

Psychiatric, behavioral, and cognitive disorders in patients with extracranial cancers

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Patients with cancer may report neuropsychiatric abnormalities including cognitive impairment, behavioral disturbances, and psychiatric disorders that potentially worsen their quality of life, reduce their treatment response, and aggravate their overall prognosis. Neuropsychiatric disturbances have a different pathophysiology, including immuno-inflammatory and neuroendocrine mechanisms, as a consequence of oncologic treatments (chemo- and radiotherapy). Among clinicians involved in the management of such patients, psychiatrists need to pay particular attention in recognizing behavioral disturbances that arise in oncologic patients, and determining those that may be effectively treated with psychotropic medications, psychotherapeutic interventions, and an integration of them. Through the contribution of different clinicians actively involved in the management of oncological patients, the present review is ultimately aimed at updating psychiatrists in relation to the pathophysiological mechanisms responsible for the onset of cognitive, affective, and behavioral syndromes in these patients, along with epidemiologic and clinical considerations and therapeutic perspectives.

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Introduction

Before their clinical manifestations, tumors (arising outside the brain and without direct diffusion to it) may elicit changes of neuronal function. Additionally, when facing a patient with clinically manifest cancer, the clinician should remember that the disease might induce distant effects due to nervous system involvement even without direct invasion of the nervous tissue. Finally, several drugs and other treatments used by oncologists can impair brain functions.

Tumor-related alterations cause cognitive, affective, and behavioral manifestations that can, in turn, influence the response of the patient to treatments and worsen the disease burden, ultimately increasing its social cost. Thus, the prompt recognition of tumor-related cognitive, affective, and behavioral abnormalities is important for their appropriate management and treatment.

Here, we review the clinical picture, pathophysiology, diagnosis, and management of the main tumor-related and treatment-related cognitive, affective, and behavioral syndromes in patients with cancer.

Search Strategy

References for this review were identified through searches of PubMed, Google Scholar, and MEDLINE

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55 without temporal and language limits, using the
56 following terms in combination: “adjustment disorder,”
57 “affective disorders,” “anxiety,” “behavior,” “behavioral
58 deficits,” “behavioral disorders,” “breast cancer,” “cancer
59 brain connectivity,” “cancer brain imaging,” “cancer brain
60 spectroscopy,” “cancer hormonal mechanisms,” “cancer
61 treatments,” “cancer,” “chemobrain,” “chemofog,”
62 “chemotherapy,” “cognition,” “cognitive changes,” “cognitive
63 effect,” “cognitive impairment,” “depression,” “extracranial
64 cancer,” “inflammation,” “medical decision-making,”
65 “negative emotions,” “neuroendocrinologic,” “neuroimmu-
66 nologic,” “non brain tumors,” “pancreatic cancer,”
67 “post-traumatic stress disorder,” “prognosis,” “prostate
68 cancer,” “radiotherapy,” “radiotherapy,” “sickness behavior,”
69 “stress.”

70 Pathogenesis

71 In the absence of any direct brain involvement, cancers
72 may alter its functions through either the induction of a
73 systemic inflammatory response or the action of neural
74 antibodies (see Table 1).

75 A large amount of evidence shows a correlation between
76 cancer and high levels of pro-inflammatory cytokines,
77 suggesting a link between depressive symptoms in cancer
78 patients and their inflammatory status.^{1–3} In animal
79 models, depressive-like symptoms were induced by pro-
80 inflammatory cytokines: the administration of IL-1 β or
81 TNF- α to rats and mice induced dose- and time-dependent
82 behavioral disturbances (decreased motor activity, social

83 withdrawal, anorexia, increased slow-wave sleep, cognitive
84 alterations, and increased pain sensitivity).⁴ Bouchard
85 *et al*⁵ examined the relationship between depressive
86 symptoms and inflammation in women with early-stage
87 breast cancer. They found that depression correlated with
88 increased levels of proinflammatory cytokines such as
89 IL-1 β and IL-6 and, in particular, with higher levels of
90 TNF- α . In addition, cytokine administration in patients
91 with melanoma frequently induced depressive symptoms,
92 including suicidal ideation.⁶

93 An interesting finding was that immune system
94 activation resulting from the systemic administration of
95 IL-2 and IFN- α to cancer patients decreased plasma
96 tryptophan levels, and this reduction correlated with
97 the depressive symptoms.⁷ Decreased tryptophan could
98 be due to the activation (mediated by pro inflammatory
99 cytokines, including IFN- γ and TNF- α) of enzymes
100 involved in tryptophan degradation.⁸ Since tryptofan
101 is the precursor of the neurotransmitter 5-hydroxy-
102 tryptamine (serotonin), a decreased tryptophan bioavail-
103 ability can lead to decreased serotonin synthesis.
104 Increased tryptophan catabolism has been found in
105 several malignancies.^{9–11}

106 The pathophysiological mechanisms of paraneoplastic
107 syndromes have been also associated with the presence of
108 either intracellular (anti-Hu, anti-Yo, anti-Ma, and Cv2/
109 CRMP5) or neuronal-surface (NMDAR, AMPAR, and
110 GABA_BR) antibodies.¹² In the first case, because the targets
111 are deeply located within cells, is thought that T-cell
112 cytotoxicity is a key mechanism underlying the neuronal

TABLE 1. Pathogenetic mechanisms for cognitive and behavioral dysfunction in patients with cancer

