

1   **The price of being bold? Relationship between personality and endoparasitic infection in a tree**  
2   **squirrel**

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## 22   **Abstract**

23

24   Individual variation in behaviour can contribute to the heterogeneous distribution of parasites among hosts  
25   for example by affecting the probability of encountering infective stages (larvae). Here, we investigated the  
26   relationship between host boldness/exploration tendency and gastro-intestinal helminth infection in invasive  
27   Eastern grey squirrels (*Sciurus carolinensis*). We used direct helminth counts, data rarely available in host-  
28   parasite studies that often used less reliable indirect estimates of infection. We predicted that bolder animals  
29   with a strong exploration tendency will have higher parasite load than shy, less explorative hosts. We  
30   examined two parameters of parasite infection: infection status and intensity of infection. Individual  
31   personality of 207 grey squirrels was assessed by capture-mark-recapture (CMR), calculating the trappability  
32   and trap diversity indices as estimates of boldness and exploration, respectively. Since both indices were  
33   strongly correlated, we used PCA to derive a single score (first component) which had a high value for bold,  
34   exploring animals. At the end of the study, 77 individuals were euthanized and gastro-intestinal helminths  
35   were identified and counted. Overall 73% of grey squirrels were infected by *Strongyloides robustus* with the  
36   intensity of infection varying from 1 to 86 worms (mean  $\pm$  SE =  $10.7 \pm 2.1$  helminths per host). We found  
37   that bolder, more explorative animals were more likely to be infected by *S. robustus*. However, host  
38   personality was not related to parasite intensity, which was instead positively associated with host body  
39   mass. Our results confirm that differences in personality-related host behaviour can influence the distribution  
40   of infections within host populations and stimulate further questions on whether such personality-parasite  
41   relationships may affect the invasion process.

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44   **Keywords:** personality; *Strongyloides robustus*; invasive species; parasite infection; *Sciurus carolinensis*.

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## 50 **Introduction**

51

52 Among vertebrates, individual variation in personality has been demonstrated to affect variation in fitness  
53 among animals within a population (Biro and Stamps 2008; Smith and Blumstein 2008; Dingemanse and  
54 Réale 2013). Personality is defined as consistent between-individual differences in behaviour, maintained  
55 over time and/or across ecological contexts (Réale et al. 2007). Differences in individual personality are  
56 often measured through traits such as risk-taking (boldness), exploring under new situations, aggressiveness  
57 and sociability (Réale et al. 2007). Discovering important resources (Wolf et al. 2007), acquiring  
58 intraspecific dominance and increasing survival (Smith and Blumstein 2008) are benefits that have been  
59 associated with animal boldness and/or exploration tendency. However, other studies described contrasting  
60 patterns with high costs related to boldness/exploration, such as increased chance to encounter predators (Sih  
61 et al. 2004; Boon et al. 2008), or reduced survival or reproductive success (Réale et al. 2009; Réale and  
62 Festa-Bianchet 2003; Sih et al. 2004).

63 Another potential ecological effect of personality is its influence on the probability of acquiring  
64 parasite infections (Hawley et al. 2011; Ezenwa et al. 2016). Risk-taking behaviour, exploration tendency,  
65 activity and sociality are all traits that may affect hosts' exposure to parasites by modulating contact rates  
66 among individuals and/or increasing chances of encountering parasite infective stages in the environment  
67 (Altizer et al. 2003; Kortet et al. 2010; Hawley et al. 2011; Ezenwa et al. 2016). For example, an indirect  
68 effect of activity-exploration on tick load was demonstrated in an introduced population of Siberian  
69 chipmunks (*Tamias sibiricus*) in France: tick load increased with space use, which in turn was positively  
70 affected by chipmunk's activity-exploration tendency (Boyer et al. 2010). On the other hand, several parasite  
71 species are known to manipulate behaviour as a strategy to enhance transmission, and indirect alterations of  
72 behaviour may also arise from physiological or immunological changes induced by infection (Kortet et al.  
73 2010; Poulin 2013; Ezenwa et al. 2016). Establishing the causality in personality-infection relationships may  
74 therefore not be straightforward, especially when dealing with wild animals who are naturally infected prior  
75 to the study. In any case, independently from the directionality of the relationship, differences in individual  
76 personality can be associated with differences in exposure to parasite infective stages, and consequently with  
77 the distribution of parasites within host populations (Hawley et al. 2011; Ezenwa et al. 2016).

