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9 **Segmental duplications are hot spots of copy number variants affecting barley**  
10 **gene content**

11

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27 **Running title**

28 SDs are hot spots of gene CNVs in barley

29

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30 **Keywords**

31 Barley, Copy number variants, Segmental duplications, Exome sequencing

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34 **Summary**

35 Copy number variants (CNVs) are pervasive in several animal and plant genomes and  
36 contribute to shaping genetic diversity. In barley, there is evidence that changes in gene  
37 copy number underlie important agronomic traits. The recently released reference  
38 sequence of barley represents a valuable genomic resource for unveiling the incidence of  
39 CNVs that affect gene content and identifying sequence features associated with CNV  
40 formation.

41 Using exome sequencing and read count data, we detected 16,605 deletions and  
42 duplications that affect barley gene content by surveying a diverse panel of 172 cultivars,  
43 171 landraces, 22 wild relatives and other 32 uncategorized domesticated accessions.  
44 The quest for segmental duplications (SDs) in the reference sequence revealed many  
45 low-copy repeats, most of which overlap predicted coding sequences. Statistical analyses  
46 revealed that the incidence of CNVs increases significantly in SD-rich regions, indicating  
47 that these sequence elements act as hot spots for the formation of CNVs.

48 This study delivers a comprehensive genome-wide study of CNVs affecting barley gene  
49 content and implicates SDs in the molecular mechanisms that lead to the formation of  
50 this class of CNVs.

51

52 **Introduction**

53 Copy number variants (CNVs) are a class of unbalanced structural changes within  
54 genomes, which represent either a gain of extra sequence copies (duplications or  
55 insertions), or a loss of genetic material (deletions) in individuals of the same species  
56 (Alkan, Coe and Eichler, 2011). In the human genome, CNVs were generally defined as  
57 deletions, insertions and duplications of DNA sequences longer than 1 kb (Feuk, Carson  
58 and Scherer, 2006), although small structural changes of 50 bp or larger are now also  
59 considered CNVs (Alkan, Coe and Eichler, 2011; Girirajan, Campbell and Eichler, 2011).

60 While several studies in plants have analysed genomic variability in terms of single  
61 nucleotide polymorphisms (SNPs), investigations of the CNV rate, diversity and impact

62 on genomic variation are lagging behind. For example, years of empirical breeding and  
63 selection of crops narrowed the number of SNP variants in the cultivated gene pool  
64 (Kilian *et al.*, 2007; Fricano *et al.*, 2009), but it is still unclear whether this process might  
65 also have eroded CNV diversity. In barley, the contribution of CNVs in shaping genetic  
66 diversity is largely unknown: to date systematic analyses for identifying short CNVs have  
67 been carried out on a very limited panel of domesticated and wild accessions using a  
68 gene-space assembly (Mayer *et al.*, 2012; Muñoz-Amatriaín, Steven R Eichten, *et al.*,  
69 2013).

70 Genome-wide surveys leading to the discovery of thousands of CNVs revealed a  
71 ubiquity of deletions and duplications in maize, tale cress, rice and switchgrass, (Springer  
72 *et al.*, 2009; Debolt, 2010; Swanson-wagner *et al.*, 2010; Evans *et al.*, 2015; Bai *et al.*,  
73 2016). Beyond affecting genome structure, CNVs have the potential to modulate or  
74 create new gene functions. There is evidence that CNVs along with other structural  
75 variants (SVs) play key roles in plant adaptive evolution as well as in human diseases  
76 (Freeman, Perry and Feuk, 2006; Kim *et al.*, 2008; Evans *et al.*, 2015; Pinosio *et al.*,  
77 2016; Prunier *et al.*, 2017). In both plant and animal kingdoms, genes exhibiting CNVs  
78 are related to defense, biotic and abiotic stress responses (Conrad *et al.*, 2010; Clop,  
79 Vidal and Amills, 2012; Pinosio *et al.*, 2016; Prunier *et al.*, 2017). In barley, the genetic  
80 dissection of boron-toxicity tolerance demonstrated that duplications of *Bot1* underlie this  
81 trait (Sutton *et al.*, 2007), while duplications of *HvFT1* are tied to earlier flowering and  
82 have an overriding effect on the vernalization mechanism (Loscos *et al.*, 2014). In wheat,  
83 duplications of *Vrn-1A* and *Ppd-1B* were demonstrated to affect vernalization requirement  
84 and photoperiod response, respectively (Díaz *et al.*, 2012). Apart from these notable  
85 examples, the incidence and the functions of genes exhibiting CNVs are still unknown.

86 Segmental duplications (SDs) (also termed “low-copy repeats”), are stretches of high  
87 complexity DNA sequences longer than 1 kb, which are repeated several times in the  
88 genome with nucleotide identity higher than 90% (Eichler, 2001). Genome analyses and  
89 the creation of high quality reference sequences of plant and animal species have shown  
90 that SDs are common elements of genomes (Pagel *et al.*, 2004; Sharp *et al.*, 2005; Innan  
91 and Kondrashov, 2010; Giannuzzi *et al.*, 2011; Zhang *et al.*, 2017). In barley, annotation  
92 of the reference sequence revealed that more than 75% of genes belong to families with  
93 multiple members, suggesting that duplications of DNA sequences contributed to shaping

94 both gene content and function (Mascher *et al.*, 2017). For instance, the reference  
95 sequence of barley cultivar (cv) “Morex” contains five complete genes of *amy1* family,  
96 four of which share more than 99.8% nucleotide identity, computed considering intron  
97 and exon sequences (Mascher *et al.*, 2017). The abundance of gene families with  
98 multiple members hints that low-copy repeats could extend beyond the coding portion of  
99 the barley genome and play a fundamental role in shaping CNVs.

100 Several mammalian genome studies showed that SDs are hotspots of genome  
101 instability as they predispose chromosomes to rearrangements, providing templates for  
102 non-allelic homologous recombination (NAHR) events (Sharp *et al.*, 2005; Kim *et al.*,  
103 2008; Dittwald *et al.*, 2013; Zhang *et al.*, 2015). Based on the distribution of SDs in the  
104 human genome, it was suggested that recent SDs could play a role in the formation of  
105 specific classes of CNVs via NAHR (Sharp *et al.*, 2005; Freeman, Perry and Feuk, 2006;  
106 PJ Hastings, James R Lupski, 2010). Beyond this mechanism, other types of processes  
107 that lead to CNV formation have been proposed, including non-homologous DNA repair  
108 (PJ Hastings, James R Lupski, 2010). This class of molecular mechanisms includes non-  
109 homologous end-joining (NHEJ), breakage micro-homology-mediated end joining  
110 (MMEJ), template switching due to fork stalling or replication slippage and micro-  
111 homology-mediated break-induced replication (MMBIR) (PJ Hastings, James R Lupski,  
112 2010). In barley, a portion of short CNVs have a sequence signature of being formed by  
113 non-homologous DNA repair (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013), although  
114 the mechanisms that generate longer CNVs are still unknown.

115 In this study, we examined the diversity and distribution of CNVs that affect barley  
116 gene content. We used exome capture sequencing data from a panel of 397 diverse  
117 barley accessions to assess the occurrence and distribution of CNVs across the barley  
118 genome. Leveraging the newly created reference sequence of the barley cv “Morex”  
119 (Mascher *et al.*, 2017), we show that CNVs occur preferentially in SD-rich regions.

120

## 121 **Results**

### 122 **Identification and distribution of CNVs affecting barley gene content**

123 To identify the genome-wide occurrence of gene duplications and deletions we  
124 employed a detection strategy based on exome capture sequencing of a panel of 397 (of  
125 403) diverse accessions that have been described previously (Bustos-Korts *et al.*, 2019),

126 which includes 172 cultivars, 171 landraces, 22 wild relatives and other 32 domesticated  
127 accessions for which the categorization as cultivar or landrace was questionable.  
128 (Supporting Information 1; Table S1). Target regions used to design the exome capture  
129 probes were mapped to the reference sequence of barley cv “Morex” (Mascher *et al.*,  
130 2017), which allowed us to establish that the target space covers 170,725 exons or  
131 sequence intervals. Overall, the captured sequences encompass 61.3 Mb of non-  
132 overlapping genome intervals (Supporting Information 1; Table S4), in accordance to  
133 previous estimates computed using the gene-space assembly of barley (Mascher *et al.*,  
134 2013). For computing sequence coverage, only properly mapped paired-ends (PE) reads  
135 were considered and on average 24.6 M PE per sample were counted, leading to an  
136 average sequencing depth of 40X over the 170,725 captured sequences. Analysis of the  
137 average per-target coverage computed across the panel of 397 accessions indicated that  
138 80% of captured sequences show a sequencing depth larger than 5X, which ensured  
139 enough coverage for subsequent analyses.

