

1 Chemostratigraphy and stratigraphic distribution of keeled planktonic
2 foraminifera in the Cenomanian of the North German Basin

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19

20 **Abstract**

21 The record of keeled planktonic foraminifera during the Cenomanian in boreal epicontinental
22 basins is discontinuous. Micropalaeontologic and bulk carbonate carbon and oxygen isotope
23 investigations from two cores in the center of the North German Basin (NGB, Wunstorf, Lower
24 Saxony) showed keeled praeglobotruncanids and rotaliporids to exclusively appear during
25 three stratigraphic intervals of varying duration in the lower and middle Cenomanian. Our new
26 high-resolution carbon isotope ($\delta^{13}\text{C}_{\text{carb}}$) composite curve shows that keeled foraminifera are
27 absent during the Mid Cenomanian Event (MCE) I. In the aftermath of MCE I, keeled planktonic
28 foraminifera are present throughout. The data are correlated to previously published
29 sequence stratigraphic models for the NGB. The presence/absence of keeled planktonic
30 foraminifera in the epicontinental NGB is believed to be controlled by sea level and according
31 environmental conditions in the epicontinental basin.

32

33 **Kurzfassung**

34

35 In den Cenoman-zeitlichen Abfolgen der epikontinentalen Becken der borealen Kreide lassen
36 sich gekielte planktonische Foraminiferen nicht durchgehend nachweisen. Neue
37 Untersuchungen an zwei Bohrungen aus dem Norddeutschen Becken bei Wunstorf in
38 Niedersachsen zeigen, dass sich die Vorkommen von gekielten Praeglobotruncanen und
39 Rotaliporiden auf drei stratigraphisch klar abtrennbare Intervalle des Untercenomaniums und
40 Mittelcenomaniums beschränken. Die hier präsentierte neue und hochauflösende
41 Kohlenstoffisotopenkurve ($\delta^{13}\text{C}_{\text{carb}}$) belegt außerdem, ein Fehlen gekielter planktonischer
42 Foraminiferen während der positiven C-Isotopen-Exkursion des Mid Cenomanian Event (MCE)
43 I. Oberhalb des MCE I ist das Vorkommen gekielter planktonischer Foraminiferen
44 kontinuierlich. Die Korrelation unserer Daten mit etablierten sequenzstratigraphischen

45 Untergliederungen für das Cenomanium Norddeutschlands weist auf einen Zusammenhang
46 zwischen Meeresspiegelschwankungen und dem Vorkommen gekielter Formen hin.
47 Offensichtlich ermöglichten die Umweltbedingungen während hoher Meeresspiegelstände
48 das Leben gekielter Formen in den Randbecken.
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50

51 1. Introduction

52

53 In the Cretaceous Tethyan and Atlantic oceans, some of the Late Albian to Cenomanian
54 planktonic foraminiferal species are excellent stratigraphic marker fossils, often present
55 throughout long intervals of successions, resulting in frequently applied biostratigraphical
56 zonations (e.g. Robaszynski and Caron, 1979; Caron, 1985; Robaszynski and Caron, 1995;
57 Coccioni and Premoli Silva, 2015). Over the years however, taxonomic revisions of planktonic
58 foraminiferal taxa, especially of the polyphyletic *Rotalipora* group (*Rotalipora*, Brotzen 1942;
59 *Thalmanninella*, Sigal, 1948; *Pseudothamanninella*, Wonders, 1978; *Parathalmanninella*,
60 Lipson-Benitah, 2008), have resulted in the re-interpretation of the morphologic plasticity and
61 species variation within the lineages (Robaszynski et al., 1994; Petrizzo and Huber, 2006;
62 Gonzales-Donoso et al., 2007; Lipson-Benitah, 2008), which of course, has influences on the
63 classic planktonic foraminiferal biozonations (e.g. discussions in Ando et al., 2010; Petrizzo et
64 al., 2015; Falzoni et al., 2018). In contrast to the Tethys, unkeeled and globular forms,
65 predominately muricohedbergellids (Huber and Leckie, 2011) dominate the lower to
66 lowermost Upper Cretaceous of the Boreal epicontinental basins (Hecht, 1938; Carter and
67 Hart, 1977; Weiss, 1997; Rückheim et al., 2006; Weiss, 2012). First keeled rotaliporids appear
68 in the latest Albian, but mostly as single specimen or in low numbers (Weiss, 1997).
69 Stratigraphic patchiness of keeled planktonic rotaliporids and praeglobotruncanids continues
70 in the lower to middle Cenomanian (Carter and Hart, 1977; Koch, 1977; Weiss, 1982; Premoli
71 Silva and Sliter, 1999). This changes in the upper Cenomanian following the so-called “P/B
72 break” in Boreal successions, a level marking a sudden increase in total number of planktonic
73 foraminifera versus benthic foraminifera above the “mid-Cenomanian non-sequence” first
74 described by Carter and Hart (1977) (see also Dahmer and Ernst, 1986). As potential factors
75 controlling the stratigraphic patchiness, palaeotemperatures (Carter and Hart, 1977),
76 ingressions of Tethyan water masses (Carter and Hart, 1977; Weiss, 1997), sea-level variations
77 (Hart and Bailey, 1979, Dahmer and Ernst, 1986), the presence of a layered water column
78 versus vertical mixing (Mitchell and Carr, 1998) and changes in nutrient supply have been
79 discussed. In the light of this patchiness, an application of the standard Tethyan planktonic
80 foraminiferal zonation is obviously difficult, especially in the lower to middle Cenomanian
81 (comp. Carter and Hart, 1977; Weiss, 1982; Bornemann et al., 2017).