Proposed pathogenetic mechanism	References	Primary disease (worldwide incidence as a percentage of all the tumors)	Molecular mechanism	Number of cases (% of cognitive or behavioral impairment)	Symptoms and clinical syndromes
Pro-inflammatory cytokines	13	Lung (12.9%)	IL-6, TNF- α (sputum)	64 (39%)	Major depression
	5	Breast (11.9%)	IL-1 β , IL-6, TNF- α (blood)	89 (40%)	
Plasma tryptophan (via kynurenic pathway)	14	Pancreas (2.4%)	kynurenic/tryptophan ratio	17 (100%)	Major depression
	15	Breast (11.9%)		80 (36%)	
Paraneoplastic syndromes					
Intracellular antibodies	16-18	SCLC	Anti-Hu	16 (87%)	Dementia, confusion, hallucinations, limbic encephalitis
				Case report	
	19	TGCT (0.4%), Lung cancer* Other [§]	Anti-Ma2	18 (100%) 7 (100%) 9 (100%) 8 (100%)	Limbic, diencephalic, or brainstem encephalopathy
Neural surface antibodies	20	Ovarian cancer (1.7%) SCLC	Anti-NMDAR GABA _B R and AMPAR	13 (5 of whom with cancer)	Limbic encephalopathy
Hormonal mechanisms	21	Pancreas (2.4%)	IL-6 over-expression, blockage of serotonin receptors	46 (76%) 115 (12%) [‡]	Depression

SCLC: small cell lung cancer; TGCT: testicular germ cell tumor;

* adenocarcinoma, large-cell carcinoma, and pleural metastasis of adenocarcinoma;

§ breast, parotid gland, ovary, colon, kidney, lymphoma, and extragonadalchoriocarcinoma;

‡ patients who developed pancreatic cancer following the mental illness designation). Incidence is in accordance with data reported by GLOBOCAN 2012.²²

113 loss.^{23,24} Clinically, these syndromes share common
 114 psychiatric manifestations, ranging from short-term mem-
 115 ory impairment to depression and hallucinations.²⁵

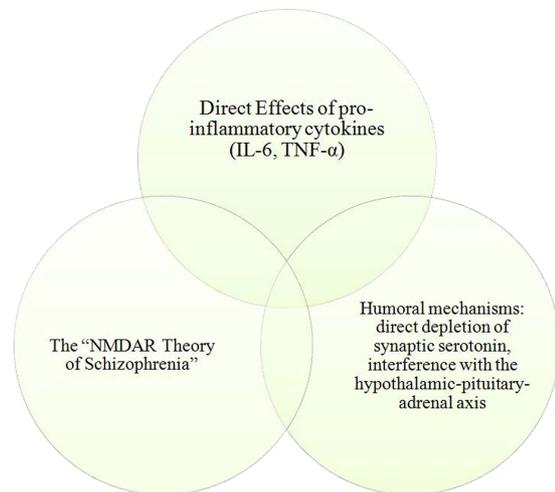
116 From a cognitive and behavioral point of view, the
 117 most paradigmatic and well-known condition is anti-N-
 118 methyl-D-aspartate receptor (anti-NMDAR) encephal-
 119 ites. Anti-NMDAR antibodies were first demonstrated
 120 in young women with ovarian cancers (eg, teratoma) but
 121 have also been identified in patients of both sexes
 122 without neoplastic disorders.²⁶ Depletion of NMDAR
 123 has dramatic effects on dopaminergic and cholinergic
 124 systems, thus leading to autonomic instability and on the
 125 ponto-medullary respiratory network, ultimately result-
 126 ing in hypoventilation.^{27,28} More importantly, as a
 127 prominent result of the GABAergic dysfunction, patients
 128 develop a frontostriatal syndrome with anxiety, mania,
 129 paranoia, social withdrawal, and stereotypical behavior
 130 (echolalia, echopraxia), in accordance with the so-called
 131 “NMDAR theory of schizophrenia.”^{29,30}

132 Hormonal mechanisms might also play an important
 133 role in the development of depressive symptoms in
 134 cancer patients. For instance, pro-inflammatory cyto-
 135 kines are able to trigger the activation of the HPA axis by
 136 increasing the level of CRH and vasopressin^{31,32} and to
 137 induce, in periphery, the glucocorticoid receptor resis-
 138 tance³³ and the abolition of the inhibitory effect of
 139 glucocorticoids on cytokine production.³⁴

140 Along this view, an intriguing and less known body of
 141 research concerns the association between pancreatic cancer
 142 and alterations in mood possibly due to multiple mech-
 143 anisms.³⁵ Depression, anxiety, and suicidal thoughts often
 144 precede or accompany the diagnosis. Moreover, depression
 145 often occurs before clinical diagnosis; in addition, in later
 146 stages of disease, mood disorders are more pronounced in
 147 patients with pancreatic cancer than in those with other
 148 abdominal tumors.^{21,36} However, the pathophysiology of
 149 this correlation remains largely unknown, possibly compris-
 150 ing tumor-induced changes in the neuroendocrine and acid-
 151 base systems.³⁷ In particular, IL-6 over-expression that is
 152 induced by pancreatic cancer is known to down-regulate the
 153 synthesis of dopamine and norepinephrine, thus interfering
 154 with the HPA axis. In addition, pancreatic cancer cells might
 155 secrete antibodies that directly block serotonin receptors or
 156 reduce their synaptic availability in the central nervous
 157 system (CNS).^{38,39}

158 Neuroimaging

159 Morphological magnetic resonance imaging (MRI) is
 160 normal in most cases, with the exception of anti-NMDAR
 161 encephalitis, for which approximately 50% of patients
 162 show T2 or FLAIR signal hyperintensity in the hippo-
 163 campus, cerebellar or cerebral cortex, frontobasal and
 164 insular regions, and, occasionally, the spinal cord.
 165 The findings are usually mild or transient and can be
 166 accompanied by contrast enhancement in the meninges.²⁸



167 **FIGURE 1.** Possible pathophysiological mechanisms underlying cognitive and
 168 behavioral changes in patients with cancer.

169 In depressed patients with cancer, brain increased
 170 inflammation, as reflected by plasma C-reactive protein
 171 and inflammatory cytokine levels, was associated with
 172 decreased brain connectivity studied with MRI within
 173 reward-related brain regions.⁴⁰ Therefore, cancer-induced
 174 inflammatory status might induce decreased motivation,
 175 anhedonia, and psychomotor slowing, which are common in
 176 depressive syndromes. Furthermore, in breast cancer
 177 survivors, a resting state connectivity MRI study showed
 178 that fatigued patients exhibited greater connectivity between
 179 the inferior parietal lobule (IPL) and superior frontal gyrus
 180 (SFG), and between the medial prefrontal area and the IPL,
 181 and the degree of this increased connectivity was positively
 182 correlated with fatigue score, indicating an altered response
 183 of the resting state network to internal sensory input in
 184 fatigued subjects.⁴¹ In the same patients, functional
 185 connectivity in the dorsal attention network decreases
 186 at 1 month and recovers 1 year after chemotherapy (CT)
 187 treatment in breast cancer survivors (Figure 1).

