Survival of L. casei DG® (Lactobacillus paracasei CNCM I1572) in the gastrointestinal tract of a healthy 1 2 paediatric population 3 Milko Radicioni¹, Ranjan Koirala³, Walter Fiore^{2,3}, Chiara Leuratti¹, Simone Guglielmetti³ and Stefania Arioli³ 4 ¹ CROSS Research S.A., via F.A. Giorgioli 14, 6864 Arzo, Switzerland 5 ² SOFAR SpA, 20060 Trezzano Rosa, Milano, Italy 6 ³ Department of Food Environmental and Nutritional Science (DeFENS), University of Milan, Italy 7 8 Corresponding author: 9 Milko Radicioni, MD 10 CROSS Research S.A, Switzerland 11 Tel: +41.91.630.05.10 12 Email: milko.radicioni@croalliance.com 13 14 (Co)-corresponding author: 15 Stefania Arioli 16 Department of Food Environmental and Nutritional Science (DEFENS), University of Milan, Italy 17 Tel: +39.0250319133 18 Email: stefania.arioli@unimi.it 19 20 **Keywords:** Healthy children, probiotics, L. casei DG[®] recovery, *Lactobacillus paracasei* CNCM-I1572 21 22 Acknowledgments 23 We would like to gratefully acknowledge CROSS Research S.A. (Switzerland) for study coordination, and 24 DEFENS, Milan University (Italy) for the faeces recovery study. This study was funded by SOFAR S.p.A., Italy. 25 26 Abbreviations 27 CFU: Colony Forming Unit, GCP: Good Clinical Practice; GI: gastrointestinal; GRAS: Generally Recognized As 28 Safe; ICH: International Conference on Harmonisation; LCDG: L. casei DG®

ABSTRACT

29

- 30 *Purpose*: Ability to survive the digestive process is a major factor in determining the effectiveness of a probiotic.
- 31 In this study, the ability of the probiotic L. casei DG® (Lactobacillus paracasei CNCM I-1572) to survive
- 32 gastrointestinal transit in healthy children was investigated for the first time.
- 33 Methods: Twenty children aged 3-12 years received L. casei DG® as drinkable solution of 1 x109 colony forming
- units (CFU), once daily for 7 consecutive days. Recovery in faecal samples was evaluated at baseline and at
- 35 different time-points during and after administration. Defecation frequency, faeces consistency, digestive function
- 36 and product safety were also assessed.
- 37 Results: Nineteen (95%) out of 20 enrolled children presented viable L. casei DG® cells in their fecesat least once
- during the study, with a maximum count (mean: $4.3 \log_{10} CFU/g \pm 2.3$) reached between day 4 and 6 from the
- beginning of consumption. Notably, for 11 (55%) of the children L. casei DG[®] survived in faecal samples up to 3
- 40 days after treatment end. Defecation frequency, faeces consistency and digestive function did not change
- 41 considerably during or after study treatment. Safety of the study product was very good.
- 42 Conclusions: L. casei DG® survives the gastrointestinal transit when ingested by children with a paediatric
- probiotic drinkable solution containing 1 x109 CFU, and persists in the gut up to 3 days after the end of product
- intake, demonstrating resistance to gastric juices, hydrolytic enzymes and bile acids.

INTRODUCTION

45

46 A first assessment of probiotics efficacy was made in 2001 by an International Expert Consultation group, working 47 for the Food and Agricultural Organization (FAO) of the United Nations and the World Health Organization 48 (WHO) [1]. One output was a reworking of the definition of probiotics, which was accepted in 2014 by the 49 International Scientific Association for Probiotics and Prebiotics [2], with only a minimal grammatical change, as 50 follows: "Probiotics are live microorganisms that, when administered in adequate amounts, confer a health benefit 51 on the host". 52 The health promoting effects of probiotic bacteria, mostly lactobacilli and bifidobacteria, are being increasingly 53 reported, in particular in patients affected by pathological conditions [1-7]. In a very recent review on the role of 54 probiotics, Khalesi et al. [8] confirmed that probiotic supplementation generates a transient improvement in gut 55 microbiota and has a role on improving immune system responses, stool consistency, bowel movement and vaginal 56 lactobacilli concentration also in healthy subjects. In addition, the authors confirmed that in healthy adults 57 probiotic consumption can have a beneficial effect on the immune, gastrointestinal and female reproductive health 58 systems. 59 An effective probiotic should be preferably of human origin, remain viable during storage and use, be generally 60 recognized as safe (GRAS), confer health benefits on the host, modulate host immunity, prevent or treat a specific 61 pathogen infection by antimicrobial production, adhere to human intestinal cells, contain a large number of viable 62 cells and be capable of surviving in the gut [5]. It follows that a major factor in determining the effectiveness of a 63 probiotic is its ability to survive the digestive process and thrive in the gastrointestinal tract [9-13]. In the gut, in 64 fact, ingested bacteria are confronted with many physicochemical effects that may adversely influence <mark>bacteria</mark> 65 viability. These include gastric acid, bile acid and digestive enzymes, along with the highly diverse and competitive 66 environment presented by the gut microflora [14, 15]. 67 Interestingly, survival of different *lactobacilli* strains in the gastrointestinal tract after oral ingestion has been 68 demonstrated in several faecal recovery studies conducted in healthy volunteers [16, 16, 18]. 69 Lactobacillus paracasei is a normal component of healthy individuals' intestinal microflora, commonly used in 70 probiotics products. L. casei DG® (Lactobacillus paracasei CNCM I1572; LCDG) is a probiotic strain isolated 71 from human faeces and developed by SOFAR S.p.A. in the Enterolactis® line products. LCDG was deposited at 72

the Pasteur Institute, Paris (deposit N. CNCMI1572).

