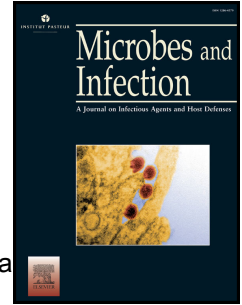


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Title: IL-21 is associated with natural resistance to HIV-1 infection in a Colombian HIV exposed seronegative cohort

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ABSTRACT

Higher IL-21 levels were associated with natural resistance to HIV infection in an Italian cohort. Thus we wanted to confirm such association in HIV exposed seronegative individuals (HESN) from Colombia. Cells from HESN were less susceptible to infection and expressed higher IL-21 mRNA levels than healthy controls at both baseline and 7-days post-infection; similar results were observed for IL-6, perforin, and granzyme. ~~Although requiring confirmation, increased cell-mediated immunity resulting in a reduction of viral infection/replication could be the mechanism behind this association.~~ These results suggest that IL-21/IL-6 increase may be a distinctive quality in the profile of HIV-1 resistance, at least during sexual exposure. However, further studies are necessary to confirm the specific protection mechanisms of these cytokines.

Keywords: HIV, HIV natural resistance, IL-21, HESN

1. Introduction

Despite global efforts, there is no cure for Human Immunodeficiency Virus (HIV) infection yet [1]. Interestingly, HIV Exposed Seronegative individuals (HESN) are subjects who despite being repeatedly exposed to the virus, remain HIV seronegative, suggesting the existence of mechanisms that lead to natural resistance [2]. However, these mechanisms are not fully identified yet.

We recently observed an augmented production of IL-21, IL-17, miRNA 29a, b, and c in sexually-exposed HESN from an Italian cohort compared to healthy controls (HC) suggesting that IL-21/miRNA 29 axis is involved in natural resistance to HIV-infection [3]. The mechanisms behind this association could be the suppression of viral replication by direct viral mRNA degradation and by inhibition of cyclin T1; thus the positive transcription elongation factor b (p-TEFb) required for Tat-dependent transactivation of viral gene expression [4, 5]. To confirm this association, we evaluate whether the IL-21 axis could be reproduced in a different HESN cohort with the same type of exposition but a different ethnic origin and genetic background.

36 2. Materials and methods

37 2.1. Population

38 Blood samples were collected from 7 HESN and 4 HIV-1 positive partners from a serodiscordant
39 cohort of heterosexual couples recruited at the HIV-1 care program HERES in Santa Marta, Colombia.
40 Inclusion criteria for HESN were: seronegative at the time of inclusion, with a history of unprotected
41 sexual intercourse with HIV positive partners with detectable viral loads, 12 or more unprotected
42 sexual episodes in at least 3 consecutive months within 1 year of study entry.

43 The 7 HESN included were 83% female with age: mean [range] 36.6 [18-50] years and the 4 HIV-1
44 seropositive partners were 25% female with age: 27.5 [20-40] years, viral load: 1,200 [50-180,790]
45 copies/ml (1 cART naïve, 1 on suppressive cART and 2 on cART with low adherence), CD4 count: 344
46 [134-804] cells/ul and length of HIV infection since diagnosis: 9.5 [3.6-14.4]. The couples had an
47 average of 13 unprotected sexual intercourses per month during 6.9 [2-11.5] years, with the last
48 unprotected intercourse taking place between 2 days and 6 months before sampling.

49 Samples from 7 HC with low risk for HIV infection matched by sex and age with HESN were also
50 included.

51 The study was designed and conducted in accordance with the Declaration of Helsinki and was
52 approved by the Ethics Committee of the hospital involved in the study. After thoroughly explaining the
53 project and clarifying any doubt concerning the research, all subjects signed an informed consent, and
54 the biological material collected was anonymized to ensure the privacy of the individuals.