188 Using proton magnetic resonance spectroscopy,
 189 differences in brain metabolites were shown in the
 190 posterior insula between fatigued and non-fatigued
 191 breast cancer survivors.⁴²

192 In another interesting study, patients affected by lung
 193 cancer before treatment demonstrated an alteration of
 194 glutamate concentration in the occipital cortex.⁴³ The
 195 relevance of this observation stands in the demonstra-
 196 tion of an alteration of brain metabolism in cancer
 197 patients even before treatment.

196 Cognitive Changes

197 Cancer-related cognitive impairment is observed in severe,
 198 subacute clinical conditions (such as immune-mediated
 199 encephalitis or vitamin deficiency encephalopathy), or in
 200 chronic forms of cognitive decline (see Table 2).

TABLE 2. Cancer-related cognitive syndromes

Syndrome	Onset	Clinical features (symptoms and signs)		Diagnostic tools	Outcome
		Neuropsychiatric	Neurological		
Limbic encephalitis (antibodies to intracellular antigens)	Acute-subacute	Confusion/disorientation, behavioral changes, psychiatric symptoms milder than cognitive impairment	Seizures, decrease level of consciousness, coma, headache, sleep disorder, ataxia, movement disorders	Brain MRI, EEG, cerebrospinal fluid (CSF) analysis, serum and CFS autoantibodies Neuropsychology examination	Generally poor
Anti NMDA encephalitis (antibodies to neuronal cell surface antigens)	Acute-subacute	Confusion/disorientation, behavioral changes, cognitive impairment milder than psychiatric symptoms			Generally good
Nutritional deficiency encephalopathy (Wernicke's syndrome)	Subacute	Confusion/disorientation, memory loss with confabulations	Ophthalmoparesis, ataxia	Brain MRI, serum thiamine level, neuropsychological examination	Good if promptly treated
Mild cognitive impairment (chemo brain)	Chronic	Preeminent memory and executive function impairment	Nonspecific	Neuropsychological examination	Variable

201 Paraneoplastic limbic encephalitis⁴⁴ is characterized by
 202 a subacute (days to several weeks) appearance of confusion
 203 and memory loss with an inability to remember new
 204 information due to dysfunction of the mesial temporal lobe
 205 (particularly the hippocampus), behavioral changes, and
 206 psychiatric symptoms (including depression, agitation and
 207 psychosis, aggression, and compulsive behavior); the latter
 208 symptoms are very common and often predominate,
 209 especially during the early stages of disease. Altered
 210 cognition may fluctuate, and the level of consciousness
 211 often declines progressively to coma. Recurrent temporal
 212 seizures are frequent and sometimes progress to status
 213 epilepticus. When neuronal damage derives from inflam-
 214 mation and necrosis (classic limbic encephalitis with
 215 intracellular antibodies), prognosis is usually poor and
 216 depends on the extension of cerebral lesions; on the
 217 contrary, synaptic interference mediated by cell-surface
 218 antibodies is reversible so that neuronal damage is limited
 219 and, if treated, anti-NMDA encephalitis has generally a
 220 better outcome.⁴⁶

221 Wernicke's encephalopathy is a severe neuropsychiatric
 222 syndrome due to thiamine (Vitamin B1) deficiency, usually
 223 observed in malnourished alcoholics. It is characterized by
 224 a triad of signs including eye movement disorders,
 225 cerebellar dysfunctions (ataxia or gait instability), and
 226 altered mental status. Disorientation in time and space,
 227 confusion, impaired memory, and decreased attention are
 228 often described, sometimes evolving to severe and irrever-
 229 sible memory deficit with confabulation (Korsakoff's
 230 syndrome). Cancer patients are at risk of vitamin
 231 deficiency for many reasons: thiamine intake could be
 232 insufficient due to malnutrition, nausea, vomiting, or
 233 prolonged parenteral nutrition; gastrointestinal surgery
 234 may induce malabsorption through the loss gastrointest-
 235 inal surface.⁴⁷ A prompt administration of thiamine can
 236 lead to excellent clinical improvement.

237 Estimates vary widely regarding the number of
 238 patients who suffer cognitive impairment during the
 239 course of the cancer depending on the tissue affected;
 240 studies have reported cognitive dysfunction frequencies
 241 of 13%–70% in patients after treatment.^{48,49} Indeed,
 242 cognitive disorders (observed in up to 75%) appear
 243 during treatment and decrease after treatment (by up to
 244 60%). Also, some patients (approximately 40%) exhibit
 245 cognitive impairment even before treatment.⁵⁰ The risk
 246 of cognitive impairment is approximately 3.5 times
 247 higher in treated (with chemotherapy) women affected
 248 by breast cancer than in untreated patients.⁵¹ In most
 249 studies, the effect of cancer therapy was studied for a
 250 relatively short period (1–2 years post treatment);
 251 however, cognitive changes in long-term survivors are
 252 predictable. Although long-term post-treatment cog-
 253 nitive changes persist only in a minority (17–34%) of
 254 cancer survivors,⁵² cross-sectional studies indicate that
 255 after therapy (up to 20 years) patients continue to exhibit
 256 worse cognitive performance than non-cancer controls.⁵³

257 Neuropsychological assessment

258 Cognitive impairment affects memory and attention.
 259 Specifically, patients have difficulties in learning new
 260 information and remembering appointments, and exhibit
 261 an enhanced forgetfulness that can interfere with key daily
 262 living activities. An impairment in executive function also
 263 occurs, such as difficulties in planning daily programs or
 264 implementing strategies that require mental flexibility to
 265 cope with future needs and unforeseen events. This type of
 266 memory and attention complication is indicative of a
 267 frontal-subcortical profile⁵⁴ and is suggestive of diffuse
 268 brain dysfunction.⁵⁵