73 Characteristics of LCDG are its ability to adhere to the small intestine mucosae, to produce lactic acid, to survive 74 under pH 3.0 conditions and in the presence of bile acids, and not to induce antibiotics resistance [19, 23]. 75 Consistently with these peculiarities a number of in vitro/in vivo studies support its therapeutic use: in healthy 76 adults LCDG was shown to have the ability to modulate the intestinal microbial ecosystem [19] and to influence 77 host's immune responses [21, 21] through its unique exopolysaccharide capsule [23]. In addition, LCDG is 78 endowed with therapeutic potential for several dysfunctional and pathological conditions such as ulcerative colitis 79 [23], diverticular disease [25, 25], small intestinal bacterial overgrowth [27] and irritable bowel syndrome [23, 80 27]. 81 A previous study in healthy adult volunteers, administered an adult LCDG formulation containing 8.5x109 CFU, 82 once a day for 7 days, demonstrated the presence of live LCDG cells in the collected faeces up to 7 days after the 83 end of treatment [29]. In the study by Ferrario et al. [19], LCDG cells in faecal samples of healthy adults were 84 significantly increased as compared to baseline after 4-week once daily administration of capsules (Enterolactis® 85 Plus) containing at least 24x109 viable cells. The same study also demonstrated that the intake of LCDG modulated 86 gut microbiota, in particular by increasing the Costridiales geni Coprococcus: Blautia ratio, which, according to 87 the literature, could potentially confer a health benefit on the host. 88 The aim of the present open-label, one-week treatment study was to confirm the ability of an LCDG paediatric 89 formulation, containing 1 x109 live bacteria, to transit alive through the gastrointestinal tract in children during 90 and after the administration period. Product safety, defecation frequency, faeces consistency and digestive function 91 were also evaluated.

METHODS

92

93 Study design and participants 94 This was a single centre, open-label, one-arm, recovery study, which included a screening visit, a one-week run-95 in, a one-week administration period, a two-week follow-up period and a final visit. After the screening visit (V1), 96 subjects attended the clinical centre on the day before the first administration (day -1, V2), on day 8 (V3) and for 97 the final visit (day 22/23) (Figure 1). 98 The study protocol was approved by the Ethics Committee of Canton Ticino, Switzerland. All the subjects were 99 given a detailed description of the study and all of them gave written informed consent before enrolment. The 100 study was performed from August to October 2017, in accordance with the Declaration of Helsinki, harmonised 101 European standards for Good Clinical Practice (ICH E6 1.24) and the applicable local laws. 102 Healthy male and female children, aged 3-12 years and classified as not overweight based on the body mass 103 index chart for sex and age [30], were enrolled in the study. All children were in good physical health, as 104 assessed through a full physical examination at screening. No subjects were on abnormal diets or vegetarians. 105 Children with a defecation frequency above 3 stools per day or less than 3 stools per week were not enrolled. 106 Exclusion criteria also included the following: history or presence of significant diseases, in particular 107 inflammatory/infective intestinal diseases, viral or bacterial enteritis, gastric or duodenal ulcer, metabolic 108 diseases, primary or secondary immunodeficiency; antibiotics intake within 1 month before the screening visit; 109 any other medication, including over the counter drugs, for 2 weeks before the study. Subjects were not enrolled 110 if they were hypersensitive or allergic to any study product's ingredient or food components and if they had 111 participated in other clinical trials in the past 3 months. 112 Investigational product Enterolactis® (L. casei DG®, Lactobacillus paracasei CNCMI1572; LCDG) was supplied as vials containing 1 113 114 x10⁹ CFU as powder in the cap (SOFAR SpA, Italy). 115 All children enrolled in the study received one vial of the investigational product, once daily from day 1 to day 7. 116 The product was reconstituted just before intake. Upon opening of the vial, the powder in the cap directly mixed 117 with the drinkable solution. For the intake, after the vial was shaken, the children drank the content of the vial 118 directly, under fasting conditions, in the morning at least 10 min before breakfast, or alternatively in the evening 119 before going to bed, at least 2 h after the last meal of the day. Administrations date/time was recorded on a daily 120 diary. Product accountability and diary check were performed to check treatment compliance.

During the entire study, the subjects continued their normal diet except for fermented milk, probiotics food supplements or any other probiotic-containing products and prebiotics food supplements, which were forbidden from the start of the run-in phase until study end. Traditional yoghurts were allowed. The intake of any medication was reported as a protocol deviation.

Faecal sample collection and analysis

126 127 Faecal samples were collected at baseline (day -2), during the one-week treatment (day 1 and 4) and at follow-up

