

1 Article

2 Impaired fear extinction recall in serotonin 3 transporter knockout rats is transiently alleviated 4 during adolescence

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14 **Abstract:** Adolescence is a developmental phase characterized by emotional turmoil and coincides
15 with the emergence of affective disorders. Inherited serotonin transporter (5-HTT) down-regulation
16 in humans increases sensitivity to these disorders. To reveal whether and how 5-HTT gene variance
17 affects fear-driven behavior in adolescence, we tested wildtype and serotonin transporter knockout
18 (5-HTT^{-/-}) rats of preadolescent, adolescent and adult age for cued fear extinction and extinction
19 recall. To analyze neural circuit function, we quantified by immunohistochemistry inhibitory
20 neuron populations, and by RT-PCR the expression of c-Fos, brain-derived neurotrophic factor
21 (BDNF), and NMDA receptor subunits, in the medial prefrontal cortex (mPFC) and amygdala.
22 Remarkably, the impaired recall of conditioned fear that characterizes preadolescent and adult 5-
23 HTT^{-/-} rats was transiently normalized during adolescence. This did not relate to altered inhibitory
24 neurotransmission, since mPFC inhibitory neuron populations were reduced in 5-HTT^{-/-} rats across
25 all ages and unaffected in the amygdala. Rather, since mPFC, but not amygdala, c-Fos expression
26 and NMDA receptor subunit 1 expression was reduced in 5-HTT^{-/-} rats during adolescence and PFC
27 c-Fos correlated negatively with fear extinction recall, the temporary normalization of fear
28 extinction during adolescence could relate to altered plasticity in the developing mPFC.

29 **Keywords:** serotonin transporter; rat; fear extinction; medial prefrontal cortex, NMDA; BDNF,
30 adolescence; age

31

32 1. Introduction

33 Adolescence is a period of physical and brain maturation that is characterized by emotional
34 turmoil and an increase in pervasive fears, and coincides with the emergence of anxiety and other
35 affective disorders [1-7]. Recent data implicate organizational changes of the cognitive control
36 circuitry regulating emotional behavior in this vulnerability during adolescence. More specifically,
37 there is evidence for relative immaturity of the medial prefrontal cortex (mPFC) and its top-down
38 control over subcortical areas mediating emotion and motivation such as the amygdala, which'
39 development precedes that of the PFC [3]. According to the developmental mismatch hypothesis, the
40 delayed maturation of the PFC in comparison to the amygdala results in a temporary imbalance
41 between emotion and its regulatory processes [8]. However, there are substantial individual
42 differences [9] in this transient "imbalance" during adolescence, and the underlying mechanisms and
43 factors influencing the maturation process are not yet clear. As the PFC-amygdala circuit is

44 dysfunctional in anxiety disorders [10] that frequently emerge during adolescence and often persist
45 into adulthood [11], the understanding of the maturation of the PFC-amygdala circuit in healthy
46 subjects is expected to inform the pathophysiology of stress-related neuropsychiatric disorders.

47 Appropriate PFC-amygdala circuit balance is critical for adequate extinction of fear. Previous
48 research demonstrated that fear extinction is diminished in pre-adolescents and adolescents
49 compared to adults, in both humans and animals [12-14]. This phenomenon only applies to fear that
50 is cue-dependent, and thus involving the PFC, which' activity -as assessed by c-Fos
51 immunoreactivity- was found to be reduced during adolescence compared to preadolescence and
52 adulthood [12]. In contrast, improved extinction of contextual fear, as mediated by the hippocampus,
53 is observed in adolescence compared to preadolescence and adulthood [54].

54 GABAergic inhibitory signaling plays an important role in this regulation of fear. While the
55 infralimbic cortex (IL) is particularly known for inhibiting the fear response in the central amygdala
56 (CeA) after successful fear extinction via its glutamatergic excitatory projections to the intercalated
57 cells of the amygdala, it has recently become apparent that the IL through a local GABAergic circuit
58 also inhibits the prelimbic cortex (PrL), responsible for activating the CeA [15]. The later may
59 represent another route through which the IL exerts control over fear. Patients suffering from post-
60 traumatic stress disorder, a disorder of aberrant fear extinction, are characterized by abnormalities in
61 GABAergic signaling within the prefrontal cortex [18], implicating this local inhibitory circuit in its
62 pathology. Similarly, the excitability of the basolateral amygdala (BLA), the amygdalar subnucleus
63 responsible for maintaining the learned fear-association [16], is also regulated by inhibitory signaling
64 of local GABAergic interneurons, a mechanism by which fear and anxiety are attenuated [17].
65 However, as of yet, the exact contribution of these inhibitory circuits to the impaired fear extinction
66 in adolescents remains to be investigated.

67 Glutamate receptors represent another signaling system critical for the consolidation of
68 extinction memories. Previous studies have demonstrated that the partial NMDA receptor agonist
69 D-cycloserine (DCS) improves extinction retention in adolescent rats [13, 19]. This implies that
70 besides alterations in GABAergic signaling, a failure to recruit N-methyl-D-aspartate receptors
71 (NMDA) receptors may contribute to the impaired fear extinction during adolescence as well [12].

72 Brain-derived neurotrophic factor (BDNF) has also been implicated in fear circuitry maturation
73 [20]. BDNF levels in the hippocampus peak during adolescence, suggesting that BDNF plays a key
74 role in the maturation of subcortical regions. Furthermore, developmental studies utilizing a genetic
75 BDNF single nucleotide polymorphism (Val66Met) knock-in mouse indicate that BDNF^{Met/Met} mice
76 tested in preadolescence and early adolescence do not differ from wild-type controls regarding fear
77 extinction, but show an impairment during adulthood. These results indicate that the impairment in
78 cued fear extinction in BDNF^{Met/Met} mice emerges in a time frame corresponding to the transition from
79 adolescence to adulthood and that BDNF may thus be critical in this developmental stage for
80 appropriate circuit development.

81 Interestingly, the adolescent behavioral and supposed neural phenotype shows striking
82 similarities to those seen in carriers of the low activity variant short (s) allele of the serotonin
83 transporter linked polymorphic region (5-HTTLPR) in humans. Adults s-allele carriers, which
84 presumably display increased extracellular serotonin levels, show increased acquisition [21] and
85 reduced extinction [22] of conditioned fear, together with amygdala hyper-reactivity [23], and
86 attenuated anatomical and functional coupling between the mPFC and amygdala [24, 25]. Thus, the
87 behavioral and brain phenotypes seen in adult carriers of the s-allele of the 5-HTTLPR may also imply
88 a cortical-subcortical functional imbalance. Serotonin acts as a neurotrophic factor during
89 development, and variations in serotonin availability occurring due to limited availability of 5-HTT
90 are thought to affect the development of circuits involved in the regulation of emotional behavior
91 [26-28]. This poses the hypothesis that 5-HTTLPR may affect the development of the cortical-
92 subcortical circuit, such that the transitions from preadolescence to adolescence, and from
93 adolescence to adulthood are altered in 5-HTTLPR s-allele carriers.

94 Serotonin transporter knockout (5-HTT^{-/-}) rats are used as a model organism for the 5-HTTLPR
95 s-allele in humans, and show many phenotypical similarities, both adaptive and maladaptive, to s-

96 allele carriers [29]. Similar to humans and rodents during adolescence, as well as adult 5-HTTLPR s-
97 allele carriers, 5-HTT^{-/-} rodents display impaired fear extinction (recall) [30-36]. Since 5-HTT^{-/-} rats
98 display decreased inhibitory GABAergic control over excitatory neurons in the cortex during
99 preadolescence [37], reduced expression of BDNF and GABA system components across
100 development [38], altered NMDA receptor subunit expression in the PFC at adulthood [39], and an
101 association with impaired fear extinction- reduced c-Fos expression in the IL [36], it is possible that
102 5-HTT genotype affects the development of the PFC-amygdala circuitry and thereby fear extinction
103 recall across developmental stages.

104 Here, we employed a cued fear extinction paradigm to evaluate how differential 5-HTT
105 expression affects the development of fear extinction learning and recall across adolescence using
106 homozygous (5-HTT^{-/-}) and heterozygous (5-HTT^{+/-}) serotonin transporter knockout rats and
107 compared them to wildtype animals (5-HTT^{+/+}). We quantified the population of inhibitory cells in
108 the IL and BLA by measuring the number of cells expressing the inhibitory markers glutamic acid
109 decarboxylase 65 and 67 (GAD65/67). Additionally, we assessed expression levels of BDNF, NMDA
110 receptor subunits, and c-Fos in the PFC and amygdala at baseline and after fear extinction and fear
111 extinction recall across ages in 5-HTT^{+/+} and 5-HTT^{-/-} rats.

