

## Influence of the TiO<sub>2</sub> Electronic Structure and of Strong Metal-Support Interaction on Plasmonic Au Photocatalytic Oxidation

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Aiming at understanding how plasmonic reactions depend on important parameters such as metal loading and strong metal-support interaction (SMSI), we report the plasmonic photodegradation of formic acid (FA) under green LED irradiation employing three TiO<sub>2</sub> supports (stoichiometric TiO<sub>2</sub>, N-doped TiO<sub>2</sub>, black TiO<sub>2</sub>) modified with Au nanoparticles (NPs) 3–6 nm in size. The rate of FA photo-oxidation follows different trends depending on Au loading for stoichiometric and doped Au/TiO<sub>2</sub> materials. In the first case, the only contribution of hot electron transfer produces a volcano-shaped curve of photoreaction rates with increasing the Au loading. When TiO<sub>2</sub> contains intra-bandgap states the photoactivity increases linearly with the Au NPs amount, thanks to the concomitant enhancement produced by hot electron transfer and plasmonic resonant energy transfer (PRET). The role of PRET is supported by Finite-Difference Time-Domain simulations, which show that the increase of both Au NPs inter-distance and of SMSI enhances the probability of charge carrier generation at the Au/TiO<sub>2</sub> interface.

### 1. Introduction

The optimal exploitation of solar light is crucial for increasing the share of renewable energy in chemical and energy industries.<sup>1,2</sup> Plasmonic photocatalysis recently gained remarkable attention as an emerging approach to enhance the photocatalytic efficiency of metal oxide semiconductors under visible light irradiation.<sup>3–15</sup>

Plasmonic photocatalysis mainly makes use of metallic nanoparticles (NPs) which can harvest visible light (e.g., Au, Ag or Cu) due to Localized Surface Plasmon Resonance (LSPR).<sup>16–18</sup> LSPR occurs when the free electrons of a plasmonic NP interact with the incident radiation, oscillating in resonance and against the restoring force of the positively charged surface nuclei.<sup>19</sup> This results in the generation of highly energetic electrons (hot

e<sup>-</sup>) and in the capability of concentrating the electromagnetic field in nanoscale volumes.<sup>9,18</sup> Plasmonic NPs have extremely large absorption cross section and can therefore capture light more efficiently compared to other optical species generally used in sensitization processes, such as semiconductor quantum dots and organic chromophores.<sup>17,20</sup>

Furthermore, plasmonic NP-based nanocomposites present some fundamental advantages if compared to semiconductor-based photocatalysts. For instance, LSPR can be tuned from visible to near infrared wavelengths by adjusting size and shape of the plasmonic NPs, as well as the environment in their proximity. In addition, they can promote enhanced charge separation,<sup>8</sup> intense electric field,<sup>20</sup> hot electron generation,<sup>21,22</sup> local heating effects<sup>17,23,24</sup> and increased selectivity toward chemical reactions.<sup>19,23,24</sup>

Depending on the electronic structure of the adjacent semiconductor, LSPR can either promote hot electron transfer<sup>21</sup> from plasmonic NPs to the semiconductor conduction band (CB) upon crossing the Schottky barrier junction,<sup>25</sup> or result in the Plasmon-induced Resonant Energy Transfer (PRET).<sup>26–28</sup> This latter is possible only when the LSPR wavelength resonates with the semiconductor band-gap (Scheme 1a).<sup>16,17,19</sup>

TiO<sub>2</sub> is the archetypical semiconductor for plasmonic photocatalysis since its CB energy is favourably located to assure efficient hot electron transfer, while PRET can be enabled after judicious TiO<sub>2</sub> band-gap engineering.<sup>19,22,26,27</sup> Despite a large number of reports on plasmon-driven photocatalysis has already appeared, the majority of them focus on the proof of concept for single materials featuring

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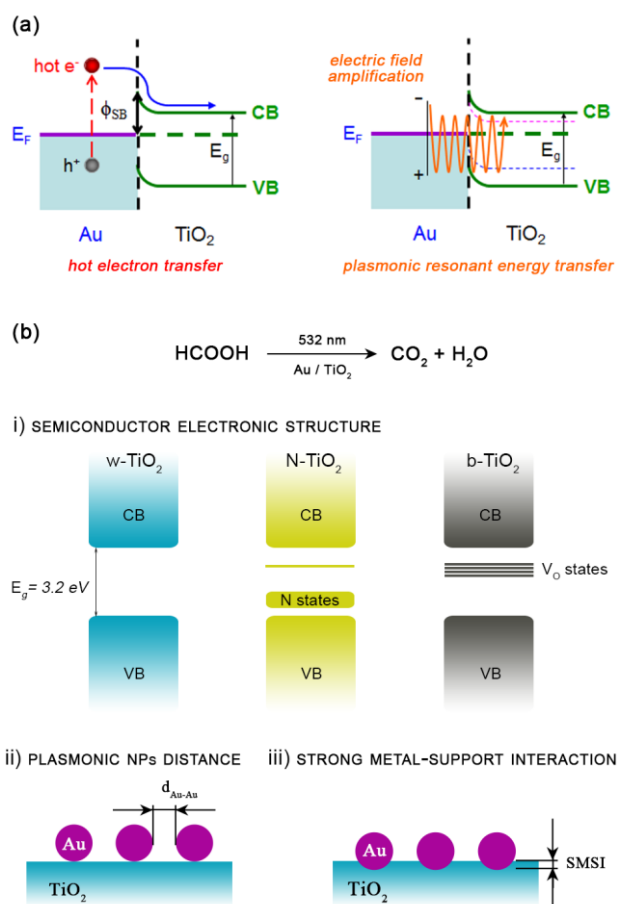
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**Scheme 1** (a) Plasmonic photocatalysis can proceed through two major mechanisms involving either hot electron transfer (left) or plasmonic resonant energy transfer (right). (b) Schematics of plasmonic FA photooxidation with Au/TiO<sub>2</sub> catalysts, depending on (i) the semiconductor electronic structure, (ii) the Au NPs inter-distance ( $d_{\text{Au-Au}}$ ), (iii) strong metal-support interactions (SMSI).

precisely designed electronic structure or morphology. There is a lack of understanding on how plasmonic reactions depend on parameters usually considered in catalysis.

Herein, we report on the plasmonic photodegradation of formic acid (FA) performed on Au/TiO<sub>2</sub> photocatalysts, under green LED irradiation. A thorough investigation was performed on the effect induced by (i) the TiO<sub>2</sub> electronic structure, (ii) the Au NPs loading (1–10 wt.%), and (iii) the strong metal-support interaction (SMSI) between Au NPs and the TiO<sub>2</sub> supports (Scheme 1b).

Our aim is to develop a clear correlation between the structural/physical properties and the catalytic activity of widely adopted photocatalysts utilized in powder form.

