

1 ***In vitro* assessment of probiotics, blueberry and food carbohydrates to**  
2 **prevent *S. pyogenes* adhesion on pharyngeal epithelium and modulate**  
3 **immune responses**

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13 **Abstract**

14 Group A streptococci (GAS) cause 20-30 % of pediatric pharyngitis episodes, which are a major  
15 cause of ambulatory care visits. Therefore, a strategy to prevent GAS dissemination in children could  
16 significantly benefit public healthcare. Contextually, we assessed the possibility to employ alternative  
17 food-grade strategies to be used with the oral probiotic *L. helveticus* MIMLh5 for the prevention of  
18 pharyngeal infections. First, we demonstrated through an antagonism-by-exclusion assay that guaran  
19 may potentially prevent the adhesion on pharyngeal cells by *S. pyogenes*. Subsequently, we showed  
20 that the anthocyanin-rich fraction extracted from wild blueberry (BbE) exerts anti-inflammatory  
21 properties on the human macrophage cell line U937. Finally, we showed that the BbE reduces  
22 interferon- $\beta$  expression in MIMLh5-stimulated murine dendritic cells, resulting in the reduction of  
23 the pro-inflammatory cytokines IL-12 and TNF- $\alpha$ . In conclusion, this proof-of-concept study  
24 indicates that different food-grade strategies may be concomitantly adopted to potentially prevent  
25 GAS colonization and modulate local immune defenses.

26  
27 **Keywords:** *Lactobacillus helveticus* MIMLh5, blueberry, probiotic, functional food, *Streptococcus*  
28 *pyogenes*, pharyngitis, guar gum

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## 37 **1. Introduction**

38 Nose and oral cavity are the main gate of entrance for microorganisms from the external  
39 environment. The primary site for the potential colonization is then the pharyngeal mucosa, which  
40 is in fact a frequent location for bacterial and viral infections. To manage such risk, the host  
41 developed a complex system of immunological defenses, which are collectively known as the nasal-  
42 associated lymphoid tissue or Waldeyer ring (WR). The WR is mainly composed by lingual tonsil,  
43 adenoid, and palatine tonsils. The epithelium of palatine tonsils extends into a complicated network  
44 of invaginations constituting crypts, where molecules and microorganisms constantly diffusing  
45 from the oropharyngeal cavity meet a rich and abundant set of immune cells such as monocytes,  
46 macrophages and dendritic cells, which respond to stimuli affecting both local and systemic  
47 immunity <sup>1</sup>.

48 *Streptococcus pyogenes* is a beta-hemolytic bacterium of the Lancefield serogroup A that can  
49 efficiently elude the immune barrier of the WR. *S. pyogenes* may be the cause of a wide range of  
50 pathological conditions, ranging from local (e.g. pharyngitis; <sup>2</sup>) to invasive systemic infections (e.g.  
51 endocarditis; <sup>3</sup>). Notably, *S. pyogenes* is the most common bacterial etiological agent of acute  
52 pharyngeal infections especially in school-age children <sup>4,5</sup>, and it is, consequently, a primary reason  
53 for antibiotic prescription in the pediatric population <sup>6</sup>.

54 The use of live microorganisms for the prevention and treatment of pharyngeal infections (i.e.  
55 the probiotic approach) has been investigated, leading to the development of probiotic products  
56 already available on the market. The most successful example is represented by the BLIS products  
57 based on *Streptococcus salivarius* K12, a bacterium originally isolated from the oral cavity of a  
58 healthy child, demonstrated in several studies to colonize the oral cavity <sup>7</sup>, inhibit pathogenic  
59 streptococci through the production of the lantibiotic bacteriocin salivaricin <sup>8</sup>, and modulate the  
60 immune responses <sup>9</sup>. Another bacterium that has been selected as a probiotic candidate for the

61 pharyngeal mucosa is represented by the dairy strain *Lactobacillus helveticus* MIMLh5, which  
62 possesses the ability to antagonize *S. pyogenes*<sup>10</sup> and to modulate the immune response in epithelial  
63 cells<sup>10</sup>, macrophages<sup>11</sup> and dendritic cells<sup>12</sup> also independently from its viability, due to the  
64 presence of an abundant immune-active surface layer (S-layer) protein on the outer surface of the  
65 bacterium<sup>12</sup>.

66 In this study, we assessed the possibility to use alternative food-grade strategies for the  
67 prevention of pharyngeal infections, which can be adopted concomitantly to the use of the oral  
68 probiotic *L. helveticus* MIMLh5. Specifically, since *S. pyogenes* adhesion on epithelial cells *in vivo*  
69 is mediated by its capsule polysaccharide hyaluronate<sup>13</sup>, here we tested the ability of several food  
70 carbohydrates (*i.e.*, alginate, aloe, arabinogalactan, chitosan, guar gum, inulin, pectin, starch and  
71 xylan) to inhibit the adherence of this pathogen *in vitro* to FaDu pharyngeal cells. In addition, we  
72 investigated an anthocyanin (ACN)-rich wild blueberry extract, since this food component was  
73 demonstrated to exert anti-inflammatory properties on epithelial cells<sup>14</sup> and, therefore, could  
74 contribute to the management of inflammation occurring during the pharyngeal infections.

