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Drug repurposing for the treatment of acute myeloid leukaemia with adverse prognosis

Debora Valli

IEO, Milan

Matricola n. R11470

Supervisor: Prof. Pier Giuseppe Pelicci

IEO, Milan

Added Supervisor: Prof. Myriam Alcalay

IEO, Milan

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List of abbreviations

4E-BP1	Eukaryotic translation initiation factor 4E binding protein 1
ADMET	Absorption, distribution, metabolism, excretion, toxicity
ALL	Acute lymphoblastic leukaemia
AML	Acute myeloid leukaemia
APC	Adenomatous polyposis coli
APL	Acute promyelocytic leukaemia
Ara-C	Cytosine arabinoside (cytarabine)
ASK1	Apoptosis signal-regulating kinase 1
ASXL1-2	ASXL transcriptional regulator 1-2
ATCC	American Type Culture Collection
ATF4	Activating transcription factor 4
ATF6	Activating transcription factor 6
ATP	Adenosine triphosphate
ATRA	All-trans retinoic acid
BAD	BCL2 associated agonist of cell death
BAK	BCL2 antagonist/killer 1
BAX	BCL2 associated X
BCL-2	B-cell lymphoma 2
BCL-xL	B-cell lymphoma-extra large
BIM	BCL-2-like protein 11
BiP	Binding immunoglobulin protein
BM	Bone marrow
BrdU	Bromodeoxyuridine
CEBPA	CCAAT/enhancer-binding protein alpha
CHOP	C/EBP-homologous protein
CI	Combination index
CK1 α	Casein kinase 1 alpha
CLL	Chronic lymphocytic leukaemia
CML	Chronic myelogenous leukaemia
CN	Cytogenetically normal
CR	Complete morphological remission
CSF1R	Colony stimulating factor 1 receptor
CTG	CellTiter-Glow

DMSO	Dimethylsulfoxide
DNA	Deoxyribonucleic acid
DNAJB9	DnaJ heat shock protein family (Hsp40) member B9
DNAJC3	DnaJ heat shock protein family (Hsp40) member C3
DNTM3A	DNA methyltransferase 3 alpha
DTNB	5,5'-dithiobis (2-nitrobenzoic) acid
DTT	Dithiothreitol
DUBs	Deubiquitinases
E2F1	E2F transcription factor 1
E2F7	E2F transcription factor 7
ECL	Enhanced chemiluminescence
EDEM1	ER degradation Enhancing alpha-mannosidase like protein 1
EDTA	Ethylenediaminetetraacetic acid
EFS	Event free survival
eIF2 α	Eukaryotic translation initiation factor 2 subunit alpha
eIF4E	Eukaryotic translation initiation factor 4E
ER	Endoplasmic reticulum
ERAD	Endoplasmic-reticulum-associated protein degradation
ERK	Extracellular-signal-regulated kinase
ERP44	Endoplasmic reticulum protein 44
FAB	French-American-British
FACS	Fluorescence-activated cell sorting
FBS	Fetal bovine serum
FDA	Food and drug administration
FLAG-IDA	Fludarabine, Cytarabine, Idarubicin and G-CSF
FLT3	Fms related tyrosine kinase 3
FoxO3	Forkhead box O3
GI ₅₀	Growth inhibition of 50% of cells
GO	Gemtuzumab ozogamicin
GSK3 β	Glycogen synthase kinase 3 beta
HERPUD 1	Homocysteine inducible ER protein with ubiquitin like domain 1
HSCs	Hemopoietic stem cells
HSCT	Haematopoietic stem cell transplantation
HSP	Heat shock protein
HSPCs	Haematopoietic stem/progenitor cells

HTS	High throughput screening
i.p.	Intraperitoneal
i.v.	Intravenous
IC ₅₀	Half maximal inhibitory concentration
IDH1-2	Isocitrate dehydrogenase 1-2
IL-3	Interleukin 3
IRE1 α	Inositol-requiring protein 1 α
ITD	Internal tandem duplication
JAK2	Janus kinase 2
JM	Juxtamembrane
JNK	JUN N-terminal kinase
LEF-1	Lymphoid enhancer binding factor 1
MAD	Median absolute deviation
MAPK	Mitogen-activated protein kinase
MCL-1	Myeloid cell leukaemia sequence 1
MDS	Myelodysplastic syndromes
MEC	Mitoxantrone, etoposide and cytarabine
MLL-PTD	MLL-partial tandem duplication
MRD	Minimal residual disease
mTOR	Mammalian target of rapamycin
NADPH	Nicotinamide adenine dinucleotide phosphate
NPI	Normalized percent inhibition
NPM1	Nucleophosmin 1
NSG	NOD-scid IL2R γ ^{null}
OD	Optical density
OS	Overall survival
PB	Peripheral blood
PBS	Phosphate-buffered saline
PCR	Polymerase chain reaction
PDGFR	Platelet derived growth factor receptor
PK1	3-Phosphoinositide-dependent kinase 1
PERK	Protein kinase RNA-like endoplasmic reticulum kinase
PI	Propidium iodide
PI3K	Phosphatidylinositol 3-kinases
PDIA3	Protein disulfide isomerase family A member 3

PML	Promyelocytic leukaemia
PP	Pyruvium pamoate
PPP1R15B	Protein phosphatase 1 regulatory subunit 15B
RAD21	RAD21cohesin complex component
RAR α	Retinoic acid receptor-alpha
ReDO	Repurposing drugs in oncology
RFS	Relapse free survival
RIDD	Regulated Ire1-dependent decay
RNA	Ribonucleic acid
RT	Room temperature
RTK	Receptor tyrosine kinase
RUNX1	Runt-related transcription factor 1
S1P	Site-1 protease
S2P	Site-2 protease
SD	Standard deviation
SEM	Standard error of the mean
SF3B1	Splicing factor 3b subunit 1
SMC3	Structural maintenance of chromosomes 3
SRSF2	Serine and arginine rich splicing factor 2
STAG1-2	Stroma antigen1-2
STAT5	Signal transducer and activator of transcription 5
TBE	Tris-borate-EDTA
TBP	TATA-Box binding protein
TBST	Tris Buffered Saline with Tween 20
TCF	Transcription factor
TCF4	Transcription factor 4
TET2	Tet methylcytosine dioxygenase 2
TK1	First tyrosine kinase domain
TK2	Second tyrosine kinase domain
TKD	Tyrosine kinase domain
TKI	Tyrosine kinase inhibitor
TNB ²⁻	5-thio-2-nitrobenzoic acid
TP53	Tumour protein P53
TRAF2	TNF receptor associated factor 2
TRB3	Tribbles pseudokinase 3

TrkA-C	Tropomyosin receptor kinase A-C
TrxR	Thioredoxin reductases
U2AF1	U2 small nuclear RNA auxiliary factor 1
UPR	Unfolded protein response
VEGFR	Vascular endothelial growth factor
WHO	World Health Organization
WT	Wild-type
XBP1	X-box binding protein 1
ZRSR2	Zinc finger CCCH-type, RNA binding motif and serine/arginine rich 2

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Abstract

Acute myeloid leukaemia (AML) is a group of aggressive haematopoietic malignancies associated with adverse outcome. Fms-like tyrosine kinase 3 (FLT3) receptor mutations confer a particularly poor prognosis to AML patients. There is no satisfactory treatment against this disease, especially for the cases harbouring FLT3 mutations, and the quest for novel therapeutic options continues. Drug repurposing represents a powerful strategy to single out existing agents active in novel therapeutic contexts. We performed a high-throughput drug screening, designed to search for agents that inhibit the growth of AML cell lines with mutated FLT3 within libraries of FDA-approved compounds or molecules in advanced phases of clinical trials. Auranofin, an antirheumatic drug, and pyrvinium pamoate, an anthelmintic agent, were identified and chosen from the list of 290 hits for *in vitro* and *in vivo* validation. We confirmed that *in vitro* treatment with auranofin and pyrvinium pamoate reduces AML cell growth through a cytotoxic and cytostatic effect, respectively. We identified the synergies/additivities of the two molecules with standard anti-AML drugs (e.g., cytarabine, doxorubicine) and a specific FLT3 inhibitor (quizartinib). Auranofin synergised with cytarabine and its effect was additive when combined with quizartinib; pyrvinium pamoate showed an additive effect when used with doxorubicin and quizartinib. Next, we determined that both auranofin and pyrvinium pamoate act through their described mechanism of action, i.e., inhibit thioredoxin reductase (auranofin) and Wnt signalling (pyrvinium pamoate). In addition, we identified a novel mechanism of action for the two agents: the induction of the endoplasmic reticulum stress and the unfolded protein response that follows. Our results support the potential of auranofin (less so in the case of pyrvinium pamoate) for the treatment of AML patients, including those with FLT3 mutations, provided that the ongoing *in vivo* validation is successful.

1 Introduction

1.1 Acute myeloid leukaemia (AML)

1.1.1 Molecular landscape of AML

Acute myeloid leukaemia (AML) is a heterogeneous group of diseases characterized by genetic and epigenetic changes in hemopoietic stem cells (HSCs) or precursors that affect the balance between cell survival, proliferation and differentiation. Such changes lead to a clonal expansion of myeloid progenitors, known as blasts, in the bone marrow and in the peripheral blood.¹

AML blasts express different cell surface markers corresponding to the specific stage of the differentiation block. In 1976, eight AML subtypes (M0 to M7) were distinguished in the French-American-British (FAB) classification based on morphology.² To integrate the growing knowledge of genomics and molecular biology, the World Health Organization (WHO) introduced a new classification that upon two revisions (the first in 2008 and the second in 2016), defined six major classes: AML with recurrent genetic abnormalities, AML with myelodysplasia-related changes, therapy-related myeloid neoplasms, myeloid sarcoma, myeloid proliferations related to Down syndrome and AML not otherwise specified.^{3,4} The presence of cytogenetic abnormalities known as recurrent genetic abnormalities (e.g., $t(8;21)(q22;q22)$, $t(15;17)(q22;q12)$ and $inv(16)(p13.1;q22)$) is associated with longer remission and better survival, while alterations of chromosomes 5, 7, 11q23 and a complex karyotype determine poor response to therapy and short overall

survival (OS).^{1,5} In contrast, 40% of AML patients are cytogenetically normal (CN) and are highly heterogeneous concerning clinical outcome.⁵

The development of AML can be considered as a multicause, multistep and multipathway process.⁶ Most AML arise *de novo*, but they can also occur as the consequence of exposure to anti-cancer treatments, chemicals, smoking, obesity, or in patients affected by primary haematological disorders or with hereditary/familial predisposition.⁶ A two-hit model has been postulated by Gilliland and Griffin: accordingly, two types of mutations are essential to cause AML.⁷ Class I mutations (e.g., *FLT3-ITD*, *c-KIT* or *NRAS* mutations), which do not block differentiation but confer a proliferative advantage as a result of aberrant activation of signalling pathways, cooperate with class II mutations (i.e., AML-specific fusion genes in the original model) that impair normal haematopoietic differentiation. Commonly, class II mutations are the initiating events followed by class I mutations that are later lesions (**Figure 1.1**).

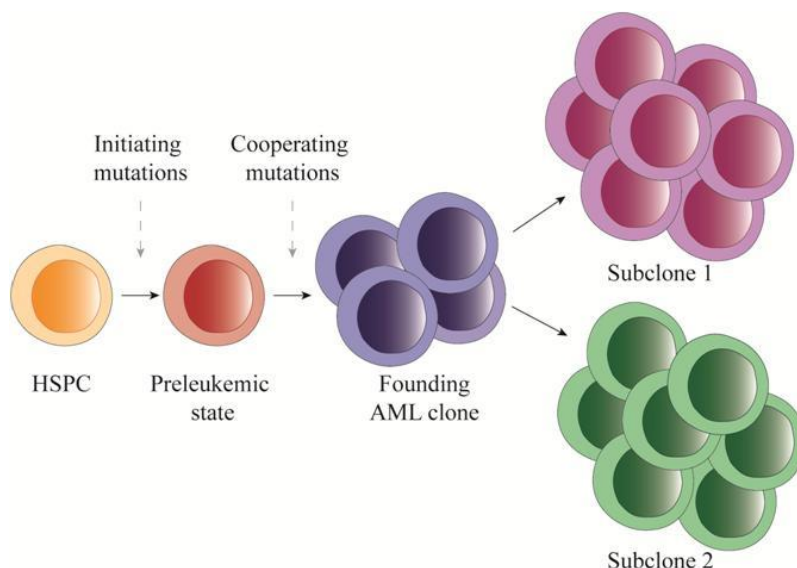


Figure 1.1 AML evolution: the two-hit model

Initiating mutation blocks the differentiation of haematopoietic stem/progenitor cells (HSPCs, shown in orange) resulting in a preleukemic state. Cooperating mutations give a proliferative advantage to the preleukemic state inducing the expansion of the founding AML clone. Subclones arise from the founding AML clone by acquiring additional mutations that confer an advantage to that cell. All the figures in the introduction section were drawn on purpose by the author.

Recently, the advent of next generation sequencing, together with a deep knowledge of cell biology and *in vivo* animal models, allowed a better understanding of the evolution and pathogenesis of AML. It is now clear that the genetic events leading to AML are much more complex. Nearly all AML blasts have at least one “driver” mutation that endows fitness advantage with respect to normal cells; random “passenger” mutations with no effect on fitness or proliferation may also be selected and persist provided that they co-occur with a driver mutation, granting further advantages.⁸ It is a patient-specific dynamic process, characterized by continuous acquisition and loss of specific genetic mutations, which results in a variety of cellular clones and subclones during the course of the disease.^{9,10} Thus, the diverse combinations of driver and passenger mutations justify the heterogeneous nature of AML and clarify why, in many cases, patients who responded to initial treatment rapidly develop resistance.¹¹

Some frequent molecular abnormalities have been identified and used to define the therapeutic strategy and to predict the outcome. The recurrently mutated genes in AML include DNA methylation/demethylation genes (e.g., *DNTM3A*, *TET2*, *IDH1*, *IDH2*), activated signalling genes (e.g., *FLT3-ITD*, *FLT3-TKD*, *NRAS*, *KRAS*), myeloid transcription factors (*RUNX1*, *CEBPA*), tumour suppressor genes (e.g., *TP53*, *NPM1*), chromatin regulation genes (e.g., *ASXL1*, *ASXL2*), spliceosome (e.g., *SRSF2*, *SF3B1*, *U2AF1*, *ZRSR2*) and cohesion (e.g., *RAD21*, *SMC3*, *STAG1-2*) genes. The specific combination of mutations or chromosomal abnormalities present in AML blasts defines the prognosis.

1.1.2 FLT3- tyrosine kinase receptor in AML

FLT3 is a tyrosine kinase receptor (RTK) belonging to the class III RTK family and plays a key role in the survival, proliferation and maturation of haematopoietic precursor cells. FLT3 expression is usually restricted to CD34+ haematopoietic stem and progenitor cells

(HSPC).^{12,13} It is also highly expressed in haematological malignancies such as AML and acute lymphoblastic leukaemia (ALL), suggesting that FLT3 receptor exerts a crucial role in the survival and proliferation of leukaemic blasts.¹⁴

FLT3 receptor is a transmembrane protein formed of four different regions: an immunoglobulin-like domain involved in ligand binding, a transmembrane portion, a juxtamembrane (JM) domain and an intracellular region that includes the kinase domain. The tyrosine kinase domain (TKD) comprises two different domains (TK1 and TK2) connected by a flexible peptide known as activation loop (A loop). FLT3 protein undergoes post-translational modifications that favour the localization of the receptor in the plasma membrane and determine the affinity for its ligand, the FLT3 ligand (FL) (Figure 1.2).^{15,16}

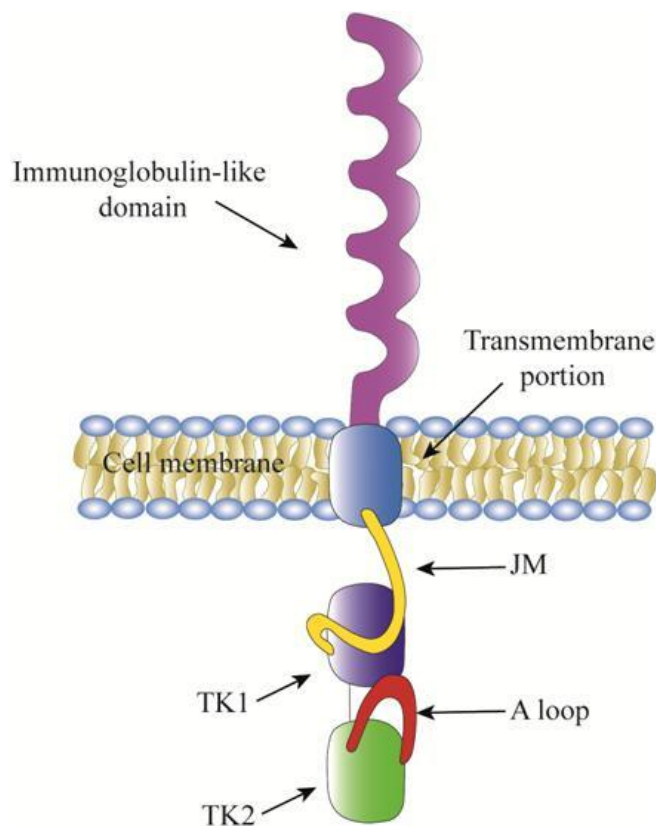


Figure 1.2 Schematic representation of FLT3 inactive conformation

JM, juxtamembrane domain; TK1, first tyrosine kinase domain; TK2, second tyrosine kinase domain.

Ligand-binding induces activation of the receptor leading to its dimerization, autophosphorylation of the TKD, and activation of downstream effectors involved in cell cycle progression, apoptosis inhibition and differentiation. In the inactive state, both the A loop and the JM domain adopt a closed conformation preventing the rotation of TK1 and TK2 and exposure of the phosphorylated sites. In the active state, tyrosine residues of the JM domain are phosphorylated and the A loop assumes an open conformation, facilitating the access of ATP to the active pocket and binding of the substrates followed by activation through phosphorylation of downstream proteins (**Figure 1.3**).¹⁵

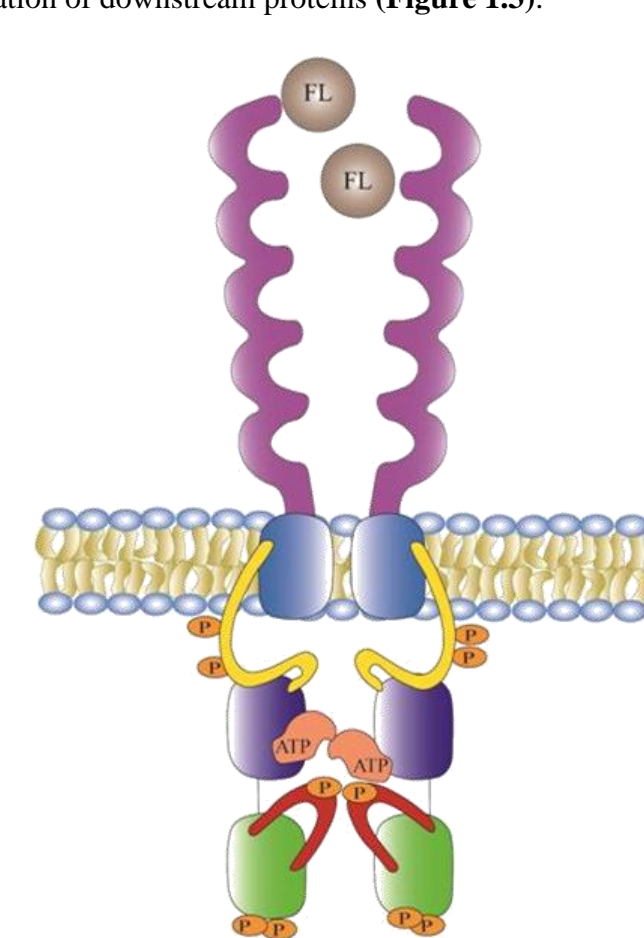


Figure 1.3 Schematic representation of FLT3 active conformation

FL, FLT3 ligand; immunoglobulin-like domain (purple); P, phosphorylation site; JM, juxtamembrane domain (yellow); TK1, first tyrosine kinase domain (blue); TK2, second tyrosine kinase domain (green); A loop, activation loop (red).

Phosphatidylinositol-3-kinase (PI3K) and RAS signal transduction pathways are the main networks activated by FLT3 receptor, resulting in the transcription of genes involved in

cell survival and proliferation. Upon receptor stimulation, PI3K activates different downstream proteins such as 3-Phosphoinositide-dependent kinase 1 (PDK1) and AKT, which in turn phosphorylates the mammalian target of rapamycin (mTOR). The induction of cell survival involves the transcription of key genes as a result of p70S6Kinase activation and inhibition of eukaryotic translation initiation factor 4E-binding protein (4E-BP1) by mTOR.¹⁷ Moreover, AKT blocks the apoptotic cascade by stimulating the anti-apoptotic B cell lymphoma protein 2 (BCL-2) and inactivating the pro-apoptotic BAD protein.¹⁸ Finally, among the downstream targets of AKT, FoxO3, a member of the forkhead transcription factor family, plays an important role in the induction of the transcription of pro-apoptotic genes. The phosphorylation of FoxO3 by AKT prevents its function.¹⁹ Cell proliferation is stimulated by the downstream effectors of RAS protein including RAF and MAPK/ ERK kinase (**Figure 1.4**).²⁰

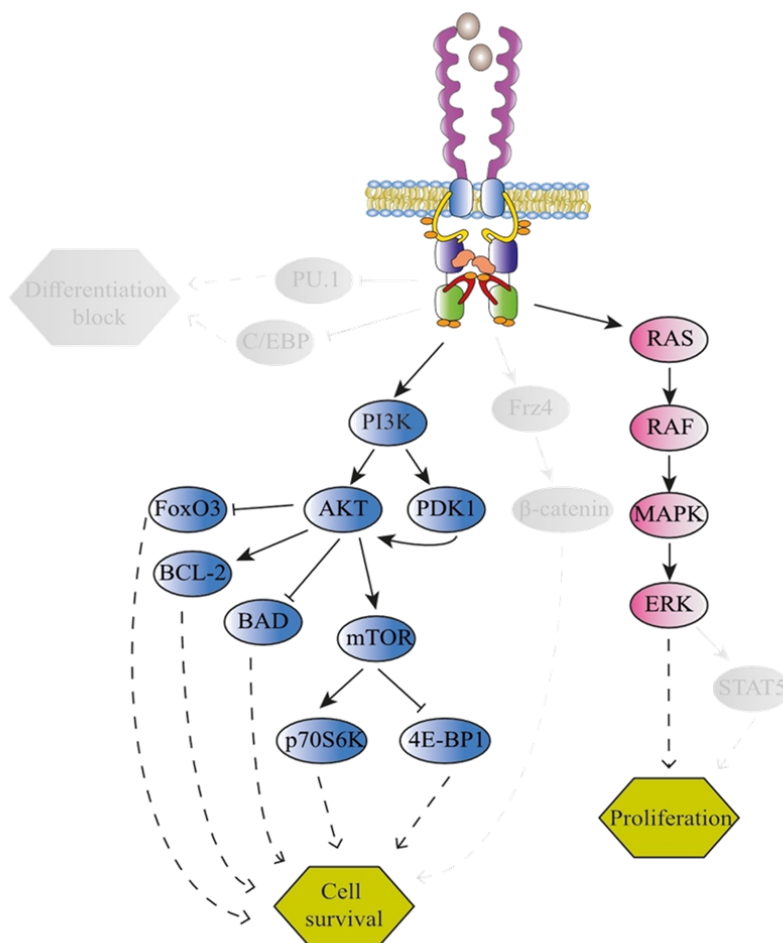


Figure 1.4 Signalling pathways activated by wild-type FLT3 receptor

FLT3 mutations are the alterations most frequently found in the blast of AML patients and lead to constitutive activation of the receptor. Activating mutations include internal tandem duplications (ITD) in the JM portion of the RTK, consisting of the duplication of a variable number of bases (from 3 to 400 base pairs), in multiples of three maintaining the reading frame, in exons 14 and 15, coding for the JM domain.²¹ The presence of ITDs in the TKD or point mutations in the JM in AML patients have also been reported.^{22,23} *FLT3*-ITD receptor, but not the *wild-type* form, is mostly present in the immature form, due to glycosylation defects. Thus, *FLT3*-ITD receptor is also detectable in the endoplasmic reticulum and its retention is caused by inefficient folding.²⁴ Another activating mutation is the missense point mutation in the TKD, which occurs in exon 20 and leads to an amino acid substitution. The most common substitutions involve the aspartic acid 835 (D835) and isoleucine 836 (I836) residues.^{25,26}

Both the activating mutations illustrated above prevent the association of the JM domain with the TKD, favouring ATP access to the TKD. The downstream pathways activated by the mutated receptors enhance cell survival and proliferation through the PI3K and RAS cascade, as for the *wild-type* receptor. There are three main differences between *FLT3*-ITD and the WT receptor: first, the mutated receptor represses transcription factors involved in normal hemopoiesis, such as PU.1 and CEBPA, causing a differentiation block.²⁷ Second, phosphorylated STAT5 binds to DNA and activates genes that enhance cell proliferation and inhibit apoptosis.²⁸ Third, it has been shown that *FLT3*-ITD induces the expression of the Frizzled-4 receptor even in the absence of its ligand Wnt, causing the stabilization and accumulation of β -catenin in the cytoplasm. β -catenin translocates to the nucleus and induces the transcription of its target genes including *cyclin D1* and *c-myc*, which promote cell survival and proliferation (**Figure 1.5**).²⁹

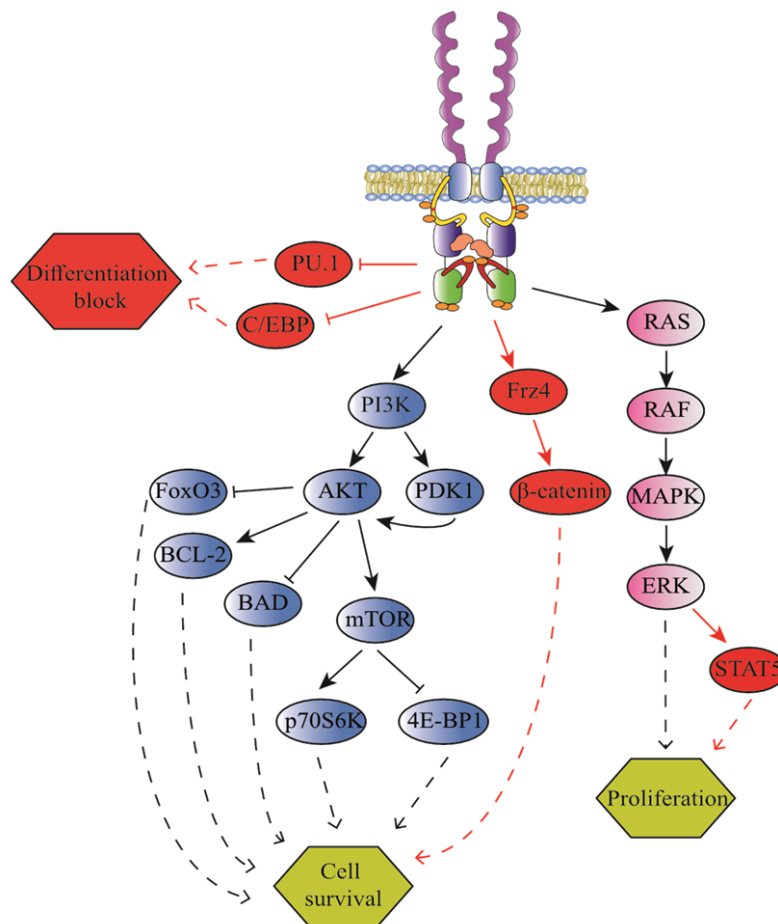


Figure 1.5 Signalling pathways activated by FLT3-ITD receptor

FLT3-ITD mutation is a class I mutation in the original 2 hit model as it confers proliferative and survival advantages to haematopoietic cells but does not impair differentiation. Moreover, based on the ‘passengers’ and ‘drivers’ mutation theory derived from genome sequencing studies, *FLT3-ITD* mutation is considered as a second driver mutation that cooperates with other driver mutations such as *NPM1*, *DNMT3A*, *IDH1* and *IDH2* in developing a founder clone detected at the onset of AML.³⁰

Mutations of the *FLT3* gene occur in 30% of all AML patients and in 70% of CN-AML patients, and are more frequent in *de novo* cases than in secondary AML.^{31–34} The frequency of *FLT3-ITD* in paediatric AML patients is lower than in adults.^{35,36} On the contrary, it appears to be higher in elderly AML patients than in younger sufferers.³⁷

Most *FLT3* mutations (23%) are ITD mutations. *FLT3*-ITDs have not been found in chronic myelogenous leukaemia (CML), juvenile myelomonocytic leukaemia, non-Hodgkin lymphoma, adult T-cell ALL, chronic lymphocytic leukaemia (CLL), or multiple myeloma.^{38,39} In addition, recent data have shown that *FLT3-ITD* mutations are not detected either in a variety of solid tumours⁴⁰ as well as in normal haematopoietic cells.⁴¹ In the vast majority of patients, *FLT3-ITD* has been found in the heterozygous state but a partial or complete loss of *wild-type* allele is also possible.

Patients bearing *FLT3-ITD* have a higher probability of relapse and poor response to therapy.^{42,43} Wang et al. reported that AML patients with *FLT3-ITD* have significantly lower complete remission (CR) rates compared to patients with *wild-type* *FLT3*. This result is in contrast with other studies that have found no difference in the CR rates between *FLT3-ITD* and *wild-type* cases. The possible explanation of this contradiction is that diverse therapeutic strategies were adopted. Indeed, patients treated with more intensive induction regimens did not display any differences in terms of CR rates regardless the *FLT3* status whereas patients treated with “3+7” induction therapy had different CR rates attributable to *FLT3-ITD* mutation.²⁴ The prognosis of patients with newly diagnosed *FLT3-ITD* AML is influenced by mutant-to- *wild-type* allelic ratio, insertion site, ITD length, karyotype, and the presence of a mutation in the genes such as *NPM1* and *DNMT3A*. Several studies have shown that a high allelic ratio is associated with poor survival.^{31,44} Conversely, a study conducted by Linch et al., demonstrated that the risk of relapse was not correlated with the allelic ratio.⁴⁵ Thus, further studies are necessary to elucidate the prognostic impact of the allelic ratio in order to optimize the therapeutic approaches. ITD mutation in the TKD is considered an independent unfavourable prognostic factor as well as increasing ITD size is associated with a worse outcome.⁴⁶ *FLT3-ITD* is frequently associated with t(15;17) (q22;q12) and t(6;9)(p23;q34). In these subtypes, no clear impact is conferred by the presence of an increased percentage of blasts in the peripheral blood and in the bone marrow.^{31,47}

NPM1 and *DNMT3A* gene mutations correlate with *FLT3-ITD* mutations. *NPM1* mutations are associated with a good response to therapy and five-year survival. Some evidence suggests that patients with *NPM1* mutations and with low allelic ratio *FLT3-ITD* may have a prognosis similar to that of *wild-type FLT3* patients.⁴⁸ *DNMT3A* mutations are frequently associated with *FLT3-ITD* and *NPM1* mutations. The *DNMT3A/FLT3-ITD/NPM1* triple mutation has clinical relevance as demonstrated by its negative impact on event free survival (EFS) and OS.⁴⁹ Finally, *FLT3* mutations are considered an independent prognostic factor that affects OS and CR rates, thus the WHO recommends to assess the *FLT3* status in particular in CN-AML patients. The assay for the detection of *FLT3* activating mutation is polymerase chain reaction using as a template genomic DNA of patient's blasts derived from whole blood or bone marrow.⁵⁰

1.1.3 Current treatments for AML

AML patients are classified in three different risk groups based on cytogenetic and molecular criteria. Individual factors such as age and fitness may guide the therapeutic choice defining the risk of resistance to treatment or treatment-related mortality, but chromosomal and molecular aberrations remain the strongest prognostic factors to predict outcome in AML patients and to determine the therapeutic strategy. The favourable prognosis risk group includes patients affected by acute promyelocytic leukaemia (APL), patients with balanced chromosomal abnormalities, mutated *NPM1* without *FLT3-ITD* or biallelic mutation of *CEBPA*. The intermediate risk category comprises patients with normal karyotype (other than those included in the favourable or adverse groups), *t(9;11)(p22;q23)*, *wild-type NPM1* with or without *FLT3-ITD*, mutated *NPM1* and *FLT3-ITD*, and *t(8;11)(q22;q22)* with mutated *c-KIT*. Adverse risk AML includes patients with complex karyotype, *inv(3)(q21;q26.2)*, *t(6;9)(p23;q34)*, 11q abnormalities other than *t(9;11)*, -5 or *del(5q)*, and -7 or abnormal (17p). Mutated *TP53*, regardless of the

cytogenetic status, is always associated with a very poor prognosis as well as *FLT3-ITD*, *DNMT3A* or *MLL-PTD* mutations in CN-AML.⁵¹

Upon AML diagnosis, regardless the risk class, patients are treated with an intensive cytarabine and anthracycline regimen, the so-called “7+3” standard combination. It consists of seven days of continuous cytarabine infusion (100-200 mg/m²/daily) with three days of an anthracycline, including daunorubicin (60 or 90 mg/m²/daily) or idarubicin (10-12 mg/m²/daily). The intent of induction chemotherapy is to achieve CR that should be evaluated 14 days after the commencement of treatment by analysing a bone marrow aspirate and core biopsy. Next, a post-remission therapy known as consolidation is applied. The main aim of consolidation therapy is to prevent relapse by eradicating minimal residual disease (MRD) still present in the bone marrow after induction therapy. There are two main options for consolidation therapy: chemotherapy and haematopoietic stem cell transplantation (HSCT). These strategies are used independently or most often in combination.⁵¹⁻⁵³

AML therapy is tailored on the aggressiveness of the disease, but it must also take into account patients fitness and the availability of a stem cell donor. In particular, younger adults (<60 years of age) with favourable risk AML usually receive consolidation therapy with intermediate/high dose of cytarabine (1.5-3 g/m²), three days a week in three or four cycles. Autologous transplantation is adopted only after an eventual relapse. In contrast, eligible patients that suffer from intermediate or high-risk AML and achieve CR after induction therapy undergo allogeneic HSCT (alloHSCT), which is the most successful long term treatment. Nevertheless, some patients are never eligible for transplant because of co-morbidities, failure to reach CR or lack of a suitable donor. Otherwise, patients receive high-dose chemotherapy followed by autologous HSCT.⁵¹⁻⁵³ Several studies investigated the effect of the addition of a third drug (i.e., gemtuzumab ozogamicin (GO), purine analogues, and sorafenib) to induction therapy or after consolidation. Results from six randomized trials of GO associated with induction or consolidation therapy are

controversial: four out six studies demonstrated an advantage in terms of OS, EFS and relapse-free survival (RFS) especially for favourable-risk AML. Purine analogues such as clofarabine and fludarabine or sorafenib addition did not show significant improvement in EFS, RFS and OS when combined with induction therapy, except in younger patients who benefited of induction+sorafenib treatment in terms of EFS and RFS.⁵²

Patients who do not achieve CR after one cycle of induction therapy and those who relapse after consolidation treatment require a different treatment strategy (salvage therapy), consisting in a second cycle of induction therapy, high-dose of cytarabine, mitoxantrone-based regimens in combination with etoposide and cytarabine (MEC), or the combination of fludarabine with cytarabine, idarubicin and G-CSF (FLAG-IDA). The FLAG-IDA treatment may be a valid alternative to induction therapy with a higher CR upon one single cycle (Figure 1.6).⁵⁴

Taken together, new therapeutic strategies are undoubtedly needed.