Specifically, the three investigated TiO<sub>2</sub> supports were stoichiometric TiO<sub>2</sub> (w-TiO<sub>2</sub>), N-doped TiO<sub>2</sub> (N-TiO<sub>2</sub>) having additional states above the valence band (VB), and black TiO<sub>2</sub> (b-TiO<sub>2</sub>) with oxygen vacancy (V<sub>o</sub>) electronic states below the CB. Synchrotron x-ray absorption and resonant photoemission spectroscopy allowed to finely determine the electronic structure of the selected TiO<sub>2</sub> supports. Experimental evidence suggests that different plasmonic mechanisms are involved for

w-TiO<sub>2</sub> and doped TiO<sub>2</sub> materials in FA photooxidation: hot electron transfer and PRET, with the latter becoming dominant at high Au loading. The role of PRET is supported by Finite-Difference Time-Domain (FDTD) simulations, which show that the enhancement of both Au NPs vicinity and of SMSI resulted in increased probability of charge carrier generation at the Au/TiO<sub>2</sub> interface and, hence, in better performance in FA plasmonic oxidation.

## 2. Experimental section

### 2.1 Photocatalysts synthesis

w- and b-TiO<sub>2</sub> powders were prepared according to a procedure described elsewhere.<sup>29</sup> Briefly, the w-TiO<sub>2</sub> sample was obtained by annealing a high surface area TiO<sub>2</sub> commercial powder (BET surface area  $\geq 500 \text{ m}^2 \text{ g}^{-1}$ ) at 773 K for 1 h under continuous O<sub>2</sub> flow; similarly, the highly defective b-TiO<sub>2</sub> was prepared by annealing the same commercial TiO<sub>2</sub> precursor under H<sub>2</sub> stream.<sup>29</sup> The N-doped TiO<sub>2</sub> powder (hereafter, N-TiO<sub>2</sub>) was synthesized starting from titanium(IV) isopropoxide (TTIP, Aldrich 97%) as Ti precursor. The required amount of a NH<sub>2</sub>OH/H<sub>2</sub>O solution (N/Ti = 25 mol. %) was added drop-wise to 100 mL of anhydrous ethanol, also containing 10 mL of dissolved TTIP, and it was heated at 30°C under vigorous stirring. After stirring and refluxing for 1 hour, the organic residues were eliminated. Finally, the powder was annealed at 500°C for 4 h under air flow. Elemental analysis evidenced a 0.1 wt.% content of nitrogen into the TiO<sub>2</sub> lattice. The prepared w-, N- and b-TiO<sub>2</sub> supports were surface modified by deposition of Au NPs through the NaBH<sub>4</sub> reduction method.<sup>30</sup> The Au-modified powders were labelled x%Au/w-, b- or N-TiO<sub>2</sub>, with x referring to the Au nominal loading (ranging from 1 to 10 wt.%).

### 2.2 Photocatalysts characterization

XRPD measurements were performed with a Siemens D500 diffractometer operating at 40 kV and 30 mA, at Cu K $\alpha$  radiation source. The XRPD patterns were analysed with the Rietveld method as implemented in the GSAS software suite of programs.<sup>31,32</sup> The crystallite size was determined by applying the Scherrer equation. The optical properties of the powders were determined by means of a UV-visible spectrophotometer (Thermo Scientific Evolution 600), equipped with a diffuse reflectance accessory Praying-Mantis sampling kit (Harrick Scientific Products, USA). High Resolution Transmission Electron Microscopy (HRTEM) was employed to determine the morphology and size distribution of metallic Au NPs supported on TiO<sub>2</sub>, using a Zeiss Libra 200FE instrument. TEM micrographs were taken spanning wide regions of all examined samples in order to provide a truly representative statistical map of the powders. The size distribution of the Au NPs deposited on TiO<sub>2</sub> was calculated by sampling, on average, 400 metallic NPs. X-ray absorption spectroscopy (XAS) and resonant photoemission spectroscopy (RESPE) were recorded at the BACH beamline at the Elettra synchrotron facility in Trieste (Italy). XAS experiments were carried out in total

electron yield mode with monochromator resolution set to 0.1 eV, at the Ti  $L_{2,3}$ -edge photon energy. RESPEC data were collected by scanning the photon energy from 456 to 470 eV with energy steps of 0.20 eV. The actual Au loading of each photocatalyst sample was determined by ICP-OES (ICAP 6300, Thermo Electron) after microwave digestion of samples in a 3:1 HCl/HNO<sub>3</sub> mixture. The specific surface area (SSA) of Au/TiO<sub>2</sub> samples was determined by Kr physisorption at 77 K, employing a multipoint BET interpolation of the adsorption isotherm (ASAP 2020, Micromeritics). All measurements were repeated twice and the mean value was reported. Around 20 mg samples were outgassed at 473 K for 1 h under high vacuum before carrying out Kr adsorption.

### 2.3 FDTD simulations

Optical simulations were performed by means of the electromagnetic tool of the Comsol Multiphysics package. In particular the RF module was used, which solves Maxwell's equation using the finite element method. The full-wave formulation was selected since it permits to solve the total electromagnetic fields including also sources in the model. Since the device was studied at a single frequency (corresponding to the irradiation wavelength adopted for the photoactivity tests, *i.e.*  $\lambda = 532$  nm) the simulation was done with the frequency domain solver. A plane electromagnetic wave is incident at the top of the defined geometry. The incoming plane wave travels in the negative y direction (from the top to the bottom of the structure), with the electric field polarized along both x and z-axis. The input electric field has unitary amplitude ( $1 \text{ V m}^{-1}$ ). A perfectly matched layer domain is placed outside the whole domain and acts as an absorber from the field eventually reflected from the Au NPs and the TiO<sub>2</sub> substrate. Since Au NPs have random positions in the real samples, in simulations we considered a large number of NPs and we did not consider periodic boundary conditions, in order to reproduce a model as accurate as possible. A tetrahedral mesh was used to better fit the Au NPs geometry. See Electronic Supplementary Information (ESI) for parameters used in the simulations. The following formula was applied to calculate the Enhancement Factor (EF):<sup>27</sup>

$$EF = \frac{\int_{-10 \text{ nm}}^0 dz \int dx dy |E|^2}{\int_{-10 \text{ nm}}^0 dz \int dx dy |E_0|^2}$$

where  $E_0$  is the electric field of the incident light and  $E$  the field propagating from the plasmonic Au NPs.

### 2.4 Photocatalytic Activity

FA photodegradation was performed under atmospheric conditions following the procedure described in detail elsewhere.<sup>33,34</sup> A home-built LED array (emitting visible light centred at  $\lambda = 532 \pm 20$  nm) was used as irradiation source, kept at a fixed distance from the photoreactor. The incident light intensity, measured within the reactor, was *ca.*  $6 \text{ mW cm}^{-2}$ , as checked prior to any photocatalytic run by means of an optical powermeter (ThorLabs, PM 200). The photocatalyst

amount and the FA initial concentration were fixed at  $0.2 \text{ g L}^{-1}$  and  $1.0 \times 10^{-3} \text{ mol L}^{-1}$ , respectively. The residual amount of formate anions contained in samples withdrawn every hour from the photoreactor was determined by ion chromatography.<sup>33</sup> The pH of the suspensions was always in the 3.5–3.9 range. All photocatalytic runs lasted 5–7 h, depending on the investigated photocatalyst, and were repeated at least twice to check their reproducibility.

