

1 **Bacterial proteolysis of casein leading to UHT milk gelation: an applicative study**

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11

12 **Abstract**

13 Heat-stable peptidases released in refrigerated raw milk by psychrotrophic bacteria are responsible  
14 for UHT milk gelation. K-casein-derived caseinomacropeptides, identified by mass spectrometry,  
15 were constantly detected in gelled milk by capillary electrophoresis. Strains of *Pseudomonas*  
16 *fluorescens*, *Ps. poae* and *Chryseobacterium joostei*, selected among *aprX*-positive strains from raw  
17 milk, were incubated in milk up to 6 days at 4 °C before sterilization (98 °C/4 min). Samples were  
18 then stored at 25 or 40 °C, visually observed for gelation, and analysed for presence of  
19 caseinomacropeptides throughout 90 days of storage. Depending on cold pre-incubation time,  
20 caseinomacropeptides accumulated well before gelation onset in milk stored at 25 °C.  
21 Caseinomacropeptides were successively degraded, especially in milk stored at 40 °C, due to  
22 extensive proteolysis, and an abundant sediment developed instead of a gel. The  
23 caseinomacropeptides are here presented as an early indicator of UHT milk gelation and a  
24 mechanism explaining this phenomenon is proposed.

25

26 **Keywords:** milk gelation, caseinomacropptides, AprX, psychrotrophic bacteria, capillary zone  
27 electrophoresis

28

## 29 **1. Introduction**

30 The premature deterioration of consumption milk remains a major problem for the dairy industry  
31 that increasingly points at providing high-quality products to gain and maintain consumer loyalty.  
32 In this context, finding out effective measures to prevent the entry of spoilage microorganisms,  
33 control their growth and predict their enzymatic activities represents a priority challenge. Microbial  
34 community of raw milk is complex and variable depending on several factors including cattle health  
35 status, feed, milking equipment and procedures, environmental conditions (Bava et al. 2011;  
36 Vithanage et al. 2016). In addition, the refrigeration conditions raw milk is stored until processing  
37 further define the composition of microbial population by creating selective conditions for the  
38 growth and prevalence of psychrotrophic bacteria. Psychrotrophic bacteria are able to growth at low  
39 temperatures, although the optimal growth temperature is above 15-20 °C. *Pseudomonas* is the  
40 main genus responsible for spoilage of refrigerated raw milk. Nevertheless, presence of other Gram-  
41 negative bacteria belonging to *Serratia liquefaciens*, *S. marcescens*, *Klebsiella oxytoca*, *Hafnia*  
42 *alvei*, *H. paralvei*, *Enterobacter aerogenes*, *Chryseobacterium joostei*, *Stenotrophomonas* spp.,  
43 *Burkholderia* spp., along with Gram-positives such as *Bacillus cereus*, *B. licheniformis*, *B. subtilis*,  
44 *Paenibacillus polymixa*, *Anoxybacillus* spp., was reported as well (Mcphee and Griffiths, 2011; von  
45 Neubeck et al., 2015; Brasca et al., 2017; Machado et al., 2017). Many of these bacteria are able to  
46 produce extracellular peptidases and lipases that are highly heat-stable (withstanding UHT  
47 temperatures) and can seriously impair technological performances of milk and cause sensory  
48 deterioration of the dairy products (Decimo et al. 2014; Glück et al. 2016; Baglinière et al., 2017). It  
49 is sufficient to keep raw milk at 6 °C for 48 h to observe an increase of two logs in the  
50 psychrotrophic bacterial load (Stoeckel et al. 2016a), allowing the production of peptidases that

51 generally occurs in the late exponential growth phase (Stevenson et al., 2003; Alves et al., 2018).  
52 Proteolytic activity in milk has been related to development of off-flavours and visually detectable  
53 alterations (sediment formation, gelation or coagulation), to decreased milk foaming properties,  
54 reduced cheese yield, and shortening of the shelf-life (Stoeckel et al. 2016b; D’Incecco et al., 2018).  
55 Extracellular thermostable peptidases are alkaline metallo-peptidases with molecular mass between  
56 40-50 kDa and belong to the family of serralysin peptidases. In particular, AprX peptidases from  
57 *Pseudomonas* species isolated from raw milk have been extensively studied and, although the  
58 protein is highly conserved within species, differences in optimum pH and temperature as well as in  
59 thermal stability were observed among species (Marchand et al., 2009; Matéos et al., 2015).  
60 According to Machado et al. (2017), occurrence of peptidases from *Ps. fluorescence* group is likely  
61 overestimated by current literature due to cases of misidentification of this species. The *aprX* gene,  
62 which encodes this protein, is rather heterogeneous within *Pseudomonas spp.* and its expression and  
63 regulation are very complex (Marchand et al., 2009; Caldera et al., 2016).  
64 Proteolytic activity of AprX from strains of *Ps. fluorescens* was studied in model solutions of single  
65 casein fractions (Recio et al., 2000a; Matéos et al., 2015; Stuknyté et al., 2016). This approach  
66 allowed identification of numerous derived peptides and their assignment to the parent casein.  
67 However, the kinetics of proteolysis was always very fast and the single intact fractions ( $\beta$ -,  $\alpha_s$ -, k-  
68 casein) disappeared within 1-4 hours of hydrolysis, depending on the study, with most of primarily  
69 released peptides being subsequently further cleaved. Although these studies provided relevant  
70 information on the enzyme specificity, actual capability of AprX to degrade casein is more  
71 effectively assessed in milk, where casein fractions are associated into large micelles. According to  
72 this consideration, studies aiming to elucidate mechanisms leading to destabilization of UHT milk  
73 were mostly carried out by adding milk with either the cells of selected *Pseudomonas* strains  
74 (Baglinière et al., 2012; Matéos et al., 2015) or thermostable proteases purified from their culture  
75 broth (Alves et al., 2018; Zhang et al., 2018). Beside a non-specific proteolysis of casein, largely  
76 differing in terms of extent among studies, the preferential cleavage of k-casein (k-CN) was a rather

77 common finding (Machado et al., 2017; Zhang et al., 2018). In particular, k-casein cleavage at  
78 bonds 103-104, 104-105 and 105-106 was observed (Matéos et al., 2015), suggesting that AprX  
79 from *Pseudomonas* could have chymosin-like activity. Chymosin specifically cleaves the Phe<sub>105</sub>-  
80 Met<sub>106</sub> bond of k-CN and releases the C-terminal casein-macropeptide (CMP), the hydrophilic  
81 “brush” protruding from the surface of the micelles and stabilizing them against interactions. The  
82 hydrophobic para-k-casein remains at the surface of the micelles that progressively aggregate to  
83 form a three-dimensional network appearing like a continuous gel.