78 Here, we use the Eastern grey squirrel (*Sciurus carolinensis*), an invasive alien species in Northern Italy, as a  
79 study system to test the hypothesis that individual differences in personality will be associated with  
80 (endo)parasite infection. Eastern grey squirrels have been introduced in the British Isles and in parts of Italy  
81 (O'Teangana et al. 2000; Bertolino et al. 2014; Gurnell et al. 2015), where they have caused the decline of  
82 native Eurasian red squirrels (*Sciurus vulgaris*) by competing for food resources and increasing physiological  
83 stress in the native species (Wauters et al. 2002a, b; Gurnell et al. 2004, Santicchia et al. 2018a), and,  
84 exclusively on the British Isles, through disease-mediated competition (Tompkins et al. 2002; Romeo et al.  
85 2018). Both male and female grey squirrels have strongly overlapping home ranges and neighbouring  
86 females can be close kin (Gurnell et al. 2001). The mating system is promiscuous with most matings done by  
87 older, dominant males (Koprowski 1994). By using an invasive species which is currently subject to a  
88 control program as a model system, we obtained direct counts of adult gastro-intestinal parasites, and did not  
89 have to rely on indirect measures of endoparasite loads (e.g. faecal egg counts) which offer less reliable  
90 estimates of actual parasite intensity (Tompkins and Hudson 1999; Villanúa et al. 2006; Romeo et al. 2014a).  
91 In Italy, invasive grey squirrels have fewer macroparasites (both ecto- and endoparasites) than in their native  
92 range and only one dominant gastro-intestinal helminth, *S. robustus*, which they carried along from N.  
93 America (prevalence 57% Romeo et al. 2014b). *S. robustus* is an obligate gastro-intestinal parasitic  
94 nematode common in many species of nearctic tree squirrels (e.g. Chandler 1942; Bartlett 1995). In Italy *S.*  
95 *robustus* was found to spill over to Eurasian red squirrels (Romeo et al. 2015). Nematodes within the genus  
96 *Strongyloides* have a direct (i.e. with no intermediate hosts) and peculiar life-cycle, which in most species  
97 includes parasitic generations consisting only of parthenogenic females and free-living dioecious generations  
98 with sexual reproduction (Viney and Lok 2015). However, Bartlett (1995) hypothesised that *S. robustus* may  
99 completely lack a free-living generation and sexual reproduction. In this species, parasitic, parthenogenetic  
100 females inhabit the proximal part of the small intestine, reproduce by parthenogenesis and release their eggs  
101 in the lumen where they are shed with host faeces. Eggs hatch in the environment where L1 larvae undergo  
102 two moults before reaching the infective stage. Infective larvae (L3) infect their host by skin-penetration,  
103 undergo a final moult into adult females and migrate through host tissues until they reach the intestine. The  
104 life-span of *Strongyloides* spp. is generally short compared to other helminth species: for instance, longevity  
105 of parasitic females *S. ratti* is on average 1-3 months, but it is believed that a small proportion of individuals

106 can persist up to 1 year (Gems 2000; Wilkes et al. 2004). To our knowledge, there are no specific data about  
107 the longevity of *S. robustus* parasitic females.

108 In this system, we predict that bolder, more explorative grey squirrels are more likely to encounter free-  
109 living infective stages (larvae) of *Strongyloides robustus*, and consequently are more likely to become  
110 infected by the endoparasite. However, once the host is infected, we expect that other factors, related to host  
111 susceptibility and immune response (Perkins et al. 2003; Paterson and Viney 2002), may become  
112 predominant in determining individual intensity of infection (number of helminths per infected host). Hence,  
113 we expect only a weak or no relationship between a host's personality and intensity of endoparasite  
114 infection.

115

## 116 **Materials and Methods**

### 117 *Study areas, trapping and handling squirrels*

118 We trapped grey squirrels in 5 study areas (PIO, RS, BER, COM, MOR) located in Piedmont, Northern Italy  
119 (Table S1). All areas are woodlands or parks with a similar composition of mature deciduous trees and  
120 ornamental conifers surrounded by agricultural landscapes. Food availability was high in all study areas with  
121 seeds of oak (*Quercus petraea*, *Q. robur*), hornbeam (*Carpinus betulus*), black walnut (*Juglans nigra*) and  
122 lime (*Tilia cordata*) as major food resources used by grey squirrels (Wauters et al. 2001). We used areas with  
123 comparable habitat type and food availability to avoid potential confounding effects of habitat type on the  
124 personality-parasite relationship. Moreover, we included study area as a random factor in the models related  
125 to parasite infection and personality to account for other potential sources of variability between sites (see  
126 *Relationship between parasite infection and personality*). Trapping areas corresponded with total  
127 woodland/park areas surrounded by unsuitable habitat (open, cultivated fields). Grey squirrels had strongly  
128 overlapping home ranges (e.g. Gurnell et al. 2001) and all squirrels had access to both edge and central traps,  
129 avoiding the issue of edge-trap effects (Boyer et al. 2010).

130 In each site, we first carried out at least 2 capture-mark-recapture (CMR) sessions (one every two  
131 months) lasting 4 to 5 days to collect data for the estimation of indirect personality indices (details in Table

132 S1). In 4 areas (PIO, RS, BER and COM) CMR sessions were then followed by a final removal session in  
133 which individuals were culled (Table 1). Number of traps used varied slightly between sessions and/or study  
134 areas. A trapping session involved the use of 16 (PIO), 16 (RS), 17 (BER), 30 (COM), 48 (MOR) ground-  
135 placed Tomahawk live-traps (model 202, Tomahawk Live Trap Co, Wisconsin, USA) evenly spaced  
136 throughout the areas. We baited traps with hazelnuts and walnuts and checked them three times/day (details  
137 in SM1: ethical note). We flushed the trapped animal in a zipper-tube handling cloth and marked it at first  
138 capture with a Monel 1005-1L1 ear-tag (National Band & Tag Co. Newport, KY, USA). We measured  
139 length of the right hind foot with a thin ruler (precision 0.5mm) and weighed the squirrel with a Pesola  
140 spring-balance (precision 5g). We determined sex and age as described in detail elsewhere (Gurnell et al.  
141 2001). During removal sessions, we euthanized grey squirrels by CO<sub>2</sub> inhalation following EC and AVMA  
142 guidelines (Close et al. 1996; Leary et al. 2013), and stored the carcasses at -20°C until examination.

143

#### 144 *Personality indices*

145 We calculated two indirect indices of personality: a trappability and trap diversity index. An individual's  
146 willingness to enter a baited trap is often used as a measure of its tendency for risk-taking (boldness, Réale et  
147 al. 2007; Boon et al. 2008; Le Coeur et al. 2015). The number of different traps visited is considered a  
148 measure of the animal's propensity to explore the environment (Réale et al. 2007; Boyer et al. 2010). We  
149 estimated trappability using the ratio of number of captures on the length of capture period (i.e. occasions:  
150 the number of trap checks from the first to the last trapping session for a given animal), and trap diversity by  
151 the ratio of number of different traps in which an animal was caught on the number of available traps.  
152 Subsequently, we standardised both ratios ((x-mean)/SD) within each study area to account for differences  
153 between study areas in trap numbers, squirrel density or capture history (Santicchia et al. 2018b). Recent  
154 studies have shown that personality traits to some degree can change over time and with age (flexible  
155 component of personality, Dingemanse and Réale 2013), therefore we excluded juvenile squirrels from the  
156 analysis. In addition, to avoid any potential bias due to squirrel dispersal during late summer-autumn  
157 (Koprowski 1994), and any possible effect of removal, we calculated trappability and trap diversity  
158 considering only capture sessions from late October to May, hence without using the final removal session.