Risk class	Favorable	Intermediate	Adverse
Induction therapy	7 days of cytarabine + 3 days of anthracycline		
Consolidation therapy	Chemotherapy ± autologous transplant		Allogenic transplant Chemotherapy + autologous transplant
Salvage therapy	Induction therapy/high-dose cytarabine/MEC/FLAG-IDA		

Figure 1.6 AML risk classes and therapeutic option

1.1.4 Emerging therapeutic drugs for AML: FLT3 inhibitors

Despite increasing knowledge of the molecular basis of AML, treatment options have remained basically unchanged and the outcomes for patients continue to be unsatisfactory. Several potential targets have been identified for newly diagnosed AML and, in particular, inhibition of FLT3 may represent a valid therapeutic target in AML with FLT3-ITD. Over the past few years, numerous tyrosine kinase inhibitors (TKI) targeting FLT3 receptor have been developed, and are classified into first- and second-generation based on their specificity (Table 1.1).⁵⁵

Table 1.1 List of FLT3 inhibitors

Inhibitor	Generation	Target	Trial phase
Sorafenib	1	FLT3, RAF-1, PDGFR, VEGFR, c-KIT	III
Sunitinib	1	FLT3, PDGFR, VEGFR, c-KIT	I/II
Lestaurtinib	1	FLT3, Jak2, TrkA-C	II
Midostaurin	1	FLT3, PDGFR, VEGFR, c-KIT	III
Quizartinib	2	FLT3, PDGFR, CSF1R, SCFR	III
Crenolanib	2	FLT3, PDGFR	III
Gilteritinib	2	FLT3, AXL, ALK	III

First-generation inhibitors comprise generic kinase inhibitors with no selectivity for FLT3 and include both sorafenib already approved for the treatment of advanced renal cell carcinoma (RCC) and hepatocellular carcinoma, and sunitinib, used for the treatment of RCC and imatinib-resistant gastrointestinal stromal tumour; but also lestaurtinib (CEP-701) and midostaurin (PKC412). Sunitinib is not suitable for AML treatment because it gave transient responses and had toxicity issues.⁵⁶⁻⁵⁸ By contrast, sorafenib seems to be well tolerated with a consistent reduction in peripheral blood and bone marrow blasts.⁵⁹ The combination of sorafenib with induction therapy or with azacitidine appears as a promising approach also for relapsed/refractory AML.⁶⁰⁻⁶² Data from phase I-II clinical

trials involving relapse/refractory AML patients demonstrated a reduction in blasts count, albeit of short duration, upon lestaurtinib treatment. Surprisingly, the combination with standard chemotherapy did not improve CR rate or OS.^{63,64} Midostaurin has recently been approved by Food and Drug Administration (FDA) as front-line therapy for FLT3-ITD AML. If administered as a single agent, it causes a transient decrease in bone marrow and peripheral blood blasts. However, its great success lies in the combination with standard chemotherapy (RATIFY trial) resulting in a significantly higher OS among all patients, including those with FLT3-ITD mutation.⁶⁵

Second-generation inhibitors, such as quizartinib, crenolanib and gilteritinib, are specific, more potent and have lower toxicity associated with off-target effects. They are currently being tested in clinical trials.⁵⁵ Quizartinib, also known as AC220, has a good bioavailability and an optimal half-life (more than 24h), which allows a sustained and potent FLT3 inhibition. Phase I and II trials demonstrated a clinical response and high CR rate among young and old relapse/refractory FLT3-ITD participants.⁶⁶⁻⁶⁸ The combination of quizartinib with conventional chemotherapy also revealed an impressive CR rate among patients with *de novo* AML.⁶⁹ Many clinical trials aimed at assessing the tolerability and the therapeutic promise of quizartinib as monotherapy, or in combination with the standard of care or with hypomethylating agents, are ongoing. Although as single agent quizartinib is very promising, patients frequently develop resistance due to the acquisition of additional mutations such as point mutations in the kinase domain.⁷⁰ Several studies have shown that crenolanib and gilteritinib are active against both types of FLT3 mutations and inhibit FLT3 receptor overcoming quizartinib drug resistance. Both compounds are well tolerated and effective as single agents.⁷¹⁻⁷⁴ Numerous clinical trials are under way to examine the effect of combinations with conventional therapies, hypomethylating agents or with salvage therapies.

New evidence correlates chemotherapy with high levels of FLT3 ligand, causing a reduction of FLT3 inhibition *in vivo* and the possible selection of a clone that is resistant to

chemotherapy and FLT3-addicted. This clone is responsible for relapse and ultimately for the patient's death.⁷⁵ Combination of standard chemotherapy with a general TKI followed by transplantation could, therefore, represent an approach to overcome relapse.

Another strategy for decreasing the risk of relapse is represented by the use of FLT3 TKI after HSCT. Brunner et al. demonstrated that HSCT followed by sorafenib treatment, as maintenance therapy, was associated with a significant improvement of OS and decreased rate of relapse.⁷⁶ Several other smaller, retrospective/prospective studies also support the use of sorafenib as maintenance therapy for FLT3-ITD AML patients.⁷⁶⁻⁷⁸

Midostaurin could also represent an effective maintenance therapy post-transplant. The phase II RADIUS trial that investigated the effect of midostaurin after alloHSCT in patients with FLT3-ITD AML showed a reduction of relapse risk at 18 months post-alloHSCT in patients who received midostaurin + standard treatment (NCT01883362). Moreover, in a phase I trial, Sandmaier et al. found a lower rate of relapse in patients treated with quizartinib after HSCT.⁷⁹ Two randomized phase III trials are ongoing, the QuANTUM-R (NCT02039726) and the QuANTUM-First (NCT02668653). The former compares quizartinib as monotherapy versus conventional salvage therapies (MEC and FLAG-IDA) in relapse/refractory FLT3-ITD patients including those who received alloHSCT, the latter evaluates the efficacy of quizartinib combined with conventional chemotherapy among FLT3-ITD patients. Clinical trials assessing the role of gilteritinib and crenolanib in the post-transplant setting compared to both placebo or salvage chemotherapy are in progress.⁸⁰

So far, different FLT3 inhibitors have been investigated *in vitro* and *in vivo* but only sorafenib and midostaurin, both potent but nonspecific FLT3 inhibitors, are used for the treatment of patients affected by FLT3-ITD AML. Other FLT3 inhibitors and their combination with conventional chemotherapy, or in relapse/refractory patients as a bridge to transplantation, or as a maintenance therapy after HSCT will likely soon become available, but this option is not always applicable especially for elderly patients (>65 years)

who are unfit for intensive regimes. Thus, the definition of an accurate and appropriate therapeutic approach for AML patients with FLT3-ITD mutations is indispensable.

1.2 Drug repurposing

The demand for new therapeutic compounds against haematological malignancies and the unaffordable prices of FDA-cancer drugs have led to consider drug repurposing/repositioning as an attractive drug discovery approach.⁸¹ This strategy is based on the exploitation of an already-approved or investigational drug for a new therapeutic indication.^{82–85} The Repurposing Drugs in Oncology (ReDO) project aims at testing non-cancer drugs as a potential therapeutic strategy against cancers.⁸¹

1.2.1 Advantages and limitations

The most important advantage of repurposing is the reduction of time and costs necessary for bringing the compounds to patient's bedside. The development of a new drug can take on average 15 years and the estimated cost from research to marketing approval is 2 or 3 billion dollars. The timeframe for repurposing, instead, has been estimated to be approximately 6 years with a clear positive impact on costs.⁸⁶

De novo drug discovery is made up of multiple steps and clinical trial phases. Efficacy, toxicity, pharmacokinetics and pharmacodynamics are tested by performing *in vitro* and *in vivo* studies. Upon successful completion of this pre-clinical stage, agents are tested on patients, focusing on safety (phase I clinical trial) and efficacy (phase II clinical trial). The final step (phase III clinical trial) consists in a randomised trial that compares the effect of the new drug and the standard treatment or evaluates different doses.⁸⁷ Usually, the FDA approves a new agent upon effective completion of all of the phases mentioned above.

However, recently, a new FDA program called accelerated approval (AA) warrants the marketing of products before the conclusion of confirmatory clinical trials thus speeding up the approval of drugs for the treatment of patients with serious or life-threatening oncological diseases.⁸⁸

Most new drugs fail to achieve FDA approval because they lack efficacy, or they turn out to be unsafe for patients. A repurposed drug offers a high level of safety as its pharmacokinetics, toxicities, bioavailability, dosage, administration, off-target and adverse effects are already known.^{82,83} Thus, failure rate is reduced and a phase I clinical trials may be bypassed diminishing processing time. Phase II and III clinical trials are still necessary, and represent the critical bottle-neck phases as they exclude 68% and 40% of compounds, respectively (**Figure 1.7**).⁸⁶

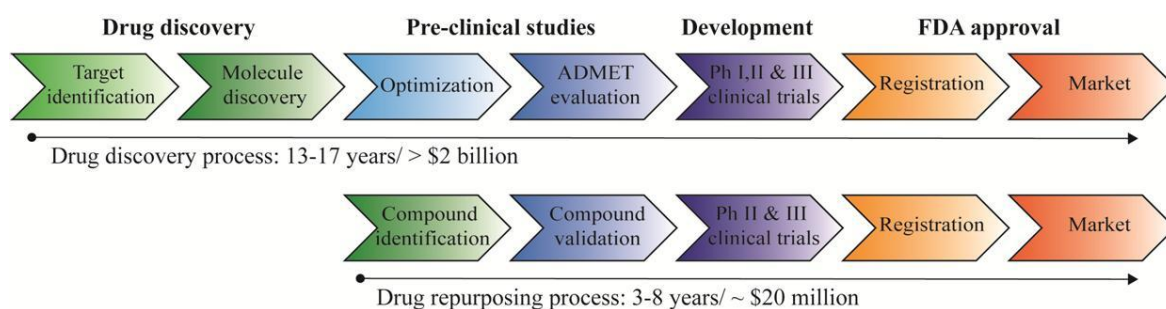


Figure 1.7 Comparison of the phases, timescale and costs for de novo drug discovery or drug repurposing

Although promising results have been achieved through the repurposing approach, some limitations have to be overcome. The drug to-be-repurposed often acts through a new mechanism of action and/or on new molecular targets,⁸⁹ therefore specific assays for the evaluation of new targets are indispensable. Moreover, a drug can elicit the desired pharmacological effect at concentrations that are much higher than those used in the “old” therapeutic setting. In such cases, a new evaluation of absorption, distribution, metabolism, excretion (ADMET) and possible toxicity and side effects, should be performed.⁹⁰

Other barriers to drug repurposing include patent exclusivity, severe legislation and strict *modus operandi* in pharmaceutical industry.⁹¹ A patent provides protection for up to 20 years in both USA and Europe. When the patent expires, the drug enters the category of 'generic' agents as other companies can manufacture and sell it, making it available at a cost-effective price. However, an investment is indispensable for extending the license of a generic drug to patent the new use, and the new therapeutic indication of the off-patent drug must be innovative, supported by reliable data, previously unpublished and not suggested in other work. A sponsor that possesses a patent on a compound can invest in it hoping for a financial gain, while a generic compound is much less interesting.⁹² Finally, a generic manufacturer who invests in a compound to-be-repurposed may perhaps be disadvantaged compared to its competitors as the cost of the drug could increase. To overcome all these issues, incentives for manufacturers who decide to license generic drugs have been proposed.⁹²

1.2.2 Different approaches used for drug repurposing

To date, most of the successfully repurposed drugs were identified serendipitously through the study of their side effects, as for thalidomide. Thalidomide is a drug originally used for the treatment of morning sickness during pregnancy, withdrawn from the market due to its teratogenic effects and now approved for the treatment of multiple myeloma.⁹³ Another well-known example is the sildenafil, an angina and hypertension medication now marketed as Viagra[®] used for the treatment of erectile dysfunction.⁹⁴ However, drug discovery and development require systematic, high-throughput and rational methods for drug repurposing.⁹⁵ Both computational and experimental approaches can be used. Computational approaches are based on the analyses of big data (e.g., gene expression, chemical structure, proteomic and genomic data). Each drug has specific characteristics including a 'signature' that derives from transcriptomic data, chemical structures and adverse effect profiles. Similarities in transcriptomic signatures may indicate that two

drugs share the same therapeutic application regardless of their chemical structure.^{96,97} Analogously, drugs that have similar chemical structure could share the same biological activity.⁹⁸ Moreover, similar adverse effects caused by different drugs can suggest that these compounds act on the same targets or pathways.^{99,100}

Molecular docking is a different strategy that aims at the identification of binding site complementarity between a drug and a target.¹⁰¹ Taking advantage of genome-wide studies and network analyses, several genes can be recognized as targets in a specific disease.¹⁰²

Retrospective clinical analysis is also a powerful tool to find repurposing opportunities. Data can be collected from different sources such as electronic health records, post-marketing surveillance data and clinical trials results.¹⁰³ Unfortunately, the access to all these data is still challenging due to ethical, legal, commercial and confidentiality issues.

Experimental approaches comprise two different types of screens: target-based screens and phenotypic screens. The former are based on binding assays for the identification of target interactions, mass spectrometry or affinity chromatography and can be adopted to identify novel targets of old drugs. The latter is based on cell behaviour (e.g., growth and death); typically, *in vitro* or *in vivo* phenotypic screening are exploited.¹⁰⁴ To date, at least ten different compound collections are available, including drugs used for the treatment of various cancers, compounds marketed in USA/Europe/or Asia, inhibitors, receptor ligands, FDA-approved drugs, or compounds in advanced phase clinical trials.

1.2.3 High-throughput screenings and data analysis

High-throughput screening (HTS) is a process by which a vast collection of compounds is tested in order to identify potential candidates in a rapid and accurate manner. Ninety-six- or 384-well plate formats are usually adopted for the screening whereas the number of compounds per plate can vary. A HTS includes different steps: target identification, assay design, primary and secondary screens, data analysis and drug(s) identification.¹⁰⁵ In a primary screen, a robot is typically adopted to add the target of interest (i.e., cells) and a

precise reagent (i.e., bioactive agents) to each well. After appropriate incubation time, the measurement of the activity (e.g., cell migration, proliferative arrest, etc.) is obtained by using an automatic plate reader. Compounds discovered in the primary screen are labelled as ‘hits’, and are further evaluated and eventually confirmed or discarded in a secondary screen. Confirmed hits can become drug candidates for clinical trials.

The screening procedure is complex and prone to give both false positive and false negative results. Thus, high-quality HTS and accurate analysis of results are necessary to find a reliable product. Reference controls (positive and negative) are used within each plate to assess of screen quality, identify variability between plates and establish assay background levels. Ideally, controls should be located randomly in each plate in order to avoid edge-related bias and minimize the rate of false positives and false negatives. Normalization of data allows for the comparison between measurements by removing plate-to-plate variation, and is usually performed within plate.¹⁰⁶

Two different approaches can be adopted for the normalization of raw data: control-based or sample-based. Control-based normalization includes the percent of control and normalized percent inhibition (NPI) methods. The first is based on the ratio between each compound measurement (x_i) and the mean of the positive control measurements (\bar{c}_+). In the second, the difference between the mean of positive control values (\bar{c}_+) and compound measurement (x_i) is divided by the difference between the means of positive and negative controls ($\bar{c}_+ - \bar{c}_-$) (**Table 1.2**).^{106–108}

The B-score and Z-score derive from sample-based normalization methods. B-score and Z-score assume that most samples display no biological effect and can serve as control (an option not applicable in secondary screens in which positive results from primary screening are evaluated). The calculation of B-score is based on an interactive algorithm whereas the Z-score is derived from the difference between the measurement of a compound (x_i) and the mean of all measurements within the plate (\bar{x}) divided by the standard deviation of all measurements (s_x) (**Table 1.2**).^{106–108}

With the exception of the B-score method, all the others listed above are sensitive to outliers and are not robust because they use mean and standard deviations as statistical measures. To solve these issues, a variation based on the substitution of the mean and the standard deviation with median ($\tilde{\cdot}$) and median absolute deviation (MAD), respectively, can be adopted (**Table 1.2**).^{106–108}

Table 1.2 Different normalization methods

		Data normalization	
		Regular version	Robust version
Control-based	Percent of control	$PC = \frac{x_i}{\bar{c}_+} \times 100$	$PC = \frac{x_i}{\tilde{c}_+} \times 100$
	Normalized percent inhibition	$NPI = \frac{\bar{c}_+ - x_i}{\bar{c}_+ - \bar{c}_-}$	$NPI = \frac{\tilde{c}_+ - x_i}{\tilde{c}_+ - \tilde{c}_-}$
Sample-based	B-score		$Bscore = \frac{r_{ijp}}{MAD_p}$
	Z-score	$Z = \frac{x_i - \bar{x}}{s_x}$	$Z = \frac{x_i - \tilde{x}}{MAD}$

1.2.4 Hit identification

Following raw data analysis, a critical step is represented by the choice of compounds for further screening or investigation. There are many analytic methods for hit selection. Among them, mean \pm threshold (k) SD, median $\pm k$ MAD that are equivalent to Z-score and robust Z-score, respectively; and multiple t -test are generally used for small-molecule screenings. Hit threshold is set to the value that is k SD (or MAD) above or below sample mean (or median) in case of mean $\pm k$ SD (median $\pm k$ MAD). It is generally 3 SD or MAD. Both statistical analyses described above have advantages and limitations. In

particular, the mean \pm k SD strategy is easy to calculate but sensitive to outliers and some weak positive hits may be lost. On the contrary, the median \pm k MAD method is robust to outliers and able to identify also weak hits. Finally, in the multiple *t*-test a hit is any compound for which the *t*-test between two samples in two different conditions (i.e., untreated and treated sample) is less than a predetermined threshold. It is simple to calculate but requires triplicates, normal distribution of the data and is sensitive to outliers.

106–108

1.2.5 Examples of repurposed drugs in AML

Drug repurposing can be divided into ‘soft repurposing’ and ‘hard repurposing’.¹⁰⁹ The former is based on the re-use of an existing anti-cancer drug for the treatment in a different oncological setting, while the latter describes the repositioning of non-cancer drugs as anti-cancer agents. Clofarabine, a purine nucleoside antimetabolite, is an example of soft repurposing as it was used for the treatment of paediatric patients with relapsed or refractory ALL whereas the new indication is for the treatment of patients affected by AML in combination with cytarabine and/or idarubicin.¹¹⁰ To date, also azacitidine, a pyrimidine nucleoside analogue that impairs DNA methylation previously used for the treatment of patients with different myelodysplastic syndrome subtypes, was repurposed as AML therapy, based on data reported in the drug repurposing portal.¹¹⁰

Using a PubMed search, the ReDO project identified 291 non-cancer agents with a “hard” repurposing potential. Most of them (84%) were off-patent compounds and at least 70% had data on patients such as case reports, observational studies or clinical trials supporting their anticancer effects.^{111–113} Ten compounds showed repurposing opportunities in AML. In particular, Matchett et al. found that low doses of the anthelmintic agent, albendazole, reduced the viability of AML cells with low toxicity.¹¹⁴ Other two anti-parasitic agents, flubendazole¹¹⁵ and mebendazole,¹¹⁶ displayed activity on AML cells. Performing an *in silico* screening bromocriptine, a drug used for Parkinson’s disease, was identified as a

small molecule that potentially acts against AML inducing differentiation.¹¹⁷ Among oral antidiabetics, metformin exhibits great efficacy against FLT3-ITD mutated AML cells when combined with sorafenib.^{118,119} Encouraging results have been reported from two clinical trials that study the combination of pravastatin, idarubicin and cytarabine in patients affected by relapsed AML.^{120,121} The screening of more than a thousand of compounds from Lopac library (Sigma Aldrich) led to the identification of quinacrine, an anti-malaria agent, as a potential candidate for the treatment of AML with low toxicity in normal mononuclear cells.¹²² Sharma and colleagues demonstrated that pyrimethamine, a dihydrofolate reductase antagonist used for the treatment of parasitic infections, is able to induce apoptosis and differentiation in human and murine AML cell lines without affecting normal cells both *in vitro* and *in vivo*.¹²³ Ribavirin, a widely used anti-viral compound, led to a clinical benefit in AML patients. It acts by targeting the oncogenic activity of the eukaryotic translation initiation factor eIF4E *in vitro*, in mouse models, and also in AML patients.¹²⁴ Finally, valproic acid, used to contrast convulsions in epilepsy, was evaluated as an anti-cancer agent. The ability of inhibiting histone deacetylase, which is often overexpressed in cancer cells, and the capacity of inducing differentiation/apoptosis in AML cells renders valproic acid a valid therapeutic agent for AML patients.¹²⁵⁻¹²⁷

1.3 Endoplasmic reticulum stress and unfolded protein response

Two of the main functions of the endoplasmic reticulum (ER) are to ensure the correct folding of proteins into their native conformation and to secrete newly synthesized proteins following post-translational modifications. Proteins that are not correctly folded are retained in the ER or targeted for degradation; accumulation of unfolded and misfolded proteins causes ER stress. To overcome ER stress, cells evolved a complex and conserved signal transduction pathway called unfolded protein response (UPR),¹²⁸ which is a self-protective process that restores the physiological activity of the ER. Indeed, under mild stress it is a pro-survival process, while continuous and chronic ER stress triggers apoptosis.

1.3.1 Adaptive UPR mechanism

Upon ER stress, UPR causes an expansion of the ER membrane, the induction of key components involved in protein folding and the inhibition of the influx of proteins into the ER. To date, three main stress sensors of UPR have been identified: 1) the inositol-requiring protein 1 α (IRE1 α), 2) protein kinase RNA-like ER kinase (PERK) and 3) activating transcription factor 6 (ATF6). Inhibition and stabilization of these three components depends on their binding to the luminal chaperone binding immunoglobulin protein (BiP) also known as GRP78.¹²⁹

BiP has a natural high affinity for unfolded/misfolded proteins, and the accumulation of which leads to the dissociation of this chaperone from the ER sensors. This triggers homodimerization, auto-phosphorylation and activation of IRE1 α and PERK, paralleled by translocation to the Golgi apparatus and activation of ATF6.¹³⁰

The activation of IRE1 α , a type I transmembrane protein, drives the processing of the mRNA encoding the unspliced X-box-binding protein 1 (Xbp1u) that generates an active transcription factor called spliced XBP1 (Xbp1s).^{131–133} XBP1s in turn regulates the transcription of target genes coding for proteins that favour the folding process and modulate phospholipid synthesis, necessary for expansion of the ER membrane. Moreover, the ER-associated degradation (ERAD) pathway in which misfolded proteins are finally degraded by the proteasome is induced.^{134,135} IRE1 α is also an endoribonuclease that triggers degradation of mRNAs encoding proteins located in the ER to prevent increasing demand of ER protein folding by the activation of the regulated IRE1-depend decay (RIDD) (Figure 1.8).^{136,137}

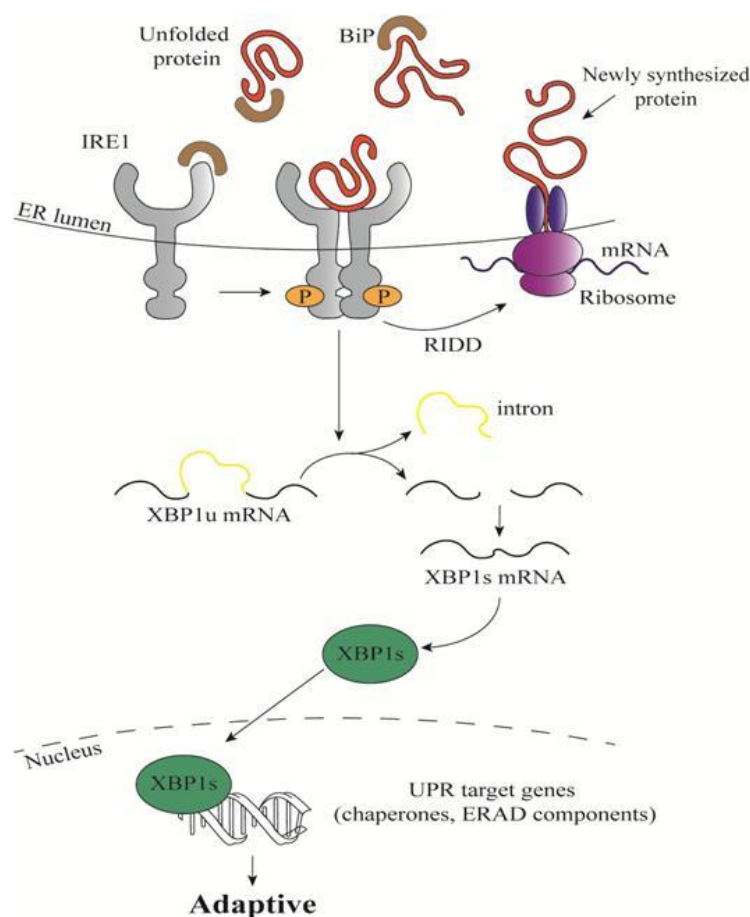


Figure 1.8 The UPR: IRE1 α activation

Under physiological conditions the inositol-requiring protein 1 α (IRE1 α) is maintained in an inactive state through the association with BiP. Upon endoplasmic reticulum stress, BiP dissociates and binds unfolded/misfolded proteins. IRE1 α dimerization and auto-phosphorylation trigger the splicing of XBP1u to produce an active transcription factor, spliced XBP1 (XBP1s).

XBP1s favours the transcription of genes involved in protein folding and ER-associated degradation (ERAD). *IRE1α* also degrades a variety of mRNA through regulated IRE-dependent decay (RIDD).

PERK adopts a different mechanism for the induction of specific transcription factors and UPR target genes. Indeed, PERK, a type I transmembrane protein, phosphorylates the eukaryotic translation initiator factor 2 α (eIF2 α) that causes a generalized cap-dependent translational repression, preventing influx of new proteins into the saturated ER lumen. Paradoxically, it induces the cap-independent translation of ATF4, a transcription factor that enters the nucleus and activates ER stress response genes involved in autophagy, amino acid metabolism and antioxidant response to maintain cell survival.^{138,139} ATF4 also promotes the transcription of C/EBP homologous protein (CHOP), which mediates apoptosis both *in vitro* and *in vivo* (**Figure 1.9**).^{140,141} Several mechanisms that repress CHOP transcription during the initial phase of ER stress have been identified^{142,143} including the downregulation of CHOP expression by XBP1 that supports cell survival.¹⁴⁴

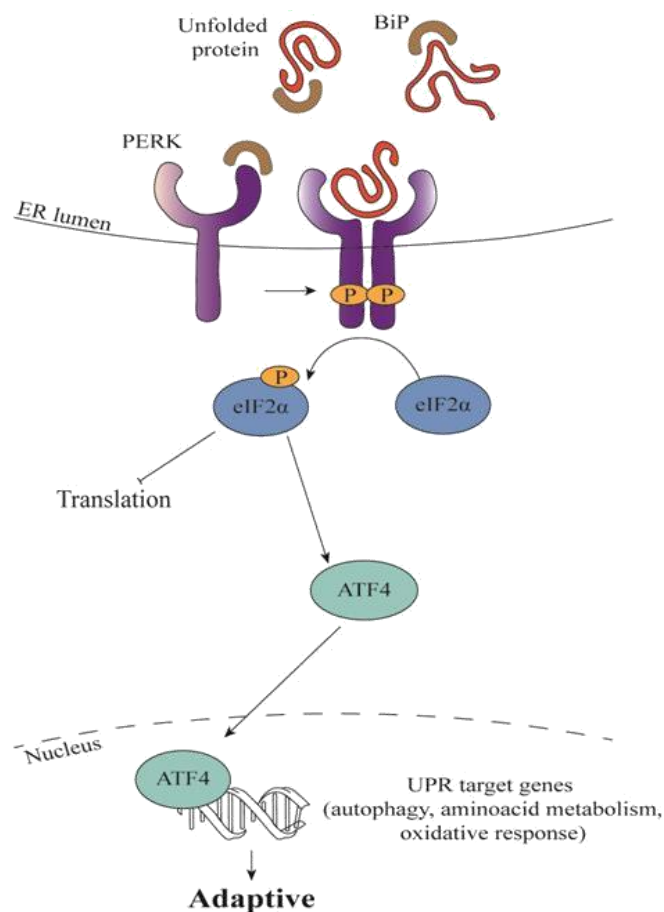


Figure 1.9 The UPR: PERK activation

Under physiological conditions, the protein kinase RNA-like endoplasmic reticulum kinase (PERK) is maintained in an inactive state through the association with BiP. Upon endoplasmic reticulum stress, BiP dissociates and binds unfolded/misfolded proteins. PERK dimerization and autophosphorylation trigger the phosphorylation of the eukaryotic translation initiator factor 2 α (eIF2 α) that attenuates general protein synthesis. Phosphorylation of eIF2 α causes the translation of ATF4 mRNA. ATF4 induces the transcription of genes involved in autophagy, amino acid metabolism and oxidative response.

The activation of ATF6, a type II transmembrane protein, depends on site 1 and 2 proteases (S1P and S2P) that cleave ATF6 in the Golgi apparatus, releasing its cytosolic domain that controls the expression of XBP1 and of genes involved in ERAD process (Figure 1.10).^{132,145,146}

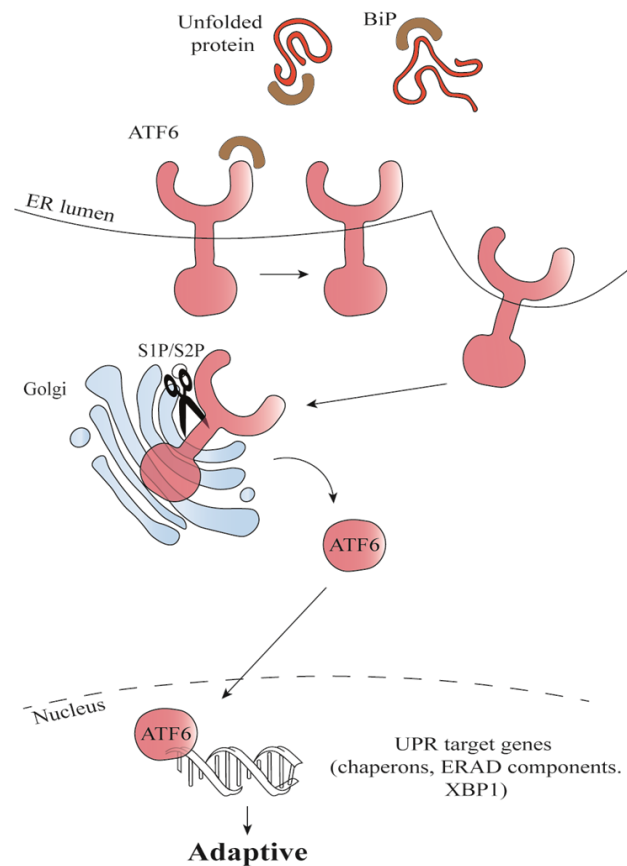


Figure 1.10 The UPR: ATF6 activation

Under physiological conditions the transcription factor 6 (ATF6) is maintained in an inactive state through the association with BiP. Upon endoplasmic reticulum stress, BiP dissociates and binds unfolded/misfolded proteins. ATF6 translocates to the Golgi apparatus where it is processed by site 1 protease (S1P) and site 2 protease (S2P) triggering the release of its cytosolic domain. ATF6 induces the transcription of UPR target genes such as chaperons, ERAD components and XBP1.

Thus, the first event is the phosphorylation of eIF2 α mediated by PERK following by the induction of RIDD and ERAD processes. Despite a rapid activation of ATF6, the expression of its target genes (e.g., XBP1) occurs late as the cytoplasmic domain has to move to the nucleus, induce transcription and finally protein synthesis. Also, the transcription and translation of ATF4 happen during the second wave of events.

The early outcomes of UPR are the inhibition of protein synthesis, the induction of protein folding capacities and the degradation of misfolded and unfolded proteins. Collectively, all these temporal responses modulate adaptation to stress, restoring ER function and promoting cell survival.¹²⁸

1.3.2 Chronic ER stress and transition to apoptosis

If ER stress is severe, prolonged and UPR fails to restore ER homeostasis, the pro-survival signalling is substituted by a pro-apoptotic programme.¹⁴⁷ Cell death induced by excessive or chronic ER stress is mainly dependent on the mitochondrial (intrinsic) apoptotic pathway, largely regulated by BCL-2 family, which consists of both pro- and anti-apoptotic proteins. BCL-xL, BCL-2 and MCL-1 belong to anti-apoptotic proteins whereas BAK, BAX, BIM, BAD, Noxa and Puma have pro-apoptotic functions. Following stress induction, BAX and BAK proteins change their conformation and become pore-forming proteins in the mitochondrial outer membrane that elicit the release of cytochrome *c* in the cytosol.^{148,149} Upon release of cytochrome *c*, pro-caspase-9 is activated to caspase-9, which cleaves and activates its downstream effectors such as caspase-3.¹⁵⁰ Each branch of UPR regulates different BCL-2 family members (**Figure 1.11**). In particular, during chronic ER stress CHOP induces the expression of pro-apoptotic proteins such as BIM and Puma^{151,152} as well as the downregulation of pro-survival proteins (e.g., BCL-2, BCL-xL and MCL-1).¹⁵³ Furthermore, it promotes the translocation of BAX to mitochondria¹⁴⁷ triggering apoptosis. Although some mechanisms aim at the attenuation of IRE1 α activation,

including Xbp1 α that can act as a negative regulator of both Xbp1s and ATF6,¹⁵⁴ in a chronic induction state, IRE1 α activates the JUN N-terminal kinase (JNK) pathway by recruiting TNF receptor associated factor 2 (TRAF2) and apoptosis signal-regulating kinase 1 (ASK1). JNK stimulates apoptosis through the inhibition and the activation of BCL-2/BCL-xL and BAD/BIM, respectively.^{155,156} Moreover, the RIDD process causes the decay of specific microRNAs that normally inhibit mRNA translation of caspase-2, inducing it and resulting in apoptosis.¹⁵⁷ Finally, during ER stress, Noxa can be upregulated by ATF4¹⁵⁸ and together with Puma it may also be directly upregulated in a p53-dependent manner.¹⁵⁹

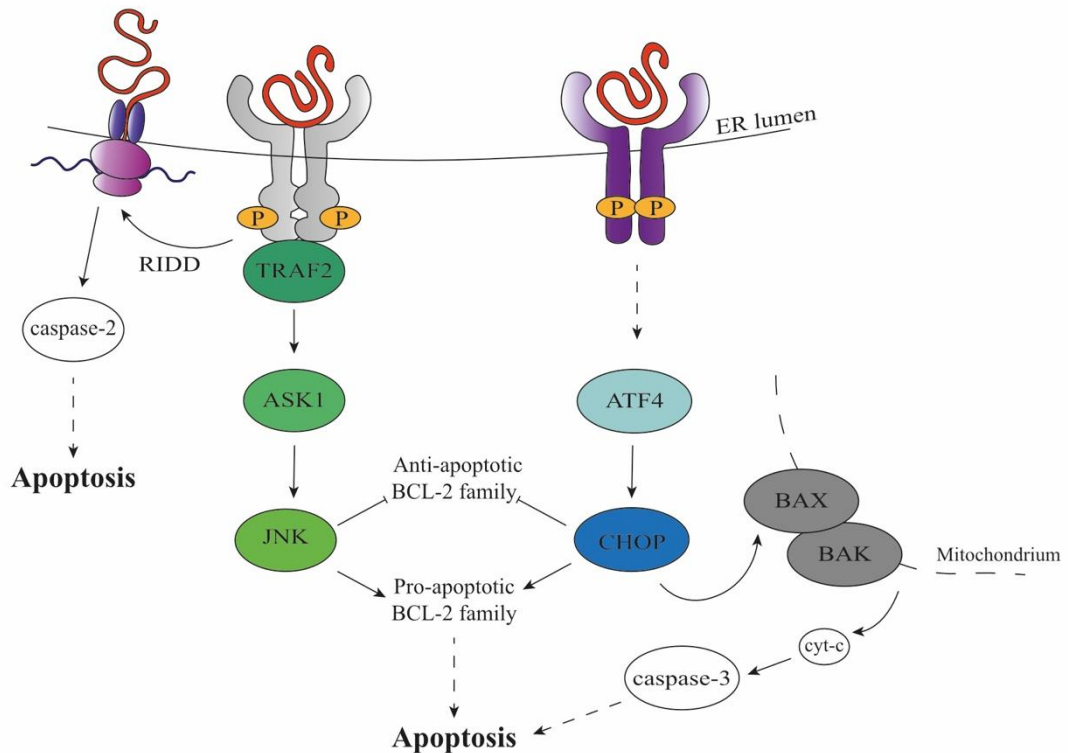


Figure 1.11 ER stress-induced cell death

IRE1 α (depicted in grey) recruits TRAF2 and ASK1 activating its downstream target JNK. JNK induces apoptosis by inhibiting anti-apoptotic BCL-2 family proteins. IRE1 α also degrades a variety of microRNA through regulated IRE-dependent decay (RIDD) resulting in caspase 2 activation and then apoptosis. PERK (depicted in purple) activation leads to the induction of ATF4 and CHOP proteins. CHOP induces the expression of pro-apoptotic BCL-2 family proteins and favours BAX translocation in the mitochondria triggering apoptosis.

Although the molecular mechanisms responsible for apoptosis induction have been revealed, the nature of the switch between survival (adaptive UPR mechanism) and death (under chronic ER stress) continues to be vague. Some lines of evidence showed that cell fate depends on expression level of the E2F family member, E2F1.¹⁶⁰ In this model, in physiological conditions, the free form of E2F1 regulates cell proliferation favouring cell cycle transition to G1-S phase and inhibits pro-apoptotic proteins such as Puma and Noxa. In the early stage of ER stress, E2F1 levels are not influenced, while some genes or factors, e.g., ATF4, ATF6, XBP1 and XBP1's target gene E2F7, are activated. ATF4 upregulates anti-apoptotic factors, such as BCL-2 and MCL-1, and in cooperation with ATF6 induces the expression of CHOP, whose function is negatively regulated by TRB3.¹⁶¹ In late ER stress, ATF4 levels decline, CHOP inhibits BCL-2, and ATF6 combined with E2F7 binds the promoter of E2F1 driving its repression. Thus, the inhibition of Puma and Noxa by E2F1 stops resulting in the induction of apoptotic program.

1.3.3 ER stress and cancer

The response to ER stress is cell specific, depends on cell tolerance and on the environment. ER stress and UPR activation have been implicated in various human diseases such as neurodegenerative disorders, metabolic diseases and cancers. Indeed, cancer cells are characterized by uncontrolled growth and proliferation resulting in hypoxia, nutrient deprivation and oxidative stress. These conditions represent stimuli that compromise ER homeostasis inducing ER stress and UPR. The activation of UPR supports cancer cell survival and adaptation to a hostile microenvironment, and leads to cell dormancy by inhibition of cell cycle progression causing resistance to standard treatment.¹⁶²⁻¹⁶⁴ For instance, melanoma cell lines and primary tumours that are resistant to therapies are characterised by high levels of ER stress markers. The oncogenic *BRAF* mutation has been associated with ER stress and induction of pro-survival signalling.¹⁶⁵⁻¹⁶⁷ Therapy resistance is conferred by enhanced autophagy as an adaptive ER stress response.