112 2. Materials and Methods

113 *Animals*

114 All experiments were approved by the Committee for Animal Experiments of the Radboud
115 University Nijmegen Medical Centre, Nijmegen, The Netherlands, and all efforts were made to
116 minimize animal suffering and to reduce the number of animals used. Serotonin transporter
117 knockout rats (Slc6a41Hubr) were generated on a Wistar background by N-ethyl-N-nitrosourea
118 (ENU)-induced mutagenesis [40]. Experimental animals were derived from crossing heterozygous 5-
119 HT transporter knockout (5-HTT^{+/-}) rats that were outcrossed for at least twelve generations with
120 wildtype Wistar rats obtained from Harlan Laboratories (Horst, The Netherlands). Ear punches were
121 taken at the age of 21 days for genotyping, which was done by Kbiosciences (Hoddesdon, United
122 Kingdom. Male adult 5-HTT^{-/-}, 5-HTT^{+/-} and wildtype (5-HTT^{+/+}) rats entered the experiment at p24
123 (preadolescent), p35 (adolescent) or p70 (adult). The adult animals were housed in pairs, while the
124 adolescent and preadolescent animals were housed three per cage, in open cages. All animals had *ad*
125 *libitum* access to food and water. A 12-hr light-dark cycle was maintained, with lights on at 08.00 AM.
126 All behavioral experiments were performed between 08.00 AM and 18:00 PM.

127 *Apparatus*

128 A 30.5 cm x 24.1 cm x 21 cm operant conditioning chamber (Model VFC-008, Med Associates)
129 was used for fear conditioning and sham conditioning. The box was housed within a sound-
130 attenuating cubicle and contained a white LED stimulus light, a white and near infrared house light,
131 as well as a speaker capable of producing an 85 dB 2.8 kHz tone. The metal grid floor of the apparatus
132 was connected to a scrambled shock generator (model ENV-412, Med Associates) configured to
133 deliver shocks at 0.6 mA intensity. Fear extinction and extinction recall were tested in a novel context,
134 in a novel room. The novel context consisted of a 25 cm x 25 cm x 30 cm Plexiglas cage, the bottom of
135 which was covered with a +/- 0.5 cm thick layer of black bedding. In this context, 85 dB (measured at
136 the center of the floor) 2.8 kHz auditory stimuli were delivered through a set of external speakers.

137 *Procedure*

138 In total, 329 rats were exposed to behavioral testing. As genotypes of the animals at some ages
139 were only known after completion of the protocol, relatively more 5-HTT^{+/-} animals were tested
140 compared to 5-HTT^{+/+} and 5-HTT^{-/-} rats ($n_{5-HTT^{+/-},p24} = 26$, $n_{5-HTT^{+/-},p35} = 30$, $n_{5-HTT^{+/-},p70} = 35$, $n_{5-HTT^{+/-},p24} = 51$,
141 $n_{5-HTT^{+/-},p35} = 79$, $n_{5-HTT^{+/-},p70} = 32$, $n_{5-HTT^{-/-},p24} = 25$, $n_{5-HTT^{-/-},p35} = 21$, $n_{5-HTT^{-/-},p70} = 30$). On the day on which the
142 animals entered the experiment (p24 for the preadolescent group, p35 for the adolescent group and
143 p70 for the adult group) the animals were habituated to the conditioning context for 10 minutes. 24

144 hours after habituation, animals were given a cued fear conditioning session. Fear conditioning began
145 with a 2-minute habituation period, followed by 5 instances of a 30 second 85 dB 2.8 kHz auditory
146 stimulus co-terminating with a 1 second 0.6 mA foot shock, followed by a 1-minute inter-trial interval.
147 24, 48 and 72 hours after conditioning, fear extinction and two sessions of extinction recall were given,
148 respectively. Thus, extinction learning and extinction recall (2x) were assessed on three consecutive
149 days. In each of these sessions, rats were exposed to a 2-minute habituation period, after which 24 20-
150 second presentations of the auditory stimulus were given, with an inter-trial interval of 5 seconds.
151 Sessions were recorded, and freezing was automatically assessed by a software program (see below).
152 For the conditioning and the habituation to the fear conditioning chamber, the apparatus was cleaned
153 before and after each animal using a tissue slightly dampened with 70% EtOH. Water was used for
154 cleaning in between the extinction and extinction recall sessions.

155 *Assessment of behavior*

156 Time spent freezing during the conditioning session was not assessed, as previous work as
157 indicated no differences between genotypes in the acquisition of fear memory [36]. For assessing the
158 time spent freezing during the extinction learning and both the extinction recall sessions, we used the
159 Ethovision 9.0 behavioral software package (Noldus Information Technology B.V., Wageningen, the
160 Netherlands). Freezing was determined using the Activity Monitor feature of the software package.
161 The threshold for pixel change between frames was set between 0.05% and 0.09% (depending on the
162 specific camera in use, but not different between groups). Automatic assessment was compared to
163 manually scored samples in total 696 samples of 20 seconds, derived from 29 extinction sessions by
164 two different observers blind to the genotype of the animal, and proved to be a reliable assessment
165 of freezing behavior (correlation between manual and automatic outcomes: $r = 0.7397$). To analyze
166 fear extinction learning, extinction sessions were divided into 6 blocks representing the average
167 freezing responses to 4 auditory cue presentations each. Average freezing to all auditory cue
168 presentations during the recall sessions was used as index for fear extinction recall.

169 Since 5-HTT^{-/-} and 5-HTT^{+/-} showed a comparable behavioral profile we focused on 5-HTT^{-/-} and
170 5-HTT^{+/-} rats during subsequent histological and molecular studies aiming to understand the
171 mechanisms underlying the genotype x age effects.

172 *GAD65/67 immunostaining*

173 The immunostaining procedure was adopted from Olivier et al. (2008) and Nonkes et al. (2010)
174 [41, 42]. 90 minutes following either the extinction learning session or the second extinction recall
175 session, a part of the rats ($n=5$, randomly selected) were anesthetized and perfused transcardially
176 with 0.1 mol/l PBS, pH 7.3, followed by 4% paraformaldehyde dissolved in 0.1 mol/l phosphate buffer
177 (PB), pH 7.2. The pressure of the perfusion was reduced for the preadolescent rats. Perfusion
178 continued until signs of successful perfusion were observed (shaking limbs, stiff cheeks, etc.).
179 Subsequently, the brains were removed from the skull and post-fixed overnight in 4%
180 paraformaldehyde at 4°C. Before sectioning, the brains were cryoprotected with 30% sucrose in 0.1
181 mol/l PB. Forty micrometer thick brain sections were cut on a freezing microtome and collected in six
182 parallel series in 0.1 mol/l PBS containing 0.1% sodium azide. One series from each rat was used for
183 every staining. The free-floating sections were washed three times in PBS and preincubated with 0.3%
184 perhydrol (30% H₂O₂, Merck, Darmstadt, Germany) for 30 min. After washing three times in PBS
185 the sections were presoaked for 30 min in an incubation medium consisting of PBS with 0.1% bovine
186 serum albumin and 0.5% Triton X-100. The sections were then incubated with goat anti-GAD65/67,
187 1:2000 (Santa Cruz Biotechnology Inc., Santa Cruz, California, USA) overnight on a shaker, at room
188 temperature, and consecutively incubated for 90 min at room temperature with biotinylated donkey-
189 anti-goat (Jackson Immuno Research Laboratories, West Grove, Pennsylvania, USA) diluted 1:1500
190 in incubation medium for 90 minutes and for 90 min at room temperature with ABC-elite, diluted
191 1:800 in PB (Vector Laboratories, Burlingame, California, USA). Between incubations, sections were
192 rinsed three times with PBS. The GAD65/67-antibody peroxidase complex was made visible using
193 3,3-diaminobenzidine tetrahydrochloride staining. Sections were incubated for 10 min in a

194 chromogen solution consisting of 0.02% 3,3-diaminobenzidine tetrahydrochloride and 0.03% nickel-
 195 ammonium sulfate in 0.05 mol/l Tris-buffer (pH 7.6), and subsequently for 10 min in chromogen
 196 solution containing 0.006% hydrogen peroxide. This resulted in a blue-black staining. Then, the
 197 sections were rinsed three times in PBS and mounted on gelatin chrome alum-coated glass slides,
 198 dried overnight in a stove at 37 °C, dehydrated in an increased series of ethanol, cleared in xylene,
 199 embedded with Entellan (Merck) and coverslipped.

200 *Quantification*

201 Numbers of GAD65/67-immunopositive cells were quantified using the software program Fiji
 202 ImageJ, a public domain image-processing program (<http://rsb.info.nih.gov/ij/>) [43]. Cells were
 203 counted in the IL in equally framed sections across groups at 2.20 from Bregma at ×40 magnification
 204 using an Axio Imager.A2 microscope (Zeiss, Oberkochen, Germany). BLA GAD65/67
 205 immunoreactivity was measured in sections at -1.88 mm from Bregma at ×40 magnification. The
 206 results for each subject are expressed as the total amount of cells counted in each section.

207 *Gene expression analyses*

208 The remainder of animals was sacrificed by rapid decapitation at 90 minutes following either
 209 the extinction learning session or the second extinction recall session. Brains were rapidly removed
 210 from the skull and quick-frozen on dry ice and stored at -80 °C until further processing.