159           Next, we verified the consistency of individual trappability and trap diversity across sessions. On a  
160 restricted sample of 127 squirrels, that were caught in at least two capture sessions, we calculated the  
161 repeatability in the number of different captures per session and in trap diversity per session. We estimated  
162 the repeatability and its confidence intervals from linear mixed-effects models through the function *rpt*, using  
163 bootstrap and permutation, as implemented in the R package *rptR* (Stoffel et al. 2017). We included study  
164 area, sex, session, number of occasions, number of active traps as fixed effects and squirrel identity as  
165 random intercept. The likelihood ratio test (LRT) was used to test for significance of the random intercept of  
166 each model (Martin and Réale 2008).

167           Before the analysis of parasite infection parameters, we checked for independence between the two  
168 standardised personality indices (Santicchia et al. 2018b). Since trappability and trap diversity were strongly  
169 and positively correlated ( $r = 0.85$ ;  $n = 207$ ;  $p < 0.001$ ), we used a principal component analysis (PCA) to  
170 derive new variables which maximize the variance among individual squirrels. The first component (PC1)  
171 explained 92% of total variance (loadings: 0.707 trappability index + 0.707 trap diversity index), thus we  
172 only retained this variable as a single measure of an individual's personality. PC1 had a high score for those  
173 animals that are captured often and in many different traps, so it can be considered as a parameter that  
174 includes both boldness and exploration. Finally, since in several species personality traits can be related to  
175 body size or body mass (Martin and Réale 2008), we also checked for correlations between squirrel's body  
176 size (foot length) and mass and PC1 score.

177

#### 178 *Parasitological examination*

179           On a total of 95 euthanized adult and subadult grey squirrels (31 males, 65 females), 77 also had trappability  
180 and trap-diversity estimates and were examined for gastro-intestinal helminths following standard  
181 parasitological procedures (SM2, see also Romeo et al. 2013, 2014b). *S. robustus* individuals were identified  
182 morphologically (Chandler 1942; Sato et al. 2007) and counted as described in SM2.

183

#### 184 *Relationship between parasite infection and personality*

185 We explored the effect of PC1 score on three different descriptors/parameters of parasite infection, each one  
186 used as response variable in a specific Generalized Linear Mixed Model (GLMM). We first explored  
187 variation in *S. robustus* abundance (number of helminths per host, including uninfected hosts), which can be  
188 considered as a combined measure of both infection status (a host is infected or not) and parasite intensity  
189 (number of helminths per infected host). Then we ran two specific models on *S. robustus* infection status  
190 (infected/not infected) and intensity to disclose whether PC1 score is equally related to different aspects of  
191 parasite infection. Variation in infection status ( $n = 77$ ) was explored through logistic regression, whilst  
192 variation in *S. robustus* abundance ( $n = 77$ ) and intensity ( $n = 56$ ) was analysed through GLMMs with  
193 negative binomial error distribution, in order to account for the aggregate distribution of parasites within the  
194 host population (Shaw et al. 1998). In all models, we examined the effect of PC1 score, body mass and sex  
195 on infection parameters, including study area as a random intercept to account for extrinsic heterogeneities  
196 among sites (such as food abundance, host density, see Table 1). Since helminth infections can differ  
197 between the sexes and with host body mass (e.g. Poulin 1996; Martin and Réale 2008), we also included the  
198 interactions between sex and PC1 score and sex and body mass in full models, but these interactions were  
199 eliminated when they did not contribute significantly to the model fit. Seasonal variation in infection  
200 parameters was not considered because all parasitological data were obtained from squirrels culled from the  
201 end of October to early January. All covariates were examined for collinearity using correlation coefficients  
202 (all  $r < 0.4$ ) and pairwise scatterplots (Zuur et al. 2010), which indicated there were no collinearity problems  
203 in our data. Unless otherwise specified, all values and parameter estimates are reported as mean ( $\pm$  SE). All  
204 the statistical analyses were carried out using the software R 3.3.3 (R Development Core Team 2018).

205

## 206 **Results**

### 207 *Personality indices and phenotypic variables*

208 We captured a total of 207 individuals. Number of captures per individual grey squirrel varied between  
209 1 and 10 (median 2, mean  $\pm$  SE =  $2.61 \pm 0.12$  captures), and number of different traps from 1 to 7 (median 2,  
210 mean  $\pm$  SE =  $1.98 \pm 0.08$  traps). There was no difference between the sexes in either mean number of captures



211 ( $F_{1, 201} = 1.24$ ,  $p = 0.27$ ) or mean number of different traps ( $F_{1, 201} = 1.79$ ,  $p = 0.18$ ). Further details are given in  
212 SM3.

213 We observed significant and consistent within-individual variation, across CMR sessions, in number  
214 of captures ( $n = 127$ ; repeatability = 0.25, 95% CI = 0.14 – 0.41, Likelihood ratio test LRT = 13.9;  $df = 1$ ;  $p$   
215  $< 0.0001$ ) and in trap diversity ( $n = 127$ ; repeatability = 0.29, 95% CI = 0.18 – 0.45, Likelihood ratio test  
216 LRT = 18.9;  $df = 1$ ;  $p < 0.0001$ ).