55

56 2.2. HIV-1 infections assays

57

58 PBMCs obtained by Ficoll gradient were treated with 8 µg/mL phytohemagglutinin and 100 IU/mL IL-2
59 (Sigma-Aldrich) for 72 hours. In vitro infections were done by spinoculation [6] using 13 ng/mL of X4-
60 tropic p24 (obtained from the cell line H9-HTLV-IIIB) with 10 mg/mL polybrene (Sigma-Aldrich) for 3
61 hours. Cells and supernatants were harvested 7 days post-infection for mRNA extraction and p24
62 quantification (QuickTiter™ Lentivirus-associated p24 ELISA kit).

63

64 2.3. Gene expression quantification by real time PCR

65

66 RNA was extracted from basal and *in vitro* HIV-1 infected PBMC using Trizol (ZYMO RESEARCH),
67 then was DNase-treated and retrotranscribed (Applied Biosystem™). Gene expression was quantified
68 by real time PCR on a CFX-96 PCR equipment (BIORAD, Hercules) using Maxima SYBR green

69 qPCR master mix (Thermo Scientific) with specific primers for IL-21 (Fwd-5'-
70 TATGTGAATGACTTGGTCCCTAG-3' Rev-5'-AGGAAAAGCTGACCACTCACAG-3'), IL-6 (Fwd-5'-
71 ATTCGGTACATCCTCGAC-3' Rev-5'-GGGGTGGTTATTGCATC-3'), Perforin (Fwd-5'-
72 CCGCTTCTACAGTTTCCATGT-3' Rev-5'-GTGCCGTAGTTGGAGATAAGC-3'), Granzyme (Fwd-5'-
73 CACTGTTGGGGAAGCTCCAT-3' Rev-5'-TGGGGGATGGGTCTTTTCAC-3'). The relative mRNA
74 expression levels of target genes were calculated by $2^{-\Delta\Delta C_t}$ equation as ratios between the target
75 and B-actin (Fwd-5'-CTTTGCCGATCCGCCGC-3' Rev-5'-ATCACGCCCTGGTGCCTGG-3')
76 considering one internal reference subject.

77 2.4. Statistical analysis

78 Depending on the normality distribution evaluated by Shapiro-Wilk normality test, the mRNA
79 expression of all genes at baseline condition were analyzed by non-parametric Kruskal-Wallis test with
80 Dunn's multiple comparison correction, gene expression 7 days post HIV-1 infection and p24 levels
81 were analyzed by parametric t-test with Welch's correction because normal distribution of data except
82 the mRNA expression of Perforin and Granzyme that were analyzed by non-parametric Mann-Whitney
83 test. All statistical analyzes were performed using GraphPad PRISM version 8 (GraphPad Software),
84 and p-values of 0.05 or less were considered to be significant.

85 1. Results

86 As expected, HESN individuals show a reduced susceptibility to HIV-infection compared with HC
87 (267.4 ng/mL and 430.5 ng/mL; $p=0.01$. Fig. 1A), as well as higher IL-21 mRNA levels at basal (13-
88 fold, $p=0.0049$. Fig. 1B), and seven days post-infection (2-fold, $p=0.0154$. Fig. 1C). IL-6 expression by
89 PBMCs at baseline was 136-fold higher in HESN than HC ($p<0.0001$. Fig. 1D), this trend was
90 maintained at 7 days post-infection (Fig. 1E).

91 We observed similar results with perforin and granzyme at basal (35-fold, $p=0.0089$ and 10-fold,
92 $p=0.0021$) (Fig. 1F, H) and at 7 days post-infection (8-fold and 3-fold) (Fig. 1G, I). Nevertheless, the
93 differences at 7 days post-infection were not statistically significant.

94 2. Discussion

95 IL-21 is a pleiotropic cytokine with an immunomodulatory influence over the innate and adaptive
96 immune system, particularly limiting the HIV-1 infection [7-10]. In addition, IL-21 was inversely
97 associated with disease progression [11] and higher viral suppression in response to antiretroviral
98 therapy [12].