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## 76 **2. Material and methods**

### 77 *2.1 Food carbohydrates, bacterial strains and blueberry extract*

78 All carbohydrates used in antagonism experiments on FaDu cells were from Sigma-Aldrich  
79 (Steinheim, Germany) except for aloe extract, which was from ESI (Aloe Vera Esi Gel 99.9 %; ESI  
80 S.p.A., Albissola Marina, Italy). In the study, we used *Streptococcus pyogenes* C11, belonging to  
81 the emm-type 77, which was originally isolated from a pharyngitis patient. *S. pyogenes* was grown  
82 in Brain Heart Infusion broth (BHI; Difco, Detroit, USA) supplemented with 0.3 % yeast extract at  
83 37°C for 18-24 h. For the cultivation of the recombinant *S. pyogenes* strain C11<sup>LucFF</sup><sup>15</sup>, 5 µg ml<sup>-1</sup> of  
84 chloramphenicol was added to the medium. *Lactobacillus helveticus* MIMLh5 (from the Food

85 Microbiology culture collection of the Department of Food, Environmental and Nutritional  
86 Sciences, University of Milan) was cultivated in de Man, Rogosa and Sharpe medium (MRS;  
87 Difco) at 37°C overnight. The blueberry extract (BbE) used in the study was prepared as previously  
88 described<sup>14</sup> using a freeze-dried, powdered, *Vaccinium angustifolium* berries preparation  
89 standardized at 1.5 % total ACNs by FutureCeuticals (Momence, IL, USA)<sup>16,17</sup>. Specifically, the  
90 BbE corresponds to the ACN-fraction obtained and characterized as previously reported by  
91 Taverniti et al.<sup>14</sup>. The ACN-fraction was dissolved and stabilized in methanol acidified with 0.05  
92 mM HCl (MetOH:HCl). The BbE contained 14 different ACNs with malvidin and delphinidin  
93 glycosides as dominant compounds followed by cyanidin, petunidin and peonidin glycosides<sup>14</sup>.

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## 95 2.2 Experiments on FaDu cell layer

96 The human pharynx carcinoma cell line FaDu (from the American Type Culture Collection, ATCC  
97 HTB-43) was cultivated at 37°C in an atmosphere of 95 % air and 5 % carbon dioxide, until confluent  
98 monolayer was formed. The culture broth was Dulbecco's Modified Eagle's Medium (DMEM; Lonza,  
99 Basel, Switzerland), supplemented with 10 % (v/v) heat-inactivated (at 56°C for 30 min) fetal calf  
100 serum, 100 U ml<sup>-1</sup> penicillin, 100 µg ml<sup>-1</sup> streptomycin, 0.1 mM non-essential amino acids, 2 mM L-  
101 glutamine (Sigma-Aldrich).

102 Antagonism-by-exclusion assay was performed with the recombinant bioluminescent reporter  
103 strain *S. pyogenes* C11<sup>LucFF</sup>, which was previously developed by introduction of the reporter vector  
104 pCSS945, carrying a phage T5 promoter-lac operator upstream of the insect luciferase gene *lucGR*  
105<sup>15</sup>. In brief, the experiment consisted of an hour pre-incubation of the FaDu layer with 10 g l<sup>-1</sup> of the  
106 carbohydrates under study, followed by a washing step with PBS (pH 7.3) and 1-h incubation with  
107 approximately 2 × 10<sup>8</sup> *S. pyogenes* C11<sup>LucFF</sup> cells ml<sup>-1</sup> resuspended in PBS (bacterial concentration  
108 determined microscopically by means of Neubauer Improved counting chamber, Paul Marienfeld

109 GmbH & Co. KG). After two washes with PBS, D-luciferin (Sigma-Aldrich) was added at the  
110 concentration of 12.5  $\mu\text{M}$  (in citrate buffer, pH 5) and the luminescence signal was immediately  
111 measured with a Victor 3 luminometer (PerkinElmer, Monza, Italy).

112 For bacterial adhesion experiments, FaDu cells were grown as defined above on microscopy cover  
113 glasses following a previously described method<sup>18</sup>. In brief, FaDu layer was incubated for 1 h in PBS  
114 supplemented with 10 g l<sup>-1</sup> of the following carbohydrates: alginate, aloe, arabinogalactan, chitosan,  
115 guar gum, inulin, pectin, starch and xylan. In addition, hyaluronate, which constitutes the  
116 exopolysaccharide capsule of *S. pyogenes*, has been used as positive control (10 g l<sup>-1</sup>). Afterwards, the  
117 cell layer was gently washed (three times) with PBS and incubated for 1 h with approximately  $2 \times 10^8$   
118 cells of an overnight culture of *S. pyogenes* resuspended in PBS. Adherent bacteria and FaDu cells in  
119 10 randomly selected microscopic fields were counted and averaged; results were expressed as  
120 adhesion index, i.e. number of bacterial cells per 100 FaDu cells.

121

### 122 *2.3 Experiments with U937 cell line*

123 The U937 cells (from the American Type Culture collection, ATCC CRL-1593.2) were seeded at a  
124 density of  $5 \times 10^5$  cells well<sup>-1</sup> in 24-well plates and cultivated at 37°C in 5 % CO<sub>2</sub> humidified  
125 atmosphere in RPMI 1640 medium (Lonza) supplemented with 10 % (v/v) fetal bovine serum (FBS)  
126 (Gibco-BRL, Life Technologies, Milan, Italy), 2 mM L-glutamine, 100 U ml<sup>-1</sup> penicillin and 100  $\mu\text{g}$   
127 ml<sup>-1</sup> streptomycin (Sigma-Aldrich). Differentiation into adherent, non-replicative macrophage-like  
128 cells<sup>19,20</sup> was induced for 48 h by the addition of phorbol myristate acetate (PMA; Sigma-Aldrich)  
129 into the cellular medium at a final concentration of 0.1 mM. Afterwards, cells were washed once with  
130 sterile PBS buffer to remove all non-adherent cells. One hour before stimulation, the culture medium  
131 was replaced with RPMI 1640 supplemented with 1 % (v/v) FBS to enable cell adaptation.  
132 Lipopolysaccharide (LPS, final concentration 1  $\mu\text{g}$  ml<sup>-1</sup>) from *Escherichia coli* 0127:B8 (Sigma-

