# STRIDOR IN MULTIPLE SYSTEM ATROPHY: CONSENSUS STATEMENT ON DIAGNOSIS, PROGNOSIS AND TREATMENT

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#### **Abstract**

Multiple system atrophy (MSA) is a neurodegenerative disorder characterized by a combination of autonomic failure, cerebellar ataxia and parkinsonism. Laryngeal stridor is an additional feature for MSA diagnosis, showing a high diagnostic positive predictive value. Stridor might be implicated in sudden death during sleep in MSA and its early occurrence might contribute to shorten survival, however a consensus definition of stridor in MSA is lacking and disagreement persists about its diagnosis, prognosis and treatment. An International Consensus Conference among experts with methodological support was convened in Bologna in 2017 to define stridor in MSA and to reach consensus statements for the diagnosis, prognosis and treatment. Stridor was defined as a strained, high-pitched, harsh respiratory sound, mainly inspiratory, occurring only during sleep or during both sleep and wakefulness, and caused by laryngeal dysfunction leading to narrowing of the glottis rimae. According to the consensus, stridor may be recognized clinically by the physician if present at time of examination, with the help of a witness, or by listening to an audio recording. Laryngoscopy is suggested to exclude mechanical lesions or functional vocal cord abnormalities related to different neurological conditions. If the suspicion of stridor needs confirmation druginduced sleep endoscopy or video-polysomnography may be useful. The impact of stridor on survival and quality of life remains uncertain. Continuous positive airway pressure and tracheostomy are both suggested as symptomatic treatment of stridor, but whether they improve survival is uncertain. Several research gaps emerged involving diagnosis, prognosis and treatment. Unmet needs for research were provided.

#### Introduction

Multiple system atrophy (MSA) is a progressive neurodegenerative disorder characterized by a variable combination of autonomic failure, cerebellar ataxia and parkinsonian features, typically poorly responsive to levodopa. The diagnostic criteria define three degrees of certainty for diagnosis, possible, probable and definite, and two phenotypes, parkinsonian (MSA-P) and cerebellar (MSA-C), according to the predominant feature at the time of evaluation<sup>1-2</sup>. Causes of death in MSA commonly include bronchopneumonia, urosepsis or sudden death that often occurs during sleep<sup>2</sup>.

Several sleep-related breathing disorders, including stridor, central and obstructive sleep apnea, frequently occur in MSA<sup>3-4</sup>. Stridor has been included in the diagnostic criteria as additional feature for the diagnosis of possible MSA, showing a high diagnostic positive predictive value<sup>5-7</sup>. A recent study has suggested that early stridor onset is an independent risk factor for shorter survival<sup>8</sup>, however its prognostic role remains controversial<sup>9-11</sup>. This may be a consequence of the distinct design, population characteristics and MSA diagnostic certainty (clinical vs. autopsy-based) across studies. Further, the lack of a universal definition for stridor as well as a gold standard for its diagnostic assessment may explain result heterogeneity.

Two main options have been suggested for treating stridor: tracheostomy or continuous positive airway pressure (CPAP)<sup>5</sup>. Tracheostomy is currently preferred in the advanced disease stage for severe stridor and in case of stridor during wakefulness with immobile vocal cords on laryngoscopy<sup>5</sup>. CPAP as a non-invasive therapy can be used for mild and moderate intensity stridor occurring during sleep and related obstructive apneas. However, guidelines for stridor management are lacking and only a few studies have assessed the role of stridor treatment on survival<sup>8,12-14</sup>. The "Istituto di Ricovero e Cura a Carattere Scientifico delle Scienze Neurologiche di Bologna" (IRCCS-ISNB) promoted an International Consensus Conference among experts in the field with methodological support, convened in Bologna, Italy, on October 6<sup>th</sup>-7<sup>th</sup> 2017. The aims of the

conference were to: (1) determine criteria for the diagnosis of stridor and consequently define stridor in MSA, (2) define the prognostic value of stridor on MSA survival, (3) suggest therapeutic options for stridor, and (4) provide statements for future research after systematically reviewing evidence and identifying unmet needs for clinical practice and research grey zones.