82 The North German Basin as part of the Southern Permian Basin is one of the southernmost
83 epicontinental basins of the Cretaceous Boreal realm with varying connections to the Tethys
84 via potential gateways in the West and Southeast (summary in Voigt et al. 2008b; Fig. 1). In
85 the central Lower Saxony Basin (LSB), a sub-basin of the North German Basin, the Cenomanian
86 is represented by an up to 170-m-thick succession of hemipelagic marly claystones and marly
87 limestones. For details on the palaeogeographic evolution and depositional environment of
88 the Cenomanian North German Basin see Voigt et al. (2008b) and Wilmsen (2003, 2012).

89 Over the past 15 years, detailed $\delta^{13}\text{C}$ records have allowed for a comprehensive
90 chemostratigraphic correlation of upper Albian to upper Cenomanian successions between

91 Tethyan basins, the Central Atlantic, and the Boreal realm (e.g. Jarvis et al., 2006, Petrizzo et
92 al., 2008; Gambacorta et al., 2015; Giorgioni et al., 2015; Bornemann et al., 2017; Gyawali et
93 al., 2017). Positive and negative excursions of carbon isotope records in the upper Albian to
94 Turonian are frequently used to correlate sedimentary successions and have been interpreted
95 as global carbon cycle perturbations (e.g. Jenkyns et al., 1994; Erbacher et al., 1996; Jarvis et
96 al., 2006). For the upper Albian to middle Cenomanian, however, the resolution of the Boreal
97 records from England (Mitchell et al., 1996; Jarvis et al., 2006) and Northern Germany
98 (Mitchell et al., 1996; Wilmsen, 2007) are rather low, hampering a detailed correlation to
99 sections elsewhere. While the high-resolution record of Bornemann et al. (2017) focused on
100 the Albian-Cenomanian transition, our study presents the first high-resolution $\delta^{13}\text{C}_{\text{carb}}$ record
101 for the lower to upper Cenomanian of the Boreal realm. Globally or supra-regionally occurring
102 carbon isotope excursions during the stratigraphic interval investigated herein are: the lower
103 Cenomanian excursions belonging to LCE 1 to 3 (Jarvis et al., 2006); the Mid Cenomanian Event
104 (MCE) I (Paul et al., 1994; Mitchell et al., 1996; Jarvis et al., 2006) and Oceanic Anoxic Event 2
105 (Arthur et al., 1985; Tsikos et al., 2004; Erbacher et al., 2005; Voigt et al. 2008a; Jenkyns, 2010,
106 among many others).

107

108 Our dataset includes a new composite record documenting the carbon and oxygen isotopes
109 as well as the presence/absence of keeled planktonic foraminifera, spanning large parts of the
110 Cenomanian. This is based on two recently cored commercial boreholes that have been drilled
111 close to Wunstorf, 25 km west of Hanover, Germany (Cores Wunstorf 11/8 and 11/2, Fig. 1).
112 Our record is stratigraphically correlated and partly stratigraphically overlapping with core
113 Anderten 1, east of Hanover, yielding an upper Albian to lower Cenomanian succession (see
114 Bornemann et al., 2017) and with core WK1, close to Wunstorf and stratigraphically covering
115 the upper Cenomanian to lower Turonian (Voigt et al., 2008a) (Figs. 1 and 2). Our data is
116 complemented with published data from the upper Cenomanian of Wunstorf (Weiss, 1982:
117 planktonic foraminifera; Voigt et al., 2008a: carbon and oxygen isotopes).

118

119 The upper part (uppermost Lower Cenomanian to Cenomanian-Turonian Boundary Interval)
120 of the herein studied succession has been described by several authors based on a 110-m-
121 thick succession cropping out in the Wunstorf quarry (Weiss, 1982; Meyer 1990; Zügel, 1994;
122 Mitchell et al., 1996; Wilmsen, 2007; Wilmsen et al., 2007) and the scientific drill-core WK1
123 (Fig. 1) (Erbacher et al., 2007; Voigt et al., 2008a; Blumenberg and Wiese, 2012; van Helmond
124 et al., 2015). Wilmsen (2003) and Wilmsen and Niebuhr (2002) established a well-documented
125 multi-stratigraphic, sedimentological and sequence-stratigraphic scheme of the succession.
126 According to them, the succession in Wunstorf was situated on the outer shelf with high
127 sedimentation rates of up to 100 m/my in the lower and middle Cenomanian and 4 to 6 times
128 lower sedimentation rates in the upper Cenomanian. Regardless of the expanded character of
129 the section, hiatuses of potentially limited extend might be present at the sequence
130 boundaries.