140 For each sample, properly mapped PE reads were counted within the genome  
141 coordinates of the 170,725 capture sequences. The resulting read count data were fitted  
142 in a beta-binomial model and used to build optimized reference sets for detecting CNVs  
143 using ExomeDepth (Plagnol *et al.*, 2012). As current algorithms for detecting CNVs  
144 based on read count data are prone to output results with unsatisfactory levels of type I  
145 error (Tan *et al.*, 2014), additional procedures were adopted to increase the confidence of  
146 genetic variant calling. First, an average per-target analysis was carried out to remove  
147 sites with coverage below 5X, as with this sequencing depth it is challenging to  
148 distinguish biases introduced with sequence capture from actual duplications and  
149 deletions. The output read count matrix was subsequently used for detecting CNVs.  
150 These were categorized based on whether they exhibited a significantly higher or lower  
151 number of reads than expected. As our pipeline cannot reliably quantify the number of  
152 copies relative to the reference sequence, we collectively refer to these genetic variant  
153 groups as duplications or deletions, respectively. Second, duplications and deletions  
154 detected in less than three barley accessions were discarded. Overall, this procedure  
155 allowed us to call 1,037,381 duplications and deletions over the whole panel of 397  
156 accessions and unveiled that 17.6% of the 170,725 captured sequences exhibit changes  
157 in copy number. As captured targets are exons, contiguous duplications or deletions

158 detected in each sample were merged and 197,407 CNV calls were inferred (Supporting  
159 Information 1; Table S2). These were then mapped to 16,605 physical positions (CNV  
160 sites) across the seven barley chromosomes (Supporting Information 1; Table S2). On  
161 average, 497 CNVs per barley sample were detected.

162 A two-pronged strategy was pursued to assess the reliability of our CNV calling  
163 pipeline and estimate the residual type I error. As a first step, a CNV-based phylogeny of  
164 the 397 barley accessions was computed using neighbor-joining (NJ) method and  
165 Euclidean distance (Figure 1). The resulting phylogeny showed separate clusters of two-  
166 row and six-row accessions (Figure 1A) and of wild and domesticated accessions (Figure  
167 1B), reflecting the history of empirical breeding and selection of the genetic material.  
168 Similarly, the projection of tree tips onto a world map showed that the barley accessions  
169 investigated in this study cluster according to their geographic origin (Figure 1C),  
170 demonstrating that our CNV phylogeny was consistent with that obtained using SNPs  
171 (Bustos-Korts *et al.*, 2019).

172 The non-stochastic clustering of barley accessions in the CNV-based phylogeny  
173 indicated that CNV detection based on read count data generated reliable calls. In order  
174 to further assess the level of type I error, we selected 37 random CNVs, which were  
175 subsequently tested by PCR in 150 of the genotypes using primer pairs designed to  
176 target detected duplications and deletions (Supporting Information 1; Table S3). For  
177 these 37 CNVs, structural changes were correctly identified in 142 out 150 samples  
178 (96.6%), demonstrating that CNVs were reliably identified. A very large fraction of the  
179 detected CNVs were present in the population at low frequency, although some deletions  
180 had a frequency higher than 40 % across the whole panel of accessions (Figure 2).

181 On average, using the barley cv 'Morex' reference sequence, the deletions affecting  
182 barley gene content were estimated to be 3.81-fold relative to the duplications, spanning  
183 from a minimum value of 3.45 of chromosome 1H to a maximum value of 4.20 of  
184 chromosome 4H (Table 1).

185 To assess whether specific barley chromosomes are preferentially enriched in CNVs,  
186 the raw number of duplications and deletions detected in each chromosome was  
187 normalized relative to the length of per-chromosome captured sequences (Supporting  
188 Information 1, Table S4). The density of CNVs, measured as number of deletions or  
189 duplications per Mb of captured sequences, was computed to highlight the different

190 incidence of CNV frequency across the coding sequences of barley chromosomes (Table  
191 2). The density of deletions showed large variations as in chromosome 1H 256.04  
192 deletions per Mb of captured sequences were computed, while in chromosome 4H the  
193 deletion density was 102.62 (Table 2). A similar trend was observed for duplication  
194 densities: in chromosome 1H 74.24 duplications per Mb were computed, while  
195 chromosome 4H showed paucity of CNVs with 24.41 duplications per Mb (Table 2).

196 To test whether the low rate of CNV density observed in chromosome 4H departs  
197 significantly from the rates of other chromosomes, CNV densities were modelled as  
198 Poisson distributions and tested to assess whether pairs of CNV densities were different.  
199 *P* values of the pairwise Poisson's tests revealed that CNV densities were significantly  
200 different and that the rate for chromosome 4H was significantly lower than that of the  
201 remaining barley chromosomes (Table 3).

202 The average density of CNVs affecting gene content across all accessions, cultivars  
203 and landraces showed that barley wild relatives, and to certain extent landraces, contain  
204 a significantly larger fraction of the deletion diversity compared to the cultivars, and this  
205 trend was observed in all barley chromosomes (Figure 3). Conversely, the pattern of  
206 duplication densities across all barley chromosomes does not show statistically  
207 significant differences in landraces and cultivars (Figure 3).

208

### 209 **Functional impact of CNVs affecting barley gene content**

210 To obtain insight into the biological and evolutionary implications of CNVs, the whole  
211 set of sequences used for designing exome capture probes was annotated using gene  
212 ontology (GO) terms. Using a homology-based approach (Conesa and Gotz, 2008),  
213 155,235 out 287,462 sequences (~54 %) used for designing exome capture probes were  
214 annotated with GO terms (Mascher *et al.*, 2013). The GO terms of this set of 155,235  
215 sequences were subsequently associated to the barley genes in which captured  
216 sequences were unambiguously mapped. With this approach, CNVs were annotated with  
217 4985, 927 and 2679 GO terms of the three domains "biological process", "cellular  
218 component" and "molecular function", respectively. Categorization of these GO terms  
219 using the high-level summary of functions implemented in the GO Slim terms (McCarthy  
220 *et al.*, 2006) showed that a large fraction of genes exhibiting changes in copy number are  
221 involved in transporter, transferase and hydrolase activities (Figure 4A). Moreover, the

222 examination of GO Slim terms pointed out that genes showing changes in copy number  
223 are involved in shaping cellular and membrane components (Figure 4B) (Supporting  
224 Information 1; Table S5) and in metabolic and cellular processes (Figure 4C) (Supporting  
225 Information 1; Table S5).

226 To assess the incidence of over-represented GO terms in duplicated and deleted  
227 genes, a GO enrichment analysis was carried out considering the whole set of barley  
228 genes for which the GO annotation was retrieved. Considering a false discovery rate  
229 (FDR) threshold of 0.01, computed using Benjamini-Hochberg procedure (Benjamini and  
230 Hochberg, 1995), 193 GO terms were found over-represented in the set of duplicated  
231 and deleted genes (Figure 5) (Supporting Information 1; Table S6). GO enrichment  
232 analysis showed that genes with kinase, polysaccharide binding and ADP binding  
233 functions are more prone to be duplicated or deleted in barley (Figure 5A). Similarly, in  
234 duplicated and deleted genes the enrichment analysis uncovered GO terms of the  
235 “Cellular Component” domain related to “integral component of membrane” (Figure 5B).  
236 Overrepresented GO terms of the “Biological Process” domain and related to functions  
237 involved in defense response, DNA integration and protein phosphorylation were also  
238 identified in genes showing copy number changes (Figure 5C) (Supporting Information 1;  
239 Table S6).

240 Similarly, a GO enrichment analysis was carried out considering the set of duplicated  
241 and deleted genes that were detected exclusively in wild accessions to assess the  
242 functional categories of genes exhibiting CNVs that were lost during domestication  
243 (Figure 6). This analysis showed that the reduction of CNV diversity during the  
244 domestication process led to the loss of CNVs affecting genes involved in queuine  
245 tRNA-ribosyl-transferase and protein kinase activity (Figure 6A) as well as in cell wall  
246 components (Figure 6B). Overrepresented GO terms of the “Biological Process” domain  
247 and related to functional categories involved in protein phosphorylation, regulation of  
248 stomatal closure and cellular response to nitric oxide were also identified (Figure 6C).

#### 249 250 **Revisiting of earlier reported CNVs using barley reference sequence**

251 The extent of barley gene CNVs was previously investigated in a limited panel of  
252 domesticated and wild accessions using the gene space assembly (Mayer *et al.*, 2012)  
253 along with comparative genome hybridization (CGH) technology (Muñoz-Amatriaín,



254 Steven R. Eichten, *et al.*, 2013). These data were revisited in light of the barley reference  
255 sequence to lift over the genome coordinates of earlier reported structural variants, which  
256 were subsequently compared with the pattern of gene CNVs detected with ES in this  
257 study.

258 As a first step, the whole set of 115,003 contigs used for designing CGH probes  
259 (Muñoz-Amatriaín, Steven R. Eichten, *et al.*, 2013) was mapped against the reference  
260 sequence (Mascher *et al.*, 2017), and the mapping positions of these contigs were  
261 compared along the genome coordinates of ES targeted sequences. Overall, CGH  
262 probes target 228,603 non-overlapping chromosome intervals and 46.04 Mb of the barley  
263 reference sequence compared to the 170,725 chromosome intervals and 61.3 Mb of ES  
264 probes. The CGH and ES targeted regions overlap for 46,814 chromosome intervals,  
265 which span 6.33 out 61.3 Mb (10.3 %) of sequences analysed with exome capture  
266 technology: although ES and CGH probes were designed using two similar sets of contig  
267 sequences, CGH probes cover a small subset of the sequence captured with ES.

