

1 **Valorization of cheese whey ~~to improve human health and environmental sustainability by using~~**
2 **microbial ~~bioprocesses-fermentations~~**

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Abstract

~~Dairy industry produces considerable amounts of liquid discharges, with high organic load.~~ Cheese whey (CW), the liquid resulting from the precipitation and removal of milk casein during cheese-making, and the second cheese whey (SCW) derived from the production of cottage and ricotta cheeses, are the main by-products of dairy industry. The major constituent of CW and SCW is lactose, contributing to the high BOD and COD content. Because of this, CW and SCW are high-polluting agents and their disposal is still a problem in dairy sector. CW and SCW, however, also consist of lipids, proteins and minerals, making them useful for production of various compounds.

In this paper, microbial processes useful to promote the bioremediation of CW and SCW are discussed, and an overview on the main whey-derived products is provided. Special focus was paid to the production of health-promoting whey-drinks, vinegar and biopolymers which may be exploited as value-added products in different segments of food and pharmaceutical industries.

~~with a special focus on value added products such as health promoting whey drinks from lactic and acetic fermentations, vinegar and biopolymers (poly-hydroxyalkanoates and bacterial cellulose).~~

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83 Introduction

84 Dairy industry produces considerable amounts of liquid discharges, with high organic load. Cheese whey
85 (CW), the liquid resulting from the precipitation and removal of milk casein during cheese-making, and the
86 second cheese whey (SCW) derived from the production of cottage and ricotta cheeses, are the main by-
87 products of dairy industry.

88 Currently, the income from the Market of CW and its derivatives has a small impact on dairy sector. In fact,
89 according to data obtained from private dairy industries/factories the average Market prices in North-Italy are
90 as follows: CW is 25-30 €/ton; CW powder for both animal husbandry and human nutrition is 1000-1200
91 €/ton; food-grade lactose is 1600-1700 €/ton; whey permeate (WP) is 700-800 €/ton; whey protein
92 concentrate (WPC) 35 powder is 3200 €/ton; WPC60 powder is 4900-5200 €/ton; WPC80 powder is 8500-
93 12000 €/ton; CW DEMI50 is 1500-1700 €/ton; CW DEMI70 is 1800-1900 €/ton; CW DEMI90 is 2300-2600
94 €/ton (Siso 1996).

95 Properties of CW are affected by the type of milk used in cheese production. Therefore, c
96 (cow, goat, sheep, buffalo and other mammals) influences the characteristics of the produced CW.
97 Furthermore, casein precipitation leads to the formation of two CW types: acidic whey (pH 5) having a pH
98 around 5 is obtained after with fermentation or addition of organic or mineral acids, and sweet whey (pH 6.0-
99 7.0) is obtained by addition of proteolytic enzymes (Panesar et al. 2006) like chymosin (Panesar et al. 2007).
100 Generally, CW exhibits high chemical oxygen demand (COD) (50-70 g/L) and biological oxygen demand
101 (BOD) (27-60 g/L) because it retains about 55% of its total milk nutrients. The most abundant components
102 are lactose (45-50 g/L to 50 g/L), soluble proteins (6-8 g/L to 8 g/L), lipids (4 g/L to 5 g/L) and mineral salts
103 (8-10% of dried extract). The mineral salts include NaCl and KCl (more than 50%), calcium salts (primarily
104 phosphate) and others. CW also contains lactic (0.5 g/L) and citric acids, non-protein nitrogen compounds
105 (urea and uric acid) and group B vitamins (Carvalho et al. 2013) (Carvalho et al. 2013; Siso 1996; Panesar et
106 al. 2007).

107 At large milk processing plants, CW is usually used as feedstock for animal feeding or to produce ricotta
108 cheese, generating another by-product, that is SCW. However, at small-scale, milk farm or cheese producers,
109 which are common in isolated rural areas, CW is not recovered and has to be treated along with the other
110 generated wastewaters from the installation. The mixing of the whey wastewater with the washing waters,
111 results into a diluted polluting effluent (2-4 g/L COD). Due to strict legislative requirements for effluent
112 quality (Regulation (EC) No 1069/2009), CW and washing waters should be treated before being discharged
113 into receiving waters.

114 SCW results from the production of cottage or ricotta cheeses, and, similarly to CW, it is also a highly
115 polluting effluent. Like CW, SCW maintains significant BOD and COD values (up to 50 and 80 g/L of O₂,
116 respectively), high lactose content (around 50 g/L) and high salinity (7-23 mS/cm). SCW exhibits acidic pH
117 values within the range 3-6, possesses low level of fat (0.5-8 g/L), total suspended solids (≈8.0 g L⁻¹) and
118 protein (≈0.5-8 g/L) than CW. Moreover, it is normally free of amino acids and vitamins (Carvalho et al.
119 2013) (Carvalho et al. 2013). It has been estimated that 15-20 L CW are needed to obtain 1 kg of ricotta
120 cheese and producing 14-19 L of ricotta SCW (Mills 1986) (Mills 1986).

Commentato [t1]: lo toglierei.....partirei direttamente da SCW results.....

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121 Currently, the treatment of SCW is considered more essential than that of CW, as CW is mainly used in
122 ricotta and cottage cheese production. SCW is partially used as supplement feed for livestock, while most are
123 not used by dairy. The disposal of this strong organic and saline polluting effluent remains a significant
124 problem for the dairy industry. If SCW is incorporated into the wastewater, it increases the organic content,
125 so the wastewater treatment becomes too expensive, particularly for small cheese plants. Considering that
126 lactose is the major SCW constituent, the search for alternatives to minimize its environmental impact could
127 be promising.

128 In this light fermentative processes converting it into value-added products will allow both to reduce the
129 pollution potential and to valorize SCW. However, only few studies were focused on SCW treatment to
130 obtain value-added products (Sansonetti et al. 2009)(Sansonetti et al. 2009).