84 We repeatedly observed a typical HPLC pattern of soluble peptides in gelled UHT milks of  
85 different origin. Based on this observation, the hypothesis of this work was that all gelled samples  
86 contain peptides deriving from the specific action of AprX. The aim of this work was first to assess  
87 the presence of CMP or pseudo-CMPs in gelled UHT milk, supporting the role of the chymosin-like  
88 proteolysis. We developed an analytical method using the capillary electrophoresis for evaluating  
89 these peptides with high reliability. Then a protocol to simulate the industrial manufacturing and  
90 storage conditions of UHT milk was set up as a suitable tool for laboratory-scale studies. By using  
91 this protocol, the accumulation of CMP or pseudo-CMPs and gel formation were monitored over 90  
92 days of storage in sterilized milk obtained from milk intentionally inoculated with *aprX*-positive  
93 bacterial strains. Selected strains were: *Pseudomonas fluorescens* LPF3, *Pseudomonas poae* LP5  
94 and *Chryseobacterium joostei* LPR1, all isolated from local raw milk. The feasibility of using our  
95 approach for early diagnosis of UHT milk gelation was studied.

96

## 97 **2. Materials and methods**

### 98 **2.1 Milk samples**

99 Twelve commercial samples of UHT milk occasionally recalled from the market due to gelation  
100 problems were obtained from four manufacturers of Northern Italy between 2015 and 2017. When  
101 analysed, milk samples were not more than 3-month old from manufacturing date. At the processing

102 site of one of the manufacturers, six separate samples (100 mL) of raw bulk milk were aseptically  
103 collected from the storage tank (4±1 °C) on different days, were brought to the laboratory under  
104 refrigerated conditions (4 °C) and used within 24 h for bacterial strain isolation. For the trials of  
105 milk inoculation and storage, partly-skimmed (1.5 g fat/100 mL) microfiltered pasteurized milk (25  
106 L) was aseptically collected just after manufacturing at an industrial plant and brought to the  
107 laboratory under refrigerated conditions (4 °C).

108

## 109 2.2 Bacterial strain isolation and identification

110 Fourteen psychrotrophic strains were isolated from the six samples of raw milk. Samples were  
111 serially diluted in quarter-strength Ringer's solution (Scharlau Microbiology, Barcelona, Spain),  
112 inoculated into Penicillin-Pimaricin (PP) (Biolife, Milan, Italy) agar supplemented with PP  
113 *Pseudomonas* supplement (Biolife) and incubated aerobically at 30 °C for 24-48 h. The colonies  
114 with different morphologies were isolated and cultured in Brain Heart Infusion (BHI) broth  
115 (Scharlau Microbiology) and purified by streaking repeatedly on PP agar. The 14 isolates were  
116 cultivated routinely overnight at 30 °C in BHI broth and preserved in litmus milk at -18 °C.

117 Genomic DNA was extracted from overnight cultures using the Microlysis kit (Aurogene Rome,  
118 Italy) following the manufacturer's instructions. Strain identification was performed by partial 16S  
119 rRNA gene and *rpoB* gene sequencing according to McCabe et al. (1995) and Sajben et al. (2011).  
120 The obtained PCR products were sent to Macrogen Europe (Amsterdam, the Netherlands) for  
121 sequencing and sequences were analyzed with NCBI BLAST search  
122 (<http://www.ncbi.nlm.nih.gov/BLAST>).

123

## 124 2.3 Detection of the *aprX* gene and proteolytic activity of the strains

125 The 14 strains were screened for the presence of the *aprX* gene as reported by Marchand et al.  
126 (2009). Proteolytic activity was evaluated according to Hull (1947) and Pinto et al. (2014). Briefly,  
127 strains were inoculated (1%) in reconstituted sterile non-fat dry milk (10%, w/v) (Sacco srl,

128 Cadorago, Italy) and incubated at 10 and 30 °C for 7 days. After incubation, the samples were  
129 analyzed by measuring the absorbance at a wavelength of 650 nm. Results were expressed as mg  
130 tyrosine released/5 mL milk.

131

## 132 2.4 Milk storage trials

### 133 2.4.1 Preparation of inocula

134 Three strains, one from each species, harboring the *aprX* gene and differing in proteolytic activity  
135 were selected for the milk inoculation. The inocula were prepared as described by Stoeckel et al.  
136 (2016a). Each strain was incubated in BHI broth at 30 °C and refreshed two times. The cell  
137 suspension was then centrifuged (3,000 rpm, 10 min) and the pellet was resuspended in partially  
138 skimmed UHT milk and incubated at 2 °C for 3 days to allow the bacteria to adapt to the milk  
139 medium and to cold conditions. The final cell count was  $\sim 10^8$  CFU/mL for all of the three strains.

140

### 141 2.4.2 Cold incubation, sterilization and storage of inoculated milk

142 For each strain, 4 mL of an appropriate dilution of the adapted culture was aseptically inoculated in  
143 4 L of microfiltered pasteurized milk in order to obtain a final concentration of  $10^3$ - $10^4$  CFU/mL.  
144 Inoculated milk was kept in a sealed bottle in the dark at 4 °C. Aliquots were aseptically collected  
145 just after the preparation and, thereafter, daily until 6 days, for counting (PP agar incubated  
146 aerobically at 30 °C for 48 h), for casein and peptide analysis, and for further processing. A blank  
147 sample consisting of 1-L non-inoculated milk was processed the same way. On the day of sampling,  
148 samples were aseptically filled into 10-mL sterile high-density polyethylene tubes (15 tubes per  
149 sample) and sealed with screw cap. Tubes were immediately heated at 97-98 °C for 4 min (with  
150 additional 4 min heating time) in a water bath and one tube was tested for sterility. Tubes were  
151 randomly divided into two sets that were stored in an upright position in the dark at 25 and 40 °C,  
152 respectively, and visually inspected daily by gentle inversion for gelation or sedimentation onset.

153 Two tubes from each set were analyzed in duplicate after 1 week, 3 weeks, and 3 months of storage  
154 or at gelation.

155

### 156 2.4.3 Protein and peptide analyses

157 Intact milk proteins in milk samples were analysed by capillary zone electrophoresis (CZE) as  
158 previously described (D’Incecco et al., 2018). For sample preparation, 400  $\mu$ L milk were added  
159 with 800  $\mu$ L of 10 mol/L urea buffer (pH 8.6) and kept at room temperature for 4 hours. Then the  
160 sample was diluted 1:5 with the same buffer and filtered (0.22  $\mu$ m PVDF membrane filter)  
161 (Millipore, Italy) prior to CZE analysis.

162 The soluble milk proteins and peptides were analysed by both HPLC and CZE, adopting the same  
163 sample preparation conditions. The milk sample was acidified to pH 4.6 using 2N HCl to precipitate  
164 casein and then centrifuged at 3,000 g for 20 minutes at 10 °C. The supernatant was filtered through  
165 a 0.22  $\mu$ m filter before analysis. Conditions for HPLC analysis were those of the ISO Standard  
166 13875:2005 with the minor modifications described by Pellegrino et al. (2015). The HPLC  
167 equipment was an Alliance 2695 coupled with a DAD 2996 detector (Waters, Milford, MA, USA)  
168 set at 205 nm and a Polymer PLRP-S column (250x4.6 mm, 300 Å pore size, 5  $\mu$ m particle size)  
169 (Varian Medical System, Milan, Italy) was used. Chromatographic data were processed using  
170 Empower2 software (Waters). The same equipment and capillary described above were used for  
171 CZE but the operating conditions were optimized for CMPs analysis as follows. An aliquot of 750  
172  $\mu$ L of the filtered supernatant was added with 700  $\mu$ L of urea buffer (pH 8.6) and 50  $\mu$ L of  
173 tryptophan (5 mg/mL water) (Sigma Aldrich, Italy) as an internal standard. The mix was kept at  
174 room temperature for 4 hours, then filtered through a 0.22  $\mu$ m filter and separated by CZE at 45 °C  
175 using a linear gradient from 0 to 30 KV in 4 min followed by constant voltage at 30 KV for 56 min.  
176 Data of CMPs were expressed as corrected peak area counts.