131

132 The aim of this paper is to document and investigate the stratigraphic patchiness of keeled
133 planktonic foraminifera in the lower to middle Cenomanian of the North German Basin.
134 Potential reasons responsible for this patchiness are discussed and the first high-resolution
135 carbonate carbon isotope record spanning the entire Cenomanian of the North German Basin
136 is presented. Planktonic foraminiferal events in the North German Basin are stratigraphically
137 constrained by this detailed chemostratigraphic record.

2. Material and methods

141 Cores Wunstorf 2011/2 and Wunstorf 2011/8 are located 1 km south of Wunstorf (approx. 25
142 km west of Hannover, Germany) near a semi-active quarry, owned by Lafarge-HOLCIM, north
143 of the Autobahn A2. The two holes are situated ca. 800 m apart (Wunstorf 2011/2: UTM
144 32532994.75 E, 5805234.25 N; Wunstorf 2011/8: UTM 32532837.55 E, 5806131.47 N, Fig. 1).
145 Each core covers a 100-m-thick succession spanning the lower Turonian to upper part of the
146 lower Cenomanian (Wunstorf 2011/2) and upper part of the lower Cenomanian to the lower
147 part of the lower Cenomanian (Wunstorf 2011/8). Lithostratigraphically, the two cores
148 comprise the uppermost Herbram- (Bemerode Member), Baddeckenstedt- and Brochterbeck-
149 Formations. The upper part of the cored succession, including the Cenomanian-Turonian
150 Boundary Event (CTBE) is intensively discussed in Voigt et al. (2008a), Wilmsen (2003) and
151 Weiss (1982). Therefore, we focus on the lower 57 m of core Wunstorf 2011/2 and the
152 lowermost 80 m succession of Wunstorf 2011/8, i.e. the lower part of the upper Cenomanian
153 to lower Cenomanian (Figure 2) herein. Our data, however, is complemented with the
154 datasets from Voigt et al. (2008a) and Weiss (1982). See Figures 3 and 4.

156 Micropalaeontology sample spacing ranges from 5 to 100 cm. All samples were crushed into
157 small pieces (1 to 2 cm in diameter), dried, gently disaggregated using an 85% water, 15%
158 hydrogen peroxide solution and soaked in a solution of 80% acetic acid and water modified
159 after the method suggested by Lirer (2000). After soaking, the samples were washed with
160 warm water over a 63 μm mesh sieve and dried at 50°C. The fraction >63 μm was then dry-
161 sieved into fractions >315 μm , 315 to 200 μm , 200 to 125 μm and 125 to 63 μm . From each
162 fraction, planktonic foraminifera and ostracods were picked in slides. Planktonic foraminifera
163 and the ostracod *Physocythere steghausi* were qualitatively (present/absent) and semi-
164 quantitatively documented for biostratigraphic purposes. Keeled planktic foraminifera and *P.*
165 *steghausi* were only retrieved from fractions >125 μm . Taxonomic concepts for planktonic
166 foraminiferal genera and species identification follow their original descriptions and
167 illustrations, the taxonomies by Caron and Spezzaferri (2006), Petrizzo and Huber (2006), Ando
168 and Huber (2007), Gonzales Donoso et al (2007); Huber and Leckie (2011), Petrizzo et al.
169 (2015), and the online taxonomic database for Mesozoic Planktonic Foraminifera available at
170 <http://www.mikrotax.org/pforams/index.html> (Huber et al. 2016). Type material is stored in
171 the Collection of Micropalaeontology (Micro-Unimi) of the Department of Earth Sciences,
172 University of Milan. As mentioned above, we used data documented and described by Weiss
173 (1982) to complement our dataset by correlating his data from the nearby Wunstorf quarry
174 to our dataset (see Fig. 5). Weiss (1982), focused on the middle to upper Cenomanian part of
175 the succession, leading us to sample the lower part of the section at higher resolution.

177 For carbon and oxygen isotope the two drill cores were sampled at a spacing between 20 and
178 100 cm resulting in a total of 319 samples that were analysed for CaCO_3 and total organic
179 carbon (TOC) using a LECO device (LECO Corporation, St Joseph, MI, USA) at the BGR in
180 Hanover. Analyses for bulk-rock stable isotopes ($\delta^{13}\text{C}$, $\delta^{18}\text{O}$) were conducted using a Thermo
181 Scientific GasBench II carbonate device connected to a Thermo Scientific Delta 5 Advantage
182 IRMS (Thermo Fisher Scientific, Waltham, MA, USA) at the Institute of Geology, Leibniz
183 University of Hanover. The GasBench uses viscous water-free (98 g mol⁻¹) orthophosphoric
184 acid at 72°C to release CO_2 of the calcite 1 h before the start of the measurement. Values are

185 expressed in the delta notation relative to Vienna Pee Dee Belemnite (VPDB) in per mill.
186 Repeated analyses of certified carbonate standards (NBS 19, IAEA CO-1 and CO-8) show an
187 external reproducibility of <0.06‰ for $\delta^{13}\text{C}$ and <0.08‰ for $\delta^{18}\text{O}$. As mentioned above, we
188 used data documented and described by Voigt et al. (2008a) to complement our dataset by
189 correlating their data from the nearby core WK 1 to our dataset (see Fig. 3).