131 The existing techniques forThe management and valorization of CW and SCW are mainly based on
132 physicochemical and biological treatments. Physicochemical processes (i.e. protein precipitation and
133 membrane separation) are useful to produce whey powder, whey protein concentrate (WPC), whey protein
134 isolate (WPI), whey permeate (WP), lactose and minerals. Biological treatments, instead, involve the
135 microbial conversion of lactose, present in CW, SCW or cheese whey permeate, into organic acids,
136 bioalcoholsbioethanol, greenhouse gases (e.g. hydrogen, methane), and bioplastics (Prazeres et al. 2012; Yadav et al.
137 2015; Lappa et al. 2019)(Prazeres et al. 2012; Yadav et al. 2015; Lappa et al. 2019).

138 In this paper, the microbial processes useful to promote the bioremediation of CW and SCW are discussed,
139 and an overview on the main whey-derived products (Table 1) is provided. Special focus was paid to the
140 production of with a special focus on value-added products such as health-promoting whey drinks (from
141 lactic and acetic fermentations), vinegar and ,-biopolymers (i.e. poly-hydroxyalkanoates, PHAs; bacterial
142 cellulose, BC) (Fig. 1), which may be exploited as value-added products in different segments of food and
143 pharmaceutical industries (e.g. functional beverages, bio-packaging).

144 Other compounds, not considered in this paper, are of industrial interest, however this review will
145 contemplate microbial transformations that combine food production with valorisation of waste and by-
146 product streams.

147 **Main value-added compounds obtained by microbial fermentations of whey**

148 The main products obtained by microbial fermentations of whey-based media and the involved microbial
149 groups groups are briefly reported in Table 1. Many of these products (e.g. lactic acid, bioalcohols, biogases)
150 have been extensively studied and reviewed overtime as possible solution for whey valorization because of
151 their high industrial interest. Others received lesser attention, but their production could provide
152 sustainability and economical boost for several food-related applications.

153 The bioconversion of whey into functional beverages and biopolymers rank in the objectives of current
154 European policies driven to promote human-health and environmental sustainability. The market of
155 functional beverages is recently gain interest because of increasing consumer demand for foods that enhance
156 health and wellbeing. The synthesis of biopolymers for production of bioplastics may have great potential in
157 food, biomedical and agricultural applications because of biodegradability, thermo-plasticity,
158 biocompatibility and non-toxicity features.

159 Bio-valorization of whey and whey-derivatives in fermented lactic and acetic beverages as well as in poly-
160 hydroxyalkanoates and bacterial cellulose will be addressed in this review.

161

162 **Whey-based beverages**

163 The use of CW and WP for the production of beverages, with or without microbial conversion, is one of the
164 most attractive possibilities for the valorization and utilization of whey for human consumption.

165 The industrial production of whey-based drinks dates back to 1970s and different products (e.g. unfermented
166 and fermented beverages, alcoholic beverages, diet beverages, high-protein sport drinks) have been
167 developed and are currently available on the market (Chavan et al. 2015)(Chavan et al. 2015; Skryplonek and
168 Jasińska, 2017). [Whey proteins are today the best protein source for the Ready-To-Drink \(RTD\) protein
169 beverages, an expanding market that is expected to reach \\$ 17.67 billion by 2025](#)

170 ([www.globenewswire.com](#)). On the other hand, "Rivella", a sparkling and flavored whey-based beverage, is
171 the second soft drink in Switzerland, after Coca-Cola. One of the oldest and best-known whey-based
172 beverages is "Rivella" (Anonymous 1960), a refreshing and thirst-quenching drink produced in Switzerland.
173 Today, unfermented thirst-quenching beverages and whey powder instant drinks cover a prominent position
174 in the commercial whey beverage segment.

175 Whey drinks ~~gained attraction among dairy and functional beverages because~~ are produced with simple
176 technologies and are characterized by a high nutritional value [for the presence of proteins and peptides with
177 several biological and health-promoting functions \(e.g. antioxidant, anti-inflammatory, anticancer,
178 immunomodulatory, cardioprotective and hypotensive activities; Patel 2015\)](#) (Patel 2015).

179 [Despite this, whey beverages](#) Most of the whey proteins, in fact, have several health-promoting effects, and
180 their fractionation by enzymatic and/or microbial activity may results in peptides with important biological
181 functions, such as antioxidant, anti-inflammatory, anticancer, immunomodulatory, cardioprotective and
182 hypotensive activities (Patel 2015). However, although the interest in whey-based drinks is rising, their
183 production suffers from several limitations and these beverages are sometimes perceived as unattractive
184 products [with poor](#). The sensory quality, in fact, is impaired by the ~~The~~ high lactose-glucose ratio, acidity
185 level and mineral content, [in fact, may that](#) result in sweet, dairy/sour and salty/sour flavors, with ~~poor~~
186 [reduced](#) palatability. The high lactose concentration, additionally, makes these products highly perishable. To
187 ~~eounteract overcome~~ these drawbacks, several technological solutions ~~have been developed, such including~~
188 [as ultrafiltration, pH adjustment, and](#) flavor supplementation [and microbial fermentation, have been](#)
189 [developed](#).

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191 *Lactic fermented whey beverages*

192 Fermentation is one of the cheapest ways for preserving foods, improving nutritional value, and enhancing
193 sensory properties. CW or milk enriched with CW, WPC or WPI are suitable substrates for the production of
194 fermented beverages by using yeasts and lactic acid bacteria (LAB).

195 As for other dairy fermented drinks (e.g. drinking yogurts, fermented milks), LAB may improve the shelf-life
196 (e.g. prevention of spoilage microorganisms through the lowering of pH), nutritional (e.g. protein
197 degradation, production of bioactive peptides) and sensory (e.g. production lactic acid and aroma
198 compounds) properties of whey-based beverages. Some [LAB strains, moreover,](#) are [also](#) able to degrade β -
199 lactoglobulin, the main allergenic protein in milk and whey-based products (Pescuma et al. 2012)(~~Bertrand~~
200 [Harb et al. 2003; Pescuma et al. 2012](#)). [The use of probiotic strains, moreover, may enhance healthy features](#)