## 3. Results and discussion

### 3.1 Characterization of TiO<sub>2</sub> supports

Figure 1a shows the XRPD patterns of the three different TiO<sub>2</sub> supports. The powder crystallized in an oxygen atmosphere (*i.e.* w-TiO<sub>2</sub>) was composed of pure anatase TiO<sub>2</sub>, while a mixed phase composition (81 wt.% anatase, 19 wt.% rutile) was determined for b-TiO<sub>2</sub>.<sup>29,35</sup> N-TiO<sub>2</sub> was instead composed of nearly pure anatase, with small amounts of rutile (2 wt.%) (see Fig. S1 in ESI). By applying the Scherrer equation to the [101] anatase reflection, the crystallite size was found to be 16 nm, 22 nm and 23 nm for w-, N- and b-TiO<sub>2</sub>, respectively (Table S1). w- and N-TiO<sub>2</sub> presented nanocrystals with well-defined boundaries, while b-TiO<sub>2</sub> had a typical core-shell morphology defined by a 1 nm-thick disordered layer (Fig. S2).<sup>29</sup>

Figure 1b reports the UV-vis absorption spectra of the investigated TiO<sub>2</sub> samples: w-TiO<sub>2</sub> (blue line) and N-TiO<sub>2</sub> (red line) exhibited an absorption threshold at *ca.* 380 nm, with the latter showing an additional shoulder at  $\lambda \sim 400$ –550 nm (see inset Fig. 1b), typical of nitrogen-doped TiO<sub>2</sub>.<sup>33</sup> This indirectly provides evidence for the formation of intra band-gap states in N-TiO<sub>2</sub> related to bulk modification.<sup>36</sup> An even more extended modification of the TiO<sub>2</sub> lattice was achieved by H<sub>2</sub> thermal treatment. The so obtained b-TiO<sub>2</sub> (black line) exhibited a featureless broad band absorption for  $\lambda > 400$  nm owing to the extra charges due to oxygen vacancies (V<sub>O</sub>s).<sup>26,29</sup>

The determination of band-gap narrowing and the location and nature of electronic/structural defects allow rationalising possible interactions between TiO<sub>2</sub> and the hot electrons or the enhanced electric field of Au.

N-doping is known to produce defect states above the edge of the TiO<sub>2</sub> VB, with a consequent red shift of the absorption threshold.<sup>37</sup> In particular, two different N-species can be formed in the bulk of TiO<sub>2</sub>, namely, substitutional N (N<sub>s</sub>, which replaces an O atom in the Ti---O---Ti lattice) and interstitial N (N<sub>i</sub>, which forms a direct bond with a lattice O atom leading to a  $\pi$  radical NO species). The energy levels of N<sub>s</sub> are located ~

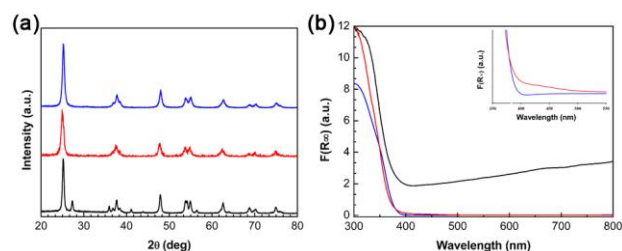
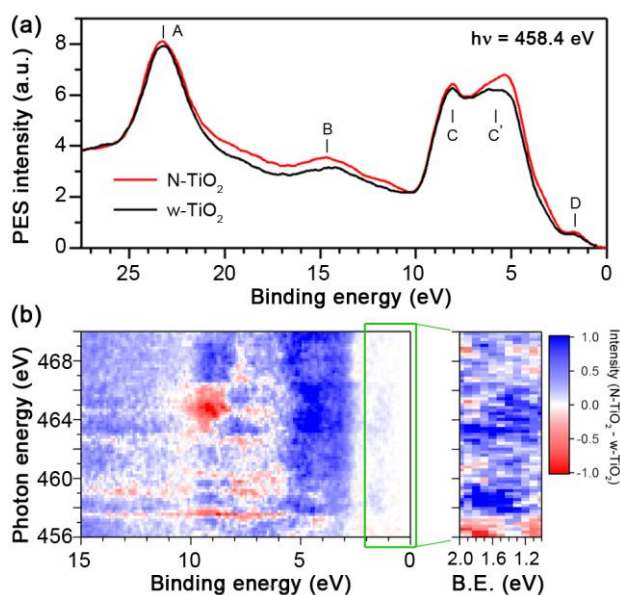


Fig. 1 (a) XRPD patterns and (b) UV-vis absorption spectra of w- (blue line), b- (black line) and N-TiO<sub>2</sub> (red line).



**Fig. 2** (a) Photoemission spectra collected under resonant conditions (Ti  $L_{2,3}$ -edge,  $h\nu = 458.4$  eV) for w-TiO<sub>2</sub> (black line) and N-TiO<sub>2</sub> (red line) in the VB region. (b) Difference between RESPEC data of N-TiO<sub>2</sub> and w-TiO<sub>2</sub>. The plot on the right highlights the increased DOS in the VB region due to N doping. The intensity of the enlargement relative to the 1–2 eV region is enhanced by a factor of 10, compared to the original.

0.1 eV above the VB of TiO<sub>2</sub>, while those of N<sub>i</sub> are slightly more negative ( $\sim 0.6$  eV).<sup>37,38</sup> Instead, in the b-TiO<sub>2</sub> sample, we recently reported that the introduction of V<sub>O</sub>s induces the formation of intragap energy levels close to the TiO<sub>2</sub> CB,<sup>26,29</sup> while the surface disorder produces a substantial shift of the VB position.<sup>29,39</sup>