The inhibition of ER stress decreased autophagy and re-sensitised BRAF melanoma cells to cancer therapy.^{165,167}

The IRE1 α /XBP1s pathway is implicated in cancer cell proliferation and survival. High levels of XBP1s have been reported in human multiple myeloma and are associated with neoplastic transformation of plasma cells and spontaneous development of multiple myeloma in mouse models.¹⁶⁸ The inhibition of XBP1 affects the survival of multiple myeloma cells as they become more sensitive to ER stress.¹⁶⁹ Moreover, an increased expression of XBP1s is reported in human breast cancers and it seems that a higher expression is found in aggressive, high proliferative and high-grade mammary tumours.^{170,171} Currently, few small molecules (MKC-3946, STF-083010, A-I06 and 4 μ 8c) that block the RNase activity of IRE1 α and the splicing of XBP1 are under investigation in multiple myeloma, acute and chronic lymphoblastic leukaemia.¹⁷² Although, promising results have been obtained, the development of more specific and effective IRE1 α inhibitors is needed.¹⁷²

The role of ATF6 pathway is crucial in tumourigenesis, as one of its target gene is BiP. BiP, as discussed above, binds to misfolded and unfolded proteins to restore ER homeostasis. High expression levels of BiP have been found in different cancer types such as melanoma, breast, lung, prostate, brain and head and neck cancer.¹⁷³ Emerging evidence suggests that BiP can also localize to cell surface in cancer cells but not in normal cells. This discovery opens up the opportunity of targeting BiP as a possible strategy for cancer therapy.¹⁷⁴ Additionally, BiP overexpression confers drug resistance in multiple tumours¹⁷⁵ and correlates with higher pathologic grade, relapse, and poor prognosis in patients with breast, liver, prostate, colon and gastric cancers.¹⁷⁵

On the contrary, the inhibition of BiP affected the ability of fibrosarcoma cells to form tumours in *xenograft* mouse models and diminished the growth rate of breast adenocarcinoma and glioma cells.¹⁷⁶⁻¹⁷⁸ To date, five different agents (epigallocatechin

gallate, Pep42, PAT-SM6, DHA and arctigenin) are reported to inhibit BiP activity.^{172,179} Pep42 and PAT-SM6 are being tested in ongoing clinical trials for the treatment of Alzheimer's disease and multiple myeloma, respectively.¹⁷² DHA is under investigation in advanced phase clinical trials for different solid tumours such as colon cancer, lung cancer and gastric or oesophageal adenocarcinoma.¹⁷⁹

Activation of the PERK branch in lymphoma models leads to cell survival¹⁸⁰ and deletion of PERK inhibits the formation of mammary tumours and reduces lung metastasis.¹⁸¹ Two PERK inhibitors (GSK260641 and GSK2656157) have been developed and showed anti-proliferative effect in multiple myeloma and pancreatic cancer in *in vivo* studies.¹⁷² On the contrary, it has been demonstrated that CHOP prevents tumour progression. Different studies showed how the deletion of *Chop* increased tumourigenesis in lung and hepatocellular carcinoma mouse models.^{182,183}

Some evidence suggested a possible involvement of UPR in AML development. The IRE1 α branch is activated in almost 20% of AML cases and patients with triggered UPR have a better survival and lower risk of relapse. Moreover, UPR related molecules are able to inhibit the translation of CEBPA mRNA which is frequently mutated leading to maturation defects.¹⁷² The vast majority of APL patients are characterised by the fusion of retinoic acid receptor alpha (*RAR α*) to the promyelocytic leukaemia (*PML*) open reading frame and express the PML-*RAR α* chimeric protein. Khan and colleagues provided an explanation of how UPR may be a promoter of the PML-*RAR α* activation. Under physiological conditions, *RAR α* is able to dissociate from co-repressor (i.e., N-CoR), in the presence of specific agonist such as all-trans retinoic acid (ATRA). In the PML-*RAR α* setting, a strong binding with the co-repressor causes its conformational change. The misfolded N-CoR protein triggers ER stress and is finally degraded through ERAD system impairing myeloid differentiation.¹⁸⁴

Recently, Prof. Pier Giuseppe Pelicci's group demonstrated that inducible expression of FLT3-ITD in the Ba/F3 (Ba/F3-ITD) murine pro-B cell line results in higher basal levels of the ER stress marker BiP compared to cells expressing the *wild-type* FLT3 receptor (Ba/F3-WT) (**Figure 1.12**). Additionally, the induction of UPR is associated with the retention of the FLT3-ITD protein in the ER (personal communication) (**Figure 1.13**). Therefore, UPR may be a druggable target that delineates a promising novel therapeutic approach for AML patients bearing FLT3-ITD mutations.

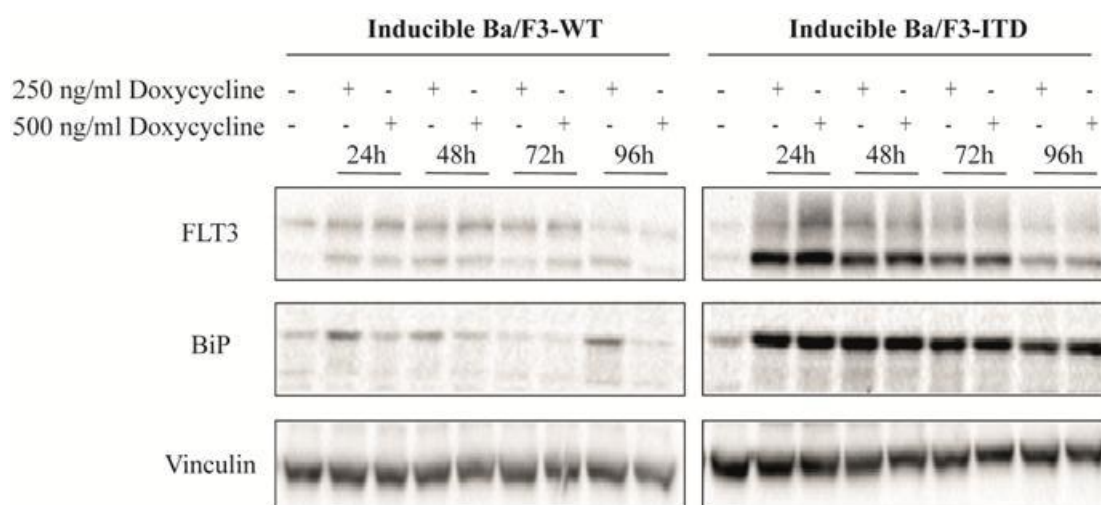


Figure 1.12 Elevated ER stress in Ba/F3-ITD cells

Representative immunoblot analysis of BiP expression levels. Ba/F3-WT and -ITD cells were treated with two different concentrations of doxycycline for inducing FLT3 expression for the indicated time duration. The expression of FLT3 and BiP was analysed by SDS-PAGE and western blotting. Vinculin levels were used as a loading control.

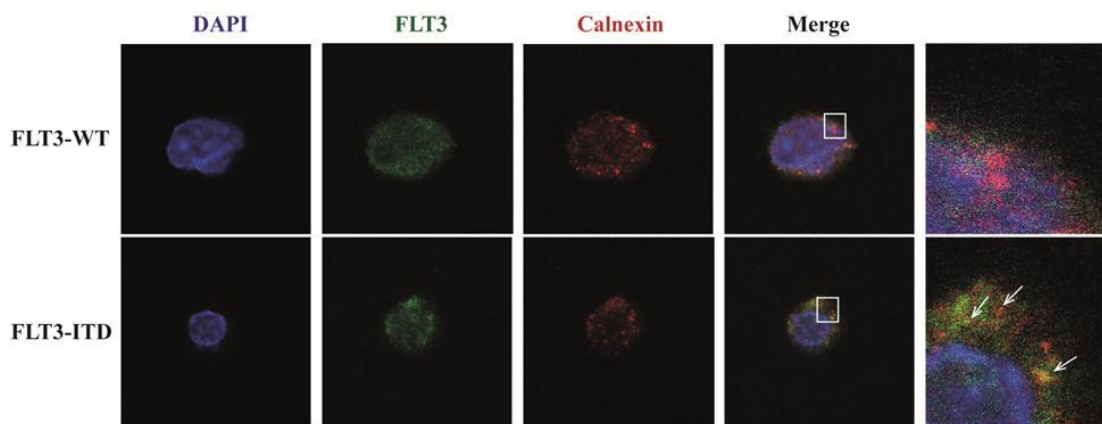


Figure 1.13 FLT3-ITD retention in the endoplasmic reticulum

Immunofluorescent staining of Ba/F3 cells expressing FLT3-WT and -ITD receptor. An Alexa 488-conjugated α -FLT3 antibody was used to detect the FLT3 receptor (green) while ER was labelled using a Cy3-conjugated anti-calnexin antibody (red). The white arrows indicate the presence of FLT3-ITD receptor in the ER. DAPI (blue) was used as a counterstain for viable cell nuclei.

Since UPR is a double-edge sword as it confers pro-survival advantages and induces apoptosis, an alternative therapeutic approach to attenuation of the adaptive response is enhancing of the pro-death signalling pathway. Proteasome inhibitors increase ER stress as they cause the accumulation of misfolded and unfolded proteins. Thus, cells are pushed into apoptosis. Bortezomib, an FDA-approved drug, was used successfully as monotherapy in acute leukaemias¹⁷² and also in combination with IRE1 α inhibitors to prevent the development of resistance due to the acquisition of new mutations. Other proteasome inhibitors (carfilzomib, nelfinavir, marizomib and MLN9708), pan-deacetylase inhibitors, such as panobinostat, and anti-diabetics, such as metformin, are in advanced phase clinical trials¹⁷⁹ for the treatment of haematological malignancies and solid tumours.

2 Aim of the work

The project originates from the fact that standard chemotherapy regimens cure only a minority of AML patients with adverse prognosis. FLT3-ITD mutations represent the most common type of mutations among the recurrent genetic alterations in AML, and correlate with a high leukemic burden, poor prognosis, and a significant negative impact on the management of patients.^{42,43} To date, there are no effective drugs to be used for the treatment of AML patients bearing FLT3-ITD mutations. Indeed, treatment with existing inhibitors usually leads to the development of resistance due to the acquisition of new mutations.⁷⁰ The development of new effective treatment modalities is a still unmet clinical need.

The aim of this PhD project was the identification of new drugs for FLT3-ITD AML treatment by exploiting the strategy known as drug repurposing or repositioning. This aim was pursued through the completion of the following phases:

- 1) Screening phase: a primary HTS of three libraries of FDA-approved compounds or those in advanced stages of clinical trials that identified agents active against AML cell lines (in collaboration with the Screening Unit @IIT), followed by a secondary small-scale screening designed to narrow down the number of hits by identifying agents with selectivity for FLT3-ITD AML. This phase comprised a substantial amount of optimization of the HTS, bioinformatic statistical analysis of the data as well as extensive manual curation of the results obtained in order to make a final selection of compounds to be carried into the second phase.
- 2) Validation phase: the selected compounds were tested as single agents and in combination with standard therapeutics used in AML as well as with FLT3 inhibitors. The *in vitro* validation included the determination of IC₅₀, plotting of growth curves, characterization of the cell cycle and clonogenic activity. The *in*

vivo validation envisages testing the candidate drugs alone and in combination on both *xenograft* and murine AML models.

- 3) Mechanism of action determination phase for the two candidate drugs: the analysis of the known mechanism of action, the ability to inhibit FLT3 signalling and the analysis of a novel potential mechanism of action in collaboration with the group of Prof. P.G. Pelicci was performed.

The three phases of the project made use of different model systems: AML cell lines in the first and second phase of the project, murine models of AML in second phase of the project and of the Ba/F3 cells, a murine pro-B haematopoietic cell line transduced with FLT3-WT or FLT3-ITD, to unravel the mechanisms of action in the third phase.

3 Materials and methods

3.1 Cell lines

Human AML cell lines were maintained in appropriate media as specified on the ATCC website. Murine haematopoietic Ba/F3 cell lines expressing either FLT3-WT and -ITD generated by viral transduction were kindly donated by Pier Giuseppe Pelicci's group and grown in RPMI 1640 supplemented with 10% foetal bovine serum (FBS), 10% of WEHI-3B supernatant in the presence of penicillin (100 U/mL) and streptomycin (100 µg/mL). Cells were maintained in the logarithmic growth phase at 37°C in a humidified atmosphere containing 5% CO₂ at all times.

3.2 Chemical and Reagents

A total of 3675 compounds were tested in an initial high-throughput screening. The collection of compounds included drugs that are already approved by the FDA or in advanced-phase clinical trials. In particular, 1280 compounds were from LOPAC 1280 (Sigma-Aldrich), 75 from Selleck Catalogue of New Items and 2320 from MicroSource Spectrum collection. Drugs were dissolved in dimethylsulfoxide (DMSO). Auranofin, Ara-C, methotrexate hydrate, midostaurin hydrate, pyrvinium pamoate and thapsigargin were purchased from Sigma-Aldrich (St. Louis, MO) and dissolved in DMSO at a stock concentration of 5 mM, 100 mM, 10 mM, 10 mM, 10 mM and 1 mM, respectively. Quizartinib was acquired from Chemietek (Indianapolis, USA), dissolved in DMSO at a stock concentration of 100 mM. Doxorubicin hydrochloride was purchased from Sigma-Aldrich (St. Louis, MO) and dissolved in water at a stock concentration of 1 mM.

Dithiothreitol (DTT) was acquired from VWR Life Science, dissolved in DMSO at a stock concentration of 1 mM. All compounds were aliquoted and stored at -80°C.

CellTiter-Glo assay kit was purchased from Promega Corporation (Madison, WI, USA), Thioredoxin Reductase Assay Kit was purchased from Abcam (Cambridge, United Kingdom), BrdU flow kit was purchased from BD Pharmingen™, the 7AAD viability dye was purchased from Beckman Coulter (Brea, California, USA).

Antibodies used for immunoblotting were acquired from the following sources: anti-ATF4 (D4B8), anti-βCatenin (6B3), anti-BiP (C50B12), anti-phospho-βCatenin (Ser33/37/Thr41), anti-GSK3β (27C10), anti-phospho-GSK3β (Ser9), anti-CHOP (9C8) Cell Signaling Technology (CST, Danvers, Massachusetts, USA); anti-Lamin B1 (Abcam, Cambridge, United Kingdom), anti-Vinculin clone H (Sigma-Aldrich, St. Louis, MO).

Antibodies used for flow cytometry analysis were acquired from following sources:

PE anti-mouse CD45 (Clone 30-F11) (BD Pharmingen™), APC anti-human CD45, Pacific Blue anti-human CD45 (Beckman Coulter, Brea, California, USA).

3.3 Cell viability assay

To determine the number of viable cells in culture we used two different strategies: the CellTiter-Glo (CTG) luminescent assay and the trypan blue exclusion method. The CellTiter-Glo luminescent assay is based on the quantification of the ATP produced by cells, which indicates the existence of metabolically active cells. The addition of the CellTiter-Glo reagent directly to cell culture results in cell lysis and generation of a luminescent signal which is proportional to the amount of ATP present and thus to the number of viable cells. **Figure 3.1** shows the luciferase reaction at the basis of this assay that utilizes a thermostable luciferase (Ultra-Glo™ Recombinant Luciferase) generating a stable luminescent signal.

The trypan blue exclusion test is based on the principle that live cells exclude certain dyes (*i.e.*, trypan blue, eosin and propidium iodide) as they possess intact cell membranes.

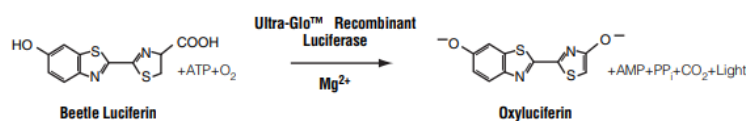


Figure 3.1: The luciferase reaction

Luciferase in the presence of Mg²⁺, ATP and molecular oxygen catalysed the mono-oxygenation of luciferin. Figure adapted from CellTiter-Glo Luminescent Cell Viability Assay technical bulletin.

3.3.1 First high-throughput screening

Tecan freedom Evo robot at the IIT screening Unit was used for cell seeding and treatments. Exponentially growing MOLM13 and MV4-11 cells were seeded in 384-well plates (Corning Life Sciences, Acton, MA) at 2500 cells per well in 40 µl of growth medium and allowed to grow for 24 hours at 37°C, 5% CO₂, 95% humidity. Compounds were then added at three different final concentrations: 0.05 µM, 0.5 µM and 5 µM. Cells treated with 0.2% of DMSO (the diluent used to resuspend the compounds) were used as a negative control and cells treated with Ara-C (from 0.25 µM to 8 µM) or quizartinib (from 0.25 nM to 8 nM) were used as positive controls (**Figure 3.2**). Seventy-two hours later, cells were equilibrated at room temperature for 30 minutes, 40 µl of CellTiter-Glo solution was added in each well, incubated for 10 minutes to allow for the reaction to take place and then read on a plate reader (PHERAstar FS, BMG Labtech) according to the manufacturer's instructions. All treatments were done in duplicate. The Z-score was calculated according to Zhang XD.¹⁰⁷

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24		
A																									A	
B																										B
C			C1	C1	C2	C2	C3	C3	C4	C4	C5	C5	C6	C6	C7	C7	C8	C8	C9	C9	C10	C10			C	
D			C1	DMSO	C2	CYT	C3	QUIZ	C4	DMSO	C5	CYT	C6	QUIZ	C7	DMSO	C8	CYT	C9	QUIZ	C10	DMSO			D	
E			C11	C11	C12	C12	C13	C13	C14	C14	C15	C15	C16	C16	C17	C17	C18	C18	C19	C19	C20	C20			E	
F			C11	DMSO	C12	CYT	C13	QUIZ	C14	DMSO	C15	CYT	C16	QUIZ	C17	DMSO	C18	CYT	C19	QUIZ	C20	DMSO			F	
G			C21	C21	C22	C22	C23	C23	C24	C24	C25	C25	C26	C26	C27	C27	C28	C28	C29	C29	C30	C30			G	
H			C21	DMSO	C22	CYT	C23	QUIZ	C24	DMSO	C25	CYT	C26	QUIZ	C27	DMSO	C28	CYT	C29	QUIZ	C30	DMSO			H	
I			C31	C31	C32	C32	C33	C33	C34	C34	C35	C35	C36	C36	C37	C37	C38	C38	C39	C39	C40	C40			I	
J			C31	DMSO	C32	CYT	C33	QUIZ	C34	DMSO	C35	CYT	C36	QUIZ	C37	DMSO	C38	CYT	C39	QUIZ	C40	DMSO			J	
K			C41	C41	C42	C42	C43	C43	C44	C44	C45	C45	C46	C46	C47	C47	C48	C48	C49	C49	C50	C50			K	
L			C41	DMSO	C42	CYT	C43	QUIZ	C44	DMSO	C45	CYT	C46	QUIZ	C47	DMSO	C48	CYT	C49	QUIZ	C50	DMSO			L	
M			C51	C51	C52	C52	C53	C53	C54	C54	C55	C55	C56	C56	C57	C57	C58	C58	C59	C59	C60	C60			M	
N			C51	DMSO	C52	CYT	C53	QUIZ	C54	DMSO	C55	CYT	C56	QUIZ	C57	DMSO	C58	CYT	C59	QUIZ	C60	DMSO			N	
O																									O	
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	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24		

Figure 3.2: Layout of the 384-well plate used for the first high-throughput screening
Cells from each cell line were seeded in a 384-well plate at 2500 cells per well and incubated at 37°C in the humidified atmosphere containing 5% of CO₂. Compounds (grey squares), quizartinib (green squares) and Ara-C (pink squares) were added the day after seeding. In each plate, 25 wells without any compound but with DMSO constituted a negative control (light-blue squares). Tested-compounds were added at three different concentrations: 0.05 µM, 0.5 µM and 5 µM. In each plate 60 compounds were tested.

3.3.2 Second screening

MOLM13, MV4-11, MONO-MAC-6, THP-1 and OCI-AML2 cell lines were seeded in 96-well plates at 10⁴ cells/well, while PL-21 were seeded at 2x10⁴ cells/well in 100 µl of growth medium and allowed to grow for 24 hours at 37°C, 5% CO₂, 95% humidity. Compounds were then added at two different final concentrations: 0.05 µM and 0.5 µM. Cells treated with 0.2% of DMSO were used as a negative control and cells treated with Ara-C (from 16 µM to 0.5 µM) or quizartinib (from 8 nM to 1 nM) were used as positive controls (**Figure 3.3**). Seventy-two hours later, cells were equilibrated at room temperature for 30 minutes, 100 µl of CellTiter-Glo solution was added in each well, incubated for 10 minutes allow for the reaction to take place and then read on GloMax (Promega) plate

reader according to manufacturer's specifications. All treatments were done in triplicate. Robust Z-score was calculated according to Zhang XD¹⁰⁷ with appropriate adjustments due to the huge bias of the experiment (all compounds within the plate should be active). Thus, in this case the difference between the measurement of a compound and the median of negative controls was divided by the standard deviation of all the negative controls within the plate.

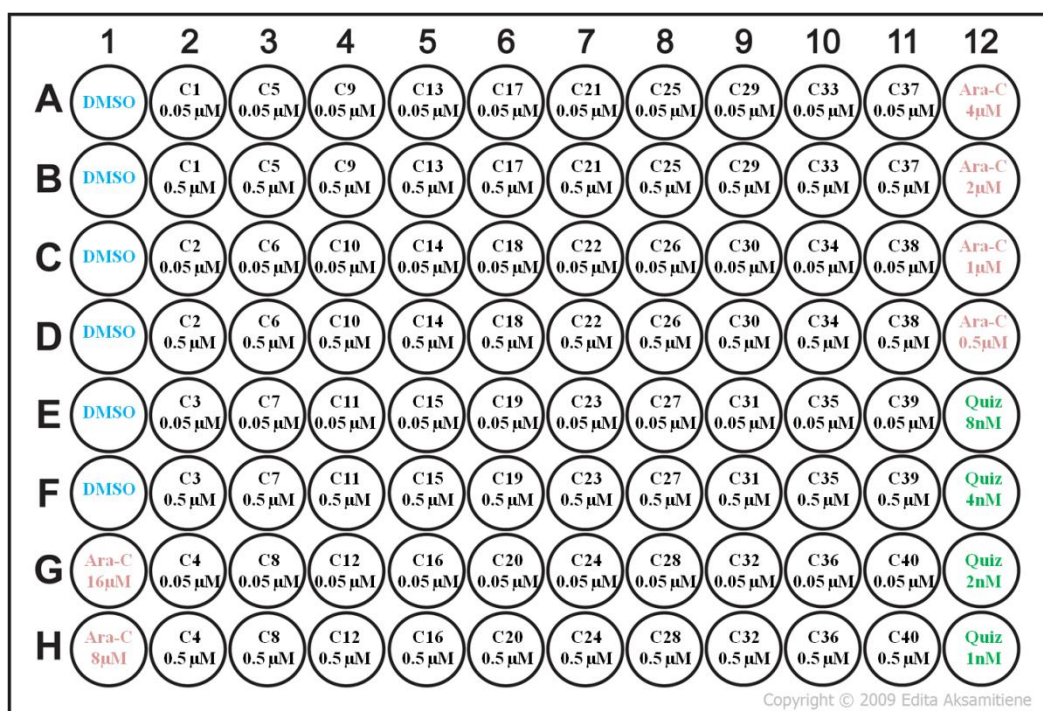


Figure 3.3: Layout of the 96-well plate used for the second screening

Cells from each cell line were seeded in a 96-well plate and incubated at 37°C in the humidified atmosphere containing 5% of CO₂. Compounds (C1-C40), quizartinib and Ara-C were added the day after seeding. In each plate, 6 wells without any drug but with DMSO constituted a negative control. Tested-compounds were added at two different concentrations: 0.05 μM and 0.5 μM. In each plate 40 compounds were tested.

3.3.3 Dose-response curves

MOLM13, MV4-11, MONO-MAC-6, THP-1 and OCI-AML2 cell lines were seeded in 96-well plates at 10⁴ cells/well while PL-21 were seeded at 2x10⁴ cells/well in 100 μl of growth medium and allowed to grow for 24 hours at 37°C, 5% CO₂, 95% humidity. Compounds were then added, as indicated. Seventy-two hours later, cells were equilibrated

at room temperature for 30 minutes, 100 μ L of CellTiter-Glo solution was added in each well incubated for 10 minutes to allow for the reaction to take place and then read on GloMax (Promega) plate reader according to the manufacturer's specifications. Cells treated with DMSO (0.2%) were used as a positive control. The half maximal inhibitory concentration (IC_{50}) value was calculated in GraphPad (Prism software).

3.3.4 *In vitro* proliferation

MOLM13, MV4-11 and OCI-AML2 cells were seeded in duplicate at 10^5 cells/ml and allowed to grow for 24 hours at 37°C, 5% CO_2 , 95% humidity. Then cells were treated with concentrations corresponding to the IC_{50} value of auranofin or pyrvinium pamoate or diluents (DMSO, control). After 24, 48 and 72 hours 20 μ L of sample was mixed with 20 μ L of 0.4% trypan blue by gently pipetting, and then 20 μ L of the mix were loaded into the Bürker chamber. Both viable and dead cells were counted under an inverted-light microscope (Leica).

Ba/F3 cells were seeded in duplicate at 10^5 cells/ml and treated with concentrations of drugs that cause 50% of maximal inhibition of cell proliferation (GI_{50}). Thus, cells were treated with auranofin or pyrvinium pamoate or quizartinib or diluents (DMSO, control) in the presence or absence of interleukin-3 (IL-3). After 24, 48 and 72 hours 20 μ L of sample was mixed with 20 μ L of 0.4% trypan blue by gently pipetting, and then 20 μ L of the mix were loaded into the Bürker chamber. Both viable and dead cells were counted under an inverted-light microscope (Leica).

3.4 Colony formation

Leukaemic cell lines (MOLM13, MV4-11 and OCI-AML2) were seeded at 10^5 cells/ml and allowed to grow for 24 hours at 37°C, 5% CO_2 , 95% humidity. Then cells were treated

with DMSO, auranofin or pyrvinium pamoate at the predetermined IC₅₀ concentrations for 72 hours after which cells were resuspended in culture medium with or without auranofin or pyrvinium pamoate. One-hundred viable cells were mixed with Methocult medium (MethoCult SF H4236; StemCell Technologies) and plated into a 6-cm dish. Colonies were counted under an inverted light microscope (Leica) after 14 days.

3.5 Combination treatments

MOLM13, MV4-11 and OCI-AML2 cells were seeded at 10⁴ cells/well in 96-well plates and allowed to grow for 24 hours prior to treatment commencement.

Drug concentrations ranged from 3.2 μM to 0.025 μM, from 10 μM to 0.078 μM, from 1 μM to 0.0078 μM, from 80 nM to 0.625 nM, from 3 μM to 0.006 μM, from 1 μM to 0.0078 μM and from 8 nM to 0.0625 nM for Ara-C, auranofin, doxorubicin, methotrexate, midostaurin, pyrvinium pamoate and quizartinib, respectively in the single-drug treatment condition. Treatment effects were then evaluated in a combination setting in which decreasing concentrations of each compound were used (**Figure 3.4**).

The most common approaches adopted for assessing the effect of a combination are (1) Combination Subthresholding, (2) Highest Single Agent, (3) Response Additivity, and (4) Bliss Independence model. We determined the interaction between two compounds using the Bliss Independence model, which assumes that drugs act independently and have different sites of action, but contribute to common result.

Although it is one of the most popular models, one of the limitations is that drugs could have complex, multiple and unknown mechanisms of action thus this methodology in theory requires an a priori knowledge of detailed mechanisms of action in order to be sure that there is no mechanistic overlap between the agents.

The calculation of the combination index (CI) was calculated as $CI = \frac{E_A + E_B - E_A E_B}{E_{AB}}$. The E_A indicates the effect of compound A, E_B indicates the effect of compound B and E_{AB} the effect of the combination of both compounds. $CI < 1$ indicates synergism; $CI = 1$ indicates an additive effect, and $CI > 1$ indicates antagonism.¹⁸⁵

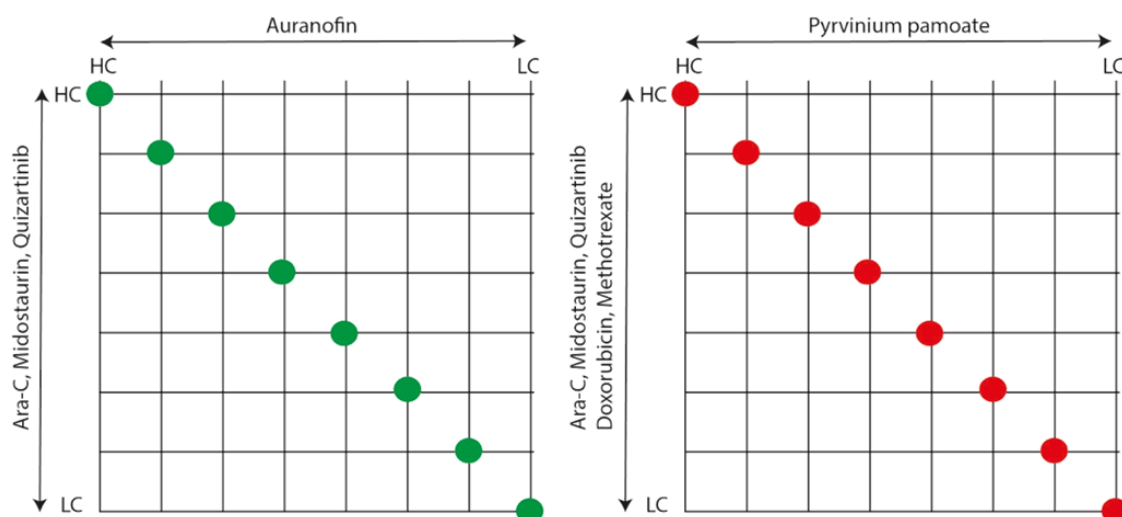


Figure 3.4: Drug combination setting

Cells were exposed to the indicated drugs at decreasing concentration for 72h. Dots on the lines indicate data points used to determine the cytotoxicity effects. HC, highest concentration; LC, lower concentration.

3.6 Cell cycle analysis

3.6.1 Bromodeoxyuridine (BrdU) staining

The flow cytometric analysis of the immunofluorescent staining of incorporated bromodeoxyuridine (BrdU) is an accurate method for the detection of proliferating cells. The BrdU, an analogue of the DNA precursor thymidine, is incorporated into newly synthesized DNA by cells entering into the S phase (DNA synthesis) of cell cycle. Specific anti-BrdU fluorescent antibodies are used to detect the incorporated chemical, thus indicating cells that are actively replicating their DNA. The incorporation of BrdU is normally coupled with a DNA dye staining such as Propidium Iodide (PI) to perform cell

cycle analysis by flow cytometry. The combination allows for the enumeration and characterization of proliferating cells (BrdU incorporation) in terms of their cell cycle phase (i.e., G0-G1, S, or G2-M phases identified by PI staining).

Ba/F3-WT and -ITD were pulsed with 10 μ M of BrdU; 10^6 cells were kept apart as an unpulsed control. Cells were incubated at 37°C with 5% CO₂ for 30 minutes. After 30 minutes of pulse, cells were washed twice with 1X PBS to remove unincorporated BrdU, and then resuspended at 10^5 cells/ml in fresh medium in the presence or absence of auranofin or pyrvinium pamoate. At each time point (1, 4, 8, and 12 hours after BrdU pulse), 10^6 cells were harvested, washed with 1X PBS, fixed using BD Cytofix/Cytoperm™ Buffer, washed, and stored at 4°C. Cells from all time points were simultaneously stained: after fixation, cells were permeabilized with BD Cytoperm Permeabilization Buffer Plus™, incubated with BD Cytofix/Cytoperm™ Buffer, treated with DNase to expose BrdU epitopes, stained with fluorochrome-conjugated anti-BrdU and with PI (DNA staining solution), resuspended in staining buffer and analysed using flow cytometry (FACSCelesta, FLOWJo10 software).

3.7 Immunoblotting

Cellular proteins were extracted in 1X Laemmli Buffer (2% SDS, 10% glycerol, 5% 2-mercaptoethanol, 0.002% bromophenol blue and 60 mM Tris HCl, pH. 6.8), and 3×10^5 lysed cells were loaded in each lane. Separated proteins were then transferred to Protran nitrocellulose membrane (GE Healthcare) for antibody probing.

Membranes were blocked by incubation with either 5% non-fat milk or 5% BSA in Tris-buffered saline, 0.1% Tween 20 (TBST) for one hour at room temperature (RT). Next, they were incubated with the primary antibodies diluted in either 5% non-fat milk or 5% BSA in 1X TBST at +4°C overnight, then washed three times with TBST and incubated with a

secondary antibody (anti-mouse, anti-rabbit or anti-goat, according to the primary antibody used) at the dilution of 1:10.000-20.000 in TBST for one hour at RT. The antibody was then visualized using enhanced chemiluminescence (ECL) western blotting detection reagents (Amersham). The signal was acquired using Chemidoc XRS + Imager (Biorad) and analysed with Image Lab software (Biorad).

3.8 Thioredoxin reductase assay

Ba/F3-WT and -ITD were treated with predetermined GI_{50} concentration of auranofin for 72 hours and then harvested for detecting thioredoxin reductase (TrxR) activity using Thioredoxin Reductase Assay Kit according to the manufacturer's instructions. In brief, TrxR catalyses the reduction of 5,5'-dithiobios (2-nitrobenzoic) acid (DTNB) with NADPH to 5-thio-2-nitrobenzoic acid (TNB^{2-}) that generates a strong yellow color ($\lambda_{max} = 412$ nm). TrxR-specific inhibitor is employed to determine TrxR specific activity as in biological samples other enzymes (i.e., glutathione reductases and glutathione peroxidase) can also reduce DNTB. Thus, the measurement of the total DNTB reduction by the sample in the presence or absence of TrxR specific inhibitor is performed. The difference between the two results indicates the reduction of DNTB by TrxR.

-Sample preparation

Samples were homogenized in cold assay buffer and supernatant was collected. In each well of a 96-well plate, 25 μ l of sample or 10 μ l of TrxR positive control were placed and the volume adjusted to 50 μ l with assay buffer. Two sets of samples were tested: the first with TrxR inhibitor for testing background enzyme activity, and the second without TrxR inhibitor for testing total DNTB reduction. Accordingly, 10 μ l of TrxR inhibitor or assay buffer were added.

-Reaction mix preparation and addition

In each well 40 μ l of the reaction mix were added and mixed.

<u>Reaction mix:</u>	assay buffer	30 μ l
	DNTB solution	8 μ l
	NADPH	2 μ l

-Standard curve preparation

A TNB standard curve was prepared by adding in duplicate in the 96-well plate 0, 2, 4, 6, 8, 10 μ l of the TNB standard and adjusting to a final volume of 100 μ l with assay buffer for generating 0, 10, 20, 30, 40, 50 nmol/well standards.

-Optical density measurement

The optical density (OD) was read on GloMax (Promega) after 20 and 150 minutes. The OD of the TNB²⁻ generated by TrxR was: $\Delta A_{412} = (A_{2AB} - A_{2INH}) - (A_{1AB} - A_{1INH})$; where AB indicates the assay buffer and INH the inhibitor.

-Data analysis

TNB standard curve was plotted and ΔB nmol of TNB get by applying the ΔA_{412} to the TNB standard curve. TrxR activity was calculated as: $\frac{\Delta B}{(T_2 - T_1) \times V}$ x sample dilution factor, where ΔB is the TNB amount from TNB standard curve, T_1 is the time of the first reading, T_2 is the time of the second reading, V is the pretreated sample volume added into the reaction well.

3.9 RNA extraction and reverse transcription

Cells were harvested, washed twice in cold 1X PBS and RNA was extracted using the Quick-RNATM MiniPrep kit (Zymo Research). RNA was quantified with Nanodrop and 1 μ g of template RNA was retrotranscribed into cDNA using random primers and the ImProm-IITM Reverse Transcription System (Promega).

3.10 DNA amplification through polymerase chain reaction

The splicing of *Xbp1* mRNA was detected by polymerase chain reaction (PCR), a powerful and sensitive technique for DNA amplification. cDNA was used as template. Amplification of a DNA fragment requires two oligonucleotides flanking the region of interest, working as primers for the DNA polymerase. Primers specific for mouse *Xbp1* detect three possible cDNA products: hybrid, unspliced and spliced isoforms. The former is generated by one strand of unspliced *Xbp1* and one strand of spliced *Xbp1* while the last two isoforms are formed by the annealing of a single strand cDNA with its complementary sequence (**Figure 3.6**). The 5' to 3' sequences of primers used to detect unspliced and spliced *Xbp1* mRNA were adapted from Samali et al, and are as indicated below.¹⁸⁶

Forward primer: GAACCAGGAGTTAAGAACACG

Reverse primer: AGGCAACAGTGTCAGAGTCC

Size of PCR products: unspliced XBP1 = 205 bp, spliced XBP1 = 179 bp.

Taq DNA polymerase enzyme was used for the PCR reaction.

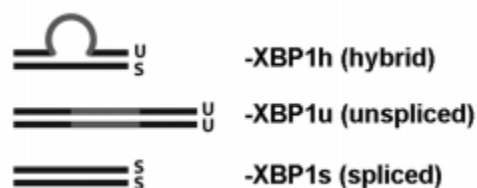


Figure 3.5: Isoforms of *Xbp1*

Schematic representation of the three possible cDNA products generated by primers flanking *Xbp1* spliced intron indicated in grey. Figure adapted from Chalmers et al Wellcome Open Res.2017 Oct 9;2:36.¹⁸⁷

<u>Reaction mix:</u>	template DNA	1 μ l
	reaction buffer	1X
	dNTPs	200 μ M
	forward primer	0.2 μ M

reverse primer	0.2 μ M
DNA polymerase	0.625 units
ddH ₂ O	to 25 μ l

DNA amplification was performed on a Mastercycler Nexus thermocycler using the following amplification protocol:

1. heat shock step	30''	at 95°C
2. denaturation step	30''	at 95°C
3. annealing step	60''	at 49°C
4. extension step	1'/kb	at 68°C
5. repeat 30 times steps from 2 to 4		
6. extension step	5'	at 68°C
7. end	hold	at 4°C

3.11 Agarose gel electrophoresis

Following the addition of 1/6 volume of 6X gel loading dye purple (NEW ENGLAND, BioLabs), DNA samples were loaded on 2% agarose gels along with DNA markers. The agarose powder for gel preparation was melted in 1X Tris-Borate-EDTA (TBE) buffer containing 1X Sybrsafe (Invitrogen) and run at 80-120 volts (V) until the desired separation was achieved. The DNA bands were visualized under a UV lamp (radiation wavelength 260 nm).