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201 ~~infemented whey drinks (Turkmen et al. 2019). LAB mostly used for the production of whey-based beverages belong to the *Lactobacillus* and *Streptococcus*~~
202 ~~genera. Combinations of yoghurt-derived *L. delbrueckii* subsp. *bulgaricus* and *S. thermophilus* cultures were~~
203 ~~extensively tested for their capability to reduce the lactose content, and for the acidifying and proteolytic~~
204 ~~activities (Gallardo-Escamilla et al. 2007; Pescuma et al. 2008; Almeida et al. 2009; Pescuma et al. 2012;~~
205 ~~*Saccharosyntrophus* (Seybold 2018), *Almita* (2019), *Chlostridium* (2017), *Paraburtonia* (2019), *Saccharosyntrophus* (Seybold 2018), *Streptococcus* (2019),~~
206 ~~whey fermentation. Other authors, instead, demonstrated the capability of many LAB to produce flavoring compounds~~
207 ~~(Mauriello et al. 2001; Ricci et al. 2019) (Mauriello et al. 2001; Ricci et al. 2019), and oscaevagencialis (Virtanen et al. 2007) (Virtanen et al. 2007) when cultivated~~
208 ~~in whey-based medium, suggesting their effectiveness for the production of whey-derived drinks.~~
209 ~~The challenge in whey-beverage segment, however, is certainly the use of probiotic strains, to develop~~
210 ~~functional drinks. The strains. The species principally used for the production of fermented~~
211 ~~probiotic functional whey beverages belong to the species are *L. acidophilus*, *L. casei*, *L. rhamnosus* and *L.*~~
212 ~~*reuteri* (Turkmen et al. 2019) (Turkmen et al. 2019). Several authors (Tripathi and Jha 2004; Castro et al.~~
213 ~~2013a; Bulatović et al. 2014) (Bulatović et al. 2014; Castro et al. 2013a; Tripathi and Jha, 2004) demonstrated~~
214 ~~that different probiotic lactobacilli (i.e.~~
215 ~~the same strain was used in co-culture with *L. acidophilus* La-5 and *S. thermophilus* St-36 for the production~~
216 ~~of milk whey beverages (Yerlikaya et al. 2012), and with *L. rhamnosus* GG for the production of mulberry~~
217 ~~whey drinks (AbdulAlim et al. 2018). Combination of *B. lactis* B1-07 and *L. acidophilus* La-14 were used as~~
218 ~~probiotic adjunct in milk beverages supplemented with different whey concentrations (Castro et al. 2013b).~~
219 ~~Hernandez-Mendoza et al. (2007) used different ratio of *L. reuteri* NRRL1417 and *B. bifidum* NCFB271 to~~
220 ~~ferment whey beverages that preserved acceptable flavor and significant level of survived probiotics during~~
221 ~~the storage period.~~
222 ~~Combination of LAB and yeasts have been used for the production of kefir-like whey-beverages using kefir~~
223 ~~grains (including *Lactobacillus*, *Lactococcus*, *Leuconostoc*, *Streptococcus* spp., and *Kluyveromyces*, *Torula*,~~
224 ~~*Candida* and *Saccharomyces* spp.) for fermentation process (Koutinas et al. 2007; Magalhães et al. 2010;~~
225 ~~Sabokbar and Khodaiyan 2016) (Koutinas et al. 2007; Magalhães et al. 2010, 2011a,b; Sabokbar and~~
226 ~~Khodaiyan, 2016). These products had interesting aroma profile, sensory properties and antioxidant~~
227 ~~capability, suggesting that kefir grains may be potential starters for the production of whey-based beverages.~~
228 ~~Although fermented whey-drinks may offer greater benefits than unfermented ones, to date the marketed~~
229 ~~products containing LAB cultures and/or probiotics are very limited. To our knowledge, “Gefilus” (Valio Ltd~~
230 ~~Company, Finland), containing *L. rhamnosus* GG, lactose-hydrolyzed and demineralized whey or whey~~
231 ~~protein concentrates, fruit juices or fruit aromas and fructose as sweetening agent, is the only probiotic drink~~
232 ~~commercially available.~~
233 ~~Compared to whey-based products, fermented milks with probiotic supplementation (see a list in Turkmen et~~
234 ~~al. 2019), remain the mainstay of functional beverage market. The currently marketed whey-drinks are still~~
235 ~~mainly recognized as energy-sport drinks with specific functions (e.g. recovery of muscle and muscle~~
236 ~~cramps, increase in lean weight, neurostimulant). On the contrary, the fermented whey beverages can be used~~
237 ~~to formulate different products with multiple applications and functionalities, allowing to retain different~~
238 ~~groups of health-conscious consumers. Furthermore, the production costs of fermented whey drinks would be~~
239 ~~comparable to those of fermented milks, since cheese whey and derivatives are cost-effective substrates.~~