133 Aldrich) was used as pro-inflammatory stimulus. BbE was tested at three different concentrations (1,  
134 10 and 25  $\mu\text{g ml}^{-1}$ ). U937 cells were also treated with MetOH:HCl to evaluate the possible  
135 interference of the BbE solvent on U937 responses. In all tested conditions, the final concentration of  
136 MetOH:HCl was lower than 0.1 % (v/v). Two different experimental settings were used: 1 h of pre-  
137 incubation with LPS followed by BbE supplementation for 3 h, and 1 h of pre-incubation with the  
138 BbE followed by the treatment with LPS for 3 h.

139 After incubating macrophages for a total of 4 h (1 h preincubation + 3 h treatment) according to  
140 the two experimental settings described above, the supernatant was carefully removed from each well  
141 and the total cellular RNA was isolated from the adhered cells and converted to cDNA as previously  
142 described <sup>11</sup>. The expression levels of TNF- $\alpha$  gene was then analyzed with a quantitative PCR using  
143 SsoFast EvaGreen Supermix (Bio-Rad Italia, Segrate, Italy) on a Bio-Rad CFX96 system as  
144 previously described <sup>11, 12</sup>. Reference gene coding for glyceraldehyde-3-phosphate dehydrogenase  
145 was used to normalize gene expression. Gene expression levels are reported as the fold of induction  
146 (FOI) in comparison to the control (namely unstimulated U937 cells), to which we attributed a FOI  
147 of 1.

148

#### 149 *2.4 Experiments with murine bone marrow derived dendritic cells (BMDCs)*

150 All animals used as source of bone marrow cells were housed under conditions approved by the  
151 Danish Animal Experiments Inspectorate (Forsøgdyrstilsynet), Ministry of Justice, Denmark and  
152 experiments were carried out in accordance with the guidelines of ‘The Council of Europe  
153 Convention European Treaty Series 123 for the Protection of Vertebrate Animals used for  
154 Experimental and other Scientific Purposes’. Since the animals were employed as a source of cells,  
155 and that no live animals were used for the experiments, no specific approval was required for this  
156 study. Hence, the animals used for this study are included in the general facility approval for the

157 faculty of Health and Medical Sciences, University of Copenhagen. BMDCs were prepared as  
158 described previously<sup>21</sup>. Briefly, bone marrow from C57BL/6 mice (Tactonic, Lille Skensved,  
159 Denmark) was flushed out from the femur and tibia and washed. Bone marrow cells ( $3 \times 10^5$ ) were  
160 seeded into 10 cm Petri dishes in 10 ml RPMI 1640 (Sigma- Aldrich, St. Louis, MO, USA)  
161 containing 10 % (v/v) heat inactivated fetal calf serum supplemented with penicillin ( $100 \text{ U ml}^{-1}$ ),  
162 streptomycin ( $100 \text{ mg ml}^{-1}$ ), glutamine (4 mM), 50 mm 2-mercaptoethanol (all from Cambrex Bio  
163 Whittaker) and  $15 \text{ ng ml}^{-1}$  murine GM-CSF (harvested from a GM-CSF transfected Ag8.653  
164 myeloma cell line). The cells were incubated for 8 days at  $37^\circ\text{C}$  in 5 %  $\text{CO}_2$  humidified atmosphere.  
165 On day 3, 10 ml of complete medium containing  $15 \text{ ng ml}^{-1}$  GM-CSF was added. On day 6, 10 ml  
166 were removed and replaced by fresh medium. Non-adherent, immature DC were harvested on day  
167 8. Afterwards, immature DCs ( $2 \times 10^6 \text{ cells ml}^{-1}$ ) were resuspended in fresh medium supplemented  
168 with  $10 \text{ ng ml}^{-1}$  GM-CSF, and  $500 \mu\text{l well}^{-1}$  were seeded in 48-well tissue culture plates (Nunc,  
169 Roskilde, Denmark).

170 To prepare fresh cultures to be used in immunological experiments, bacterial cells from an  
171 overnight culture were collected, washed twice with sterile PBS, counted with Neubauer Improved  
172 counting chamber, and resuspended at known concentration in the same medium used to culture the  
173 BMDCs. *L. helveticus* MIMLh5 was tested at a multiplicity of infection (MOI) of 5. In co-  
174 incubation experiments, the BbE was used at the concentrations 1, 3 and  $10 \mu\text{g ml}^{-1}$ , and added the  
175 BMDCs 30 min before the addition of *L. helveticus*. When tested alone, the BbE was used at  
176 concentration of 5, 25 and  $50 \mu\text{g ml}^{-1}$ . Cell supernatants were harvested 20 hrs after bacterial  
177 stimulation and tested for cytokine concentration by sandwich ELISA duosets (RnD Systems,  
178 Abingdon, UK) according to the manufacturer's protocol. For the gene expression analysis,  
179 BMDCs were harvested for RNA extraction after 4 h. Total RNA was extracted using the  
180 MagMAX sample separation system (Applied Biosystems, Foster City, CA), including a DNase