3.12 Real time PCR

Upon total RNA extraction and reverse transcription 20 ng of the resulting cDNA were used for each real-time PCR reaction together with 0.4 μ M primers, 10 μ L of SYBR Green Fast Reaction Mix (Applied Biosystems) diluted in a final volume of 20 μ L. Accumulation of fluorescent products was monitored by real-time PCR using the 7500 Fast Real-Time

PCR System (Applied Biosystems). All primers were designed using the online Universal Probe Library Assay Design Center by Roche Life Science and listed in **Table 3.1**.

Table 3.1 Primer used to analysed ER stress/ UPR and Wnt target genes

Gene	Forward	Reverse
<i>Atf4</i>	ATGATGGCTTGGCCAGTG	CCATTTTCTCCAACATCCAATC
<i>Atf6</i>	TCAAACAAGTCTTTATAATGCAATACC	GTCTTTGTACAGACAGACAACAAAGTT
<i>Chop</i>	GCGACAGAGCCAGAATAACA	GATGCACTTCCTTCTGGAACA
<i>Dnajb9</i>	CACAAAGATGCCTTTTCTACCG	TTAAACTTTTCAGCTTAATGACGTG
<i>Dnajc3</i>	AGACCGTCTTCCAGGAACTT	CCAGTCTATACCTGGACATACAGC
<i>Edem1</i>	GGTCTTCGAAGCTACGATAAGG	GGGCTGTTTGGGAATCAGTTATTA
<i>Perk</i>	CCTTGGTTTCATCTAGCCTCA	ATCCAGGGAGGGGATGAT
<i>Irel</i>	GAGGCCAAGAGCAAGCTAAC	CCATTGAGGGAGAGGCATAG
<i>Erp44</i>	TGTCCCTCTGTCCGAGAAA	GAAAAGGCAGTCCTTCTTCTGT
<i>Herpud1</i>	ACCTGAGCCGAGTCTACCC	CAACAGCAGCTTCCCAGAATA
<i>BiP</i>	CTGAGGCGTATTTGGGAAAG	TCATGACATTCCAGTCCAGCAA
<i>Pdia3</i>	GTGGCCACTGTAAGAATCTGG	TGACAATATTTGGATCTTTGCTG
<i>Ppp1r15b</i>	TGCTGGAGAAAGATACACCCATA	AATTCTTCCCATGGTCCTTTG
<i>Axin2</i>	GAGAGTGAGCGGCAGAGC	CGGCTGACTCGTTCTCCT
<i>Tcf4</i>	CATATTTGTGGCCATTGAAGG	GTCCCTAAGGCAGCCATTC
<i>Tbp</i>	CTGGAATTGTACCGCAGCTT	TCCTGTGCACACCATTTTTTC

3.13 *In vivo* experiments

Mice were maintained accordingly to the guidelines set out in Commission Recommendation 2007/526/EC, 18 June 2007, on guidelines for the accommodation and care of animals used for experimental and other scientific purposes. All experiments were performed in accordance with the Italian Laws (D.L.vo 116/92 and following additions), which enforces EU Directive 86/609 (Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulation, and administrative provisions of the member states regarding the protection of animals used for experimental and other scientific purposes. Experiments involving mice were performed following the approval of the Institutional Review Board and the Italian Ministry of Health (projects 877/15 and 506/18). Animals were bred and housed in the animal facility at the European Institute of Oncology (IEO) and Institute FIRC of Molecular Oncology (IFOM) campus. Animals received ad libitum food and drinking water, and were kept in a regimen of 12-hour light-and-darkness cycle. The animals were controlled twice a week to evaluate the presence of signs of illness and/or altered behaviour such as hunched posture, decreased activity, ears in backward position, laboured breathing and weight loss greater than 10%. Upon signs of acute distress, the animals were immediately euthanized, by inhalation of high concentrations of carbon dioxide. The endpoint of the experiments has never been animal death of the disease. The animals were sacrificed when the level of the blasts in blood reaches 70-80% of the cells.

3.13.1 Toxicity study

NOD-scid IL2Rgamma^{null} (NSG) mice aged 6 weeks were injected intraperitoneally (i.p.) with either vehicle (22 % DMSO in PBS) or auranofin (10 mg/Kg/day) or pyrvinium pamoate (0.5 mg/Kg/day, 0.25 mg/Kg/day, 0.126 mg/Kg/day and 0.06 mg/Kg/day), five times weekly for a total duration of three weeks. Mice were injected i.p with Ara-C (60

mg/Kg) for five days. Ara-C was dissolved in water. Mice were weighted once a week both during the treatment and for three weeks after the end of the treatment. The variable measured (weight) is continuous, thus we adopted an ANOVA one-way analysis. In this case, for highlighting differences equal to:

→ Effect size = 0.55

→ Fixing alpha = 0.05

A power of 0.8 was set, when the number of animals per group was equal to 8.

In particular for assessing the impact of pyrvinium pamoate treatment on mice survival, mice were divided as follow: control group (n=5), 0.5 mg/Kg of pyrvinium pamoate (n=4), 0.25 mg/Kg of pyrvinium pamoate (n=4), 0.126 mg/Kg of pyrvinium pamoate (n=4) and 0.06 mg/Kg of pyrvinium pamoate (n=4).

Moreover, for evaluating gender-related toxicity both female and male mice were divided as follow: control group (n=3), 10 mg/Kg of auranofin (n=4) and 0.25 mg/Kg of pyrvinium pamoate (n=3).

Both experiments have to be repeated (biological replicates) to obtain eight mice per group and highlight possible statistical significant differences.

3.13.2 *Xenograft* mouse model

NSG mice aged 6 weeks were injected intravenously (i.v.) with 10^6 of human primary AML cells were obtained following a written informed consent from the patients and approved by the local ethical committee. To monitor leukaemia development, peripheral blood (PB) was drawn periodically (at least 1 per month) from a small incision of the lateral caudal vein. Before the blood sampling, animals were heated up by a red light to dilate the veins. A volume of 100 μ l of PB was taken from each animal and was mixed with 20 μ l of 0.5 M EDTA in order to prevent it from clotting. PB samples were stained

with PE anti-mouse CD45 and APC anti-human CD45 in the presence of the vitality dye 7AAD for 30 minutes at 4°C. Next, to remove erythrocytes cells were incubated with red cell lysis buffer (150 mM NH₄Cl, 9.9 mM KHCO₃, 0.083 mM tetrasodium EDTA) for 10 minutes at 4°C. After incubation, cells were centrifuged at 1500 rpm at 4°C for five minutes. Supernatant was discarded and cells were resuspended in lysis buffer for 2 minutes at RT and then centrifuged at 1500 rpm at 4°C for five minutes. Supernatant was discarded and cells resuspended in PBS for flow cytometry analysis. Mice were assigned into treatment and control groups to form cohort characterized by similar average percentage of human CD45 (randomization).

Animals in the treatment group received i.p. administration auranofin (10 mg/Kg, 5mg/Kg and 2.5 mg/Kg) and pyrvinium pamoate (0.5 mg/Kg and 0.25 mg/Kg) daily, five times weekly for three weeks. Ara-C treated group received drug i.p daily at 60 mg/Kg. for five days. The control group were treated with 22% of DMSO in PBS according to the same schedule as the auranofin/pyrvinium pamoate treatment groups. Mice were weighted once a week during the treatment and the development of leukaemia was monitored by PB samples taken every 2-3 weeks after the end of the treatment and analysed by flow cytometry as indicated above. The endpoint of the experiments is never animal death of the disease. Animals were euthanized by CO₂ inhalation when signs of suffering and distress were apparent. As mentioned above the variable that we measured (weight and percentage of hCD45) are continuous, thus we adopted an ANOVA one-way analysis. In this case, for highlighting differences equal to:

→ Effect size = 0.55

→ Fixing alpha = 0.05

A power of 0.8 was set, when the number of animals per group is equal to 8.

In the first experiment that we performed mice were divided into five groups: control group (n=3), 10 mg/Kg of auranofin (n=3), 5 mg/Kg of auranofin (n=3), 2.5 mg/Kg of

auranofin (n=3), 0.5 mg/Kg of pyrvinium pamoate (n=3) and 60 mg/Kg of Ara-C (n=5).

In the second experiment, mice were divided as follow: control group (n=6), 10 mg/Kg of auranofin (n=4), 0.25 mg/Kg of pyrvinium pamoate (n=3) and 60 mg/Kg of Ara-C (n=4).

Both experiments have to be repeated (biological replicates) to obtain eight mice per group and highlight possible statistical significant differences.

3.14 Statistical analysis

Data from initial and final screenings were normalized using the Normalized Percent Inhibition (NPI) method.¹⁰⁶ The mean (first high-throughput screening) and the median (second high-throughput screening) of the replicates were calculated. Hits in the initial high-throughput screening were called based on two different criteria a Z score $> 5SD$ or a viability $< 20\%$; hits in the final screening were called based on a Robust Z score $> 5SD$ and a viability $< 60\%$. Data collected were expressed as the mean or median \pm SD.

Unpaired Student's t test was performed to determine statistic probabilities. GraphPad (Prism software) was used for statistical analysis. $p < 0.05$ was considered statistically significant difference.

4 Results

4.1 First high throughput screening: setting the experimental conditions

With the aim of identifying compounds that inhibit the growth of leukaemic cells, an initial HTS was performed using two different cell lines, MOLM13 and MV4-11, that are cell lines established from AML patients with FLT3-ITD.

The screening was performed on FLT3-ITD cell lines only without including a control of a *wild-type* FLT3 cell line, as initially all agents capable of killing AML cells lines were sought independently of whether they were specific to this type of leukaemia or inhibited the growth of all AMLs.

The seeding density in 384-well plates was the first parameter to be optimized for each cell line, considering that compounds would be added the day after seeding and that viability would be evaluated after further 72h. Cells were plated at three different concentrations in white 384-well plates and the CellTiter-Glo (CTG) luminescence assay was used to determine the number of viable cells. We chose the concentration of cells that results in an exponential growth four days after seeding. Based on the result of this experiment, both cell lines were seeded for the screening at 2500 cells/well and incubated at 37°C (**Figure 4.1**).

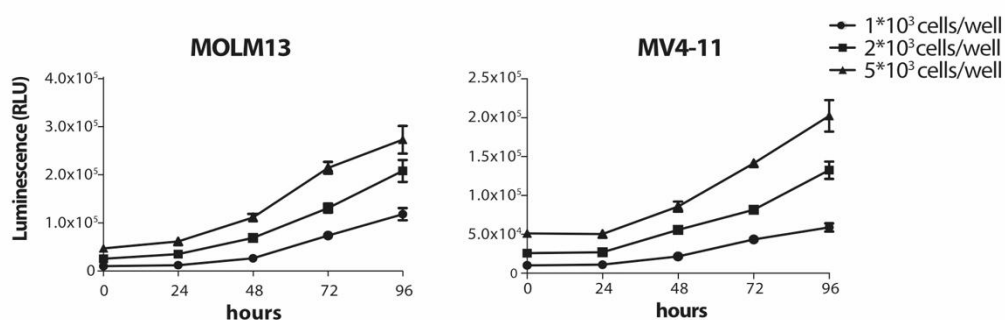


Figure 4.1: Optimization of seeding density of AML cells for the first HTS

Cells were seeded at three different concentrations (1000, 2000 and 5000 cells per well) in a volume of 40µl of appropriate medium in white 384-well plates and incubated at 37°C in the humidified atmosphere containing 5% of CO₂. Cell viability was determined by CTG assay. The experiment was repeated twice and data are shown as mean ± SD.

As positive controls for the drug screening we selected cytarabine (Ara-C), an anti-cancer drug used to treat different forms of leukaemia, and quizartinib, a specific FLT3 receptor inhibitor. A dose-response assay for *in vitro* assessment of sensitivity to these positive controls was performed. Both compounds inhibited the growth of MOLM13 and MV4-11 cells in a dose-dependent manner. The half-maximal inhibitory concentrations (IC₅₀) for MOLM13 and MV4-11 equalled 1.76 and 0.84 µM for Ara-C treatment, and 1.86 and 0.58 nM for quizartinib treatment, respectively (**Figure 4.2 A and B**).

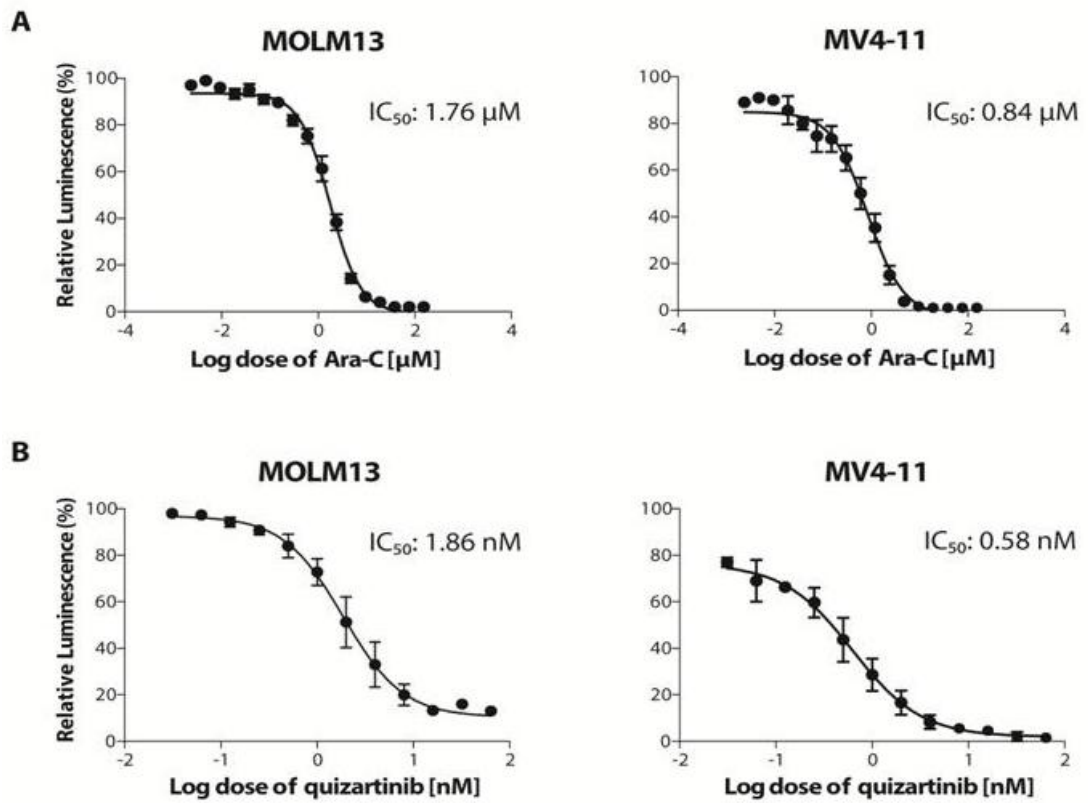


Figure 4.2: Dose-response curve of MOLM13 and MV4-11 to Ara-C and quizartinib
 (A) Cells were treated with different concentrations of Ara-C (from 0.002 μM to 153 μM), and (B) quizartinib (from 0.03 nM to 64 nM) for 72h. The viability of cells in all experiments was determined by CTG assay. IC_{50} values are shown for each compound. Experiment was done thrice independently and data are shown as mean \pm SEM.

4.2 First high-throughput drug screening to identify compounds that inhibit growth of AML cells expressing FLT3-ITD

A total of 3720 bioactive compounds were tested on MOLM13 and MV4-11 cells in duplicate at three different concentrations (i.e., 0.05 μM , 0.5 μM and 5 μM) for 72h to discover potential novel therapeutic agents against AML cells. Cell viability was evaluated using the CTG luminescence assay. The analysis of results was based on two criteria (Z-score $> 5\text{SD}$ or viability $< 20\%$) and led to the identification of 290 hits, namely all of the compounds that were able to inhibit the growth of both cell lines (**Figure 4.3**). Among these, 106 belonged to LOPAC1280 library, 141 to MicroSource Spectrum Collection, and 43 to Selleck Catalogue of New Items.

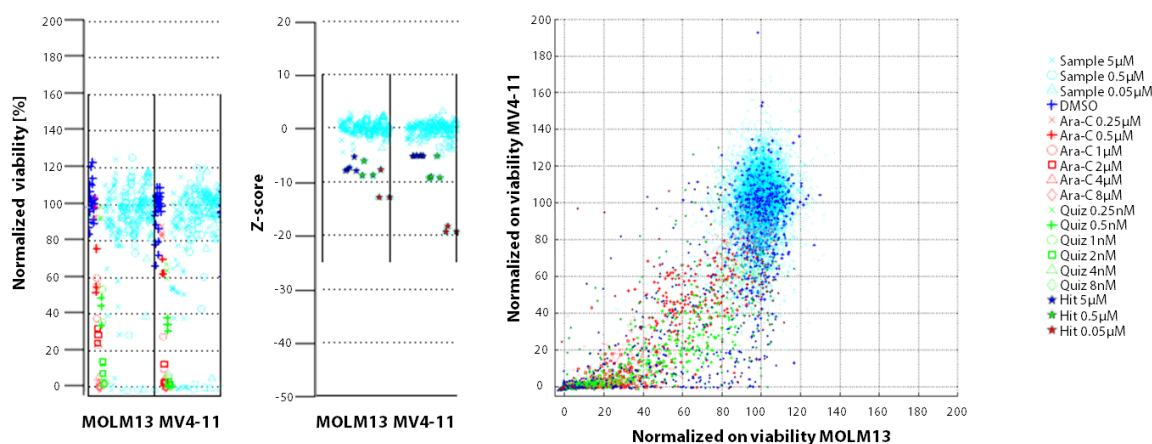


Figure 4.3: First HTS for the identification of potential novel drugs against AML cells

Representative images of screening results showing proliferation-inhibiting compounds (active compounds). The left-hand side panel symbolizes the normalized midpoint value for two plates. MOLM13 and MV4-11 cells were treated with three concentrations of compounds from the LOPAC1280 library (1.280 compounds), MicroSource Spectrum collection (2321 compounds) and Selleck Catalogue of New Items (74 compounds) for 72h; after which, cell viability was assessed by CTG assay. As positive controls, cells were treated with increasing concentration of Ara-C (red symbols) and quizartinib (green symbols), while DMSO treatment (0.2%) represents the negative control (blue symbols). Data were normalized using the NPI method. Light blue symbols indicate tested compounds. Results depicted in the central panel illustrate the calculated Z-score values for all the compounds tested on a plate for both cell lines. The hits were called based on Z-score $> 5\text{SD}$ or viability $< 20\%$. Blue, green and red stars indicate compounds active at 5 μM , 0.5 μM and 0.05 μM , respectively. The right-hand side panel points out the percentage of cell viability of MV4-11 plotted against the percentage of cell viability of MOLM13 upon treatment with screened compounds, positive and negative controls.

Classification of the 290 active compounds identified a wide variety of indications and targets, including anti-infective agents (antibacterial, antibiotics, antifungal, antiprotozoan and antiviral), and compounds regulating cytoskeleton/extracellular matrix, neurotransmission, phosphorylation, apoptosis and DNA/RNA metabolism. Notably, all of the chemotherapeutic drugs currently used for the treatment of AML patients emerged in the screening (amsacrine, cytarabine, daunorubicine, doxorubicin, etoposide, idarubicine, methotrexate, mitoxantrone and topotecan), confirming the reliability of the results.

We decided to disregard all compounds that were active only at the highest concentration (112 drugs) in order to avoid unspecific toxic effects. Moreover, we excluded eight compounds as they were duplicated (present in more than one library) and 13 drugs because they were for topical use (administered externally to body surface). We thus identified 157 bioactive compounds that were re-tested in the secondary screening (**Table 4.1**).

Table 4.1 Hits from first HTS

Library	Compounds				
LOPAC 1280	(R;R)-cis-Diethyl tetrahydro-2;8-chrysenediol	(S)-(+)-Camptothecin	2;3-Dimethoxy-1;4-naphthoquinone	5-Bromo-2'-deoxyuridine	5-Fluorouracil
	7-Cyclopentyl-5-(4-phenoxy) phenyl-7H-pyrrolo [2;3-d]pyrimidin-4-ylamine	A-134974 dihydrochloride hydrate	AC-93253 iodide	Aminopterin	Amsacrine hydrochloride
	Auranofin	Bay 11-7082	Bay 11-7085	BAY 61-3606 hydrochloride hydrate	Benzamil hydrochloride
	BNTX maleate salt hydrate	Brefeldin A from Penicillium brefeldianum	Caffeic acid phenethyl ester	Calcimycin	Calmidazolium chloride
	CGP-74514A hydrochloride	Clotrimazole	Cyclosporin A	Dihydrocapsaicin	Dihydroouabain
	Diphenyleneiodonium chloride	Ellipticine	Emetine dihydrochloride hydrate	Ganaxolone	IC 261
	Idarubicin	IMS2186	Indirubin-3'-oxime	L-741;626	Metrazoline oxalate
	JS-K	MRS 2159	Nocodazole	NSC 95397	Pentamidine isethionate
	Phorbol 12-myristate 13-acetate	R(-)-2;10;11-Trihydroxy-N-propylnoraporphine hydrobromide	Retinoic acid p-hydroxyanilide	Sanguinarine chloride	SKF 96365
	Staurosporine aglycone	SU 4312	SU 5416	SU 6656	Taxol
	Tetraethylthiuram disulfide	Thapsigargin	TTNPB	Tyrphostin A9	Vinblastine sulfate salt
MicroSource collection	10-Hydroxycamptothecin	Alprostadil	Amsacrine	Anthothecol	Antimycin A
	Artesunate	Berberine Chloride	Beta-Peltatin	Camptothecin	Clofarabine
	Colchicine	Convallatoxin	Cycloheximide	Dactinomycin	Dasatinib
	Daunorubicin	Deoxysappanone B 7;3'-Dimethyl Ether Acetate	Deoxysappanone B 7;4'-Dimethyl Ether	Digitoxin	Digoxigenin
	Digoxin	Dihydrocelastryl Diacetate	Dihydrorotenone	Disulfiram	Docetaxel
	Doxorubicin	Emetine	Epirubicin Hydrochloride	Etoposide	Floxuridine
	Fluorouracil	Gentian Violet	Homidium Bromide	Idoxurdine	Irinotecan Hydrochloride
	Mercaptopurine	Methotrexate(+/-)	Methylbenzethonium Chloride	Methylene Blue	Mitomycin C
	Mitoxantrone Hydrochloride	Mycophenolate Mofetil	Mycophenolic Acid	Ouabain	Paclitaxel
	Parosalaniline Pamoate	Paroxetine Hydrochloride	Patulin	Peruvoside	Phenethyl Caffate (Cape)
	Phenylmercuric Acetate	Piplartine	Proscillaridin	Pyrvinium Pamoate	Rotenone
	Salinomycin; Sodium	Sanguinarine Sulfate	Sappanone A Dimethyl Ether	Sirolimus	Strophanthidin
	Strophanthidinic Acid Lactone Acetate	Tandutinib Teniposide	Thimerosa	Thioguanine	Thioguanosine
	Thiotepa	Thiram	Tolonium Chloride	Topotecan Hydrochloride	Valinomycin
Vinblastine Sulfate	Vincristine Sulfate	Vinorelbine			
Selleck Catalogue of New Items	4SC-202	Anisomycin	AT13148	AT7519 HCl	Bardoxolone Methyl
	BAY-73-4506	Belinostat	Cabazitaxel	Cabozantinib malate	Carfilzomib
	Cerdulatinib	Combretastatin A4	Crizotinib	Dasatinib Monohydrate	Defactinib
	Dovitinib Lactate	GLPG0634 analogue	Ibrutinib	LDC1267	Lenvatinib
	LY3009120	MI-773	Pacritinib	Panobinostat	Picropodophyllin
	PND-1186	Ponatinib	Sunitinib	Trametinib	

4.3 Second screening: setting the experimental condition

We performed a second screening using a larger panel of AML cell lines, with or without FLT3 mutations (**Table 4.2**) to assess FLT3-specificity and to further narrow down the number of hits.

Table 4.2 Characteristics of patient-derived cell lines

Cell line	Gender	Age (years)	Status	Subclass (FAB)	Karyotype	FLT3 status
MOLM13	M	20	Relapse	M5	Complex including ins(11;9)(q23;p22p23)	FLT3-ITD
MV4-11	M	10	De novo	M5	48 XY, t(4;11)(q21;q23), +8, +19	FLT3-ITD
PL-21	M	24	De novo		Complex hyperdiploid	FLT3-ITD
MONO-MAC-6	M	64	Relapse	M5	Complex including t(9;11)(p22;q23)	FLT3-TKD (V592A)
THP-1	M	1	De novo	M5	Near-tetraploid t(9;11)(p22;q23)	FLT3 WT
OCI-AML2	M	65	De novo	M4	Complex hyperdiploid	FLT3 WT

Prior to carrying out the second screening, we determined the optimal seeding density for each cell line in the 96-well plate format to ensure exponential growth throughout the entire duration of the experiment (96h). Cells were plated at three/four different concentrations in white 96-well plates and cell viability was detected using CTG assay (**Figure 4.4**). Consequently, MOLM13, MV4-11, MONO-MAC6, THP-1 and OCI-AML2 cells were seeded at 1×10^5 /ml while PL-21 cells were seeded at 2×10^5 /ml for the secondary screening and incubated at 37°C.

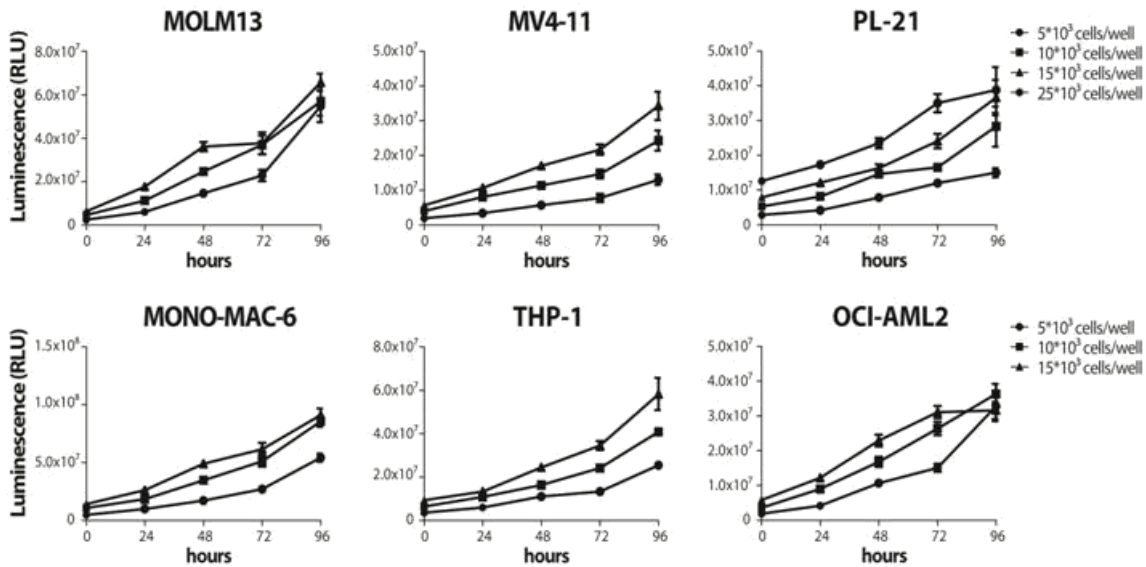


Figure 4.4: Optimization of seeding density of AML cell lines

Cells were seeded at four different concentrations (5×10^3 , 10×10^3 , 15×10^3 and 25×10^3 cells per well) in 100 μ l of appropriate medium in white 96-well plates and incubated at 37°C in the humidified atmosphere containing 5% of CO₂. The viability of cells was determined by using CTG assay. The experiment was repeated twice and data are reported as mean \pm SD.

As for the first screening, Ara-C and quizartinib were included as positive controls. We evaluated dose-response to determine the sensitivity of each cell line to these drugs. As expected, all of the AML cell lines responded to Ara-C treatment (**Figure 4.5**), but only cells bearing FLT3-ITD were responsive to quizartinib treatment. Of note, PL-21 cells did not respond to quizartinib treatment (**Figure 4.6**); it is possibly due to a mutation in KRAS gene that constitute a potential mechanism of TKI resistance.¹⁸⁸

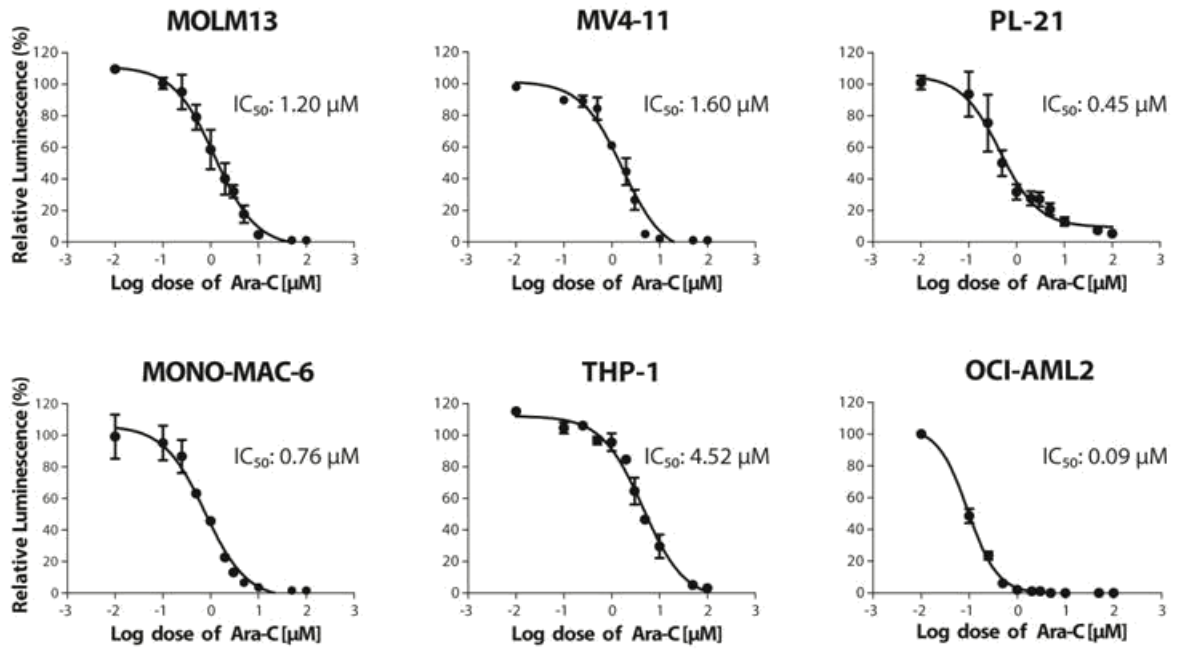


Figure 4.5: Dose-response curve of the six AML cell lines to Ara-C

Cells were treated with growing concentrations of Ara-C (from 0.001 μM to 100 μM) for 72h. The viability of cells was determined by CTG assay. IC_{50} values are shown for each cell line. The results are expressed as percentage luminescence normalized to the luminescence of DMSO treated cells and presented as mean value \pm SEM from two independent experiments.

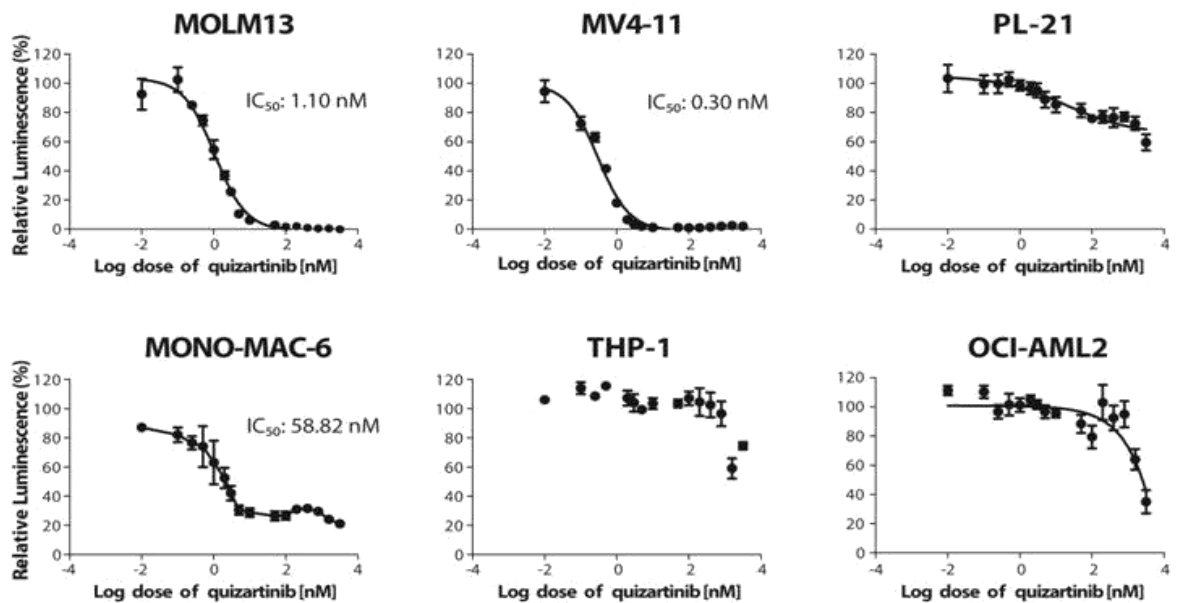


Figure 4.6: Dose-response curve of the six AML cell lines to quizartinib

Cells were treated with increasing concentrations of quizartinib (from 10 pM to 3.2 μM) for 72h. The viability of cells was determined by CTG assay. IC_{50} values are shown for each cell line. The results are expressed as percentage luminescence normalized to the luminescence of DMSO treated cells and presented as mean value \pm SEM from three independent experiments.

4.4 Second screening to identify compounds that preferentially inhibit the growth of FLT3 mutated cells

We treated the six AML cell lines in triplicate with compounds identified as hits in the first screening at two different concentrations (0.05 μ M and 0.5 μ M) at 72 hours. Hits were called based on a robust Z-score $> 5SD$. Next, we selected those compounds that acted preferentially on FLT3 mutated cell lines based on Z-score value. Thus, we identified 15 compounds: 11 from LOPAC1280 library and four from MicroSource Spectrum collection (Table 4.3).

Table 4.3 Hits from final screening

Library	Compounds		
LOPAC 1280	Auranofin	Bay 11-7085	BAY 61-3606 Hydrochloride Hydrate
	Benzamil Hydrochloride	BNTX Maleate Salt Hydrate	Calmidazolium Chloride
	IMS2186	SKF 96365	Staurosporine Aglycone
	SU 4312	SU 6656	
MicroSource Spectrum collection	Dasatinib	Deoxysappanone B 7,3'-Dimethyl Ether Acetate	Pyrvinium pamoate
	Tandutinib		

We discarded dasatinib, as it is already approved for the treatment of CML and is being tested in a number of trials in AML, staurosporine aglycone as it is a precursor of midostaurin, a drug recently approved for the treatment of patients affected by AML bearing FLT3-ITD mutations, and tandutinib because there was an ongoing clinical trial for AML treatment. Moreover, also deoxysappanone b 7,3'-dimethyl ether acetate was rejected as too little information is available on this compound. Five out 11 residual compounds have already been considered as potential anti-cancer drugs as they are tyrosine kinase inhibitors (i.e., Bay 61-3606, SU 4312 and SU 6656) or cell cycle inhibitors (i.e., Bay 11-7085 and IMS2186). Thus, we discarded these compounds as we considered more interesting the repurposing of a non-anticancer drug for the treatment of AML. The

remaining compounds belong to different therapeutic classes: a pain relief drug as auranofin, heart and circulatory drugs (i.e., benzamil hydrochloride, calmidazolium chloride and SKF 96365), opioids such as BNTX maleate salt hydrate and antiinfective agents as pyrvinium pamoate. Then, we looked at the effect of the residual six compounds on cell survival of the six AML cell lines, and decided to select those that reduce the viability by at least 40% in the majority of FLT3-ITD mutated cell lines. Accordingly, auranofin from LOPAC1280 library and pyrvinium pamoate from MicroSource Spectrum collection were chosen for further studies.

Name: auranofin

Alias: Ridaura

Primary therapeutic indication: inflammatory arthritis.

Side effects: diarrhea, skin rash, itching, abdominal cramps, nausea, vomiting, and inflammation or sores in the mouth.

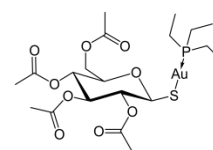
Extremely rare adverse effects: thrombocytopenia and bone marrow suppression.

Absorption and excretion: 85% of the absorbed gold is excreted in the faeces, the remainder in the urine.

Mechanism of action: (i) thioredoxin reductase (TrxR) enzyme inhibition inducing mitochondrial oxidative stress and apoptosis (ii) proteasome-associated deubiquitinases (DUBs) inhibition resulting in an accumulation of polyubiquitinated proteins and induction of apoptosis.

Repurposing attempts: human ovarian cancer, chronic lymphocytic/myelogenous leukemia, gastrointestinal tumour, non-small cell lung cancer.