217 Body mass was positively correlated with both foot length and PC1 score. When analysed per sex,  
218 this pattern was consistent in females, but not in males where body mass was not correlated with PC1 score  
219 (Table 2).

220

#### 221 *Parasitological examination*

222 Overall 56 out of 77 grey squirrels were infected by *S. robustus* [prevalence = (the number of infected  
223 hosts/total number of examined squirrels)\*100 = 73%  $\pm$  5.1%]. Parasite abundance varied from 0 to 86  
224 helminths per squirrel (mean  $\pm$  SE = 7.8  $\pm$  1.6) and mean intensity (mI) of *S. robustus* was 10.7  $\pm$  2.1  
225 helminths per infected host. Only 12 grey squirrels hosted also other helminth species: *Trichostrongylus spp.*  
226 was found in 10 squirrels (13%, mean Intensity  $\pm$  SE: 2.9  $\pm$  0.6) and in two hosts we found 6 oxyurid  
227 nematodes and 1 specimen of cestode, respectively, but these parasites were not included in the analyses.  
228 Results of parasitological analysis by study area are given in Table 1.

229

#### 230 *Relationship between personality and parasite infection*

231 There were no significant differences between males and females in either of the infection parameters and  
232 interactions of host sex with either PC1 score or body mass were not significant and thus were excluded from  
233 all the final models (all  $p > 0.25$ ). The first, general model on *S. robustus* infection showed that endoparasite  
234 abundance in grey squirrels increased with both the host's PC1 score and body mass (Table 3). However,  
235 further models showed that infection status is only related to PC1 score ( $p = 0.03$ ; Figure 1; Table 3), with  
236 bolder, more explorative individuals having a higher probability of being infected by *S. robustus*. Conversely,

intensity of infection did not vary with PC1 score, but was positively related with host body mass ( $p = 0.02$ ; Figure 2; Table 3).

## Discussion

We studied whether Eastern grey squirrel personality differences were associated with individual variation in endoparasite infection and confirmed our prediction that bolder-more explorative animals had a higher abundance of *S. robustus* than shy-less explorative grey squirrels. However, we also showed that host personality was mainly related to the probability of acquiring *S. robustus*, whereas we found no relationship between boldness/exploration and parasite intensity, which was instead related to host body mass.

### *Indirect indices of personality and statistical inference*

In several studies on free-ranging rodents in natural environments, animal personality has been estimated through capture-mark-recapture data (Boyer et al. 2010; Patterson and Shulte-Hostedde 2011; Le Coeur et al. 2015). These studies are based on the fact that consistent behavioural (personality) traits affect trappability, independent of other phenotypic factors such as size and sex (Biro 2013). Nevertheless, care must be taken when using an individual's captures record as a measure of a personality trait such as boldness and/or exploration when access to traps may vary among animals in the population (Boon et al. 2008; Boyer et al. 2010; Biro 2013). We are convinced that in this study, this bias risk is small for the following reasons: first, we observed a large inter-individual variability in number of captures and number of different traps used by squirrels, suggesting that we sampled both individuals with a high and a low trappability. Second, trapping probabilities estimated from long-term capture-mark-recapture studies on tree squirrels indicate high probabilities (>90%) for an animal that is present in the population of being captured at least once per year (Wauters et al. 2008; Santicchia et al. 2018b). Third, even if our sample was biased (e.g. shyest and/or most strongly infected animals are missed), this would lead to lack of power, but not to spurious results, making our tests and interpretations more conservative.

Recently, there is much debate about which are the most appropriate methods to study animal personality in the wild (e.g. Carter et al. 2013; Brehm and Mortelliti 2018). One point on which most studies

agree is that a method can be considered reliable only as far as it has high individual repeatability, as personality traits must be maintained through time/space. Another point that strongly emerges from studies dealing with personality, is that methods are difficult to standardize as their efficiency depends on species-specific traits such as social and mating system, foraging habits, space use patterns: what works best to measure a chosen trait in one species might fail for another taxa, even if closely related (Rèale et al. 2007; Carter et al. 2013). In many studies on free-ranging sciurid rodents in natural environments, animal personality has been successfully measured through capture-mark-recapture data, using individual trappability (i.e. number of captures per animal during a trapping session) as an index of boldness/tendency to take risks, and trap diversity (i.e. number of different traps in which the same animal is caught) as a measure of exploration tendency (Boyer et al 2010; Patterson and Shulte-Hostedde 2011; Montiglio et al 2012; Le Coeur et al. 2015; Santicchia et al. 2018b; Mazzamuto et al. 2018a). These studies reported high and significant repeatabilities, and also in this study, trappability and trap diversity indices were statistically significant and consistent between trapping sessions, even when calculated only over two short trapping periods. Moreover, on a subset of the squirrels used in this study, PC1 score correlated significantly with personality indices derived from arena tests (Mazzamuto et al. 2018b; Santicchia et al. unpubl. data).

279

#### 280 *Personality and body mass*

Relationships between body mass and personality traits such as boldness or exploration are highly variable among mammal species (Bighorn sheep, *Ovis canadensis*: Réale et al. 2009; Eastern chipmunk, *Tamias striatus*: Martin and Réale 2008; Muroid rodents: Careau et al. 2009; laboratory rat: Rödel and Meyer 2011). Among invasive grey squirrels, we found that body mass was correlated with boldness-exploration in females but not in males. In some non-territorial tree squirrels, heavier adult females seem to engage more frequently in aggressive interactions than animals of lower body mass (Wauters and Dhondt 1989; Koprowski 1994). Hence, a body mass, boldness-exploration correlation could suggest a behavioural syndrome (Sih et al. 2004) with bolder female squirrels being also the more aggressive ones, which could be investigated using specific tests, such as mirror image stimulation to measure aggressiveness (Réale et al. 2007). Alternatively, bold-explorative individuals could acquire access to more or higher quality resources

291 and thus become heavier than shy-less explorative squirrels. This hypothesis could be further examined by  
292 observing foraging behaviour and space use of individually marked squirrels with known personality.