181 treatment step for genomic DNA removal. RNA concentration was determined by Nanodrop  
182 (Thermo, Wilmington, DE). Then, 500 ng of total RNA was reverse transcribed by the TaqMan  
183 Reverse Transcription Reagent kit (Applied Biosystems, Foster City, USA) using random hexamer  
184 primers according to the manufacturer's instructions. The thermic cycle used for retrotranscription  
185 was: 10 min at 25° C, 120 min at 37° C, and 5 s at 85° C. The obtained cDNA was stored in  
186 aliquots at -80°C. Primers and probes were obtained as previously described<sup>22</sup>. The probes were  
187 labelled with the 5' reporter dye 6-carboxy-fluorescein (FAM) and the 3' quencher dye NFQ-MGB  
188 (Applied Biosystems). The amplifications were carried out in a total volume of 10 µl containing  
189 1×TaqMan Universal PCR Master Mix (Applied Biosystems), forward and reverse primer, TaqMan  
190 MGB probe and purified target cDNA (6 ng in reaction). The cycling parameters were initiated by  
191 20 s at 95° C, followed by 40 cycles of 3 s at 95° C and 30 s at 60° C using the ABI Prism 7500  
192 (Applied Biosystems). Amplification reactions were performed in triplicates, and DNA  
193 contamination controls were included. The amplifications were normalized to the expression of the  
194 β-actin encoding gene. Relative transcript levels were calculated applying the  $2^{(-\Delta\Delta CT)}$  method. Data  
195 were collected from three independent experiments carried out in duplicate.

196

### 197 *2.5 Statistical Analysis*

198 The experiments were carried out at least twice in duplicate. Unpaired Student's t-test or one-  
199 way analysis of variance (ANOVA) with Dunnet's post test were run for statistically significant  
200 differences.

201

## 202 **3. Results**

203 *3.1 S. pyogenes adhesion to FaDu hypopharyngeal carcinoma cell layer may be inhibited by food*  
204 *carbohydrates*

205 The ability of 10 different carbohydrates to inhibit *S. pyogenes* adhesion was studied by means of  
206 an antagonism-by-exclusion assay based on a three-component system consisting of the FaDu cell  
207 layer, the bioluminescent mutant *S. pyogenes* C11<sup>LucFF</sup>, and the selected carbohydrate molecule. In  
208 this experiment, bioluminescence reduction was measured to assess the potential ability of a  
209 carbohydrate to prevent epithelial layer colonization by the pathogen. All carbohydrates were tested  
210 at 10 g l<sup>-1</sup> since this concentration was found during the set-up of the experiment to determine about  
211 80 % reduction of the luminescence by hyaluronate (the polysaccharide that mediates *S. pyogenes*  
212 adhesion to epithelial cells<sup>13</sup>), ensuring its appropriateness as positive control. Besides hyaluronate,  
213 four other carbohydrates significantly modified the bioluminescence emitted by *S. pyogenes*;  
214 specifically, xylan and chitosan induced a significant increase, whereas guar and aloe gel  
215 determined a significant reduction of the relative luminescence units (RLU) of *S. pyogenes* C11<sup>LucFF</sup>  
216 (Fig. 1A).

217 Subsequently, to verify whether the ability of guar and aloe gel to inhibit bioluminescence was  
218 associated to the reduced adhesion of *S. pyogenes* to the FaDu cell layer, we performed adhesion  
219 experiments. The analysis was carried out counting at the optical microscope the number of adhered  
220 *S. pyogenes* cells after incubation of the FaDu layer with the carbohydrate. In specific, we tested the  
221 two potential inhibitory carbohydrates identified in the previous experiment (i.e. guar and aloe gel),  
222 a low-viscosity oligosaccharide (i.e. inulin) and a high-viscosity polysaccharide (i.e. alginate). We  
223 found that, in addition to the positive control hyaluronate, only guar significantly inhibited *S.*  
224 *pyogenes* adhesion. On the contrary, the reduction of pathogen's adhesion by aloe gel, although  
225 demonstrated to drastically reduce the bioluminescence, was not significant (Fig. 1B and 1C). In a  
226 separate set of adhesion experiments, we also ascertained that the blueberry extract used alone or in  
227 combination with guar did not affect the adhesion of *S. pyogenes* on FaDu cells (Data not shown).

228 Overall, these results suggest that the guar gum may potentially prevent pharyngeal epithelial

229 colonization by the pathogen *S. pyogenes*.

230

### 231 3.2 Blueberry extract possesses anti-inflammatory properties on U937 human macrophages

232 In the subsequent part of the study, we investigated the potential ability of the ACN-rich fraction  
233 from freeze-dried wild blueberry to prevent an inflammatory response. To this aim, we used an  
234 immunological model based on PMA-differentiated U937 human macrophages, stimulated with *E.*  
235 *coli* LPS molecule to induce the pro-inflammatory response. The presence of MetOH:HCl, which  
236 was used to solubilize the blueberry extract (BbE), had only a slight effect on TNF- $\alpha$  expression  
237 level induced by LPS (Fig. 2). Specifically, the BbE was added to U937 cells both before or after  
238 the treatment with the inflammatory stimulus LPS. We found that the highest concentration tested  
239 of BbE (25  $\mu\text{g ml}^{-1}$ ) reduced the LPS-induced induction of TNF- $\alpha$  gene expression; however, the  
240 statistical significance was reached only when U937 cells were pre-stimulated with the BbE  
241 ( $p < 0.05$ ), whereas only a trend towards a reduction of the inflammatory induction ( $p = 0.085$ ) was  
242 observed when U937 cells were treated with the BbE after LPS stimulation (Fig. 2).