240 [Bioconversion into fermented beverages, would allow cheese whey valorization also in small and medium](#)
241 [scale cheese plants, which cannot sustain the operational and equipment costs for the production of other](#)
242 [whey-derived products \(e.g. whey protein isolates, whey protein concentrates, purified organic acids\).](#)
243 [Trends in Food Chemistry and Food Quality: A Review of the Current Status of Whey Protein Concentrate Production and Its Potential for Value-Added Products](#)
244 **Alcoholic and low and low acetic beverages**
245 The bioconversion of whey and derivatives into low-alcoholic and acetic beverages, including vinegar, is an
246 interesting alternative to lactic drinks for producing new food commodities from the dairy waste.
247 *Alcoholic fermentation*
248 [Ethanol production worldwide has strongly increased since the oil crises in 1970. Its market grew from less](#)
249 [than a billion liters in 1975 to more than 39 billion liters in 2006, and reached 100 billion liters in 2015.](#)
250 [Significant amounts of renewable ethanol are produced not only as biofuel but also for beverage and](#)
251 [industrial end-uses. In 2018 EU produced 5.81 billion liters of bioethanol, 9% of which was food-grade](#)
252 [ethanol \(\[www.epure.org\]\(http://www.epure.org\)\).](#) The biological production of bioethanol from whey requires microorganisms,
253 generally yeasts, suitable to assimilate lactose into ethanol. [The main applications of yeasts in ethanol](#)
254 [bioconversion are reported in Table SX. The best alcohol producer yeast *Saccharomyces cerevisiae* does not](#)
255 [have the pathway for lactose assimilation, and thus it cannot be exploited to produce ethanol from CW and](#)
256 [other derivatives \(SCW and whey permeate\) without any preliminary enzymatic hydrolysis of lactose into](#)
257 [glucose and galactose \(Das et al. 2016\).](#)
258 ~~By contrast the~~ [The yeast](#) species *Kluyveromyces lactis* and *Kluyveromyces marxianus* (synonyms
259 *Kluyveromyces fragilis* nom. inval. and *Candida pseudotropicalis*) [are lactose-fermenting yeasts thanks to](#)
260 [assimilate lactose as unique carbon source. This ability depends upon two genes not found in *S. cerevisiae*, the](#)
261 [genes *LAC12* and *LAC4*, encoding for lactose permease and intracellular \$\beta\$ -galactosidase, respectively \(Varela](#)
262 [et al. 2017\)\(\[Varela et al. 2017\]\(#\)\).](#) Both yeasts are commonly isolated from food, fruits and plants, as well as from
263 fermented dairy products, thus they gained the European Food Safety Authority (<http://www.efsa.europa.eu/>)
264 Qualified Presumption of Safety (QPS) status and are Generally Regarded as Safe (GRAS) organisms (Coenen
265 et al. 2000)([Coenen et al. 2000](#)). Despite their phylogenetic closeness, *K. lactis* and *K. marxianus* differ in
266 sugar metabolisms. *K. marxianus* engages better in fermentative metabolism than the respiring yeast *K. lactis*
267 even at high temperature (45-50°C), [and therefore it is preferred over *K. lactis* for bioethanol conversion](#) (van
268 [Dijken et al. 1993; González-Siso 1996\)\(\[van Dijken et al. 1993; Siso et al. 1996\]\(#\)\).](#) However, whey fermentation by *K. marxianus* suffers of low ethanol
269 yield. The maximum theoretical yield of ethanol from lactose is 0.538 g ethanol/g lactose, thus the fermented
270 product contains approximately 3-5% ethanol, depending upon strain and fermentation technology adopted.
271 [The fermentation product is then centrifuged to remove the biomass, and sent to a distillation column where](#)
272 [the alcohol content is increased to 95 v/v%.](#) *K. marxianus* exhibits a great strain variability in lactose utilization,
273 so an accurate strain selection is essential to optimize ethanol yields. Selected strains should exhibit ethanol-
274 and thermo-tolerance in order to avoid inhibitory effects on yeast growth due to catabolite repression and
275 reduce cooling cost in ethanol production bioprocesses, respectively. Furthermore, [high lactose-utilizing selected](#) yeasts
276 [should possess a functional KmLac12 transport protein, which efficiently transports catalases the lactose into the cell uptake](#) (Fonseca et al.
277 [2008\)\(\[Fonseca et al. 2008\]\(#\)\).](#)
278 Feeding, oxygen, temperature and fermentative modes also strongly contribute to alcohol productivity
279 (Sansonettil et al. 2009)([Sansonettil et al. 2009](#)). In batch processes, high lactose, relatively low temperature

280 and low oxygen levels generally increased alcoholic fermentation (Sansone et al. 2009; Sansone et al.
281 2010; Sansone et al. 2011)(Sansone et al. 2009, 2010, 2011). Lactose amount in the range of 50-200 g/L enhanced ethanol productivity,
282 while values higher than 200 g/L negatively affected yeast growth (Ferreira et al. 2015)(Ferreira et al. 2015). Empirical models
283 indicated 32.3°C as the best operating temperature (Sansone et al. 2010)(Sansone et al. 2010), whereas temperature higher than
284 35-37°C increased the lag phase (Christensen et al. 2011)(Christensen et al. 2011). Oxygen depletion reduced biomass and glycerol
285 (required for NADH oxidation) production in favour of ethanol (Sansone et al. 2009)(Sansone et al.
286 2009). Cells immobilization in batch bioreactor (Roohina et al. 2016)(Roohina et al. 2016), fed-batch
287 processes (Brady et al. 1997; Kourkoutas et al. 2002)(Brady et al. 1997; Kourkoutas et al. 2002) and
288 continuous cultivation (Kourkoutas et al. 2002; Sansone et al. 2011; Gabardo et al. 2014; Hadiyanto et al.
289 2014)(Gabardo et al. 2014; Kourkoutas et al. 2002; Hadiyanto et al. 2014; Sansone et al. 2011) modes
290 coupled with immobilized *K. marxianus* cells overcame free cells in batch bioreactor in alcohol productivity,
291 as new substrate was available without any catabolite repression.

292 Differently from *Kluyveromyces* spp., the best alcohol-producer yeast *Saccharomyces cerevisiae* is unable to
293 assimilate lactose, and thus it cannot be exploited to produce ethanol from CW and other derivatives (SCW
294 and whey permeate) without any preliminary enzymatic hydrolysis of lactose into glucose and galactose
295 (Guimarães et al. 2010; Das et al. 2016)(Guimarães et al. 2010; Das et al. 2016). Alternatively, *S. cerevisiae*
296 can be used for the production of ethanol from lactose by using a two-step process: first, lactose is converted into
297 glucose and galactose by using a lactase enzyme, and then, the resulting mixture is fermented by *S. cerevisiae* to produce ethanol.

298 Bioreactors with direct contact membrane distillation allowed for the continuous removal of ethanol and
299 increased the efficiency of sugar conversion to ethanol, by-passing catabolite repression (Tomaszewska and
300 Hibi 2014)(Tomaszewska and Hibi 2014). And, Guizé et al. (2016) (Guizé et al. 2016) showed that the use of a
301 heterologous expression of *Kluyveromyces LAC12* and *LAC4* genes (Domingues et al. 2010) in *S. cerevisiae* (Domingues et al. 2010). These
302 engineered strains generally exhibited more ethanol yield than *K. marxianus*, but should be discarded for the
303 production of food-grade ethanol. Furthermore, However, in presence of glucose and galactose, *S. cerevisiae*
304 preferentially consumes glucose due to catabolic repression of enzymes necessary for galactose uptake.