269 Although cognitive impairment in oncological
 270 patients has been measured using a variety of tests, the

271 affected domains are consistent: processing speed, execu- 322
 272 tive function, and working memory are most strongly 323
 273 affected.⁵⁶ An issue that is often discussed in cancer- 324
 274 related cognition assessment is whether cognitive 325
 275 impairments are better measured using self-reporting 326
 276 questionnaires or objective neuropsychological testing. 327
 277 Perceived cognitive impairment (PCI) is one of the most 328
 278 prevalent symptoms, and accurate subjective reports of 329
 279 cognitive impairment might be useful.⁵⁶ The Interna- 330
 280 tional Cognition and Cancer Task Force⁵⁷ suggested a 331
 281 core battery test that can identify the domains that are 332
 282 mainly affected: the Hopkins Verbal Learning Test- 333
 283 Revised (HVLTR) to test learning and memory, the Trail 334
 284 Making Test (TMT) to test executive function and 335
 285 attention, and the Controlled Oral Word Association of 336
 286 the Multilingual Aphasia Examination to measure the 337
 287 speed of lexical fluency. The authors added that this basic 338
 288 examination could be completed by additional tests of 339
 289 working memory. 340

290 **Management**

291 Although modafinil and methylphenidate can improve 341
 292 cognition, they have side-effects. Donepezil has been 342
 293 also tested in cancer patients with cognitive impair- 343
 294 ment.⁵⁸ Small improvements were described, but these 344
 295 findings require confirmation using larger and more 345
 296 conclusive trials. 346

297 Nonpharmacological approaches enhance cognitive 347
 298 performance and perceived cognitive functioning.⁵⁹ 348
 299 Rehabilitation programs include direct cognitive rehabi- 349
 300 litation or compensatory training that addresses execu- 350
 301 tive functioning and attention, processing speed, 351
 302 working and long-term memory, and visual-spatial skills. 352
 303 Most studies have shown significant improvements in 353
 304 objective and subjective cognitive performance and in 354
 305 quality of life in patients undergoing rehabilitation. 355
 306 Treated patients also showed better improvement in 356
 307 non-trained skills and better managed other psychologi- 357
 308 cal symptoms, such as anxiety, depression, and fatigue, 358
 309 and improved sleep quality.⁶⁰ Cognitive training appears 359
 310 to be the most effective for groups and individuals.⁵⁹ 360
 311 However, coping strategies such as cognitive-behavioral 361
 312 therapy (CBT)⁶⁰ or restorative and mindfulness-based 362
 313 stress reduction programs⁶¹ have been proposed to 363
 314 improve cognitive functions, and encouraging results 364
 315 emerged. Some improvements were reported with 365
 316 physical exercise⁶² and yoga practice,⁶³ but these studies 366
 317 involved small sample sizes and different types of 367
 318 exercise programs. 368

319 **Affective and Behavioral Changes**

320 Patients with cancer are particularly vulnerable to the 370
 321 development of behavioral alterations and psychiatric 371

disorders, which may in turn affect the course and 322
 outcome of the primary oncologic disease.⁶⁴ Therefore, 323
 to implement the appropriate therapeutic intervention, 324
 it is important to establish a psychopathological 325
 diagnosis by differentiating expected emotional reac- 326
 tions from isolated symptoms (eg, anger or irritability) 327
 and syndromes (eg, adjustment disorders or major 328
 depressive disorder). 329

Several factors need to be considered regarding the 330
 etiology and pathophysiology of the behavioral altera- 331
 tions and psychiatric disorders that occur after cancer 332
 diagnosis. For instance, the stress, diagnosis, and 333
 treatment of cancer may cause substantial psychiatric 334
 morbidity. From a symptomatic perspective, moreover, 335
 acute anxiety, depression with despair, agitation, irrita- 336
 bility, poor therapeutic adherence, anger, and sleep 337
 disturbances may be linked with the emotional and 338
 behavioral dimensions of pain.⁶⁵ In addition, there are 339
 established risk factors for affective disorders and 340
 behavioral alterations after cancer diagnosis. These may 341
 be related to the cancer (eg, advanced cancers, certain 342
 types of cancer, being physically weakened by cancer) or 343
 not (eg, having a personal history or a positive family 344
 history of mental disorders, being unmarried). Finally, 345
 family members and caregivers of patients with cancers 346
 are also at higher risk for the development of behavioral 347
 alterations and mental disorders. 348

Although the *Diagnostic and Statistical Manual of* 349
Mental Disorders, Fifth Edition acknowledges the 350
 possibility of diagnosing several types of mental dis- 351
 orders in patients suffering from cancer, the most 352
 frequently reported conditions are depressive, anxiety, 353
 trauma-, and stressor-related disorders^{66,67} (see Figure 2 354
 and Table 3). Such conditions can occur at different 355
 stages of the illness: when patients receive the diagnosis, 356
 when they initiate treatment, and after they achieve 357
 remission.⁶⁸ 358

Prevalence rates of depression in cancer patients 359
 ranging from 8% to 25%^{69,70} have been reported. In a 360
 recent meta-analytic investigation, Mitchell *et al*⁶⁶ 361
 included 24 studies involving 4007 patients across seven 362
 countries in palliative-care settings. The pooled preva- 363
 lence of depressive disorders, as identified through DSM 364
 or ICD criteria, was 16.5% (14.3% major depression and 365
 9.6% minor depression); the prevalence of adjustment 366
 disorder was 15.4%, and the prevalence of anxiety 367
 disorders was 9.8%. Co-occurring diagnoses were 368
 common. All types of depression were found to occur in 369
 20.7%, depression or adjustment disorder in 31.6%, and 370
 any mood disorder in 38.2% of the patients.⁶⁶ Notably, 371
 adjustment disorder with depressed and/or anxious 372
 mood was the most common diagnosis (68%).⁷¹ 373

Another distinct syndrome to take into consideration 374
 when assessing depression is demoralization, which has 375
 been described as a specific and different condition of 376