211 Brains from WT and 5-HTT^{-/-} rats were sectioned on into 220 μm coronal slices on a Leica CM3050 S
 212 Research Cryostat (Leica Biosystems, Amsterdam, the Netherlands), with a chamber temperature of
 213 -12 °C and an object temperature of -10°C, after which regions of interest were punched out. To be
 214 able to relate gene expression profiles following extinction (recall) to basal gene expression patterns,
 215 additional naïve control WT and 5-HTT^{-/-} brains were obtained and processed in a similar fashion (n_{5-HTT^{+/+}-p24} = 6, n_{5-HTT^{+/+}-p35} = 7, n_{5-HTT^{+/+}-p70} = 7, n_{5-HTT^{-/-}-p24} = 7, n_{5-HTT^{-/-}-p35} = 7, n_{5-HTT^{-/-}-p70} = 6). Medial prefrontal
 217 cortex punches were taken bilaterally with a 1.0 mm diameter hollow needle from 8 subsequent slices
 218 (Bregma ≈ 3.70:2.20 mm), for a total of 32 punches (prelimbic and infralimbic cortex were punched
 219 bilaterally and punches culled). Likewise, 8-10 1.0 mm diameter punches were taken from the
 220 bilateral amygdala (Bregma ≈ -2.30: -3.30 mm).

221 Total RNA was isolated by a single step of guanidinium isothiocyanate/phenol extraction using
 222 PureZol RNA isolation reagent (Bio-Rad Laboratories, Italy) according to the manufacturer's
 223 instructions and quantified by spectrophotometric analysis. Following total RNA extraction, the
 224 samples were processed for real-time polymerase chain reaction (RT-PCR) to assess total BDNF, NR1,
 225 NR2A and c-Fos mRNA expression. An aliquot of each sample was treated with DNase to avoid
 226 DNA contamination. RNA was analyzed by TaqMan qRT-PCR instrument (CFX384 real time system,
 227 Bio-Rad Laboratories, Italy) using the iScript™ one-step RT-PCR kit for probes (Bio-Rad
 228 Laboratories, Italy). Samples were run in 384 well formats in triplicate as multiplexed reactions with
 229 a normalizing internal control (β-actin). Primers sequences (Table 1) used were purchased from
 230 Eurofins MWG-Operon.

231 Thermal cycling was initiated with an incubation at 50°C for 10 min (RNA retrotranscription) and
 232 then at 95°C for 5 min (TaqMan polymerase activation). After this initial step, 39 cycles of PCR were
 233 performed. Each PCR cycle consisted of heating the samples at 95°C for 10 s to enable the melting
 234 process and then for 30 s at 60°C for the annealing and extension reactions. A comparative cycle
 235 threshold method was used to calculate the relative target gene expression (Livak and Schmittgen,
 236 2001).

237 **Table 1.** Sequences of Forward and Reverse Primers and Probes used in Real-time PCR Analyses and
 238 Purchased from Eurofins MWG-Operon.

Gene	Forward primer	Reverse primer	Probe
BDNF tot	AAGTCTGCATTACATTCCTCG A	GTTTTCTGAAAGAGGGACAGTTTA T	TGTGGTTTGTGGCCGTTGCCAAG
NR1	TCATCTCTAGCCAGGTCTACG	CAGAGTAGATGGACATTCGGG	TGGGAGTGAAGTGGTCGTTGGG

NR2A	GCACCAGTACATGACCAGATT C	ACCAGTTTACAGCCTTCATCC	CGTCCAACCTCCCGGTTTCAAG C
c-Fos	TCCTTACGGACTCCCCAC	CTCCGTTTCTCTTCTCTTCAG	TGCTCTACTTTGCCCTTCTGCC
β -actin	CACTTCTACAATGAGCTGCG	CTGGATGGCTACGTACATGG	TCTGGGTCATCTTTTCACGGTTGG C

239 Statistics

240 All statistical analyses were performed using SPSS Statistics version 24.0 (SPSS Inc., IBM,
241 Armonk, NY, USA). Data are presented as mean \pm standard error of the mean (SEM). Behavioral data
242 were analyzed using a repeated measures analysis of variance (ANOVA), whereas the
243 immunohistochemical and gene expression were analyzed using a 2-way ANOVA, with genotype
244 and age (preadolescent, adolescent, adult) as between-subject factors. Statistical testing on the latter
245 was performed on obtained deltaCT values, whereas data are plotted as fold-change expression levels
246 relative to the preadolescent 5-HTT^{+/+} group. For Pearson correlation analyses between freezing and
247 neural measures we averaged freezing rates observed during all cue-presentations to a single
248 measure. Probability p values of less than 0.05 were considered significant. Bonferroni correction was
249 applied to correct for multiple testing in *post hoc* tests.

250 3. Results

251 Freezing behavior

252 *Baseline freezing.* To measure baseline freezing, we assessed freezing during the 2-minute
253 stimulus free period preceding the first extinction session. Freezing in response to the novel context
254 was significantly affected by age ($F_{(2, 319)} = 41.016$, $p < 0.001$), but not genotype ($F_{(2, 319)} = 1.745$, $p = 0.176$),
255 and no significant genotype \times age interaction was found ($F_{(4, 319)} < 1$) (Figure 1). Bonferroni *post-hoc*
256 analysis revealed that adolescent animals froze more upon novel context exposure than adult animals
257 ($p < 0.001$), while preadolescent animals froze more than adolescent and adult animals (both $p <$
258 0.001).

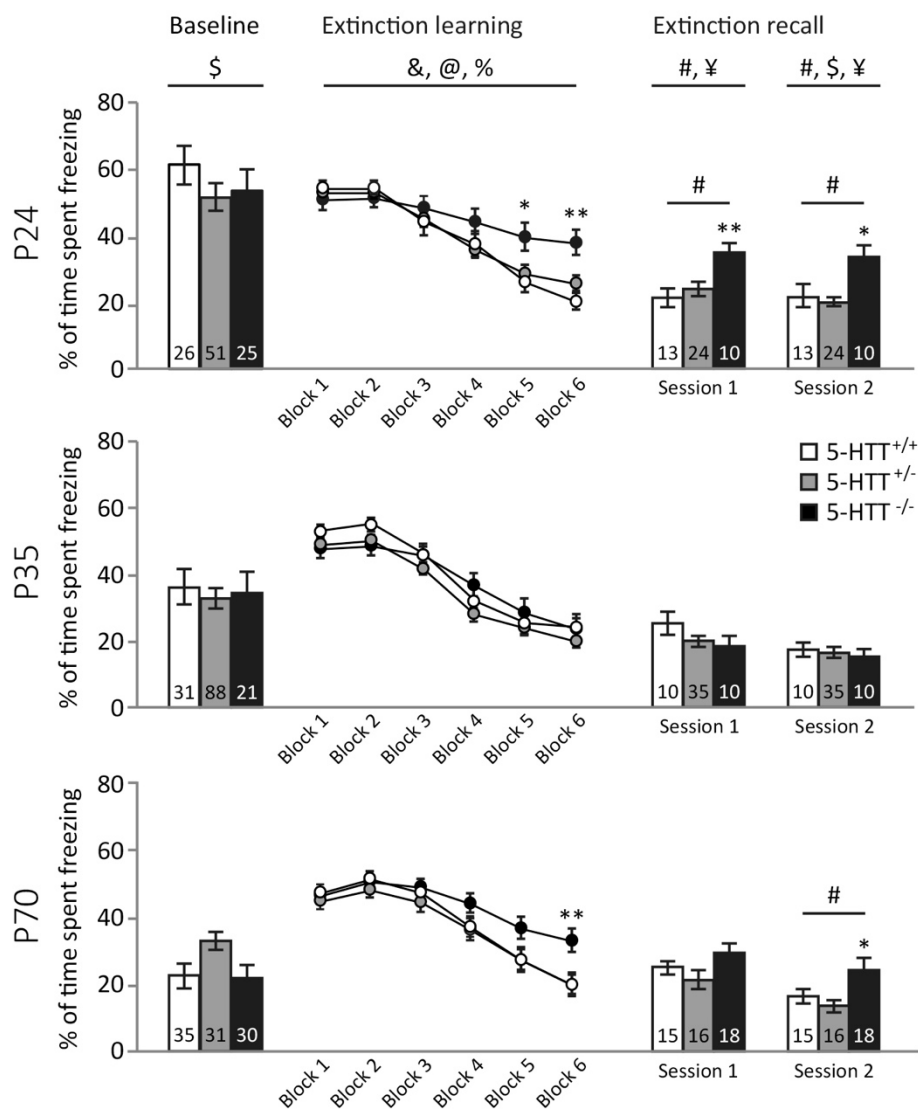
259 *Fear extinction learning.* In the extinction learning session, freezing during the cue presentations
260 reduced over blocks ($F_{(5, 324)} = 145.945$, $p < 0.001$), and this reduction (i.e., the speed of extinction
261 learning) was depended on both age (block \times age interaction; $F_{(10, 650)} = 3.607$, $p < 0.001$) and genotype
262 (block \times genotype interaction; $F_{(20, 650)} = 3.458$, $p < 0.001$), but not on a genotype \times age interaction ($F_{(20,$
263 $1308)} < 1$) (Figure 1). Exploration of the genotype effect through *post hoc* tests revealed that 5-HTT^{-/-} rats
264 showed slower extinction learning than both 5-HTT^{+/-} and 5-HTT^{+/+} rats (both $p < 0.001$), whereas 5-
265 HTT^{+/-} and 5-HTT^{+/+} animals showed similar extinction rates ($p = 0.653$). Exploration of the age effect
266 revealed significant differences in extinction learning curves between all three ages, which seemed to
267 be driven by slower extinction in pre-adolescent compared to adolescent rats ($p = 0.006$) and lower
268 initial freezing (in block 1) of adult rats compared to preadolescent rats ($p = 0.008$). There were no age
269 effects within the genotypes ($p > 0.1$).