268 As the panel of accessions analysed using ES does not include the whole set of  
269 genetic material analysed with CGH (Muñoz-Amatriaín, Steven R. Eichten, *et al.*, 2013),  
270 the comparison of CNVs detected with these two technologies was limited to sites in  
271 which deletions and duplications were identified. Overall, 8,588 out 33,653 CNV sites  
272 identified with CGH and lifted over the barley reference sequence overlap or partially  
273 overlap with the 16,605 CNV sites identified with ES (Supplementary Information; Figure  
274 1). The same comparison carried out with the unfiltered dataset of CNV detected with ES  
275 revealed that 13,369 overlapping SV sites were identified with both technologies  
276 (Supplementary Information; Figure 2). Although the use of different panels of genotypes  
277 limits this comparison, the analysis showed that a large fraction of CNV sites detected  
278 with ES were previously identified with CGH technology.

279

## 280 **Identification and nature of SDs in barley genome**

281 Identification of SDs in the reference sequence of barley cv 'Morex' (Mascher *et al.*,  
282 2017) was pursued adopting a methodology based on sequence similarity search of high  
283 complexity regions. After masking interspersed repeats and low complexity regions of the  
284 reference sequence using the curated annotation of barley repetitive elements (Wicker *et*  
285 *al.*, 2017), the reference sequence was aligned against itself using chunks of 250 kb as

286 queries to identify high similarity regions. Subsequently, data were parsed to exclude  
287 alignment pairs of query sequences matched against themselves and alignments shorter  
288 than 1 Kb.

289 Considering stretches of high complexity repeats with at least 95% identity, 20,853 SDs  
290 were identified across the seven barley chromosomes, which encompass circa 40,6 Mb  
291 and cover 0.89 % of the genome size. The length distribution (Figure 7A) showed that  
292 SDs spanning from 1 kb to 2kb are the most abundant in all chromosomes, while  
293 chromosomes 2H and 5H are the most SD-rich (Figure 7A).

294 Among these SDs, 12,631 and 9,114 have nucleotide identity of 98% and 99%,  
295 respectively and represent a subset of SDs that were recently fixed in the barley  
296 reference sequence (Table 4).

297 The density of SDs indicated that the ends of chromosome arms contain more SDs and  
298 this trend was observed for all chromosomes (Figure 7B). To unlock the nature of these  
299 SDs, their genomic coordinates were compared with the high and low confidence  
300 annotations of barley: 5,743 out 20,853 SDs fully or partially overlap high confidence  
301 genes, while the remaining SDs are not part of the high confidence annotated gene  
302 content. Considering the low confidence annotation (Mascher *et al.*, 2017), 2,714 out  
303 20,853 SDs overlap chromosome intervals in which genes with annotation of unknown  
304 function or without functional annotation were detected (Mascher *et al.*, 2017). These  
305 findings reflect previous estimates pointing out that a large fraction of barley genes come  
306 from duplication events that shaped gene families with multiple members (Mascher *et al.*,  
307 2017).

308 As the distribution of SDs in barley chromosomes (Figure 7B) shows the same pattern  
309 of the predicted coding sequences (Mascher *et al.*, 2017), an association analysis  
310 between these genomic regions was carried out based on permutation tests to assess if  
311 SDs overlap predicted coding regions more than expected. The average distance of SDs  
312 with their closest gene is 47 kb (Figure 8A; green vertical line), while the expected lower  
313 bound of the average distance under a random distribution of genomic features is circa  
314 105 kb (Figure 8A; red vertical line), corroborating the finding that SDs and genes are  
315 strictly associated in the barley genome. The analysis unveiled that SDs and predicted  
316 coding sequences are strictly associated as the 5,743 overlaps between these genomic

317 regions (Figure 8B; green vertical line) are significantly higher than the upper bound of  
318 expected overlaps under a random distribution (Figure 8B; red vertical line).

319  
320 **CNVs co-occur with SDs identified in the barley reference sequence**

321 Pioneering studies on structure and function of the human genome pointed out that  
322 CNV abundance increases in SD-rich sequence intervals, and SD-mediated NAHR was  
323 suggested as a possible mechanism of CNV formation (Freeman, Perry and Feuk, 2006;  
324 Goidts *et al.*, 2006; Perry *et al.*, 2006). To assess whether in barley SDs are hot spots for  
325 the formation of CNVs, Spearman rank correlation coefficients were computed between  
326 the SDs and the CNVs detected in the panel of 397 accessions. SDs were binned into  
327 increasing sequence intervals (from 40 kb to 2 Mb) and their associations with the  
328 number of CNVs detected in the panel of 397 accessions and mapped within the same  
329 bins were examined computing Spearman rank correlation coefficients between these  
330 two structural features.

331 The values of Spearman rank correlation coefficients were finally computed as function  
332 of bin sizes (Figure 7C), which show high and statistically significant correlations between  
333 SDs and CNVs when bin size equal or larger than 1.5 Mb are used for computation (rank  
334 correlation higher than 0.7) (Figure 7C). These high values of rank correlation imply that  
335 a monotonic function ties SDs and CNVs and that SD-rich sequence intervals of the  
336 reference sequence are those regions that are more prone to gain extra copies or lose  
337 DNA sequences. Similarly, an association analysis of the sites where CNVs were  
338 detected with SDs was carried out to assess if CNV formation is associated with the  
339 closeness of SDs. The results of the association analysis clearly show that CNV sites  
340 overlap SDs more than expected under a random distribution (Figure 8C), demonstrating  
341 that the presence of CNVs is statistically associated with the closeness of SDs.

342  
343  
344 **Discussion**

345 In this study, we used a sequence-based approach that relies on read count data  
346 generated with exome sequencing (ES) to unveil changes in the copy number of barley  
347 genes. Considering the large number of accessions and the type of genetic material

348 examined, to date this study delivered the most comprehensive overview of CNVs that  
349 affect gene content in cultivars, landraces and wild relatives of barley.

350 Beyond SNP identification, ES was extensively applied for seeking somatic and  
351 germline CNVs in human species. This practice pointed out that methodologies for CNV  
352 detection based on read count might output results that are error-prone because of the  
353 unsatisfactory FDR (Tan *et al.*, 2014). Currently, several algorithms have been proposed  
354 for detecting CNVs using read count data generated with ES to examine genomic  
355 aberrations of human individuals, although there is evidence that new statistical  
356 paradigms are needed to improve accuracy and sensitivity (Zare *et al.*, 2017). On the  
357 other hand, in plants exome capture and sequencing represent groundbreaking  
358 technologies for detecting genome-wide DNA variants while maintaining acceptable costs  
359 (Warr *et al.*, 2015). In this study, we implemented several strategies to reduce as much  
360 as possible the FDR of our CNV detection procedure and we used clustering analyses  
361 and targeted amplifications for ascertaining the performance of our procedure. Along with  
362 the molecular analyses conducted for validating a subset of duplications and deletions,  
363 the CNV-based phylogeny proved that the structural changes identified in this study  
364 correctly cluster barley accessions based on their row type (6-row and 2-row) and  
365 category (domesticated and wild relatives), corroborating the high quality and  
366 performance of our CNV detection strategy.

367

### 368 **CNVs contribute to shape barley genome diversity**

369 Along with other structural changes, CNVs were proposed to underlie the speciation of  
370 humans from other non-human primates (Perry *et al.*, 2006; Kim *et al.*, 2008; Girirajan,  
371 Campbell and Eichler, 2011), which would have led to substantial genome re-  
372 arrangements that allowed acquiring new functions, while in plants there is evidence that  
373 changes in copy number of genes are pervasive in certain crops and constitute the  
374 genetic bases of important agronomic traits (Sutton *et al.*, 2007; Swanson-wagner *et al.*,  
375 2010). In this study, we surveyed genome-wide CNVs affecting gene content in a panel  
376 of barley accessions including 172 cultivars, 171 landraces and 22 wild relatives.  
377 Previous studies using gene re-sequencing and AFLP technology (Vos *et al.*, 1995)  
378 uncovered a loss of diversity in cultivars compared to landraces and wild relatives (Kilian  
379 *et al.*, 2006, 2007; Kilian, 2007; Condón *et al.*, 2009; Fricano *et al.*, 2009). Leveraging the

380 CNVs detected in our study, a reduction of deletions was observed in cultivars and in  
381 landraces compared to wild accessions, while the same pattern was not observed for  
382 duplications (Figure 3). Similarly, our analysis pointed out a slight reduction of CNV  
383 diversity in barley cultivars compared to landraces (Figure 3). While the reduction of  
384 deletions can be explained considering that barley domestication and breeding narrowed  
385 the genetic diversity in the domesticated accessions (Kilian *et al.*, 2006), the pattern of  
386 duplications in cultivars and landraces (Figure 3) hints that newly duplicated sequences  
387 would rapidly diverge, accumulating point mutations that mask their formation and our  
388 ability to detect these events using exome capture and sequencing.

389 The results reported in this study limit our conclusions to CNVs that affect gene content  
390 and consequently the actual number of deletions and duplications that segregate in our  
391 accessions could be underestimated. Moreover, the current availability of a single  
392 reference sequence of barley cv “Morex” contributes to shrink our capability to ascertain  
393 CNVs of sequences that are not present in this reference.

394

#### 395 **CNVs are pervasive across barley gene content**

396 Considering the whole panel of 397 diverse accessions of barley, the ES-based  
397 pipeline used for detecting CNVs unveiled that 17.6% of the 170,725 captured sequences  
398 exhibit changes in copy number. As captured targets represent in most of cases gene  
399 exons, contiguous deletions or duplications were merged and 16,605 CNV sites were  
400 inferred.