190

191

192 **3. Results**

193

194 **3.1 Stable isotope stratigraphy and CaCO_3 values**

195

196 $\delta^{13}\text{C}$ values of cores Wunstorf 11/2 and 11/8 are remarkably stable and vary around 2 per mill
197 between 145 and 45 mcd (meter composite depth, Fig.3). A 1.5‰ positive excursion with
198 maximum values of up to 3‰ is evident between 49 and 30 mcd, followed by a short trough
199 and another increase between 20 and 14 mcd. $\delta^{18}\text{O}$ values are more variable than the $\delta^{13}\text{C}$
200 data. Most negative excursions are documented between 145 and 130 mcd (around -3.8‰).
201 Upsection, the oxygen isotope record shows a long-term cyclicity between 2 and 3‰ and
202 whereas CaCO_3 values show a gradual long-term increase from 60 to 90 wt% between the
203 base of the succession and the top. However, a step in CaCO_3 content is observed at 70 mcd,
204 values below this level show a meter-scale high variability of 15 wt% reflecting marl and
205 limestone alternations, values above 70 m are more uniform.

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207

208

209 **3.2 Planktonic foraminifera (Fig. 4)**

210 Planktonic foraminifera, mostly muricohedbergellids, are present in all samples in varying
211 abundances (see also Weiss et al., 1982). Below 117 mcd of the composite section, planktonic
212 foraminiferal faunas are dominated by muricohedbergellids. *Globigerinelloides* is present but
213 rare throughout this lower part of the succession. Favusellids only occur in very few samples.
214 At 116.20 mcd and 1.18 m above the HO (highest occurrence) of *P. steghausi*, lies the LO
215 (lowest occurrence) of rotaliporids in core Wunstorf 11/8, which are represented by
216 *Thalmanninella globotruncanoides* and *Thalmanninella* cf. *reicheli*. Strikingly large forms (>
217 200 μm) dominate these faunas. Rotaliporids disappear above 114.2 mcd and reappear in low
218 numbers between 105 and 104 mcd. Specimens in the latter interval are small and mainly
219 represented by the species *Thalmanninella globotruncanoides* and *Thalmanninella brotzeni*.
220 Weiss (1982) documented praeglobotruncanids in the same stratigraphic level of the nearby
221 Wunstorf quarry. No keeled planktonic foraminifera (with exception of one single
222 *Praeglobotruncana delrioensis* specimen at 97.11 mcd) occur until 87,88 mcd. Between 87,88
223 and 75 mcd keeled planktonic foraminifera belonging to the species *Th. globotruncanoides*,
224 *Th. brotzeni*, *Thalmanninella gandolfi* are present albeit usually in small numbers. The
225 assemblages in this interval are composed by abundant muricohedbergellids
226 (*Muricohedbergella delrioensis*, *Clavihedbergella amabilis*, *Clavihedbergella simplicissima*,
227 *Muricohedbergella portsdownensis*) characterized by a high variability in the arrangement of
228 the chambers. Rare *Rotalipora montsalvensis* are identified at 79 mcd. No keeled forms are
229 present between 70 and 74 mcd. Between 70 and 45 mcd keeled planktonic foraminifera such
230 as *Th. globotruncanoides*, *Th. gandolfi*, *R. montsalvensis* and *Praeglobotruncana delrioensis*

231 are mostly present. Rare specimens resembling *Thalmaninella reicheli* and *Thalmaninella*
232 *greenhornensis* are recorded at 49.52 mcd. Few meters above, at 45.95 mcd, *Thalmaninella*
233 *deeckeii* and *Whiteinella archaeocretacea* are recorded although very rare.
234 Muricohedbergellids and especially the species *M. delrioensis* and *M. portsdownensis* are
235 common in this interval. A striking lack of keeled forms is evident between 44 and 32 mcd.
236 Weiss (1982) documented one single sample at approx. 38 mcd from this interval with a few
237 praeglobotruncanids. Above 30 mcd, keeled planktonic foraminifera are present and usually
238 common in all samples investigated. Remarkable are the occurrence of common *R.*
239 *montsalvensis*, which shows a high morphologic variability in the shape and number of
240 chambers in the last whorl, and the presence of many specimens transitional to *Rotalipora*
241 *cushmani*.

242 A detailed and taxonomic study/review on the planktonic foraminifera observed will be the
243 focus of another study (Petruzzo and Erbacher in prep.).