121

122

123

124

125

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

(day 8, 11, 14, 17 and 20). Collection times could vary of +1 day at baseline or +2 days at all the other time-points. Samples were collected in sterile containers, stored at home at approximately 2-8° C, picked up by a courier as soon as possibleafter defecation and delivered at 2-8°C to the Department of Food, Environmental and Nutritional Sciences (DEFENS), University of Milan, Italy. Each fresh faecal sample was processed immediatly after the delivery to the laboratory that's within 24 h after defecation, in order to not affect the viability of the probiotic strain. The protocol for the analysis is described in Arioli and coworkers (2018). Specifically, after homogenization of the sample, 1 g of faeces was resuspended in 9 mL Maximum Recovery Diluent (MRS; Scharlau) and mixed with a Stomacher. Then, the fecal suspension was serially 1:10 diluted and inoculated by spreading on agar plates containing MRS medium (Difco) supplemented with 1 mg/L vancomycin and 10 mg/L kanamycin (vkMRS). Finally, plates were incubated anaerobically at 37°C for up to 48 h. The identification of the colonies as LCDG strain was carried out by assessing the sticky/filamentous texture of the colony and through an end point-colony PCR with strain specific primers (rtWELFf and rtWELFr) (20). PCRs were performed in 25-µL reaction mixtures, each containing 1 colony (picked with a sterile wooden stick), 2.5 μL of 10× reaction buffer, 200 μmol/L of each dNTP, 0.5 mmol/L MgCl₂, 0.5 μmol/L each primer, and 0.5 U DreamTaqTM DNA polymerase (Thermo Fisher Scientific Inc., Monza, Italy). Amplifications were carried out using a Mastercycler 96 (Eppendorf, Milan, Italy). The PCR mixtures were subjected to the following thermal cycling conditions: initial hold at 95 °C for 3 min followed by 39 cycles of 95 °C for 30 s, 58 °C for 30 s and 72 °C for 30 s. Amplification products were resolved by electrophoresis on a 2% (w/v) agarose gel (with 0.2 µg/mL ethidium bromide) in 1×TAE buffer (40 mmol/L Tris-acetate, 1 mmol/L EDTA, pH 8.0) and photographed. A 1kb GeneRuler DNA Ladder Mix was used as a size marker. The method has a detection limit of 100 cells LCDG/g of wet faeces. Result values are presented as \log_{10} CFU/g of wet faeces.

149 Defecation frequency, stool consistency, digestive function and safety assessments

150	Besides investigational product administration date/time, study subjects or their parent(s) reported in a daily diary:
151	defecation date/time, stool consistency, adverse events occurrence and concomitant medication intake. Stool
152	consistency was assessed according to the illustrations associated with the 1-7 score system of the Bristol stool
153	scale [32]. Scores were as follows: 1. separate hard lumps like nuts; 2. sausage-shaped but lumpy, 3. like a sausage
154	but with cracks on the surface, 4. like a sausage or snake, smooth and soft; 5. soft blobs with clear-cut edges; 6.
155	fluffy pieces with ragged edges, a mushy stool; 7. watery, no solid pieces, entirely liquid.
156	In addition, digestive function was evaluated daily in the diary as bad (score 1), normal (score 2), good (score 3)
157	or optimal (score 4) from the day before first administration until day 8. Product intake global evaluation was
158	assessed by the investigator on day 8.
159	Safety and general tolerability of the investigational product were based on treatment-emergent adverse events
160	occurrence, daily diary check and physical examinations performed at screening and final visit.
161	Sample size and data analysis
162	Study sample size was not based on any formal calculation but was deemed appropriate for the descriptive and
163	pilot nature of the study.
164	The data documented in this trial and the parameters measured were described using classic statistics, i.e. mean,
165	SD, CV (%), minimum and maximum values, for quantitative variables and frequencies for qualitative variables.
166	Data not available were evaluated as "missing values". The analysis was performed using SAS® version 9.3
167	(TS1M1).
168	Adverse events were coded using the Medical Dictionary for Regulatory Activities version 20.1.

169 **RESULTS** 170 Demography and disposition of the study participants 171 Twenty (20) healthy children, 10 males and 10 females, satisfying the study inclusion/exclusion criteria, were 172 enrolled, received all planned doses of the investigational product and were included in the data analyses. 173 Demographic characteristics of the study subjects are presented in Table 1. 174 Nineteen (19) children completed the study per protocol, while one (subject 19) discontinued during the follow-175 up phase, due to an antibiotic therapy to cure a tooth abscess (i.e. azithromycin 180 mg suspension), not allowed 176 according to the study requirements. 177 *L.* casei $DG^{\mathbb{R}}$ faecal recovery. 178 During the run-in period, as expected no viable LCDG cells were present in the analysed faecal samples. This was 179 expected considering that the children were instructed not to consume any probiotic/prebiotic food components or 180 supplements. 181 During the administration period most subjects showed variable counts of live LCDG CFU in their faeces. In 182 particular, viable cells of LCDG were isolated from at least one faecal sample of all children that concluded the 183 study.(Table 2 and Table 3). 184 In general, most of the viable LCDG cells were isolated during the week of probiotic treatment, with a maximum 185 count (mean log₁₀ CFU/g of 4.3±2.3 [range 3.7 - 6.3]; Table 3) reached between day 4 and 6 after the beginning 186 of the intake. 187 For 3 children (15.7%), viable cells were already detected on day 3 (assessment time: day 1 [+2]) at counts of 4 -188 4.8 log₁₀ CFU/g, whereas for the other 17 children no viable LCDG was detectable at this time point. 189 Notably, for 11 (57.8%) of the 19 children with detectable live cells, LCDG survived in faecal samples up to at 190 least 3 days after treatment end (day 10, i.e. assessment time: day 8 [+2]; Table 2 and 3). At this time-point, viable 191 LCDG counts ranged from 3.7 to 5.5 log₁₀ CFU/g, with a mean log₁₀ of 2.8±2.2 CFU/g. 192 <u>Defecation frequency and stool consistency</u>

Weekly average daily defecation numbers are consistent throughout the study periods (Figure 2). Percentage of

subjects reporting 0, 1, 2 or 3 evacuations during the day did not change considerably from the run-in to the

administration period and from the administration period to the follow-up, with most subjects reporting one

193

194

195

196

defecation / day throughout the study.