401 These 16,605 CNV sites represent an estimate of DNA segments that can be  
402 duplicated or deleted in barley and their intersection with annotated gene models hints  
403 that this genome can bear losses or extra copies of sequences in about 10 % of  
404 predicted genes. This figure is comparable to the findings obtained applying comparative  
405 genomic hybridization (CGH) technology on a limited set of accessions using the gene  
406 space assembly of barley (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013). CNV  
407 studies carried out in a panel of domesticated maize accessions and teosinte lines  
408 showed that more than 10% of the genes annotated in the B73 reference genome exhibit  
409 CNVs (Swanson-wagner *et al.*, 2010). Similarly, our findings show evidence that the  
410 fraction of genes that exhibit changes in copy number in barley and maize is comparable.

411 The loss of gene copies found in barley would be explained with the high level of gene  
412 families with multiple members annotated in this species (Mascher *et al.*, 2017). It is  
413 plausible that genes belonging to the same gene family would have redundant or partially  
414 redundant functions, which in turn compensate for possible deleterious effects of losses  
415 of gene copies. In barley, there are notorious examples of genes that show CNVs among  
416 different accessions. For instance, CNVs of *CBF* genes at *Fr-H2* locus were reported in  
417 barley cultivars using a targeted approach based on gene copy quantification (Francia *et*  
418 *al.*, 2016). *CBF* genes underlie frost tolerance trait and their number of copies and  
419 paralogs was tied with the level of frost tolerance in barley and other cereals (Francia *et*  
420 *al.*, 2016; Sieber *et al.*, 2016). In this study, CNVs of *CBFs* previously reported were  
421 detected in several barley accessions (Francia *et al.*, 2016) along with CNVs of *Vrn-H1*,  
422 another important gene that has pleiotropic effects on frost tolerance. Moreover, the  
423 detection of duplications affecting gene content hints that these extra copies of DNA  
424 would play important roles for barley adaptation to different environmental conditions, as  
425 previously reported (Sutton *et al.*, 2007; Francia *et al.*, 2016).

426 Comparison of the density of deletions or duplications across different chromosomes  
427 showed that chromosome 4H contains a significantly lower number of CNVs, confirming  
428 the previous report that pointed out the depletion of CNVs in this chromosome using  
429 CGH technology (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013). Chromosome 4H  
430 would undergo a lower rate of events that lead to the formation of deletions and  
431 duplications owing to either the lack of regions that promote instability or reduced meiotic  
432 recombination as previously suggested (Mayer *et al.*, 2012; Mascher *et al.*, 2017).

#### 433 434 **Changes in copy number of genes are associated to SD-rich regions**

435 The availability of a high-quality reference sequence allowed us to unlock the extent  
436 and occurrence of SDs in the barley genome. A large fraction of newly formed SDs  
437 partially or fully overlap predicted genes in both high confidence and low confidence  
438 annotations, reflecting the high number of families with duplicated genes that were  
439 annotated in the barley genome (Mascher *et al.*, 2017). While predicted genes explain a  
440 significant part of SDs identified, the nature of SDs that did not overlap with either  
441 annotated mobile elements or coding sequences is still unclear and would be explained

442 postulating the existence of other genes or pseudo-genes that were not considered  
443 during the annotation process.

444 The findings reported in our study demonstrate that CNVs are not randomly distributed  
445 across barley coding sequences, but tend to occur in the SD-rich regions identified in the  
446 barley reference sequence (Figure 7C). SDs overlap more than expected CNV sites,  
447 hinting that they would shape regions of genomic instability, which foster the emergence  
448 of new CNVs. Molecular mechanisms that generate CNVs were extensively described in  
449 yeast, *Drosophila melanogaster* and primates (Goidts *et al.*, 2006; Kim *et al.*, 2008; Salse  
450 *et al.*, 2008; Daines *et al.*, 2009; Conrad *et al.*, 2010; Zecevic *et al.*, 2010; Zhang *et al.*,  
451 2013), but our understanding of their incidence in plant genomes is still limited. An  
452 obvious hypothesis is that in barley recent SDs offer adequate nucleotide identity for  
453 enabling the formation of new unbalanced structural changes via NAHR. The co-  
454 occurrence of CNVs in SD-rich regions is a signature of SD-mediated CNV formation  
455 (Figure 7C) that was unveiled in this study and hints that NAHR, similar to mammalian  
456 genomes, could shape CNVs that affect barley-coding sequences, although other  
457 mechanisms were proposed.

458 Along with previous findings (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013), this  
459 study showed that in the barley genome, deletions are about four times more frequent  
460 than duplications. Although we cannot exclude that the divergence of newly duplicated  
461 sequences masks our ability to detect these events, it is plausible to hypothesize that the  
462 formation of duplications and deletions occur at different rates in the barley genome,  
463 suggesting that NAHR mediated by SD pairs located in the same chromatids could be  
464 more frequent than NAHR mediated by SD pairs located in different chromatids (Chen *et al.*  
465 *et al.*, 2014). Studying the flanking regions of deletions and duplications, sequence  
466 signatures of CNV formation based on double-strand break (DSB) repair via single-strand  
467 annealing (SSA) were reported on 41.1% of CNVs of barley (Muñoz-Amatriaín, Steven R  
468 Eichten, *et al.*, 2013). A possible reason for explaining these seemingly different findings  
469 lies in CGH, which was used for detecting CNVs in a small panel of 16 wild and  
470 domesticated barley accessions in a previous CNV study conducted in barley (Muñoz-  
471 Amatriaín, Steven R Eichten, *et al.*, 2013). As CGH does not allow to examine sequences  
472 with high sequence similarity, more probably CNVs in SD-rich regions were not  
473 considered in the previous study (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013). This

474 study shows evidence of SD-mediated formation of CNVs in barley, a mechanism that in  
475 plants has been claimed several times (Muñoz-Amatriaín, Steven R Eichten, *et al.*, 2013;  
476 Bai *et al.*, 2016). Further studies on barley CNVs in non-coding sequences are needed to  
477 explore the potential role of both NAHR-based and DSB-based mechanisms in the  
478 formation of unbalanced structural changes in barley.

479 Overall, the landscape of CNVs unveiled in this study provides evidence for  
480 widespread changes in copy number of genes, which in turn reflect the dynamic nature of  
481 the barley genome. Moreover, our findings pave the way to better understand the gene  
482 content of core and dispensable genomes of this species for evolutionary studies  
483 (Morgante, De Paoli and Radovic, 2007). As already demonstrated for frost and boron-  
484 tolerance traits, it is likely that along with SNPs, CNVs significantly contribute to barley  
485 phenotypic diversity, although further investigations are necessary to document to which  
486 extent these structural variants affect other important traits. The use of CNVs in genome-  
487 wide association studies would allow to better understand how these structural variants  
488 underlie barley phenotypic variation and enable their exploitation for breeding.

489 We have demonstrated that changes in copy number of genes are widespread across  
490 the barley genome and that these structural variants contribute to shaping the genetic  
491 diversity of cultivars, landraces and wild relatives and affect genes with specific functions.  
492 Moreover, we reported that SD-rich sequences are regions of the barley genomes in  
493 which CNV formation rate is higher than expected and speculated that molecular  
494 mechanisms based on similarity of SDs (e.g. NHAR) may be involved in changing copy  
495 number of genes. The list of CNVs identified in this study is a new asset for  
496 understanding the genome biology and evolution of barley as well as the genetic bases of  
497 complex traits.

498



499

## 500 **Methods**

### 501 **Plant materials**

502 The genetic material examined in this study has been extensively described in other  
503 reports (Bustos-Korts *et al.*, 2019) and relevant information regarding the classification  
504 and the origin, type and of selected accessions is reported in Supporting Information 1,  
505 Table S1. In brief, a panel of 397 out 403 barley accessions previously described  
506 (Bustos-Korts *et al.*, 2019) was selected for this study, including 172 formally-bred  
507 cultivars released in Europe, Asia and Americas, 171 landraces collected in Europe,  
508 Asia, Middle East and Africa and 22 wild relatives of barley (*H. spontaneum* subsp.  
509 *spontaneum* and *H. spontaneum* subsp. *agriocrithon*) collected in Middle East areas.  
510 Other 32 domesticated accessions for which the categorization as cultivar or landrace  
511 was questionable were included and examined in this study (Bustos-Korts *et al.*, 2019).