244

245 **3.3 Stratigraphy and correlation of the sections investigated**

246 The base of our composite succession lies in the lowermost lower Cenomanian. The
247 uppermost positive excursions of Albian-Cenomanian Boundary Event, well documented in
248 core Anderten 1 (see Bornemann et al., 2017), is not reached, which gives us a good estimate
249 for the maximum age of our succession.

250 The highest occurrence (HO) of the ostracod species *Physocythere steghausi* is regarded as a
251 useful stratigraphic marker in the North German Basin (Frieg et al., 1989; Witte et al., 1992).
252 The HO of *P. steghausi* in core Wunstorf 11/8 at 73.38 m (117.38 mcd) is very close to the
253 lowest occurrence (LO) of rotaliporids at 72.69 m (116.2 mcd, Fig. 5). Bornemann et al. (2017)
254 described the same succession of biostratigraphic events, which we call the "*steghausi-*
255 *Thalmaninella-Event*", and even the same vertical distance between these events from core
256 Anderten 1. This suggests similar sedimentation rates in both successions and underlines the
257 solid applicability of the *steghausi-Thalmaninella-Event* at least in the North German Basin.
258 Bornemann et al. (2017) related a pronounced positive $\delta^{13}\text{C}$ excursion immediately below this
259 event to one of the Lower Cenomanian Events (LCEs) sensu Jarvis et al. (2006). Although
260 sampled at high resolution, this carbon isotope peak cannot be identified in Wunstorf 11/8.
261 Whether this questions the completeness of Wunstorf 11/8 or interpretation in Bornemann
262 et al. (2017) remains open.

263

264 Above approx. 105 mcd, the succession recovered in Wunstorf 11/8 overlaps with the record
265 formerly cropping out in the Wunstorf quarry, which was described in detail by Wilmsen
266 (2003). This allows for a correlation of the recently developed and well-established bio- and
267 lithostratigraphic scheme for the North German Cenomanian (e.g. Wilmsen, 2003; Wilmsen
268 et al., 2005; Wilmsen, 2007; Wilmsen, 2008) with the succession discussed herein (Figs. 2 and
269 3).

270 We interpret the pronounced positive carbon isotope excursion between 49 and 30 mcd to
271 be the local expression of the MCE I. Mitchell et al., (1996) and Wilmsen (2007) both described
272 the presence of the MCE I at Wunstorf. As described in Mitchell et al., (1996), MCE I may be
273 subdivided in two distinct positive peaks, MCE 1a and MCE 1b (Fig. 3). Above approx. 30 mcd,
274 the succession recovered in Wunstorf 11/2 overlaps with the succession described by
275 Erbacher et al. (2007) and Voigt et al. (2008a) (core WK1, Figs. 1 and 2). This allows us to tying

276 our data to a stable isotope record reaching up to the lower Turonian, including the positive
277 carbon isotope excursion related to the Cenomanian-Turonian Boundary Event (Fig. 3).
278
279

280 **4. (Sequence-) Stratigraphic distribution of keeled planktonic praeglobotruncanids and** 281 **rotaliporids in the North German Basin** 282

283 Figure 4 shows the stratigraphic distribution of intervals yielding keeled planktonic
284 foraminifera. Four of these intervals (Intervals I to IV) may be discriminated. Interval I, in the
285 lower part of the lower Cenomanian was first described in core Anderten 1 (Bornemann et al.,
286 2017). It is the first, albeit stratigraphically short interval, with abundant and diverse keeled
287 planktonic foraminifer faunas in the North German Basin. Stratigraphically older findings are
288 confined to single and mostly rare specimen of *Th. appeninica* in upper Albian successions
289 (Koch, 1977, Weiss, 1997). A comparison with the relative sea-level curve and sequence
290 stratigraphic scheme for the North German Basin by Wilmsen (2012) shows Interval I lying
291 above Sequence Boundary Ce1 and correlating with the according transgressive systems tract
292 (TST).

293 Interval II in the middle lower Cenomanian is not as pronounced as Interval I, as keeled
294 planktonic foraminifera do occur but are not as common as in Interval I. It is striking, however,
295 that the interval lies once again above a sequence boundary (SB Ce2) and, thus, falls into a
296 TST.

297 In contrast to Intervals I and II, the upper lower Cenomanian Interval III is of much longer
298 duration. As Interval II, it belongs to depositional sequence Ce III (Wilmsen, 2003). While
299 Interval II correlates with the lower part of the TST, Interval III comprises the maximum
300 flooding zone as defined by Wilmsen (2003).

301 Interval IV begins at the end of the MCE I and its base correlates with the “P/B break” (sensu
302 Carter and Hart, 1977). The upper limit of this interval is not defined, as keeled planktonic
303 foraminifera are present throughout the mid middle to upper Cenomanian succession in
304 Northern Germany (Koch, 1977; Weiss et al., 1982; Dahmer and Ernst, 1986).