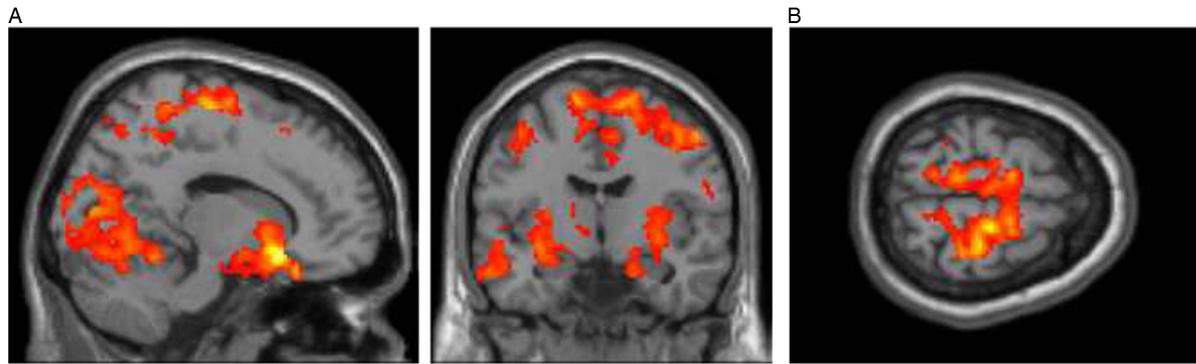


FIGURE 2. Functional connectivity in the dorsal attention network (premotor cortex, cuneus, and putamen) decreases at 1 month (A) and recovers 1 year after chemotherapy treatment (B) in breast cancer survivors (averaged images; modified from Dumas *et al*¹³⁹, with permission).

377 existential distress in individuals at the end of their life.
 378 Patients describe feelings of hopelessness and/or help-
 379 lessness, which are often related to the experience of a
 380 loss of meaning in life. Certain symptoms may overlap
 381 with major depression. Demoralization in the medically
 382 ill population recently showed prevalence rates ranging
 383 from 20.6% to 33.3% of cases.⁷² Anxiety disorders have
 384 been variably associated with the diagnosis and treat-
 385 ment of cancer, and their prevalence can paradoxically
 386 increase after the treatment of cancer is concluded,
 387 because patients may feel more vulnerable.⁷³ The
 388 prevalence of posttraumatic stress disorder (PTSD) in
 389 cancer patients ranges from 5% to 19%.⁷⁴

390 The lifetime prevalence rates of affective disorders
 391 in patients with ovarian and prostate cancers in pre-,
 392 during-, and post-treatment settings range from 8% to
 393 27%.^{75,76} Patients with head and neck cancer were found
 394 to show the highest rates of major depressive disorder
 395 among oncological patients, with an incidence of
 396 15%–50%.⁷⁷ Previously, depression has been strongly
 397 associated with oropharyngeal (22%–57%), pancreatic
 398 (33%–50%), breast (1.5%–46%), and lung (11%–44%)
 399 cancer, with lower rates in in patients affected by other
 400 forms of cancer, such as colon (13%–25%) and gynecolo-
 401 gical (12%–23%) cancers and lymphoma (8%–19%).⁷⁸

402 In terms of prognosis, evidence showing that depression
 403 is responsible for significant suffering and distress, reduces
 404 participation in medical care, and can prolong the hospital
 405 stay needs to be particularly taken into account.^{79,80}

406 The suicide incidence in cancer was found to be
 407 approximately double that in the general population.⁸¹
 408 Diagnoses specifically associated with higher suicide
 409 rates include prostate, lung, pancreatic, head, and neck
 410 cancers, with the first year after diagnosis carrying a
 411 higher risk for suicide.^{81,82}

412 Management

413 Though the treatment of major depression and other
 414 comorbid psychiatric disorders improves the quality of

life in patients with cancer, fewer than half of patients
 receive psychopharmacological treatment.^{67,83}

415
 416
 417 In addition, the treatment of depression has proven to
 418 increase patient satisfaction with oncologic treatments and
 419 related compliance.⁸⁴ Recommendations on the use of
 420 psychotropic medications in oncologic patients have been
 421 formulated by dedicated task forces and guidelines are
 422 available.^{85,86} The efficacy of antidepressants in oncology
 423 is well established on the basis of randomized, controlled
 424 studies.^{87–89} Thekdi *et al*⁹⁰ reported that selective sero-
 425 tonin reuptake inhibitors (SSRIs) represent the first choice
 426 for the treatment of depression and generalized anxiety
 427 and the prevention of panic attacks in cancer patient.⁹⁰
 428 Benzodiazepines are considered well-tolerated, safe, and
 429 effective treatments in the short-term, although their long-
 430 term use can induce tolerance and reduced efficacy.⁹⁰
 431 Other antidepressants, such as mirtazapine and bupropion,
 432 were found to effectively target not only depression and
 433 anxiety but also symptoms like sleep alterations, nausea,
 434 anorexia, fatigue, reduced concentration, and nicotine
 435 dependence, even though their tolerability in patients with
 436 anxiety may be problematic.^{91,92} Several drugs are helpful
 437 for the adjuvant treatment of cancer-related symptoms,
 438 such as, for instance, psychostimulants for fatigue.⁹³
 439 Benzodiazepines are particularly useful for the treatment
 440 of insomnia and, when combined with anti-emetics, were
 441 found to relieve chemotherapy-induced nausea and vomit-
 442 ing.⁹⁴ Neuropathic pain may benefit from adjuvant
 443 treatment with selective serotonin norepinephrine reup-
 444 take inhibitors (SNRIs), low-dose tricyclic antidepressants,
 445 and SSRIs.^{95,96} An effective psychopharmacological inte-
 446 grated treatment is mandatory in cancer patients given the
 447 evidence that untreated psychiatric comorbidity is asso-
 448 ciated with higher disability, poorer quality of life, and
 449 reduced adherence to cancer treatment.⁹⁷

450 If, on one hand, different psychopharmacological
 451 treatments can be effective in ameliorating various
 452 cancer-related psychiatric symptoms, on the other hand,
 453 some commonly used psychotropics can interfere with the
 454 progression of specific forms of cancer and with the action