Clinical trials: 12 clinical trials (clinicaltrial.gov, 2019/08/30). Treatment of different conditions such as HIV, amoebiasis, giardiasis, tuberculosis, pain, rheumatoid arthritis, ovarian and lung cancer, CLL, glioblastoma.



Name: pyrvinium pamoate

Alias: Povan or Vanquin

Primary therapeutic indication: pinworms infection.

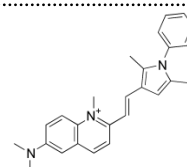
Side effects: skin rash, diarrhea, nausea, vomiting, stomach cramps and increased sensitivity of skin to sunlight.

Absorption and excretion: not absorbed from gastrointestinal tract and it is eliminated in faeces.

Mechanism of action: (i) inhibition of glucose uptake by pinworms (ii) energy and autophagy reduction (iii) inhibition of Wnt- β -catenin and Akt-related pathways.

Repurposing attempts: prostate, lung, breast, colon and blood cancer.

Clinical trials: none (clinicaltrial.gov, 2019/08/30).



4.5 Auranofin and pyrvinium pamoate cause loss of viability of AML cells

Three arbitrarily-chosen concentrations differing by a factor of 10 were tested in the first screening. They were reduced to the two lowest concentrations in the secondary screening. As these may not, however, be within the therapeutic range for all of compounds, we validated the results for the two chosen drugs by performing a dose-response curve focusing our attention on three representative cell lines: two bearing FLT3-ITD (MV4-11 and MOLM-13) and one with *wild-type* FLT3 (OCI-AML2). Briefly, every cell line was treated with increasing concentrations of each compound at a single time point of 72h and the viability was evaluated through CTG assay. Of these cell lines, MV4-11 cells were the most sensitive to auranofin treatment with an IC_{50} of 0.54 μ M followed by MOLM13 and OCI-AML2 with 1.35 μ M and 3.93 μ M, respectively (**Figure 4.7 A**). The analysis of pyrvinium pamoate sensitivity showed again that MV4-11 cells were more sensitive than the other two cell lines. The IC_{50} value for MV4-11 cells was 145.6 nM, while it was 218 nM, and 280 nM for OCI-AML2 and MOLM13, respectively (**Figure 4.7 B**).

These results suggest that both drugs are active in all AML cell lines studied, albeit at different concentrations, and display relatively little selective activity on FLT3-ITD expressing cells, more so in the case of auranofin.

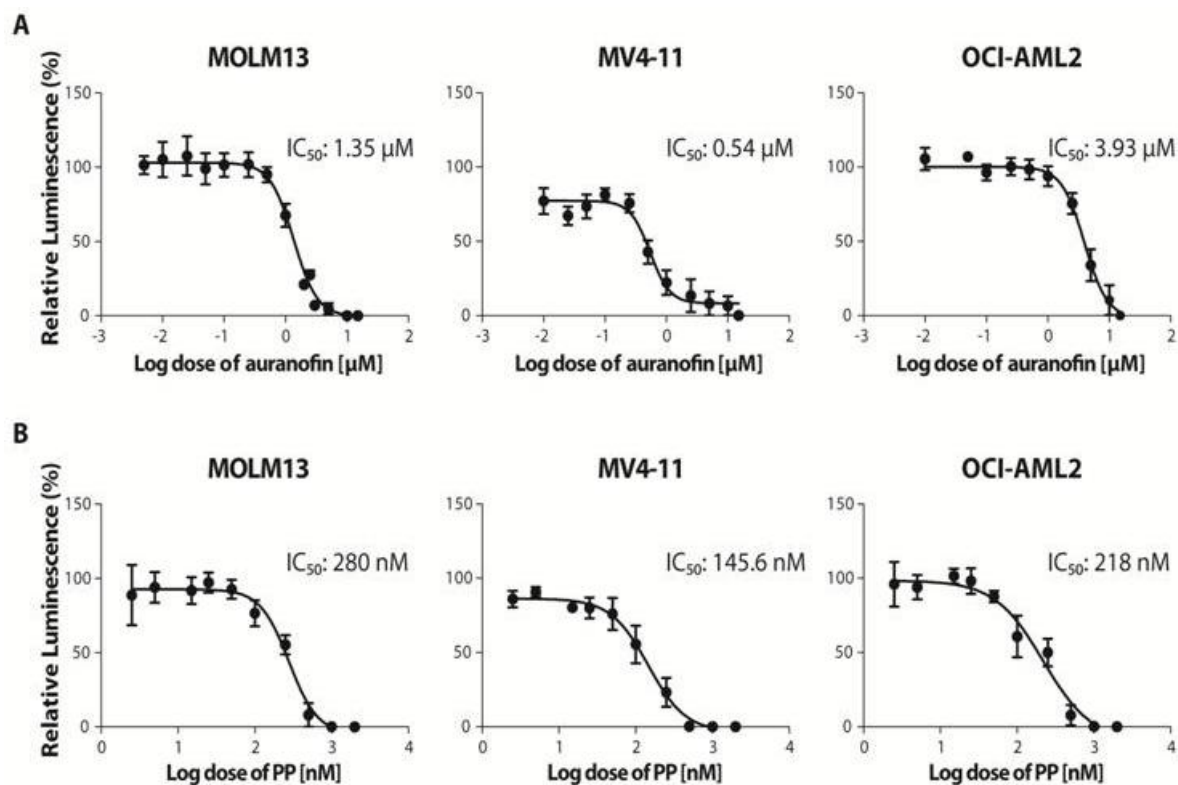


Figure 4.7: Dose-response curve to auranofin and pyrvinium pamoate of the three indicated AML cell lines

Auranofin and pyrvinium pamoate decrease cell proliferation in a dose-dependent manner. (A) Cells were treated with increasing concentration of auranofin (from 0.00025 μM to 15 μM) and (B) pyrvinium pamoate (from 0.025 μM to 2 μM). Cell viability was determined 72h after treatment using CTG assay. IC₅₀ values are indicated for each cell line. The results are expressed as percentage luminescence normalized to the luminescence of DMSO treated cells and presented as mean value ± SEM from six independent experiments.

For further characterization of drug activity, we next performed the clonogenic colony forming assay on cells pre-treated with the two compounds or with DMSO as a control. Both auranofin and pyrvinium pamoate reduced colony formation capacity for all three cell lines tested (**Figure 4.8**).

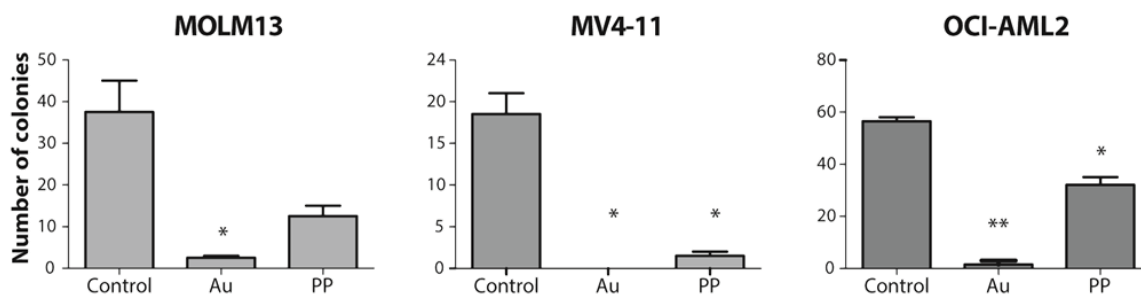


Figure 4.8: Auranofin and pyrvinium pamoate significantly decrease proliferation of AML cells on methylcellulose

Colony formation assay of different AML cell lines in the absence or presence of auranofin and pyrvinium pamoate. The dose of auranofin and pyrvinium pamoate used for MOLM13, MV4-11 and OCI-AML2 corresponded to their IC_{50} values. Data are reported as means \pm SD of two independent experiments. * $p < 0.05$, ** $p < 0.01$. Au, auranofin; PP, pyrvinium pamoate.

The CTG assay used in the experiments described above is a homogeneous method for estimating the quantity of viable cells based on the measurement of ATP concentration, an indicator of metabolically active cells. The assay cannot be used for determining whether auranofin or pyrvinium pamoate elicit a cytostatic or a cytotoxic effect on treated cell lines. We, therefore, characterized in detail the effects of drug treatment on cell growth. MOLM13, MV4-11 and OCI-AML2 were treated with IC_{50} of auranofin or pyrvinium pamoate for 24h, 48h and 72h or with DMSO as a control. Based on daily counts of live and dead cells, growth curves and bar graphs were plotted, respectively (**Figure 4.9 A and B**). The results showed that auranofin caused cell death whereas pyrvinium pamoate exerted a cytostatic action.

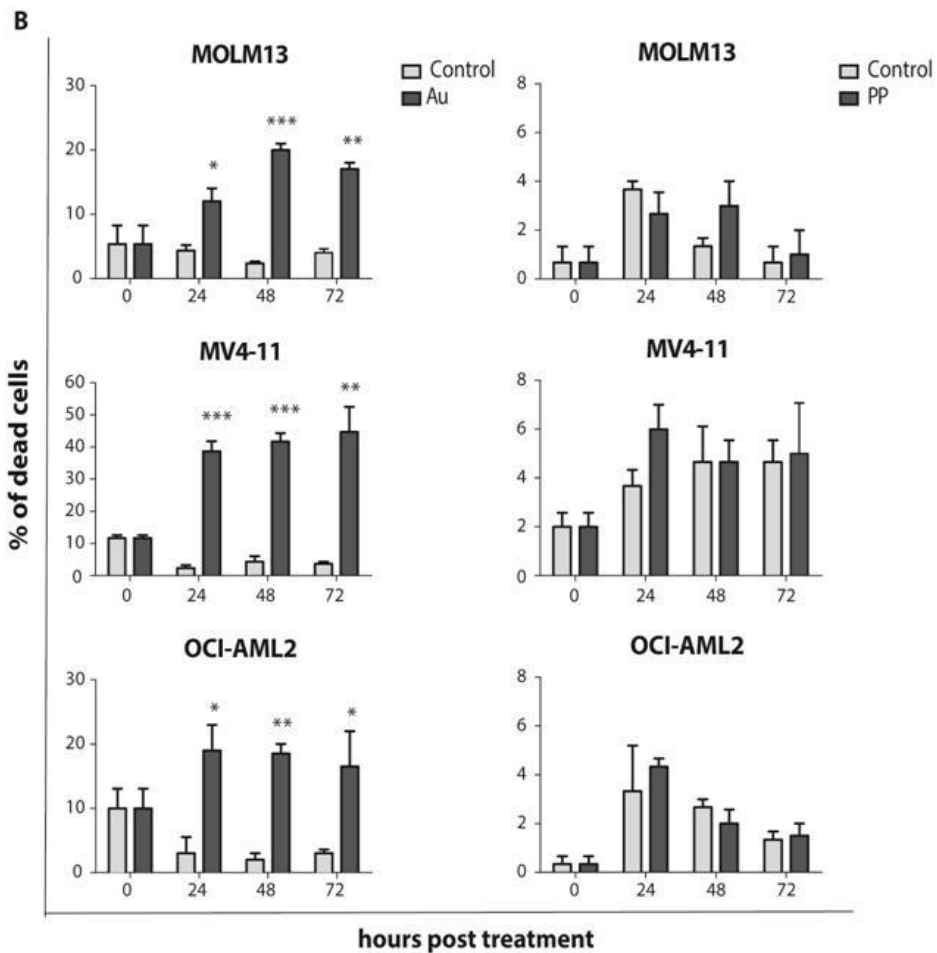
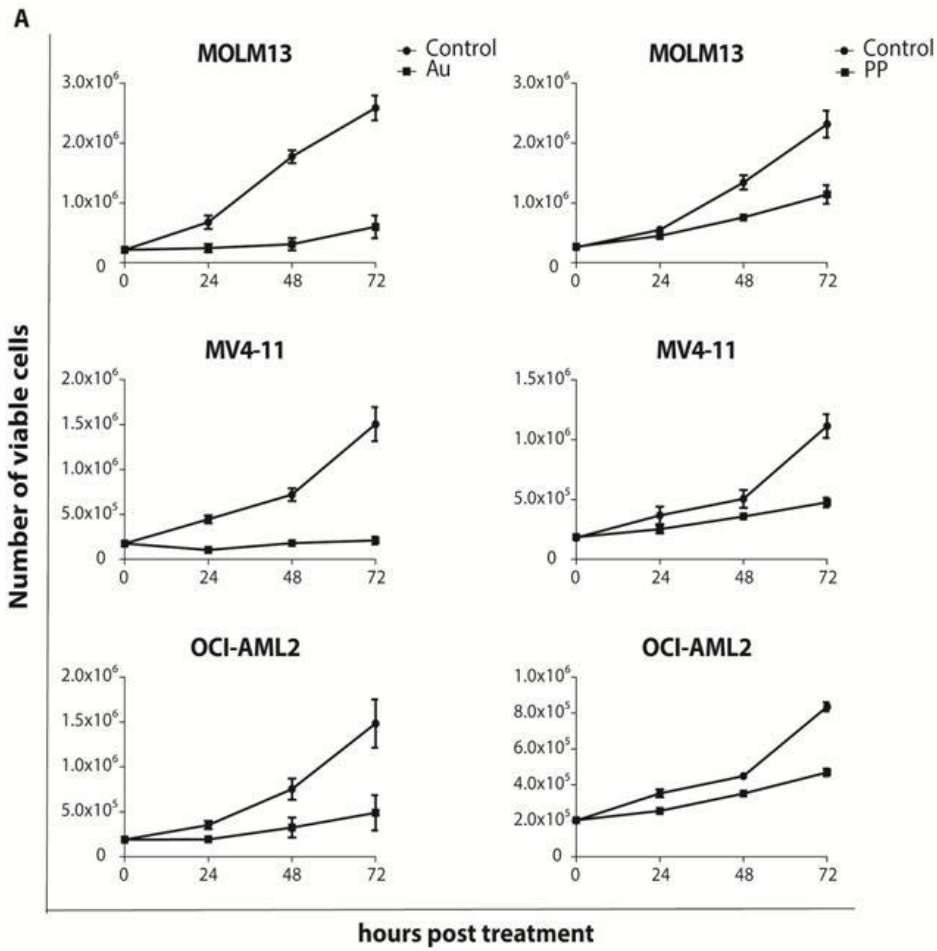


Figure 4.9: Cytotoxic and cytostatic effect of auranofin and pyrvinium pamoate

(A) Effect of auranofin and pyrvinium treatment on AML cells growth. Cells were treated with their IC_{50} of auranofin (left-hand side panel) and pyrvinium pamoate (right-hand side panel). Cell viability was determined 24h, 48h and 72h after treatment using trypan blue staining and manual count. The results are presented as mean value \pm SEM from two independent experiments. (B) Cell death induction by auranofin and pyrvinium pamoate. Cells were treated with their IC_{50} of auranofin (left-hand side panel) and pyrvinium pamoate (right-hand side panel). Dead cells were detected by trypan blue staining 24h, 48h and 72h after treatment and manual count. The results are presented as mean value \pm SEM from two independent experiments. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. Au, auranofin; PP, pyrvinium pamoate

4.6 The efficacy of treatment with selected-drugs combined with agents used in standard AML therapy

Combination therapy allows dose reduction thus decreasing the incidence and severity of side effects, and prevents the development of resistance. To assess whether combination therapy would provide a significant advantage over single agents, we treated AML cells with the selected compounds together with different widely used anticancer drugs (i.e., Ara-C, doxorubicin, methotrexate) or with well-known FLT3 inhibitor i.e., quizartinib.

Ara-C is one of the drugs used as the frontline treatment of AML. We investigated whether co-treatment with Ara-C + auranofin or pyrvinium pamoate resulted in synergy, additivity or antagonism. Cells were exposed to auranofin or pyrvinium pamoate and Ara-C at varying stoichiometry. Based on combination index (CI) calculation, a synergistic effect was observed for Ara-C + auranofin in all AML cells (**Figure 4.10 left-hand side panel**), while only a possible additive effect was uncovered for Ara-C + pyrvinium pamoate in MV4-11 and OCI-AML2 cells (**Figure 4.10 right-hand side panel**).

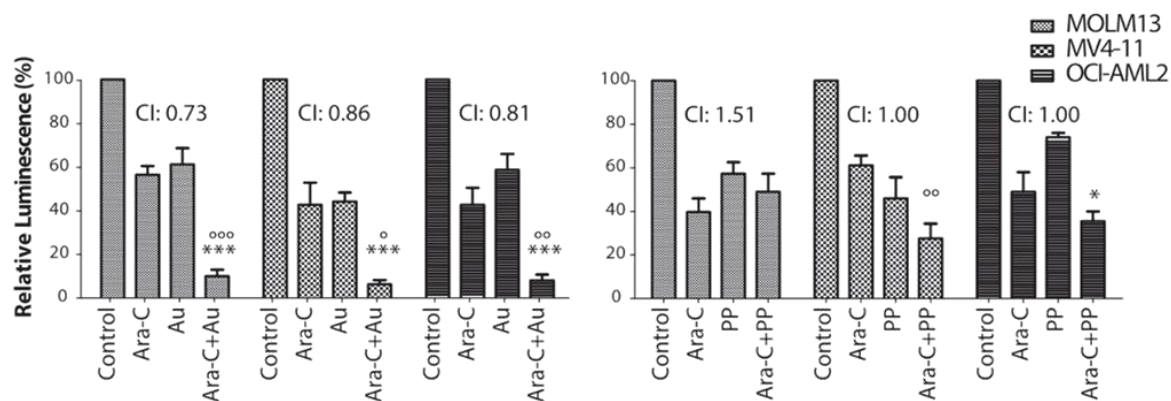


Figure 4.10: Combination treatment with Ara-C + auranofin or pyrvinium pamoate

The indicated cell lines were treated for 72h with single compounds, their combination, or DMSO alone as control. CTG assay was used to assess the effect of each treatment on the cell culture viability. Left-hand side panel, Ara-C/auranofin; right-hand side panel, Ara-C/pyrvinium pamoate combination. The bar graph represents the effect of one of the eight concentrations tested. CI values are shown for each combination. The results are presented as mean value \pm SEM from three independent experiments. In all panels, symbols (°) indicate significance versus Ara-C; asterisks (*) indicate significance versus auranofin or pyrvinium pamoate; one symbol, $p < 0.05$; two symbols, $p < 0.01$; three symbols, $p < 0.001$; four symbols, $p < 0.0001$. Au, auranofin; PP, pyrvinium pamoate, CI, combination index.

Recently, midostaurin, a non-specific tyrosine kinase inhibitor, received FDA approval for the treatment of AML with FLT3-ITD mutations. Indeed, midostaurin significantly prolongs survival of FLT3-mutated AML patients when combined with conventional induction and consolidation therapies.⁶⁵ We confirmed the cytotoxic effect of midostaurin on AML cell lines. Briefly, the cells were treated with increasing concentrations of midostaurin at a single time point of 72h and the viability was evaluated through CTG assay (**Figure 4.11**). We established that midostaurin suppresses AML cell growth in a dose-dependent manner. Next, we studied the effect of combining midostaurin and auranofin or pyrvinium pamoate. We treated cells, as described above for Ara-C, with midostaurin and auranofin (**Figure 4.12 left-hand side panel**) or pyrvinium pamoate (**Figure 4.12 right-hand side panel**) at varying stoichiometry but failed to detect any synergistic effect on AML cell lines. A nearly additive effect was observed in MOLM13 cells upon combination of auranofin or pyrvinium pamoate with midostaurin.

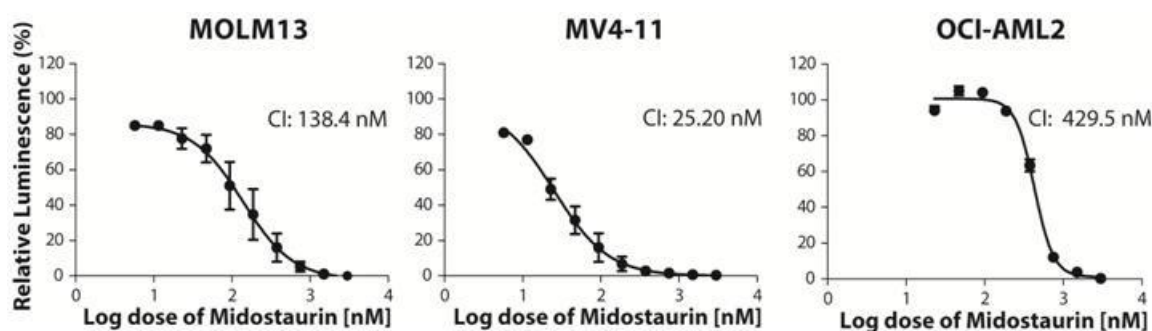


Figure 4.11: Midostaurin suppresses the growth of AML cells

Dose-response curve. Cells were treated with different concentrations of midostaurin (from 3 μ M to 5 nM) for 72h. The viability of cells was determined by CTG assay. Results are expressed as percentage of luminescence normalized to the luminescence of DMSO treated cells and presented as mean value \pm SEM, from four independent experiments. IC₅₀ values are shown for each cell line.

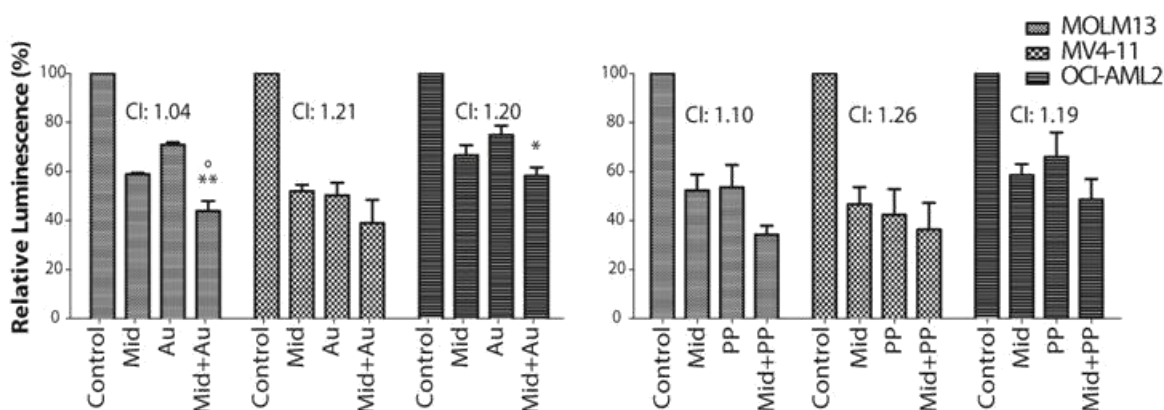


Figure 4.12: Combination treatment with midostaurin and auranofin or pyrvinium pamoate

The indicated cell lines were treated for 72h with single compounds, their combination, or DMSO alone as control. CTG assay was used to assess the effect of each treatment on the cell viability. Left-hand side panel, midostaurin/auranofin; right-hand side panel, midostaurin/pyrvinium pamoate combination. The bar graph represents the effect of one of the eight concentrations tested. CI values are shown for each combination. The results are presented as mean value \pm SEM from three independent experiments. In all panels, symbols (°) indicate significance versus midostaurin; asterisks (*) indicate significance versus auranofin or pyrvinium pamoate; one symbol, $p < 0.05$; two symbols, $p < 0.01$. Au, auranofin; Mid, midostaurin; PP, pyrvinium pamoate, CI, combination index.

Quizartinib is a potent FLT3 inhibitor currently under investigation in childhood AML and other myeloid malignancies. It has a favourable bioavailability and pharmacokinetics. We detected a nearly additive effect in MOLM13 and MV4-11 upon combination of quizartinib with auranofin (Figure 4.13 left-hand side panel) whereas a moderate

synergism and a nearly additive effect were observed upon combination of quizartinib with pyrvinium pamoate in MOLM13 and MV4-11 cells, respectively. (**Figure 4.13 right-hand side panel**).

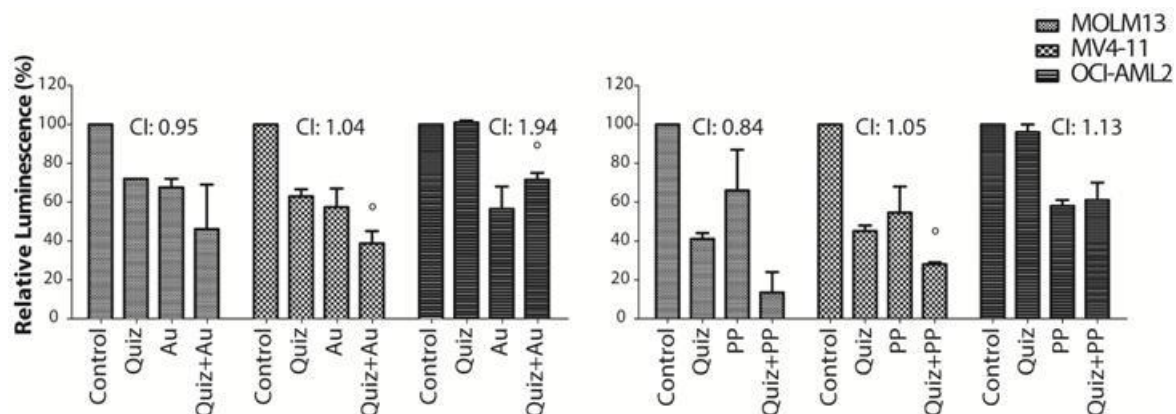


Figure 4.13: Combination treatment with quizartinib and auranofin or pyrvinium pamoate
The indicated cell lines were treated for 72h in the presence of compounds as single agents or in combination, or DMSO alone as control. CTG assay was used to assess the effect of each treatment on the cell culture viability. Left-hand side panel, quizartinib/auranofin; right-hand side panel quizartinib/pyrvinium pamoate combination. The bar graph represents the effect of one of the eight concentrations tested. CI values are shown for each combination. The results are presented as mean value \pm SEM from two independent experiments. Symbols (°) indicate significance versus quizartinib; $p < 0.05$. Au, auranofin; PP, pyrvinium pamoate; Quiz, quizartinib; CI, combination index.

Given that pyrvinium pamoate did not synergise with any of the agents tested so-far, we considered combining it with other anti-cancer drugs, i.e., doxorubicin and methotrexate. Doxorubicin is an anthracycline antibiotic adopted against disseminated neoplastic conditions including ALL, AML, malignant lymphoma, breast, ovarian, thyroid, bladder and stomach cancers. Methotrexate, instead, is an antimetabolite widely used for the treatment of various types of cancers as well as leukaemia, lymphoma, osteosarcoma, breast and lung cancers. CTG assay to determine sensitivity and dose-response profile of the studied cell lines to these known anti-cancer drugs was performed. Both compounds decreased cell viability in a dose-dependent manner (**Figure 4.14 A and B**). Subsequently, we treated cells with increasing concentrations of doxorubicin or methotrexate and pyrvinium pamoate for 72h. A nearly additive effect was established in all AML cells upon combination of doxorubicin with pyrvinium pamoate (**Figure 4.15 left-hand side panel**). No synergy or additivity was observed for methotrexate + pyrvinium pamoate combination

(Figure 4.15 right-hand side panel).

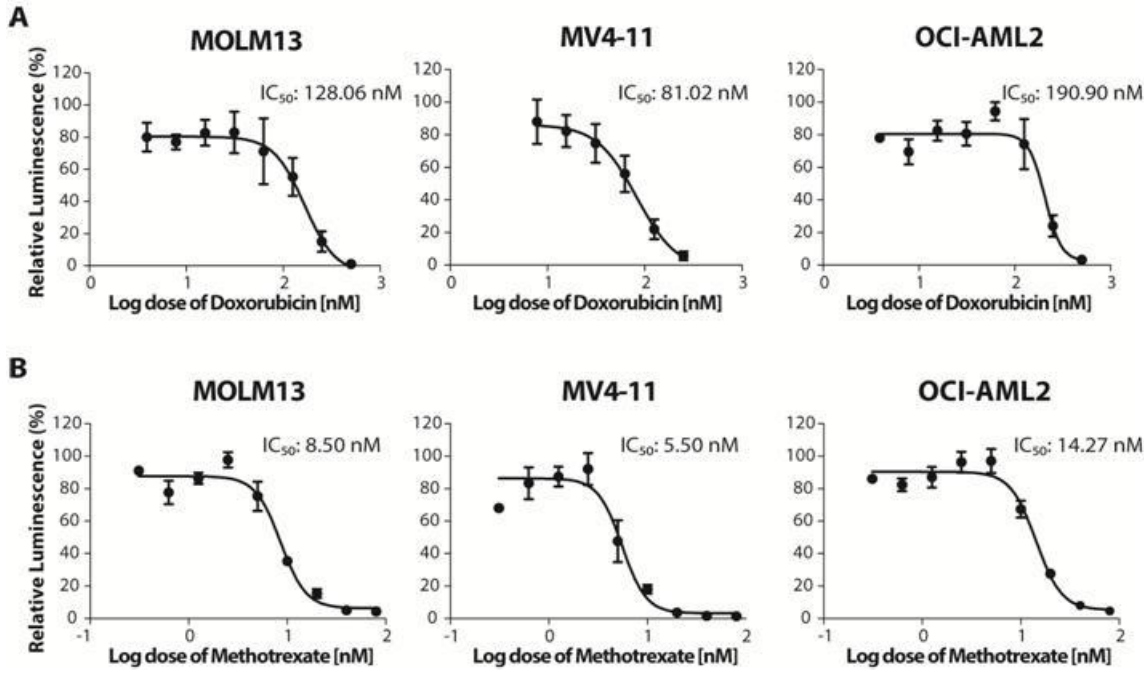


Figure 4.14: Dose-response curve of the indicated AML cell lines to doxorubicin and methotrexate

(A) Cells were treated with different concentrations of doxorubicin (from 0.004 μM to 1 μM) and (B) methotrexate (from 0.30 nM to 80 nM) for 72h. The viability of cells was determined by CTG assay. IC_{50} values are shown for each cell line. Results are expressed as percentage luminescence normalized to the luminescence of DMSO treated cells and presented as mean value \pm SEM from three independent experiments.

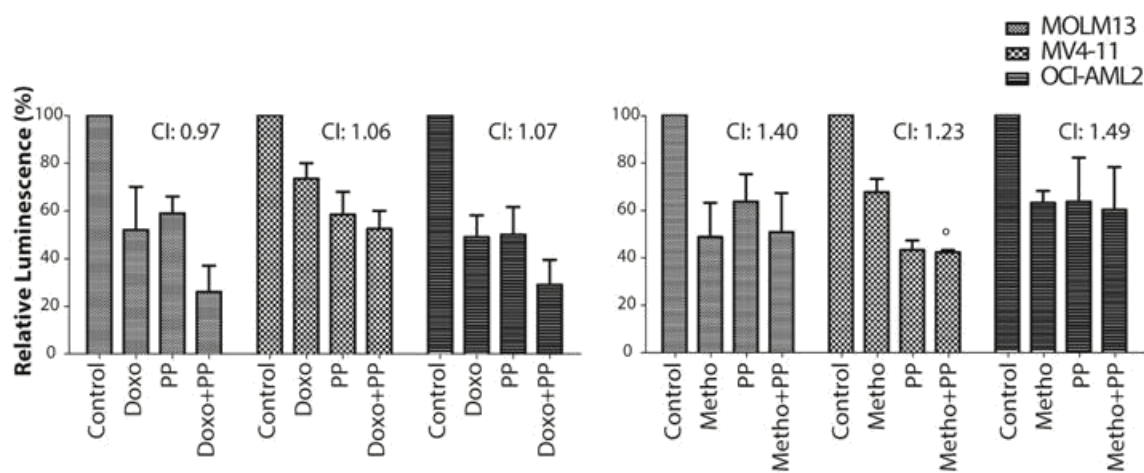


Figure 4.15: Combination treatment of doxorubicin or methotrexate with pyrvinium pamoate
 The indicated cell lines were treated for 72h with a single compound, their combination, or DMSO alone as control. CTG assay was used to assess the effect of each treatment on the cell viability. Left-hand side panel doxorubicin/pyrvinium pamoate; right-hand side panel, methotrexate/pyrvinium pamoate combination. The bar graph represents the effect of one of the eight concentrations tested. CI values are shown for each combination. The results are presented as mean value \pm SEM from three independent experiments. Symbols ($^{\circ}$) indicate significance versus

4.7 The effect of auranofin and pyrvinium pamoate in *xenograft* mouse model

Given that the *in vitro* experiments have shown promising anti-cancer activity of both auranofin and pyrvinium pamoate, we next investigated the *in vivo* effect of the selected-compounds using NOD-scid IL2rg^{null} (NSG) *xenograft* mouse model. We injected 10⁶ of primary human AML cells with *wild-type* NPM and FLT3-ITD mutation in the caudal vein of 20 NSG mice by intravenous injection. The engraftment was assessed in the peripheral blood (PB) by measuring the percentages of the human CD45 (hCD45) positive cells by FACS. Once the hCD45 positive cells reached maximum 1% in the PB, approximately one month post-transplant, mice were randomized into six groups and treated with solvent (22% of DMSO in PBS), auranofin at three different concentrations (10 mg/Kg, 5 mg/Kg, 2.5 mg/Kg), or pyrvinium pamoate 0.5 mg/Kg or Ara-C 60 mg/Kg. All compounds, with the exception of Ara-C, were given daily by i.p. injection five times a week for three weeks. Ara-C was given intraperitoneally for five consecutive days starting on the same day as the other groups. The concentration of all agents was established based on the data reported in the literature.^{189–193} Results showed that the concentration of pyrvinium pamoate may be toxic as all of the mice in the PP group died two or three days after the treatment commencement. Surprisingly, the week after the end of the treatment mice of both control and 5 mg/Kg auranofin group died (**Figure 4.16**). After three weeks of treatment the level of hCD45 in the PB was measured and ascertained to be low (maximum 1.6%) by FACS (**Figure 4.17**). Thus, we assumed that the death was not attributable to the

development of leukaemia.

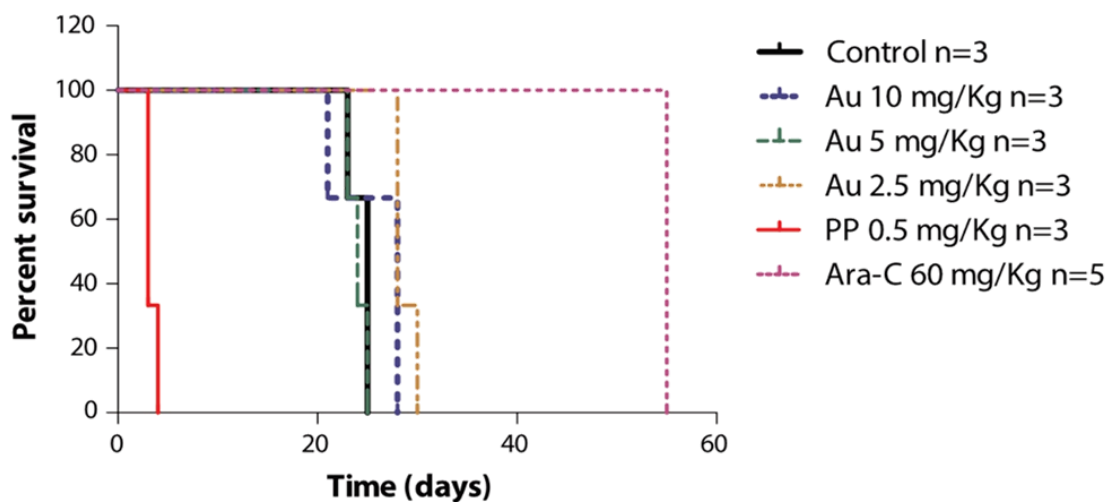


Figure 4.16: Impact of auranofin and pyrvinium pamoate treatment on mice survival
Kaplan-Meier survival plot of xenograft NSG mice treated with auranofin, pyrvinium pamoate or Ara-C compared to the untreated mice (control). Au, auranofin; PP, pyrvinium pamoate.

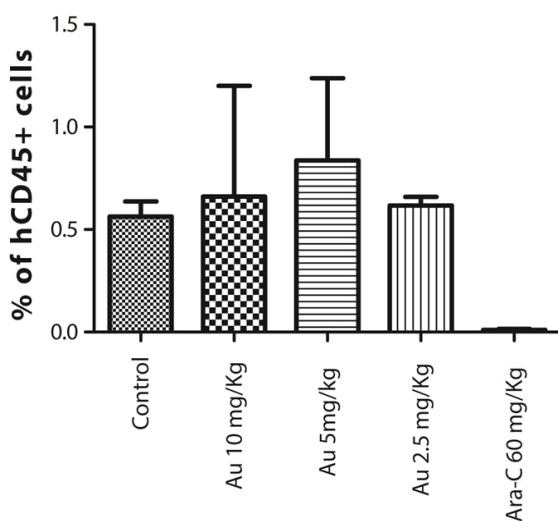


Figure 4.17: Leukaemia cell burden evaluation upon compounds treatment
Xenograft NSG mice were treated with auranofin, Ara-C or DMSO as control. The percentage of hCD45 positive cells in the PB was evaluated by flow cytometry. Mean \pm SEM are reported. Au, auranofin.

Mice treated with Ara-C survived for five weeks following treatment and were sacrificed when the level of hCD45 in the PB was 70%.

To assess the possible toxicity of pyrvinium pamoate, male NSG mice were treated by i.p. injection with four different concentrations of pyrvinium pamoate: 0.5mg/Kg, 0.25 mg/Kg, 0.125 mg/Kg and 0.06 mg/Kg following the experimental design described above. We proved that the highest concentration was toxic as all mice treated with 0.5 mg/Kg of PP died within the second week of treatment. However, the other concentrations seemed to be well tolerated as no mice died during the three weeks of treatment and up to three weeks post-treatment (Figure 4.18). Moreover, the body weight remained relatively stable during and after the treatment and no signs of pain/distress were noticed (Figure 4.19).