243 Overall, these results indicate that the ACN-rich fraction extracted from freeze-dried wild  
244 blueberry (i.e. the BbE) exerts anti-inflammatory activity on macrophages *in vitro*.

245

### 246 3.3 Blueberry extract affects the *L. helveticus* MIMLh5 effects on dendritic cell

247 In the last set of experiments, we tested the immunomodulatory properties of the BbE on BMDCs in  
248 presence of the immune-active probiotic strain *L. helveticus* MIMLh5. Specifically, BMDCs were  
249 co-stimulated with MIMLh5 cells (MOI 5) and increasing BbE concentrations, and the resulting  
250 cytokine production was assessed. MIMLh5 alone resulted in 3.5  $\text{ng ml}^{-1}$  of TNF- $\alpha$  and 250  $\text{pg ml}^{-1}$   
251 of IL-12. MIMLh5 alone or in combination with BbE did not induce the proinflammatory IL-1 $\beta$  in  
252 the BMDCs (data not shown). The addition of the BbE determined a significant reduction of both

253 TNF- $\alpha$  and IL-12 at the highest tested concentrations (Fig. 3A). Particularly, we observed a strong  
254 reduction of the MIMLh5-induced production of the pro-inflammatory cytokine IL-12 (about -89  
255 %) when 50  $\mu\text{g ml}^{-1}$  of BbE were used (Fig. 3A).

256 It was previously shown that upon stimulation of BMDCs with various lactobacilli, IL-12 can be  
257 primarily induced indirectly through a transient up-regulation of IFN- $\beta$  around 4-6 h after addition  
258 of bacteria, which in turn stimulates the induction of IL-12 and to a lesser extent TNF- $\alpha$  by binding  
259 to the IFN activating receptor (IFNAR) <sup>23</sup>. To study how addition of the blueberry extract affected  
260 the IL-12 inducing pathway, we harvested cells after 4 h of stimulation and extracted RNA for RT-  
261 PCR analysis of *Ili2* and *Inf $\beta$*  expression. At 4 h of stimulation, the expressions of both *Inf $\beta$*  and  
262 *Ili2* was affected only by the addition of 50  $\mu\text{g ml}^{-1}$  BbE (Fig. 3B).

263 Overall, these experiments demonstrate that the ACN-rich fraction extracted from freeze-dried  
264 wild blueberry reduced the expression of the cytokine IFN- $\beta$  in murine bone marrow-derived  
265 dendritic cells that have been stimulated by *L. helveticus* MIMLh5, and that this in turn leads to a  
266 reduction of the pro-inflammatory cytokines IL-12 and TNF- $\alpha$ .

267

#### 268 **4. Discussion**

269 Pharyngitis is a major cause of pediatric ambulatory care visits and often leads to antibiotic  
270 prescription <sup>24</sup>. Up to 20 % of children are carriers of group A streptococci (GAS) <sup>24</sup>, which are  
271 estimated to cause 20 % to 30 % of acute pharyngitis episodes in this population. Therefore, a  
272 strategy to prevent GAS dissemination in children could result in significant benefits for public  
273 health care. Contextually, in this study we tested the *in vitro* effectiveness of food-grade strategies  
274 that can be potentially used together to prevent pharyngeal infections and, specifically, GAS  
275 colonization of the oropharyngeal mucosa. Particularly, we focused on strategies that can be  
276 compatible with food applications, since food products may be consumed on a larger scale than

277 pharmaceutical formulations.

278 The first step of colonization of the oropharyngeal mucosa by *Streptococcus pyogenes* includes  
279 the recognition of the hyaluronate bacterial capsule by CD44 proteins exposed on the outer surface  
280 of oral epithelial cells<sup>5</sup>. Accordingly, *in vivo* and *in vitro* experiments supported the potential  
281 efficacy of disrupting the interaction between the *S. pyogenes* hyaluronic acid capsule and CD44 to  
282 prevent pharyngeal infection<sup>13</sup>. Contextually, the initial part of the present study was devoted to the  
283 selection of an edible carbohydrate possessing the ability to antagonize *S. pyogenes* colonization  
284 similarly to hyaluronate. Out of nine different carbohydrates tested, only aloe gel and guar gum  
285 demonstrated a significant inhibition of *S. pyogenes* colonization *in vitro* on FaDu cell layer,  
286 assessed by determining intracellular ATP as a measure of bacterial cell wellbeing. Nonetheless,  
287 only guar could significantly inhibit *S. pyogenes* adhesion, indicating that plausibly the observed  
288 intracellular ATP reduction induced by aloe gel was due to the toxic activity of the extract on the  
289 bacterial cells rather than the preclusion of pathogen adhesion.

290 Guar gum, also called guaran, is a polysaccharide composed of the sugars galactose and  
291 mannose, which is obtained from the maceration of the seed of plants *Cyamopsis tetragonoloba*  
292 (Linne) Taub. or *Cyamopsis psoraloides* (Lam.) D.C. Guar gum is an ingredient that meets the  
293 specifications of the "Food Chemicals Codex" (3<sup>rd</sup> edition, 1981, page 141) and has been generally  
294 recognized as safe (GRAS) by the U.S. Food and Drug Administration under the provisions of the  
295 Code of Federal Regulations (title 21 CFR 121.101). In Europe, the Food Safety Authority (EFSA)  
296 stated that "*there is no safety concern for the general population at the refined exposure assessment*  
297 *of guar gum (E 412) as a food additive*"<sup>25</sup>. In this study, we used a concentration of guar of 10 g  
298 per liter, which is compatible with the use in several food matrices, from bread to yogurt or fruit  
299 juice<sup>26, 27, 28</sup>.