270 *First fear extinction recall.* Total freezing during the first extinction recall session was used as a
271 behavioral indicator of the recall of the extinction memory acquired during the first fear extinction
272 learning session. We observed a main effect of genotype ($F_{(2, 144)} = 4.051$, $p = 0.019$), a trend-level
273 significant main effect of age ($F_{(2, 144)} = 2.910$, $p = 0.058$) and a genotype \times age interaction for this
274 parameter ($F_{(4, 144)} = 2.747$, $p = 0.031$) (Figure 1). The latter appeared to be driven by a significant effect
275 of genotype in the preadolescent ($F_{(2, 46)} = 6.016$, $p = 0.005$), but not the adolescent ($F_{(2, 52)} = 1.401$, $p =$
276 0.255) and adult animals ($F_{(2, 46)} = 2.254$, $p = 0.116$). The genotype effect in the preadolescent group was
277 driven by 5-HTT^{-/-} rats, which froze significantly more than 5-HTT^{+/-} ($p = 0.012$) and 5-HTT^{+/+} ($p =$
278 0.007) animals, while freezing was not different between 5-HTT^{+/-} and 5-HTT^{+/+} animals ($p = 1.000$).
279 When comparing age effects in genotype groups we observed that fear extinction recall was
280 significantly affected by age in 5-HTT^{-/-} rats ($F_{(2, 35)} = 60.527$, $p = 0.004$), but not 5-HTT^{+/+} ($F_{(2, 35)} < 1$) and
281 5-HTT^{+/-} ($F_{(2, 74)} < 1$) rats. The age effect in 5-HTT^{-/-} rats was attributed to improved recall during

282 adolescence compared to preadolescence ($p = 0.004$) and adulthood ($p = 0.049$), in the absence of a
 283 difference between the latter two groups ($p = 0.471$).

284 *Second fear extinction recall.* We found a main effect of genotype ($F_{(2, 142)} = 8.601$, $p < 0.001$), age ($F_{(2, 142)} = 10.756$, $p < 0.001$) and genotype \times age interaction ($F_{(4, 142)} = 2.921$, $p = 0.023$) in freezing behavior
 285 during the second extinction recall session (Figure 1). Here, we found a significant effect of genotype
 286 in the preadolescent ($F_{(2, 44)} = 7.334$, $p = 0.002$) and the adult group ($F_{(2, 46)} = 6.115$, $p = 0.004$), but again
 287 not in the adolescent animals ($F_{(2, 52)} < 1$). 5-HTT^{-/-} rats froze more than 5-HTT^{+/-} and 5-HTT^{+/+} animals
 288 in both the preadolescent ($p = 0.001$ and $p = 0.016$ respectively) and the adult ($p = 0.005$ and $p = 0.057$
 289 respectively) age groups, while freezing between 5-HTT^{+/-} and wildtype animals was not different in
 290 either age group (both p 's = 1.000). When comparing age effects in genotype groups we observed that
 291 fear extinction recall was significantly affected by age in 5-HTT^{-/-} rats ($F_{(2, 35)} = 75.819$, $p = 0.002$), but
 292 not 5-HTT^{+/+} rats ($F_{(2, 35)} = 1.286$, $p = 0.289$). In 5-HTT^{-/-} rats reduced freezing was observed during
 293 adolescence as compared to preadolescence ($p = 0.002$), but not adulthood ($p = 0.125$), whereas
 294 freezing at these latter two ages did not differ significantly ($p = 0.125$). In 5-HTT^{+/+} rats a significant
 295 effect of age was found ($F_{(2, 72)} = 20.583$, $p = 0.037$), caused by improved fear extinction with age
 296 (resulting in a significant difference in freezing during recall in preadolescence vs adulthood ($p =$
 297 0.036), whereas the other comparisons were non-significant (all p 's > 0.27). As all significant effects
 298 of genotype were driven by aberrant behavior of the 5-HTT^{-/-} rats, further neural analyses focused on
 299 the comparison of this genotype to their 5-HTT^{+/+} counterparts.

300 In Figure S1 the freezing per genotype across the three ages is depicted, and in Figure S2 the
 301 freezing across blocks during the recall sessions.
 302

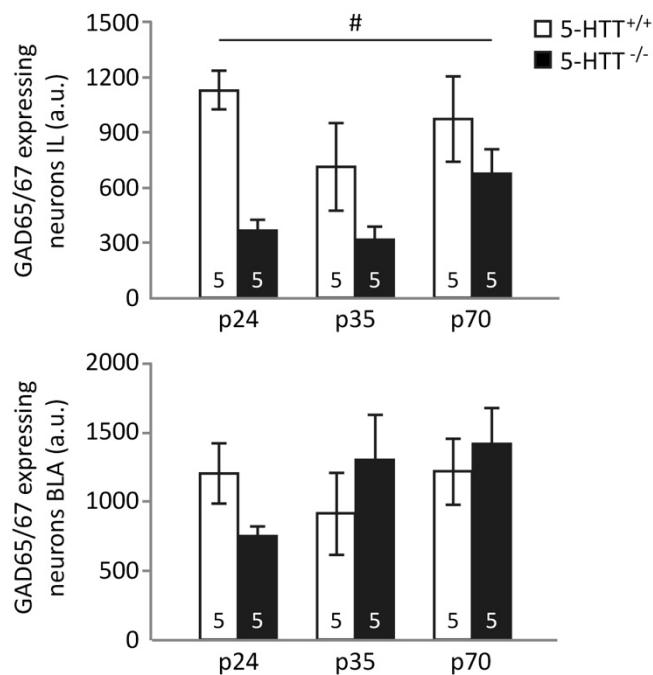


304 **Figure 1.** Fear conditioning behavioral data across extinction learning and the two extinction recall
 305 sessions. Freezing during the 2-minute stimulus free baseline period preceding extinction learning
 306 decreased across age in all genotypes. Fear extinction learning is impaired in preadolescent 5-HTT^{-/-}
 307 rats, then normalized in this genotype during adolescence, to be impaired again in adulthood. Fear
 308 extinction recall is impaired in preadolescent 5-HTT^{-/-} rats, then normalized in this genotype during
 309 adolescence, to be impaired again in adulthood. Data are expressed as mean % of time spent freezing
 310 during stimulus presentations ± standard error of the mean. # indicates a significant effect of genotype
 311 ($p < 0.05$); \$ indicates a significant effect of age ($p < 0.05$); ¥ indicates a significant age x genotype
 312 interaction ($p < 0.05$); * indicates a significant *post hoc* difference between 5-HTT^{-/-} vs. 5-HTT^{+/-} and/or
 313 5-HTT^{+/+} rats ($p < 0.05$); & indicates a significant effect of extinction block ($p < 0.05$); @ indicates a
 314 significant age x block interaction ($p < 0.05$); % indicates a significant genotype x block interaction (p
 315 < 0.05).

316 GAD65/67 immunoreactivity

317 *Infralimbic cortex.* The number of GAD65/67 immunopositive cells in the IL was significantly
 318 affected by genotype ($F_{(1, 24)} = 14.326$, $p = 0.001$), but not age ($F_{(2, 24)} = 2.110$, $p = 0.143$), and no genotype
 319 x age interaction could be detected ($F_{(2, 24)} = 1.222$, $p = 0.312$, Figure 2). The number of cells expressing
 320 GAD65/67 was significantly reduced in 5-HTT^{-/-} animals compared to 5-HTT^{+/+} animals ($p = 0.001$).

