs in the current study were not expected to follow the smell of the food. All plates were rubbed with test food to avoid possible odour confounders. Prior to the test, the experimenter offered the dog a piece of the food to ensure that the dog was motivated to eat it. If the dog did not eat the food they were excluded from the test. At the beginning of each trial, the experimenter placed a piece of food on one of the four white plates, according to a pre-determined order, making sure the dog was watching and calling dog's name if necessary. The experimenter then walked up to the dog-owner dyad and stood next to them, this was the cue for the owner to release the dog. The dog had 5 seconds to find the food and eat it. If the dog did not go towards the food straight away, the owner was allowed to encourage the dog verbally but was asked to avoid gestures directing the dog towards any specific location (e.g. pointing at the plate). Once the dog ate the food, the trial ended. The trial was repeated 4 times: on the first trial the food was placed on the plate positioned 0.5 meters from the dog; during trial 2 the food 1 meter away from the

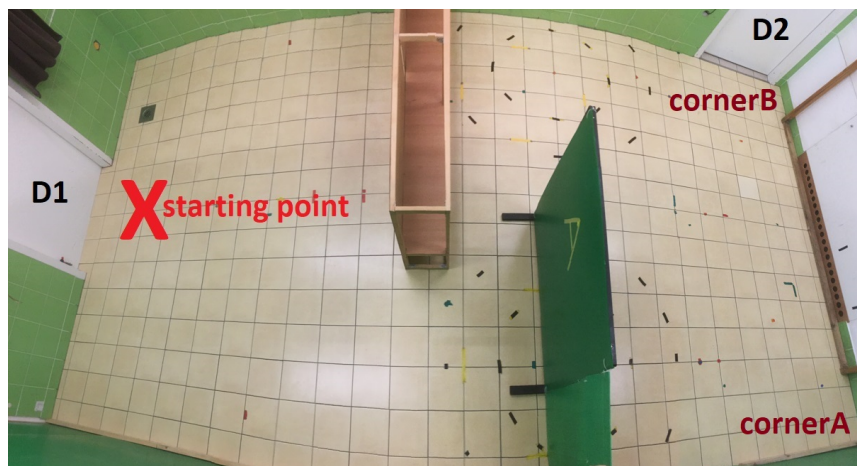
271 dog; in trial 3 the food was 1.5 meters away; finally in trial 4 the food was 2 meters away. During each
272 trial, the experimenter gave a binary score based on whether the dog walked directly to the baited plate
273 within the 5 seconds (1 point), or not, i.e. it did not walk directly to the baited plate or took more than 5
274 seconds (0 points).

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276 **(iv) 'Darkness' visual test**

277 There were two doors on two opposite sides of the room (Fig. 4, D1 and D2). The doors were
278 also connected through an external corridor. Therefore, it was possible to move from one corner of the
279 room to the other by walking along the external corridor, rather than across the room. Two opaque
280 barriers, a light brown wooden barrier (200 cm wide and 75 cm high) and a dark green plastic barrier
281 (140 cm wide and 100 cm high), were placed roughly halfway across the room. Each barrier was
282 aligned to one side of the room; one barrier was slightly closer to the owner (who stood at corner A or
283 B, see later) while the other was closer to the opposite side of the room (starting point). The placement
284 of the two barriers created an S-shaped course within the room, so that the dogs needed to be able to
285 see the barriers in order to avoid them as it walked across the room. The setup was similar for all
286 subjects: they had to walk from the starting point to corner A when the gap was on the left hand-side
287 and to corner B when the gap was on the right hand-side. The distance between the barriers was
288 calculated based on the dog's body size: specifically, the gap was wide just enough for the dog to pass
289 through it.

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292 **Fig. 4. The obstacle of the ‘Darkness’ visual test.** Two opaque barriers created an S-shaped
293 course in the room. D1 and D2 are the doors through which the owner changed positions. Corner A and
294 corner B are the starting positions of the owner. Starting point is the dog’s starting point.