## Methods

The method was inspired by the US National Institutes of Health Consensus Development Program (http://consensus.nih.gov)<sup>15</sup> and adapted from the Methodological Handbook of the Italian National Guideline System<sup>16</sup>. The consensus conference method is recommended for addressing important clinical questions in the face of limited good quality evidence. The main outcome, a consensus statement, represents the collective opinions of an expert panel, derived from systematic review and discussion of available evidence (http://www.chestnet.org/Guidelines-and-Resources/About-CHEST-Guidelines/Guideline-Development)<sup>17</sup>. The organizer of the Bologna Consensus Conference was the IRCCS-ISNB, Italy. Planning and execution of the project was carried out in four phases: (1) assignment, (2) scoping, (3) assessment, and (4) the consensus conference itself. All activities, from conception to realization, were completed between February and October 2017. During the same period, a parallel project on dysphagia in patients with MSA was performed. In (1) the assignment phase, the entities and their roles were defined and participants were nominated and invited. Four entities were appointed: 1) the Scientific Committee (six members) planned and organized the whole project, nominated Consensus Development Panel and Workgroup members, chose the questions to be answered by the Workgroup; 2) the Technical Committee (two members) established methods and rules of the Consensus Conference, assisted with defining questions, performed the systematic review with evidence mapping; 3) one Workgroup of experts (eight members) focused on stridor, synthesized and integrated information from the systematic review before the consensus conference, provided shared answers to the proposed questions, and presented their findings during the Consensus Conference, including research gaps and a proposal for future research; 4) the Consensus Development Panel (eight members) chaired the Consensus Conference, established presentation procedures, and provided final statements.

In (2) the scoping phase, the scope and the protocol for the systematic review (registered on **PROSPERO** database, **PROSPERO** 2018 CRD42018079084, available from: http://www.crd.york.ac.uk/PROSPERO/display\_record.php?ID=CRD42018079084; access September 29, 2018)<sup>18</sup> and the protocol for the conference were defined. The Scientific Committee identified the topics and together with the Technical Committee formulated the questions to be addressed. The framed **PICO** model questions were according to the (https://www.cebm.net/2014/06/asking-focused-questions/)<sup>19</sup>.

In (3) the assessment phase, the Technical Committees carried out a systematic review with evidence mapping, to assess the state-of-knowledge on stridor in MSA. The systematic review was performed following accepted criteria for the good conduct and reporting of systematic reviews<sup>18</sup> and reported according to PRISMA guidelines<sup>20</sup>. The descriptive map of available research evidence was performed by adapting the methodology reported by the Global Evidence Mapping Initiative<sup>21</sup> which involved detailed coding of included studies and in-depth syntheses of the available research. Studies eligible for inclusion were published studies of any kind of design reporting original data on subjects with MSA suffering from stridor during sleep, dealing with diagnosis, prognosis, and/or treatment. Studies published in abstract form, narrative review or concept papers were excluded. Published studies were identified from the National Library of Medicine's MEDLINE database, Elsevier's EMBASE database, The Cochrane Central Register of Controlled Trials by means of specific search strategies, using a combination of exploded MeSH terms and free text combing the concepts of stridor in sleep and MSA (see protocol on PROSPERO details: http://www.crd.york.ac.uk/PROSPERO/display\_record.php?ID=CRD42018079084). Reference lists of identified articles were reviewed to find additional references. All abstracts or full papers without electronic abstracts were reviewed independently by two reviewers to identify

potentially relevant studies. Each study was classified according to various descriptors, including topic domain, sample size, design, presence of diagnostic criteria of the stridor, and level of evidence according to the Classification of Evidence Schemes of the Clinical Practice Guideline Process Manual of the American Academy of Neurology (2011)<sup>22</sup>. Briefly, each study was graded according to its risk of bias from Class I (highest quality) to Class IV (lowest quality). Risk of bias was judged by assessing specific quality elements (i.e., study design, patient spectrum, data collection, masking) for each clinical topic (diagnostic accuracy, prognostic accuracy, therapeutic). Disagreement between the two reviewers was resolved by discussion.

The Technical Committee then sent to the Workgroup experts a detailed summary of the systematic review with evidence mapping, the questions, and the abstracts of the most prominent studies, classified by topic and quality. The workgroup produced draft answers to be discussed during the Consensus Conference.