By means of synchrotron XAS, we investigated the effect of the phase composition and of the introduction of defects on the partial Density of States (DOS) of TiO<sub>2</sub> CB (Fig. S3). The line-shape of the Ti  $L_{2,3}$ -edge is determined by the 2p–3d dipole transition. Spin-orbit splitting of the 2p orbitals into 2p<sub>3/2</sub> ( $L_3$ ) and 2p<sub>1/2</sub> ( $L_2$ ), and crystal field splitting of the 3d orbitals into  $e_g$  and  $t_{2g}$ , generated four absorption peaks for the Ti L-edge.<sup>40</sup> TiO<sub>2</sub> spectra in Fig. S3 are consistent with previous literature reports on the anatase L-edge spectra.<sup>40,41</sup> Though this result is predictable for w-TiO<sub>2</sub> and N-TiO<sub>2</sub> samples, owing to their pure anatase phase composition, the relatively high content of rutile phase in b-TiO<sub>2</sub> did not influence the XAS line-shape, thus remarking the predominant anatase contribution also in the latter sample. The XAS spectra of w- and N-TiO<sub>2</sub> showed similar features in the investigated energy range. Noteworthy, the energy splitting of the  $t_{2g}$ – $e_g$  at the  $L_2$ -edge was slightly larger in the b-TiO<sub>2</sub> sample than in w- and N-TiO<sub>2</sub> (*i.e.* 2.0 eV and 1.9 eV, respectively). This may be attributed to possible crystal field changes induced by the incorporation of  $\sim 5\%$  V<sub>O</sub>s in the b-TiO<sub>2</sub> lattice.<sup>29,42–44</sup>

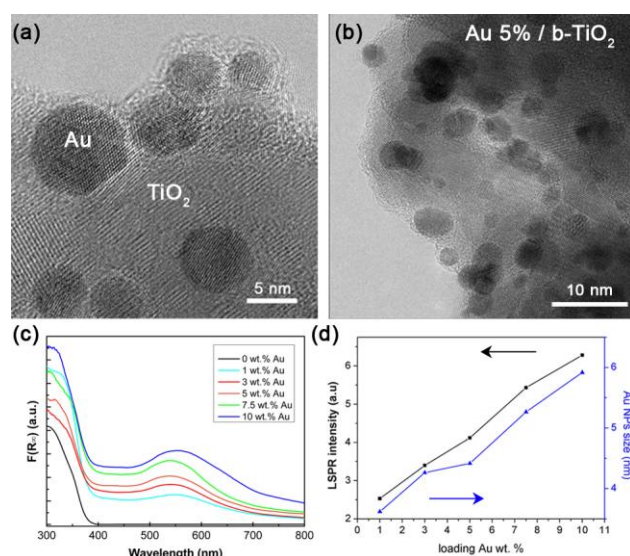
In the present work, N-TiO<sub>2</sub> had a N content as low as 0.1 wt.% and thus the amount of V<sub>O</sub> is expected to be extremely low. RESPEC was envisaged as a suitable technique to deeply investigate the electronic structure of N-TiO<sub>2</sub>. In typical RESPEC experiments, the VB photoemission spectra are collected by

sweeping the photon energy through an absorption edge.<sup>43</sup> Here, we collected seventy VB photoemission spectra across the Ti  $L_{2,3}$ -edge to precisely determine both the energy and the intensity of Ti-related states in the VB region. Figure S4 and S5 report the contour plots of the RESPEC data collected on the Ti L-edge for w- and N-TiO<sub>2</sub>, respectively. Each line represents a single photoemission spectrum collected at the photon energy reported on the vertical axis. In order to highlight the difference between the VB electronic structure of w-TiO<sub>2</sub> and that of N-doped TiO<sub>2</sub>, only the VB photoemission spectra collected under resonance conditions (*i.e.* at  $h\nu = 458.4$  eV) are reported in Fig. 2a.<sup>45,46</sup> Peak A, centred at Binding Energy (BE) = 23.3 eV for both w- and N-TiO<sub>2</sub>, was assigned to the O 2s shallow core level. Peak B, which was constant at low photon energy but in both samples shifted to higher values as the photon energy increased above 458 eV, exhibited the so-called Raman-Auger to normal Auger transition.

TiO<sub>2</sub> VB is dominated by O 2p states, whose photoemission features are located in the 4–8 eV BE region.<sup>47</sup> However, due to the hybridization between Ti 3d and O 2p states, a contribution to the VB may arise also from the Ti 3d states.<sup>43,48</sup> Accordingly, the TiO<sub>2</sub> VB of w- and N-TiO<sub>2</sub> samples exhibited the two typical peaks C (BE  $\sim 8.1$  eV) and C' (BE  $\sim 5.8$  eV) which are related to the Ti–O bonding part and non-bonding part of the VB, respectively.<sup>40,46</sup> Interestingly, the comparison between the two samples highlights that N-TiO<sub>2</sub> exhibited a higher DOS with respect to w-TiO<sub>2</sub>, as outlined by the more pronounced C' peak (Fig. 2a). In addition, N-doping produced a VB extension toward the Fermi level, which resulted in the typical red-shifted onset in the UV-vis absorption spectra (Fig. 1b). The contribution at the top of the VB and at lower BE was ascribed mainly to N states.<sup>45</sup> At 1 eV < BE < 2 eV, peak D, detected only under resonance condition (*i.e.*  $h\nu = 458.4$  eV), was ascribed to the presence of V<sub>O</sub>s, which in turn may generate reduced Ti<sup>3+</sup> species near the vacancy.<sup>21,46</sup> Figure 2b shows the differential RESPEC considering N-TiO<sub>2</sub> and w-TiO<sub>2</sub> data. The plot remarks the increased DOS in the VB region ascribed to N doping, while the enlargement relative to the 1–2 eV region shows that N-TiO<sub>2</sub> contained also a V<sub>O</sub>s concentration higher than that of w-TiO<sub>2</sub>. V<sub>O</sub>s are reported to give a photoemission peak at around 1.5 eV (see also Fig. S6). These defects may arise from water dissociation on V<sub>O</sub>s with the consequent formation of Ti 3d defect states associated to a Ti–OH bond.<sup>37</sup>

### 3.2 Characterization of Au/TiO<sub>2</sub> photocatalysts

The three TiO<sub>2</sub> supports were modified through the deposition of Au NPs, with the metal nominal amount ranging from 1 up to 10 wt.%. ICP analysis evidenced an increasing Au loading close to the nominal amount for all the investigated powders (Table S2). The deposition of Au on TiO<sub>2</sub> produced metallic NPs well attached to the oxide surface, as shown in the representative Au 5%/w- and b-TiO<sub>2</sub> HRTEM images (Fig. 3a and 3b) that highlight the sharp boundaries created at the metal/oxide interface. This morphological feature may ensure high efficiency in hot electron transfer. A similar Au/TiO<sub>2</sub> interface was observed also for N-TiO<sub>2</sub> (Fig. S2). The presence



**Fig. 3** HRTEM image showing the sharp interface formed between Au NPs and (a) w- and (b) b-TiO<sub>2</sub>. (c) UV-vis absorption spectra of the x wt.% Au/w-TiO<sub>2</sub> samples. (d) Effect of Au loading on LSPR intensity and Au NPs size for w-TiO<sub>2</sub>.

of Au NPs led to the appearance of the typical LSPR band for the Au/TiO<sub>2</sub> systems around 530–550 nm (Fig. 3c).<sup>23</sup> Au NPs size influences optical properties such as LSPR peak position and absorption intensity.<sup>34,41</sup>