293

#### 294 *Personality-parasite relationship*

295 Our findings revealed a general positive relationship between boldness-exploration and *S. robustus*  
296 abundance. However, when the two components that determine parasite abundance (infection status and  
297 intensity of infection) were analysed separately, we found that personality was related to the probability of  
298 becoming infected by *S. robustus*, but not to the amount of nematodes harboured by infected animals.  
299 Conversely, we found that host body mass was not associated with infection status, but related positively  
300 with intensity of infection, thereby confirming earlier results from a larger scale study on the same species  
301 (Romeo et al. 2014b). In other words, bolder-more explorative animals are more likely to acquire the  
302 parasite, reasonably as a consequence of a higher encounter probability with infective stages, but once  
303 infected, heavier grey squirrels tend to host more *S. robustus* worms than animals of lower body mass.

304 The relationship between animal personality and parasitism has been investigated in different  
305 mammals, where boldness, exploration or activity were found to influence infestation by ectoparasites  
306 (Siberian chipmunk: Boyer et al. 2010; Barbary ground squirrels: Piquet et al. 2018) or infection by virus  
307 (domestic cat: Natoli et al. 2005) or endoparasites (mainly gastro-intestinal helminths, Eastern chipmunk:  
308 Patterson and Shulte-Hostedde 2011). However, the relationship between different personality traits and the  
309 risk of acquiring parasites will vary depending on the specific infection dynamics and transmission strategies  
310 of the parasite (VanderWaal and Ezenwa 2016; Piquet et al. 2018). Concerning the *S. robustus* parasitic  
311 cycle (see study system), infective stages will likely be aggregated on the ground beneath tree canopies, and  
312 in general in those areas most used by arboreal squirrels. Additionally, squirrels' nests (dens and dreys) have  
313 been suggested as a microenvironment that might favour infective larval development and survival (Wetzel  
314 and Weigl 1994; Bartlett 1995; Romeo et al. 2015). Hence, other than on the ground, infection by free-living,  
315 skin-penetrating larvae could occur inside nests, and transmission might be facilitated by nest-sharing which  
316 occurs regularly (Koprowski 1994; Romeo et al. 2015). As a consequence, if bolder-more explorative  
317 squirrels forage more widely, interact more frequently with other squirrels and/or use more different nest

318 sites (red squirrels, Wauters and Dhondt 1990; grey squirrels, Koprowski 1994), they will be more likely to  
319 encounter free-living infective stages and become infected by *S. robustus*.

320         Concerning the directionality of the personality-infection relationship observed in our study, we are  
321 aware that, as in most previous studies on personality – parasite associations, our research was performed on  
322 individuals that were naturally infected prior to the personality measures. It is therefore impossible to  
323 discriminate whether certain personality traits cause greater infection risk, or whether infection causes  
324 changes in the expression of personality traits (Ezenwa et al. 2016). Parasitic manipulation of host behaviour,  
325 and parasites affecting a host's personality have been demonstrated in various taxa (Barber and Dingemanse  
326 2010; Poulin 2013; Ezenwa et al. 2016) and we cannot rule out this possibility. However, based on the  
327 biology and transmission cycle of *S. robustus*, we believe that in this specific study-system, it is more likely  
328 that personality affects macroparasite infection and not the opposite. Firstly, *S. robustus* has a direct life  
329 cycle (i.e. with no intermediate hosts) and in the case of helminths, direct manipulation of host behaviour,  
330 including personality, is typically observed in intermediate hosts as a strategy to enhance transmission to the  
331 definitive hosts through predation (Poulin 2013). Another possibility would be that the parasite affects  
332 animal personality through indirect pathways, such as weakening host condition or affecting trade-offs  
333 between investment in self-maintenance and certain costly personality traits (Poulin 2013; Ezenwa et al.  
334 2016), and such indirect effects of infection on host behaviour can arise also in infections by nematodes with  
335 direct cycles. For example, Kavaliers and Colwell (1995) found that mice infected by *Heligmosomoides*  
336 *polygyrus* showed a decrease in spatial learning abilities likely induced by immuno-mediated mechanisms.  
337 However, this does not seem to be the case in infections by *Strongyloides* spp., as Braithwaite et al. (1998)  
338 found no evidence of impaired learning and memory in rats experimentally infected by the nematode  
339 *Strongyloides ratti*.

340         Finally, despite personality being associated to the probability of becoming infected by *S. robustus*,  
341 the number of helminths harboured by the host does not seem to be influenced by re-exposure to infective  
342 stages, as it was not related to bold-explorative behaviours, but rather to host body mass. Nematodes within  
343 the genus *Strongyloides* are known to elicit complex, density-dependent immune responses (Paterson and  
344 Viney 2002; Romeo et al. 2014a), and it is thus likely that re-exposure, and, in turn, personality will have a  
345 negligible impact on their numbers, which are instead mainly regulated by immune-mediated processes.

Hence, a tentative explanation for the positive relationship between *S. robustus* intensity and hosts' body mass may be that heavier, often older and more dominant squirrels invest more energy in fat reserves, spacing behaviour (i.e. defending larger home ranges, Don 1983), survival and reproduction (Wauters and Dhondt 1989; Lane et al. 2010) than in the immune system (Sheldon and Verhulst 1996). Such energy allocation trade-offs could lead in turn to a less effective immune response against parasite infection (Shoenle et al. 2018 and references therein). Complex relationships between parasites, personality and body mass (or body condition index) have also been documented in other sciurid species such as least chipmunks (*Tamias minimus*), where ectoparasite abundance was positively influenced by both exploration tendency and body condition index (Bohn et al. 2017).