300 Increasing evidence suggests the ability of plant polyphenols to affect the immune regulation<sup>29</sup>.

301 For instance, we recently demonstrated the ability of an anthocyanin-rich extract from wild  
302 blueberry to exert anti-inflammatory activity on intestinal epithelial cells *in vitro*<sup>14</sup>. Nonetheless,  
303 the ability of food polyphenols to modulate immune responses *in vivo* can be greatly hindered at  
304 intestinal level by limited diffusion through the mucin layer, reduced absorption, and rapid  
305 degradation by intestinal microorganisms. On the contrary, the same bioactive polyphenols may  
306 plausibly have much increased chances to interact with the immune system at oral and pharyngeal  
307 level, where immune cells, such as macrophages and dendritic cells, may come into direct contact  
308 with the food molecules in structures specifically evolved for this purpose, such as the tonsillar  
309 crypts. The immune system associated to the oral cavity and the pharynx, in fact, is continuously  
310 challenged by antigens from air and food and has the primary role of avoiding pathogen entry while  
311 preserving immune homeostasis<sup>30</sup>. Therefore, plausibly, specific food components may activate  
312 and modulate the immune responses occurring at the WR. Contextually, in this study we tested the  
313 ability of the same anthocyanin-rich blueberry extract previously used on intestinal epithelial cells  
314<sup>14</sup> to exert immunomodulatory properties also toward antigen presenting cells. Specifically, here we  
315 used both macrophage and dendritic cell models because macrophages are directly involved in a  
316 rapid resolution of local inflammation, whereas dendritic cells are specialized antigen presenting  
317 cells, which can convert a local response into a systemic effect by differently activating T cells  
318 populations depending on the stimuli they received and processed<sup>31</sup>. Consequently, the  
319 anthocyanin-rich blueberry extract (BbE) was used to stimulate PMA-treated U937 cells, which  
320 were employed as an *in vitro* model of macrophages. U937 cells were treated with BbE before the  
321 addition of the pro-inflammatory stimulus LPS to investigate a possible preventive role towards an  
322 incoming inflammatory agent (inflammation-preventing experiment); in addition, the experiment  
323 was also performed supplementing the BbE after LPS stimulation to assess the potential anti-  
324 inflammatory activity (inflammation-reducing experiment). The obtained results evidenced the

325 ability of the BbE to reduce the LPS-dependent expression of TNF- $\alpha$ , a cytokine induced within the  
326 NF- $\kappa$ B pathway and involved in inflammatory responses<sup>32</sup>; nonetheless, the statistical significance  
327 was reached only in the inflammation-preventing experiment. Reportedly, anti-inflammatory  
328 properties have been shown for different categories of polyphenols. For instance, the pretreatment  
329 of BV-2 microglial cells *in vitro* with a blueberry extract before LPS stimulation showed a  
330 concentration-dependent reduction in TNF- $\alpha$  release<sup>33</sup>. Our results are also in accordance with  
331 those by Pergola et al.<sup>34</sup> who demonstrated that a 2 h pre-treatment with an anthocyanin fraction  
332 from blackberry extract before LPS stimulation could inhibit iNOS protein expression in murine  
333 J774 macrophage cell line. Furthermore, it was reported that supplementation with a grape powder  
334 extract reduced the LPS-induced production of inflammatory cytokines in U937 macrophages<sup>35</sup> and  
335 a proanthocyanidin-rich cranberry fraction decreased the LPS-induced cytotoxicity in macrophages  
336 and oral epithelial cells<sup>36</sup>. Finally, we recently documented that the supplementation with an ACN-  
337 rich fraction, single ACNs and their gut metabolites were able to reduce lipid accumulation in THP-  
338 1 derived macrophages<sup>37</sup> and to counteract their adhesion to endothelial cells in a TNF- $\alpha$   
339 stimulated pro-inflammatory environment<sup>38</sup>.

340 In this study, we also assessed the immunomodulatory potential of the anthocyanin-rich BbE on  
341 mouse bone marrow-derived dendritic cells (DCs). DCs constitute a link between the innate and  
342 adaptive immunity, since they act as professional antigen-presenting cells (APCs). This function is  
343 crucial in initiating the adaptive immune response, as T cells do not respond to free antigens but  
344 only to antigens that are presented by APCs. Several dendritic cells subsets have been identified in  
345 tonsils, where they can play different roles in regulating immunity or tolerance to antigenic material  
346 coming from the mouth and the nose<sup>39</sup>. It has been proposed that DCs in the tonsils should mainly  
347 possess the default role to maintain tolerance/ignorance towards the numerous harmless food and  
348 microbial antigens coming from the nasopharynx<sup>40</sup>. An exacerbated activation toward harmless