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296 After the “Distance” visual test the dog was given a short break, while the experimenter set up
297 the room; then the “Darkness” visual test began. The experimenter held the dog by the collar while
298 standing at the starting point (Fig. 4). The owner left the room, walked through the external corridor
299 and reached corner A. The owner then called the dog’s name and the experimenter let the dog go. The
300 upper body of the owner was visible to the dog; if necessary, the owner could clap, wave, and call the
301 dog repeatedly in order to obtain the dog’s attention. Once the dog reached the owner, the owner
302 rewarded it with a piece of frankfurter and walked it back to the starting point, which ended the trial.
303 At this point, the experimenter shifted the two barriers across the room, so that the gap was on the
304 opposite hand-side of the room (i.e. if during the first trial the gap was on the left hand-side, it was now
305 on the right hand-side; Fig. 4) and the trial was repeated. We repeated trials four times: the first two
306 trials (one for each side) were performed with the light of the room switched off to recreate dusk
307 lightening conditions; during the following two trials, the light was on. An EuroVideo EVC-TG-
308 IC380A28 video-camera, placed on the ceiling opposite to the starting point, was used to record the
309 trials in the dark condition. Not all tests were performed at the same time of the day, therefore, when
310 the test was performed during the day, the laboratory room’s windows were blinded to block light and
311 heat coming from outside, creating a dusk-like lighting condition. When tests were performed after
312 sunset, the laboratory room’s door was kept slightly ajar to maintain the lighting conditions as
313 consistent as possible. Light intensity (luminance) was measured to be 4 lux in the dark condition and
314 770 lux in the light condition. Each trial was coded from video and dogs were given a score based on
315 their ability to move through the barriers: the dog walked across the course without touching the
316 barriers and within 5 seconds (score 2); the dog walked across the course without touching the barriers
317 between 5 and 10 seconds (score 1); the dog touched the barriers or crossed the course after the 10
318 seconds mark (score 0).

319

320 **4. Statistical analysis**

321 Results were analysed using the statistical software R (R Development Core Team, 2015), with
322 the packages: “reshape2” (Wickham, 2007), “Hmisc” (Harell & Dupont, 2016), “RVAideMemoire”
323 (Hervé, 2017), “corpcor” (Schäfer et al., 2017), “survival” (Therneau, 2015), “survminer”
324 (Kassambara, Kosinski, Biecek, & Fabian, 2018), “ordinal” (Christensen, 2019).

325 We performed correlations and analysis of variance tests. As some of the data were not
326 normally distributed, non-parametric tests were used. In the presence of multiple comparisons, *p*-values
327 of post-hoc tests were adjusted with the Benjamini & Hochberg method (Benjamini & Hochberg,
328 1995). Cochran's Q test was used with the scores of the ‘Clapping’ and the ‘Distance’ tests to assess the
329 effect of distance. For the ‘Darkness’ tests, the scores were analysed with Cumulative Link Mixed
330 Model to assess the effect of conditions (lighting conditions, different sides) and age in years.
331 Correlations between the overall scores were assessed using the Spearman rho test.

332 Since right censoring occurred during the ‘Recorded sound’ test, survival analysis was used. To
333 compare the volume level (dB) corresponding to the first reaction Kaplan Meier estimates were used;
334 we analysed both the effect of the volume and age, an age group was included as main factor. To
335 compare younger and older subjects in this analysis, we created two groups: dogs up to 10 years of age
336 (N=13) and dogs over 10 years (N=13). Mixed Effects Cox Regression Models were used to analyse
337 the effects of volume level (dB) and age group (old vs young) on the score. Therefore trial order and
338 age group were included in the model as main factor, while subjects were included as random factors to
339 control for repeated testing .

340

341 **5. Results**

342 Dogs with missing data were excluded from the corresponding test’s analysis. Therefore, the
343 number of dogs included in the test has been specified separately for each analysis. We used
344 normalized scores of the dogs’ performance/evaluation for calculating correlations. As described in the
345 Analysis section, the scores were normalized using the following

346 formula: $X_{\text{normalized}} = \frac{X - X_{\text{min}}}{(X_{\text{max}} - X_{\text{min}})}$.

347

348 For the assessment of response to visual stimuli, Spearman *rho* test indicated a very strong
349 positive correlation between the veterinary exam and the owner’s assessment; the test had a strong
350 positive correlation both with the owner’s assessment and the veterinary exam ($N = 10$, $\text{Mdn}_{\text{vet}} = 87\%$,

351 $Mdn_{Owner} = 75\%$, $Mdn_{Test} = 85\%$; $r_{Vet-Owner} = 0.88$, $p = 0.002$; $r_{Vet-Test} = 0.77$, $p = 0.010$, $r_{Test-Owner} = 0.73$,
352 $p = 0.016$).