In conclusion, the observed personality – parasite infection relationship in invasive grey squirrels and their co-introduced helminth parasite could potentially affect the invasion process and the interactions with native species. Compared to shy-less explorative individuals, bold-more explorative animals are more likely to be the first to invade new areas (Cote et al. 2010), and, based on our findings, they are also more likely to become infected and to carry along helminths. In our study, we focused on a single, dominant parasite, but if our results could be extended to other parasite species, this would imply that the observed relationship could favour both the spread of alien pathogens and the acquisition of local ones. As a consequence, a positive relationship between boldness-exploration and infection by parasites could increase the chances for spillover and spill-back processes towards native species (Kelly et al. 2009; Romeo et al. 2014b) or even slow down the invasion, due to the costs associated with infection. Whether this is actually a general pattern in invasive host-parasite systems (Chapple et al. 2012; Piquet et al. 2018) could be further investigated by studying personality–parasite relationships along an invasion wave or by comparing the degree of boldness-exploration tendency between native and introduction ranges. Our findings of personality – parasitism interactions in invasive mammals allow a better prediction of their potential role in spreading infectious agents, supporting the assessment of their impact on biological conservation.

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377

#### 378 **Data references**

379 The datafile will be made available upon acceptance of the paper.

380

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382

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583  
 584

585 **Table 1.** Grey squirrel population size and density per study area with data on *Strongyloides robustus*  
586 infection. N = number of examined squirrels; n = number of infected hosts; p = prevalence; mA = mean  
587 abundance (n. parasites/examined hosts); mI = mean intensity (n. parasites/infected hosts).

Study area	Squirrel population size*	Squirrel density(ind/ha**)	<i>Strongyloides robustus</i> infection		
			n (p)	mA ± SE	mI ± SE
BER (N = 8)	30	6.1	1 (12.5%)	0.25 ± 0.25	2.0
COM (N = 27)	49	15.2	18 (67%)	2.26 ± 0.61	3.39 ± 0.79
PIO (N = 18)	29	11.3	15 (83%)	19.0 ± 5.9	22.8 ± 6.62
RS (N = 24)	46	7.8	22 (92%)	8.17 ± 1.57	8.91 ± 1.62

588 \* Population size was estimated using mark recapture model with Schumacher Eschmeyer estimator as implemented in the R (R  
589 Development Core Team 2017) package *fishmethods* (Nelson 2017).

590 \*\* BER (4.9 ha); COM (3.2 ha); PIO (2.6 ha); RS (5.9 ha)

591



592 **Table 2.** Pearson's correlation between foot length, body mass and PC1 in grey squirrels. Sample size  
593 between brackets.

	Foot length	PC1 (Boldness and exploration)
<b>Both sexes (n = 77)</b>		
Body mass	r = 0.41, p = 0.001	r = 0.28, p = 0.01
Foot length		r = 0.07, p = 0.58
<b>Males (n = 29)</b>		
Body mass	r = 0.42, p = 0.03	r = 0.25, p = 0.20
Foot length		r = 0.21, p = 0.30
<b>Females (n= 48)</b>		
Body mass	r = 0.43, p = 0.008	r = 0.32, p = 0.03
Foot length		r = -0.05, p = 0.77

594

595

596 **Table 3.** Selected models explaining observed variation in infection by *Strongyloides robustus* in grey  
597 squirrel hosts.

Response variable	Predictor	Parameter estimate	df	z-value	p
Abundance	Sex <sup>†</sup>	0.30 ± 0.29	1	1.04	0.30
	Body mass	0.008 ± 0.003	1	2.41	0.016
	PC1 score	0.24 ± 0.12	1	2.02	0.043
Infection status	Sex <sup>†</sup>	0.76 ± 0.68	1	1.11	0.27
	Body mass	0.011 ± 0.009	1	1.29	0.20
	PC1 score	0.69 ± 0.31	1	2.02	0.028
Intensity	Sex <sup>†</sup>	0.12 ± 0.24	1	0.49	0.63
	Body mass	0.007 ± 0.003	1	2.43	0.015
	PC1 score	0.101 ± 0.103	1	0.99	0.32