349 stimuli would determine the enhanced production of pro-inflammatory cytokines such as TNF- $\alpha$   
350 and IL-12, leading to (low-grade) inflammation, which has been associated with susceptibility to  
351 infection <sup>41, 42</sup>. In this context, we can speculate that the observed properties of the blueberry  
352 preparation are potentially beneficial, since BbE does not trigger the production of pro-  
353 inflammatory cytokines by DCs and, notably, gives rise to a more balanced/less stimulatory  
354 cytokine profile in combination with MIMLh5, and mitigates the stimulatory attitude of *L.*  
355 *helveticus* MIMLh5 toward TNF- $\alpha$  and IL-12 in DCs. *L. helveticus* MIMLh5 was included in this  
356 study since it is a bacterial strain that was selected in previous studies for its ability to efficiently  
357 adhere on FaDu epithelial cell line <sup>10, 11</sup> and antagonize *S. pyogenes* on FaDu and HaCat  
358 keratinocyte in *in vitro* models <sup>10</sup>. In addition, this strain was shown to inhibit IL1 $\beta$ -induced  
359 activation of NF- $\kappa$ B in FaDu human pharyngeal cells <sup>10</sup> and Caco-2 human intestinal epithelial  
360 layer <sup>12</sup>, and attenuate LPS-induced TNF- $\alpha$  gene expression in U937-derived human macrophages  
361 <sup>12</sup>. On the other hand, *L. helveticus* MIMLh5 was demonstrated to trigger the secretion of TNF- $\alpha$   
362 and IL-2 once in contact with murine BMDCs <sup>10</sup>.

363 It was reported that elderberry fruit extracts may increase the ability of *L. acidophilus* NCFM to  
364 induce interferon (IFN)- $\beta$  production by BMDCs <sup>43</sup>. Inspired by this research, here we investigated  
365 the effect of BbE on the stimulatory potential of MIMLh5 strain on BMDCs. However, in contrast  
366 to the results presented by Frøkiær et al. <sup>43</sup>, we found that the BbE slightly but significantly reduced  
367 the ability of MIMLh5 to induce the expression of IFN- $\beta$  by BMDCs, which may explain the  
368 reduced production of IL-12 as well as TNF- $\alpha$ . The different results can be plausibly due to  
369 different content in extracts which must be expected as other plant materials were used here <sup>43</sup>.

370

## 371 **Conclusions**

372 In this study, we demonstrated that different food-grade strategies may be potentially adopted to



373 prevent infections and inflammation of the pharyngeal mucosa. The proposed strategies can be  
374 employed in a food formulation (e.g. candy lozenge), whose functional ingredients may potentially  
375 prevent GAS colonization (through the effect of guar gum and MIMLh5 probiotic cells) and  
376 modulate local immune defenses (through the activity of MIMLh5 probiotic cells and blueberry  
377 extract). Such food preparations may be particularly effective for the protection of the pharyngeal  
378 mucosa and easily acceptable in school-age children. Nonetheless, this was a proof-of-concept  
379 study, and further investigations, both *in vitro* and *in vivo*, are needed to define, primarily, the most  
380 proper concentrations of polysaccharide, probiotic and berry extract to use in combination.

381

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### 385 **Conflict of interest**

386 There are no conflicts of interest to declare.

387

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- 509

510 **Figure legends**

511 **Fig. 1. Effect of food carbohydrates on *S. pyogenes* colonization of FaDu hypopharyngeal**  
512 **epithelial cell layer. A.** Antagonistic exclusion activity of carbohydrates against bioluminescent  
513 *Streptococcus pyogenes* C11<sup>lucFF</sup> on FaDu cells. Data are reported as percentage variation of light  
514 emission (RLU, relative luminescence units) as referred to the cell layer treated with only PBS  
515 (control) before incubation with *S. pyogenes*. Data are represented as Tukey box and whiskers and  
516 derive from at least three independent experiments performed in duplicate. **B.** Adhesion of *S.*  
517 *pyogenes* C11 to FaDu cell layer after treatment of the cell layer with carbohydrates. Data are  
518 expressed as adhesion index (i.e. number of adhered bacteria per 100 FaDu cells) and represent the  
519 mean (+ standard deviation) of at least two independent experiments conducted in duplicate. **C.** *S.*  
520 *pyogenes* C11 adhesion to FaDu cell monolayers as observed with Giemsa staining under a light  
521 microscope at 1000x magnification. Statistically significant differences compared to control are  
522 according to unpaired Student's *t*-test (\*\*\*  $P < 0.001$ ; \*  $P < 0.05$ ).

523 **Fig. 2. Effect of the blueberry extract on U937 macrophages.** Quantitative analysis of TNF- $\alpha$   
524 gene expression in U937 cells stimulated for 4 h with the blueberry extract (BbE). Expression levels  
525 of TNF- $\alpha$  gene are indicated as fold of induction (FOI) relative to the control (unstimulated U937  
526 cells), which was set at a value of 1. LPS was used at a concentration of 1  $\mu\text{g ml}^{-1}$ . LPS+Met:HCl  
527 refers to U937 cells stimulated with LPS supplemented with methanol + 0.05 mM HCl  
528 (MetOH:HCl) at a concentration corresponding to the concentration used for BbE samples. The  
529 BbE was tested at the concentrations of 25, 10 and 1  $\mu\text{g ml}^{-1}$ . MetOH:HCl was added in all samples  
530 to reach the concentration present in the highest quantity of BbE used. 1<sup>st</sup> stimulation refers to 1-h  
531 pre-stimulations of U937 cells; 2<sup>nd</sup> stimulation refers to 3-h stimulation of U937 cells. Data from at  
532 least three independent experiments are reported as mean (+ standard deviation). Horizontal square  
533 brackets indicate statistically significant differences (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ) according to unpaired