305

306 **5. Planktonic foraminiferal intervals and controlling factors – a discussion**

307 Based on the results presented above, the occurrence of keeled planktonic foraminifera in the
308 North German Basin seems to be related to sea level. A rising sea level would allow keeled
309 planktonic foraminifera to migrate into the Cenomanian North German Basin via gateways
310 potentially existing in the northwest (North Sea) and southeast (Polish Basin) (Voigt et al.
311 2008b, Fig. 2). Several authors already suggested this being a potential cause for the base of
312 Interval IV (Carter and Hart, 1977; Dahmer and Ernst, 1986), but for the earlier Intervals I to
313 III, this observation has not been documented yet.

314 The classic model for depth habitats of Cretaceous planktonic foraminifera goes back to a
315 modern analogue model, where species with small, simple and globular tests would be

316 shallow dwellers and large, complex tests often disc-shaped with keels would be deep
317 dwellers. Accordingly, muricohedbergellids are interpreted as shallow dwellers and keeled
318 species, such as rotaliporids, as deep dwellers resulting in a dominance of muricohedbergellids
319 in epicontinental basins (e.g. Hart and Bailey, 1979; Hart, 1980; Caron and Homewood, 1983;
320 Leckie, 1987; Premoli Silva and Sliter, 1999). Modern depth-habitat reconstructions based on
321 carbon and oxygen isotope investigations of diagenetically uncompromised tests, however,
322 demonstrated that such models are too simplistic (e.g., Hart, 1999; Abramovich et al., 2003;
323 Bornemann and Norris, 2007; Ando et al., 2009, 2010; Falzoni et al., 2013, 2016). Planktonic
324 foraminifera seem to be far more adaptive to varying environmental conditions than formerly
325 expected and water-depth seems to be only one potential factor controlling the presence or
326 absence in ecological niches. Other ecological factors are the thickness of the mixed-layer,
327 position and stability of the thermocline, trophic conditions and salinity, as well as surface
328 water circulation (see Hart, 1999; Abramovich et al., 2003; Ando et al., 2009, 2010; Falzoni et
329 al., 2013, 2016; Petrizzo et al., 2017 and discussions therein).

330 The spatial distribution of planktonic organisms in epicontinental seas is mainly depending on
331 ocean circulation patterns (e.g. currents) and boundaries between different water masses
332 (Schiebel and Hemleben, 2017). For the case of the North German Basin this means Tethyan
333 or Atlantic water-masses needed to have flown into or through the basin in order to enable
334 planktonic foraminifera to invade. An increase of sea level alone would not bring e.g. Tethyan
335 planktonic foraminifera to the North German Basin. This sounds trivial but needs to be taken
336 into account when discussing the factors responsible for the presence or absence of keeled
337 planktonics in an epicontinental basin.

338 Intervals I to IV: While a correlation of Intervals III and IV with high sea level is obvious as both
339 of these Intervals correlate perfectly with the maximum flooding zones defined by Wilmsen
340 (2003, 2008), the correlation of Intervals I and II is not as straight forward. Both of these events
341 are much shorter in duration and rather correlate with phases of rising but not highest sea
342 level (Fig. 5). This might be explained by a sample resolution too low to document the
343 presence/absence of foraminifera sophisticatedly. Another possible explanation is a lack of
344 precision of Wilmsen's sea-level curve for the lower lower Cenomanian part of the succession.
345 Certainly, his observations for this stratigraphic interval are based on a rather limited number
346 of sections, leaving space for a re-interpretation of the sea-level curve for this stratigraphic
347 part (see question mark behind the position of SB Ce2 in e.g. Wilmsen, 2003). A third
348 possibility could be, ocean currents connecting the North German Basin during the initial
349 transgression, only and being redirected, elsewhere, during further sea level rise. This
350 interpretation is supported by the presence of large rotaliporid tests in Interval I and a lack of
351 small, potentially juvenile forms. One potential explanation for this interpretation was given
352 by Retailleau et al. (2009). These authors have observed a lack of small tests in planktonic
353 foraminifer cohorts on the modern continental shelf in the Bay of Biscay. The authors
354 interpreted these faunas as being expatriated from the open ocean. Environmental
355 conditions on the shelf (river discharge affecting salinity and trophic conditions) allowed a
356 further growth of the foraminifer individuals but no reproduction.

357 Keeled planktonic foraminifera in the Cenomanian of southern England: A comparison with
358 the planktonic foraminiferal record of the Cenomanian in southern England shows a rather

359 comparable evolution, although continuous records are lacking. Apparently, keeled species
360 are present from the base of the Lower Cenomanian onward (*Thalmaninella appenninica* and
361 *Praeglobotruncana stephani*) (Carter and Hart, 1977) but their stratigraphic appearance
362 seems to be patchy and a continuous presence of keeled forms is not observed before the late
363 Lower Cenomanian, i.e. during our late Interval III (Carter and Hart, 1977; Paul et al., 1994;
364 Hart and Harris, 2012).