321 *Basolateral amygdala.* No effects of genotype ($F_{(1, 24)} < 1$) or age ($F_{(1, 24)} < 1$), nor a genotype x age
 322 interaction ($F_{(2, 24)} = 1.583$, $p = 0.226$) were found in the number of GAD65/67 immuno-positive cells in
 323 the BLA (Figure 2).
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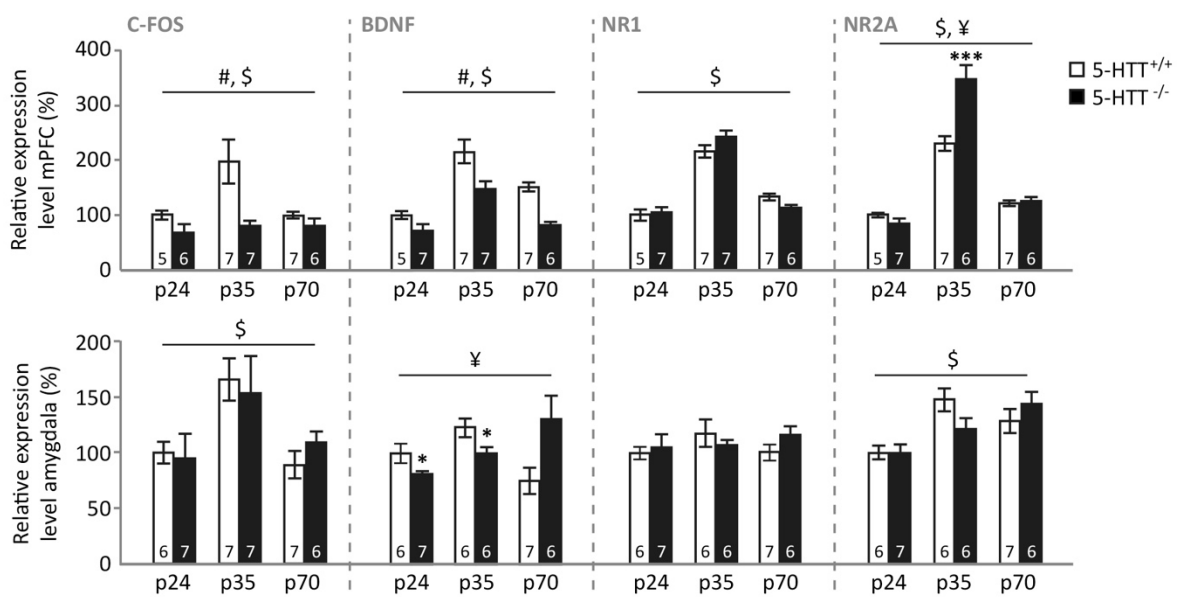
325
 326 **Figure 2.** GAD65/67 immunoreactivity in the infralimbic cortex (IL) and basolateral amygdala (BLA)
 327 of preadolescent (p24), adolescent (p35) and adult (p70) 5-HTT^{-/-} and 5-HTT^{+/+} rats. GAD 65/67
 328 immunoreactivity is significantly reduced in preadolescent, adolescent and adult 5-HTT^{-/-} animals in
 329 the IL, but not BLA. # indicates a significant effect of genotype ($p < 0.05$).

330 Gene expression levels neuronal plasticity and activity genes

331 *Basal expression. mPFC.* In the mPFC of naive control animals (Figure 3, upper panel), c-Fos
 332 expression was affected by genotype ($F_{(1, 32)} = 16.321$, $p < 0.001$) and age ($F_{(2, 32)} = 3.502$, $p = 0.042$), but
 333 not by a genotype x age interaction ($F_{(2, 32)} = 1.828$, $p = 0.177$). These effects appeared to be driven by
 334 significantly lower c-Fos expression levels in 5-HTT^{-/-} compared to 5-HTT^{+/+} rats ($p < 0.001$), whereas

335 adolescent animals tended to display increased expression compared to pre-adolescent ($p = 0.036$),
 336 but not adult ($p = 0.236$) rats. BDNF expression was also dependent on genotype ($F_{(1,33)} = 29.072$, $p <$
 337 0.001) and age ($F_{(2,33)} = 27.108$, $p < 0.001$), without displaying a genotype \times age interaction ($F_{(2,33)} < 1$).
 338 Also BDNF levels were significantly lower in 5-HTT^{-/-} compared to 5-HTT^{+/+} rats ($p < 0.001$), whereas
 339 adolescent rats displayed highest expression (both p 's < 0.001), whereas adult rats displayed higher
 340 levels than preadolescent rats ($p = 0.007$). NR1 levels only depended on the age of the rat ($F_{(2,33)} =$
 341 71.644 , $p < 0.001$), with again the adolescent rats displaying highest expression (both p 's < 0.001), and
 342 adult rats displaying higher levels than preadolescent rats ($p = 0.020$). NR2A levels were characterized
 343 by a main effect of age ($F_{(2,32)} = 113.835$, $p < 0.001$) and a genotype \times age interaction ($F_{(2,32)} = 8.020$, $p =$
 344 0.002). Similarly to NR1 and BDNF, NR2A expression levels were highest in adolescence (both p 's $<$
 345 0.001), and adult rats showed higher NR2A expression than pre-adolescent rats ($p = 0.001$). Moreover,
 346 in adolescence, 5-HTT^{-/-} rats displayed significantly higher NR2A expression levels compared to 5-
 347 HTT^{+/+} rats ($p < 0.001$), whereas no differences between genotypes were observed at preadolescence
 348 ($p = 0.165$) and adulthood ($p = 0.666$).

349 **Amygdala.** In the amygdala (Figure 3, lower panel), c-Fos expression was modulated by age ($F_{(2,34)} =$
 350 7.090 , $p = 0.003$), but not genotype ($F_{(1,34)} < 1$) nor a genotype \times age interaction ($F_{(2,34)} = 1.171$, $p =$
 351 0.322). This age effect was driven by a significantly higher expression in adolescent compared to
 352 preadolescent ($p = 0.005$) and adult rats ($p = 0.011$), whereas no differences between these latter age
 353 groups were found ($p = 1.000$). BDNF expression in the amygdala was modulated by a genotype \times
 354 age interaction ($F_{(2,32)} = 6.067$, $p = 0.006$), but no main effects (both p 's > 0.2). Further testing suggested
 355 that this interaction was driven by lower amygdala BDNF expression in pre-adolescent and
 356 adolescent 5-HTT^{-/-} rats compared to WT's ($p = 0.041$ and $p = 0.046$ respectively), whereas adult 5-HTT^{-/-}
 357 rats tended to display increased amygdala BDNF expression ($p = 0.069$). Amygdala NR1 expression
 358 was not modulated by genotype, age (both F 's < 1) or a genotype \times age interaction ($F_{(2,32)} = 1.059$, $p =$
 359 0.359), whereas NR2A expression was different for the distinct age groups ($F_{(2,32)} = 11.156$, $p < 0.001$),
 360 without a significant effect of genotype ($F_{(1,32)} < 1$) or genotype \times age interaction ($F_{(2,32)} = 2.371$, $p =$
 361 0.110). Further testing revealed that pre-adolescent rats displayed lower amygdala NR2A expression
 362 compared to adolescent and adult rats (both p 's = 0.001), whereas the latter two age groups were not
 363 different ($p = 1.000$).
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Figure 3. Relative expression levels of c-Fos, BDNF, NR1 and NR2A in the medial prefrontal cortex (mPFC) and amygdala of naive preadolescent (p24), adolescent (p35) and adult (p70) 5-HTT^{-/-} and 5-HTT^{+/+} rats. # indicates a significant effect of genotype ($p < 0.05$); \$ indicates a significant effect of age ($p < 0.05$); ¥ indicates a significant age \times genotype interaction ($p < 0.05$); * indicates a significant *post hoc* difference between 5-HTT^{-/-} vs. age-matched 5-HTT^{+/+} rats (* $p < 0.05$; *** $p < 0.001$).

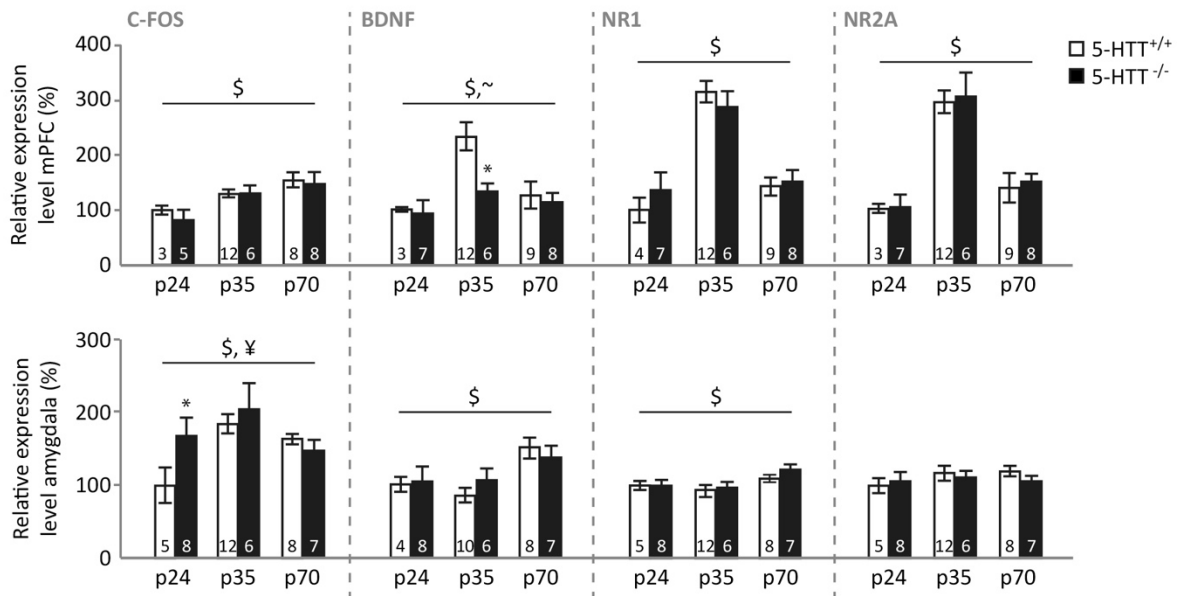
371 *Gene expression following fear extinction learning.*