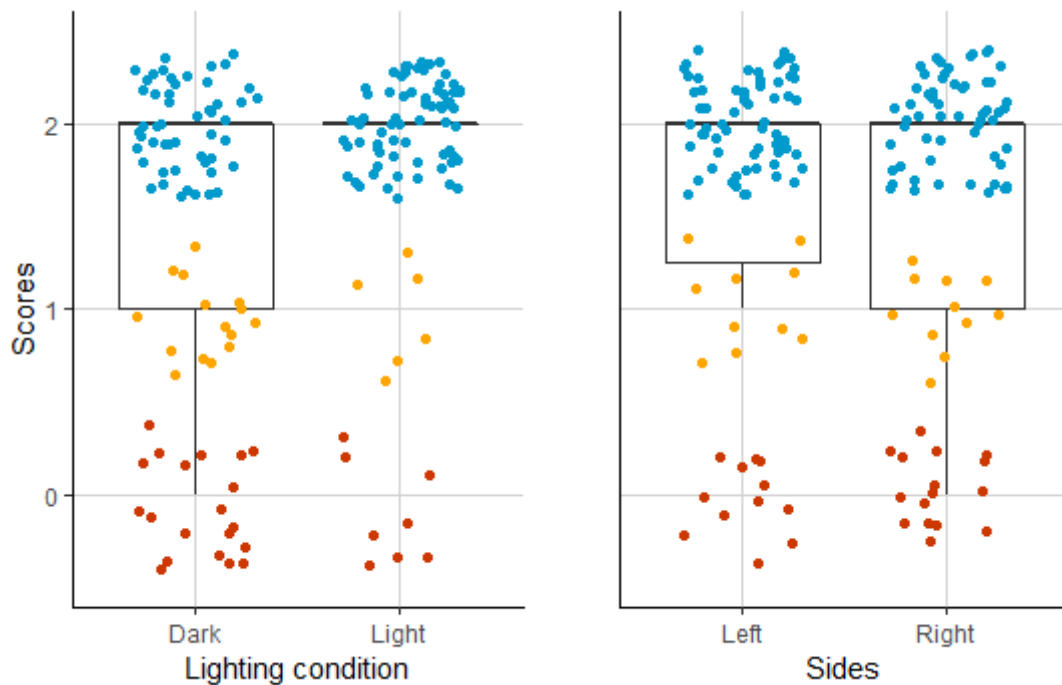
353

354 Cochran's Q indicated a significant difference across conditions (distances) in the scores of the
355 'Distance' test ($N = 47$; percentages of successful dogs: 0 m = 98%, 0.5 m = 91%, 1 m = 74%, 1.5 m =
356 55%, 2m = 40%; $Q = 66$, $df = 4$, $p < 0.001$).

357 For the 'Darkness' test Cumulative Link Mixed Model (LR test: $\chi^2(3) = 42.184$, $p < 0.001$)
358 indicated that dogs performed significantly better in the light, compared to the dark condition, and also
359 on the left, compared to the right side ($N = 43$; $\beta \pm SE: \beta_{Lighting} = 3.731 \pm 0.001$, $z = 2720.4$, $p < 0.001$;
360 $\beta_{Side} = -1.060 \pm 0.001$, $z = -777.5$, $p < 0.001$; Fig. 5), as well as younger dogs performed better, than
361 older dogs ($\beta_{Age} = -0.665 \pm 0.001$, $z = -470.7$, $p < 0.001$).

362

363



364

365

Fig. 5. Box-plots for the 'Darkness' test. The scores could be 0, 1 and 2. For visualization a

366 random jitter was added to avoid overstacking; the colour represents the aggregated score value for

367 each individual dog (red = 0, yellow = 1, blue = 3).