305 Then, a diauxic shift to galactose imposes the synthesis of novel enzymes for galactose catabolism, leading to a
306 two-stage fermentation process (Domingues et al. 2010)(Domingues et al. 2010).

307 Data on economic sustainability of bioethanol conversion from whey permeate are generally poorly
308 available. Cost-benefit analysis performed by Utama et al. (Utama et al. 2017)(2017) showed that ethanol
309 production from cheese whey and napa cabbage covered the wastes disposal costs, leading to a financial
310 benefit up to US\$ 3 816.96 per month, and attained the breakeven point in 3.53 months. Conversely, Da-Silva
311 et al. (Silva et al. (2015)(2015) suggested that production of WPC was more economically sustainable when
312 coupled with lactose powder production than with ethanol bioconversion of whey permeate due to high
313 production cost for ethanol.

314 Acetic acid fermentation

316 CW and derivatives after alcoholic fermentation can reach an ethanol amount around 6% (v/v) which allow the
317 production of both vinegar and low acetic beverages. This way to valorize CW is in tune with consumer's
318 demand for high value-added products and government initiatives promoting healthy food and drink. Health
319 based recommendations include reducing alcohol consumption; calories from added sugars; and limiting the

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320 consumption of foods that contain refined grains, especially refined grain foods that contain added sugars and
321 sodium. Foods and beverages with added sugars are higher in energy and low in essential nutrients or dietary
322 fiber. Moreover, the safe use of non-nutritive sweeteners, like aspartame, is currently under on-going
323 scientific debate, opening the avenue for alternative low caloric sweeteners.

324 Overall, these issues raise the opportunity for the beverage industry with fermentation background to make a
325 dynamic comeback with the production of new whey-based and alcoholic-free fermented beverages.

326 From the biotechnological point of view, the conversion of ethanol produced from CW and its derivatives into
327 acetic acid by AAB is highly feasible. AAB are able to produce acetic acid in fermenting liquids, in which
328 ethanol content ranges from 2-3% to 15-18%, according to the fermentation system and the microbial strain
329 used (Gullo et al. 2014)(Gullo et al. 2014). This wide range allows to design versatile bioprocesses obtaining
330 vinegars and drinks at variable acetic acid content and residual ethanol. Besides the protective action of
331 residual alcohol or acids on acetic drinks, the fermentation process can have additional roles. Microbial
332 secondary metabolites produced by AAB can improve the functional properties and reduce or eliminate some
333 compounds while maintaining or even increasing others.

334 In this scenario the exploitation of AAB by selective fermentations producing ethanol-free drinks, containing
335 low amount of acetic acid, fructans, while reducing the content of sugar, is a challenge.

336 Among fructans, levan-type exopolysaccharides (LT-EPS) are synthesized by the extracellular enzyme
337 levansucrase (or sucrose 6-fructosyltransferase), which catalyses the transfer of D-fructosyl residues from
338 sucrose to a growing fructan chain by trans-fructosylation (Donot et al. 2012)(Donot et al. 2012). After
339 sucrose depletion, levansucrase cleaves the $\beta(2-6)$ linkages of the newly-formed levan chain, causing the
340 consecutive release of the terminal fructose units until a branching point is reached (Méndez-Lorenzo et al.
341 2015)(Méndez-Lorenzo et al. 2015).

342 The interest for bacterial fructans arises from some their properties, like bio-compatibility, bio-degradability
343 and biomedical properties such as antioxidant, anti-inflammatory, anti-tumor and cholesterol-lowering
344 agents. Moreover, they are considered prebiotic molecules since their hydrolysis products, which are short-
345 chain fructooligosaccharides, show the ability to preferentially stimulate the growth of intestinal
346 bifidobacteria (Roberfroid et al. 1998)(Roberfroid et al. 1998).

347 Some studies focused fructans production by AAB, especially for food and beverages industry applications,
348 but they are rarely applied in the food industry due to the lack of defined commercially preparations.
349 However, they are used for some non-alcoholic beverages (e.g. in some ultra-high-fructose syrups) as
350 sweetener or dietary fiber (La China et al. 2018)(La China et al. 2018).

351 Among AAB, the strain *Gluconacetobacter diazotrophicus* SRT4 highlighted the ability to synthesize high
352 amount of branched LT-EPS with a molecular weight above 2×10^6 Da. The ability to synthesize LT-EPS was
353 also found among *Komagataeibacter xylinus* strains (see La China et al. 2018 for references). Recently,
354 Jakob and co-workers quantified and characterized the LT-EPS produced by strains of the species
355 *Gluconobacter frateurii*, *G. cerinus*, *Neoasaia chiangmaiensis* and *Kozakia baliensis*, by a combination of
356 NMR and AF4-MALS-RI analysis (Jakob et al. 2013)(Jakob et al. 2013). These latter studies showed that the
357 molecular weight of LT-EPS has a high variability among AAB species, ranging from 4 MDa (*G. frateurii*) to
358 2000 MDa (*K. baliensis*). This aspect deeply influences the physiochemical properties (different rheology) as
359 well as the function (changes in antitumor and antiviral activities) of LT-EPS. Although a number of studies

360 highlighted the high potential applying AAB able to produce both acetic acid and LT-EPS, no commercial
361 products are available in the market.

362 *Whey vinegars*

363 The conversion of cheese whey into whey vinegars represents a valuable option to recycle whey in traditional
364 fermented food chain and to circumvent the main disadvantage of low productivity found in bioethanol
365 production from whey. The basic process is the bioconversion of sugars into ethanol by lactose-fermenting
366 *Kluyveromyces* yeasts, which is further converted into acetic acid by AAB (Parrondo et al. 2009)(Parrondo et
367 al. 2009).