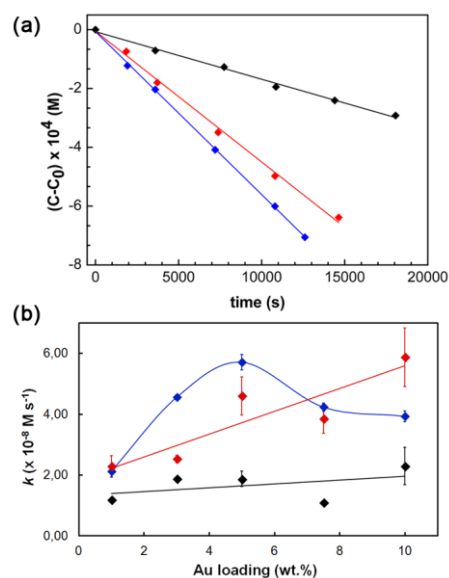
In our materials, LSPR peak positions were not profoundly affected by this morphological parameter since the Au NPs mean size varies within a restrained range (3.2–5.9 nm) (Fig. S7). Only a minor red-shift occurs for Au/w-TiO<sub>2</sub> samples as the metal loading increases. By contrast, LSPR bands of Au/N- and Au/b-TiO<sub>2</sub> show no appreciable shift since the metal NPs size is almost constant. The LSPR intensity varies linearly with Au loading for Au/w-TiO<sub>2</sub> (Fig. 3d). Conversely, a clear trend could not be found for Au/N-TiO<sub>2</sub> and Au/b-TiO<sub>2</sub> due to the narrow Au NPs size distribution (*i.e.* around 4.5–5 nm) observed also when varying the Au loading.

### 3.3 FA photocatalytic oxidation

The excitation of Au LSPR can promote photocatalytic reactions on the TiO<sub>2</sub> surface according to (i) hot electron transfer from Au LSPR to the TiO<sub>2</sub> CB (Scheme 1a),<sup>22,49,50</sup> (ii) Plasmonic-induced Resonant Energy Transfer (PRET),<sup>19,26,27,51</sup> or (iii) plasmon-induced local heating.<sup>20,52</sup> In the present study, we purposely used a monochromatic LED source with a 6 mW cm<sup>-2</sup> emission intensity to minimize any thermal effect. We estimated that the temperature increase induced by irradiation on a single Au NP<sup>20,21</sup> amounted to  $3.8 \times 10^{-6}$  K, which is irrelevant even when considering more Au NPs per TiO<sub>2</sub> crystal (see ESI for details).

No FA photodegradation was observed with the three “naked” TiO<sub>2</sub> samples (without Au NPs), both in the dark and under irradiation. Noteworthy, Au NPs deposited on Al<sub>2</sub>O<sub>3</sub>, a large band-gap semiconductor ( $E_g = 8.7$  eV)<sup>53</sup> that cannot support either hot electron injection or PRET, were not able to promote any photocatalytic reaction.

Under green LED irradiation ( $\lambda = 532$  nm), FA photocatalytic degradation occurred according to a zero order rate law, with



**Fig. 4** (a) Photocatalytic FA degradation with w-TiO<sub>2</sub> (blue line), N-TiO<sub>2</sub> (red line) and b-TiO<sub>2</sub> (black line) modified with 5 wt.% of Au NPs. (b) Zero order rate constants ( $k$ ) of FA photodegradation, obtained with the three TiO<sub>2</sub> supports, modified by deposition of different Au NPs amounts (Au/TiO<sub>2</sub> = 1 ÷ 10 wt.%): x wt.% Au/w-TiO<sub>2</sub> (blue line); x wt.% Au/N-TiO<sub>2</sub> (red line); x wt.% Au/b-TiO<sub>2</sub> (black line).

all the investigated Au/TiO<sub>2</sub> plasmonic composites (see Fig. 4a for representative data of 5wt.% Au/TiO<sub>2</sub> samples).<sup>34</sup> Thus, the photocatalytic activity of the samples was compared in terms of zero order rate constants ( $k$ ). Figure 4b summarizes the  $k$  values of the plasmon-promoted reaction as a function of Au NPs loading for all w-, N-, and b-TiO<sub>2</sub> – based samples. Quite different photocatalytic activity trends were obtained with increasing the Au amount. Au/w-TiO<sub>2</sub> samples displayed a volcano-shaped activity trend, while Au/N- and b-TiO<sub>2</sub> showed a nearly monotonous increase of the reaction rate, though with different slope.

Upon optical excitation, each plasmon can decay either radiatively (scattering) or non-radiatively into a hot  $e^-/h^+$  pair. The non-radiative process becomes the dominant decay channel with decreasing the Au NPs size.<sup>17</sup> Besides LSPR excitation, hot electrons may be generated by incident photons having sufficient energy to promote Au interband transition.<sup>54,55</sup> However, Au interband transitions occur at energies below 520 nm and we suppressed this process by using a 532 nm irradiation source.

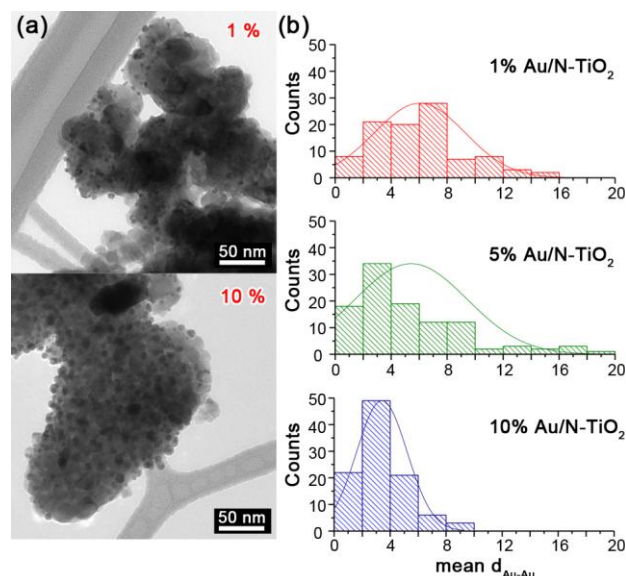
Plasmonic hot electron transfer accounted for the photoactivity of the w-TiO<sub>2</sub> samples. In fact, it is generally accepted that the Schottky barrier height formed at the Au/TiO<sub>2</sub> (anatase) interface (Scheme 1a) is approximately 0.9 eV and that hot electrons generated through Au plasmon excitation may raise up to  $\sim 2$  eV above the metal Fermi level ( $E_F$ ).<sup>56,57</sup> Thus, a significant fraction of hot electrons can be directly injected into the semiconductor CB (Scheme 1a) and initiate the photocatalytic reaction on the TiO<sub>2</sub> surface.<sup>21,22,50,58,59</sup>