372 *mPFC.* Levels of c-Fos expression in the mPFC following extinction learning (Figure 4, upper
373 panel) were dependent on the rats' age ($F_{(2, 36)} = 6.182$, $p = 0.005$), but not genotype ($F_{(1, 36)} = 1.032$, $p =$
374 0.317), nor genotype \times age interaction ($F_{(2, 36)} < 1$). Preadolescent rats showed lower c-Fos expression
375 than adolescent ($p = 0.014$) and adult ($p = 0.002$) animals, whereas adolescent and adult animals
376 displayed similar levels ($p = 0.850$). mPFC BDNF expression following extinction was also dependent
377 on age ($F_{(2, 39)} = 7.507$, $p = 0.002$), and showed a trend towards an effect of genotype ($F_{(1, 39)} = 3.107$, $p =$
378 0.086), without displaying a genotype \times age interaction ($F_{(2, 39)} = 1.185$, $p = 0.316$). Similar to naïve
379 animals, BDNF levels were highest in adolescent rats ($p < 0.001$ and $p = 0.003$ compared to
380 preadolescent and adult rats respectively), whereas no differences were observed between adult and
381 preadolescent rats ($p = 0.502$). Adolescent 5-HTT^{-/-} rats showed lower mPFC BDNF expression than
382 5-HTT^{+/+} rats ($p = 0.014$), while no significant differences were observed at the other ages (both p 's $>$
383 0.5). NR1 levels only depended on the age of the rat ($F_{(2, 40)} = 30.131$, $p < 0.001$), with again the
384 adolescent rats displaying highest expression (both p 's < 0.001), and levels in preadolescent and adult
385 rats not differing ($p = 0.206$). Similarly, mPFC NR2A expression following extinction was
386 characterized by a main effect of age ($F_{(2, 39)} = 36.840$, $p < 0.001$), but no effect of genotype or genotype
387 \times age interaction (both F 's < 1). Again, expression levels were highest in adolescence (both p 's < 0.001),
388 and adult rats showed higher NR2A expression than pre-adolescent rats ($p = 0.031$). No correlations
389 were observed between basal or cue-induced freezing and mPFC expression levels.

390 *Amygdala.* In the amygdala (Figure 4, lower panel), c-Fos expression following extinction
391 learning was modulated by age ($F_{(2, 40)} = 5.918$, $p = 0.006$), and a genotype \times age interaction ($F_{(2, 40)} =$
392 3.870 , $p = 0.029$), whereas the main effect of genotype did not reach significance ($F_{(1, 40)} = 3.092$, $p =$
393 0.086). This age effect was driven by higher expression in the adolescent compared to preadolescent
394 amygdala ($p = 0.017$), whereas both age group did not significantly differ from adults ($p = 0.547$ and
395 $p = 0.379$ respectively). The interaction was driven by a significant effect of genotype in preadolescent
396 rats, with WT's showing lower expression ($p = 0.047$), whereas no differences were observed at the
397 other ages (both p 's > 0.22). Amygdala BDNF expression was also dependent on age ($F_{(2, 37)} = 5.158$, p
398 $= 0.011$), without effect of genotype nor interaction (both F 's < 1). BDNF levels were higher in the
399 adult compared to preadolescent ($p = 0.053$) and adolescent ($p = 0.006$) amygdala, whereas the latter
400 were not different from each other ($p = 1.000$). Similarly, amygdala NR1 expression following
401 extinction depended on age ($F_{(2, 40)} = 4.992$, $p = 0.012$), but not genotype nor a genotype \times age
402 interaction (both F 's < 1), with adult rats displaying the same expression levels as the preadolescent
403 rats ($p = 0.149$) but higher levels compared to adolescent rats ($p = 0.007$). These groups did not differ
404 from each other ($p = 0.927$). Amygdala NR2A expression was not affected by age, genotype nor their
405 interaction (all F 's < 1).

406 Correlational analyses across all ages and genotypes related both amygdala BDNF and NR1
407 levels to basal anxiety, with lower expression levels following testing being related to higher freezing
408 during the habituation period (BDNF: $r(43) = 0.307$, $p = 0.045$; NR1: $r(46) = 0.310$, $p = 0.036$) (Figure
409 S3). Moreover, amygdala BDNF was negatively related to cue-induced freezing during the extinction
410 session ($r(43) = 0.440$, $p = 0.003$) (Figure S3).

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Figure 4. Relative expression levels of c-Fos, BDNF, NR1 and NR2A in the medial prefrontal cortex (mPFC) and amygdala of 5-HTT^{-/-} and 5-HTT^{+/+} rats following fear extinction learning during preadolescence (p24), adolescence (p35) and adulthood (p70). # indicates a significant effect of genotype ($p < 0.05$); ~ indicates a trend-level significant effect of genotype ($p = 0.086$); \$ indicates a significant effect of age ($p < 0.05$); ¥ indicates a significant age x genotype interaction ($p < 0.05$); * indicates a significant *post hoc* difference between 5-HTT^{-/-} vs. age-matched 5-HTT^{+/+} rats ($p < 0.05$).

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Gene expression following fear extinction recall.

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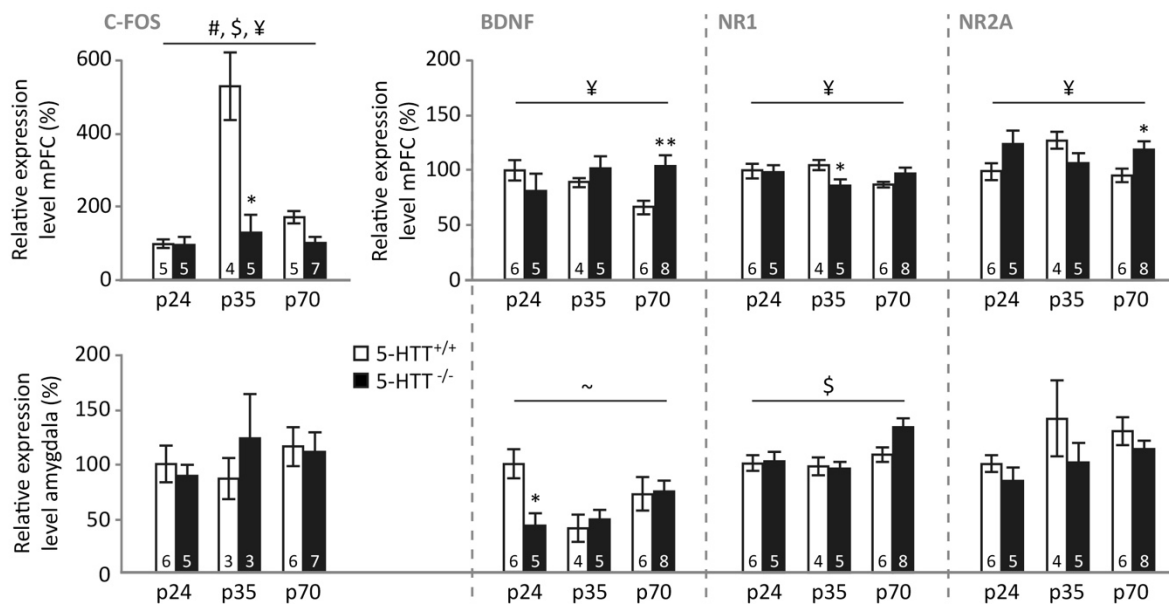
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mPFC. Following the last fear extinction recall session, expression levels of c-Fos in the mPFC (Figure 5, upper panel) were modulated by the rats' age ($F_{(2, 25)} = 4.993$, $p = 0.015$), genotype ($F_{(1, 25)} = 13.612$, $p = 0.001$), and a genotype x age interaction ($F_{(2, 25)} = 4.046$, $p = 0.030$). Further testing revealed that WT rats showed highest expression levels in adolescence ($p < 0.001$ and $p = 0.001$ compared to preadolescent and adult animals respectively), and higher levels in adult compared to preadolescent rats ($p = 0.043$). No such effect of age was observed in 5-HTT^{-/-} rats (all p 's = 1.000), resulting in significantly higher mPFC c-Fos expression in WT compared to 5-HTT^{-/-} rats during adolescence ($p = 0.013$), but not preadolescence ($p = 0.778$) or adulthood ($p = 0.075$). mPFC BDNF expression following extinction recall was modulated by a genotype x age interaction ($F_{(2, 28)} = 5.397$, $p = 0.010$), without main effects of age ($F < 1$) or genotype ($F_{(1, 28)} = 1.084$, $p = 0.307$). This interaction was caused by a significant effect of age in 5-HTT^{+/+} rats ($F_{(2, 13)} = 6.174$, $p = 0.013$), that was absent in 5-HTT^{-/-} rats ($F_{(2, 15)} = 1.672$, $p = 0.221$), resulting in a significant effect of genotype only at adult age ($p = 0.005$, other p 's > 0.2), with 5-HTT^{+/+} rats displaying lower BDNF expression. Also mPFC NR1 levels following extinction recall were modulated in a genotype x age manner ($F_{(2, 28)} = 4.034$, $p = 0.029$), without main effects of age or genotype (both F 's < 1). Whereas preadolescents ($p = 0.960$) of both genotypes showed similar NR1 expression, adolescent 5-HTT^{-/-} rats were characterized by lower NR1 expression compared to their 5-HTT^{+/+} counterparts ($p = 0.048$), whereas adult 5-HTT^{-/-} rats tended to show higher expression ($p = 0.081$). NR2A expression levels were characterized by a genotype x age interaction as well ($F_{(2, 28)} = 4.080$, $p = 0.028$), without significant effects of age ($F < 1$) or genotype ($F_{(1, 28)} = 1.920$, $p = 0.177$). *Post hoc* testing only revealed a significant effect of genotype during adulthood, when mPFC NR2A expression in response to extinction recall was significantly increased in 5-HTT^{-/-} compared to 5-HTT^{+/+} rats ($p = 0.034$). No significant differences were found during preadolescence and adolescence between 5-HTT^{-/-} compared to WT rats ($p = 0.106$ and $p = 0.137$ respectively).