598 <sup>†</sup>females held as reference level

599

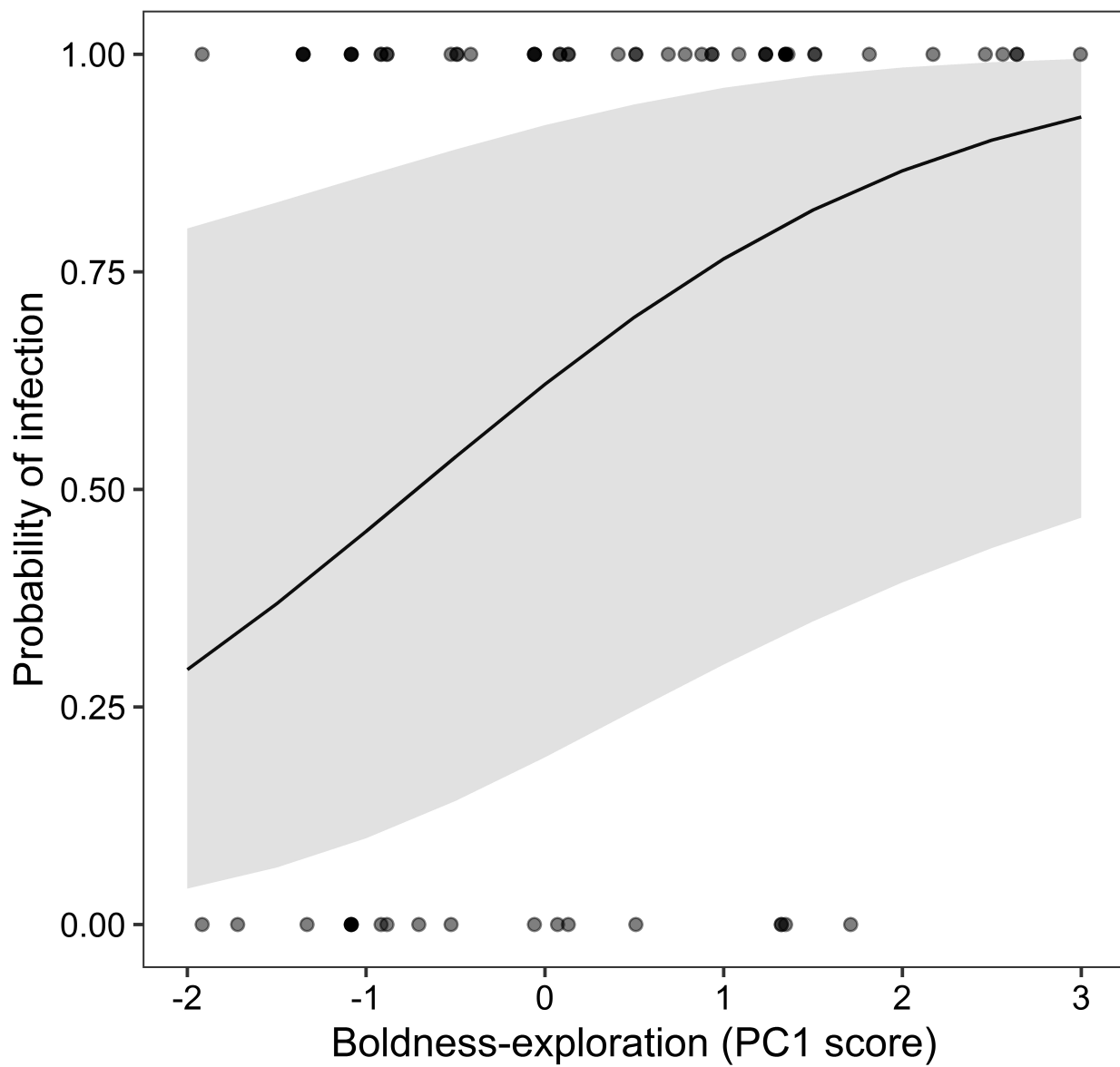
600 **Figure 1.** Relationship between *Strongyloides robustus* infection status and host boldness-exploration (PC1  
601 score) in grey squirrels: observed values (full circles) and probability of infection predicted by the model (line).  
602 Grey band indicates 95% CI. Colour of circles is darker when different points overlap.