177

### 178 2.5 Identification of CMP and pseudo-CMPs by LC-HR-MS/MS analysis

179 Four main peaks eluting at retention time 7, 7.5, 8.2 and 10 min respectively were collected from  
180 the HPLC eluate of repeated injections of the pH 4.6-soluble fraction of a gelled UHT milk sample.  
181 The collected fractions were neutralized using ammonia and lyophilized. Mass spectrometry  
182 analysis was performed using a Q Exactive Orbitrap mass spectrometer (Thermo Scientific, San  
183 Jose, CA, USA), online coupled with an Ultimate 3000 ultra-high performance liquid  
184 chromatography instrument (Thermo Scientific). Samples were resuspended in 0.1% (v/v) formic  
185 acid solution, loaded through a 5mm long, 300  $\mu\text{m}$  id pre-column (LC Packings, USA) and  
186 separated by an EASY-Spray™ PepMap C<sub>18</sub> column (2  $\mu\text{m}$ , 15 cm x 75  $\mu\text{m}$ ) 3  $\mu\text{m}$  particles, 100 Å  
187 pore size (Thermo Scientific). Eluent A was 0.1% formic acid (v/v) in Milli-Q water; eluent B  
188 was 0.1% formic acid (v/v) in acetonitrile. The column was equilibrated at 5% B. Peptides were  
189 separated applying a 4–40% gradient of B over 60 min. The flow rate was 0.3  $\mu\text{L}/\text{min}$ . The mass  
190 spectrometer operated in data-dependent mode and all MS1 spectra were acquired in the positive  
191 ionization mode with an  $m/z$  scan range of 350 to 1600. A resolving power of 70,000 full width at  
192 half maximum (FWHM), an automatic gain control (AGC) target of  $1 \times 10^6$  ions and a maximum ion  
193 injection time (IT) of 256 ms were set to generate precursor spectra. MS/MS fragmentation spectra  
194 were obtained at a resolving power of 17,500 FWHM. In order to prevent repeated fragmentation of  
195 the most abundant ions, a dynamic exclusion of 10s was applied. Ions with one or more than six  
196 charges were excluded. Spectra were processed using the Xcalibur Software 3.1 version (Thermo  
197 Scientific). Mass spectra were processed using the Proteome Discoverer 2.1 software (Thermo  
198 Scientific), restricting the search to *Bos taurus* extracted from the NCBI (downloaded on March  
199 2017) and with no cleavage specificity. Identification was carried out on the basis of peptide  
200 accurate MW. LC-HR-MS/MS analyses were run in duplicate.

201

## 202 2.6 Statistical treatment of data

203 Statistical evaluation of pH values was performed using the T-test Window 2010, Excel (Microsoft,  
204 Redmond, USA). The level of significance was 95%.

205

### 206 **3. Results**

#### 207 3.1 Optimization of the analytical and experimental conditions

208 Twelve commercial packages of UHT milk with destabilization signs were collected from  
209 manufacturers over two years. The samples were different in origin, processing conditions, fat  
210 content and age but, although sterile and normal in pH value, at the opening all showed a rennet-  
211 like gel involving the whole product or, in a few cases, separated at the bottom of the package with  
212 a clear liquid phase on top. Initially, the pH 4.6-soluble fraction of these samples was analysed by  
213 HPLC. Interestingly, besides the peaks of whey proteins, we systematically detected the presence of  
214 few major peaks, eluting between 7 and 11 min in the HPLC chromatogram (Fig. 1, pattern b), that  
215 we never detected in stable UHT milk samples from previous studies (Fig. 1, pattern a). This  
216 suggested that a rather similar proteolytic pathway should bring UHT milk to gelation, regardless  
217 the microbial species responsible.

218 Based on the HPLC-MS analysis, four peaks eluting at 7, 7.5, 8.2 and 10 min respectively proved to  
219 contain fragments of k-CN, i.e. (f 105-169) from the genetic variants A and B and having either  
220 single or double phosphorylation, and the canonical CMP (f 106-169) from the two variants, singly  
221 phosphorylated only. The peak assignment is detailed in Table S1.

222 The identification of these peptides is consistent with previous findings reporting that, when  
223 incubated with pure k-CN, AprX from different strains of *Ps. fluorescens* can cleave the peptide  
224 bonds 104-105 and 105-106. These cleavages respectively generate the so-called pseudo-CMP and  
225 pseudo-para-k-CN fragments, beside the true CMP and para-k-CN as also generated by the specific  
226 action of chymosin (Baglinière et al., 2012; Stuknyté et al., 2016). In contrast with findings reported  
227 by Recio et al., (2000a), we did not detect fragments (f 104-169), (f 107-169) and (f 108-169) in our  
228 milk samples. The HPLC analysis of CMP in rennet whey samples was previously reported (Thoma

229 et al., 2006; Pellegrino et al., 2015), with patterns comparable to those obtained here for gelled  
230 UHT milk.

231 The four peaks became hardly distinguishable when an extensive proteolysis in milk gave rise to a  
232 more complex HPLC pattern (Figure 1, pattern c). Such a pattern was observed for UHT milk  
233 samples in which a compact sediment was present instead of a rennet-like gel. Consequently, we  
234 discontinued using the HPLC for milk analyses, although it was a unique approach for peak  
235 identification by MS, and preferred the CZE, which provides reliable and accurate separation of  
236 milk proteins (Heck et al., 2008).

237 Considering the definite presence of different CMPs in all gelled UHT milks, an attempt was made  
238 to evaluate the degradation of k-CN as a possible analytical approach not suffering from  
239 interferences of small peptides. Indeed, all the CZE patterns of gelled UHT milks showed the k-CN  
240 degradation, and the presence of one or two peaks corresponding to para-k-CN and pseudo-para-k-  
241 CN fractions (Fig. 2, pattern b). These patterns also showed that no other casein fractions were  
242 degraded. This allowed to exclude a residual plasmin activity and, most importantly, confirmed that  
243 a chymosin-like cleavage of k-CN occurred in gelled milk. However, the obtained CZE patterns  
244 were not satisfactory for a quantitative study since the tween peaks of  $\beta$ -lactoglobulin migrated very  
245 close to those of para-k-CN and pseudo-para-k-CN fragments making the identification of these last  
246 unreliable. Other authors reported the same difficulties when analysing milk added with rennet  
247 whey solids (Recio et al., 2000b). To overcome these problems, we decided to develop novel CZE  
248 conditions intended to separate the target CMPs present in the pH 4.6-soluble fraction of milk.  
249 These fragments migrate as three distinct peaks in the last part of the CZE pattern, which is free of  
250 interferences (Fig. 3). A limitation of using CZE as an analytical technique is the difficulty of  
251 adopting MS detection to achieve direct peak identification. Therefore, the CMP peaks were  
252 identified by analysing the same fractions that were collected from the HPLC of a gelled UHT milk  
253 and tested by HPLC-MS. The A and B genetic variants eluted as single peaks for both CMP and  
254 pseudo-CMPs, as already observed by Recio et al., (2000a). Proteose peptones peaks were

255 identified in a previous work (D’Incecco et al., 2018) and, like other peptides, migrated in the first  
256 part of the pattern causing no interference with the peaks of CMPs. Tryptophan was added to the  
257 samples as an internal standard to correct the peak area of target peptides for the instrumental error  
258 in the injected volume.