TABLE 3. Affective and behavioral disorders in patients with cancer

References	Design	Cancer type (worldwide incidence as a percentage of all the tumors)	Affective and behavioral disorder	Prevalence	Diagnostic tools	Treatment
71	Research investigation	Various	Adjustment Disorder	68%	DSM III, SCL-90-R, RDS, GAIS, KPS	Unspecified
66	Meta-analysis	Various	Depression;	24%	Standardized criteria and semi-structured interviews	Unspecified
			Adjustment disorder;	15.4%		
			Anxiety	9.8%		
69	Review	Various	Depression	20–25%	MMPI, DSM-III, HAD, PSE, BDI, BSI, GHQ	Psychological, pharmacological interventions, or a combination
77	Review	Head and neck cancer (3%)	Depression	15–50%	Unspecified	Pharmacological, social, and psychological interventions
70	Meta-analysis	Various	Depression	8–24%	HADS depression subscale, CES-D, BDI	Unspecified
75	Meta-analysis	Prostate cancer (7.8%)	Depression	Pretreatment 17.27%, on-treatment 14.70%, post-treatment 18.44%	HADS, STAI, CES-D, SCL, BDI, SRAS, SRDS, BSI, CIDI	Unspecified
			Anxiety	Pretreatment 27.04%, on-treatment 15.09%, post-treatment 18.49%	Anxiety Scale for Prostate Cancer and the Effects of Prostate Cancer on Lifestyle Questionnaire.	
76	Meta-analysis	Ovarian cancer (1.7%)	Depression	Pretreatment 25.34%, on-treatment 22.99%, post-treatment 12.71%	HADS, STAI, CES-D, SCL, BDI, SRAS, SRDS, BSI, CIDI, and DSM-IV-SCID	Unspecified
			Anxiety	Pretreatment 19.12%, on-treatment 26.23%, post-treatment 27.09%		
78	Review	Various	Depression	0–38%	HADS, BDI, European Organization for Research and Treatment of Cancer Quality of Life Questionnaire, and DSM criteria	Antidepressants
72	Review	Various	Demoralization syndrome	13–18%	DS, DCPR	Antidepressants and psychotherapy (depression comorbidity)
74	Review	Various	Posttraumatic stress disorder	5–19%	PCL-C and semi-structured interviews	Psychological, pharmacological interventions or a combination

BDI: Beck Depression Inventory; BSI: Brief Symptom Inventory; CES-D: Center for Epidemiologic Studies-Depression Scale; CIDI: Composite International Diagnostic Interview; DCPR: Diagnostic Criteria for Psychosomatic Research; DS: Demoralization Scale; DSM-III, Diagnostic and Statistical Manual for Disorders, version 3 (Interview assessment); GAIS: Global Adjustment to illness Scale; GHQ: General Health Questionnaire; HAD: Hospital Anxiety and Depression Scale; HADS: Hospital Anxiety and Depression Scale-depression subscale; KPS: Karnofsky performance scale; MMPI: Minnesota Multiphase Personality Inventory; PCL-C: PTSD (Posttraumatic Stress Disorder) Checklist Civilian Version; PSE: Present State Examination; RDS: Raskin Depression screen; SCID: Structured Clinical Interview for DSM; SCL: Symptom Checklist; SRAS: Self-Rating Anxiety Scale; SRDS: Self-Rating Depression Scale; STAI: State-Trait Anxiety Scale. Incidence is in accordance with data reported by GLOBOCAN 2012.²²

of certain antineoplastic drugs. Among the former, for instance, several antipsychotics were shown to increase serum prolactin, and hyperprolactinemia has been linked, in turn, to the development of mammary gland tumors in animal studies.⁹⁸ If, in these cases, second generation antipsychotics should be preferred to neuroleptics, nonetheless, more studies and updated recommendations from treatment guidelines are needed to assess pros and cons of undertreatment of serious psychiatric disorders in patients with cancer, based on unproven contraindications to psychiatric medications.⁹⁹

Another noteworthy issue is represented by the interaction that some antineoplastic drugs may have with psychotropic drugs that interfere with cytochrome P450 (CYP). One of the most studied cases in the field is represented by tamoxifen, an adjuvant hormonal therapy that is widely used for estrogen receptor positive metastatic breast cancer. Given that tamoxifen is metabolized to its more active form (endoxifen) by CYP2D6, decreases in CYP2D6 activity due to interactions with psychotropic drugs that inhibit it (eg, paroxetine and fluoxetine) may reduce the activity of tamoxifen and confer an increased risk of recurrence.¹⁰⁰ If, in such contexts, psychotropic drugs that do not interfere with the activity of CYP2D6 should be preferred, recent data in the field have assessed the available evidence in a more balanced way,¹⁰¹ recommending caution and considering clinical aspects that vary from case to case.

Over the last several years, novel approaches for the management of behavioral alterations in patients with cancer have been proposed and examined. Among these, ketamine—a dissociative anesthetic psychotropic compound—has been tested in oncologic patients with

treatment-resistant depression^{102,103} and suicidal ideation¹⁰⁴ with encouraging results. As for non-oncologic psychiatric patients, however, it remains to be further clarified whether the role of ketamine is maintained beyond the short-term. Other authors have hypothesized that brain stimulation techniques, particularly non-invasive interventions like repetitive transcranial magnetic stimulation (rTMS) and transcranial direct current stimulation (tDCS), might be helpful for patients with cancer and psychiatric symptoms in light of their selective action, lack of systemic effects, and no interaction with concomitant antineoplastic drugs. However, no controlled investigation is currently available to endorse an approach of this kind.