368

369 For the hearing tests, Spearman *rho* test indicated no significant correlation between the

370 veterinary and owners scores, but there was a significant positive correlation between owners scores

371 and the behaviour tests ($N = 10$, $Mdn_{Vet} = 100\%$, $Mdn_{Owner} = 75\%$, $Mdn_{Test} = 94\%$; $r_{Vet-Owner} = 0.04$, $p =$

372 0.912, $r_{\text{Vet-Test}} = 0.22$, $p = 0.547$; $r_{\text{Test-Owner}} = 0.59$, $p = 0.001$). Dog age in years also negatively
373 correlated with the playback test scores ($N = 26$; $r_{\text{Test-Age}} = -0.67$, $p < 0.001$).

374

375 The scores of the 'Clapping' test were identical in all conditions, i.e. the distance between the
376 dog and the noise source did not affect the dogs' response ($N = 18$; Success rate for all conditions =
377 83%).

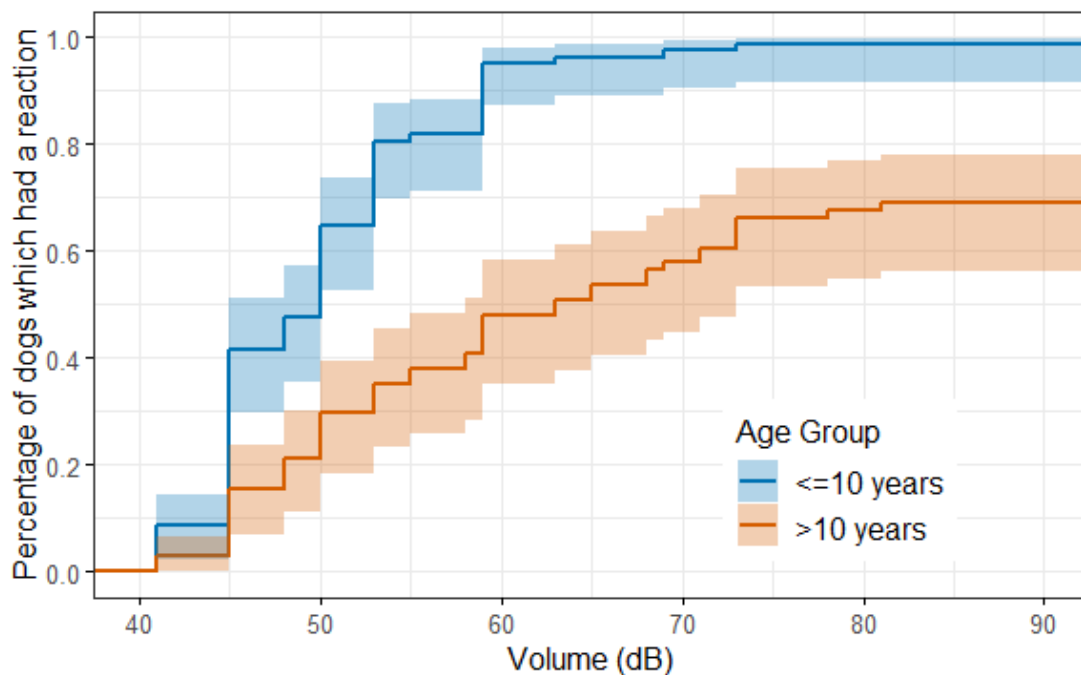
378

379 A log-rank test showed that dogs over 10 years ($N = 13$) responded at higher volume levels
380 (Chi $X_2 = 44.7$ $p < 0.001$; Young: (95% CI: 45 dB; 50 dB), Old: (95% CI: 58 dB; 73 dB)) (Fig. 6).

381

382 We tested whether age groups and trial number influenced at what volumes the dogs first
383 reacted to the sounds via a Mixed Effects Cox regression model. The cumulative hazard results of the
384 Cox regression showed a significant hazard decrease ($\exp(\beta) < 1$) as the trials progressed of -2.87
385 ($\exp(\beta) = 0.84$, 95%CI=(0.80-1.00), $p = 0.004$), and a significant hazard decrease for the group over 10
386 years of -3.47 ($\exp(\beta) = 0.19$, 95%CI=(0.17-0.39), $p < 0.001$). An $\exp(\beta)$ below 1 for these factors
387 suggests a higher volume required to elicit reaction.