The **Consensus Conference** (4) was held over two days (October the 6<sup>th</sup> and 7<sup>th</sup>, 2017) in Bologna. On the first day, the Consensus Development Panel established the rules for the open discussion meetings, appraised the state-of-knowledge on stridor in MSA and the preliminary answers provided by experts, and proposed future strategies for the publication of the consensus statements. During a contemporaneous closed meeting, the experts independently discussed and reached final answers to the questions assigned to them. Finally, an open discussion was held in which experts presented their findings and all participants debated openly to reach consensus regarding each topic and the need for further research. On the second day, the Consensus Development Panel drafted a summary of the findings in a closed session. The chairperson then reported the findings in an open session that included the consensus conference participants as well as other members of the scientific community and officials from the organizing institution. Finally, experts gave a presentation on needs for future research.

# **Results**

# Systematic review with evidence mapping

The literature search was performed in July 2017, and retrieved 212 citations after duplicate removal (Figure 1). Each retrieved article was screened to assess potential relevance, and 53 were reviewed from the full text for inclusion. A total of 42 studies finally met the prespecified inclusion criteria and 34 were used as basis for the statements. The majority of studies on diagnosis and treatment were of class IV quality; those on prognosis of class III (Tables 1-4). Most studies on diagnosis and treatment included fewer than 10 patients, and were case series or case reports as design. The cohort design was used in the majority of prognosis studies.

# **Diagnosis of stridor**

Stridor is a respiratory disturbance that in MSA typically occurs during sleep and might develop at any time point in the disease process. According to clinical and clinico-pathological studies, stridor prevalence in MSA ranges from 12-42% 8-12,23-25, and is similar in MSA-C and MSA-P8-9,11-12. In two studies, 4%-5.2% of patients presented stridor as an initial manifestation of MSA 8,26.

The clinical diagnosis of stridor remains challenging. The presence of a nighttime witness is typically necessary to suspect stridor since patients may be unaware of it. A high-pitched sound or heavy snoring are the symptoms frequently reported in patients who eventually turn out to have stridor, illustrating the problem of the differential diagnosis with snoring and obstructive sleep apnea syndrome, which are two other frequent sleep-related breathing disorders in MSA 3-4,10,24,27-29.

The term "peculiar snoring" was initially used to describe the distinctive noise occurring in MSA due to vibration of the vocal folds in inspiration, with a fundamental acoustic frequency of 260-330 Hz, different from that of ordinary soft palate snoring 30. Subsequently, only a single study has analyzed the acoustic features of stridor, in 22 MSA patients, by means of the Multi-Dimensional Voice Program 31. This study showed that stridor can be decomposed into rhythmic and semirhythmic waveforms. In both cases, it is comprised of formats and harmonics, whose presence

suggests an origin in the vocal cords. In contrast, the sound analysis of snoring that was available for 18 of these patients, revealed an irregular shaped sound with no formats and harmonics.

Studies with video-polysomnography (VPSG), which include audio recording and concurrent evaluation of vocal cord motion by fiberoptic laryngoscopy, showed that the high-pitched sound identified as stridor in MSA patients was associated with impaired vocal cord abduction, paradoxical adduction or both during inspiration and expiration, leading to narrowing of the glottis rimae. This indicates that inspiratory vibration of the narrowed vocal cord folds causes stridor<sup>32-38</sup>. In one VPSG study exploring breathing activity and electromyographic (EMG) activity of the respiratory muscles, stridor was accompanied by overactivation of intercostalis and diaphragmatic muscles. In this study, the observation of tonic and subcontinuous muscle recruitment with phasing-out of thoracic as opposed to abdominal respiratory traces with paradoxical inward movements of the abdominal wall during inspiration were suggestive of paradoxical breathing<sup>37</sup>.

Further VPSG studies showed that other sleep-related respiratory disturbances such as snoring, central and obstructive sleep apneas as well as breathing rate abnormalities (i.e. a pathological breathing rate increase during NREM and REM sleep) may occur in MSA patients with and without stridor<sup>12,24,33,37-39</sup>.