368 FAO/WHO defines vinegar as any liquid, fit for human consumption, obtained exclusively by the biological
369 process of double fermentation, alcoholic and acetous, from liquids or other substances of agricultural origin
370 (Joint FAO/WHO Food Standards Programme, 1998. Codex Alimentarius 1987). In the USA, the Food and
371 Drug Administration (FDA) requires that vinegar products must contain a minimum acidity of 4 g per 100 g.
372 There are currently no standards to identify vinegars, however FDA has established “Compliance Policy
373 Guides” that the Agency follows regarding labelling of vinegars, such as cider, wine, malt, sugar, spirit and
374 vinegar blends (FDA/ORA CPG 7109.22). In EU, each country has specific regional standards for vinegar
375 produced or sold in the national area. Unlike the USA law, EU has established a minimum threshold of 5%
376 (w/v) and a maximum threshold of 0.5% (v/v) for acidity and ethanol, respectively, when the raw material for
377 acetic acid fermentation is not wine.

378 The most famous vinegars are from wine or cider, however, vinegars can be produced from other non-
379 conventional sources containing sugars, like lactose-rich CW and SCW. Actually, vinegars from CW and its
380 derivatives are produced mainly in Switzerland, but they are poorly known.

381 As ethanol amount higher than 5-6% could inhibit AAB, *Kluyveromyces* yeasts grown on whey permeate
382 with lactose up to 200 g/L assure enough alcohol for the subsequent acetic acid production. Parrondo et al.
383 (Parrondo et al. 2003)(2003) produced vinegar with acetic acid content between 5 and 6% (v/v) by sequential
384 fermentation of *K. marxianus* and *Acetobacter pasteurianus*. Using whey permeate with 135 g/L of lactose,
385 *K. marxianus* produced whey liquor with a final concentration of ethanol around 55 g/L within 48 hours at
386 optimal temperature of 30°C. Ethanol was converted into acetic acid by *A. pasteurianus* in four days with an
387 efficiency of around 84%. Similarly, *K. marxianus* strains fermented three-fold concentrated whey, producing
388 a whey liquor containing 8% ethanol (Tamura 2000). It was two-fold diluted before oxidization of ethanol to
389 acetic acid by *A. pasteurianus* IFO 14814. The resulting whey vinegar contained 5.2% acetic acid and
390 exhibited a faint odour of cow milk as well as a mellow acidic taste. Whey vinegar was also proposed as
391 stable nutrient ingredient in dairy cattle diet (Lustrato et al. 2013)(Lustrato et al. 2013). Sequential
392 fermentation of *K. marxianus* and *Acetobacter aceti* led to average lactose consumption of 56%, ethanol
393 yield of 6.7 g/L/d and acetic acid production of 4.35 g/L/d.

394 The current vinegar market offers a number of products with peculiar attributes, especially those containing
395 healthy and functional compounds, which number continuously, increase. These vinegars originate from
396 different raw materials such as fermentable fruits and vegetables. CW and its derivatives are suitable raw
397 materials to design innovative bioprocesses conducted by selected yeasts and AAB strains to produce added
398 value vinegars.

399 *Distilled whey-based spirit (whey vodka)*

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400 Once produced, bioethanol should be distilled and/or concentrated for food or biofuel usage. Developed since
401 1940 in Ireland, the so called Carbery's process represents the first and most common mode to produce potable
402 whey spirits from whey permeate on industrial scale. It relies on batch or fed-batch fermentations by *K.*
403 *marxianus* coupled with continuous extractive distillation. The resulting distillate (95% by volume ethanol) is
404 further diluted with water and redistilled to remove impurities and to produce potable whey spirits. The
405 Carbery's process is currently used by-ain Ireland to produce 11 thousand tonnes of ethanol per year. Also, in
406 New Zealand company to produce over 18 million litres of ethanol were produced annually from whey through Carbery's process
407 the and annually, which are exported to Asian market (Hughes et al. 2019)(Hughes et al. 2018)(Risner et al. 2018).
408 The concept of producing whey-based spirits has been recently shifted to small craft distilleries which used
409 pot distillation instead of extractive method. Based on life cycle analysis, production of distilled whey-based
410 spirit resulted more sustainable than the conventional method for unaged spirit production from malted barley
411 in terms of carbon dioxide-equivalent (CO₂e) emissions and water usage (Risner et al. 2018)(Risner et al.
412 2018). Volatilome of distillates changed depending upon wheys, with sweet whey distillates enriched in
413 alcohols, acids, esters, and ketones, whereas acid whey distillates in aldehydes, terpenes, and terpenoids
414 (Risner et al. 2019)(Risner et al. 2019).
415 Although this is a means to reduce CW waste, it cannot considered among healthy strategies to valorize dairy
416 wastes since alcoholic beverages are not in tune with healthy recommendations.

417

418 **Biopolymers**

419 The environmental problems associated with the accumulation of traditional petrol-derived plastics make
420 urgent to find new alternatives (European Commission 2013). The real opportunity to overcome the state of
421 emergency caused by environmental pollution related to the dispersion of plastics and issues relating to their
422 disposal, results in the use of bio-polymers of bacterial origin. In order to reconcile food security and natural
423 resource scarcity and environmental sustainability, the side-products of cheese production can also be used to
424 produce biopolymers such as PHAs and BC. These biopolymers are promising candidates for industry to
425 substitute the traditional fossil fuel derived plastics. However, the industrial production of PHA and BC, by
426 fermentation, is a challenge in terms of economic sustainability of the process. By contrast the production cost
427 of plastics from petrochemical product is still more competitive and preferred by industrial companies
428 compared to biopolymer production. In fact, in order to be competitive with petrol-derived plastics, the selling
429 price of biopolimers should not exceed 2000 €/ton. At present, unfortunately, a prediction on the revenues
430 obtainable from products derived from biopolymers is difficult and no evidences are present in bibliografy.
431 This depend on both type of raw material used, which is 20% to 80% higher than the cost of raw materials of
432 conventional plastics and type of products obtained from biopolymers. It is expected that advancement in the
433 industrialization process of PHA would drive the cost of PHA and make it an effective alternative for
434 conventional plastic (Research and Markets, 2019a).