387



388

389 **Fig. 6 Survival plot for the 'Recorded sound' test.** On X axis the dB of the sounds is

390 reported; on Y axis, the percentage of dogs that already had a reaction at a given dB level. For

391 example, at a 60 dB volume more than 90% of dogs below 10 years reacted, while about 50% of dogs
392 above 10 years reacted.

393

394 **6. Discussion**

395 In this study, we sought to develop behaviour tests that could separate subjects based on their
396 performance in tasks that relied on auditory and visual skills. Our aim was to develop non-invasive
397 screening tests, that do not require extensive training, to detect subjects with potential visual and/or
398 acoustic impairment. We found that the behaviour tests we used to investigate the response to auditory
399 stimuli were not as informative as expected, i.e. as a group, dogs reacted similarly to different sounds
400 and the volume has not affected their behaviour. However, fewer older dogs reacted to sounds with
401 lower decibel. It is possible that this is due to overall reactivity to stimuli, or that the relationship
402 between age and hearing decline is not linear, and thus is masked when young and old dogs' data are
403 analysed together. In case of the 'Clapping' test, nearly all dogs performed at ceiling. For some of the
404 dogs hearing decline was reported by the veterinary surgeon and/or the owner. Thus possibly our
405 behaviour test was not sensitive to mild hearing impairment. However, the assessments of owners and
406 veterinary surgeons did not correlate with each other either. One plausible explanation for this lack of
407 correlation is that scores may not measure the same phenomenon. For example, it is possible that
408 owners interpreted a generally decreased responsiveness to external stimuli because of impaired
409 auditory function. Alternatively, it is possible that during their daily life dogs rely more on visual cues,
410 rather than auditory cues. It should be noted that the sample size of the dogs that completed the
411 auditory testing in this study was low, therefore, the implication of these results should be taken
412 carefully.

413 In the 'Distance' test, dogs' performance decreased when the dogs were required to find an
414 object placed 2 meters away, compared to a close by object (0 or 0.5 meters). Similarly, dogs'
415 performance in the obstacle course (Darkness test) was worse when this was in the dark rather than in
416 the light. Furthermore, the overall "owner", "veterinary" and "sensory tests" scores all positively
417 correlated with each other for vision, this consistency suggests that the three measures regard indeed
418 about the same phenomenon. Dog owners also appear to be fairly reliable about subjectively
419 recognising the decline of their dog's performance relying on vision. In the case of visual stimuli, our
420 behaviour tests were also able to provide information about performance related to two phenomena, not

421 specifically addressed by veterinary examinations, i.e. distance and luminosity. One study used a
422 similar protocol to our “Darkness test” to investigate vision impairment under different lighting
423 conditions (Garcia et al., 2010). This study focused on changes in speed, therefore required a relatively
424 long course (at least 3 meters); our focus on contact with the obstacle allowed us to shorten the obstacle
425 course. Moreover, the study by Garcia et al. (2010) focused only on a genetic condition
426 (achromatopsia), which affects vision under bright lights. Thus, we present the first study relying on
427 the vision function under everyday conditions (i.e. day or room light and dusk), where the performance
428 is affected by any form of decline in vision, including age-related changes. Our test can therefore be
429 considered relevant for a wide range of individuals and further validations should be sought in the
430 future.

431 We believe that the possibility that dogs relied on their olfaction during the “Distance” test was
432 adequately controlled for by the test design. However, it is not impossible that some of the dogs had a
433 worse performance in our visual studies due to reasons other than sensory impairment, such as lack of
434 interest in the tasks. To ensure that the tasks really measure vision acuity, it is necessary to validate the
435 current task with a full ophthalmological examination and electrophysiological measures indicating, for
436 example, the presence of refractive errors. We stress that, at present, these results should be interpreted
437 as measures of responsiveness to visual stimuli. Moreover, we cannot fully exclude an order effect, as
438 the test started with easiest condition (food placed on the closest location) and ended with the most
439 difficult condition (food placed on the most distant location). Nevertheless, the dogs were motivated to
440 find and eat the food for the duration of the test, suggesting that motivation should not have impacted
441 their performance. It is also important to consider that, in the case of the "Distance visual test", the
442 actual distance between the dog and the plates was affected by the size of the dog, as a larger dog is
443 closer to the plates. Similarly, based on a dog's height, the angle at which the dog can see the plates can
444 be different, too, which can cause difference in their performance (e.g. Helton & Helton (2010)
445 reported that larger dogs are more successful in following human visual gestures). There is also a link
446 between head shape and the distribution of retinal ganglion cells, the cells form a horizontally aligned
447 visual streak in longer headed dogs, while a strong area centralis in shorter headed dogs (McGreevy,
448 Grassi, & Harman, 2004). Therefore shorter headed dogs might have been more successful in
449 following human visual gestures (Gácsi, McGreevy, Kara, & Miklósi, 2009), might have paid more
450 attention to projected faces (Bognár, Iotchev, & Kubinyi, 2018) and might have formed eye contact