Laryngoscopy during wakefulness in MSA patients with stridor, performed to exclude secondary causes or functional vocal cord abnormalities related to other neurological conditions, can reveal bilateral or unilateral impairment of vocal cord abduction of varying severity or normal vocal cord motility<sup>27,29,32-33,35-36,39-44</sup>. Conversely, impairment of vocal cord motility during wakefulness was also observed in MSA patients without stridor during sleep<sup>27</sup>.

Studies with drug-induced sleep endoscopy (DISE) demonstrated impaired abduction or paradoxical adduction of the vocal cords in MSA patients with stridor who had normal vocal cord motility on awake laryngoscopy<sup>32-33,35-37,41-44</sup>.

Finally, a few studies have performed EMG of laryngeal muscles during wakefulness and druginduced sleep. MSA patients with stridor could present normal EMG activity of adductor and abductor laryngeal muscles during quiet breathing and inspiration. Alternatively, they could show a neurogenic pattern of muscle unit action potential analysis of these muscles associated with tonic activity of adductor muscles during quiet breathing and paradoxical activity during inspiration. During drug-induced sleep the main patterns were persistent tonic activity or paradoxical activation of laryngeal adductor muscles during inspiration<sup>35-38,44-46</sup>.

# Statements on the diagnosis of stridor

Clinical features suggesting the presence of stridor

Statements are based on core literature consisting of Class IV level studies<sup>10,12,24,31</sup> and expert opinion.

- Stridor is suspected when a high-pitched breathing sound is emitted by the patient during sleep or while awake, or when reported by caregivers.
- Stridor is probably under-recognized since patients and caregivers may be unaware of its presence, especially when it occurs at night.
- Recognition could be possible by the patient or caregiver after imitation of stridor by the physician (see supplementary sound track file).

Home audio recording to support the diagnosis of stridor

Statement is based on expert opinion since literature on the use of home audio recording to support the diagnosis of stridor (differential diagnosis of stridor from snoring) is lacking.

• Patients and caregivers should be encouraged to audio record episodes of suspected stridor.

VPSG to support the diagnosis of stridor

Statements are based on core literature consisting of Class IV level studies<sup>10,12,24,31,33,37-39</sup> and expert opinion.

• VPSG including audio is not necessary if the physician has already diagnosed stridor.

- VPSG including audio may demonstrate stridor and its inspiratory nature.
- VPSG can characterize other sleep sounds.

## Laryngoscopy for assessing stridor

Statements are based on core literature consisting of Class IV level studies<sup>27,29,32-37,39-44</sup> and expert opinion.

- Laryngoscopy can exclude mechanical lesions (e.g. masses and scars) or functional vocal cord abnormalities related to different neurological conditions (central or peripheral disorders).
- Laryngoscopy may reveal vocal cord motility impairment in MSA patients with stridor.
- If awake laryngoscopy is normal, DISE might be considered if the suspicion of sleep-related stridor needs confirmation.

### Other investigations for assessing stridor

Statements are based on core literature consisting of Class IV level studies<sup>35-38,44-46</sup> and expert opinion.

- There is no evidence that other investigations are useful.
- EMG of the laryngeal muscles may show denervation or abnormal hyperactivity

### Conclusion on diagnostic criteria for stridor and definition of stridor in MSA

- Stridor in MSA is a strained, high-pitched, harsh respiratory sound, mainly inspiratory, caused by laryngeal dysfunction leading to narrowing of the glottis rimae. It may occur only during sleep or it may be present both during sleep and wakefulness.
- Stridor may be recognized clinically if present at the time of neurological examination, with the help of a witness, or by listening to an audio recording.