197 The most frequent stool consistency score was 3 during most study days (Figure 3). Scores 1 and 6 were seldom 198 recorded (frequency < 5%) and score 7 was never recorded. Score 2 slightly increased and score 5 slightly 199 decreased with time, during and after treatment. 200 Consistent with the overall evidence on defecation frequency and stool consistency, the children scored their 201 digestive function most frequently as "Optimal" both at baseline (50%) and at the end of the administration period 202 (55%), with the majority of the children who had an "Optimal" digestion at baseline maintaining the same digestive 203 function during all study periods. Digestion was "Good" for 30% of the children at baseline and for 25% at study 204 end. Notably, for one child who had a "Good" digestion at baseline digestion improved to "Optimal" starting from 205 day 4 up to the last assessment (day 8). For the remaining children, digestive function was graded as "Normal", 206 with one child improving from "Normal" at baseline to "Good" at study end. No children scored their digestive 207 function as "Bad" at any evaluation. 208 Global evaluation and safety assessments 209 Finally, the individual global evaluation of the product intake was very good for 15 out of the 20 (75%) children. 210 Of the other children, 3 (15%) judged product intake as good and 2 (10%) as normal. 211 The investigational product, administered to the study children once daily for 7 days, showed a very good safety 212 profile. Only 4 subjects (20%) reported mild to moderate treatment-emergent adverse events either at the end of 213 the treatment period or during the follow-up phase. The most common event was headache experienced by 2 214 (10%) children. All other adverse events (i.e. oropharyngeal pain, abdominal discomfort, pyrexia, chills and 215 tooth abscess) were reported by 1 (5%) subject each. The reported adverse events were judged as not related to 216 study product intake and resolved before study end. No clinically relevant findings were observed at the physical 217 examination performed at the final visit.

218 **DISCUSSION** 219 In the present study, we have demonstrated for the first time that LCDG is capable of surviving the transit through 220 the gastrointestinal tract of 3-12 years old children during and after a one-week consumption of a drinkable 221 paediatric formulation, administered at the daily dose of $1x10^9$ CFU. 222 Nineteen (19) children, who received the investigational probiotic, had LCDG CFU in their faecal samples during 223 the administration period, 3 of them already after 1-3 days of treatment. Maximum viable LCDG counts were 224 found at day 4-6 (mean 4.3 \log_{10} CFU/g ± 2.3 [range 3.7 - 6.3 \log_{10} CFU/g]). 225 These results confirm the ability of LCDG strain to pass the gastrointestinal barrier, i.e. to survive the untoward 226 actions of gastric acid, bile acids and hydrolytic enzymes, also in children. According to these findings, in vitro 227 results have previously shown that LCDG can resist at extreme pH (as low as pH 3) and bile acids conditions [19, 228 23]. 229 Although no previous studies evaluated the survival of LCDG in children, a few studies were performed in infants 230 who were administered other lactobacilli strains with different formulations. In a study performed in 2 months-6 231 years old children suffering from acute diarrhoea and administered for 5 days L. rhamnosus 573L/1, 573L/2, 232 573L/3 strains as milk/glucose solution (1.2x10 CFU; strain 1:1:1 proportion), viable bacterial cells were detected 233 on the last treatment day in faeces samples of 37 out of the 46 (80.4%) treated children [33]. 234 In another study, Marzotto et al. [34] observed that 92% of 26 (12-24 months old) infants retained viable L. 235 paracasei A cells, at counts ranging from 4.3 to 8.2 log₁₀ CFU/g after the first week of consumption of 100 g 236 fermented milk containing 8.2 log₁₀ CFU/g of this *Lactobacillus* strain. As also previously reported, in fact, in 237 most cases, ingested strains are still detected after a few days [35, 36]. In the above cited study [34], the percentage 238 of children with positive samples decreased to 16% during the wash-out that followed the overall 4-week treatment. 239 Notably, in the present study, live LCDG in faeces was present up to day 10, i.e. 3 days following the last product 240 intake, in 58% of the study children at counts ranging from 3.7 to 5.5 log₁₀ CFU/g, indicating a rather sustained 241 persistence. 242 For comparison, in a study conducted in healthy adult volunteers [20] continuing their usual diet throughout the 243 investigation, administration of a probiotic capsule containing at least 24 x109 viable LCDG, every day for 4 244 weeks, resulted in a significant increase (p<0.001) in bacterial cells, detected in faecal samples of all subjects at 245 the end of the probiotic intervention at a mean count of 7.5±0.7 log₁₀ CFU/g (range 6.2 - 8.3 log₁₀ CFU/g), as

compared to baseline (7/12 subjects; mean 5.1±0.3 log₁₀ CFU/g; range 4.7 - 5.6 log₁₀ CFU/g). Interestingly, after

246

247 a 4-week washout period, the LCDG cell number decreased to the amount before probiotic intake. More recently, 248 the ability of LPCDG to survive gastrointestinal transit in healthy adults after 1 week consumption of 1 x 109 CFU 249 per dose was evaluated (Arioli et al., 2018). The main finding of the study was that all 20 subjects enrolled were 250 positive at least once for LPCDG alive cells in the fecal sample, with the highest concentration between 4 and 8 251 days from the beginning of probiotic consumption. Alive probiotic cells were countable up to 5 days after the end 252 of the Enterolactis intake. 253 In the study by Drago et al. [29], after administration of 8.5x109 CFU LCDG to 12 healthy adult volunteers once 254 daily for 7 days, viable cells were detected in all samples during consumption, with mean counts ranging from 255 1.2x10⁵ on day 3 to 2.3x10⁶ CFU/g on day 7, and one week after treatment cessation (mean 1.1x10⁶ CFU/g). 256 The results of the present study are also consistent with previously published data obtained with various lactobacilli 257 strains where bacteria were found in numbers ranging from $< 2 \log_{10} \text{ CFU/g}$ tp $8 \log_{10} \text{ CFU/g}$ [see e.g. 15-18, 19, 258 29, 34, 36, 37]. 259 Recovery of bacteria in faecal samples is consistently variable between individuals [4]. As in the other referenced 260 studies, a high variability in recovered live cells in faecal samples was observed. It is known that the diet can 261 indirectly affect the survival of ingested probiotics [38]. The different amount of recovered LCDG cells in different 262 subjects may thus be associated with the food consumed, which could affect the gastric emptying rate and thus the 263 survival of the probiotics [39], although other factors could have contributed to the variability observed. Faecal 264 presence of ingested strains, also referred to as persistence, reflects not only the dose of the ingested strain, but 265 also the extent of cell death (mainly in the upper gastrointestinal tract), and the subsequent replication of surviving 266 cells. 267 In the present study, digestive function was also evaluated, in order to assess whether LCDG intake for a short 268 time period and in a healthy paediatric population could already exert a beneficial effect. Results showed that 269 digestive function was reported as "Optimal" or "Good" for the majority of subjects already before the consumption 270 of the investigational product. The digestive function either did not change (for 18/20 children) or improved only 271 very slightly and only for 2 children at the end of the one-week administration period as compared to baseline. 272 In addition, the majority of subjects reported one stool evacuation each day during the whole study duration, with 273 negligible changes in defecation frequency between the study periods. Stool consistency did not significantly 274 change during the study, with score 3 (like a sausage but with cracks on the surface) being the most frequent at all 275 assessment times. To note that score 3 is an indicator of a satisfactory stool consistency. Upon treatment, score 2