259 The CZE of UHT milk inoculated with different counts of *Ps. fluorescens* were reported in previous  
260 papers (Van Riel & Olieman, 1995; Recio et al., 2000a, b). However, these papers were focused on  
261 the identification of rennet whey solids in adulterated milk and consequently no relation was  
262 established between the presence of these fragments and occurrence of milk gelation. Nevertheless,  
263 the peak assignments reported for CMP and pseudo-CMPs peaks by these authors were the same as  
264 we found in commercial UHT milk samples where gelation occurred. The sum of corrected peak  
265 area counts of CMP and pseudo-CMPs (f 105-169) peaks, hereafter named  $CMP_{tot}$ , was thus  
266 considered in the present study. Formation of  $CMP_{tot}$  was monitored in milk samples inoculated  
267 with selected bacterial strains, as it is discussed further, in a set of trials that we designed to best  
268 simulate the conditions milk undergoes at the industrial manufacturing plant before the sterilization  
269 treatment and during the successive shelf life. Contrary to previous studies using UHT milk (Datta  
270 & Deeth, 2003) or sterile reconstituted milk (Alves et al., 2018) as a substrate, we used pasteurized  
271 milk in order to make proteases released by the studied strains to act on casein micelles in a nearly  
272 native state. Furthermore, interference of proteolytic activity from contaminating bacterial species  
273 was avoided since these were preliminarily removed by milk microfiltration, as previously done by  
274 other authors (Baglinière et al., 2012; Matéos et al., 2015). Thus, the microfiltered pasteurized (MP)  
275 milk inoculated with the target strains could be stored at refrigeration conditions for some days  
276 before the thermal processing, as it usually occurs at industrial plants for UHT milk processing.

277

### 278 3.2 Bacterial strain selection

279 Fourteen isolates were identified by partial 16S rDNA gene sequencing and *rpoB* gene as  
280 *Pseudomonas fluorescens* (11 strains), *Chryseobacterium joostei* (2 strains), and *Ps. poae* (1 strain)

281 (Table 1). These findings were in agreement with those of Vithanage et al., (2016), that considered  
282 these species as part of culturable psychrotrophic microbiota in refrigerate raw milk. The *aprX* gene  
283 was widespread amongst the *Pseudomonas* strains, as only four strains out of the 11 tested did not  
284 harbor this gene (Table 1).

285 All the strains exhibited proteolytic activity at both 10 and 30 °C, although to a different extent. At  
286 30 °C, all the *aprX* positive strains were able to hydrolyze casein in the range 1.0 to 2.0 mg tyrosine  
287 5 mL<sup>-1</sup> milk and *Ps. fluorescens* LPF3 exhibited the highest proteolytic activity. At lower  
288 temperature (10 °C) four strains out of the eight characterized by the highest activity at 30 °C  
289 showed a decreased proteolytic activity, while *Ps. poae* LP5 showed values comparable with those  
290 obtained at 30 °C (1.0 < OD<sub>650</sub> < 2.0). *C. joostei* LPR1 and LPR2 showed a similar behavior, a  
291 higher proteolytic activity being observed at 30 °C. For each species, the strain possessing the  
292 highest proteolytic activity was selected for the subsequent experiments: *C. joostei* LPR1, *Ps.*  
293 *fluorescens* LPF3, *Ps. poae* LP5.

294

### 295 3.3 Proteolysis and gelation in experimental milk samples

296 Three batches of MP milk were inoculated (final concentration 10<sup>3</sup> -10<sup>4</sup> CFU/mL) with LPF3, LP5  
297 and LPR1 strains, respectively, and incubated at 6 °C for up to 6 days. Each day, an aliquot of  
298 incubated milk was sterilized (97-98 °C/4 min) in sealed tubes and further stored at 25 and 40 °C,  
299 the latter representing storage temperature abuse with respect to room temperature. The evaluation  
300 of CMP<sub>tot</sub> by CE was carried out in milk just before sterilization and after 1 week, 3 weeks and 3  
301 months of storage or at the gelling/instability onset when it happened at an intermediate time. The  
302 results of this trial are compiled in Table 2. Concerning gelation, only samples entirely gelled were  
303 referred to as gelled, depending on gel stability at the inversion of the tube (Fig. S1). Other  
304 instability signs were the formation of a compact and robust sediment at the bottom of the tube and,  
305 in a few cases, the flocculation of milk during the sterilization (Fig. S1).

306 *P. fluorescens* LPF3 exhibited a faster growth rate than *P. poe* LP5, nevertheless both strains  
307 approximately reached  $10^8$  cfu/mL after 6 days of incubation.

308 Differently, *C. joostei* LPR1 grew much more slowly since the beginning of incubation. Indeed,  
309 after 6 days of cold incubation LPR1 reached counts comparable to those reached by the other two  
310 strains after 1-2 days. In all of the samples, pH values were within the range 6.5-6.8 and were not  
311 significantly different ( $P > 0.05$ ) from that of the control (not inoculated) milk, indicating that no  
312 milk acidification had happened during the cold storage.

313 Production of  $\text{CMP}_{\text{tot}}$  was markedly different among strains (Table 2), according to the differences  
314 observed in the total proteolytic activity (as tyrosine equivalents) (Table 1). In this respect, LP5  
315 proved to be the most active strain as  $\text{CMP}_{\text{tot}}$  presence was detected even in milk samples that were  
316 previously kept at low temperature for one or two days only. The strain LPR1 only produced small  
317 amounts of  $\text{CMP}_{\text{tot}}$  after 3 months of storage at 25 °C in the samples that were previously incubated  
318 at low temperature for 5 days, consistently with the slow growth observed. Milk storage at 40 °C  
319 dramatically anticipated the release of  $\text{CMP}_{\text{tot}}$ , also with the lowest bacterial counts. As expected,  
320 the proteolytic activity of AprX against k-CN was faster at higher temperature and the released  
321  $\text{CMP}_{\text{tot}}$  accumulated. Optimum temperature for AprX from different strains of *Ps. fluorescens*  
322 isolated from milk was reported to be 37-40 °C (Matéos et al., 2015; Alves et al., 2018). However,  
323 when storage at 40 °C was prolonged, the degradation of  $\text{CMP}_{\text{tot}}$  took place as well.