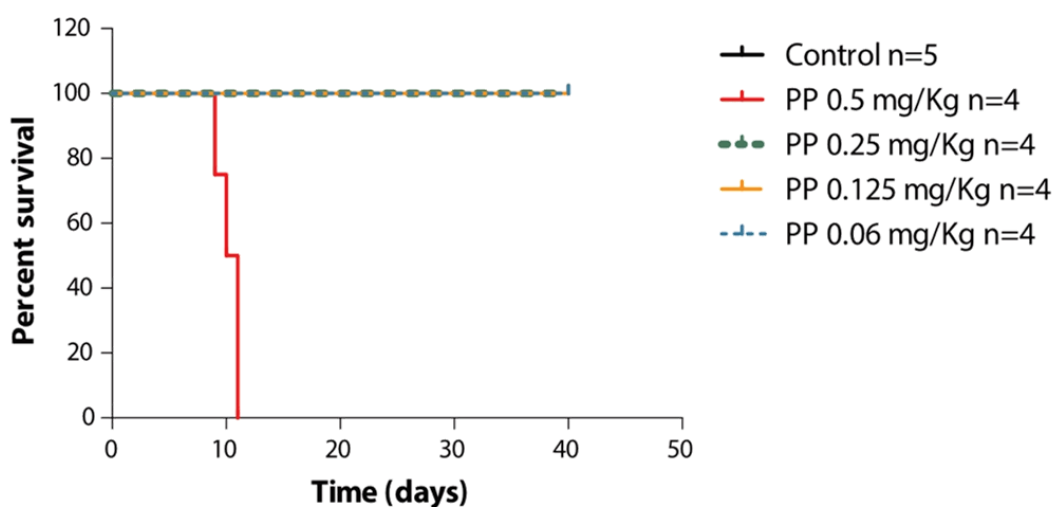


Figure 4.18: Impact of pyrvinium pamoate treatment on mice survival

Kaplan-Meier survival plot of NSG mice treated with indicated concentration of pyrvinium pamoate (five times a week for three weeks) compared to the untreated mice (control). PP, pyrvinium pamoate.

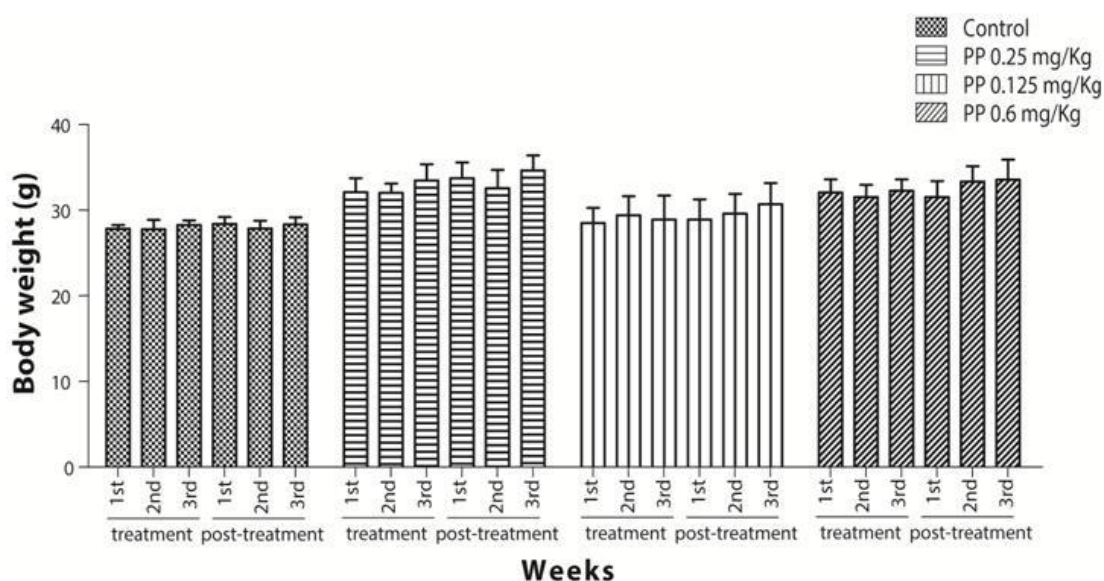


Figure 4.19: Effect of pyrvinium pamoate treatment on mice body weight
 Body weight was recorded every week. Mean \pm SD are reported. PP, pyrvinium pamoate.

Thus, we chose 0.25 mg/Kg as the concentration of PP to be used in further investigations. Next, to determine if gender-related toxicity of the compounds at the chosen concentrations i.e., 10 mg/Kg for auranofin and 0.25 mg/Kg for PP exists, we treated both female and male NSG mice with the experimental procedure explain above. No mice died during the treatment and up to three weeks post-treatment. Furthermore, no variation in body weight was observed either in female or male mice (**Figure 4.20A and B**). We concluded that both drugs are well tolerated regardless mouse's sex.

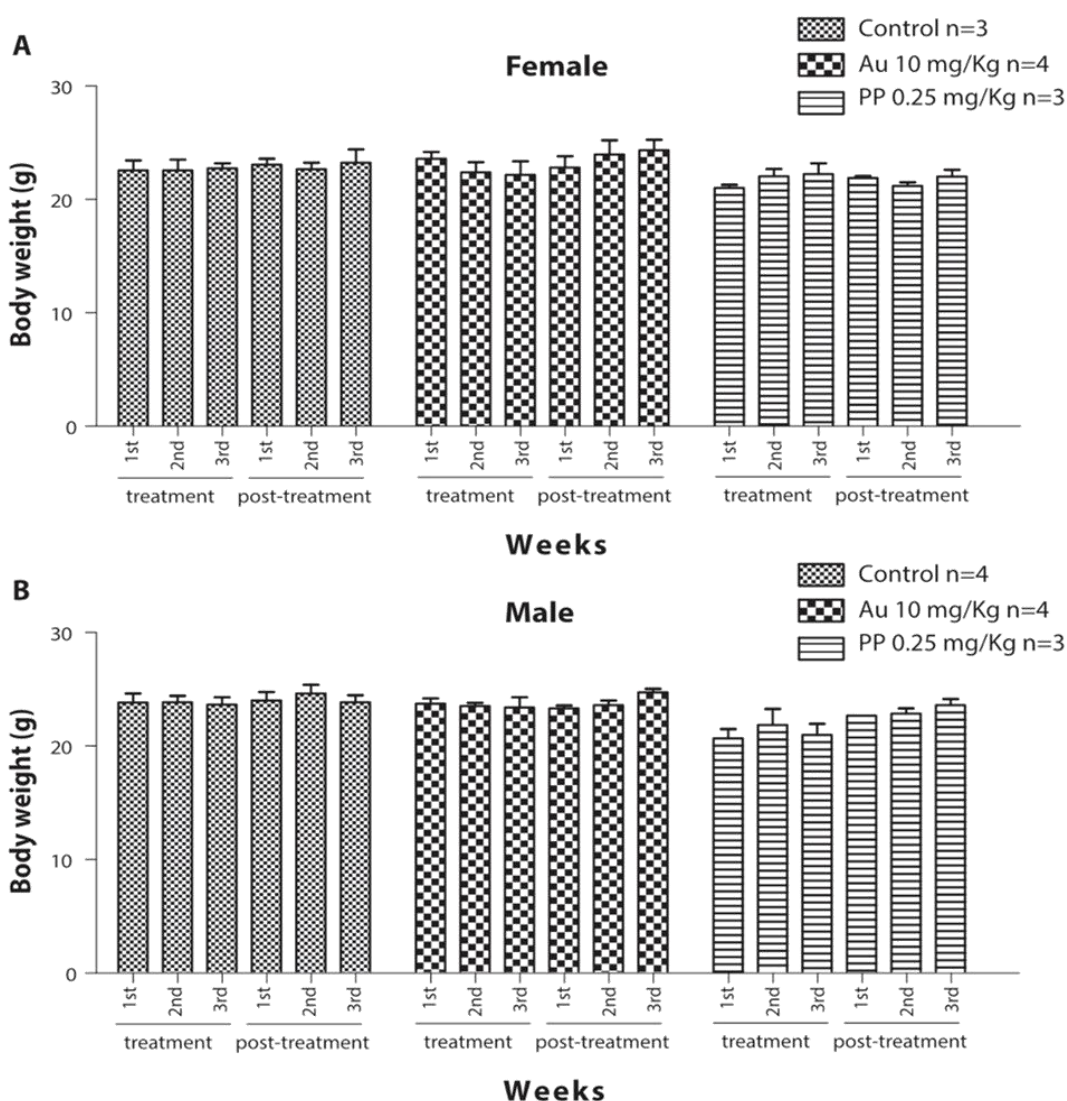


Figure 4.20: Effect of auranofin and pyrvinium pamoate treatment on mice body weight
 Body weight was recorded every week both for (A) female and (B) male mice. Mean \pm SD are reported. Au, auranofin; PP, pyrvinium pamoate.

Upon the completion of the toxicity tests, we inoculated 10^6 of primary human AML cells (NPM *wild-type* and FLT3-ITD) by i.v. injection into 24 female NSG mice. The engraftment was assessed in the PB by measuring the percentages of hCD45 positive cells by FACS. As in the first experiment, once the hCD45 reached maximum 1% in the PB, mice were randomized into four groups and treated with solvent (22% of DMSO in PBS), 10 mg/Kg of auranofin, 0.25 mg/Kg of pyrvinium pamoate or 60 mg/Kg of Ara-C. Unexpectedly, all mice in the control, auranofin and pyrvinium pamoate groups died or were sacrificed due to apparent suffering within the second week of treatment (**Figure 4.21**). Of note, mice from Ara-C group were sacrificed when all mice belonging to the control, auranofin or pyrvinium pamoate cohort died or were sacrificed to compare the level of hCD45 cells among the groups. We discovered an incongruence between the percentage of hCD45 positive cells in the PB and in the hemopoietic organs such as spleen and bone marrow (BM) (**Figure 4.22**). In fact, although the percentage of hCD45 in the PB remained very low, an infiltration level of 70% was detected by FACS in the BM. Thus, one possible explanation of the failure of both experiments aiming at assessing the efficacy of the compounds is that mice were already compromised prior to the beginning of the treatment due to the development of the leukaemia. Ara-C treatment reduced the burden of leukaemia compared to the other compounds (**Figure 4.22**), confirming its efficacy in the treatment of AML.

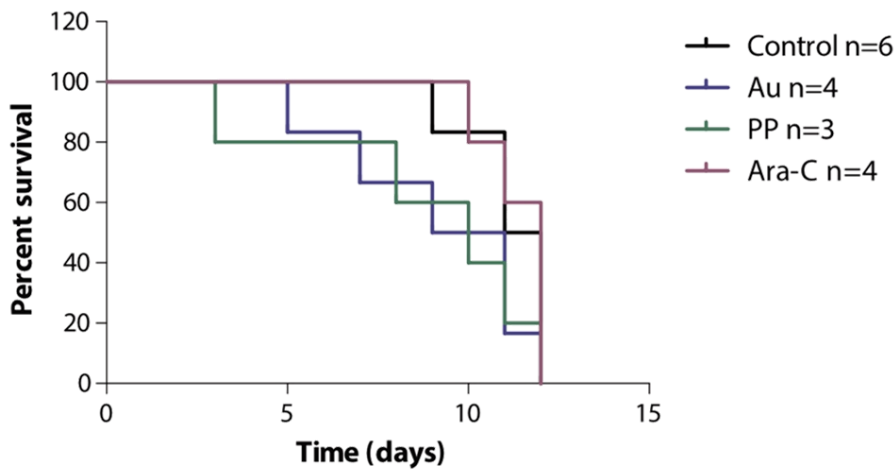


Figure 4.21: Impact of auranofin and pyrvinium pamoate on xenograft mice survival
 Kaplan-Meier survival plot of xenograft NSG mice treated with indicated concentration of pyrvinium pamoate and auranofin (five times a week for three weeks) compared to the untreated mice (control). Ara-C treated group was used as positive control. Au, auranofin; PP, pyrvinium pamoate.

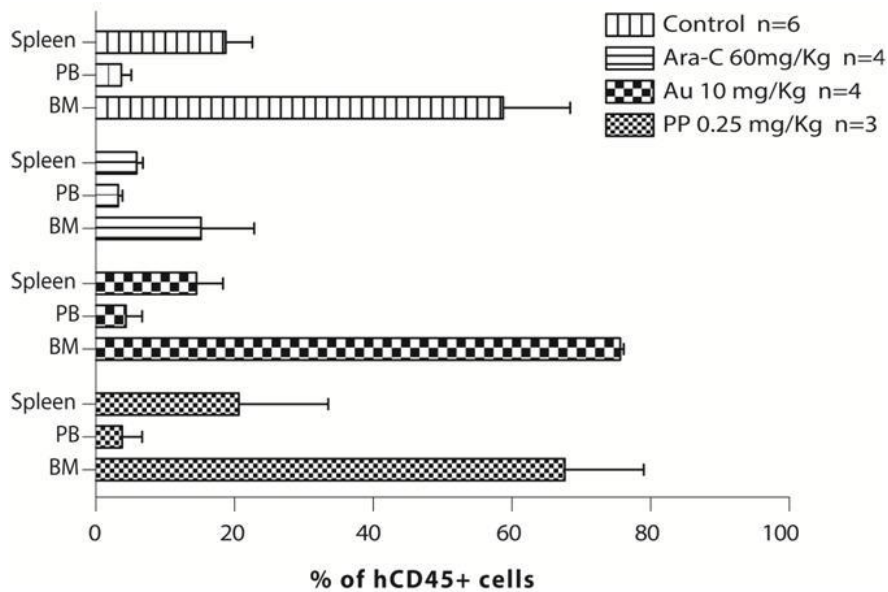


Figure 4.22: Leukaemia cell burden evaluation upon compounds treatment
 Xenograft NSG mice were treated with auranofin, pyrvinium pamoate or Ara-C and compared to the untreated mice (control). The percentage of hCD45 positive cells in the BM, spleen and PB was evaluated by flow cytometry. Mean \pm SEM are reported. Au, auranofin; BM, bone marrow; PB, peripheral blood; PP, pyrvinium pamoate.

4.8 Auranofin and pyrvinium pamoate induce a delay in cell cycle progression

Patient-derived cell lines are inappropriate to study the molecular mechanism of action of therapeutic agents since they contain numerous additional mutations and corresponding normal control cells lack. We therefore used the Ba/F3 cell line, a murine interleukin-3 (IL-3) dependent pro-B cell line, frequently adopted for drug screenings and for assessing both the potency and downstream signalling of oncogenes kinases.¹⁹⁴ An *in vitro* dose-response assay to determine their sensitivity to the selected-compounds was performed. Briefly, Ba/F3 cells transduced with FLT3-WT or FLT3-ITD (from here on referred to as Ba/F3-WT and Ba/F3-ITD) were treated with three different concentrations of auranofin and pyrvinium pamoate for 72h. The number of viable cells was determined by trypan blue staining and the concentration for 50% of maximal inhibition of cell proliferation (growth inhibition 50, GI₅₀) was established (**Figure 4.23 A and B**). The auranofin concentration resulting in GI₅₀ for both Ba/F3 WT and ITD cells was 1.5 μ M, while it was 30 nM and 15 nM for pyrvinium pamoate in Ba/F3-WT and -ITD, respectively. We did not observe cell death after by trypan blue staining, thus we assumed that the treatment of cells with both compounds had a cytostatic effect.

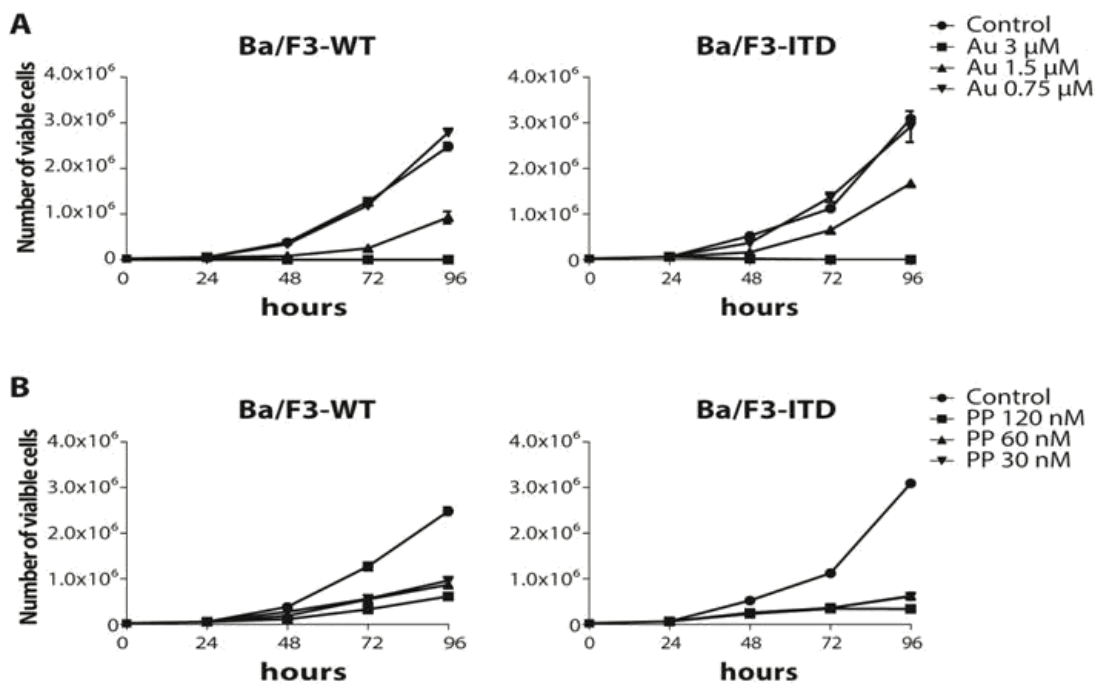


Figure 4.23: Auranofin and pyrvinium pamoate suppress the growth of Ba/F3 cells

Growth curve. Cells were treated with three different concentrations of (A) auranofin and (B) pyrvinium pamoate for 72h. The viability of cells in all experiments was determined by trypan blue staining. Experiment was done twice independently and data are shown as mean \pm SD

Next, we investigated how auranofin and pyrvinium pamoate treatment impacted cell cycle. A BrdU pulse-chase time course and subsequently a flow-cytometric DNA content analysis were performed. Briefly, Ba/F3-WT and Ba/F3-ITD cells were pulsed with 10 μ M BrdU for 30 minutes, washed with PBS and then treated with GI₅₀ auranofin in BrdU free-medium. The BrdU-labeled population changes were characterized over time as the distribution transitions through the phases of the cell cycle by BD FACSCelesta system. Initially, we analysed cell cycle progression of the untreated Ba/F3-WT cells. As shown in **Figure 4.24 A**, one hour after the BrdU pulse, 65% of untreated Ba/F3-WT cells were in S phase. Analysing the labelled cell cohorts over time, we observed the emergence of the first-generation progeny (G1*), which was initially in S phase as well as a transition to late S and G2 phases at four hours (S/G2*). These results indicate that S phase BrdU positive cells transitioned to G2 phase (S/G2*) and after undergoing mitosis (G2/M*), entered the G1* phase. Interestingly, upon auranofin treatment Ba/F3-WT cells transitioned to late S

and G2 phases four hours later than the untreated cells. The results suggested that auranofin-treated cells in early S phase at the onset cycled more slowly as compared to the untreated cells and almost all BrdU labelled cells were still in S phase after four hours from the treatment beginning (**Figure 4.24 B**). Similar effect was obtained for Ba/F3-ITD cells (**Figure 4.25 A and B**) confirming that auranofin impaired cell cycle progression.

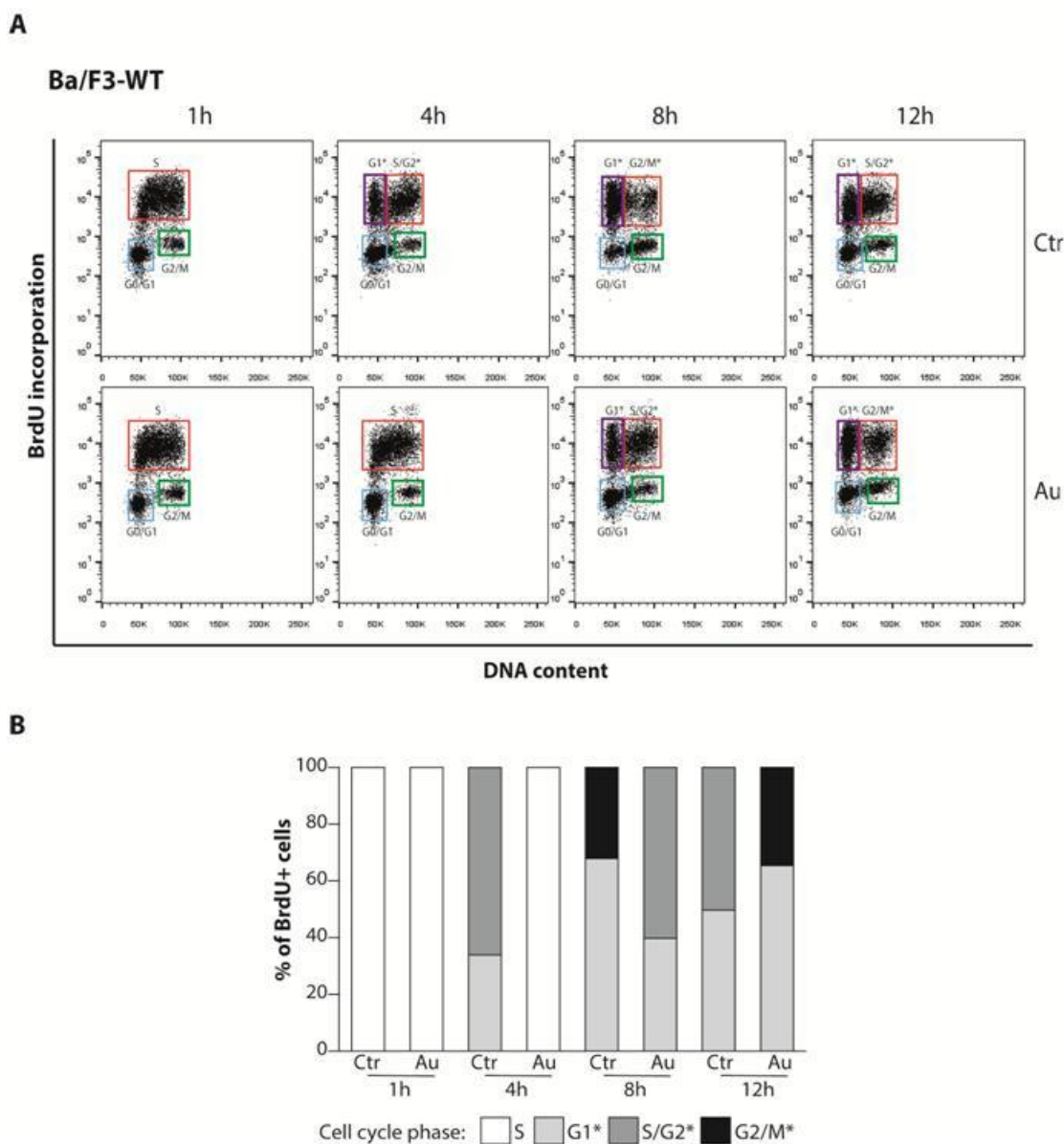


Figure 4.24: Progression of actively dividing BrdU-pulsed Ba/F3-WT cells over 12 hour-time course of auranofin treatment

BrdU pulse-chase time course. (A) Representative dot plot of Ba/F3-WT BrdU-labelled cells stained for DNA-content (X-axis) and BrdU (Y-axis). Cells were pulsed with BrdU for 30 minutes. The indicated times are the hours after BrdU pulse. For all time points, unique gates displaying the following population were drawn: G0/G1, S and G2/M. For 4, 8, and 12h BrdU labelled G1, S/G2* and G2/M population are show. (B) Representative histogram graph showing BrdU+ cells distribution in four different cell cycle phases indicated as diverse shades of grey. Au, auranofin; Ctr, control.*

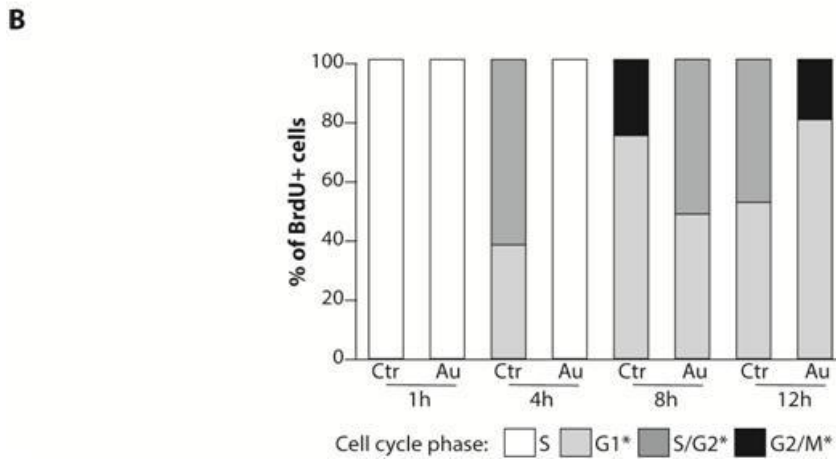
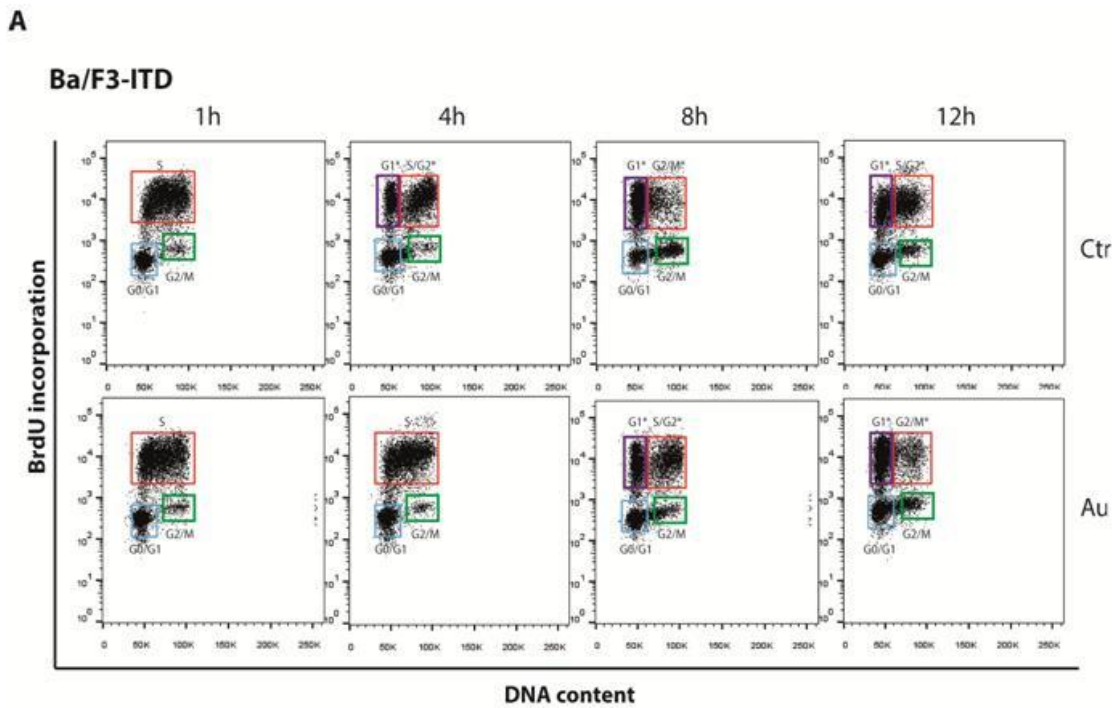


Figure 4.25: Progression of actively dividing BrdU-pulsed Ba/F3-ITD cells over 12 hour-time course of auranofin treatment

BrdU pulse-chase time course. (A) Representative dot plot of Ba/F3-ITD BrdU-labelled cells stained for DNA-content (X-axis) and BrdU (Y-axis). Cells were pulsed with BrdU for 30 minutes. The indicated times are the hours after BrdU pulse. For all time points, unique gates displaying the following population were drawn: G0/G1, S and G2/M. For 4, 8, and 12h BrdU labelled G1*, S/G2* and G2/M population are show. (B) A representative histogram graph showing BrdU+ cells distribution in four different cell cycle phases indicated as diverse shades of grey. Au, auranofin; Ctr, control.

Next, we repeated the same experiment for both Ba/F3-WT and -ITD cells upon pyrvinium pamoate treatment. We noticed a slight cell cycle delay following PP treatment. Indeed, after four and eight hours, the percentage of cells belonging to the first-generation progeny

was higher in the control group compared to the treated group, suggesting that the untreated cells proliferate faster than PP-treated cells (**Figure 4.26**).

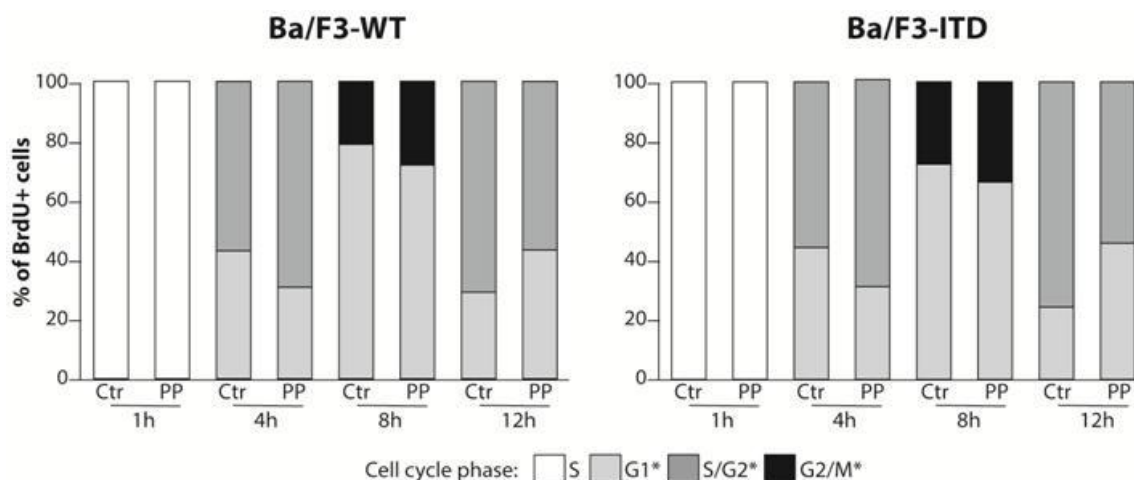


Figure 4.26: Progression of actively dividing BrdU-pulsed Ba/F3 cells over 12 hour-time course of pyrvinium pamoate treatment

Representative histogram graphs showing BrdU+ cells distribution in four different cell cycle phases indicated as diverse shades of grey. Cells were pulsed with BrdU for 30 minutes. The indicated times are the hours after BrdU pulse. Ctr, control; PP, pyrvinium pamoate

4.9 Auranofin and pyrvinium pamoate: confirming known mechanism of action

We next investigated the reported mechanism(s) of action of the selected compounds. The most common mechanism by which auranofin acts is the inhibition of the TrxR. TrxR is a ubiquitous enzyme and a component of the thioredoxin (Trx) system that includes NADPH and Trx. The Trx system promotes cell growth and survival and it is also crucial for maintaining the intracellular levels of reactive oxygen species (ROS). TrxRs are selenoproteins with disulfide reductase activity that cause the reduction of Trx leading to the generation of reduced disulfide bond in cells. Electrons are taken from NADPH via TrxR and are transferred to the active site of Trx, which goes on to reduce protein

disulfides or other substrates.¹⁹⁵ Auranofin interacts with selenocysteine residue of mitochondrial TrxR blocking its activity and inducing mitochondrial oxidative stress that ultimately causes apoptosis.

To corroborate auranofin's inhibitory activity on TrxR, we treated Ba/F3-WT and Ba/F3-ITD cells with auranofin for 72h and performed an *in vitro* colorimetric TrxR assay according to the manufacturer's instructions. Our results demonstrated that TrxR activity was significantly reduced by auranofin treatment. In particular, the endogenous TrxR activity was reduced by 85% in Ba/F3-WT and 100% in Ba/F3-ITD, suggesting that Ba/F3-ITD cells are more sensitive to auranofin treatment than Ba/F3-WT cells (**Figure 4.27**).

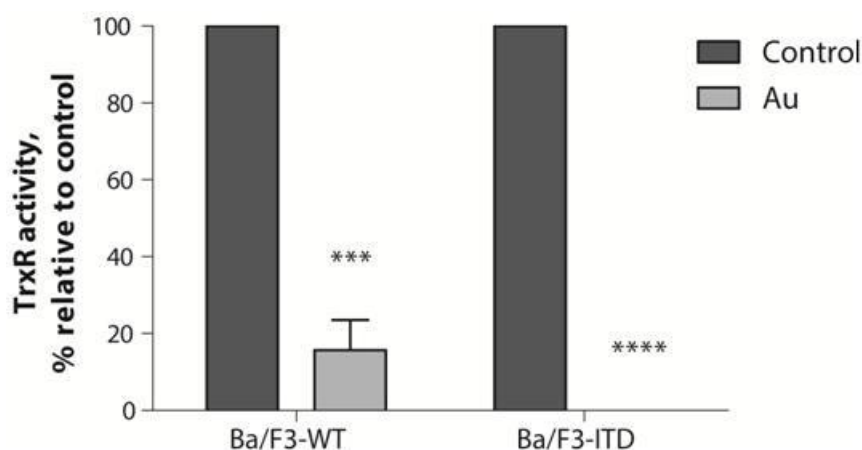


Figure 4.27: Auranofin reduces the TrxR activity

Activity of endogenous TrxR in the indicated cell lysates following incubation with DMSO as a control or the concentration of auranofin resulting in GI_{50} for 72h. The numbers indicate the percentage activity of TrxR compared to control. Experiment was done thrice independently and data are shown as mean \pm SEM. Asterisks indicate significance versus control; *** $p < 0.001$, **** $p < 0.0001$. Au, auranofin.

Pyrrvinium pamoate was originally used for the treatment of pinworm infections and it acts by interfering glucose uptake by pinworms. Recently, a wide variety of new mechanisms of action of pyrrvinium pamoate has been proposed (i.e., inhibition of mitochondrial electron-transport chain under aerobic or anaerobic conditions, inhibition of Wnt signalling and inhibition of androgen receptor).¹⁹⁶

The Wnt- β -catenin pathways support cell proliferation and survival. Constitutive activation of Wnt signalling occurs in diverse human diseases such as cancer. β -catenin has a central role in the Wnt signalling pathway. In the absence of Wnt ligand, β -catenin is degraded through the ubiquitin proteasome system upon association with the destruction complex. The destruction complex includes glycogen synthase kinase 3 (GSK3), casein kinase 1 α (CK1 α), adenomatous polyposis coli (APC) and Axin. The phosphorylation on Ser45 by CK1 primes β -catenin for subsequent phosphorylation on Ser33, 37 and Thr41 by GSK3 β that destabilizes β -catenin triggers to its degradation mediated by ubiquitin/proteasomes. Upon Wnt activation, cytoplasmic β -catenin is stabilized and then translocated to the nucleus, where it binds to co-regulators as LEF-1/TCF to activate the transcription of targets genes such as *Axin2*, *Tcf*, *c-Myc* and *cyclin D1*.¹⁹⁷

We tested the effect of pyrvinium pamoate on Wnt signalling as it plays a crucial role in the initiation and propagation/acceleration of AML.¹⁹⁸ For this purpose, Ba/F3-WT and Ba/F3-ITD cells were treated with pyrvinium pamoate for 24, 48 and 72 hours and levels of total β -catenin, total GSK3 β , phosphorylated β -catenin (S33/37/T41) and phosphorylated GSK3 β (S9) were examined by western blotting. Pyrvinium pamoate treatment completely abrogated the expression of pGSK3 β (S9 phosphorylation) compared to DMSO treated samples resulting in the activation of GSK3 β . Surprisingly, we did not observe either a clear increase in the expression of p β -catenin or a decrease of total β -catenin expression levels upon pyrvinium pamoate treatment (**Figure 4.28**).

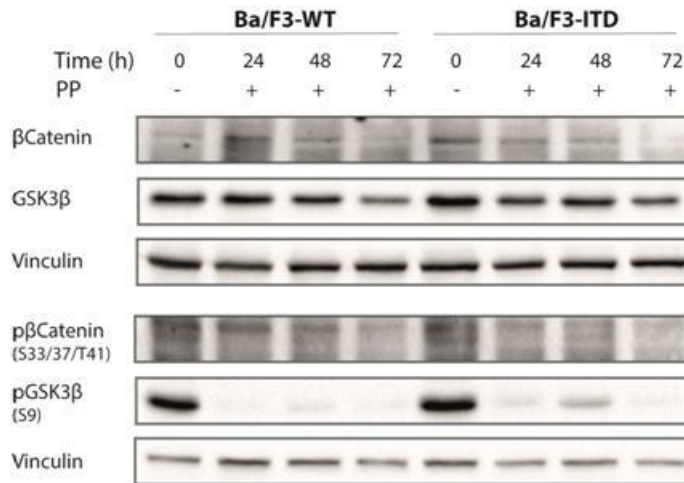


Figure 4.28: Pyrvinium pamoate attenuates Wnt signalling

Representative immunoblot analysis of Wnt signalling pathway. Ba/F3-WT and -ITD cells were treated with GI_{50} of pyrvinium pamoate for the indicated time duration. The expression of p β -catenin (S33/37/T41), pGSK3 β (S9), total β -catenin and GSK3 β was analysed by SDS-PAGE and western blotting. Vinculin levels were used as a loading control.