512

### 513 **Preparation of Exome Capture library and sequencing**

514 Genomic DNA (gDNA) was extracted from barley leaf material from a single plant for  
515 each genotype. DNA samples were checked with a Genomic DNA ScreenTape on  
516 Agilent 2200 Tape Station System (Santa Clara, CA, USA) in order to verify gDNA  
517 integrity. Samples were quantified by Picogreen assay (Thermo Fisher, CA, USA) and  
518 normalised to 20 ng/ul in 10 nM Tris-Hcl (pH 8.0) as suggested in the NimbleGen  
519 SeqCap EZ Library SR protocol. The gDNA was fragmented to a size range of 180-200  
520 bp using Covaris microTUBES and a Covaris S220 Instrument (Covaris, MA, USA) and  
521 whole genome libraries were prepared according to the Kapa Library Preparation  
522 protocol. Libraries were quantified using a Nanodrop (Thermo Fisher, CA, USA) and  
523 analysed electrophoretically with an Agilent 2200 Tape Station System using a D1000  
524 ScreenTape. Libraries were pooled in 8-plex and used for the hybridization with the  
525 barley SeqCap Ez oligo pool (Design Name: 120426\_Barley\_BEC\_D04) (Mascher *et al.*,  
526 2013) in a thermocycler at 47°C for 48 h. Capture beads were used to pull down the  
527 complex of capture oligos and genomic DNA fragments and unbound fragments were  
528 removed by washing. Enriched fragments were amplified by PCR and the final library  
529 was quantified by qPCR and visualised by Agilent Tape Station. Sequencing libraries  
530 were normalised to 2nM, NaOH denatured and used for cluster amplification on the cBot.

531 The clustered flow cells were sequenced on Illumina HiSeq2000 with an 8-plex strategy  
532 (i.e. 8 samples per HiSeq lane) with a 100 bp paired-end run module.

533

#### 534 **Analysis of whole exome sequencing data**

535 Target regions utilized for designing exome capture probes  
536 ([http://sequencing.roche.com/content/dam/rochesequence/worldwide/shared-  
537 designs/barley\\_exome.zip](http://sequencing.roche.com/content/dam/rochesequence/worldwide/shared-<br/>537 designs/barley_exome.zip)) were mapped against the reference sequence of barley cv  
538 'Morex' (Mascher *et al.*, 2017) with bwa-mem 0.7.15 (Li and Durbin, 2009). Mapping  
539 positions of captured sequences were extracted from the BAM file of alignments and  
540 converted in BED format using bam2bed (Neph *et al.*, 2012). Subsequently overlapping  
541 BED records were collapsed using the merge command of bedops 2.4.20 (Neph *et al.*,  
542 2012) to uncover the actual portions of the barley genome that are examined using barley  
543 whole exome capture.

544 Sequence quality control was assessed with FastQC (Andrews, 2010). Raw Illumina  
545 reads were then quality trimmed to a base quality of 20 from both ends with Trimmomatic  
546 version 0.30 (Bolger, Lohse and Usadel, 2014). Only correctly paired reads longer than  
547 70 bp were used for further processing. Trimmed reads were then mapped to the  
548 reference genome with BWA version 0.7.15 using the mem algorithm with default  
549 parameters (Li and Durbin, 2009). The resulting BAM files were sorted with Samtools (Li  
550 and Durbin, 2009) (<http://samtools.sourceforge.net/>) and duplicate reads were marked  
551 and removed with picard (Broad Institute, 2016) using 'MarkDuplicates' command.  
552 Coverage at each captured sequence was computed with samtools depth (Li, 2011)  
553 considering only properly mapped paired reads. Captured sequences exhibiting a  
554 coverage lower than 5X were removed from all subsequent analyses. The average  
555 sequencing coverage across the whole set of captured sequences was computed in R  
556 statistical environment using Rsubread package version 1.28 (Liao, Smyth and Shi, 2013;  
557 Team, 2015) including the count of PE fragments that overlap contiguous captured  
558 sequences. PE fragment counts obtained for each sample, were subsequently merged in  
559 R environment for creating a numeric matrix, which was subsequently utilized for  
560 detecting copy number variants.

561

#### 562 **Detection of copy number variants and validation**

563 Read count data were processed in R statistical environment (Team, 2015) with the R  
564 package “ExomeDepth” for detecting CNVs (Plagnol *et al.*, 2012) setting the expected  
565 exon length at 1,000 bp and the minimum quality mapping score at 30. CNVs detected in  
566 less than three barley accessions were discarded and not considered for validation.  
567 Contiguous deletions or duplications of captured sequences detected in the same  
568 accession were merged and the resulting CNVs were utilized for constructing a  
569 phylogeny based on NJ method and Euclidean distance utilizing the R packages “ape”  
570 and “phytools” in R statistical environment (Saitou and Nei, 1987; Paradis, Claude and  
571 Strimmer, 2004; Revell, 2016).

572

### 573 **Identification of segmental duplications in the barley reference sequence**

574 For surveying the occurrence of SDs, all known repetitive elements of the barley  
575 reference sequence were masked utilizing the most recent and accurate annotation of  
576 transposable elements (Wicker *et al.*, 2017) and subsequently the masked chromosome  
577 sequences were split in chunks of 250 kb. These chunks were aligned against the  
578 masked reference sequence of barley for identifying homologous sequences using  
579 standalone BLAST 2.5.0 (Altschul *et al.*, 1990; Camacho *et al.*, 2009). Alignment records  
580 obtained from BLAST analyses were subsequently parsed for identifying homologous  
581 sequence pairs sharing a nucleotide identity higher than 95% and larger than 1 KB using  
582 python 2.7.9 along with the package Biopython (Cock *et al.*, 2009). Alignment records  
583 were transformed in a BED file using custom python scripts and overlapping regions were  
584 subsequently collapsed using bedops “merge” command (Neph *et al.*, 2012).

585

### 586 **GO ontology and enrichment analysis**

587 For exploring the ontology content of duplicated and deleted genes, the whole set  
588 of 283,096 sequences used for designing exome capture probes were annotated with GO  
589 terms using Blast2Go (Conesa and Gotz, 2008). Subsequently, GO terms of these  
590 sequences were assigned to the genomic coordinates in which captured sequences were  
591 unambiguously mapped. The high-level summary of functions implemented in the GO  
592 Slim terms (McCarthy *et al.*, 2006) was used for summarizing the ontology content of  
593 duplicated and deleted genes.

594 Enrichment analysis was conducted in R statistical environment using the R  
595 package “TopGO” (Alexa, Rahnenführer and Lengauer, 2006; Team, 2015) for identifying  
596 GO terms that were over-represented and under-represented in the set of duplicated and  
597 deleted genes and functional categories associated to set of duplicated and deleted  
598 genes that were lost in the domesticated accessions. For carrying out GO enrichment for  
599 the first analysis, the whole set of mapped sequences was utilized as baseline, while the  
600 over- and under-represented GO terms were investigated in deleted and duplicated  
601 genes, using the “elim” algorithm implemented in TopGO for selecting the most stringent  
602 subset of over-represented and under-represented GO terms. For identifying GO terms  
603 associated to duplicated and deleted genes that were lost during the domestication  
604 process, the whole set of mapped sequences was used as baseline, while the over- and  
605 under-represented GO terms were investigated in deleted and duplicated genes that  
606 were detected exclusively in wild accessions, using the “elim” algorithm implemented in  
607 TopGO.

608 The false discovery rate threshold was calculated utilizing Benjamini-Hochberg  
609 procedure (Benjamini and Hochberg, 1995). Bar plots were generated using the package  
610 “ggplot2” in R statistical environment (Team, 2015; Wickham, 2016).

611

### 612 **Association analysis of SDs with CNV sites and predicted genes**

613 Histograms of SD distribution across barley chromosomes were computed in bins  
614 of 50 kb in R statistical environment (Team, 2015) parsing the BED file describing the  
615 genome coordinates of SDs having a nucleotide identity higher than 95%.

616 Association analyses between SDs and CNVs detected in the panel of barley  
617 accessions were computed using Spearman rank correlation coefficient, binning barley  
618 chromosomes in increasing intervals from 40 kb to 2 Mb. Within each interval Spearman  
619 rank correlation coefficient was calculated in R statistical environment (Team, 2015),  
620 between the number of SDs unveiled in the reference sequence and the number of CNVs  
621 detected in the panel of 397 barley accessions. For assessing the non-random  
622 association of SDs with CNV sites or predicted high confidence genes, 1,000 permutation  
623 tests were carried out between pairs of features (SD and CNV sites; SD and predicted  
624 high confidence genes) randomizing features over the non-masked space of each  
625 chromosome for computing the expected number of overlaps under the hypothesis of

626 random distributions of these genomic features. Similarly, the expected average distance  
627 of SDs with the closest high confidence gene was computed permuting these genomic  
628 features over the non-masked space of each chromosome for 1,000 times. The R  
629 package regioneR (Gel *et al.*, 2016) was utilized for these computations and results were  
630 plotted utilizing the R package “ggplot2” (Wickham, 2016).

631

### 632 **Data availability statement**

633 The raw sequencing data analyzed in this manuscript were deposited in the European  
634 Nucleotide Archive under the study number PRJEB33527

635

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### 640 **Authors' contributions**

641 AF, LR and LC conceived the study. AF led the study and carried out data analysis, AF  
642 wrote the paper with significant contributions by RW, BK, LR and LC; BK, RW, JR, LR  
643 and LC assembled the panel of barley accessions; LR coordinated exome sequencing of  
644 the barley collection; CF carried out library preparation, capture and sequencing; GB  
645 carried out validation experiments and PB conducted GO annotations. All authors read  
646 and approved the final manuscript.

647

### 648 **Competing interests**

649 The authors declare that they have no competing interests.

650

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856 **Figure 1: CNV-based phylogeny of the 397 barley accessions.** (A) In this phylogeny,  
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864 CNV-based phylogeny onto a world map according to the geographic origin of barley  
865 accessions.