451 sooner with humans (Bognár et al., in prep), than longer headed dogs. Most of the dogs in our test were
452 medium sized and medium headed, we had only a few small or large dogs, and only a few short or long
453 headed dogs, thus the individual dog's size and head shape effect could not be statistically analysed in
454 this study and the role of head shape should be investigated in further research.

455 We could not design a test where the dogs' response related to the decibels of the auditory
456 stimuli, although age affected the response to decreasing decibels. Age may have a role on
457 responsiveness to auditory stimuli but we cannot exclude causes unrelated to hearing. For example,
458 older dogs might have less interest in certain stimuli. In fact, ecologically relevant stimuli yielded
459 variable reactions in dogs: these might depend on the valence of the sound, the pitch, or individual
460 differences in reactivity. Moreover, previous research showed that decline in the hearing function
461 occurred very late in the life of the dogs (above 13 years of age, Shimada et al., 1998). Therefore, it
462 may simply be that dogs in our sample were not severely affected by hearing decline.

463 Previous findings showed that the duration of behavioural orientation towards the source of a
464 recorded conspecific vocalisation declines with repetitions of the same recording and may be increased
465 by playing a vocalisation from a different social context (Molnár, Pongrácz, Faragó, Dóka, & Miklósi,
466 2009). Therefore, close presentation of varying sounds should be a successful approach to elicit
467 spontaneous stimulus-orienting behaviours in dogs, as they may quickly habituate to non-social,
468 mechanical sounds, irrespectively of their nature (Maros et al., 2008; Molnár et al., 2009). Such
469 habituation may potentially lead to lack of behavioural responses in a situation where mechanical
470 sounds are presented repeatedly to dogs, even if the type of sound is changed.

471 We were able to design two non-invasive standardised tests, which do not require training, to
472 measure dogs' responsiveness to visual stimuli and its variation based on a) the distance of the stimuli
473 from the dog, b) the lighting conditions. The ability to measure vision-based performances is
474 particularly important because performance in such tests requires that two elements of the visual
475 function are intact, namely visual acuity and vision in dusk. Therefore, these findings indicate that the
476 vision tests should be considered for further validation, in order to evaluate their usefulness as
477 screening tools for the decline in dogs' visual function. These tests may have wider implications for the
478 welfare of dogs, as these are simple behaviour procedures that dog owners, dog trainers, staff at dog
479 shelters could routinely perform to red-flag dogs with potential sensory impairment. Following further
480 validation, the tests could be used for monitoring the sensory decline of ageing dogs. For example, the

481 performance of the vision tests could be compared to the results of a retinoscopy performed on the
482 same subjects, a technique to obtain an objective measurement of the refractive error of a patient's eyes,
483 also known as near-sightedness, far-sightedness, astigmatism, and presbyopia. Results of the auditory
484 tests could be validated through comparison with an audiogram (i.e. audible threshold for standardized
485 frequencies as measured by an audiometer) produced by a BAER test.

486 In conclusion, sensory testing is essential before cognitive assessments. We stress that
487 cognitive and behaviour researchers should routinely query owners regarding the visual impairments of
488 their dogs before cognitive testing, especially with at risk populations (e.g. ageing dogs). When
489 available, behaviour tests should also be employed in order to obtain baseline response levels to stimuli
490 that share physical properties similar to those used in subsequent cognitive tests.

491

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494

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499

500 **Compliance with Ethical Standards**

501 Ethical approval: All applicable international, national, and institutional guidelines for the care and use
502 of animals were followed. All the procedures were in line with the guidelines of the International
503 Society for Applied Ethology.

504

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