365 MCE 1: In contrast to Wunstorf and Baddeckenstedt, a more proximal section to the southeast
366 of Wunstorf (Bartels, unpubl.), keeled planktonic foraminifera are present in southern England
367 during the MCE 1 positive carbon isotope excursion (Paul et al., 1994; Mitchell and Carr, 1998).
368 Mitchell and Carr (1998) explained the occurrence of *Th. reicheli* in Folkestone to be controlled
369 by the 100 ky eccentricity cycle with *Th. reicheli* only being present during the transgressive
370 phase of a cycle and disappearing thereafter and *R. montsalvensis* and praeglobotruncanids
371 being present throughout the MCE. Generally, however, MCE 1 is paralleled by a low sea level
372 (Wilmsen, 2012; Gebhardt et al., 2004) and a potential ingress of cool water masses from
373 the Boreal Sea (e.g. Zheng et al., 2016). The environmental conditions during MCE 1, obviously
374 did not allow keeled planktonic species to survive in the North German Basin. As they were
375 described from southern England, however, temperature can be ruled out as a factor
376 controlling the absence. Other factors such as water-depth, salinity, the availability of prey or
377 the connection of the North German Basin by open ocean currents thus have to be considered.
378 Batenburg et al. (2016), based on astronomical age models for sections from the Western
379 Interior Seaway, dated the base of the MCE 1 excursion to 96.57+/-0,12 Ma and the end of
380 the excursion to 96.36 +/-0,12 Ma, resulting in a duration of 200 ky for MCE 1 and the absence
381 of keeled planktonic foraminifera in Northern Germany, respectively.

382

383 **6. Summary**

384 Micropalaeontologic and carbon and oxygen isotope investigations from two new cores in the
385 center of the North German Basin (Wunstorf, Lower Saxony) showed keeled
386 praeglobotruncanids and rotaliporids to appear during three stratigraphic intervals of varying
387 duration in the Lower and Middle Cenomanian. The last interval without keeled planktic
388 foraminifera is during the Mid Cenomanian Event (MCE 1). Above MCE 1 and starting with the
389 "P/B-break" (Carter and Hart, 1977), keeled planktonic foraminifera are present throughout.
390 Our new high-resolution stable carbon isotope record allowed tying the micropalaeontologic
391 record to sequence stratigraphic models and sea level curves for the North German Basin
392 published by Wilmsen (2012). Obviously, high sea level connected the North German Basin to
393 Tethyan and/or Atlantic water masses during the intervals yielding keeled planktonic
394 foraminifera. Planktonic foraminifera were able to adapt to the environmental conditions in
395 the North German Basin. Whether or not they were thriving in the same ecological niches as
396 in their "original" habitat remains open.

397

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399

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406 SEM imaging and sample preparation.

407

408 **Figure Captions**

409 Fig 1: (A1) locality map of the Wunstorf quarry near Hanover with position of cores Wunstorf
410 2001-2 and Wunstorf 2011-8 as well as core WK1; (A2) locality map of Northern Germany with
411 position of Wunstorf and cores Anderten 1 and 2 near Hanover. (B) Palaeogeographic map of
412 northern Germany during Albian and Cenomanian times with position of locations discussed
413 herein (modified after Hiss, 1995).

414

415 Fig 2: Palaeogeographic map of Europe for the Upper Cretaceous, modified after Vejbaek et
416 al. (2010).

417 Fig. 3: Composed section for Wunstorf cores (WK1, Wunstorf 2011-2 and Wunstorf 2011-8)
418 and Wunstorf quarry (Wilmsen, 2003, Erbacher et al., 2007, Voigt et al., 2008a) showing
419 schematic lithology, stable carbon and oxygen isotope records and CaCO₃ values. Names
420 indicate key chemostratigraphic events following the terminology of Jarvis et al. (2006). $\delta^{13}\text{C}$
421 record: light green line from core Wunstorf 2011-8, dark green line from core Wunstorf 2011-
422 2, black line from core WK 1 (Voigt et al., 2008a). $\delta^{18}\text{O}$ record: red line from core Wunstorf
423 2011-8, brown line from core Wunstorf 2011-2, black line from core WK 1 (Voigt et al., 2008a).
424 CaCO₃ values: red line from core Wunstorf 2011-8, brown line from core Wunstorf 2011-2.
425 The “facies change”, which marks the lithologic base of the Cenomanian Turonian Boundary
426 Event (CTBE), has been taken as 0 m on the depth scale.

427 Fig. 4. 1a-c, *Thalmaninella gandolfi*, sample 11/8-31.00-31.05; 2a-c, *Muricohedbergella*
428 *delrioensis*, sample 11/8-31.00-31.05; 3a-c, *Clavihedbergella simplicissima*, sample 11/8-
429 31.00-31.05; 4a-c, *Praeglobotruncana delrioensis*, sample 11/8-56.10-56.15; 5a-c,
430 *Thalmaninella globotruncanoides*, sample 11/2-57.44-57.50; 6a-c, *Rotalipora montsalvensis*,
431 sample 11/2-50.00-50.05; 7a-c, *Rotalipora cf. cushmani*, sample 11/2-50.00-53.05; 8a-c,
432 *Whiteinella archaeocretacea*, sample 11/2-76.22-76.78; 9a-c, *Thalmaninella reicheli*, sample
433 11/2-50.00-53.05; 10a-c, *Muricohedbergella portsmouthensis*, sample 11/2-50.00-50.05. Scale
434 bars 100 μm . SEM images acquired at the Department of Earth Sciences, University of Milan
435 using Jeol JSM-IT500.