- Laryngoscopy is suggested to exclude mechanical lesions (e.g. masses and scars) or functional vocal cord abnormalities related to different neurological conditions (central or peripheral disorders).
- If awake laryngoscopy is normal and the suspicion of sleep-related stridor needs confirmation, the following additional evaluations might be considered: a) DISE and b) VPSG to document the inspiratory nature of the sound, the presence of expiratory intercostalis activation or the presence of associated sleep breathing disorders

# **Prognostic value of stridor**

Retrospective cohort studies have reported conflicting results on the prognostic value of stridor<sup>47</sup>. Seven studies did not find an association between the presence of stridor during the disease course and shortened survival<sup>8-9,11,14,48-50</sup>. In most of these studies, stridor was clinically suspected without instrumental confirmation. In contrast, one study showed shorter survival in MSA patients with stridor after VPSG recording, but not from disease onset<sup>10</sup>. Finally, the largest study with VPSG found that early onset of stridor (within three years from motor or autonomic symptom onset) was an independent predictor of shorter survival<sup>8</sup>. Based on an analysis with the Multi-Dimensional Voice Program, one study reported that acoustic features of stridor may affect survival in MSA<sup>31</sup>.

### Statements on the prognostic value of stridor

Effect of stridor on survival

Statements regarding the effect of stridor on survival in MSA are based on core literature consisting of Class II/III level studies<sup>8-11,14,31,48-50</sup>, a systematic review<sup>47</sup> and expert opinion.

- Whether stridor affects survival is uncertain.
- Stridor within three years of motor or autonomic symptom onset may shorten survival.

  However identification of stridor onset may be difficult.
- Whether specific features of stridor affect survival remains to be determined.

 Stridor during wakefulness is widely considered to reflect a more advanced stage of the disease than stridor occurring during sleep.

Effect of stridor on well-being or health-related quality of life

Literature on the effect of stridor on well-being or health-related quality of life is lacking. Statements are based on expert opinion.

- Stridor can be distressing for patients and caregivers.
- The impact on health-related quality of life remains to be determined.

## **Treatment of stridor**

Four retrospective studies reported that the treatment of stridor improves survival<sup>8,12-14</sup>. Stridor treatment mainly comprised CPAP or tracheostomy<sup>10,27,29,32-33,35,39,51-52</sup>. In three studies (<15 patients), CPAP initially eliminated stridor in almost all patients<sup>12,13,51</sup>, but the long-term symptomatic effect remains unknown.

Three studies reported survival in MSA patients treated with CPAP. In one small study, CPAP had no effect on survival<sup>10</sup>. In another prospective cohort study, MSA patients with stridor receiving CPAP (n=13) had similar median survival compared to a group of MSA patients without stridor (n=26)<sup>12</sup>. Sudden death was reported in 2 out of 13 patients following CPAP initiation<sup>13</sup>.

Classic tracheostomy is usually the surgical procedure of choice for stridor. This involves the positioning of a fenestrated cannula, maintained closed during the day to allow phonation<sup>53</sup>. Alternative techniques such as skin-lined tracheostomy have recently been proposed for the treatment of severe stridor in MSA<sup>54</sup>. Skin-lined tracheostomy offers several advantages, such as a greater opening of the stoma, higher stability over time, less risk of granulation tissue, and reversibility. Additionally, it does not require a cannula during the night and the stoma is easy to plug during the day.

Three studies focused on the role of tracheostomy on survival. In the largest retrospective study (n=42 with stridor), patients treated with tracheostomy had longer overall disease duration, longer disease duration after stridor onset and longer disease duration after treatment compared with those treated with CPAP<sup>8</sup>. Another study showed that tracheostomy may reduce the risk of death and of sudden death in MSA patients with stridor<sup>11</sup>. One study reported that two of the four patients with tracheostomy died one year after the sleep evaluation, while the other two were alive 1.9 and 7 years later<sup>10</sup>.

Single case reports have described the use of posterior cordotomy and arytenoidectomy<sup>28,43,44,55</sup>, and botulinum toxin relieved dystonic stridor in three of four patients one month after inoculation<sup>45</sup>.

# **Statements on the treatment of stridor**

Treatment for symptomatic control of stridor

Statements are based on core literature consisting of Class III/IV level studies<sup>8,10-14,27,29,32-33,35,39,49,51-52</sup> and expert opinion.

- Ventilation during sleep (CPAP) can be useful in the symptomatic control of stridor.
- Consider ventilation during sleep (CPAP) as a first-line symptomatic therapy.
- Tracheostomy bypasses upper airway obstruction at laryngeal level and relieves distressing stridor. Tracheostomy is effective in the symptomatic control of stridor.
- Persistent and severe stridor may require tracheostomy.