866

867 **Figure 2. Distribution and frequency of CNVs detected across the seven barley**  
868 **chromosomes.** Plots show the genome coordinates of CNVs along the seven barley  
869 chromosomes (x-axis), while the frequency (in %) of each CNV in the panel of 397  
870 accessions is reported in the y-axis. Red and blue points of the plots indicate deletions  
871 and duplications, respectively.

872

873 **Figure 3. Average of per chromosome CNV density computed in different**  
874 **categories of barley accessions.** Bars report the average density of deletions (left bar  
875 plot) and duplications (right bar plot) detected in wild relatives (violet bars), landraces  
876 (light blue bars), cultivars (green bars) and in the whole panel of accessions (red bars).

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878 **Figure 4. Overview of the ontology content of duplicated and deleted genes.** Bars  
879 show the description of GO Slim Term (y-axis) of duplicated and deleted genes, while the  
880 count of each GO Slim term is reported in the x-axis. (A) In this bar plot, the count of  
881 high-level GO terms of “Molecular Function” domain are reported, while in (B) and (C) the  
882 count of high-level GO terms of “Cellular Component” and “Biological Process” domains  
883 are reported, respectively.

885 **Figure 5. GO enrichment in duplicated and deleted genes.** The 193 GO terms (y-axis)  
886 (FDR threshold  $\leq 0.01$ ) overrepresented in duplicated and deleted genes are plotted  
887 along the corresponding negative logarithm of their Fisher's  $P$  value (x axis). **(A)**  
888 Overrepresented GO terms of the "Molecular Function", **(B)** "Cellular Component", and  
889 **(C)** "Biological Process" domains are reported, respectively.

890

891 **Figure 6. GO enrichment of duplicated and deleted genes differentially detected in**  
892 **wild and domesticated accessions.** The 39 GO terms (y-axis) (FDR threshold  $\leq 0.01$ )  
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897

898 **Figure 7. Frequency and length spectra of SDs and correlation with CNVs. (A)**  
899 Length spectrum of SDs detected in barley cv "Morex"; **(B)** Histograms of SD distribution  
900 across the seven barley chromosomes; **(C)** For each of the seven plots, in the y-axes the  
901 values of Spearman rank correlation coefficient between SDs and CNVs were plotted,  
902 while in the x-axes the values of bin size utilized for computing the Spearman rank  
903 correlation coefficient were reported. Only statistically significant values of Spearman  
904 rank correlation coefficient with  $P$  values lower than 0.001 were plotted.

**Figure 8. Association analysis of SDs based on permutation tests.** In all plots, the measured value (green line) and the expected value (black line) obtained after the randomization of sequence intervals are reported. **(A)** In this plot, the average distance of SDs (x-axis) with their closest genes was compared with the lower bound of the expected average distance (red vertical line); **(B)** In this plot the number of overlaps (x-axis) between SDs and annotated genes was compared with the upper bound (red line) of the expected number of overlaps in case of random distribution. **(C)** In this plot the number of overlaps (x-axis) between SDs and CNV sites was compared with the upper bound (red line) of the expected number of overlaps.

#### Number of CNVs and deletion/duplication ratios across barley chromosomes

Chromosome	Total number of CNV	Number of deletions	Number of duplications	Deletion/duplication ratio
Chromosome 1H	2,558	1,983	575	3.45
Chromosome 2H	2,941	2,355	586	4.02
Chromosome 3H	2,496	2,001	495	4.04
Chromosome 4H	968	782	186	4.20
Chromosome 5H	2,498	1,973	525	3.76
Chromosome 6H	2,104	1,663	441	3.77
Chromosome 7H	3,040	2,393	647	3.70
All chromosomes	16,605	13,150	3,455	3.81

Table 1: Distribution of CNVs across the seven barley chromosomes

905  
906 **Density of deletions and duplications in barley coding sequences**

Chromosome	Density of deletions <sup>a</sup>	Density of duplications <sup>b</sup>
Chromosome 1H	256.04	74.24

Chromosome 2H	238.03	59.23
Chromosome 3H	204.94	50.70
Chromosome 4H	102.62	24.41
Chromosome 5H	200.75	53.42
Chromosome 6H	229.19	60.78
Chromosome 7H	260.11	70.33
All chromosomes	213.10	56.16

907 Table 2: Distribution of CNVs affecting coding sequences across the seven barley  
908 chromosomes

909 <sup>a</sup> Number of deletions per Mb of per-chromosome captured targets.

910 <sup>b</sup> Number of duplications per Mb of per-chromosome captured targets.

911

912

913 **Pairwise Poisson's test *P* values for comparing CNV densities of barley**  
914 **chromosomes**

	Chromosome 1H	Chromosome 2H	Chromosome 3H	Chromosome 4H	Chromosome 5H	Chromosome 6H
Chromosome 2H	0.36	-				
Chromosome 3H	$5.05 \times 10^{-11}$ *	$1.76 \times 10^{-05}$ *	-			
Chromosome 4H	$1.33 \times 10^{-113}$ *	$1.97 \times 10^{-102}$ *	$3.36 \times 10^{-64}$ *	-		
Chromosome 5H	$4.89 \times 10^{-13}$ *	$5.00 \times 10^{-07}$ *	1	$5.35 \times 10^{-60}$ *	-	
Chromosome 6H	$0.02$ *	1	$1.64 \times 10^{-2}$ *	$7.77 \times 10^{-81}$ *	0.15*	-
Chromosome 7H	1	$0.04$ *	$6.51 \times 10^{-14}$ *	$5.09 \times 10^{-127}$ *	$2.90 \times 10^{-16}$ *	0.15

915 Table 3 *P* values of pairwise Poisson's tests for comparing the rates of CNV densities in  
916 barley chromosomes.

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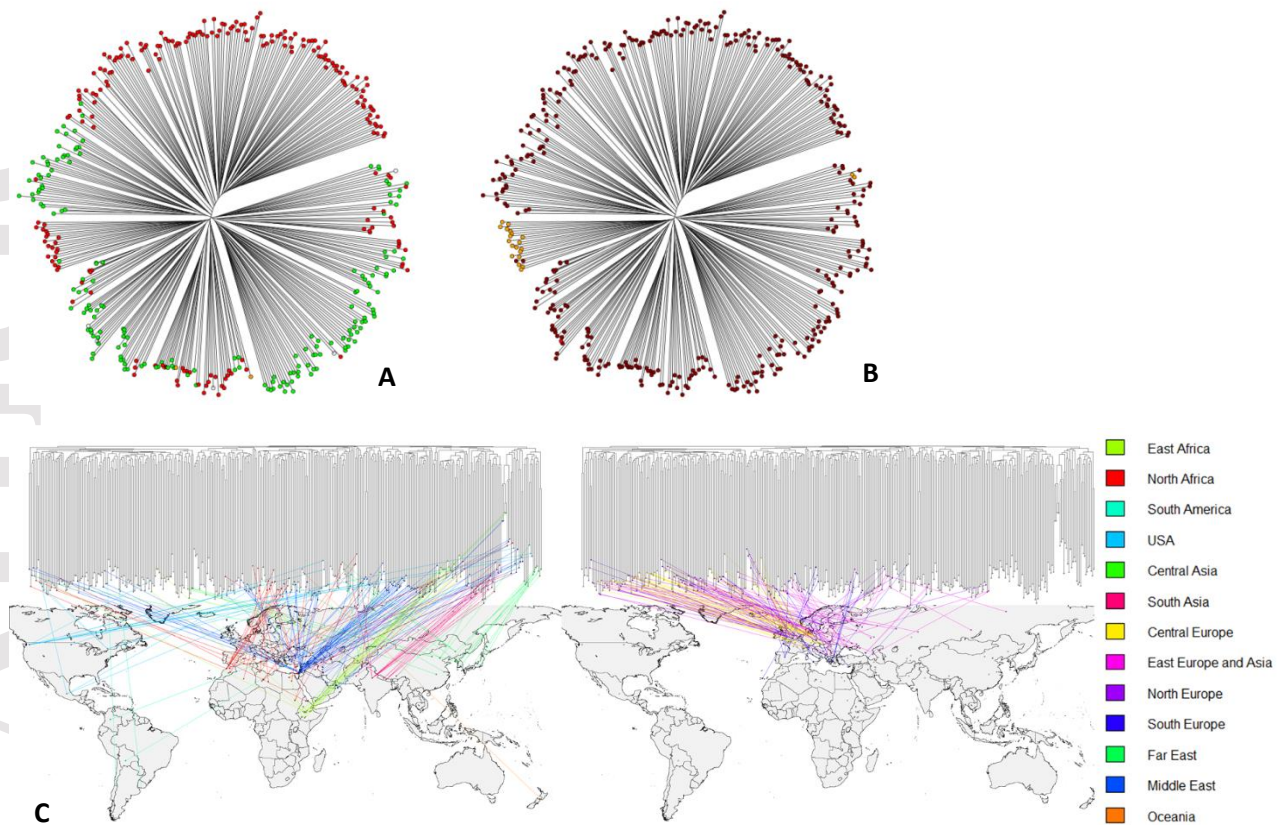


922  
923  
924  
925 **Survey of old and recent segmental duplications in barley cv 'Morex'**