436

437 Fig. 5. Composed section for Wunstorf cores (WK1, Wunstorf 2011-2 and Wunstorf 2011-8)
438 and Wunstorf quarry showing schematic lithology and $\delta^{13}\text{C}$ record (see figure caption 4). Dots
439 indicate microplaeontologic results from this study (left column) and Weiss (1982) (right
440 column). Sequence boundaries (SB Ce) are from Wilmsen (2003) and Bornemann et al. (2017).

441 Sea level curve from Wilmsen (2012). Grey shaded horizons mark intervals with keeled
442 planktonic foraminifera.

443

444 8. References

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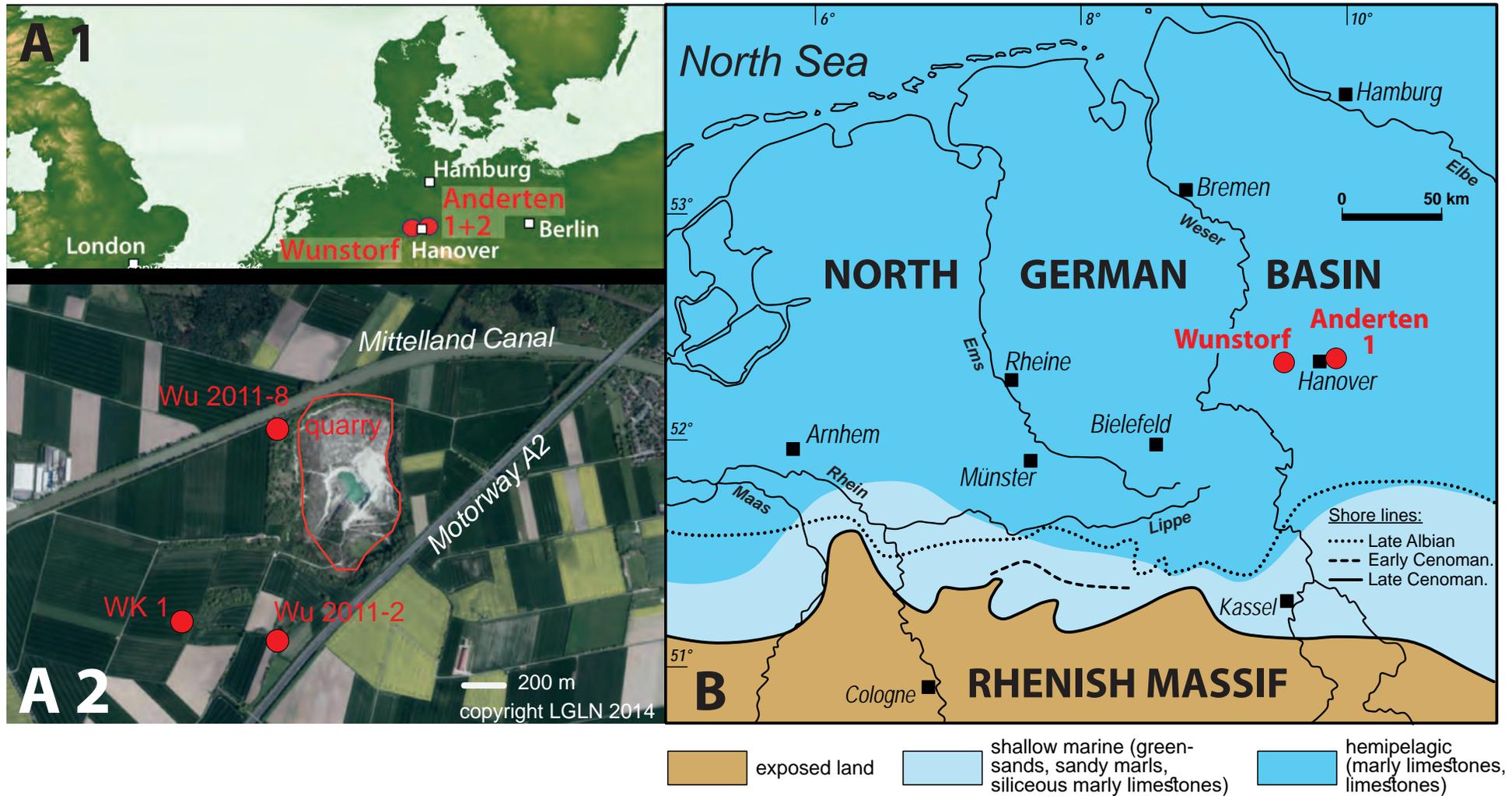


Fig. 1