CPAP for improving survival of patients with stridor

Statement is based on core literature consisting of Class III/IV level studies<sup>8,10,12,13</sup>.

• Whether CPAP improves survival in MSA patients with stridor is uncertain.

Tracheostomy for improving survival of patients with stridor

Statement is based on core literature consisting of Class III/IV level studies<sup>8,10,11</sup>.

• Tracheostomy might improve survival in patients with stridor.

Other treatment options for stridor

Statement is based on core literature consisting of Class IV level studies including case reports<sup>28,43</sup>-45,55

• There is insufficient evidence for minimally invasive procedures and botulinum toxin injections for the symptomatic treatment of stridor.

#### Research needs

Several research gaps emerged during the consensus meeting concerning diagnosis, prognosis and treatment for stridor.

One main challenge is the diagnosis of stridor. Whether the imitation of stridor by the physician during follow up visits is sufficient to correctly and earlier identify stridor or whether other tools, such as specific questionnaires or a home audio-recording, may improve diagnostic accuracy is unknown. This point could be of crucial importance if the negative prognostic role of early stridor onset is confirmed. It has also to be established when the use of VPSG is necessary for the diagnosis of stridor. For these reasons, a questionnaire for detecting stridor should be developed and its diagnostic accuracy be compared with VPSG in a multicenter prospective study. Similarly, the diagnostic accuracy of sound imitation by the physician or home audio-recording should be evaluated. A smartphone application could be developed to automatically recognize the stridor sound. Finally, the place of DISE for early stridor detection requires further investigation and the method to measure the progression of stridor over time should be standardized.

The relationship between stridor and other breathing disorders (i.e. central apneas and breathing rate abnormalities), and their respective contributions to disease prognosis and survival should be determined through a multicenter prospective study. VPSG should be used to determine stridor and breathing disorders in this study. Moreover, further studies could contribute to elucidate the role on

survival of specific characteristic obtained from awake laryngoscopy, DISE, laryngeal EMG (denervation/muscle hyperactivity) and acoustic recordings.

In addition, the contribution of stridor to patients and caregiver quality of life in unknown.

To guide the physician for the treatment of stridor, randomized controlled trials comparing the efficacy of CPAP and tracheostomy for different degrees of stridor are warranted. Studies using laryngoscopy and/or laryngeal EMG should be conducted to identify specific characteristics that may predict treatment tolerance and response. Moreover, the usefulness of CPAP for severe stridor, technical aspects including titration, patient compliance and the timing of follow up need to be determined. Finally, the usefulness of bi-level PAP (BIPAP) should be compared with CPAP. Similarly to CPAP, interventional studies should also compare skin-lined versus conventional tracheostomy.

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Figure 1: PRISMA Flow Diagram (Moher D, Liberati A, Tetzlaff J, Altman DG, Group P. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. BMJ 2009; 339: b2535). Process and Result of the Systematic Search for Studies on Stridor in MSA.