(sausage-shaped but lumpy) slightly increased and score 5 (soft blobs) slightly decreased, suggesting a very modest digestion improvement, although not clinically relevant, during and after treatment. Based on currently available evidence, L. rhamnosus GG strain has proven to be efficacious in the treatment of children acute gastroenteritis, prevention of antibiotic-associated diarrhoea and prevention of nosocomial diarrhoea [27, 40, 41, 42]. In addition, similar to the findings of the present investigation, a previous study in healthy adults showed that a 2-week administration of fermented milk containing a strain of L. casei (i.e. L. casei Shirota) did not change bowel movements frequency or stool consistency [18]. In the present study, general digestive conditions of the enrolled healthy children, including defecation frequency, stool consistency and digestive function, were already satisfactory at study entry, due to the restrictions imposed by the study inclusion criteria. It is likely that this, together with the short administration period, could be the reason why no relevant changes were observed upon probiotic treatment. In the present study, the good safety profile and palatability of LCDG drinkable paediatric formulation were also confirmed. In conclusion, the present preliminary study, carried out in healthy children, aged 3-12 years, demonstrated for the first time that L. casei DG® survives the gastrointestinal transit when ingested with the paediatric probiotic drinkable formulation containing 1x109 CFU, and persists in the gut up to 3 days after the end of probiotic consumption, demonstrating resistance to gastric juices, hydrolytic enzymes and bile acids.

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293	Ethical statements
294	The study protocol was approved by the Ethics Committee of Canton Ticino, Switzerland.
295	All the subjects were given a detailed description of the study and all of them gave written informed consent
296	before enrolment.
297	The study was performed from August to October 2017, in accordance with the Declaration of Helsinki,
298	harmonised European standards for Good Clinical Practice (ICH E6 1.24) and the applicable local laws.
299	Conflict of interest
300	W.F. is an employee of SOFAR S.p.A., Italy; M.R. and C.L. are employees of CROSS Research S.A.; SA, RK
301	and S.G. are employees of DEFENS, Milan University. CROSS Research S.A. and DEFENS, Milan University,
302	were contracted by SOFAR S.p.A. and received financial support for their services. The authors declare that they
303	have no other relationships or activities that could appear to have influenced the submitted work.

304 REFERENCES

- 305 1. FAO and WHO working group (2002). Probiotics in food Health and nutritional properties and guidelines
- for evaluation. http://www.fao.org/3/a-a0512e.pdf. Accessed 20 April 2018
- 307 2. Hill C, Guarner F, Reid G, Gibson GR, Merenstein DJ, Pot B6, Morelli L, Canani RB, Flint HJ, Salminen S,
- 308 Calder PC, Sanders ME (2014) Expert consensus document. The International Scientific Association for
- Probiotics and Prebiotics consensus statement on the scope and appropriate use of the term probiotic. Nat Rev
- 310 Gastroenterol Hepatol 11(8):506-14. https://doi.org/10.1038/nrgastro.2014.66
- 3. Saxelin M, Tynkkynen S, Mattila-Sandholm T, de Vos WM (2005) Probiotic and other functional microbes:
- from markets to mechanisms. Curr Opin Biotechnol 16(2):204-11.
- 313 https://doi.org/10.1016/j.copbio.2005.02.003
- 314 4. Derrien M, van Hylckama Vlieg JET (2015) Fate, activity, and impact of ingested bacteria within the human
- 315 gut microbiota. Trends Microbiol 23(6):354-66. https://doi.org/10.1016/j.tim.2015.03.002
- 5. Ljungh A, Wadström T (2006) Lactic acid bacteria as probiotics. Curr Issues Intest Microbiol 7(2):73-89
- 317 6. Guglielmetti S, Mora D, Gschwender M, Popp K (2011) Randomised clinical trial: Bifidobacterium bifidum
- 318 MIMBb75 significantly alleviates irritable bowel syndrome and improves quality of life--a double-blind,
- placebo-controlled study. Aliment Pharmacol Ther 33(10):1123-32. https://doi.org/10.1111/j.1365-
- 320 2036.2011.04633.x
- 321 7. Shen J, Zuo ZX, Mao AP (2014) Effect of probiotics on inducing remission and maintaining therapy in
- 322 ulcerative colitis, Crohn's disease, and pouchitis: meta-analysis of randomized controlled trials. Inflamm
- 323 Bowel Dis 20(1):21-35. https://doi.org/10.1097/01.MIB.0000437495.30052.be
- 8. Khalesi S, Bellissimo N, Vandelanotte C, Williams S, Stanley D, Irwin C (2018) A review of probiotic
- supplementation in healthy adults: helpful or hype? Eur J Clin Nutr. https://doi.org/10.1038/s41430-018-
- 326 0135-9
- 9. Perdigon G, Alvarez S, Rachid M, Aguero G, Gobbato N (1995) Symposium: Probiotic bacteria for humans:
- 328 Clinical systems for evaluation of effectiveness. J Dairy Sci 78:1597-606
- 329 10. Perdigón G, Fuller R, Raya R (2001) Lactic acid bacteria and their effect on the immune system. Curr Issues
- 330 Intest Microbiol (1):27-42
- 331 11. Dommels YE, Kemperman RA, Zebregs YE, Draaisma RB, Jol A, Wolvers DA, Vaughan EE, Albers R
- 332 (2009) Survival of Lactobacillus reuteri DSM 17938 and Lactobacillus rhamnosus GG in the human