In the 1–5 wt.% Au loading range, the rate of FA degradation increased with increasing Au amount, for all the three series, with 5% Au/w-TiO<sub>2</sub> displaying the highest photoactivity throughout (Fig. 4b). The absence of defects in

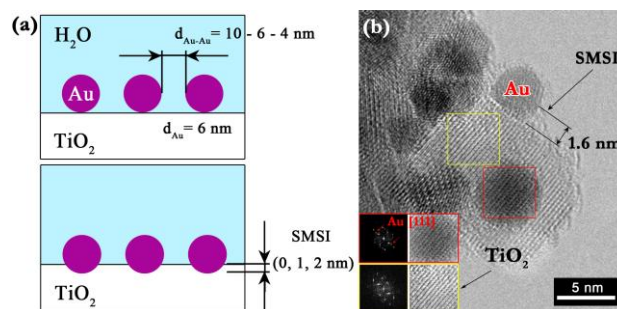
the w-TiO<sub>2</sub> support promoted the photocatalytic activity in the 1–5 wt.% Au loading range. The disordered shell of b-TiO<sub>2</sub> might act instead as a physical barrier for hot electrons injection,<sup>26,29</sup> thus accounting for the lowest efficiency of Au-modified b-TiO<sub>2</sub> samples.

It is noteworthy to point out that PRET cannot promote w-TiO<sub>2</sub> photoactivity due to the mismatch between the band-gap of titania ( $E_g = 3.2$  eV) and the resonance of the plasmonic electric field (2.3 eV).<sup>26,27,59</sup> By contrast, the photoactivity of Au/N- and b-TiO<sub>2</sub> samples may arise from both hot electron transfer and PRET. The introduction of intragap defect states makes also the latter mechanism feasible.<sup>26,27</sup> In the low loading regime (*i.e.* 1–3 wt. %), Au NPs deposited on the surface of the doped TiO<sub>2</sub> samples were found to be far apart from each other. This can be considered a limiting factor for the enhanced generation of electron-hole pairs in the semiconductor induced by the intense local field (see FDTD simulations section below) and may further account for the relatively lower photoactivity of Au/N- and Au/b-TiO<sub>2</sub> samples. At higher Au content (*i.e.* 5–10 wt. %), the plasmonic NPs size increased in the Au-modified w-TiO<sub>2</sub> series (Fig. S7 and Table S2), and with this also the scattering probability, so that a minor fraction of hot electrons could be injected into the TiO<sub>2</sub> CB.<sup>20</sup> Correspondingly, the plasmon-promoted photoactivity of Au/w-TiO<sub>2</sub> samples decreased (Fig. 4b, blue curve). On the other hand, in the high loading regime PRET effectively could promote the photoactivity of defective N- and b-TiO<sub>2</sub> (see Scheme 1a),<sup>26,27</sup> though a contribution of hot electron transfer cannot be ruled out.

Table S2 reports the specific surface area values for all Au-modified TiO<sub>2</sub> photocatalysts, which are around 80, 20, and 3 m<sup>2</sup> g<sup>-1</sup> for w-TiO<sub>2</sub>, N-TiO<sub>2</sub>, and b-TiO<sub>2</sub>, respectively. SSA values did not change significantly in the whole Au loading range thus producing high Au NPs density. Figure 5a and S8 show the TEM images of all Au modified N-doped TiO<sub>2</sub> samples. Au NPs appear uniformly deposited onto the TiO<sub>2</sub> surface and their spatial density increased with increasing the Au nominal content. The mean Au inter-particles distance ( $d_{\text{Au-Au}}$ ) on TiO<sub>2</sub>, reported for three representative samples (Fig. 5b), followed the trend 1 wt.% Au/N-TiO<sub>2</sub> (6.0 ± 0.3 nm) > 5 wt.% Au/N-TiO<sub>2</sub> (5.4 ± 0.4 nm) > 10 wt.% Au/N-TiO<sub>2</sub> (3.4 ± 0.2 nm). We argue that the local fields generated by increasingly closer single plasmonic Au NPs can couple<sup>60</sup> and provide sufficient energy to enhance the excitation of TiO<sub>2</sub> charge carriers. Notably, the coupling effect is remarkable when the distance between two particles is as small as half of their diameter.<sup>61</sup> This would justify the different photocatalytic trends discussed for b- and N-TiO<sub>2</sub> samples when compared to w-TiO<sub>2</sub> composites. Due to their reduced band-gap, the electric field propagating from the plasmonic surface promotes electrons from the VB to defective states (b-TiO<sub>2</sub>) or from N-states to the N-TiO<sub>2</sub> CB. Such electrons are then consumed at the semiconductor surface by the reduction of O<sub>2</sub> (electron acceptor) dissolved in the reaction suspension under aerobic conditions,<sup>10</sup> yielding the superoxide radical anion O<sub>2</sub><sup>•-</sup>. FA oxidation may thus be induced either by the oxidizing species produced from O<sub>2</sub>



**Fig. 5** TEM images showing the increased Au NPs density for 1% and 10% Au/N-TiO<sub>2</sub> samples. (b) Mean Au inter-particles distance ( $d_{\text{Au-Au}}$ ) determined from the TEM images of the three representative samples 1, 5 and 10% Au/N-TiO<sub>2</sub>.