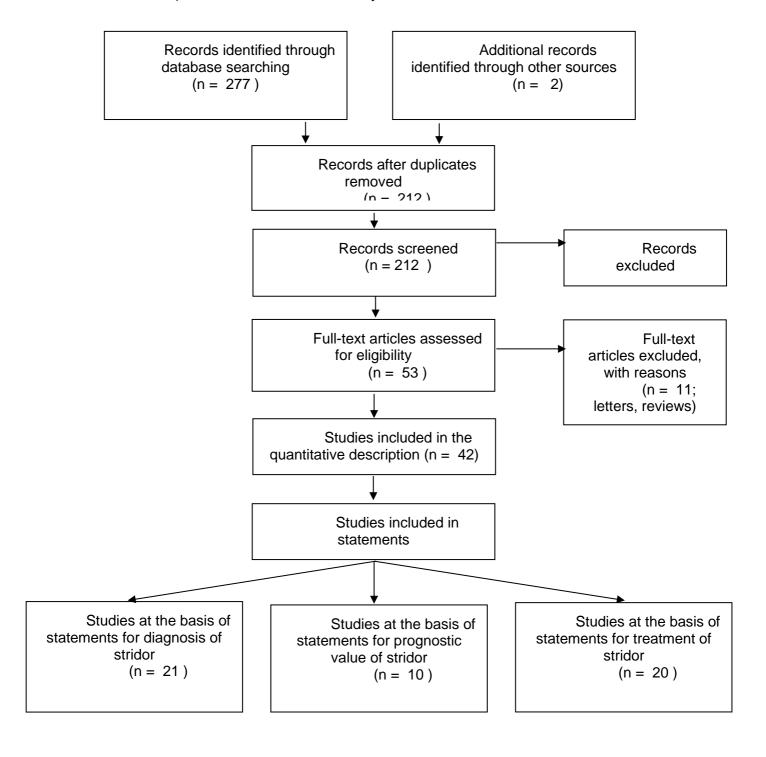


Table 1: Descriptive features of eligible studies on stridor in MSA

	All studies	Number of patients = number of studies	Cohort studies	Case- control studies	Cross- sectional studies	Case series	Case report	Evidence Class
Topic	N	N	N	N	N	N	N	
Diagnosis	24	<10 pts = 14 studies 10-19 pts = 7 studies 20-50 pts = 3 studies	-	2	5	10	7	All studies class IV
Prognosis	11	<50 pts = 6 studies 50-99 pts = 3 studies > 100 pts = 2 studies	10	-	-	1	-	2 class II 8 class III 1 class IV
Treatment	25	<10 pts = 12 studies 10-19 pts = 6 studies 20-50 pts = 7 studies	8	-	-	10	7	3 class III 22 class IV

Each study is graded according to its risk of bias from class I to class IV (with I being highest and IV lowest quality).

TABLE 2: Studies that form the basis of the statements on diagnosis with their level of evidence

First Author / year	Design	N. patients	Diagnostic test	Diagnostic criteria of stridor	Level of Evidence Class
Alfonsi 2016	cross-sectional	17 (11 with stridor) + 40 normal controls	EMG; polysomnography	no	IV
Blumin 2002	case series	7	fiberoptic laryngoscopy	no	IV
Chitose 2012	se 2012 case report 1		awake and sleep-induced laryngoscopy	no	IV
Hanson 1983			no	IV	
Harcourt 1996	1996 case series 18 endoscopy; polysomnography		no	IV	
Iranzo 2004	cross sectional	40 (14 with stridor)	polysomnography	no	IV
Isono 2001	case series	10	EMG under anesthesia and sleep	no	IV
Isozaki 1996	case-control 7 awake and sleep-induced		awake and sleep-induced laryngoscopy	no	IV
Koo 2016				yes	IV
Merlo 2002	case series	7	EMG pattern	no	IV
Nonaka 2006	case series	5	sleep-induced laryngoscopy; EMG	no	IV
Sadaoka 1996	case-control	8	polysomnography; esophageal pressure manometry /endoscopy	yes	IV
Sadaoka 1997	1997 case series 8 acoustic analysis of snoring, polysomnography fiberscopic examina		acoustic analysis of snoring, polysomnography, fiberscopic examination under sedation.	no	IV
Shiba 2006	case report	1	EMG	no	IV
Shimohata 2006	case report	1	polysomnography	no	IV
Shimonata 2007	case series	21 (5 with stridor)	polysomnography, laryngoscopy during wakefulness and under anesthesia	no	IV
Silber 2000	cross-sectional	42 (17 with stridor)	polysomnography	yes	IV
Stomeo 2016	case report	1	sleep-induced endoscopy /sound analysis	no	IV
Vetrugno 2004	cross-sectional	19 (8 with stridor)	polysomnography; intra- esophageal pressure recording in 3 patients	yes	IV
Vetrugno 2007	case series	3	polysomnography including intra- esophageal pressure recording; laryngoscopy; EMG	no	IV
Williams 1979	case series	12	Fibre-optic laryngoscopy	no	IV

#### Legend

Each study was classified according to various descriptors, including topic domain, sample size, design, presence of diagnostic criteria of the syndrome and level of evidence according to the Classification of Evidence Schemes of the Clinical Practice Guideline Process Manual of the American Academy of Neurology (2011). Each study was graded according to its risk of bias from class I to class IV (with I highest quality and IV lowest quality). Risk of bias was judged by assessing specific quality elements (i.e. study design, patient spectrum, data collection, masking, etc.) for each clinical topic (diagnostic accuracy, prognostic accuracy, treatment).