- gastrointestinal tract with daily consumption of a low-fat probiotic spread. Appl Environ Microbiol
- 334 75(19):6198-204. https://doi.org/10.1128/AEM.01054-09
- 12. Saxelin M, Lassig A, Karjalainen H, Tynkkynen S, Surakka A, Vapaatalo H, Järvenpää S, Korpela R, Mutanen
- M, Hatakka K (2010) Persistence of probiotic strains in the gastrointestinal tract when administered as
- capsules, yoghurt, or cheese. Int J Food Microbiol 15;144(2):293-300.
- 338 https://doi.org/10.1016/j.ijfoodmicro.2010.10.009
- 339 13. Hütt P, Kõll P, Stsepetova J, Alvarez B, Mändar R, Krogh-Andersen K, Marcotte H, Hammarström L,
- Mikelsaar M (2011) Safety and persistence of orally administered human *Lactobacillus* sp. strains in healthy
- 341 adults. Benef Microbes 2(1):79-90. https://doi.org/10.3920/BM2010.0023
- 342 14. Tuohy KM, Pinart-Gilberga M, Jones M, Hoyles L, McCartney AL, Gibson GR (2007) Survivability of a
- probiotic *Lactobacillus casei* in the gastrointestinal tract of healthy human volunteers and its impact on the
- 344 faecal microflora. J Appl Microbiol 102(4):1026-32. https://doi.org/10.1111/j.1365-2672.2006.03154.x
- 15. Larsen CN, Nielsen S, Kæstel P, Brockmann E, Bennedsen M, Christensen HR, Eskesen DC, Jacobsen BL
- and Michaelsen KF (2006) Dose-response study of probiotic bacteria *Bifidobacterium animalis* subsp *lactis*
- 347 BB-12 and Lactobacillus paracasei subsp paracasei CRL-341 in healthy young adults. European Journal of
- 348 Clinical Nutrition 60: 1284-1293. https://doi.org/10.1038/sj.ejcn.1602450
- 349 16. Saxelin M, Pessi T, Salminen S (1995) Fecal recovery following oral administration of *Lactobacillus* strain
- 350 GG (ATCC 53103) in gelatine capsules to healthy volunteers. Int. J. Food Microbiol 25:199–203
- 351 17. Oozeer R, Leplingard A, Mater DD, Mogenet A, Michelin R, Seksek I, Marteau P, Doré J, Bresson JL,
- 352 Corthier G (2006) Survival of *Lactobacillus casei* in the human digestive tract after consumption of fermented
- 353 milk. Appl Environ Microbiol 72(8):5615-7. https://doi.org/10.1128/AEM.00722-06
- 354 18. Wang R, Chen S, Jin J, Ren F, Li Y, Qiao Z, Wang Y, Zhao L (2015) Survival of *Lactobacillus casei* strain
- 355 Shirota in the intestines of healthy Chinese adults. Microbiol Immunol 59(5):268-76.
- 356 https://doi.org/10.1111/1348-0421.12249
- 357 19. De Vecchi E, Nicola L, Zanini S, Drago L (2008) In vitro screening of probiotic characteristic of some Italian
- 358 products. J Chemother 2008; 20(3):341-7. https://doi.org/10.1179/joc.2008.20.3.341
- 359 20. Ferrario C, Taverniti V, Milani C, Fiore W, Laureati M, De Noni I, Stuknyte M, Chouaia B, Riso P,
- Guglielmetti S (2014) Modulation of faecal *Clostridiales* bacteria and butyrate by probiotic intervention with