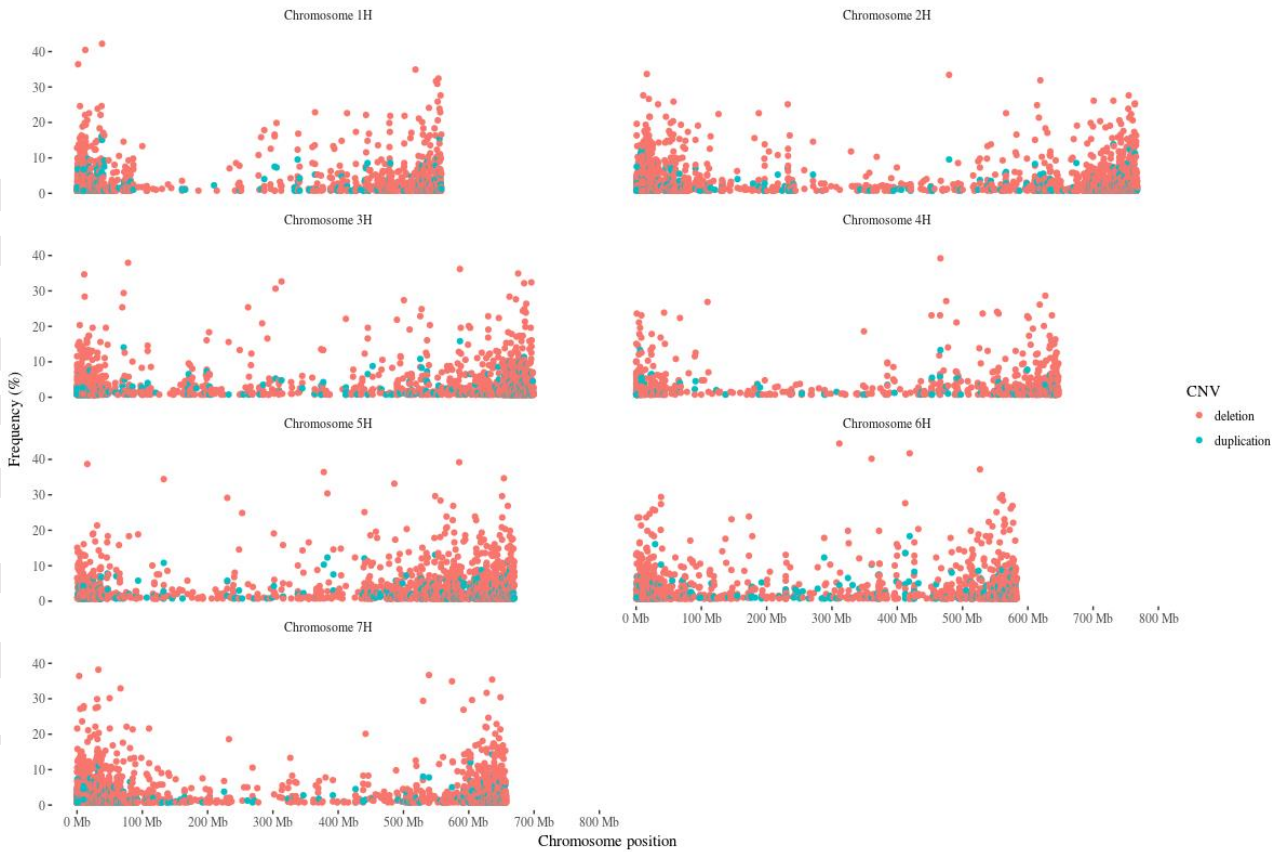
<b>Number of SDs</b>	<b>Identity (%)</b>	<b>Length (bp)</b>
20,853	>95	>1,000
18,873	>96	>1,000
16,107	>97	>1,000
12,631	>98	>1,000
9,114	>99	>1,000

926 Table 4 Number of segmental duplications (SDs) identified in the reference sequence of  
927 barley cv "Morex" using different identity thresholds.

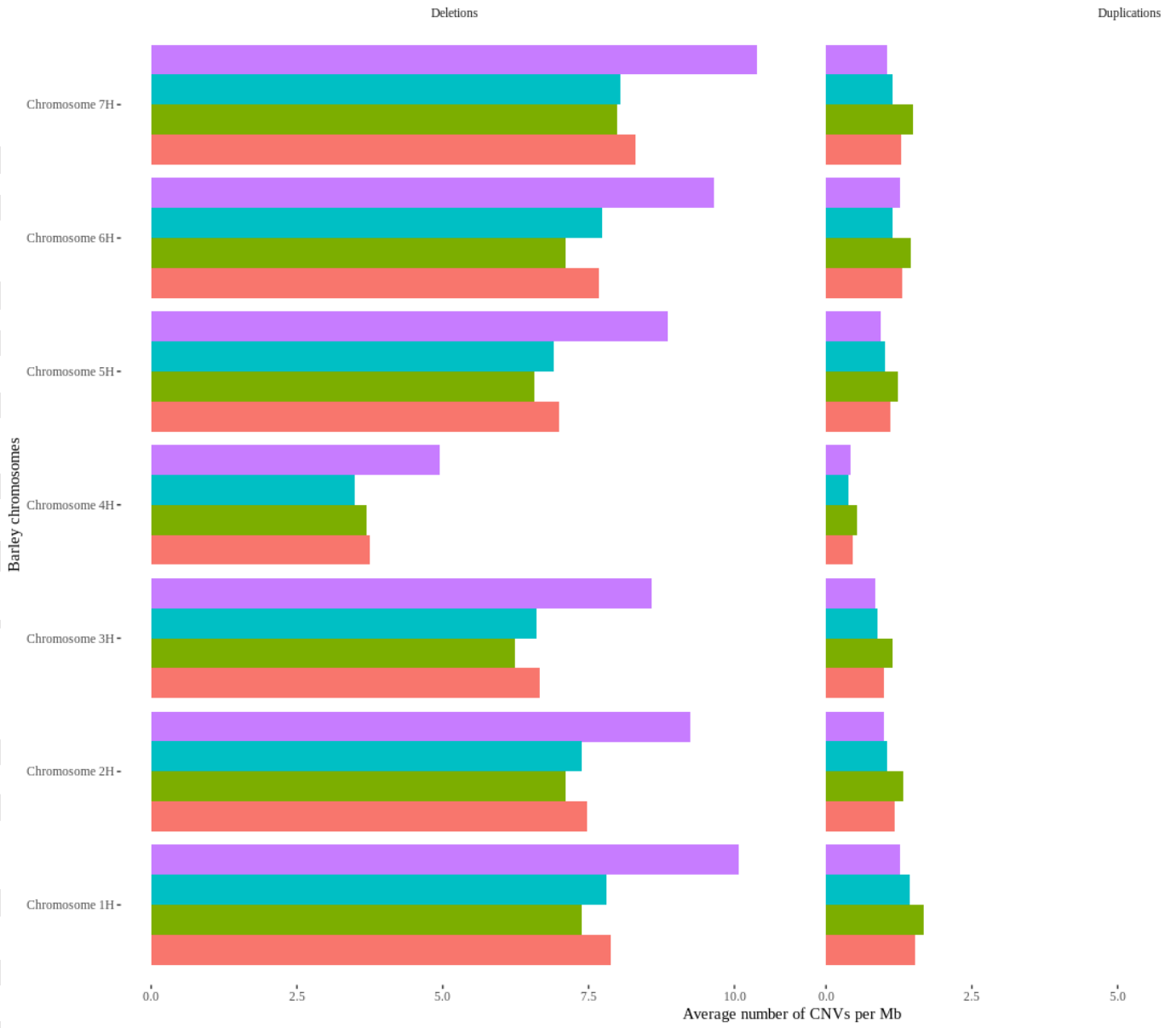
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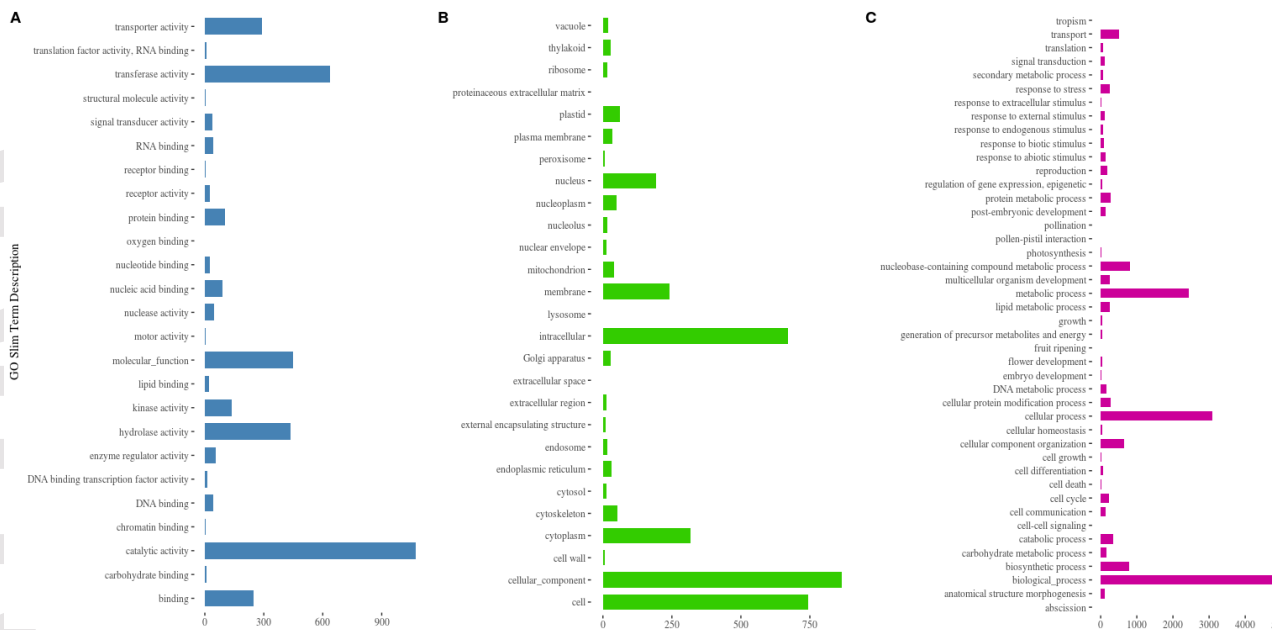
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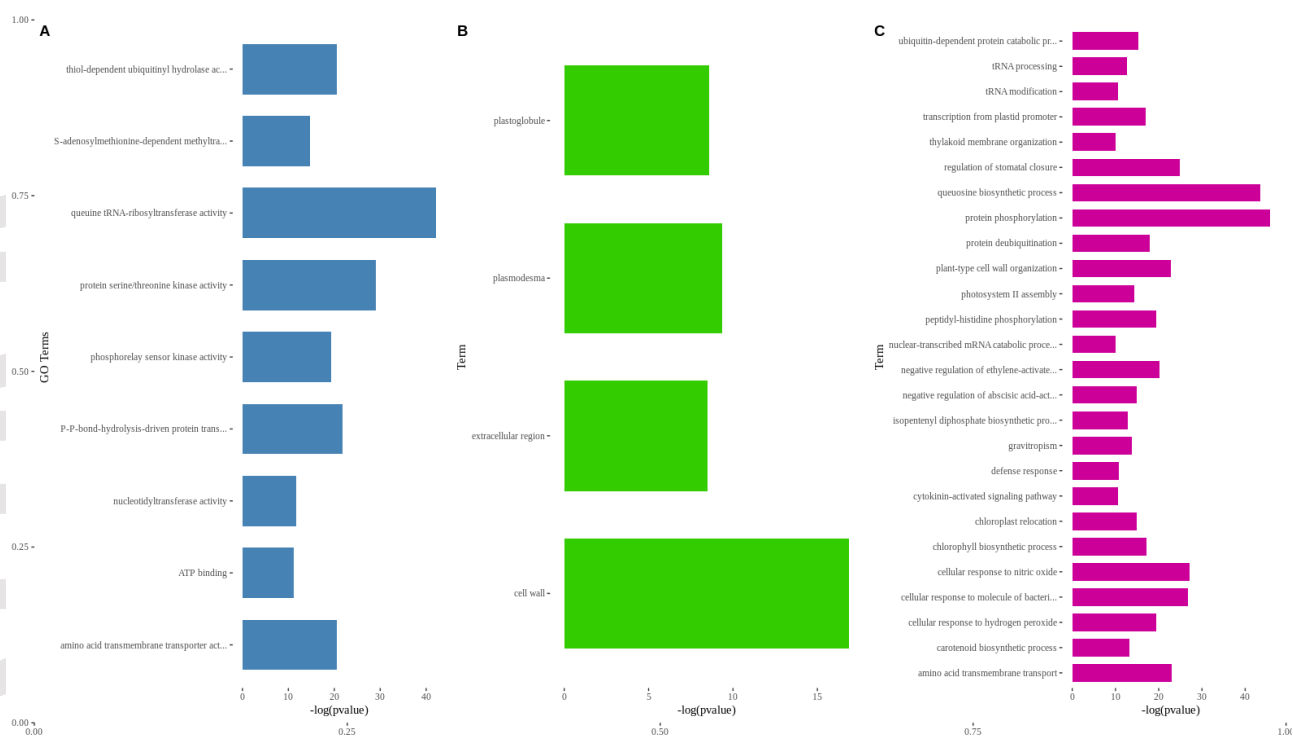