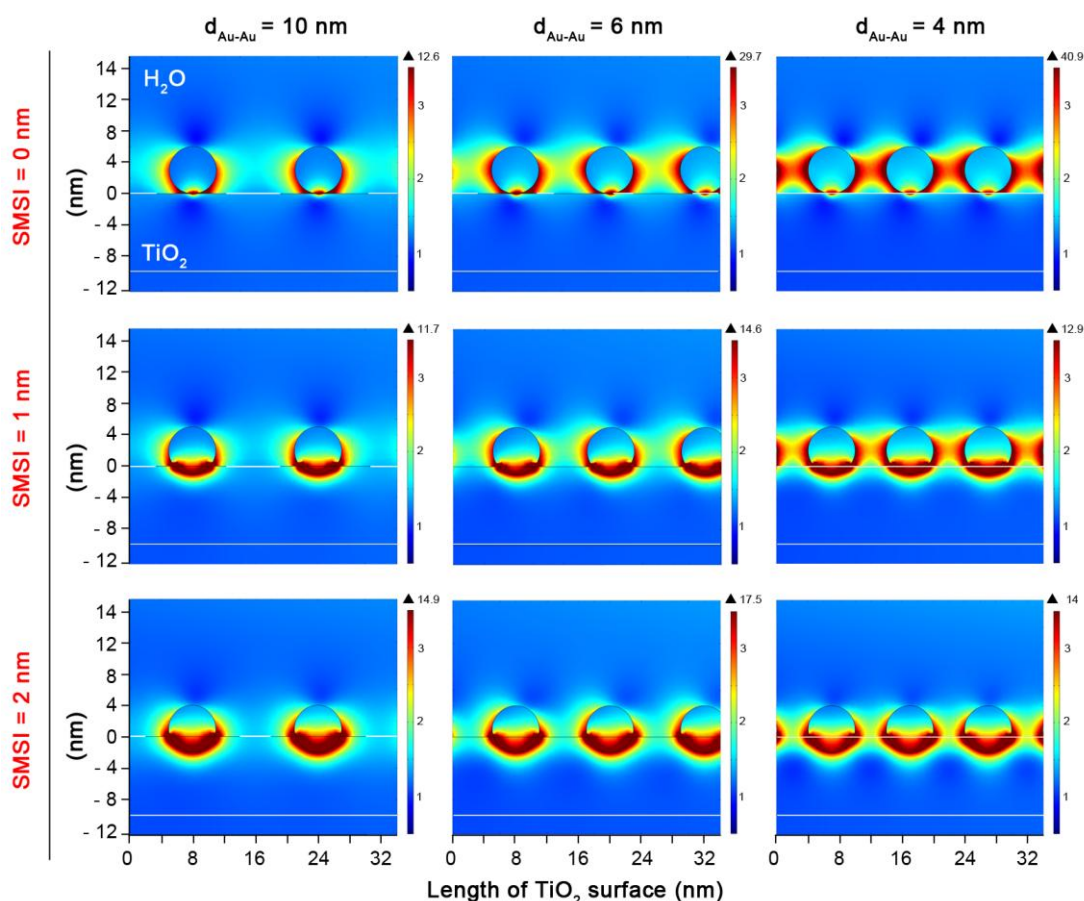


**Fig. 6** (a) Schematics of parameters used in FDTD simulations for the determination of the electric field enhancement. Au NPs size ( $d_{\text{Au}} = 6$  nm), Au interparticles distance ( $d_{\text{Au-Au}} = 10, 6$  and 4 nm), Au NPs embedding into TiO<sub>2</sub> (SMSI = 0, 1 and 2 nm). (b) HRTEM image of the 10% Au/N-TiO<sub>2</sub> sample, highlighting the embedding of Au NPs into TiO<sub>2</sub>. The coloured squares refer to the region where the Fast Fourier Transform (FFT) and inverse FFT reported in the inset were obtained.

reduction, or by holes generated both in the VB and in plasmonic Au.<sup>41</sup>

### 3.4 FDTD simulations

In order to validate the role of the PRET mechanism on the photocatalytic activity of the Au-modified doped-TiO<sub>2</sub>, we performed Finite-Difference Time-Domain simulations and focused on the effect of the electric field (E) generated by Au plasmons at the Au-TiO<sub>2</sub> interface. The Au-TiO<sub>2</sub> system, in the form of powder dispersed in aqueous suspension, does not consist of isolated TiO<sub>2</sub> NPs, each modified by a single Au NP. It should be rather modelled as TiO<sub>2</sub> submicrometer-sized aggregates (100–200 nm) (see Fig. 5a), where several Au NPs are deposited on. Thus, FDTD simulations were performed considering 100–200 nm TiO<sub>2</sub> slides modified by Au NPs with a fixed diameter ( $d_{\text{Au}} = 6$  nm). The increasing metal loading was simulated by progressively decreasing the Au NPs inter-distance (*i.e.*  $d_{\text{Au-Au}} = 10, 6, 4$  nm) (Fig. 6a). The experimental inter-distances obtained from TEM images shown in Fig. 5b



**Fig. 7** Plasmon-generated electric field intensity, computed by FDTD simulations, as a function of the Au inter-particles distance ( $d_{\text{Au-Au}}$ ).  $E$  was calculated along the solid/liquid interface, considering the Au/TiO<sub>2</sub> plasmonic composite immersed in H<sub>2</sub>O. In the simulations, Au NPs with a fixed diameter (i.e.  $d_{\text{Au}} = 6$  nm) and decreasing inter-distance (i.e.  $d_{\text{Au-Au}} = 10, 6, 4$  nm) were considered. In addition, three different geometries were modelled: Au NPs in contact with TiO<sub>2</sub> (SMSI = 0), Au NPs embedded into the TiO<sub>2</sub> slide for 1 (SMSI = 1 nm) and 2 nm (SMSI = 2 nm).

highlight that the proposed model accurately reproduces the Au-modified N- and b-TiO<sub>2</sub> series.

As a novel design parameter for the evaluation of the  $E$  enhancement in plasmonic Au/TiO<sub>2</sub> systems, we also took into consideration a well-accepted concept of traditional heterogeneous catalysis, i.e. the Strong Metal-Support Interaction (SMSI).<sup>56</sup> When metal NPs are deposited on oxide supports, the atoms at the metal/oxide interface form real chemical bonds that produce (i) a partial coverage of metal NPs by the oxide support or (ii) an embedding of metal NPs into the surface of the oxide materials (Fig. 6). These interactions are particularly strong in Au/TiO<sub>2</sub> composites.<sup>62,63</sup> For example, from the representative HRTEM image reported in Fig. 6b, embedding of Au NPs as deep as  $\sim 1.6$  nm is shown for the 10%Au/N-TiO<sub>2</sub> sample. This morphological feature, which at different extents was observed in all prepared samples, may potentially influence the intensity of the  $E$  generated at the Au/TiO<sub>2</sub> interface and, consequently, can affect the plasmon-driven photoactivity.

FDTD simulations were performed taking into account embedding depths = 0, 1 or 2 nm, as SMSI descriptor (Scheme 2). As Au NPs were progressively inserted into the TiO<sub>2</sub> surface, the profile of the  $E$  generated by LSPR excitation at the Au/TiO<sub>2</sub> interface (Fig. S9). Indeed, instead of a single-hot spot

contact (SMSI = 0 nm), two hot spots formed when SMSI = 1 or 2 nm. Hence, the extent of the TiO<sub>2</sub> region directly adjacent to Au NPs and influenced by the intense  $E$ , varied as a function of the Au NPs inclusion.

Figure 7 shows the spatial distribution of the  $E$  intensity at the solid/liquid interface, computed by FDTD simulations performed considering Au/TiO<sub>2</sub> immersed in H<sub>2</sub>O and by varying  $d_{\text{Au-Au}}$  ( $d_{\text{Au-Au}} = 10, 6$  and  $4$  nm). At  $d_{\text{Au-Au}} = 10$  nm, when Au NPs laid on the TiO<sub>2</sub> surface (i.e. SMSI = 0 nm, first row), the incident light was concentrated in a single spot at the Au/TiO<sub>2</sub> interface. More deeply in the simulated TiO<sub>2</sub> slide  $E$  was only slightly enhanced. When the  $d_{\text{Au-Au}}$  was varied from 10 nm to 4 nm (first row, from left to right), the  $E$  was around 40 times more intense within adjacent Au NPs. More interestingly, the progressive inclusion of Au NPs into the TiO<sub>2</sub> support led to a pronounced enhancement of the  $E$  intensity a few nm under the direct Au/TiO<sub>2</sub> contact. This phenomenon was progressively more evident as  $d_{\text{Au-Au}}$  decreased (Fig. 7). Indeed, when  $d_{\text{Au-Au}} = 4$  nm, the  $E$  enhancement extended as deep as 1, 4, and 6 nm into the TiO<sub>2</sub> support for SMSI = 0, 1, and 2 nm, respectively. Therefore, SMSI here reproduced by the partial embedding of Au NPs into the TiO<sub>2</sub> slide introduced a tremendous increase of the semiconductor volume where high intensity plasmon-generated  $E$  may enhance the rate of