- 361 Lactobacillus paracasei DG varies among healthy adults. J Nutr 144: 1787–1796.
- 362 https://doi.org/10.3945/jn.114.197723
- 363 21. Balzaretti S, Taverniti V, Guglielmetti S, Fiore W, Minuzzo M, Ngo HN, Ngere JB, Sadiq S, Humphreys PN
- and Laws AP (2017) A novel rhamnose-rich hetero-exopolysaccharide 1 isolated from *Lactobacillus*
- paracasei DG activates THP-1 human monocytic cells. Appl. Environ. Microbiol 17:83(3).
- 366 https://doi.org/10.1128/AEM.02702-16
- 367 22. Cremon C, Guglielmetti S, Gargari G, Taverniti V, Castellazzi AM, Valsecchi C, Tagliacarne C, FioreW,
- Bellini M, Bertani L, Gambaccini D, Cicala M, Bastianello G, Vecchi M, Pagano I, Barbaro MR, Bellacosa
- L, StanghelliniV and Barbara G (2017) Effect of Lactobacillus paracasei CNCM I-1572 on symptoms, gut
- microbiota, short chain fatty acids, and immune activation in patients with irritable bowel syndrome: A pilot
- 371 randomized clinical trial. United European Gastroenterol J 1:1-10. 10.1177/2050640617736478
- 372 23. Balzaretti S, Taverniti V, Rondini G, Marcolegio G, Minuzzo M, Remagni MC, Fiore W, Arioli S,
- Guglielmetti S (2015) The vaginal isolate *Lactobacillus paracasei* LPC-S01 (DSM 26760) is suitable for oral
- 374 administration. Front Microbiol 15;6: 952. https://doi.org/10.3389/fmicb.2015.00952
- 24. D'Inca' R, Barollo M, Scarpa M, Grillo AR, Brun P, Vettorato MG, Castagliuolo I, Sturniolo GC (2011)
- Rectal administration of *Lactobacillus casei* DG modifies flora composition and Toll-like receptor expression
- in colonic mucosa of patients with mild ulcerative colitis. Dig Dis Sci 56: 1178–1187.
- 378 https://doi.org/10.1007/s10620-010-1384-1
- 379 25. Turco F, Andreozzi P, Palumbo I, Zito FP, Cargiolli M, Fiore W, Gennarelli N, De Palma GD, Sarnelli G
- and Cuomo R (2017) Bacterial stimuli activate nitric oxide colonic mucosal production in diverticular disease.
- Protective effects of L. casei DG® (*Lactobacillus paracasei* CNCM I-1572). United European Gastroenterol
- 382 J. 5(5):715-724. https://doi.org/10.1177/2050640616684398
- 383 26. Tursi A, Brandimarte G, Elisei W, Picchio M, Forti G, Pianese G, Rodino S, D'Amico T, Sacca N, Portincasa
- P, Capezzuto E, Lattanzio R, Spadaccini A, Fiorella S, Polimeni F, Polimeni N, Stoppino V, Stoppino G,
- Giorgetti GM, Aiello F, Danese S (2013) Randomised clinical trial: Mesalazine and/or probiotics in
- maintaining remission of symptomatic uncomplicated diverticular disease a double-blind, randomised,
- placebo-controlled study. Aliment Pharmacol Ther 38: 741–751. https://doi.org/10.1111/apt.12463

- 27. Rosania R, Giorgio F, Principi MB, Amoruso A, Monno R, Di Leo A and Ierardi E (2013) Effect of Probiotic
- or Prebiotic Supplementation on Antibiotic Therapy in the Small Inestinal Bacterial Overgrowth: A
- 390 Comparative Evaluation. Curr Clin Pharmacol 8(2):169-72
- 391 28. Compare D, Rocco A, Coccoli P, Angrisani D, Sgamato C, Iovine B, Salvatore U and Nardone G (2017)
- 392 Lactobacillus casei DG and its postbiotic reduce the inflammatory mucosal response: an ex-vivo organ culture
- model of postinfectious irritable bowel syndrome. BMC Gastroenterol 17(1):53.
- 394 https://doi.org/10.1186/s12876-017-0605-x
- 395 29. Drago L, De Vecchi E, Valli M, Nicola L, Lombardi A, Gismondo MR (2002) Colonizzazione intestinale di
- 396 Lactobacillus casei subsp. casei I-1572 CNCM (L. casei DG) in volontari sani e in topi germ-free. Farmaci e
- terapia; Vol. XIX (1/2):72-76 [article in Italian]
- 398 30. SSP SGP (2012) Growing curves. http://www.swiss-paediatrics.org/sites/default/files/
- recommandations/courbes_de_croissances/pdf/perzentilen_2012_09_15_sgp_i.pdf. Accessed 20JUN2017
- 400 31. Arioli S et al. Quantitative recovery of viable *Lactobacillus paracasei* CNCM I-1572 (L. casei DG®) after
- 401 gastrointestinal passage in healthy adults. Submitted
- 402 32. Lewis SJ, Heaton KW (1997) Stool form as a useful guide to intestinal transit time. Scand. J. Gastroenterol
- 403 32:920-924. https://doi.org/10.3109/00365529709011203
- 404 33. Szymański H, Chmielarczyk A, Strus M, Pejcz J, Jawień M, Kochan P, Heczko PB (2006) Colonisation of
- 405 the gastrointestinal tract by probiotic *L. rhamnosus* strains in acute diarrhoea in children. Dig Liver Dis 38
- 406 Suppl 2:S274-6. https://doi.org/10.1016/S1590-8658(07)60009-7
- 407 34. Marzotto M, Maffeis C, Paternoster T, Ferrario R, Rizzotti L, Pellegrino M, Dellaglio F, Torriani S (2006)
- 408 Lactobacillus paracasei A survives gastrointestinal passage and affects the fecal microbiota of healthy infants.
- 409 Res Microbiol 157(9):857-66. https://doi.org/10.1016/j.resmic.2006.06.007
- 410 35. Firmesse O, Mogenet A, Bresson JL, Corthier G, Furet JP (2008) Lactobacillus rhamnosus R11 consumed in
- a food supplement survived human digestive transit without modifying microbiota equilibrium as assessed by
- real-time polymerase chain reaction. J Mol Microbiol Biotechnol 14(1-3):90-9.
- 413 https://doi.org/10.1159/000106087
- 414 36. Fujimoto J, Matsuki T, Sasamoto M, Tomii Y, Watanabe K (2008) Identification and quantification of
- 415 Lactobacillus casei strain Shirota in human feces with strain-specific primers derived from randomly