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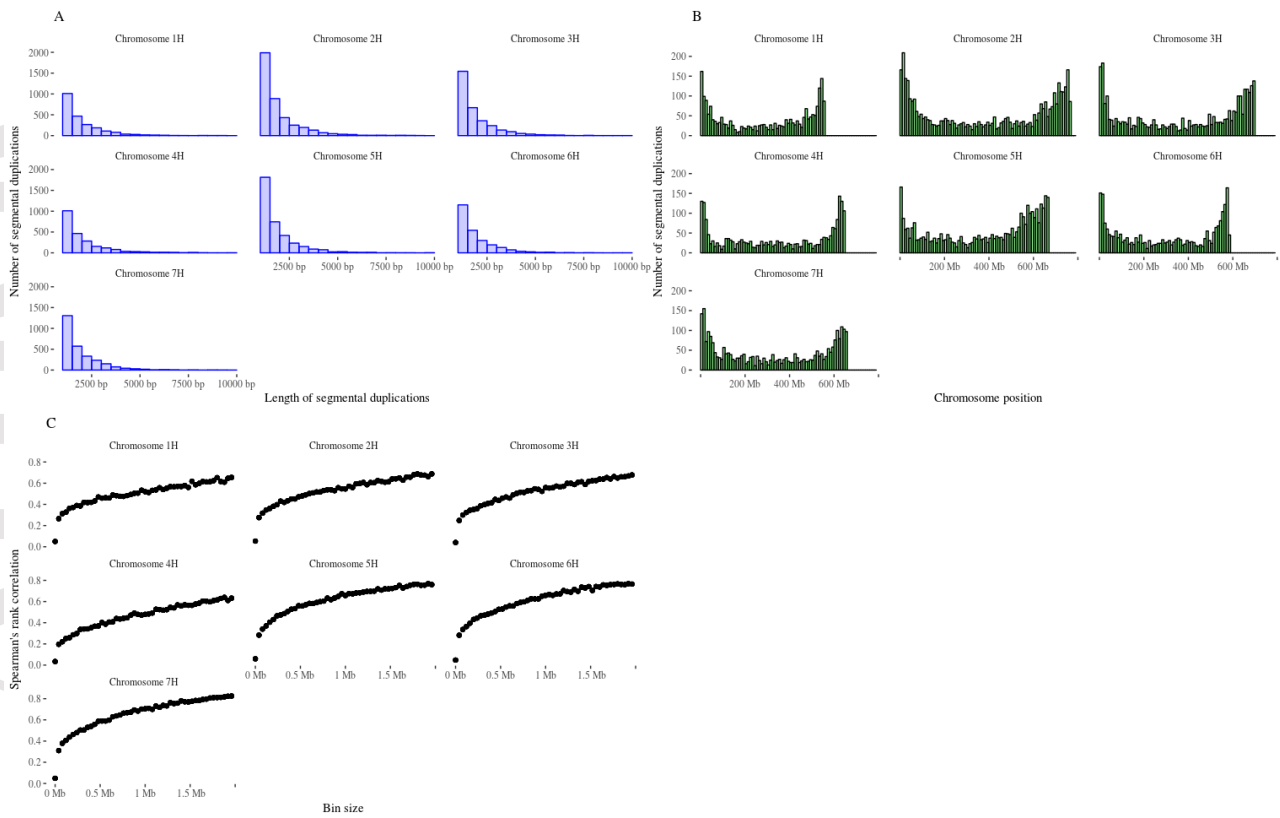


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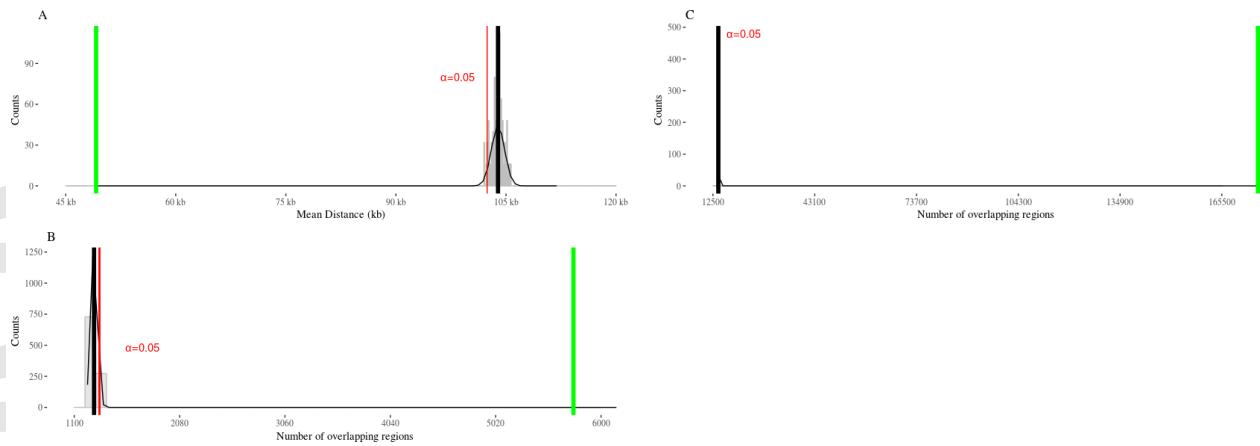


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