324 Gelation of sterilized milk occurred earlier when the prior cold incubation period was increased,  
325 consistently with  $\text{CMP}_{\text{tot}}$  accumulation, but again large variations were recorded depending on the  
326 strain. Milk inoculated with LP5 and stored at 25 °C gelled after 24 days when the cold incubation  
327 was of 4 days and after only 5 days when the cold incubation was of 6 days. Differently, in milk  
328 inoculated with LPF3 and incubated at low temperature for 4 and 5 days, a firm gel formed after 21  
329 and 2 days of storage at 25 °C, respectively. Both strains cold incubated for 3 days did not show any  
330 gelation but a compact sediment was clearly observable after 90 days of storage. When milk storage  
331 was conducted at 40 °C, gelation occurred much earlier, when degradation  $\text{CMP}_{\text{tot}}$  had already taken

332 place. Flocculation occurred upon heat treatment in milk inoculated with LPF3 and cold incubated  
333 for 6 days, thus no further storage was carried out for these samples. Due to the very low amounts  
334 of  $\text{CMP}_{\text{tot}}$  produced even under the most favourable conditions (cold incubation for 5 or 6 days and  
335 subsequent storage for 3 months), no instability signs were observed in the samples inoculated with  
336 strain LPR1.

337

#### 338 **4. Discussion**

339 In our trials, presence of  $\text{CMP}_{\text{tot}}$  was observed in all the gelled milks well before the gelation sets.  
340 The differences in proteolytic capacity and/or the specific activity among species may be attributed  
341 to the heterogeneity of the *aprX* gene, as observed for *Pseudomonas* (Machado et al., 2017).  
342 Observation of slow proteolytic activity of *C. joostei* can be explained by the low cell counts  
343 reached in our conditions (up to  $10^5$  UFC/mL in 6 days) since it has been evidenced that  
344 psychrotrophic bacteria start producing peptidases in the exponential growth phase. In a study  
345 conducted on a single strain (UFSBC 256<sup>T</sup>) inoculated with cell count of approximately  $10^6$   
346 CFU/mL, Bekker et al (2015) found proteolytic activity comparable to that of *Ps. fluorescence* type  
347 strain ATCC 13525.

348 It has been highlighted that, at high storage temperature, the  $\text{CMP}_{\text{tot}}$  degradation occurs together  
349 with formation. In fact, the amount of  $\text{CMP}_{\text{tot}}$  was lower at gelling onset at 40 °C than at 25 °C.  
350 Therefore, the amount of  $\text{CMP}_{\text{tot}}$  itself was not directly related to gel presence during the whole  
351 storage period. Nevertheless, when the amount of  $\text{CMP}_{\text{tot}}$  decreased or disappeared after 90 days of  
352 storage, an abundant sediment was observed, instead of a gel (Table 2). Considering the long  
353 storage, a parallel non-specific proteolysis, also involving the other casein fractions, brought milk to  
354 destabilize as a soft sediment and not as a gel. This is in agreement with our hypothesis that gelation  
355 is caused by slow interaction of casein micelles whose surface becomes progressively hydrophobic  
356 due to the release of CMP. The setting of a compact gel obviously requires a high number of  
357 destabilized but sufficiently intact micelles. Malmgren et al. (2017) observed gelation to occur in

358 commercial UHT milk after 6 months of storage at 22 °C while a sediment developed consequent to  
359 intense proteolysis when milk stored at 40 °C.

360 Overall, with respect to the studied strains, our data indicated a negative correlation between  
361 bacterial counts in raw milk and time to gelation after the sterilization, since less days elapsed  
362 before gelation onset when initial bacterial counts were higher. In fact, *Pseudomonas* spp. in  
363 refrigerated milk produce peptidases in the late exponential, or early stationary, growth phase  
364 (Stevenson et al., 2003; Alves et al., 2018). Stoeckel et al. (2016a) worked with three *Pseudomonas*  
365 strains (*Ps. weihenstephanensis*, *Ps. proteolytica* and *Pseudomonas* R35698 W15a isolated from  
366 raw milk) individually incubated in milk at 6 °C for 4 and 5 days before thermal treatment and  
367 observed a complete milk gelation only after 4 months of subsequent storage at 20 °C. The related  
368 degree of proteolysis in milk samples was measured as the amount of pH 4.6-soluble peptides  
369 released during storage using the fluorescamine assay. Therefore, like in other similar studies  
370 (Gaucher et al., 2011; Rauh et al., 2014), it was not possible to go deeper into the mechanism  
371 leading to milk gelation. Baglinière et al. (2012) observed no gelation in milk inoculated with nine  
372 strains of *Ps. fluorescens* and incubated at 4 °C for 3 days before thermal treatment and subsequent  
373 storage at 20 °C up to 90 days. These authors identified many released peptides by HPLC-MS but,  
374 since they did not work with gelled samples, no relation between presence of specific peptides and  
375 gelation could be established. Based on the number of released peptides, they showed the casein  
376 degradation to be  $\beta$ - >  $\alpha$ s1- > k- >  $\alpha$ s2-CN fractions, whereas more studies reported that AprX in  
377 milk preferentially hydrolyses k- >  $\beta$ - >  $\alpha$ s-CN<sub>s</sub> (Datta & Deeth, 2003; Zhang et al., 2018).  
378 Although conducted under not always comparable experimental conditions, many studies showed  
379 that both type and amount of AprX produced by *Ps. fluorescens* are strain-dependent, with different  
380 response (enzyme expression) of strains to growth conditions (Marchand et al., 2009; Decimo et al.,  
381 2014; Caldera et al., 2016). In addition, the activity of AprX in UHT milk is regulated, both  
382 qualitatively and quantitatively, by storage temperature. Consequently, milk gelation may take so  
383 long time that is not observed during the studied storage period or may not settle at high storage

384 temperature, when an intense proteolytic activity takes place and destabilization evolves into a  
385 sediment accumulation. The number and complexity of these aspects suggested us checking for the  
386 release of CMP or pseudo-CMPs rather than for the quantification of AprX activity or the total  
387 proteolysis extent for predicting UHT milk stability. Due to the selectivity of the analytical  
388 conditions, we were able to detect  $\text{CMP}_{\text{tot}}$  in milk well before its gelation, in some cases even  
389 before the sterilization treatment (not shown). Similarly, Matéos et al., (2015) observed  
390 accumulation of these peptides in milk during storage at 6 °C before UHT treatment. This confirms  
391 that these peptides can be useful markers for predicting the propensity of a milk to gel.