435 The PHAs are polymers of carbon and energy of reserve accumulated in the cytoplasm of many bacterial
436 species under particular conditions of excess of carbon availability, while some other factor is limiting (i.e. N,
437 P, S, etc). Considering that, whey can be considered as one favorite substrate for PHAs production, due to its
438 relatively high organic load (lactose 39-60 g/L, fats 0.99-10.58 g/L, proteins 27-60 g/L, and mineral salts 4.6-
439 8 g/L) (Colombo et al. 2016)(Colombo et al. 2016). The use of this waste materials, among several others

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Commentato [LI6]: Research and Markets 2019 a. World Polyhydroxyalkanoate (PHA) Market - Opportunities and Forecasts, 2017 - 2023

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440 (Anjum et al. 2016; Koller et al. 2017) (Koller et al. 2017; Anjum et al. 2016) as a carbon source for microbial-derived PHA production has the dual
441 function of reducing both PHA production costs and waste management costs, and it has been recently
442 extensively reviewed by Amaro et al. 2019 (Amaro et al. 2019). PHAs are the only “bio-plastics” with a whole “green” life-
443 cycle: renewable resources act as feedstock of the production (bio-based), living cells are responsible for both
444 synthesis of their monomeric building blocks, and their subsequent polymerization (bio-synthesized), no
445 adverse effects on the biosphere (bio-compatibility) and, lastly, they endure degradation by the action of
446 living organisms (biodegradability) (Verlinden et al. 2007; Koller et al. 2013) (Koller et al. 2013; Verlinden et al. 2007), such as Gram-positive and
447 Gram-negative bacteria, *Bacillus* spp., *Pseudomonas* spp., *Streptomyces* spp. and fungi as *Aspergillus*
448 *fumigatus* (Bugnicourt et al. 2014) (Bugnicourt et al. 2014).

449 PHA has been used in the fixation and orthopedic applications, tissue engineering, production of bioplastic,
450 food services, in packaging, pharmaceutical industry and agriculture. According to Research and Markets
451 (2019b), the global polyhydroxyalkanoate market accounted for \$78.20 million in 2017 and is expected to
452 reach \$135.78 million by 2026 growing at a CAGR of 6.3%.

453 The PHAs are of great interest for potential applications that may arise, such as packaging materials,
454 biomedical applications and bio-fuels. These polymers can be synthesized in different types of PHA that
455 microorganisms accumulate as insoluble inclusion bodies. The first PHA identified was the homopolymer
456 poly-3-hydroxybutyrate (PHB) Lemoigne (1927), a semi-crystalline isotactic polymer that endures surface
457 erosion due to the hydrophobicity of the backbone and its crystallinity. Moreover, hydrolytic degradation of
458 PHB ends in the formation of D-(-)-3-hydroxybutyric acid, a normal blood constituent, making it an excellent
459 candidate for use in long-term tissue engineering applications being biocompatible, workable, and degradable
460 (Ulery et al. 2011) (Ulery et al. 2011). It can be applied also in many types of implant applications including
461 orthopedic, craniomaxillofacial, dental, and cardiovascular, as well as in cardiology, plastic and
462 reconstructive surgery, general surgery, ear, nose, and throat surgery, and oral surgery. While the PHAs offer
463 a wide range of mechanical properties, which are potentially useful in medical applications, their use
464 particularly in vivo as bioresorbable polymers has been limited by their slow hydrolysis (Niaounakis
465 2015) (Niaounakis et al. 2015). Other general characteristics of PHAs are: water insoluble and relatively
466 resistant to hydrolytic degradation; good ultra-violet resistance but poor resistance to acids and bases; soluble
467 in chloroform and chlorinated hydrocarbons; sinks in water, facilitating its anaerobic biodegradation in
468 sediments; nontoxic; less “sticky” than others polymers when melted (Bugnicourt et al. 2014) (Bugnicourt et
469 al. 2014). These properties make PHA also good candidates for food packaging. Koller (Koller 2014) (2014)
470 reviewed the main aspects to be considered when PHA are used for this purpose: purity and sensory quality,
471 in which a specific role is played by the extraction and purification methods in order to avoid typical rancid
472 odor and smell of the material that can easily and negatively affect the quality of the packaged food.

473 Moreover, particular attention has to be given to the removal of remaining lipids and pyrolytic
474 lipopolysaccharides (endotoxins) that are frequently spotted attached to PHAs from Gram negative strains
475 (Furrer et al. 2007) (Furrer et al. 2007); the oxygen barrier of the film depends on the composition of PHAs
476 on the monomeric level; water barrier, PHA polyesters show the advantage of substantial hydrophobicity in
477 comparison to other biopolymers of natural origin (starch). Generally, values for PHB are similar to
478 petrochemical opponents (PET) and poly(vinyl chloride) (PVC); high barrier for flavouring substances to
479 protect the flavour of the food; chemical resistance, PHAs are easily subjected to acid-catalysed hydrolytic

Commento [L17]: Research and Markets July 2019. Polyhydroxyalkanoate - Global Market Outlook (2017-2026)

480 degradation, so the performance and the suitability of biopolymers stored with common food packaging
481 solution as a function of time has to be assessed. Bugnicourt et al. (2014) and Koller (2014) summarized the
482 most known commercially available PHAs.

483 Since the first discovery by Lemoigne (1927), a number of studies indicated many microbial species able to
484 synthesize PHAs, and the most important are *E. coli* (engineered culture) and *Cupriavidus necator*. These
485 bacterial species are the most used for industrial application since they associate high productivity to reduced
486 times of accumulation, ranging from 0.02 to 5.2 g/L/h (Amaro et al., 2019). Unfortunately, as reviewed by
487 Amaro et al. (2019), despite whey is a rich media that supports microbial growth, some of the best-described
488 PHA-producing microbial species have been shown to be unable to directly produce PHA from whey, due to
489 its carbon source, the lactose. *Alcaligenes latus*, *Bacillus spp.*, *Bacillus megaterium*, *Sinorhizobium meliloti*,
490 *Sinorhizobium spp.*, *Bacillus cereus*, *Pseudomonas aeruginosa*, *Hydrogenophaga pseudoflava*, *Pseudomonas*
491 *hydrogenovora*, *Haloferax mediterranei*, *Thermus thermophiles*, *Methylobacterium spp.*, and *Halomonas*
492 *halophila* were the species used until now to produce PHAs from whey and a comparison among used
493 substrate, type of culture, microorganism, culture method, productivity and type of PHA has been reported in
494 Amaro et al. (2019). Alternatively, mixed microbial cultures (MMCs) enriched in PHA-storing bacteria
495 within the classes of *Alphaproteobacteria*, *Betaproteobacteria* and *Gammaproteobacteria* have been
496 exploited (Morgan-Sagastume 2016; Amaro et al. 2019)(Morgan-Sagastume, 2016; Amaro et al. 2019).
497 MMCs are used using feast and famine cycles in order to enrich the strains that are able to use accumulate
498 PHAs. While MMCs were associated with lower yields of PHA production in respect to the pure strains
499 (0.0035-0.56 g/L/h vs 0.0039-0.17 g/L/h), they have the advantage of not requiring sterile condition (Amaro
500 et al., 2019).