Correlational analyses across all ages and genotypes revealed that mPFC c-Fos expression was significantly related to the amount of cue-induced freezing during this last extinction recall session ($r(31) = 0.366$, $p = 0.043$), with reduced c-Fos levels relating to increased freezing, reflecting impaired extinction recall (Figure S3).

447 *Amygdala*. In the amygdala (Figure 5, lower panel), c-Fos expression following extinction recall
 448 was not modulated by age, genotype nor a genotype x age interaction (all F 's < 1). Amygdala BDNF
 449 expression revealed a trend towards a age x genotype interaction ($F_{(2, 28)} = 3.242$, $p = 0.054$), without
 450 main effect of genotype ($F_{(1, 28)} = 1.111$, $p = 0.301$) or age ($F_{(2, 28)} = 2.450$, $p = 0.105$). Exploratory *post hoc*
 451 tests revealed a significant reduction in amygdala BDNF expression during preadolescence in 5-HTT^{-/-}
 452 ^{-/-} compared to 5-HTT^{+/+} rats ($p = 0.017$), that was not observed at other ages (p 's > 0.6). Amygdala NR1
 453 expression following extinction recall only revealed a significant effect of age ($F_{(2, 28)} = 5.178$, $p = 0.012$),
 454 but not genotype ($F_{(1, 28)} = 1.106$, $p = 0.302$), nor interaction ($F_{(2, 28)} = 1.403$, $p = 0.262$), with adult rats
 455 displaying higher expression levels compared to preadolescent ($p = 0.035$) and adolescent rats ($p =$
 456 0.015), whereas these latter groups did not differ from each other ($p = 1.000$). Amygdala NR2A
 457 expression only revealed trends for a reduced expression in 5-HTT^{-/-} rats across ages ($F_{(1, 28)} = 3.871$, $p =$
 458 0.056) and increase with age ($F_{(2, 28)} = 2.662$, $p = 0.087$), without interaction ($F < 1$). No significant
 459 correlations between amygdala gene expression levels and freezing during extinction recall were
 460 observed.
 461



462

463 **Figure 5.** Relative expression levels of c-Fos, BDNF, NR1 and NR2A in the medial prefrontal cortex
 464 (mPFC) and amygdala of 5-HTT^{-/-} and 5-HTT^{+/+} rats following the second session of fear extinction
 465 recall during preadolescence (p24), adolescence (p35) and adulthood (p70). # indicates a significant
 466 effect of genotype ($p < 0.05$); \$ indicates a significant effect of age ($p < 0.05$); ¥ indicates a significant
 467 age x genotype interaction ($p < 0.05$); ~ indicates a trend-level significant age x genotype interaction
 468 ($p = 0.054$); * indicates a significant *post hoc* difference between 5-HTT^{-/-} vs. age-matched 5-HTT^{+/+} rats
 469 (* $p < 0.05$; ** $p < 0.01$).

470 4. Discussion

471 Here, we confirm that fear extinction recall is impaired in 5-HTT^{-/-} rats, an established and often
 472 replicated phenomenon [34, 35, 44, 45], in addition to impaired extinction learning in rats of this
 473 genotype [36]. Strikingly, an effect of age on fear extinction recall was seen only in 5-HTT^{-/-} rats, which
 474 enjoyed a transient normalization (i.e., improvement) of fear extinction recall during adolescence.
 475 Whereas augmented fear extinction learning seems to be responsible for the improved fear extinction
 476 recall observed in 5-HTT^{-/-} rats during adolescence, age x genotype effects on learning rates failed to
 477 reach significance. The number of GAD65/67 positive cells, indicative of inhibitory circuit function,
 478 was decreased in the IL of 5-HTT^{-/-} rats, regardless of age, and no clear effect of age or genotype were
 479 seen on the number of GAD65/67 positive cells in the BLA. In naïve rats we observed increases in
 480 BDNF, NR1, and NR2A expression levels in the mPFC, and c-Fos in the mPFC and amygdala, during

481 adolescence. Furthermore, BDNF levels were reduced in 5-HTT^{-/-} rats across all ages. While no
482 genotype x age interactions were observed following fear extinction learning, fear extinction recall
483 was associated with a genotype x age interaction for NR1, NR2A and c-Fos in the mPFC. These data
484 suggest that specifically (glutamatergic) plasticity changes in the mPFC contribute to the temporary
485 normalization of fear extinction recall in 5-HTT^{-/-} rats during adolescence.

486 A number of developmental abnormalities arising from 5-HTT abolishment have been described
487 in literature. The development of several motor and sensory functions, namely reflexes, motor
488 coordination and olfactory discrimination, is delayed in 5-HTT^{-/-} rats but normalized upon reaching
489 adulthood [46]. Remarkably, other deficiencies seen in adult 5-HTT^{-/-} animals, i.e., impaired object
490 recognition, object directed behavior and sensorimotor gating, do not arise until after adolescence
491 [46]. The present results suggest that the abnormal emotional profile seen in 5-HTT^{-/-} rats is subject to
492 a nonlinear developmental trajectory as well, implying that 5-HTT abolishment influences neural
493 maturation depending on the developmental phase and locus. The finding of transiently alleviated
494 recall of fear extinction during adolescence in 5-HTT^{-/-} rats suggests that the pacing of development
495 of cortical and subcortical regions may be altered in these rats. Congruent with our findings, a study
496 in 5-HTT^{-/-} mice has demonstrated that increased anxiety, another hallmark trait of the 5-HTT^{-/-} rodent
497 phenotype, is not present during adolescence [47].

498 This study does not replicate findings from other studies that suggest fear extinction recall
499 deficits in adolescent animals and humans with normal 5-HTT expression [12, 13], as our results
500 indicate that in 5-HTT^{+/+} animals fear extinction recall is not significantly affected by age. We do
501 corroborate the findings of another earlier study, in which extinction learning was found to be similar
502 between adolescent and adult C57BL/6J mice [49]. Differences in details of the experimental
503 procedures may crucially determine whether an effect of age presents itself. For instance, the
504 experiments may differ in the degree to which contextual cues from the conditioning session are
505 present during the extinction, which determines the additional involvement of the hippocampus on
506 fear expression and extinction [48]. This variability in the reported findings necessitates additional
507 investigation towards the exact circumstances under which adolescent fear extinction (recall) is
508 impaired.

509 The inhibitory neuron population in the IL, as assessed by immunohistochemistry, is reduced in
510 5-HTT^{-/-} rats across all age groups. These cells are known to be functionally active in a local cortical
511 circuit with the PrL and contribute to the regulation of the expression of conditioned fear via the
512 attenuation of the excitability of PrL. Reduced inhibition of the PrL may drive generalized anxiety
513 seen in 5-HTT^{-/-} rodents [41], but may also be a causative factor of the reduced efficacy of fear
514 extinction observed in this genotype. However, as the reduction in inhibitory neurons appears to
515 remain stable across development from preadolescence to adulthood, it seems unlikely that altered
516 development of local prefrontal inhibitory circuits contributes to the remarkable development of fear
517 extinction behavior seen in these animals.