392 Different mechanisms have been proposed to explain UHT milk gelation, either enzymatic or non-  
393 enzymatic (McMahon, 1996; Datta and Deeth, 2001, Machado 2017, Anema 2018). Recently,  
394 Machado et al., (2017) reported that AprX peptidases may hydrolyse either hydrophobic or  
395 hydrophilic areas of casein micelles thus causing their aggregation and sedimentation in UHT milk.  
396 In contrast, Anema (2018) proposed the interactions to occur *via* hydrophobic bonding between  
397 para-k-casein either on micelles or in serum phase. Zhang et al., (2018) observed that, in UHT milk  
398 intentionally added with AprX purified from *Ps. fluorescens*, the onset of gelation goes together  
399 with an increase in particle size distribution above that expected for casein micelles and the specific  
400 hydrolysis of k-CN. Based on the results of the present study and considering the most recent  
401 literature, we hypothesized a “rennet-like” milk gelation mechanism (Fig. 4), in which the heat-  
402 stable bacterial peptidases cleave k-CN at the peptide bond 105-106 or in its proximity, depending  
403 on the species and strains, and releases the soluble  $\text{CMP}_{\text{tot}}$ . Consequently, the un-solvated micelles  
404 slowly aggregate creating a gel that may occupy the whole milk volume when a critical number of  
405 casein micelle is involved. Gelation onset is dependent on this event as the first necessary step and  
406 our diagnostic approach relies on the detection of the soluble product ( $\text{CMP}_{\text{tot}}$ ) originated from this  
407 step. Although gelled UHT milks typically contain higher amounts of pseudo-CMPs than CMP, this  
408 does not seem to affect the phenomenon. In contrast, broad casein proteolysis plays against  
409 gelation. Therefore, a parallel non-specific proteolytic activity that may occur during prolonged

410 storage or when storage temperature is high impairs gel establishment and brings to its  
411 solubilisation and the formation of a sediment.

412

## 413 **5. Conclusions**

414 The heat-stable AprX peptidase produced by psychrotrophic species has a chymosin-like activity as  
415 it cleaves CMP and pseudo-CMPs from k-CN and promotes micelle destabilization. Therefore,  
416 presence of AprX in milk is a challenge for UHT milk manufacturers. This study has shown that the  
417 presence of CMP<sub>tot</sub> in milk represents a phenotypical character of strains in terms of their capability  
418 of producing AprX peptidases. Due to the large variation in peptidase expression and activity  
419 among bacterial species and strains, we have here proposed to evaluate the presence of CMP<sub>tot</sub> as a  
420 useful indicator of milk susceptibility to gelation, irrespective of the responsible species. The  
421 modern CZE equipment allows the implementation of this control for routine evaluation of raw  
422 milk before processing. Based on the evidences collected during this study, a model supporting the  
423 specific hydrolysis of k-CN as the first necessary step for milk gelation onset is here presented.

424

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428

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608 **Table 1.** *aprX* gene detection and proteolytic activity of the 14 bacterial strains isolated from raw  
 609 bulk milk collected from storage tank at the processing site.

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611	Species	Strain	<i>aprX</i> gene	Proteolytic activity	
				10 °C	30 °C
612	<i>Chryseobacterium joostei</i>	LPR1	+	+	++
		LPR2	+	+	++
613	<i>Pseudomonas fluorescens</i>	LPF3	+	++	+++
614		LPF39	+	++	++
615		LR1	+	++	++
616		LR2	+	+	++
617		F1	-	+	+
618		F2	+	++	++
619		L2	-	+	+
620		R3	+	++	++
621		R4	+	++	++
622		R5	-	+	+
623	T1	-	+	+	
624	<i>Pseudomonas poae</i>	LP5	+	++	++
625		- : no proteolytic activity, +: < 1.0 tyrosine (mg/5 mL milk); ++: 1.0 < tyrosine (mg/5 mL milk) < 2.0; +++: > 2 tyrosine (mg/5 mL milk)			

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629 **Table 2.** Values of bacterial count (TBC) and  $CMP_{tot}$  (corrected peak area) in milk inoculated with  
 630 (a) *Pseudomonas poae* LP5, (b) *Pseudomonas fluorescens* LPF3, and (c) *Chryseobacterium joostei*  
 631 LPR1, incubated up to 6 days before thermal treatment and stored at 25 or 40 °C. Values are the  
 632 mean of two different trials  $\pm$  standard deviations.

633 \* = sediment at the bottom of the tube.

634 G = gelled sample.

635 NS= not stable to heat treatment.

636 **Table 2a**

<i>Pseudomonas poae</i> LP5					
Incubation at 4°C (days)	TBC (CFU/mL)	Storage at 25°C (days)	$CMP_{tot25}$	Storage at 40°C (days)	$CMP_{tot40}$
1	2.70E+05	0	0	0	0
		7	0	7	0
		21	0	21	38 $\pm$ 15
		90	15 $\pm$ 4	90	16 $\pm$ 7
2	3.70E+06	0	0	0	0
		7	0	7	0
		21	0	21	41 $\pm$ 13
		90	23 $\pm$ 6	90	16 $\pm$ 3
3	2.28E+07	0	0	0	0
		7	0	7	16 $\pm$ 5
		21	18 $\pm$ 4	21	64 $\pm$ 18
		90	562* $\pm$ 83	90	0*
4	2.56E+07	0	0	0	0
		7	117 $\pm$ 33	7	201 $\pm$ 56
		21	254 $\pm$ 38	21	269 $\pm$ 61
		24	916 $\pm$ 104 <b>G</b>	42	472 $\pm$ 111 <b>G</b>
5	5.25E+07	0	0	0	0
		7	267 $\pm$ 67	7	50 $\pm$ 23 <b>G</b>
		21	1093 $\pm$ 190 <b>G</b>		
6	1.29E+08	0	60 $\pm$ 19	0	60 $\pm$ 23
		5	629 $\pm$ 88 <b>G</b>	4	290 $\pm$ 75 <b>G</b>

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640 **Table 2b**

<i>Pseudomonas fluorescens</i> LPF3					
Incubation at 4°C (days)	TBC (CFU/mL)	Storage at 25°C (days)	CMP <sub>tot25</sub>	Storage at 40°C (days)	CMP <sub>tot40</sub>
1	1.47E+05	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	12 ± 5
2	3.71E+06	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	19 ± 5
3	4.43E+07	0	0	0	0
		7	106 ± 48	7	136 ± 44
		21	190 ± 63	21	158 ± 61
		90	493* ± 204	90	0*
4	5.48E+07	0	0	0	0
		7	328 ± 120	8	102 ± 42 <b>G</b>
		21	218 ± 81 <b>G</b>		
5	1.25E+08	0	100 ± 34	0	100 ± 23
		2	36 ± 8 <b>G</b>	1	87 ± 10 <b>G</b>
6	2.66E+08	0	150 ± 43 <b>NS</b>	0	150 ± 26 <b>NS</b>

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649 **Table 2c**

<i>Chryseobacterium joostei</i> LPR1					
Incubation at 4°C (days)	TBC (CFU/mL)	Storage at 25°C (days)	CMP <sub>tot25</sub>	Storage at 40°C (days)	CMP <sub>tot40</sub>
1	1.15E+04	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	0
2	9.50E+03	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	0
3	4.19E+04	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	0
4	2.27E+05	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	0	90	0
5	1.31E+05	0	0	0	0
		7	0	7	0
		21	0	21	0
		90	113 ± 27	90	85 ± 15
6	4.15E+05	0	0	0	0
		7	0	7	0
		21	62 ± 7	21	60 ± 26
		90	113 ± 15	90	0