To further validate the effect of pyrvinium pamoate on Wnt signalling pathway, well-known β -catenin target genes, *Tcf4* and *Axin2*, were analysed by real-time PCR. After eight hours of treatment we detected a decrease of *Axin2* and *Tcf4* mRNA expression levels both in Ba/F3-WT and Ba/F3-ITD, suggesting that PP may act through the modulation of Wnt signalling (**Figure 4.29**)

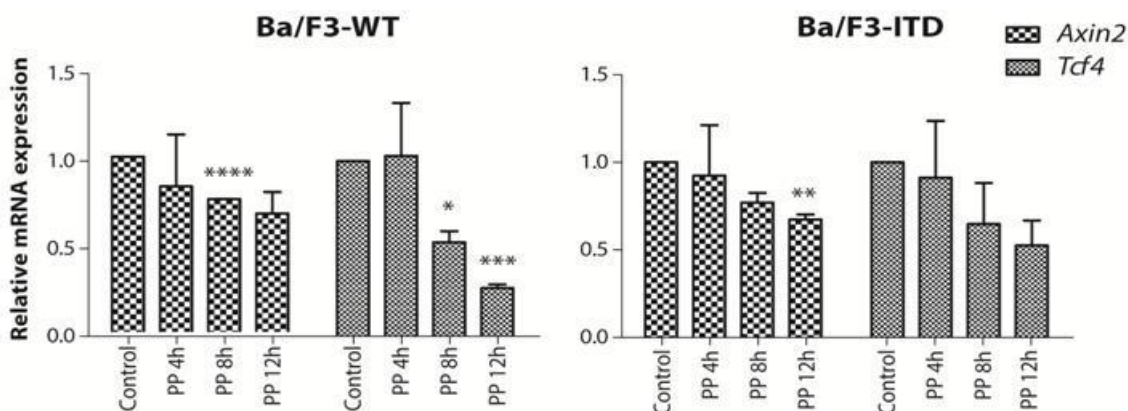


Figure 4.29: Pyrvinium pamoate inhibits Wnt target genes

Relative mRNA expression of Axin 2 and *Tcf4* in Ba/F3 cells treated with GI_{50} of pyrvinium pamoate for the indicated time compared with untreated control cells. Experiment was done twice independently and data are shown as mean \pm SEM. Asterisks (*) indicate significance versus control; * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$.

4.10 FLT3 receptor is not inhibited by auranofin and pyrvinium pamoate treatment

Protein kinases are often constitutively active in cancer cells as a result of genomic rearrangements, point mutations in the regulatory region or, as is the case of FLT3 receptor, due to an alteration in the juxtamembrane region. They have become an attractive target for cancer therapy as most of them have been implicated in tumour development and progression. In fact, FLT3-ITD is a common mutation that confers poor prognosis in AML patients. Thus, we raised the question of whether treatment with auranofin or pyrvinium pamoate interfered with FLT3 or FLT3-ITD signalling taking advantage of Ba/F3 cell properties. Indeed, Ba/F3 cells depend on IL-3 for their growth, however, when expressing a constitutively active tyrosine kinase, they gain independence from IL-3. Accordingly, Ba/F3 cells expressing FLT3-ITD receptor are able to proliferate in the absence of IL-3 whereas Ba/F3-WT cells are not.¹⁹⁴ The inhibition of a constitutively active tyrosine kinase results in growth arrest and apoptosis because cells depend on it for their proliferation. The addition of IL-3 to the growth media can rescue cell survival. We, therefore, performed an experiment treating Ba/F3-ITD cells with the two compounds in the presence or absence of IL-3. The supplementation of culture media with the supernatant of WEHI cells, as a source of IL-3, did not change the number of growing cells, suggesting that both auranofin and pyrvinium pamoate did not selectively target FLT3-ITD receptor (**Figure 4.30 A**). As positive control we used quizartinib, a potent FLT3-ITD inhibitor (**Figure 4.30 B**) Upon IL-3 supplementation, the growth of quizartinib-treated cells was comparable to the untreated ones confirming the specificity of this second generation FLT3 inhibitor.

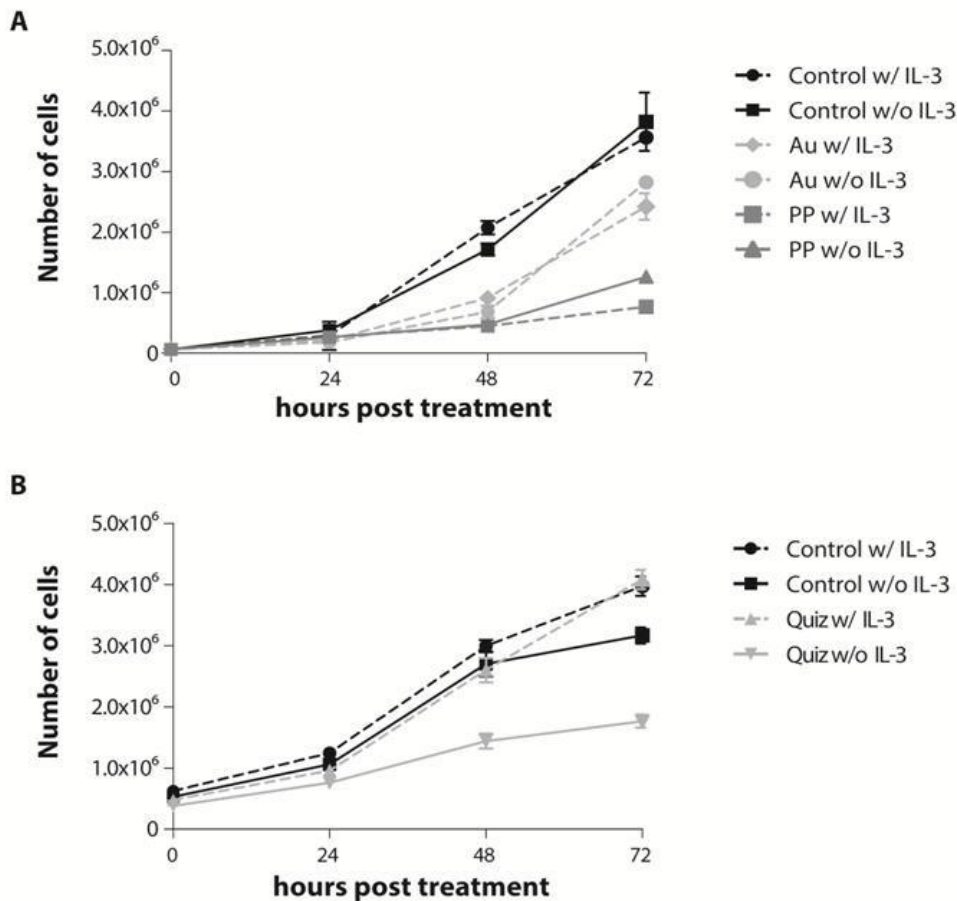


Figure 4.30: Influence of auranofin and pyrvinium pamoate treatment on FLT3 receptor signalling

Growth curve. Cells were treated with (A) auranofin or pyrvinium pamoate and (B) quizartinib for 72h in the presence or absence of IL-3. Cells were counted at the indicated time point by trypan blue staining. The experiment was repeated twice and data are shown as mean \pm SEM. Au, auranofin; PP, pyrvinium pamoate; Quiz, quizartinib.

4.11 Auranofin and pyrvinium pamoate modulate ER stress and UPR

Recently, Prof. Pier Giuseppe Pelicci's group demonstrated that Ba/F3-ITD cells are characterised by elevated levels of ER stress markers in basal conditions compared to Ba/F3-WT cells. If proven, the activation of the UPR process upon ER stress may constitute a potential therapeutic target for AML patients bearing FLT3-ITD mutation.

We established a collaboration to investigate if the chosen drugs may perhaps trigger ER stress and UPR response. We treated Ba/F3 cells with auranofin or pyrvinium pamoate and then analysed by western blotting the expression levels of UPR proteins. We observed that auranofin caused a strong induction of ATF4 protein after four hours from treatment commencement in both Ba/F3-WT and Ba/F3-ITD cells. On the contrary, CHOP protein levels seemed to increase after eight hours and only in Ba/F3-WT cells (**Figure 4.31 A**). Upon pyrvinium pamoate treatment, we noticed an induction of ATF4 and CHOP protein after 24h and 48h, respectively (**Figure 4.31 B**). Data suggested that both auranofin and pyrvinium pamoate treatment led to the activation of the UPR and, in particular, of the PERK branch.

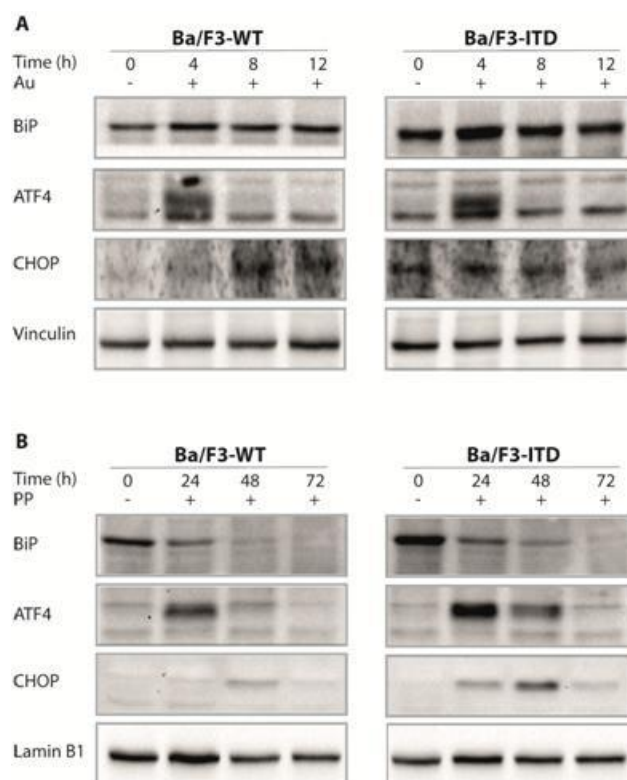


Figure 4.31: Auranofin and pyrvinium pamoate activate PERK branch of the UPR
Ba/F3-WT and Ba/F3-ITD cells were treated with (A) 1.5 μ M of auranofin and (B) 30 and 15 nM of pyrvinium pamoate, respectively, for the indicated time duration. The expression of BiP, ATF4 and CHOP were analysed by SDS-PAGE electrophoresis followed by Western blotting. Vinculin and Lamin B1 levels were used as loading controls. Au, auranofin; PP, pyrvinium pamoate.

Next, we analysed by semiquantitative RT-PCR the splicing of the XBP1 transcription factor that occurs upon activation of the IRE1 α and ATF6 branches. We used primers

specific for *XBPI*, which detect both unspliced and spliced isoforms formed by the annealing of single stranded cDNA to its complementary strand. A third cDNA product formed from one strand of unspliced *XBPI* and one strand of spliced *XBPI* was also seen (hybrid).¹⁸⁷

The induction of both *XBPI* and *ATF4* is considered to be the second wave of events in the ER stress UPR process. Thus, based on previous western blotting results, Ba/F3 cells were treated with auranofin and pyrvinium pamoate up to 24 and 72 hours, respectively.

Results indicated that neither auranofin nor pyrvinium pamoate caused the activation of *IRE1α* or *ATF6* branches. In order to generate a positive control, cells were exposed to thapsigargin, a well-known ER inducer (**Figure 4.32 A and B**).

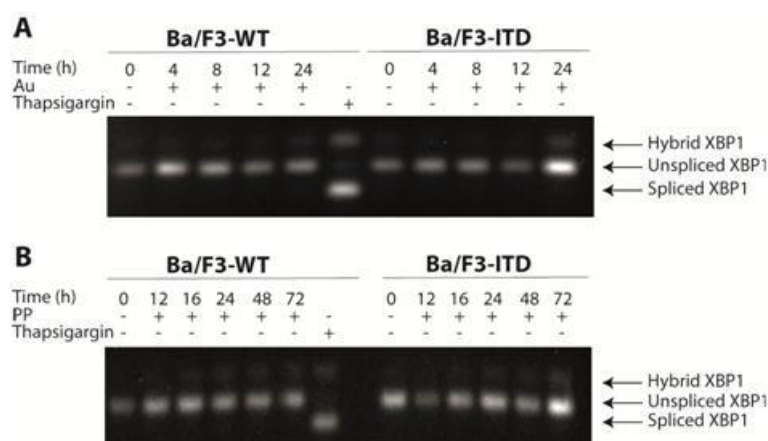


Figure 4.32: Detection of *XBPI* splice variants

Modulation of *XBPI* splicing. Total RNA was isolated from Ba/F3-WT and Ba/F3-ITD cells treated with (A) 1.5 μM of auranofin and (B) 30 and 15 nM of pyrvinium pamoate, respectively, for the indicated time duration. Thapsigargin treated cells were used as a positive control. RT-PCR analysis of total RNA was performed to detect both spliced and unspliced *XBPI* mRNA. Au, auranofin; PP, pyrvinium pamoate.

Finally, the induction of UPR target genes at the mRNA level was analysed in Ba/F3 cells using real-time PCR. The panel of UPR target genes included genes encoding Heat Shock Proteins (HSP) such as *BiP* and *DNAJC3*, proteins involved in the folding process such as *ERP44* and *DNAJB9*, ERAD proteins as *EDEM1* and *HERPUD1*, transcription factors

such as *ATF4*, *ATF6* and *IRE1*, and proteins that are involved in the induction of apoptosis such as *PERK*, *CHOP*, *PDIA3* and *Ppp1R15B*.

To determine the quality of the primers, Ba/F3-ITD cells were treated with 1 mM dithiothreitol (DTT), an ER inducing agent, for three hours. Total RNA was isolated and reverse transcription was carried out. Relative expression was evaluated using the $\Delta\Delta\text{CT}$ method (**Figure 4.33**). We observed an increase in the amount of the majority of UPR target genes at the mRNA level. In particular, a 4-fold increase of *BiP* levels, the first sensor of ER stress; a 7-fold increase of *Chop* levels and a 8-fold increase of *Herpud1* gene one of the most inducible genes during UPR was seen. A decrease of *Ppp1r15b* expression, the protein that promotes the dephosphorylation of *eif2 α* regulating the translation during ER stress was also detected.

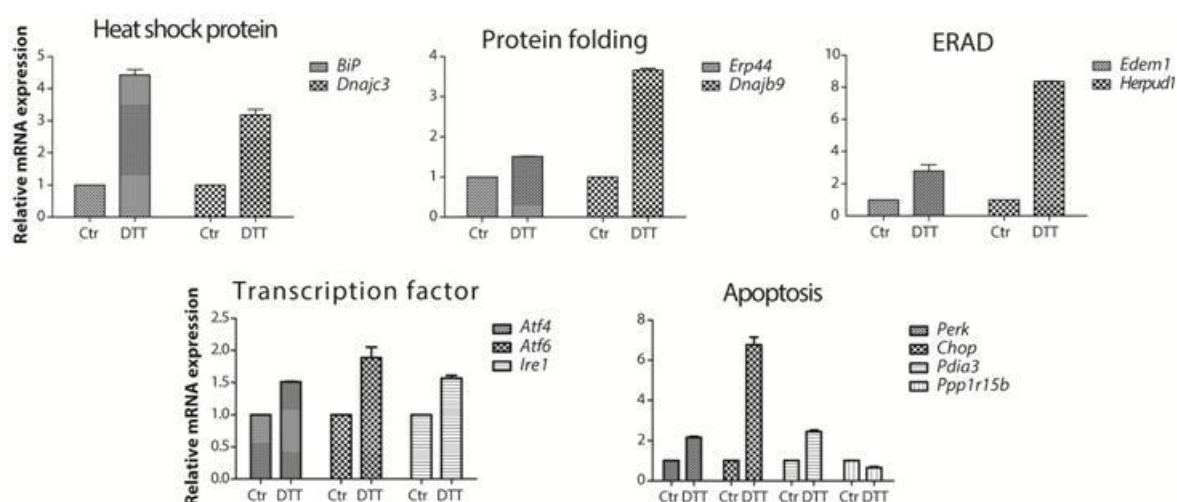
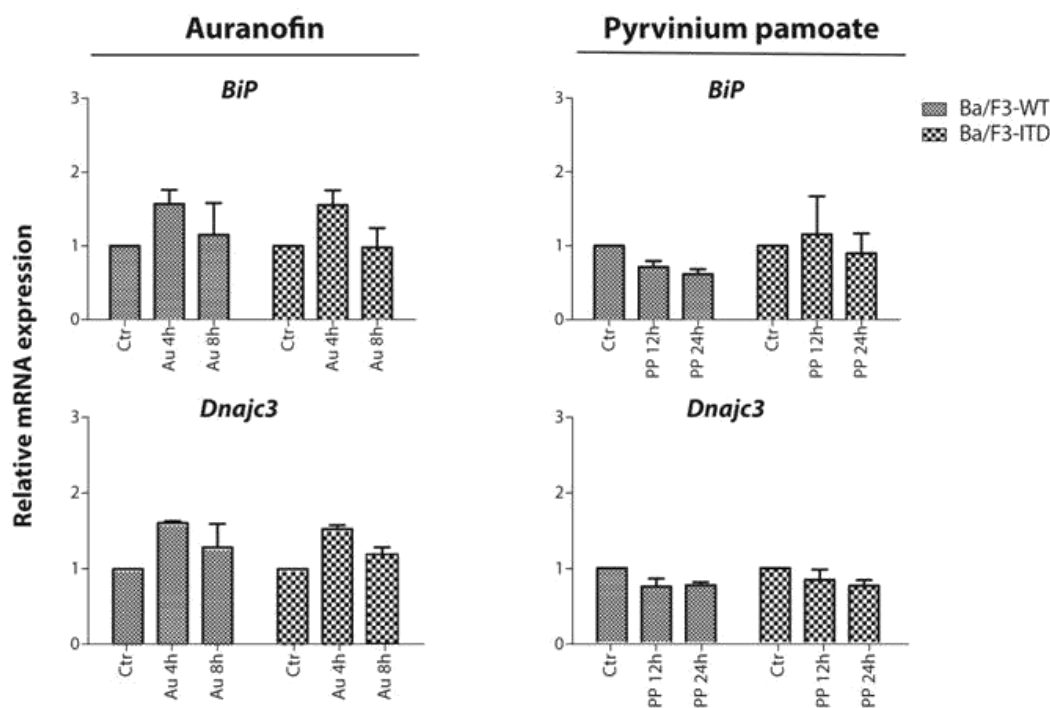


Figure 4.33: DTT (UPR inducer) increased the amount of ER and UPR target genes
Ba/F3 cells were treated with 1mM of DTT for three hours. The relative mRNA levels of UPR target genes were quantified by real-time RT-PCR. All values were normalized using TBP as internal control. Values are presented as mean \pm SEM. Ctr, control; DTT, dithiothreitol.

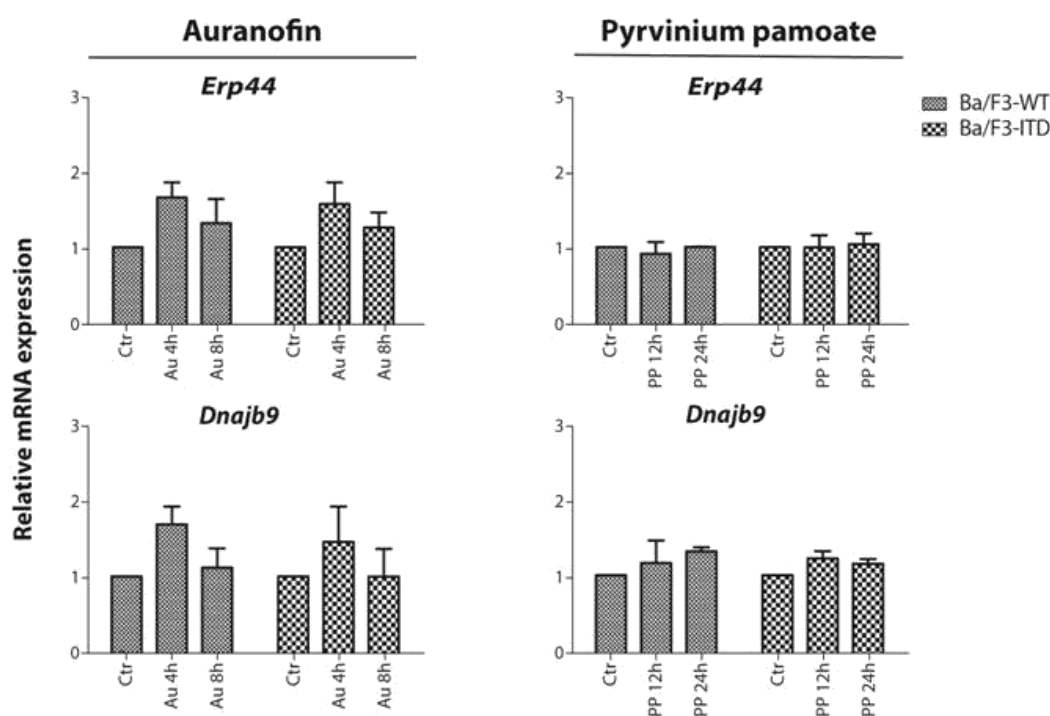
We treated Ba/F3 cells with auranofin or pyrvinium pamoate and analysed the expression of UPR target genes (**Figure 4.34 A-E**). We noticed that auranofin treatment induced the expression of HSP genes as well as genes encoding proteins that support folding and ERAD processes after four hours of treatment in Ba/F3 cells. Moreover, the induction of *Atf4* and *Chop* genes occurred after four hours in both Ba/F3-WT and Ba/F3-ITD cells

while it seemed that the induction of *Perk* gene happened later. Furthermore, *Atf6* levels were higher in Ba/F3-ITD cells after 8 hours from the treatment compared to the Ba/F3-WT cells. Pyrvinium pamoate treatment led to an increase of the expression of *Herpud* gene after 24 hours especially in Ba/F3-ITD cells as well as *Atf4* and *Atf6*. Furthermore, a 5-fold increase of *Chop* gene was detected after 24 hours of treatment. These results suggest that auranofin and pyrvinium pamoate modulate ER stress by inducing different UPR target genes.

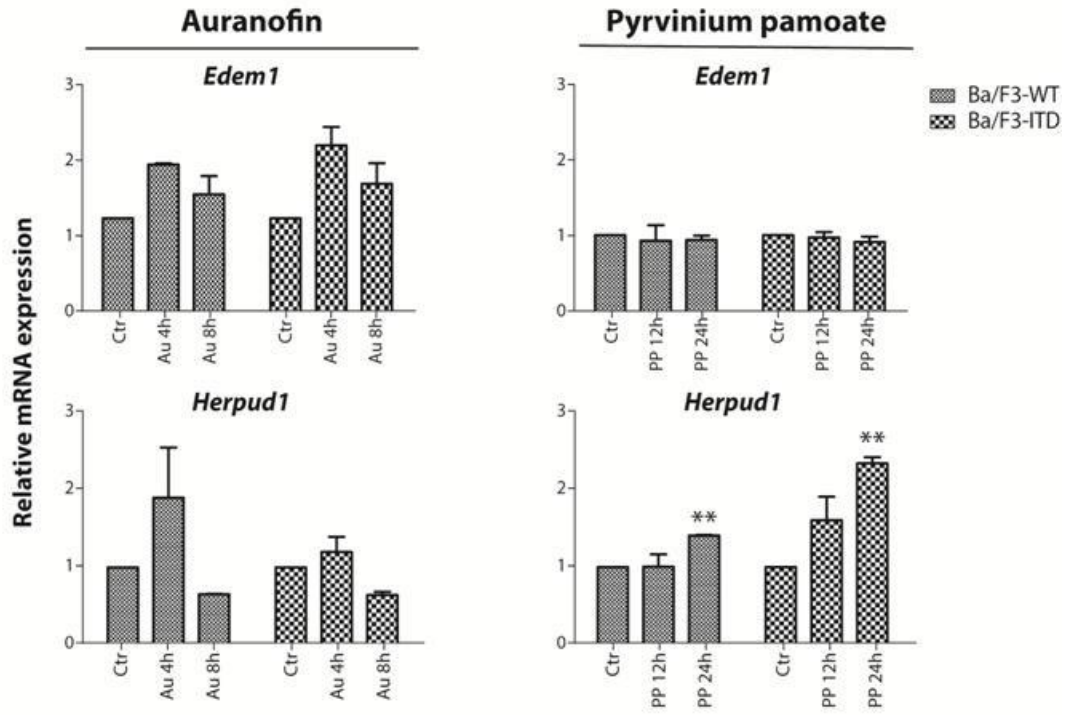
A



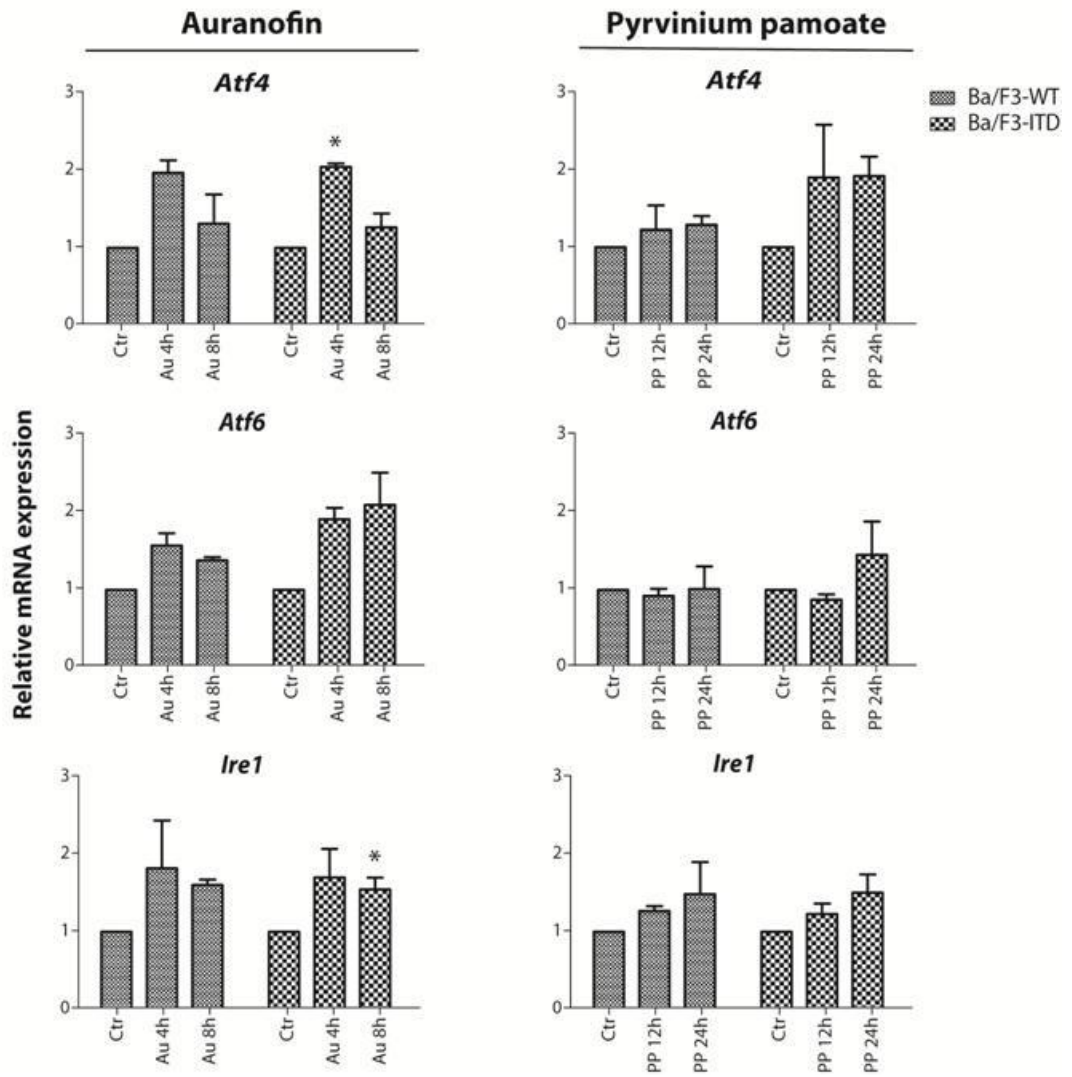
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E

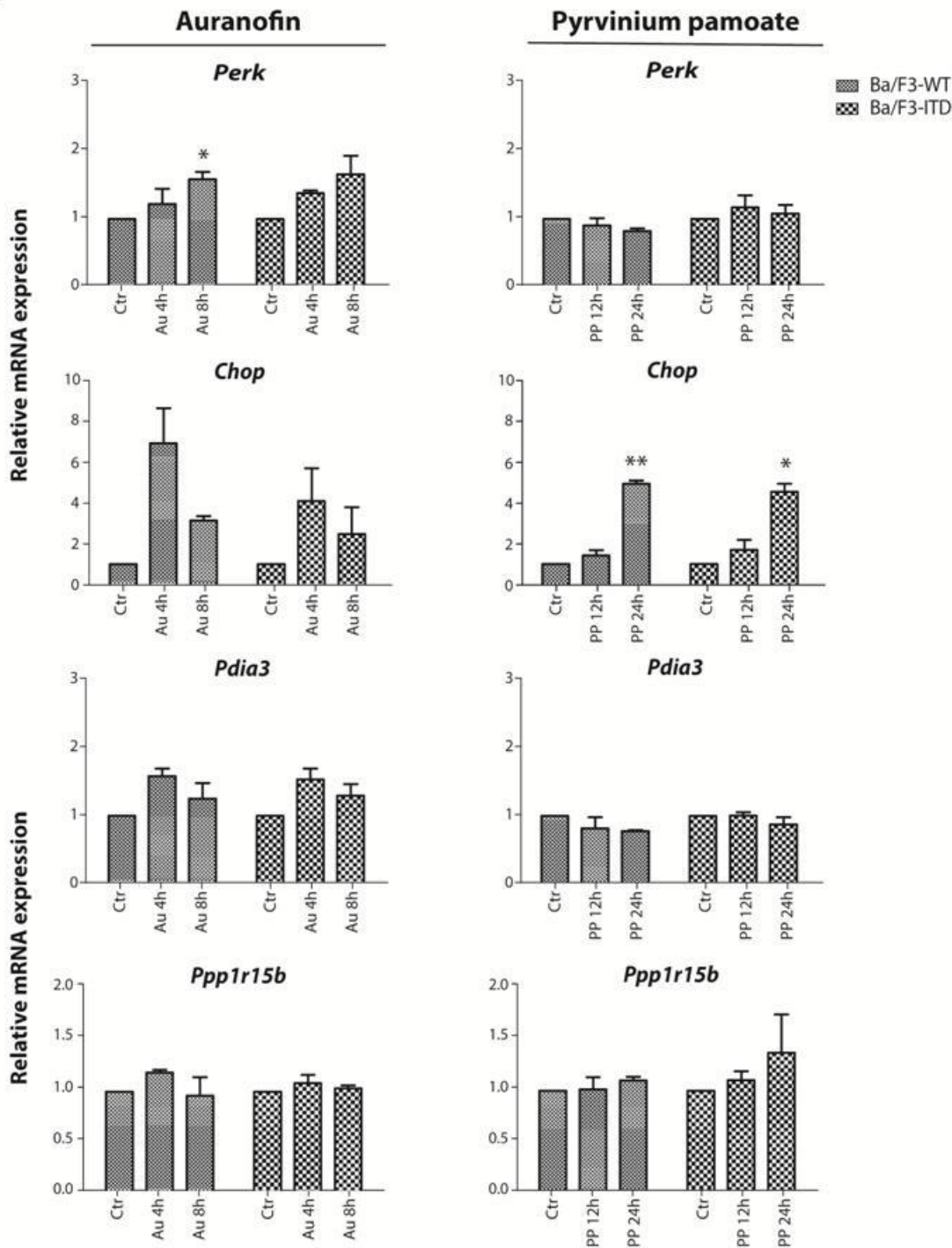


Figure 4.34: Auranofin and pyrvinium pamoate induce UPR target genes expression

Ba/F3 cells were treated with GI_{50} of auranofin and pyrvinium pamoate. The relative mRNA levels of genes encoding (A) Heat Shock Proteins; (B) folding proteins; (C) ERAD components; (D) transcription factors; (E) apoptosis effector UPR target genes were quantified by real-time RT-PCR. All values were normalized using *TBP* as internal control. Values are presented as mean \pm SEM. * $p < 0.05$, ** $p < 0.01$.

5 Discussion and future directions

For decades the therapeutic landscape in AML has remained relatively unchanged with the gold standard of treatment being the “7+3” regimen consisting of seven days of cytarabine and three of anthracycline. Recently, six new drugs received the FDA approval for AML treatment: midostaurin, CPX-351, enasidenib, gemtuzumab ozogamicin, venetoclax and daurismo.^{199,200} Of these, only midostaurin, a non-specific tyrosine kinase inhibitor, was shown in the RATIFY study to significantly prolong the OS of patients suffering from FLT3-ITD AML.⁶⁵ FLT3 status is, thus, routinely determined at diagnosis in order to incorporate midostaurin when appropriate. Midostaurin treatment is also offered to elderly patients eligible for intensive chemotherapy independently of the mutational FLT3 status.¹⁹⁹ Still, the treatment of elderly or frail patients requires further refinement and improvement as efficient therapeutic options for them lack. As AML is predominantly a disease of the elderly, the quest for efficient therapies is still ongoing.

Drug repurposing is a possible strategy to identify active compounds that hugely accelerates the discovery by reusing an old drug in a new therapeutic context.⁸⁶ Since 2011, a large number of drug repurposing screens has been recorded, as attested to by the number of published papers. Such a surge in popularity is probably due to the availability of drug collections and the improvement of screening technologies.

We performed two rounds of screening using three different collections of FDA-approved agents or compounds in advanced phase clinical trials, and identified two promising compounds. Thus, auranofin and pyrvinium pamoate, an anti-rheumatic and an anthelmintic agent, respectively, were chosen as the top candidates to be repurposed. Both compounds inhibited the growth of AML cells. Moreover, the MV4-11 cells were the most sensitive to auranofin treatment whereas OCI-AML2 cells – the least responsive, as they require seven times greater concentration of auranofin to achieve the same percentage of

proliferation inhibition. The different response rates to auranofin treatment may reflect the FLT3 status as MV4-11 and MOLM13 cells have a homozygous and heterozygous FLT3-ITD mutation, respectively, while the *wild-type* receptor is expressed by OCI-AML2 cells. Finally, we proved that auranofin induced apoptosis in all of the cell lines tested. For pyrvinium pamoate, we demonstrated that the IC₅₀ concentration for MOLM13 cells was 2-times higher than for the MV4-11 cell line underscoring the greater sensitivity of the MV4-11 cells compared to MOLM13 cell line. The response of OCI-AML2 cells to pyrvinium pamoate treatment was similar to that of MOLM13. Thus, there was no specificity of pyrvinium pamoate towards FLT3-ITD-bearing cell lines and the inhibition of cell growth was due to a cytostatic effect.

Although the speed and overall simplicity of the experiment constitute the strong points of the repurposing strategy, some weaknesses have to be mentioned. Strict timeline and concentration range have to be used. A possibility exists that some compounds require less or more incubation time in order to optimally detect their activity and the same can be said for the concentration range. The inclusion of three different concentrations differing by a factor of 10 should have provided a wide enough range for almost all compounds.

A second problem during the screening phase is represented by the edge-effect that is caused by temperature differences across the plate, by which the inner wells reach 37°C more slowly than the outermost wells. This leads to a consistent evaporation of medium at the edges of the plate, a factor very relevant to 96- and 384-well plates given how small the overall volume per well is. We have minimized the edge-related bias by leaving empty the first and the last column of the plate whenever possible, and by filling the spaces between wells with water to diminish medium evaporation by creating a humidified atmosphere. In addition, there are bioinformatic tools for edge-effect normalisation during the analysis phase and they have been applied to the results of the primary screening. Moreover, DMSO is generally used for the preparation of all the compounds within the library. Even though, DMSO is a potent solvent, in which the majority of organic compounds are

soluble, is possible that some compounds were missed due to a solubility problem. Whether or not some compounds were missed due to a solubility problem is difficult to predict, but having worked with such large numbers, the issue should be negligible. Finally, coloured agents may alter the luminescence reading; however, when identified as hits (e.g., pyrvinium pamoate) their activity is validated through methods that do not require the use of plate readers.

The identification of compounds with weak potency, i.e., an IC_{50} value higher than the plasma concentration considered safe for humans, is often a bottleneck that comes into light in the validation phase of a repurposing project, and hampers the implementation of any given molecule.²⁰¹ Toxicology data shows that auranofin (gold particles) is safe up to the plasma concentration of 1.5 $\mu\text{g/ml}$ (corresponding to the concentration of ~ 2.21 μM),²⁰² while no safe plasma concentration value is available for pyrvinium pamoate given that it is not absorbed from the gastrointestinal tract. It is said to be safe in humans up to the concentration of 35 mg/Kg of patient's body mass when administered orally.²⁰³ The results obtained for auranofin show that IC_{50} concentration of auranofin for OCI-AML2 cells (equal to 3.93 μM) is higher compare to the plasma concentration reported to be safe, but it should not be a problem as if validated further, auranofin could be specifically repurposed against FLT3-ITD AML. Big pharmaceutical companies deal with the dose-related toxicity problem by modifying the structure of the molecule to render it more potent, an approach that requires specific know-how and financial investments. Otherwise, one way of reducing the doses is that of using a combination of drugs rather than single agents. In fact, the combination of two or more compounds may result in a synergistic or additive effect at lower doses. Moreover, combination therapy significantly reduces the development of drug resistance, a common problem in cancer patients, as tumour is less likely to become resistant to multiple compounds simultaneously. We observed a clear synergistic effect in AML cell lines when treating with the combination of auranofin and cytarabine. The combination of quizartinib with auranofin, instead, produced an additive

effect in FLT3-ITD expressing cells. We looked for possible synergistic effect of pyrvinium pamoate in combination with standard therapeutic agents or known FLT3 inhibitors hoping to see a cytotoxic rather than cytostatic effect. Pyrvinium pamoate showed neither synergy nor additivity with cytarabine and performed barely better in combination with quizartinib. It showed an additive effect in combination with doxorubicine in the three cell lines tested.