99 In our previous study, in an Italian cohort [3], we observed an increased expression of IL-21 in HESN
100 versus HC. These results were confirmed in this Colombian HESN cohort, where the IL-21 increase

101 was associated with a substantial reduced viral replication. Likewise, at 7 days post-infection this
102 increment remained significant in HESN than HC. These data coincides with other studies performed
103 on HIV Elite Controllers [11, 12] suggesting that the maintenance of IL-21 levels could contribute to the
104 natural control of the infection.

105 As for IL-6, its expression was significantly augmented in HESN PBMCs at baseline but not after *in*
106 *vitro* infection. IL-6 is known to be a key inducer of IL-21 in CD4+ T cells [13]. Additionally, IL-6, along
107 with IL-21, regulates CD4+ T-cell differentiation, mainly towards TH17 and T-follicular helper profile
108 [14, 15]. It is therefore plausible to speculate that IL-6 could exert a defensive role in HESN by
109 regulating such cellular mechanisms.

110 IL-21 induces the expression of the cytotoxic molecules perforin and granzyme in CD8+ T cells and
111 NK cells of mice chronically infected with lymphocytic choriomeningitis virus [7, 16-18]. The statistical
112 difference of perforin and granzyme expression gene at baseline conditions support these effects.

113 This evidence suggests that resistance or reduced susceptibility to HIV-1 infection
114 may involve the increase of some cytokines categorized as “proinflammatories”
115 counteracting the assumption that their role is exclusively deleterious during HIV-infection. It is
116 plausible to hypothesize that the same cytokines which speed up the progression of HIV-infection
117 could play a protective role during the early phases of exposure. Indeed, HESN phenotype has been
118 associated with higher responsiveness to stimuli [19-21] supporting our findings.

119 Until now, the evidence found in our current investigations and by other groups suggests that this
120 multifaceted cytokine has essential characteristics that could contribute to the innate control of HIV
121 infection [22, 23], pointing it as a useful tool in the control of HIV-1 infection.

122 The fact that both HESN cohorts, Italian and Colombian, have shown similar immunological
123 characteristics, despite having different demographic origin and dissimilar genetic background
124 suggests that IL-21 increase may be a distinctive quality in the cell-mediated immunity profile of HIV-1
125 resistance, at least during sexual exposure more likely resulting in a reduction of viral
126 infection/replication. However, to deepen the role of IL-21 and its immunological network in this
127 process, further studies with different HESN cohorts around the world are required, ideally including
128 different exposure routes and higher sample sizes. It is also necessary to evaluate the effect of
129 knockdowns of IL-21 on the susceptibility to infection to determine its direct role in the natural
130 resistance phenomenon. The final objective is to delineate a profile of resistance against HIV or
131 reduced susceptibility to this infection that could point towards the development of therapeutic
132 strategies.

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136 Conflicts of interest

137 There are no conflicts of interest.

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200 Figure Legend

201 p24 levels were significantly lower in PBMCs from HESN than HC 7 days post HIV-1 *in vitro* infection
202 **(A)**. IL-21 mRNA levels in PBMCs at baseline **(B)** and 7 days post HIV-1 *in vitro* infection **(C)** were
203 higher in HESN compared to HC. IL-6 mRNA levels in PBMCs at baseline were higher in HESN
204 compared to HC **(D)** but at 7 days post infection there was no significant difference **(E)**. Perforin
205 mRNA levels in PBMCs at baseline **(F)** and 7 days post HIV-1 *in vitro* infection **(G)** were higher in
206 HESN compared to HC, but these differences did not reach statistical significance. Likewise, although
207 not statistically significant, the Granzyme mRNA levels were also higher in HESN than HC at baseline
208 **(H)** and 7 days post HIV-1 infection **(I)**. The mRNA expression of all genes at baseline condition were
209 analyzed by Kluscall-Wallis test with Dunn's multiple comparison correction, gene expression 7 days
210 post HIV-1 infection and p24 levels were analyzed by Welch's t-test, except the Perforin and
211 Granzyme mRNA expression that were analyzed by Mann-Whitney test. Mean values and S.E. are
212 shown. * = $p < 0.05$, ** $p < 0.005$, **** $p < 0.0001$.

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