Chemotherapy- and Radiotherapy-Induced Disturbances

Several studies have shown chemotherapy-related cognitive dysfunction (see Table 4). The design of these studies was mainly cross sectional: the cognitive function of treated patients was compared with matched controls, and the identification of significant cognitive impairments in the chemotherapy groups addressed the pathogenetic role of cytotoxic agents.^{48,49,51,55,105,106} Although such studies were fundamental to validating the cognitive complaints of cancer patients, they did not control for confounding factors. Yet, cancer patients may complain of cognitive symptoms before any treatment. Consequently, more recently the study design changed; dismissing a cross-sectional experimental design, prospective studies were conducted. Regardless of the study design used, breast cancer patients who were treated

TABLE 4. Chemo- and radiotherapy-associated cognitive impairment

Therapy	References	Primary disease	Symptoms and clinical syndromes	Dose of radiation
<i>Chemotherapy (CT)</i>				
FAC	50, 104, 117	Breast	Attention, processing speed, learning, memory, executive and visuospatial function, motor skills	
FEC or CTC	118	Breast	Attention, working, visual and verbal memory, executive and visuospatial function, motor skills	
FEC, DCP or DC	119	Breast	Attention, working, visual, and verbal memory	
AAT	37, 113-115	Prostate	Visual and visuospatial memory and processing, reaction time, working memory	
<i>Radiotherapy (RT)</i>				
	120	Neck	Mild cognitive impairment (only in 20%)	4,140-6,500 cGy at tumor site; 3,000 cGy for the whole brain
	121	rhabdomyosarcoma	Attention, complex cognition	
	122	Breast	Executive functions, processing speed	Not reported
	123	Breast [§]	Verbal memory	50 Gy tangential irradiation Mean dose ≈ 5900-6000 (±600) cGy
		Breast		

FAC: 5-fluorouracil, doxorubicin, and cyclophosphamide; FEC: 5-fluorouracil, epirubicin, and cyclophosphamide; CTC: cyclophosphamide, thiotepa, and carboplatin; DCP: doxorubicin, cyclophosphamide, and paclitaxel; AAT: androgen ablation therapy; [§] RT in combination with CT.

with cytotoxic agents showed a cognitive decline relative to their pre-treatment cognitive status and to breast cancer patients without chemotherapy and non-cancer controls over the same period.^{54,55,107,108} Specific drugs may have different effects. Fluorouracil can induce hyperammonemia,¹⁰⁹ thus causing hyperammonemic encephalopathy, whose clinical manifestations range from psychomotor slowing and flapping tremor to severe consciousness disturbances.¹¹⁰ Also, luteinizing hormone-releasing hormone (LHRH) agonists are associated with non-steroidal antiandrogens to obtain a combined androgen blockage,^{111,112} and this treatment can cause neurological disturbances such as visuomotor slowing, slowed reaction times, and impaired working memory.^{113–115}

Several trials found a correlation between cognitive dysfunction and chemotherapy in women with non-metastatic breast tumors.^{48,50,105,106,116} This association is termed “chemobrain.” In women receiving a regimen of 5-fluorouracil, doxorubicin, and cyclophosphamide, Wefel *et al*⁶⁰ showed that approximately 60% of patients experienced a cognitive decline with decreased attention, learning, and processing speed, thus suggesting a disruption in frontal network systems. Interestingly, many patients improved 1 year after completing 5-fluorouracil, doxorubicin, and cyclophosphamide (FAC) therapy, especially when work-related abilities were tested. In this context, it is worth noting that before the initiation of systemic adjuvant therapy, women with breast cancer exhibited declines in a wide range of cognitive functions, involving verbal learning, nonverbal memory, confrontational naming, complex visuoconstruction, and fine motor dexterity.¹¹⁶ Women treated with tamoxifen for breast cancer have lower brain concentrations of myo-inositol.¹²⁴ Tamoxifen induces areas of hypometabolism in the inferior and dorsal lateral frontal lobes and decreases right hippocampal volumes.¹²⁵

The irradiation of head and neck tumors (in particular cancer of the nasopharynx and paranasal sinuses) might cause radiation-induced vascular damage in the medial temporal lobe, thus affecting memory. Irradiation triggers an inflammatory response with endothelial injury.¹²⁶ Paulino *et al*¹²⁰ reported intellectual and academic delays in 3 out of 30 children who had received megavoltage radiotherapy for head and neck rhabdomyosarcoma. A moderate general developmental delay including pronounced motor deficits that were associated with various levels of perceptual and cognitive problems was observed in all children treated with total brain irradiation prior to bone marrow transplantation.¹²⁷ Cognitive impairment accompanying radiotherapy has also been reported after the irradiation of body regions that are distant from the brain, in particular for non-metastatic breast cancer treatment,^{121,122,128,129} possibly by increasing levels of IL-6.¹²³ Radiation dose,

site of primary tumor, and the timing of association with chemotherapy (CT) are variable (Table 4), and further studies are needed to assess possible cognitive and behavioral effects induced by tissues irradiation. Overall, while CT has a specific negative effect on verbal fluency, breast cancer treatment in general negatively affects verbal memory. However, cognitive impairment induced by either CT or radiation therapy is probably mild, and difficult to disentangle from that due to primary disease.

Relevance of Neuropsychopathological Abnormalities for the Clinical Outcome

Depressive symptoms and psychosocial stressors can be considered risk factors for cancer incidence and mortality by affecting several neuroimmunological and neuroendocrinological biochemical pathways.^{130–132} When a potentially risky event is perceived by the subject as overwhelming the available resources (such as coping strategies and social support), the nervous system activates pathways that release catecholamines, corticosteroids, and opioids.¹³³ Because the receptors for these chemical signals (neurotransmitters, neuropeptides, neurohormones, and adrenal hormones) are also located on lymphocytes and macrophages, the release of these cerebral messengers can influence immune and endocrine functionality (see Figure 3) *in vivo* and *in vitro*.¹³⁴

Through these mechanisms the brain exerts an immunoregulatory role in oncologic diseases.¹³⁵ For example, the plasma concentration of epinephrine, which is associated with intense emotions, especially fear, is inversely related to specific immune functions in lymphocytes and monocytes. The experience of stressful events is concomitant with the release of high concentrations of corticosteroids that have important immunosuppressive effects on the functions of lymphocytes and macrophages and might affect their circulation patterns.^{136,137}

In this context, psychological interventions during oncologic treatments are important resources that aim to improve psychological conditions and therefore revert