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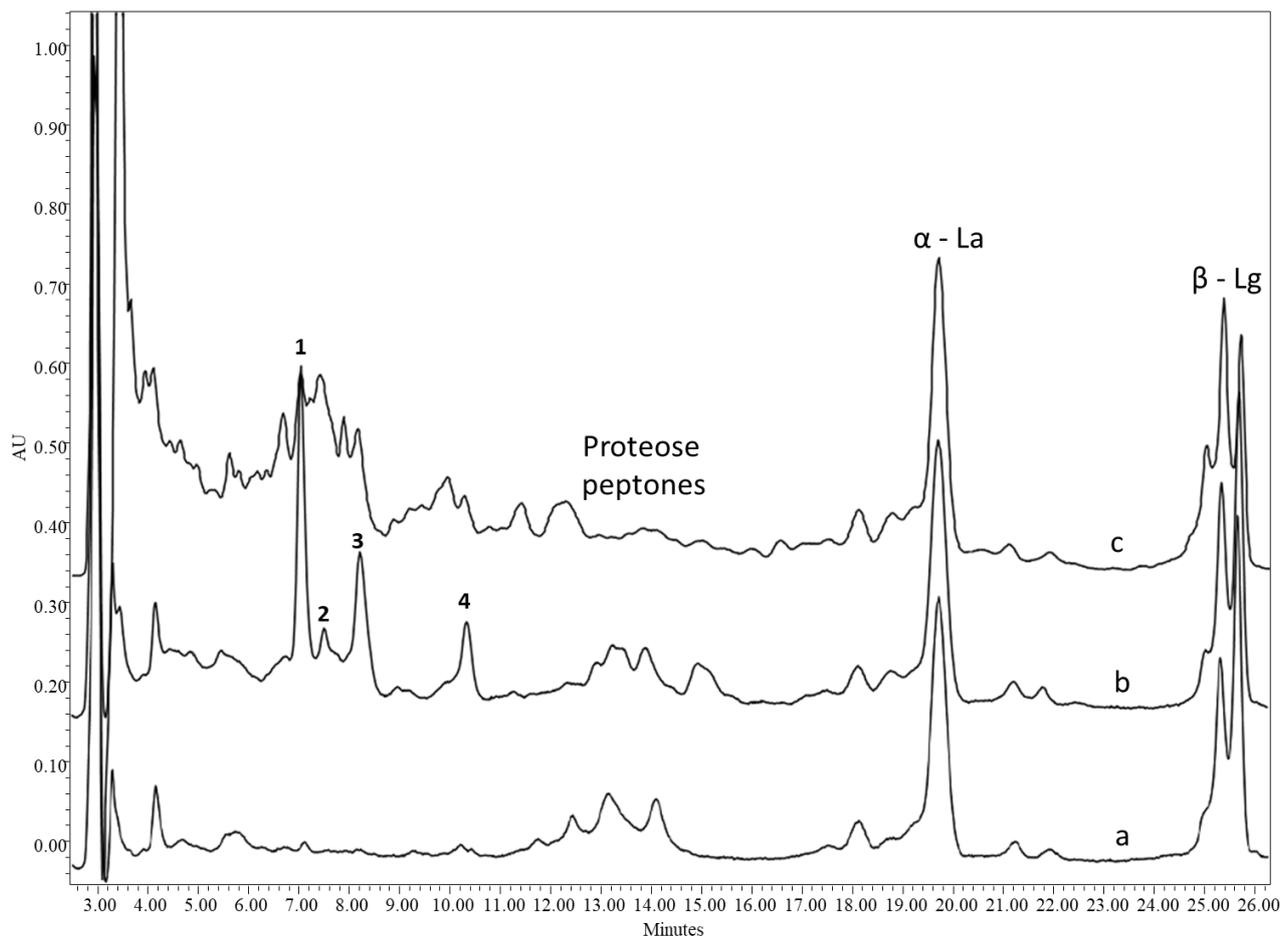
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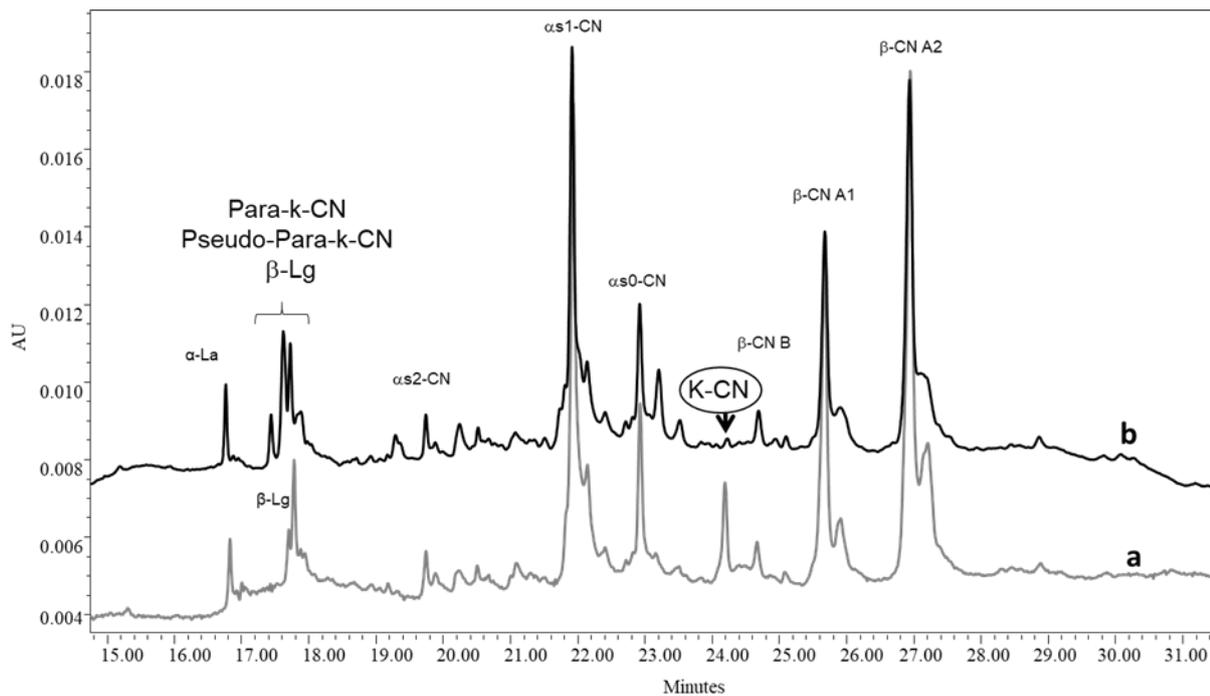
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 659 **Fig. 1.** HPLC patterns of the pH 4.6-soluble fraction of commercial samples of (a) UHT milk, (b)  
 660 gelled UHT milk, and (c) UHT milk with extensive proteolysis. Peaks in gelled UHT milk were  
 661 identified by LC-MS as: peak 1 = k-CN A f (105-169) 2P and k-CN B f (105-169) 2P fragments; peak 2  
 662 = k-CN A f (106-169) 1P fragment; peak 3 = k-CN A f (105-169) 1P fragment; peak 4 = k-CN B f (106-169)  
 663 1P and k-CN B f (105-169) 1P fragments.