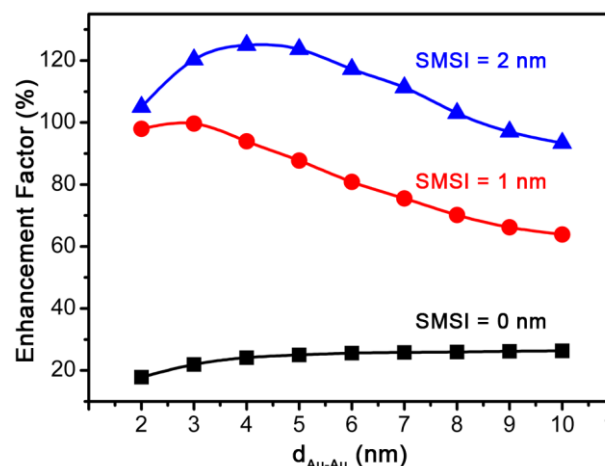
electron-hole pairs formation. In addition, Figure 7 clearly shows that the  $\text{TiO}_2/\text{H}_2\text{O}$  interface (where heterogeneous catalytic reactions typically occur) was also strongly affected by the Au NPs inclusion into the  $\text{TiO}_2$  support.

On the basis of the FDTD simulation results, the E Enhancement Factor was also calculated.<sup>27</sup> Since the rate of the incident photon absorption (and, therefore, that of electron-hole pairs generation) is proportional to the square of the plasmon-promoted E ( $|E|^2$ ), we integrated  $|E|^2$  over the length of the  $\text{TiO}_2$  film used in the simulations (*i.e.* 100–200 nm) and divided it over the integral of the squared incident E ( $|E_0|^2$ ) (for details see the Experimental Section). Figure 8 shows the EF % calculated for 6 nm-sized Au NPs, by varying the  $d_{\text{Au-Au}}$  inter-distance (with  $2 \text{ nm} < d_{\text{Au-Au}} < 10 \text{ nm}$ , and  $\Delta d_{\text{Au-Au}} = 1 \text{ nm}$ ) and for different Au NPs SMSI (0, 1, and 2 nm). For SMSI = 0 nm, EF was nearly constant, being around 20 % at all the considered  $d_{\text{Au-Au}}$  distances. Remarkably, for  $d_{\text{Au-Au}} = 4 \text{ nm}$  at higher SMSI, a 5- (SMSI = 1 nm) and 6-fold EF increase (SMSI = 2 nm) was computed with respect to that for Au NPs laying on the  $\text{TiO}_2$  surface (SMSI = 0 nm) (see Table S4). The maximum EF for SMSI = 2 nm was determined for  $d_{\text{Au-Au}} = 4 \text{ nm}$ , while the SMSI = 1 nm profile peaked at  $d_{\text{Au-Au}} = 3 \text{ nm}$ , that is very close to the experimental  $d_{\text{Au-Au}}$  (3.4 nm) observed for 10% Au/N- $\text{TiO}_2$ .

Since in both N- and b- $\text{TiO}_2$  series (*i.e.* those samples for which PRET mechanism is occurring) SMSI varied in the 1–2 nm range (Fig. 6b), the EF trend (Fig. 8) can be correlated with the plasmon-promoted photoactivity results (Fig. 4b). The monotonic increase in the photocatalytic reaction rate with increasing the Au amount in the b- and N- $\text{TiO}_2$  series was previously explained suggesting a synergistic mechanism involving both hot electron transfer and PRET. In principle, the defect states formed in the electronic structure of  $\text{TiO}_2$  upon  $\text{H}_2$ -thermal treatment (b- $\text{TiO}_2$ ) or N-doping (N- $\text{TiO}_2$ ) may negatively affect the charge carrier diffusion length.<sup>27,64–66</sup> However, in Au/ $\text{TiO}_2$  plasmonic composites, the Au NPs can couple light effectively from the far-field to the near-field within the minority carrier diffusion length. Consequently, most of the charge carriers created in the semiconductor by the strong plasmon-induced E (*i.e.* according to the PRET mechanism) will reach the  $\text{TiO}_2$  surface and contribute to the photocatalytic reaction.<sup>27</sup> This most likely accounts for the nearly monotonic increase of the plasmon-induced photoactivity of the Au/doped- $\text{TiO}_2$  samples with PRET becoming the dominant mechanism with respect to hot electron transfer in the high Au loading regime.

#### 4. Conclusions

The strong interaction between plasmonic nanoparticles and the semiconductor electronic levels strongly influences the mechanism of a plasmon-promoted photocatalytic reaction. Hot electrons can be efficiently transferred to the conduction band of stoichiometric  $\text{TiO}_2$  and promote photocatalytic reactions on the semiconductor surface. By contrast, N-doped and  $\text{V}_\text{O}$ -rich  $\text{TiO}_2$  promote FA photodegradation via both hot electron transfer and plasmon resonance energy transfer. This



**Fig. 8** Photocatalytic Enhancement factor of the plasmon-generated electric field for  $d_{\text{Au}} = 6 \text{ nm}$  diameter as a function of Au interparticle distance ( $d_{\text{Au-Au}}$ ) at different SMSI values.

mechanistic aspect was reflected into the different trends of FA degradation rate constants as a function of the Au loading observed for stoichiometric and doped  $\text{TiO}_2$ . With increasing the Au NPs loading, TEM images showed that the interparticle mean distance progressively decreased. FDTD simulation at different inter-particle distance and strong metal-support interaction between Au NPs and  $\text{TiO}_2$  support revealed that these parameters are important in the evaluation of the electric field enhancement. Au nanoparticles' coupling was shown to be progressively more efficient for increasingly closer Au NPs. When Au NPs were embedded in  $\text{TiO}_2$ , the electric field propagated a few nanometres below the semiconductor surface ensuring an extended region where charge carriers could be generated. FDTD simulations clearly demonstrated that the maximum enhancement can be obtained when  $d_{\text{Au-Au}}$  is 3–4 nm, for SMSI = 2 nm. This condition is well represented by the 10wt.% Au loaded N- and b- $\text{TiO}_2$  samples, with the former exhibiting the highest photoactivity at high metal loading. This validated our hypothesis to explain the observed photocatalytic activity trend. In the high Au loading regime PRET become the dominant mechanism with respect to hot electron transfer.

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