501

502 Cellulose is the most abundant biopolymer on earth, recognized as the major component of plant biomass,
503 but also as a representative of microbial extracellular polymers. BC is a highly pure form of cellulose with
504 the same chemical structure as plant cellulose, but having superior physical and chemical properties (eg.
505 stability at high temperature, purity, biodegradability and water holding capacity (Gullo et al. 2017)(Gullo et
506 al., 2017). These properties result from a higher degree of polymerization and ultrafine network architecture.
507 Moreover, BC does not contain hemicellulose or lignin and it shows a more crystalline structure with respect
508 to plant cellulose. Because of its unique properties, BC has found a multitude of applications in food, paper,
509 textile industries, as well as in cosmetic and medicine fields (Gullo et al. 2018)(Gullo et al., 2018). Many BC-
510 based scaffolds are approved by the Food and Drug Administration (FDA) because of the low proteins and
511 endotoxic units content (Petersen and Gatenholm 2011)(Petersen and Gatenholm 2011).

512 The global BC market is valued at 207.36 million USD in 2016 and is estimated to reach 497.76 million USD
513 by the end of 2022 (QYResearchReports, 2017). However, until now the industrial production of BC suffers
514 from the low production yield. BC production can be properly optimized to overcome these limitations. The
515 design of a rational selection strategy to recover suitable producing strains is the first step to obtain
516 functionalized BC for different applications.

517 The use of biodegradable BC-based material can be an outstanding alternative to substitute materials
518 currently used in food packaging (Umaraw and Verma 2017)(Umaraw and Verma 2015). According to
519 American Society for Testing and Materials (ASTM Standard D-5488-94d), a biodegradable material is

520 defined as a material able of undergoing decomposition into carbon dioxide, methane, water, inorganic
521 compounds, or biomass in which the predominant mechanism is the enzymatic action of microorganisms,
522 that can be measured by standardized tests, in a specified period.

523 BC as eco-friendly polymer, has received considerable attention especially to produce composite materials
524 aimed to increase the shelf life of foods. Due to its specific properties, BC can be functionalized to fabricate
525 innovative materials for the development a new generation of active packaging, in which antimicrobial
526 agents are combined into the packaging material creating a protective layer.

527 Highly interesting seems the development of biodegradable active food packaging with improved physical,
528 mechanical, barrier and additional bioactive function to ensure food safety and to extend the shelf-life of
529 foods. Moreover, BC activated with antimicrobial compounds and probiotic can be effective against bacterial
530 food pathogen infection, thus extending the shelf life of food products.

531 Some studies showed the antimicrobial effect of a BC packaging embedded with sorbic acid in mono- and
532 multilayer BC against *E. coli* (K12-MG1655) (Jipa et al. 2012)([Jipa et al. 2012](#)).

533 Among the strictly aerobic AAB, different species synthetize BC which production yield largely differs, but
534 the most important one is *K. xylinus* (Chawla et al. 2009; Gullo et al. 2019)([Chawla et al. 2009](#); [Gullo et al.](#)
535 [2019](#)).

536 To reduce the costs of feedstock while contributing to environmental impact reduction, various low-cost
537 alternative carbon sources for producing BC have been valued. In particular, researches focused on cheap
538 agricultural products or waste containing suitable carbon sources (Thompson and Hamilton 2001; Kuo et al.
539 2010)([Thompson and Hamilton 2001](#); [Kuo et al. 2010](#)). Although results are encouraging, many issues
540 related to the yield and quality of the BC produced are still to be solved.

541 Few studies evaluate the use of CW and derivates for BC production. However, recently agri-food waste such
542 the residual liquid of grape in combination with cheese whey was evaluated for BC synthesis (Bekatorou et
543 al. 2019)([Bekatorou et al. 2019](#)). As for other raw material, no optimized bioprocesses are available and the
544 main issues are related to the low BC production yield and pro and cons are related to costs and quality of BC
545 produced. In this [highlight](#), the exploitation of CW and derivates in producing BC is highly appealing.

546 **Conclusion and perspectives**

547 CW and SCW are main waste of dairy industry responsible for a high organic load. Although existing
548 strategies to manage these wastes contribute to reduce their amount, there is a need to further valorize them.

549 Among biological treatments, fermentative approaches using yeasts, LAB and AAB offer the opportunity to
550 consolidate already used bioprocesses and to introduce innovative bioprocesses combining the valorization of
551 these wastes with the need to produce healthy food commodities.

552 Considering the fermented beverages sector, the selection of appropriate microbial culture of yeasts, LAB
553 and AAB could reinforce the valorization of CW and its derivates by increasing the yield of the main
554 fermentation compounds and offering the opportunity to design and produce new functional beverages with
555 healthy attributes.

556 The production of biopolymers such PHAs and BC from food wastes is of great interest by the
557 biotechnological industry. On the basis of the current knowledge there is a wide potential but further
558 optimization steps are needed to enhance the industrial feasibility.

559

560 **Compliance with Ethical Standards**

561 **Conflict of Interest** The authors declare that they have no conflict of interest.

562 **Ethical statement approval** This article does not contain any studies with human participants or animals
563 performed by any of the authors.

564

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901 Figure captions

902 **Fig.1** Outline of value-added products [obtained](#) from cheese whey and [whey](#)-derivates [through microbial](#)

903 [fermentations](#).

904

Commentato [CP8]: Tutti questi in giallo nel testo non ci sono più

Formattato: Evidenziato