The *in vivo* validation of chosen compounds was initiated but has not been completed in the timeframe of the PhD studentship. To date, we established the maximal tolerated doses of auranofin and pyrvinium pamoate and discovered that the *xenograft* model used in this project was not straightforward: the disease could not be monitored on the basis of the hCD45+ cell level in peripheral blood as it did not correspond to the disease advancement. Thus, we decided to change the *xenograft* mouse model by choosing a different AML-patient derived *xenograft* leukemias through the collaboration with Dr F. Bertolini. Moreover, we will evaluate the effect of the selected agents in transgenic mouse model (NPMc+ and FLT3-ITD) thus in mice with intact immune system.

In vivo imaging techniques may be adopted to monitor the development and subsequent progression/regression of the disease circumventing the problems encountered in the initial experiments. In order to take advantage of the *in vivo* imaging techniques, leukemic cells will be transduced with the pGL4 Luciferase Reporter Vector (Promega). Luciferase-expressing cryopreserved splenocytes from primary murine leukaemias and from xenografted animals will be thawed and injected intravenously into appropriate hosts (C57BL/6 or NSG mice, respectively). Upon detection of the insurgence of leukaemia, mice will be treated with selected drugs, initially as single agents and later in combination with standard therapeutic agents, such as cytarabine, or with FLT3 inhibitor *i.e.* quizartinib.

Some attempts at repurposing both auranofin and pyrvinium pamoate have been made in a

number of clinical settings. Analogously to the results obtained by us, preclinical studies demonstrated that treatment with auranofin decreased cell viability and induced apoptosis in human CLL/CML, ovarian, gastrointestinal and non-small cell lung cancer warranting the conduction of clinical trials.²⁰⁴ To date, 12 clinical trials have been initiated to assess the utility and efficacy of auranofin for the treatment of a wide range of disparate conditions, such as HIV, amoebiasis, giardiasis, tuberculosis, pain, rheumatoid arthritis, ovarian and lung cancer, CLL or glioblastoma. Some evidence supports the efficacy of auranofin against haematological malignancies. For example, in CLL auranofin made it into Phase I and IIa clinical trials, however, its repurposing program was subsequently stopped not because auranofin did not work, but because new agents to treat CLL became available questioning the need to pursue additional research (NCT01419691).²⁰⁵

Literature reports cytotoxic activity of pyrvinium pamoate against a range of cancer cell lines (i.e., colorectal cancer, breast cancer, prostate tumour, myeloma, lymphoma and chronic myeloid leukaemia);²⁰⁶ however, no clinical trials of pyrvinium pamoate are to date registered on the ClinicalTrials.gov database. Thus, the absence of pre-clinical data or clinical trials of both auranofin and pyrvinium pamoate for the treatment of AML confirms the novelty of our candidates.

So why should an anti-rheumatic or an anthelmintic agent work against leukemic cells? Cancer cells are characterised by uncontrolled growth, insensitivity to growth-inhibitory signals, evasion of programmed cell death and limitless replication potential.²⁰⁷ Many of the evolutionary-conserved pathways, such as Wnt, Notch or Hedgehog signalling, become reactivated. High metabolic rate generates elevated levels of ROS, while high requirement of protein production clogs the entire system of transcription, translation and protein folding. Accordingly, cancer cells upregulate the antioxidant system, such as the TrxR enzyme, to maintain the balance between generation and elimination of ROS, as well as systems to remove misfolded proteins. Hence, also UPR is frequently upregulated. By

chance, auranofin, our main candidate for repurposing in AML, was shown to induce ROS production in *Escherichia histolytica trophozoites* and CML, and to inhibit TrxR.^{189,208} The overexpression of TrxR has been linked to enhanced proliferation, resistance to apoptosis, angiogenesis and chemoresistance.¹⁹⁵ It stands to reason that targeting a redox enzyme such as the TrxR, may constitute a successful therapeutic strategy. Indeed, we showed that auranofin kills AML cell lines and confirmed that it inhibits TrxR in Ba/F3 cells possibly causing ROS accumulation. It may be hypothesised that the auranofin-induced apoptosis observed by us in AML cell lines is, at least in part, the consequence of lethal oxidative stress. Thus, we would like to perform a FACS analysis to demonstrate that auranofin causes ROS accumulation using a probe for measuring cellular oxidative stress. The cell-permeant dye exhibits bright fluorescence only upon oxidation by ROS. For further evaluating the possible link between ROS accumulation and cell death induction we plan to treat AML cells with N-acetylcysteine (NAC), an antioxidant able to minimize oxidative stress. If the co-treatment results in an equal percentage of apoptotic cells as for the single treatment, it would mean that the effect of auranofin on cell survival does not depend on TrxR inhibition. In such case, we will analyse how auranofin treatment interferes with the deubiquitination process through Western blot analysis, as another mechanism of action of auranofin is its ability to inhibit deubiquitinase proteins leading to the accumulation of polyubiquitinated proteins and induction of apoptosis.

The results of this PhD thesis also show that auranofin concomitantly induces ER stress with the subsequent molecular response to it as detected by the increase of the major UPR target genes at the mRNA level and of ATF4 and CHOP UPR effectors at the protein level. Sustained CHOP expression results in apoptosis, especially due to the induction of pro-apoptotic proteins such as BIM, along with the inhibition of pro-survival proteins e.g., BCL2 and MCL1. Further investigation is necessary to ascertain if auranofin-induced ER stress may be a secondary effect in the wake of auranofin-induced ROS accumulation and whether these two events cooperate in inducing cell death.

To determine the existence of a link between ROS accumulation and ER stress induction in provoking cell death we will adopt two different strategies: a shRNA against *CHOP* and the analysis of mRNA and protein levels of ATF4, CHOP and its target genes upon co-treatment with auranofin and NAC. Supposing that CHOP protein cooperates with ROS accumulation in cell death induction, shRNA treatment should result in a lower number of apoptotic cells. If not, the two effects observed upon auranofin treatment are unrelated. Then, a repression of ATF4 and CHOP proteins in the co-treatment experiments would confirm the correlation between TrxR inhibition and ER stress induction. Moreover, it remains to be determined if the induction of ATF4 and CHOP depends on PERK activation or if it occurs in response to the activity of other kinases, such as GCN2 and PKR, as they have been reported to phosphorylate eIF2 α inducing ATF4 expression.²⁰⁹ To do so, western blot analysis and RT-qPCR will be performed.

The activity of pyrvinium pamoate in cancer is not casual either, as it inhibits the canonical Wnt signalling pathway. Wnt signalling is relevant to the pathogenesis of AML and upregulated by most AML-specific mutations, including FLT3-ITD.²⁹ We showed that pyrvinium pamoate interferes with Wnt- β -catenin pathway as attested to by the complete abrogation of GSK3 β phosphorylation on serine 9 in Ba/F3 cells that causes β -catenin degradation. Moreover, we further confirmed Wnt signalling inhibition by showing that mRNA levels of two major Wnt target genes, *Tcf4* and *Axin2*, are decreased after pyrvinium pamoate treatment in both Ba/F3-WT and Ba/F3-ITD cells. We are planning to evaluate the effect of pyrvinium pamoate both at mRNA and protein levels of a larger panel of β -catenin targets by performing RT-PCR and Western blotting, respectively. Moreover, it would be interesting to assess the accumulation nuclear translocation of β -catenin following pyrvinium pamoate treatment through immunofluorescence analysis.

Recently, Costa et al. demonstrated that impairment of mitochondrial ATP production downregulates Wnt signalling via ER stress induction.²¹⁰ Our data shows that pyrvinium pamoate treatment induces the expression of ATF4 and CHOP proteins after 24 and 48

hours of treatment, respectively. Thus, it is possible that pyrvinium pamoate treatment reduces the synthesis of mitochondrial ATP as reported by Harada et al.,²¹¹ which then decreases the level of Ca^{2+} in the ER resulting in the induction of ER stress through the phosphorylation of eIF2 α and activation of its effectors such as ATF4 and CHOP. We will detect cytoplasmic and mitochondrial Ca^{2+} using specific fluorochromes.

Several published reports connect the inhibition of the Wnt- β -catenin pathway with CHOP protein.^{212,213} However, the exact mechanism that links these events requires further clarification. A shRNA against *CHOP* will allow us to assess the effect of CHOP on Wnt signalling. The lack of β -catenin target genes inhibition upon shRNA treatment will confirm the correlation between CHOP and Wnt- β -catenin pathway.

In summary, we identified and selected two compounds, auranofin and pyrvinium pamoate, capable of killing leukaemic cells through two rounds of drug repurposing screening. Their activity was validated in *in vitro* experiments and is being tested *in vivo*. Known and novel mechanisms of action have been confirmed and proposed. Taken together, the results of this project corroborate the potential of auranofin as a therapeutic option for AML patients, should its *in vivo* efficacy be proven. On the contrary, given the lack of cytotoxicity and modest results of combination treatment, as well as inexistent toxicity data when injected into an organism, the utility of repurposing pyrvinium pamoate is much less convincing, although clearly, the *in vivo* part of the project requires further work for both compounds.

It is also important to point out that the screening yielded many more candidates and its results constitute a resource that one can tap into for further validation projects.

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6 Appendix

During the first year of my PhD studentship, while I was setting up the conditions for the HTS drug repurposing screen, I had worked on a project entitled “Cell-therapy using extracellular vesicles isolated from genetically-engineered cell lines expressing the transmembrane TNF-Related Apoptosis-Inducing Ligand (mTRAIL)”, which was meant to be my thesis project. Unfortunately, the experiments repeatedly yielded conflicting results, and the hypothesis that TRAIL-expressing EVs can constitute an anti-cancer treatment was not confirmed, especially *in vivo*, as detailed below. This led me to change my thesis project, with the approval of my supervising team.

In this appendix, I have included a brief summary of this project, as requested by the SEMM rules.

6.1 Cell-therapy using extracellular vesicles isolated from genetically-engineered cell lines expressing the transmembrane TNF-Related Apoptosis-Inducing Ligand (mTRAIL)

Outline of the project

Background

In recent years, several therapeutic strategies that promote tumour cell death have been developed. Indeed, the best therapeutic strategy for cancer treatment is the use of agents that are capable of killing cancer cells without affecting normal cells. Tumour necrosis factor (TNF)-related apoptosis-inducing ligand (TRAIL), a member of the TNF superfamily, is able to initiate apoptosis selectively in cancer cells rendering it an appealing candidate for the development of targeted cancer therapy. TRAIL binds to five different receptors including the DR4 and DR5 agonist receptors that induce apoptotic

response.¹ Several studies reported promising results *in vitro*; thus, recombinant human TRAIL/Apo-2L (Dulanermin) was tested, in phase I/II clinical trials recruiting patients affected by different type of cancers. Dulanermin was generally well tolerated by patients, but the majority of the studies reported relatively little clinical efficacy.¹

Recent studies demonstrated that TRAIL has a more potent anti-cancer activity when it is bound to cell membrane (mTRAIL) compared to its soluble form.^{2,3} Cell-based therapy is a promising but challenging approach: side effects associated with the infusion of cells and cell accumulation in lungs and kidneys have to be considered. One possible alternative approach is the used of cell-free systems such as liposomes and extracellular vesicles (EVs). EVs mediate intercellular communication through the transmission of various substances such as proteins, bioactive lipids and nucleic acids influencing physiological and pathological functions of target cells.^{4,5}

EVs are isolated from the vast majority of cell types and biological fluids. Moreover, they can be classified based on their origin in exosomes, micro-vesicles and apoptotic bodies. EVs are 100 or 1000 times smaller than a cell, thus less toxic effects and a uniform distribution in the organism may be expected.

Project design

The project originated from the observation that mTRAIL has a potent antitumour activity and is able to selectively target cancer cells. EVs, a cell free system, may represent a valid delivery method for the TRAIL molecule.

The aim of the project was to determine the efficacy of TRAIL-expressing EVs against different types of cancer cells adopting a multidisciplinary approach, both *in vitro* and *in vivo*. For the achievement of this goal, the following tasks were performed:

1. Generation of TRAIL-expressing cell lines (HL60, K562 and mesenchymal cells) and evaluation of EV production

2. Assessment of treatment efficacy with TRAIL-expressing EVs on different cancer cell lines *in vitro*
3. Assessment of treatment efficacy *in vivo*

Summary of results

Generation of TRAIL-expressing cell lines and EVs production

For EVs production, we initially used standard non-adherent cell lines that are easy to grow in unlimited quantities. HL60 and K562 cell lines were selected and infected with a TRAIL-expressing lentiviral vector. TRAIL expression was assessed by flow cytometry and western blotting (**Figure 6.1 A and B**). Infected and non-infected cells were grown for 48h in low-serum medium and the resulting conditioned medium harvested and ultracentrifuged to recover TRAIL+ (TRAIL) and TRAIL- (WT) EVs. We evaluated TRAIL expression by the EVs using western blot analysis (**Figure 6.1 B**).

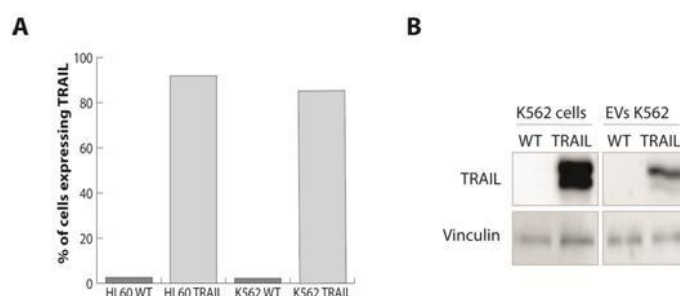


Figure 6.1: Detection of TRAIL expression in cell lines and EVs isolated from them

(A) Flow cytometry analysis of TRAIL expression on HL60 and K562 cell lines. Cells were harvested and labelled with PE-TRAIL antibody. Histogram illustrates the percentage of TRAIL positive cells. (B) Representative immunoblot analysis to confirm TRAIL expression on K562 cells and EVs derived from them. The expression of TRAIL was analysed by SDS-PAGE and western blotting. Vinculin levels were used as a loading control.

Assessment of treatment efficacy *in vitro* and *in vivo*

We next determined the efficacy of TRAIL EVs in inhibiting growth of MDA-MB-231 breast cancer and MEL1300 melanoma cell lines, both *in vitro* and *in vivo*. The *in vitro* survival test showed a modest efficacy of TRAIL EVs (**Figure 6.2**). Moreover, their efficacy was variable from one production to the next.

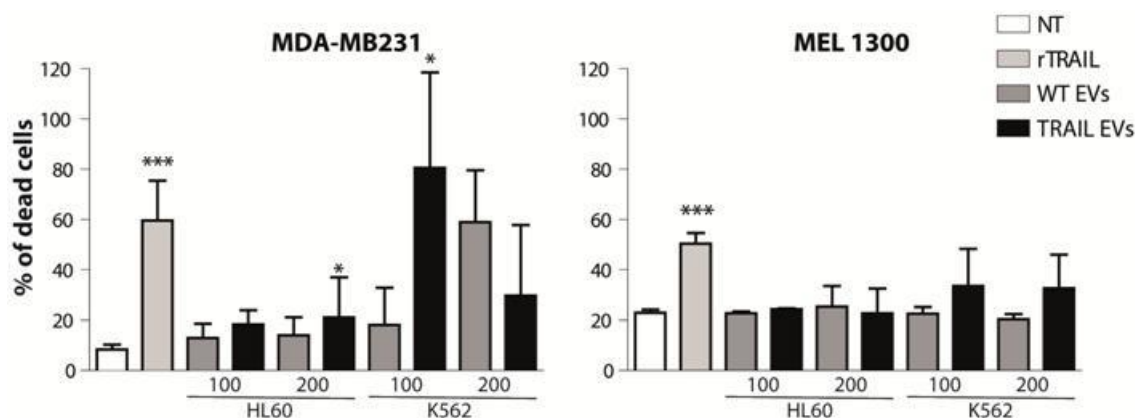


Figure 6.2: EVs induce apoptosis of cancer cells

Effect of TRAIL EVs on different cancer cell lines. MDA-MB231 and MEL1300 were cultured in a six-well plate and treated for 24 h with EVs (WT and TRAIL) isolated from conditioned medium of HL60 and K562 cell lines at two different concentrations (100 µg/ml and 200 µg/ml), or treated with 100 ng/ml of recombinant TRAIL (rTRAIL). Cells were harvested and labelled with Annexin V-AF647 and PI for apoptosis assay by flow cytometry. Data represent averages \pm SD. * $p < 0.05$, ** $p < 0.01$ compared to not treated cells (NT) by Student t-test.

Given that the response to treatment with TRAIL EVs was limited and different for the two cell lines, we assessed the expression of TRAIL receptors, namely DR4 and DR5, by real time RT-PCR and flow cytometry, as it is known that TRAIL induces apoptosis through these two main receptors. We noticed a difference between the amount of mRNA and the protein for the MEL1300 cells, but we confirmed the expression of one of the two death receptors in both the cell lines tested (**Figure 6.3 A and B**).

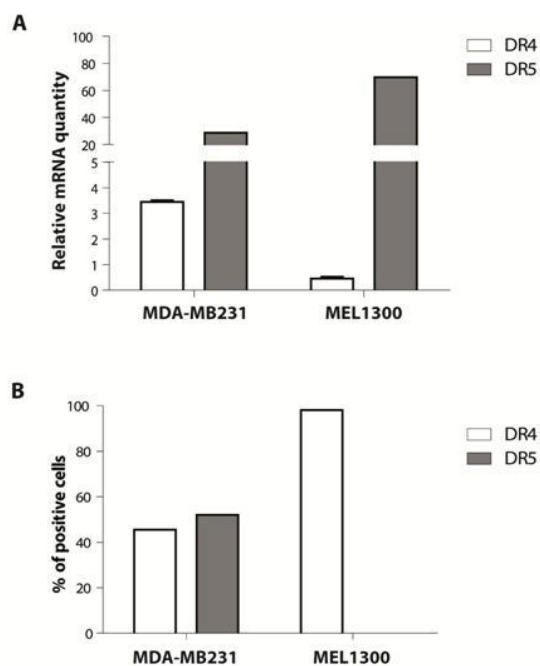


Figure 6.3: Detection of DR4 and DR5 expression in different cancer cell lines

(A) The relative mRNA levels of DR4 and DR5 receptors were quantified by real-time RT-PCR. All values were normalized using TBP as internal control. Values are presented as mean \pm SD. (B) Flow cytometry analysis of DR4 and DR5 expression on MDA-MB231 and MEL1300 cell lines. Cells were harvested and labelled with FITC-DR4 and PE-DR5 antibodies. Histogram illustrates the percentage of DR4 and DR5 positive cells.

The *in vivo* experiments were conducted on a subcutaneous *xenograft* model created by inoculating MDA-MB231 and MEL1300 into immunocompromised mice. Briefly, we injected 2×10^6 of MDA-MB-231 or 2×10^5 of MEL1300 cells in two different spots on the abdomen of NOD-scid IL2Rgamma^{null} (NGS) mice, one on the right and one of the left. After a week from injection, all tumours were palpable, tumour volume was then measured and mice were randomized into five experimental groups that possessed the same average tumour volume. The groups included mice treated with TRAIL or WT EVs obtained from HL60 and K562 cell lines and with PBS as a control. Treatment was performed according to the therapeutic scheme illustrated in **Figure 6.4 A**. Tumour growth was monitored weekly by manually measuring the *xenografts* with a calliper. After 30 days from the beginning of treatment, mice were sacrificed due to the large size of tumours.

The treatment with TRAIL EVs produced from either cell line showed no significant effect on tumour growth in mice treated with TRAIL EVs compared to controls (PBS, only) (Figure 6.4 B and C).

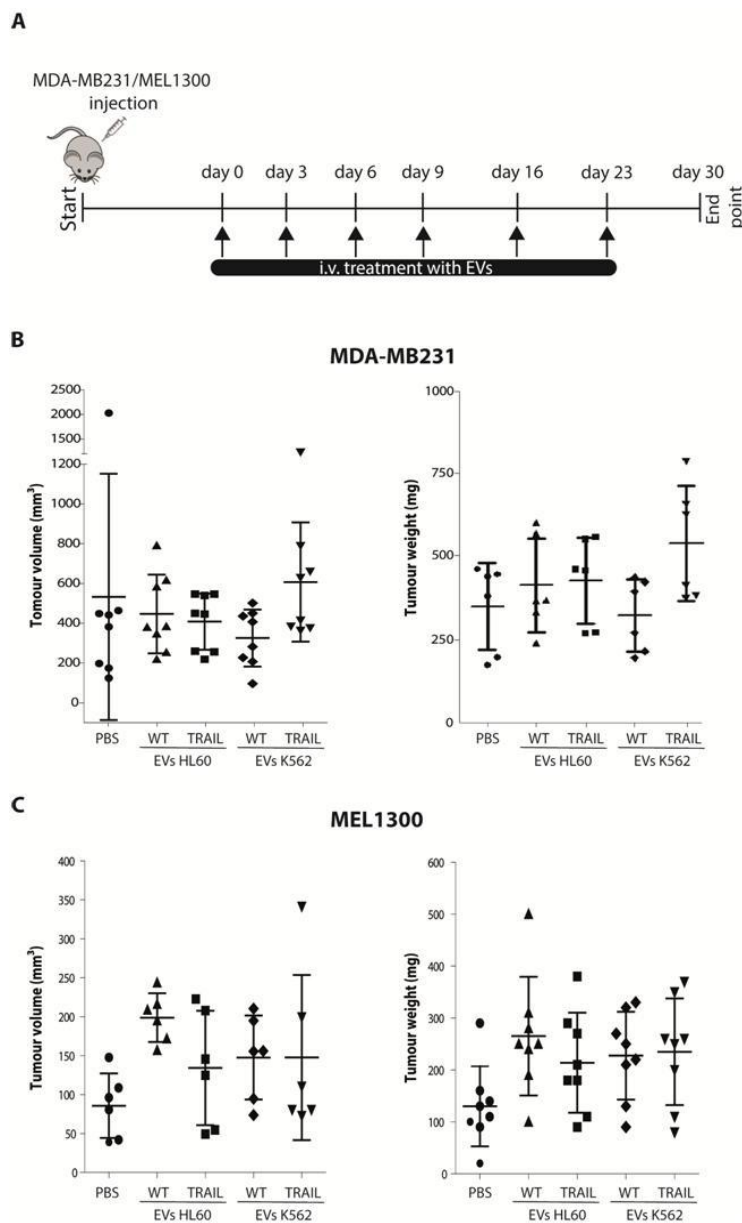


Figure 6.4: In vivo effect of EVs

(A) Schematic representation of the experimental protocol used for the treatment with EVs. Mice were inoculated with MDA-MB231 or MEL1300 cells and treated with 200 µg of EVs at the indicated time points. (B) Left-hand side panel – tumour volume; right-hand side panel – tumour weight of tumours resected from mice inoculated with MDA-MB231 and treated with 200 µg EVs (WT and TRAIL) isolated from conditioned medium of HL60 and K562 cell lines. Means ± SD are reported. (C) Left-hand side panel – tumour volume; right-hand side panel – tumour weight of tumours resected from mice inoculated with MEL1300 and treated with 200 µg EVs (WT and TRAIL) isolated from conditioned medium of HL60 and K562 cell lines. Means ± SD are reported.

Despite a minimal effect at the macroscopic tumour growth level, immunohistochemical analysis revealed areas of extensive necrosis and apoptosis with haemorrhagic zones in

tumours removed from mice treated with TRAIL EVs and not in the control tumours suggesting TRAIL-dependent effect on tumour vasculature (**Figure 6.5 A and B**).

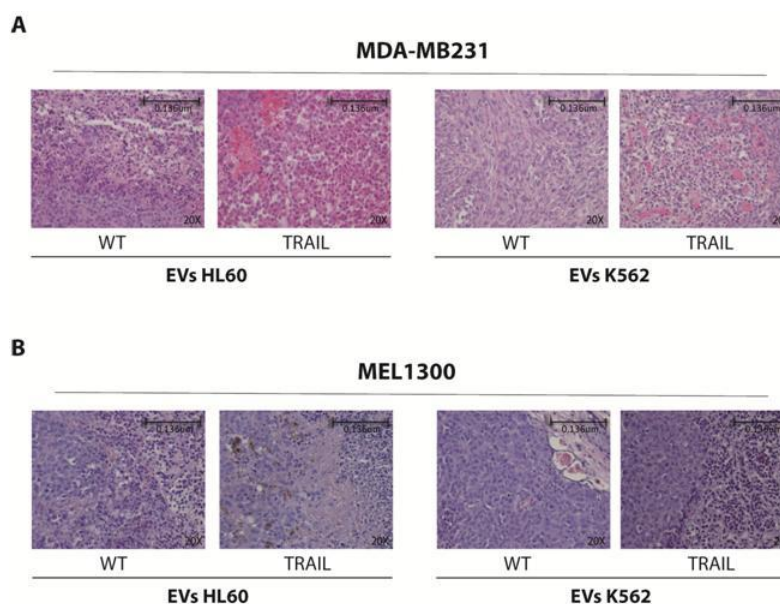


Figure 6.5: Immunohistochemical analysis of xenograft tumours
Haematoxylin-eosin stained histological sections of xenograft tumours with MDA-MB231(A) and MEL1300 (B) upon EVs treatment.

To further investigate this observation, we performed a third *in vivo* experiment using IVIS Illumina bioimaging system in order to refine the method of outcome measurement. Moreover, we tested if there was a synergistic effect between bevacizumab, a monoclonal antibody that inhibits angiogenesis, and TRAIL EVs produced from K562 on the MDA-MB-231 *xenograft* tumours. Two-hundred μg of EVs either WT and TRAIL were injected i.v. two times a week combined with 100 μg of bevacizubam injected i.p. No clear synergy was seen and bevacizumab alone was more efficacious than the EVs alone (**Figure 6.6**). Taken together, these results show that EVs produced from common cell lines such as K562 and HL60 cannot be used for TRAIL EV therapy.

MDA-MB231

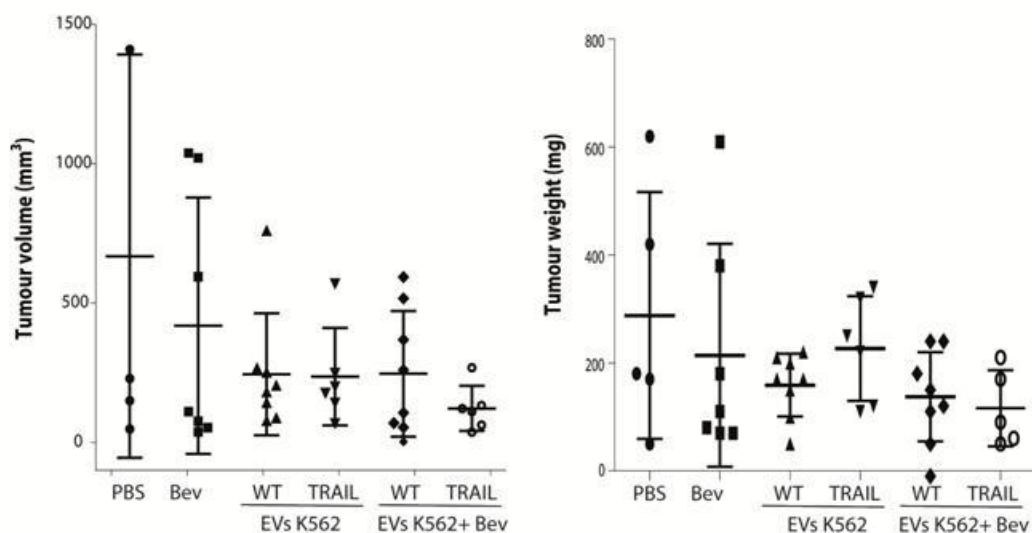


Figure 6.6: In vivo effect of EVs

Left-hand side panel – tumour volume; right-hand side panel – tumour weight of tumours resected from mice inoculated with MDA-MB231 and treated with EVs (WT and TRAIL) isolated from conditioned medium of K562 cell line alone or in combination with bevacizumab. Means \pm SD are reported.

Generation of TRAIL-expressing MSC and EVs production

We next decided to adopt mesenchymal stem cells (MSC) as a source of EVs. Bone marrow samples were taken from 10 patients and MSC lines established. MSC were infected with the lentiviral TRAIL-expressing vector previously used for both HL60 and K562 cell lines. Infected and non-infected cells were grown for 72h in nonadherent condition and the resulting conditioned medium was harvested and ultracentrifuged to recover TRAIL and WT EVs. We confirmed TRAIL expression in MSC cells and in the corresponding EVs by western blotting (**Figure 6.7**).



Figure 6.7: Detection of TRAIL expression in MSC cells and EVs isolated from them
 Representative immunoblot analysis to confirm TRAIL expression on MSC cells and EVs derived from them. The expression of TRAIL was analysed by SDS-PAGE and western blotting. α -tubulin levels were used as a loading control.

Assessment of treatment efficacy *in vitro* and *in vivo*

We observed that TRAIL MSC-EVs reduced the viability of MDA-MB231, MEL1300 and SUDHL-4 cells more efficiently than cell-line-derived-EVs as confirmed by CellTiter-Glo (CTG) assay (**Figure 6.8**) and flow cytometry analysis upon AnnexinV/PI staining (data not shown).

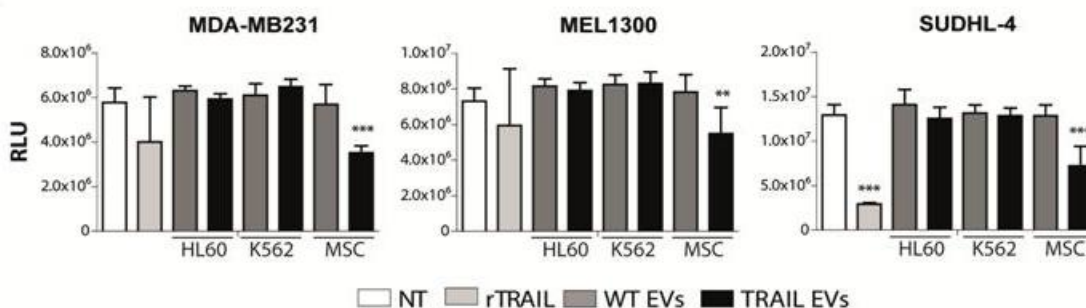


Figure 6.8: Comparison of the effect of EVs isolated from cell lines and MSCs
 Effect of TRAIL EVs on different cancer cell lines. Cells were cultured in 96-well plate and treated for 24 h with 50 μ g/ml of EVs (WT and TRAIL) isolated from conditioned medium of HL60, K562 and MSC cells, or treated with 100 ng/ml of recombinant TRAIL (rTRAIL). Viability was evaluated using the CTG assay. Data represent averages \pm SD. ** $p < 0.01$, *** $p < 0.001$ compared to not treated cells (NT) by Student *t*-test.

Unfortunately, primary MSC cells undergo senescence and, as expected, after 10 passages MSC cells stopped growing, changed morphology and became frankly senescent as

attested to by p16 expression (**Figure 6.9**). Thus, they cannot be use for large-scale EVs production as they grow slowly and have a limited lifespan.

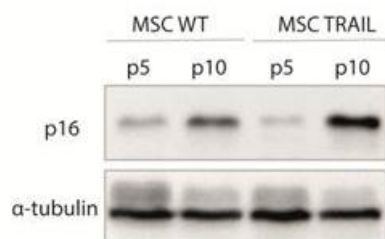


Figure 6.9: MSC cells become senescence after limited passage number

Representative immunoblot analysis to confirm p16 expression on MSC cells both WT and TRAIL. The expression of p16 was analysed by SDS-PAGE and western blotting. α-tubulin levels were used as a loading control. p5, five passage number; p10 ten passage number.

A hTERT-immortalised MSC (hTERT MSC) cell line⁶ was sought and transduced with TRAIL. EVs were isolated using the same protocol as described above. The expression of TRAIL was confirmed both in cells and EVs derived from them (**Figure 6.10**). We confirmed the efficacy of TRAIL EVs derived from hTERT MSC by performing Annexin V/PI staining (data not shown) and CTG luminescence assay (**Figure 6.11**).

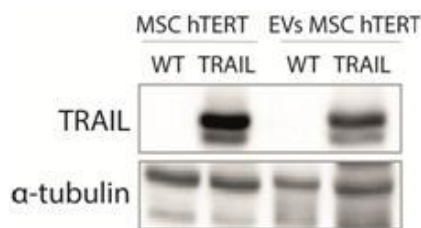


Figure 6.10: Detection of TRAIL expression in MSC hTERT cells and EVs isolated from them.

Representative immunoblot analysis to confirm TRAIL expression on MSC hTERT cells and EVs derived from them. The expression of TRAIL was analysed by SDS-PAGE and western blotting. α-tubulin levels were used as a loading control.

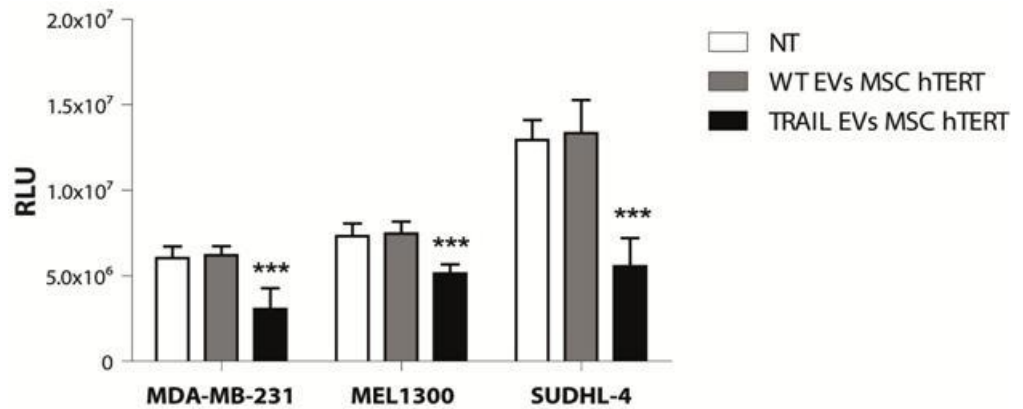


Figure 6.11: Effect of EVs isolated from MSC hTERT cell line

Effect of TRAIL EVs on different cancer cell lines. Cells were cultured in 96-well plate and treated for 24 h with 50 µg/ml of EVs (WT and TRAIL) isolated from conditioned medium of MSC hTERT cells. Viability was evaluated using the CTG assay. Data represent averages ± SD. *** $p < 0.001$ compared to not treated cells (NT) by Student t-test.

The *in vivo* experiments were conducted creating subcutaneous *xenograft* model of the MEL1300 cell line. Briefly, we injected 2×10^5 of MEL1300 cells in two separate spots of the abdomen of NGS mice. After ten days from injection all tumours were palpable, tumour volume was then measured and mice were randomized into three experimental groups: i) TRAIL EVs obtained from hTERT MSC ii) WT EVs obtained from hTERT MSC iii) PBS. Treatment was performed according to the therapeutic scheme illustrated in **(Figure 6.4A)**. No therapeutic effect was observed as attested by lack of difference of tumour volume measurements in mice treated with TRAIL EVs compared to control **(Figure 6.12)**.

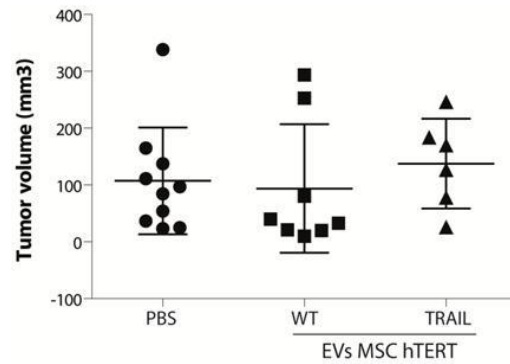


Figure 6.12: In vivo effect of EVs derived from MSC hTERT

Tumour volume of mice inoculated with MEL1300 and treated with 200 μg of EVs (WT and TRAIL) isolated from conditioned medium of MSC hTERT cell line. Means \pm SD are reported.

Although MSC cells represent a valid source of EVs that express stably TRAIL protein upon transduction, some weaknesses have to be considered. First, the yields of EVs from MSC are very low. We tried to increase the yield by replacing the common culture flasks with bioreactors that allow cells to be cultivated at high density. Unfortunately, the number of particles measured by Nanoparticle Tracking Analysis (NTA) was equal for samples from bioreactor and flask. In addition, we observed that the EVs produced in bioreactors comprised a more homogeneous population in terms of average molecule size as measured by NTA (data not shown). The significance of this observation remains unknown, even though the EVs preparation from bioreactors was sticky and forms a gelatinous pellet that is very difficult to dissolve. The efficacy of EVs produced in bioreactor, instead, was comparable to EVs produced in flask, although much of the production has to be filtered out due to solubility issues. Second, it seemed that only 10% of EVs derived from MSC cells expressed TRAIL as attested to by FACS analysis performed by Prof. Luca Gianni and collaborators (data not shown). This could provide an explanation for the unsuccessful *in vivo* data and the variability of the *in vitro* results. An optimisation of EVs production, a better strategy for their purification, an adjustment of the *in vivo* dosage and administration, and finally a better characterization of EVs and their content would be required.

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Reviews

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