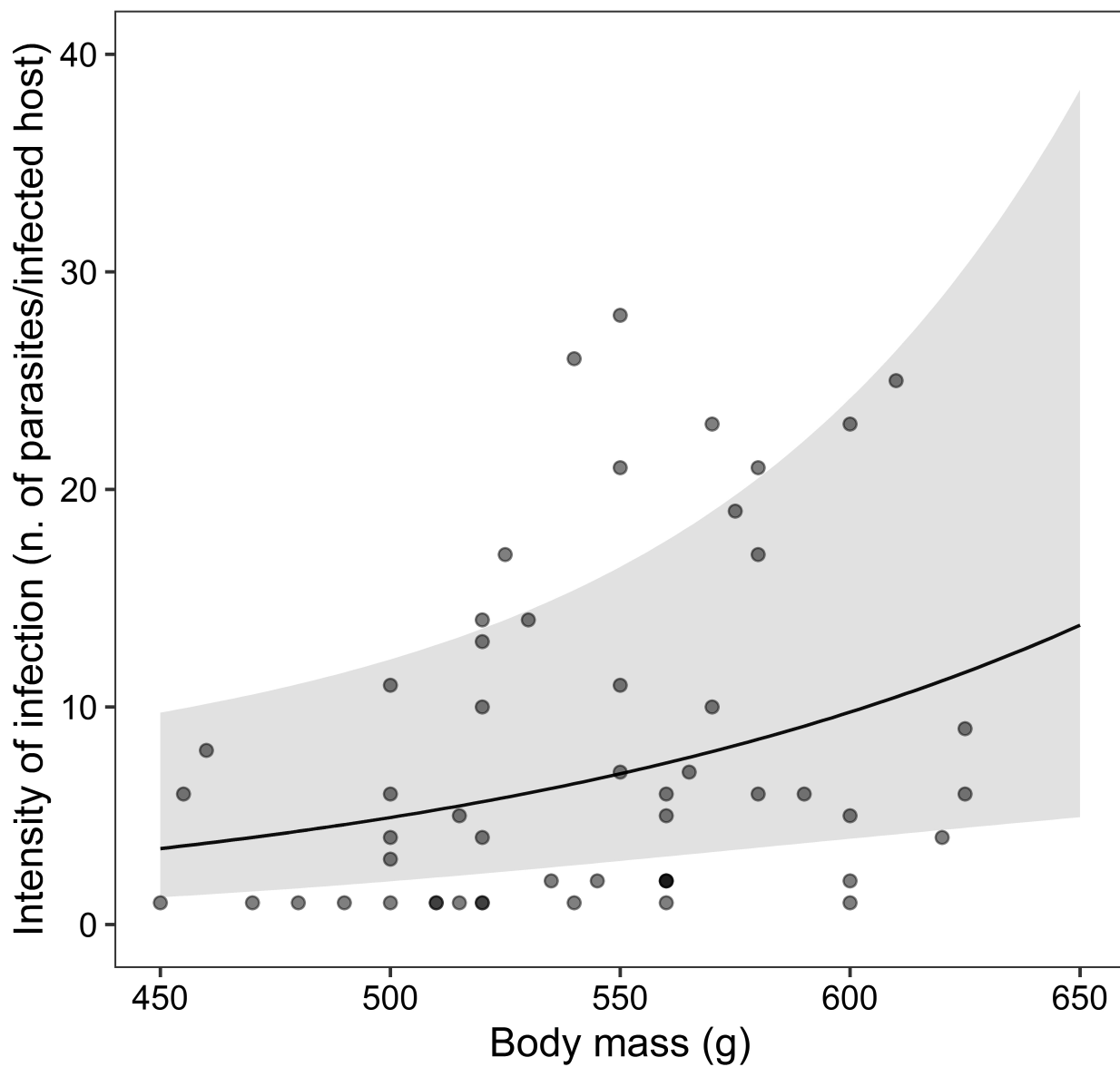
603

604 **Figure 2.** Relationship between *Strongyloides robustus* intensity of infection and host body mass in grey  
605 squirrels: observed values (full circles) and values predicted by the model (line). Grey band indicates 95% CI.  
606 Colour of circles is darker when different points overlap.

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## Declaration of interest form

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors. Authors have no competing interests. As corresponding author, I declare that the work described has not been published previously, that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder.

**Table S1. Study area coordinates, dates of CMR sessions and the grey squirrel removal session.**

Study area (size, ha)	Coordinates	CMR sessions	Removal session
PIO (2.6)	44°56'13" N; 7°35'30" E	January 2015 March 2015 May 2015	October 2015
RS (5.9)	44°57'01" N; 7°35'41" E	January 2015 March 2015 May 2015	October 2015
BER (4.9)	44°47'25"N; 7°38'59"E	December 2014 February 2015 April 2015	November 2015
COM (3.2)	44°50'20"N; 7°46'05"E	November 2016 December 2016	January 2017
MOR (25.0)	44°55'42"N; 7°44'43" E	December 2015 February 2016 April 2016	No removal

#### **SM1. Ethical note on trapping and handling procedures**

Grey squirrels were trapped using Tomahawk Live Traps (Model 202, Tomahawk Live Trap Co., Hazelhurst, WI, USA), partly covered with dark plastic sheets to provide animals with shelter. Traps were baited with hazelnuts and walnuts, and were checked three times/day to minimize time in trap. Before handling the trap was completely covered with a cloth to reduce stress. Trapped animals were flushed in a zipper-tube handling cloth to reduce direct contact with the operator and marked at first capture with a Monel 1005-1L1 ear-tag (National Band & Tag Co. Newport, KY, USA). Handling time was kept as short as possible (less than 5 minutes). Trapping and handling methods complied with the Italian national law on wildlife (L.N. 157 of 1992) and were based on the scientific guidelines and opinion from the Italian Institute for Environmental Protection and Research (ISPRA). At the end of the study, animals had to be killed as part of a control program on Invasive Alien Species (sample size 95 grey squirrels, 31 males and 64 females, all adults or subadults; LIFE09 NAT/IT/000095 EC-SQUARE Project) and followed national and European guidelines (ITA Decree No. 230/2017; EU Regulation No. 1143/2014). Specific permits were granted by the competent authorities for wildlife research and management of Turin and Cuneo Provinces (permit nr. D.D. 294-34626 of 2014 and Prot. n. 0002624 of 13/01/2014, respectively). Trapped squirrels were shifted from the zipper-tube to a plastic container where they were euthanized by CO<sub>2</sub> overdose, following EC and

AVMA guidelines (Close et al. 1996; Leary et al. 2013). All the operators were trained by veterinary staff specialised in animal welfare. Each carcass was placed in a sealed plastic bag and stored at -20°C for later examination. Tissue and blood samples collected from carcasses and not used in this study are either stored for future studies or have already been utilized within other research projects, in line with the principles set out in the 3Rs.

## **SM2: Details on helminth counts**

The whole gastrointestinal tract from the oesophagus to the rectum was removed during post-mortem examination. Each tract (stomach, small intestine, caecum and colon to rectum) was dissected separately and washed with tap water, and its content was filtered through two sieves (lumen 0.40 and 0.038 mm, respectively). The content of each tract was then examined under a stereomicroscope ( $\times 10$  magnification), and adult *S. robustus* were counted.

## **SM3: Differences in trappability and trap diversity between study areas**

The average number of captures ( $F_{4, 201} = 4.58$ ,  $p = 0.001$ ) and number of different traps in which a squirrel was caught differed significantly between study areas ( $F_{4, 201} = 5.41$ ,  $p = 0.0004$ ). These differences between areas did not influence our results since trapping indices were standardised within study areas.

Trappability was higher in PIO and BER than in the other three study areas (DLSM, all  $p < 0.05$ ; BER  $n = 33$ ,  $3.19 \pm 0.32$  captures; PIO  $n = 32$ ,  $3.44 \pm 0.30$  captures; COM  $n = 40$ ,  $2.00 \pm 0.20$  captures; RS  $n = 47$ ,  $2.43 \pm 0.22$  captures; MOR  $n = 55$ ,  $2.4 \pm 0.26$  captures). Also trap diversity differed between study areas. It was significantly higher in PIO than in COM, MOR and RS (DLSM, all  $p < 0.05$ ; PIO  $n = 32$ ,  $2.53 \pm 0.22$  traps; COM  $n = 40$ ,  $1.8 \pm 0.17$  traps; MOR  $n = 55$ ,  $1.67 \pm 0.12$  traps; RS  $n = 47$ ,  $1.83 \pm 0.13$  traps), and also higher in BER than in MOR (DLSM,  $p < 0.05$ ; BER  $n = 33$ ,  $2.39 \pm 0.20$  traps).