518 Under basal conditions, in naive rats, c-Fos, BDNF NR1 and NR2A, gene expression levels in the
519 mPFC were highest during adolescence, indicating the adolescence is indeed a critical period of
520 mPFC development. For NR2A we additionally observed that levels were highest during adolescence
521 in 5-HTT^{-/-} rats. The peak in NMDA receptor expression may relate to pruning (removal of synapses),
522 known to occur during adolescence and to be NMDA receptor dependent [50]. Increased c-Fos
523 expression levels in the PFC during adolescence may reflect a compensatory attempt of the mPFC to
524 keep control over the amygdala, while the lower c-Fos expression levels in 5-HTT^{-/-} rats across ages
525 may correspond to the reduced prefrontal cortical top-down control over the amygdala as reported
526 for human 5-HTTLPR s-allele carriers [24]. The peak in BDNF levels during adolescence is in line
527 with previous observations [20]. We also replicated previous observations of reduced BDNF
528 expression in the PFC of 5-HTT^{-/-} regardless of age [38, 51, 52]. In the amygdala, c-Fos levels were
529 found to peak during adolescence, which potentially reflects increased activity of this area due to
530 reduced prefrontal top-down control [4]. However, c-Fos remained high during adulthood, which
531 might reflect completion of amygdala maturation during adolescence. Amygdala BDNF levels were
532 reduced in 5-HTT^{-/-} rats during preadolescence and adolescence, in line with the overall decreased

533 BDNF levels in these rats found previously [38, 51, 52], but BDNF levels tended to be increased in 5-
534 HTT^{-/-} rats during adulthood. For NR1 no genotype and age effects were observed, and for NR2A a
535 decrease in expression in preadolescent rats. These data show that the mPFC and amygdala mature
536 at different paces and through different plasticity routes.

537 BDNF, NR1 and NR2A expression levels in the mPFC after fear extinction learning largely
538 recapitulated the baseline findings in naive rats, suggesting that extinction learning does not change
539 the expression of these plasticity factors. During the recall test, however, we observed that (over all
540 animals and ages combined) c-Fos expression in the mPFC was negatively correlated with cue-
541 induced freezing. This implies that impaired extinction recall is associated with reduced prefrontal
542 cortex activity and thereby cognitive control over the emotional response. This finding is in line with
543 the study of Patwell et al. [12] reporting a link between impaired extinction recall and reduced c-Fos
544 expression in the IL in adolescent animals. Nonetheless, the increased mPFC c-Fos expression in 5-
545 HTT^{+/+} adolescents is quite remarkable. It is important to note that we combined IL and PrL tissue for
546 gene expression analyses, raising the possibility that the increase in c-Fos expression in adolescent 5-
547 HTT^{+/+} rats is due to increased c-Fos expression in the PrL. The function of this is open to any
548 speculation. As this observation does not result in lower freezing levels in adolescent 5-HTT^{-/-} rats,
549 other neuroplasticity changes in the mPFC or amygdala might counteract this effect. BDNF and
550 NR2A were increased in adult 5-HTT^{-/-} rats specifically, which thereby seem to be unrelated to the
551 temporary improvement in fear extinction in adolescent 5-HTT^{-/-} rats. We furthermore observed that
552 adolescent 5-HTT^{-/-} rats display lower levels of NR1 in the mPFC. The essential NR1 subunit of the
553 NMDA receptor expressed in excitatory prefrontal cortical neurons has been shown to decrease fear
554 generalization [53]. If NMDAR-dependent neural signaling in the mPFC is a component of a neural
555 mechanism for disambiguating the meaning of fear signals, our finding may point towards a
556 temporary improvement in the interpretation of the fear-predicting cue during adolescence in 5-HTT^{-/-}
557 rats, allowing the animals to discriminate the fear and safety better. We did not explicitly assess fear
558 generalization in this study, but the measure that comes closest is baseline freezing observed prior to
559 the tone presentations in the extinction recall sessions. Interestingly, baseline freezing prior to
560 extinction recall was modulated by genotype, with 5HTT^{-/-} rats displaying higher freezing than the
561 other groups (Figure S2). Thus, 5-HTT^{-/-} rats showed higher baseline freezing levels as well as reduced
562 mPFC NR1 expression in adulthood. However, these measures did not significantly correlate ($p >$
563 0.15), leaving our interpretation still speculative. In the amygdala, c-Fos expression tended to peak in
564 adolescence independent of genotype, which thereby follows the pattern as observed in the mPFC.
565 None of the other genes assessed displayed an expression pattern that followed the age and genotype
566 dependent changes in freezing during extinction learning. This implies that the amygdala does not
567 play a key role in the temporary disappearance of genotype effects on freezing during extinction
568 learning in adolescence. We did observe that BDNF and NR1 expression significantly correlated with
569 baseline freezing behavior. Specifically, lower expression of both NR1 and BDNF in the amygdala
570 was associated with more freezing during the habituation period. Furthermore, amygdala BDNF was
571 negatively related to cue-induced freezing during the extinction session. Amygdala BDNF has been
572 demonstrated to facilitate fear learning [55], which appears incongruent with our observation.
573 Potentially, lower BDNF levels in the amygdala mediated unconditioned fear in this study. Overall,
574 our data suggest that the temporary normalization of fear extinction recall in 5-HTT^{-/-} rats during
575 adolescence relates to neuroplasticity changes in the mPFC, whereas the amygdala seems to exert
576 more generalized (genotype-independent) effects on the freezing response.

577 Some limitations of the study require attention. First, animals that had undergone one and three
578 days of fear extinction were pooled to determine the number of GAD65/67 positive neurons in the IL
579 and BLA to obtain sufficient statistical power for a comparison. Since GAD65/67 expression is
580 influenced by recent fear conditioning, it is possible that levels of expression were affected by this
581 variation in time between conditioning and sacrifice of the animal. However, all GAD65/67 positive
582 cells were included in the assessment regardless of expression level; given the high signal to
583 background ratio of the DAB-Ni, variations in expression due to the varying recency of fear
584 conditioning is unlikely to have affected the findings. In addition, in the absence of data describing

585 the total number of neurons present in the IL, the possibility that the reduction in GAD65/67
586 immunoreactivity in 5-HTT^{-/-} rats reflects a lower overall neuron count cannot be fully excluded.
587 Furthermore, because CT values were too different between the obtained from the naïve, extinction
588 learning, and extinction recall group, we did not express the gene expression changes after extinction
589 as percentage of baseline gene expression in the naïve animals. For RT-PCR we punched the whole
590 mPFC, while we studied the IL part of the mPFC in the immunohistochemical study. This was
591 necessary to obtain a sufficient amount of tissue for the PCRs and to reduce gene expression variance
592 due to variations in the precise positioning of the punch needle. As a consequence, it is possible that
593 differential gene expression in the IL and PrL diluted the effects we observed for the whole mPFC.
594 Another limitation is that we did not measure freezing during conditioning during acquisition. We
595 previously observed no genotype differences during fear conditioning in adults [36]. However, we
596 do not know whether genotype differences are also absent during preadolescence and adulthood.
597 Since freezing during blocks 1-4 was not different between genotypes during the fear memory
598 recall/extinction session, it is not likely there were genotype differences in freezing during
599 conditioning. Finally, housing conditions varied between the age groups; although no animals were
600 kept in isolation, preadolescent and adolescent animals were housed with more cage mates than
601 adults for practical and ethical reasons. Although this aspect is often overlooked in animal research
602 concerning stress and psychiatric illness, social elements in housing conditions have been shown to
603 influence emotional behavior [56], and are known to be especially influential and instrumental to
604 psychiatric wellbeing during adolescence [57].

605 5. Conclusions

606 In conclusion, the present findings show that the influence of genetic reduction of 5-HTT
607 expression on the development of fear extinction recall manifests in a non-linear pattern, temporarily
608 normalizing during adolescence, to become deficient again at adulthood. This discovery raises as
609 many questions as it answers; delayed or aberrant maturation of cortical or subcortical regions or
610 interconnecting tracts is a likely cause but exploiting this finding for therapeutic benefit will require
611 further specification of their nature and functional implications. The anatomical and functional
612 development of excitatory neurons in the IL projecting to the amygdala are of particular interest for
613 future study. An in vivo electrophysiology or calcium imaging study in which single neurons or
614 populations of neurons are followed across the different stages from fear conditioning to extinction
615 and extinction recall would be a great thing to do as future study. As it stands, the data suggest that
616 reduced inhibitory signaling within the IL and temporary altered excitatory signaling in the mPFC
617 represent potential causes for the impaired control over the amygdala seen in individuals with
618 reduced expression of 5-HTT and its temporary normalization during adolescence.

619 **Author Contributions:** “conceptualization, PS, JRH and MJAGH; methodology, PS, JRH, MJAGH and FC;
620 validation, PS, PB, DL, LM, BA, FR, MMMV; formal analysis, PS and MJAGH; writing—original draft
621 preparation, PS, MJAGH and JRH; writing—review and editing, PS, MMMV, TK, MAR, FC, MJAGH, JRH;
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