TABLE 3: Studies that form the basis of the statements on prognosis with their level of evidence

First Author / year	Design	N. patients	Level of Evidence	
Coon 2015	cohort	685 (176 with stridor)	II	
Giannini 2016	cohort	136 (42 with stridor)	III	
Glasmacher 2017	systematic review	6 studies	n.a.	
Koo 2016	cohort	22	III	
Krim 2007	cohort	86 (17 with stridor)	II	
Lalich 2014	cohort	38 (25 with stridor)	III	
Silber 2000	cohort	42 (30 with follow-up data, 11 with stridor)	III	
Starhof 2016	cohort	99 (44 with stridor)	II	
Tada 2007	cohort	49 (18 with stridor)	III	
Yamaguchi 2003	cohort	83 (33 with stridor)	III	

#### Legend

Each study was classified according to various descriptors, including topic domain, sample size, design, presence of diagnostic criteria of the syndrome and level of evidence according to the Classification of Evidence Schemes of the Clinical Practice Guideline Process Manual of the American Academy of Neurology (2011). Each study was graded according to its risk of bias from class I to class IV (with I highest quality and IV lowest quality). Risk of bias was judged by assessing specific quality elements (i.e. study design, patient spectrum, data collection, masking, etc.) for each clinical topic (diagnostic accuracy, prognostic accuracy, treatment).

TABLE 4: Studies that form the basis of the statements on therapy with their level of evidence

First Author / year	Design	N. patients	Treatment	Level of Evidence	
Blumin 2002	case series	7	CPAP: bilevel positive airway pressure; tracheostomy	IV	
Chitose 2012	case report	1	CPAP; laser arytenoidectomy	IV	
Ghorayeb 2005	case series	22 (15 with stridor)	CPAP	IV	
Giannini 2016	cohort 136 (42 with stridor; 31 CPAP (19 pts); tracheostomy (12 pts) treated)		CPAP (19 pts); tracheostomy (12 pts)	III	
Harcourt 1996	case series	18	CPAP (2 pts); tracheostomy (2 pts); arytenoidectomy (3 pts)	IV	
Iranzo 2000	cohort	20 (5 with stridor)	CPAP	IV	
Iranzo 2004	cohort	14 (with stridor)	CPAP (13 pts)	IV	
Isono 2001	case series	10	CPAP on laryngeal resistance and muscle activity (6 pts)	IV	
Isozaki 1996	case series	7	tracheostomy	IV	
Jin 2007	case series	18	tracheostomy (7 pts)	IV	
Kneisley 1990	case report	1	arytenopexy; vocal cord pinning	IV	
Lalich 2014	cohort	1	CPAP	IV	
Mahmud 2015	case report	1	laser arytenoidectomy and posterior cordotomy	IV	
Merlo 2002	case series	7	botulinum toxin (4 pts)	IV	
Sadaoka 1996	case series	8	tracheostomy	IV	
Silber 2000 cohort		42 (30 with follow-up data)	CPAP (5 pts); tracheostomy (4 pts)	IV	
Stomeo 2016	case report	1	subtotal arytenoidectomy	IV	
Tada 2007	cohort	18 (with stridor)	tracheotomy (12 pts)	III	
Williams 1979	case series	12	tracheostomy (4 pts)	IV	
Yamaguchi 2003	cohort	33 (with stridor; 15 treated)	CPAP; tracheostomy; laryngectomy	III	

### Legend

Each study was classified according to various descriptors, including topic domain, sample size, design, presence of diagnostic criteria of the syndrome and level of evidence according to the Classification of Evidence Schemes of the Clinical Practice Guideline Process Manual of the American Academy of Neurology (2011). Each study was graded according to its risk of bias from class I to class IV (with I highest quality and IV lowest quality). Risk of bias was judged by assessing specific quality elements (i.e. study design, patient spectrum, data collection, masking, etc.) for each clinical topic (diagnostic accuracy, prognostic accuracy, treatment).