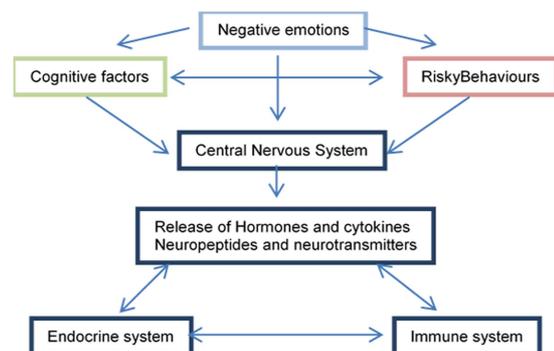


FIGURE 3. The interactions between psychological aspects, the CNS, and the endocrine and immune systems.

dysfunctional immunological and endocrinal mechanisms with consequent positive effects on the disease. Studies have reported the improvement of immunologic parameters (eg, lymphocyte proliferation¹³⁸ and their related physiologic values (eg, cortisol levels) in patients who attended psychological support sessions.¹³⁹ Andersen *et al*¹⁴⁰ examined the influences of a psychosocial treatment on survival and recurrence (227 women with non-metastatic breast cancer) immediately after surgery. Psychological intervention included training for relaxation and stress reduction, coping skills implementation, and strategies to change health behavior related to nutrition and physical activity. The treatment group showed a significant decrease in overall and breast cancer-specific mortality rates, and the risk of cancer recurrence was reduced by 45% at a median of 11 years follow-up.¹⁴⁰

Studies on cancer survivors have emphasized the role of positive emotions, resilience, and optimism in contributing to better adjustment and quality of life.^{141,142} Empirical evidence has shown that these protective psychological factors predict better coping among cancer patients.^{143,144}

Because stress impacts on neuroendocrine and neuroimmunological mechanisms influencing tumor onset, progression, and recurrence (eg, ovarian cancer),¹⁴⁵ psychosocial and psychoeducational interventions must be considered an integral part of cancer treatment.

The integrity of cognitive aspects (such as attention, perception, and thought) may indirectly influence cancer incidence and progression from pre-clinical conditions. For example, conditions of depression and distress may impact on perceptions of risk and on decision-making strategies¹⁴⁶ leading to the adoption of risky behaviors (such as smoking, alcohol and drug consumption), which in turn could cause immunological and endocrinal changes.¹⁴⁷ Moreover, a condition of distress and a bad patient-physician relationship has been shown to influence adherence to medical prescription¹⁴⁸ and to interfere with attendance at screening procedures (such as mammography) and with active surveillance of tumors with low metastatic potential.¹⁴⁹ In conclusion, making decisions, especially about healthcare, is not a linear process; patients' viewpoints are influenced by cognitive (eg, biases and heuristics) and emotional factors (eg, intense emotional expressions), which modulate the presentation and interpretation of medical information.¹⁵⁰ It is extremely important that patients are supported in order to not succumb to cognitive biases and to allocate attention efficiently to improve comprehension and make optimal decisions.¹⁵¹

Clinical Implications

Cancer can induce neuropsychological and behavioral abnormalities through different mechanisms, with

several practical implications. First, every patient reporting an abrupt onset of mood or cognitive dysfunction without a clear hereditary predisposition or other immediately evident environmental or medical cause should be screened also for the possible presence of cancer. Similarly, patients affected by a chronic psychiatric disorder exhibiting a sudden change of their psychopathological or cognitive picture should be screened for cancer also. Yet, as discussed above, several tumors may appear as mild cognitive or psychiatric disturbances months before they become manifest. Second, clinicians should bear in mind that the decision-making capacity in patients with cancer can be impaired, yielding forensic implications. Finally, but perhaps most importantly, the data reviewed above indicated the importance of interventions that aim to restore normal cognitive and behavioral conditions. Because psychological and cognitive wellness importantly contribute to the quality of life in patients with cancer, clinicians, in addition to treating the primary manifestation of cancer, should always carefully search for and treat cognitive and mood abnormalities. Although there are no systematic data, our experience is that patients undergoing chemotherapy should be carefully evaluated for their driving ability.

We therefore propose that every patient accessing a cancer hospital should be formally screened for cognitive, psychiatric, or psychological abnormalities that, if present, should be immediately treated. To ensure this, a multidisciplinary team comprising neurologists, psychiatrists, neuropsychologists, and psychologists is recommended in all cancer centers.

Future Directions

It is fundamental to expand our frame of reference to explore the neurobiological activities that have been related to stress factors and other psycho-social phenomena which may operate in concert with stress-related neuroimmunologic and neuroendocrinologic changes in influencing disease outcomes. Future challenges consist in demonstrating how psychological processes and interventions influence tumor environmental complexity through underlying mechanisms mediated by stress and negative emotionality and related to the clinical course of disease. To understand these phenomena in depth, new study designs and consequent paradigms of cure should be tested.

First, it could be useful to develop a translational human-animal design to investigate specific targets of tumorigenic activity determined by stress using a murine model. Moreover, in everyday clinical practice a new therapeutic approach, which encompasses a stronger neuro-psycho-oncological intervention, should be adopted. As already demonstrated by previous research,

718 stress management interventions for cancer patients
 719 have promising effects on psychological aspects, tumor-
 720 related factors, and prognosis and should be included
 721 in oncologic routine care. Future study designs should
 722 focus on ameliorating psychological treatment and
 723 support during the management of the oncologic
 724 disease. This endpoint could be achieved through the
 725 personalization of interventions, which, according to the
 726 patients' needs, could include sessions that enhance
 727 decision-making strategies and/or coping skills. In this
 728 framework, the assessment of patients' cognitive and
 729 psycho-emotional factors would be important in order
 730 to draw individual profiles and customize treatment.
 731 Simple interactive tools (ie, an "app" for cell phones and
 732 tablets) to explore patients' preferences giving immedi-
 733 ate feedback (for example about drugs characteristics or
 734 side effects) to physicians, and to help patients in every
 735 day choices regarding the disease, may constitute a useful
 736 and innovative way to face the pathology.

737 Disclosures

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 740 Roberta Ferrucci, and Valentina Lampis have nothing
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