- amplified polymorphic DNA. Int J Food Microbiol 15;126(1-2):210-5.
- 417 https://doi.org/10.1016/j.ijfoodmicro.2008.05.022
- 418 37. Ahlroos T, Tynkkynen S (2009) Quantitative strain-specific detection of Lactobacillus rhamnosus GG in
- human faecal samples by real-time PCR. J Appl Microbiol 106(2):506-14. https://doi.org/10.1111/j.1365-
- 420 2672.2008.04018.x.
- 421 38. Salonen A, de Vos WM (2014) Impact of diet on human intestinal microbiota and health. Annu Rev Food Sci
- 422 Technol 5:239-62. https://doi.org/10.1146/annurev-food-030212-182554
- 423 39. Russo F, Clemente C, Linsalata M, Chiloiro M, Orlando A, Marconi E, Chimienti G, Riezzo G (2011) Effects
- of a diet with inulin-enriched pasta on gut peptides and gastric emptying rates in healthy young volunteers.
- 425 Eur J Nutr 50(4):271-7. https://doi.org/10.1007/s00394-010-0135-6
- 426 40. .Hojsak I (2017) Probiotics in Children: What Is the Evidence? Pediatr Gastroenterol Hepatol Nutr 20(3):139-
- 427 146. https://doi.org/10.5223/pghn.2017.20.3.139
- 428 41. Allen SJ, Martinez EG, Gregorio GV, Dans LF (2010) Probiotics for treating acute infectious diarrhoea.
- 429 Cochrane Database Syst Rev 10;(11):CD003048. https://doi.org/10.1002/14651858.CD003048.pub3.
- 42. Szajewska H, Skórka A, Ruszczyński M, Gieruszczak-Białek D (2007) Meta-analysis: Lactobacillus GG for
- treating acute diarrhoea in children. Aliment Pharmacol Ther 15;25(8):871-81.
- 432 https://doi.org/10.1111/j.1365-2036.2007.03282.x

433	Figure captions
434	Fig. 1 Graphic representation of the study design
435	
436	Fig. 2 Average percentage of children reporting 0, 1, 2 or 3 defecations / day during the run-in, treatment and
437	follow-up (days 8-14 and 15-22) study phases. N=20
438	
439	Fig. 3 Average children percentage data for each stool consistency score, assessed daily using the Bristol 1-6
440	score scale*, during the run-in, treatment and follow-up (days 8-14 and 15-22) study phases. N=20
441	*Score 1: separate hard lumps like nuts; score 2: sausage-shaped but lumpy; score 3: like a sausage but with
442	cracks on the surface; score 4: like a sausage or snake, smooth and soft; score 5: soft blobs with clear-cut edges;
443	score 6: fluffy pieces with ragged edges, a mushy stool; score 7: watery, no solid pieces, entirely liquid.
444	

Table 1 Demography of the study children

Parameter	Analysed subjects N = 20
Sex	
Male – n (%)	10 (50%)
Female – n (%)	10 (50%)
Race	
White	20 (100.0%)
Age (Years)	
Mean ± SD	7.0±2.8
Median (Range)	6.5 (3-12)
Body weight (kg)	
Mean ± SD	27.07±11.64
(Range)	25.05 (13.4 – 59.5)
Height (cm)	
Mean ± SD	125.1±19.0
(Range)	125.0 (94 – 170)
Body mass index (kg/m²)	
Mean ± SD	16.49±1.89
(Range)	15.75 (14.2 – 20.9)

Table 2 Percentage of children with viable L. casei DG^{\circledast} cells in faecal samples collected at baseline (day - [+1]), during treatment (Day 1 [+2]), Day 4 [+2]) and at follow-up (Day 8 [+2] and days 11, 14, 17 and 20 [+2])

Assessments	Subjects	Subjects, n(%) with viable L. casei DG® in faecal sample						
	Number	Baseline	One-week treatment		Follow-up			
		Day -2 (+1)	Day 1 (+2)	Day 4 (+2)	Day 8 (+2)	Day 11, 14, 17, 20 (+2)		
Daily assessment	20	0 (0.0%)	3 (15.0%)	16 (80.0%)	11 (55.0%)	0 (0.0%)		
Overall	20	0 (0.0%)	19 (95.0%)		0 (0.0%)			

Table 3 Individual and mean (\pm SD) counts of viable L. casei DG[®] in faecal samples of the study children (N=20) at baseline, during the probiotic administration period and at follow-up

	Viable L. casei DG® counts (log10 CFU/ g faeces)					
Subject	Baseline One-week administration period			Follow-up		
-	Day -2 (+1)	Day 1 (+2)	Day 4 (+2)	Day 8 (+2)	Days 11 (+2), 14 (+2), 17 (+2), 20 (+2)	
1	BDL	BDL	5.7	3.7	BDL	
2	BDL	BDL	4.5	BDL	BDL	
3	BDL	BDL	BDL	5.5	BDL	
4	BDL	BDL	5.7	BDL	BDL	
5	BDL	BDL	BDL	4.7	BDL	
6	BDL	BDL	4.7	BDL	BDL	
7	BDL	BDL	5.9	BDL	BDL	
8	BDL	BDL	5.3	4.7	BDL	
9	BDL	4	6.3	4	BDL	
10	BDL	BDL	3.7	4.7	BDL	
11	BDL	BDL	5	3.95	BDL	
12	BDL	BDL	5.3	BDL	BDL	
13	BDL	BDL	5.9	3.3	BDL	
14	BDL	BDL	5	4.7	BDL	
15	BDL	4.8	5.3	4	BDL	
16	BDL	BDL	5.9	4.3	BDL	
17	BDL	BDL	5.5	4.5	BDL	
18	BDL	4.5	BDL	BDL	BDL	
19*	BDL	BDL	BDL	BDL	BDL	
20	BDL	BDL	5.3	4.5	BDL	
Mean±SD	BDL	0.5±1.6	4.3±2.3	2.8±2.2	BDL	

BDL: Below detection limit. BDL values on days 1 (+1), 4 (+2), 8 (+2) were considered as "0" in the calculation of the mean±SD values

^{*} This subject discontinued the study.