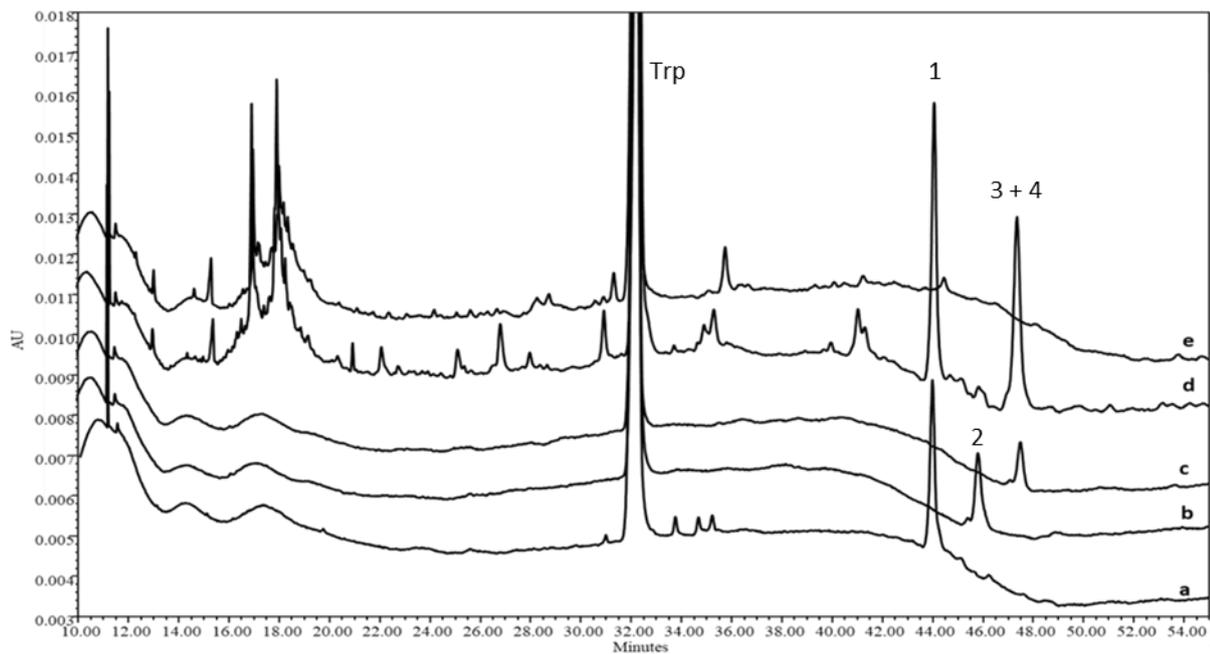
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666 **Fig. 2.** CZE patterns of commercial samples of (a) UHT milk and (b) gelled UHT milk.

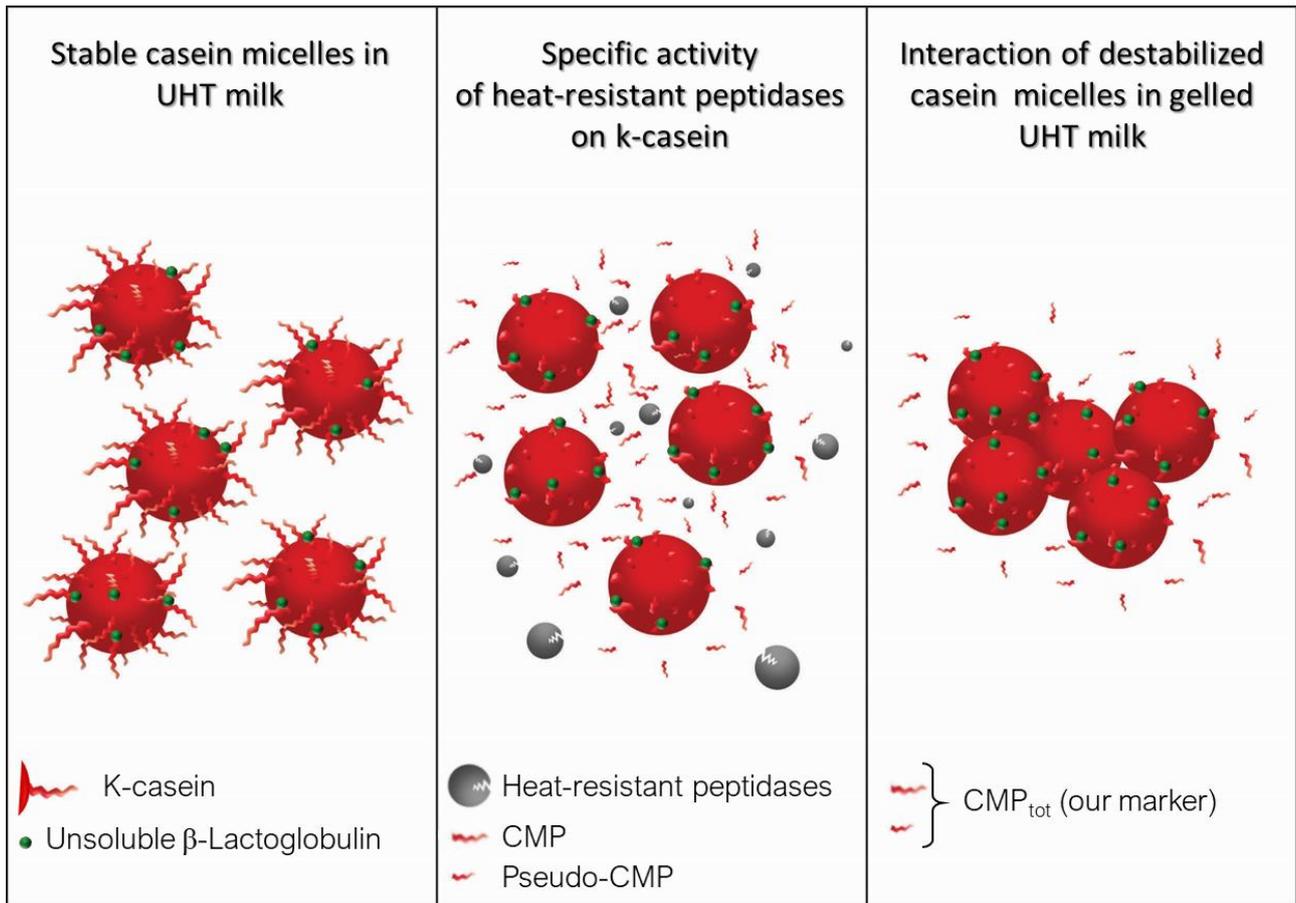
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669 **Fig. 3.** CZE patterns of (a) peak 1, (b) peak 2, (c) peaks 3 and 4 collected from HPLC in Fig.1 and  
 670 pH 4.6 soluble fraction of commercial samples of (d) gelled UHT milk and (e) regular UHT milk.

671 Tryptophan (Trp) was used as internal standard.



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673 **Fig. 4.** The “rennet-like” milk gelation mechanism. According to the proposed mechanism, UHT  
 674 milk gelation is due to specific k-casein proteolysis by extracellular heat-resistant peptidases  
 675 produced by psychotropic bacteria. The k-casein cleavage causes release of the soluble peptides  
 676 CMP and pseudo-CMP in the water phase of milk while the no-longer stable micelles aggregate.

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679 **Fig. S1.** Milk inoculated with *Pseudomonas fluorescens* LPF3 showing the typical instability signs.

680 Tubes were turned upside down just before taking the picture.

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