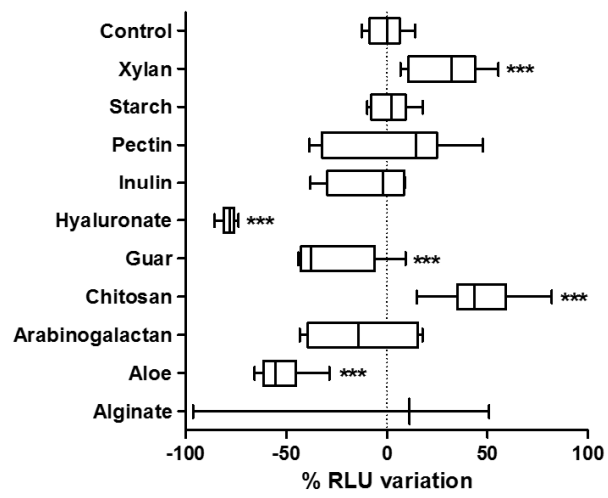
534 Student's *t*-test; p values between 0.05 and 0.1 are also indicated.

535 **Fig. 3. Modulation of the cytokine production in murine bone marrow derived dendritic**  
536 **cells. A.** Murine bone marrow derived dendritic cells (BMDCs) stimulated for 30 min with BbE  
537 before the addition of media or *Lactobacillus helveticus* cells at a multiplicity of infection (MOI) of  
538 5, with subsequent 20-h stimulation and harvest of cell supernatant. The BbE was tested at the  
539 concentrations of 0, 1, 10 and 50  $\mu\text{g ml}^{-1}$ . Methanol + 0.05 mM HCl (MetOH:HCl) was added in all  
540 samples to reach the concentration present in the highest quantity of BbE used. Cytokine  
541 concentrations were measured in the supernatant and expressed in relative values. **B.** BMDCs were  
542 harvested 4 h after addition of bacteria and RNA extracted for gene expression analysis by RT-  
543 qPCR. FOI, fold of induction. Data from three independent experiments conducted in duplicate are  
544 reported as mean +/- standard deviation. Asterisks indicate results from stimulation with MIMLh5  
545 and BbE that are statistically different from cells only stimulated with MIMLh5 (\*,  $P < 0.05$ ; \*\*,  
546  $P < 0.01$ , \*\*\*,  $P < 0.001$ ) according to one-way ANOVA with Dunnet's post test.

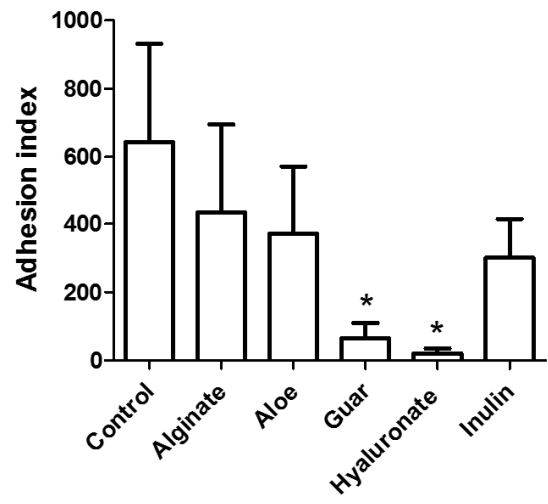
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Fig. 1

A

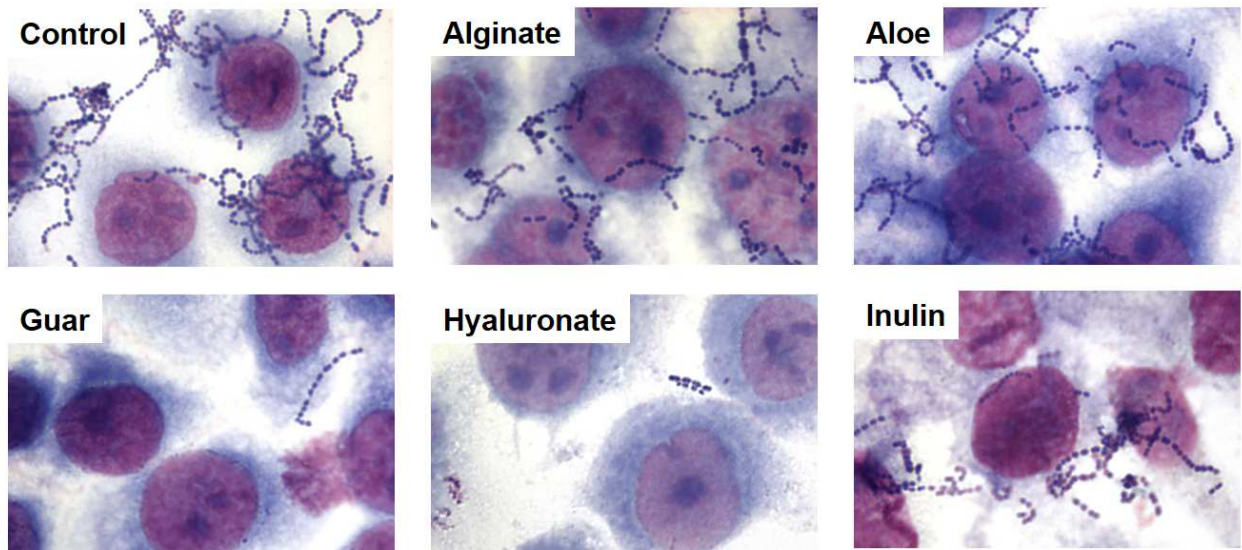


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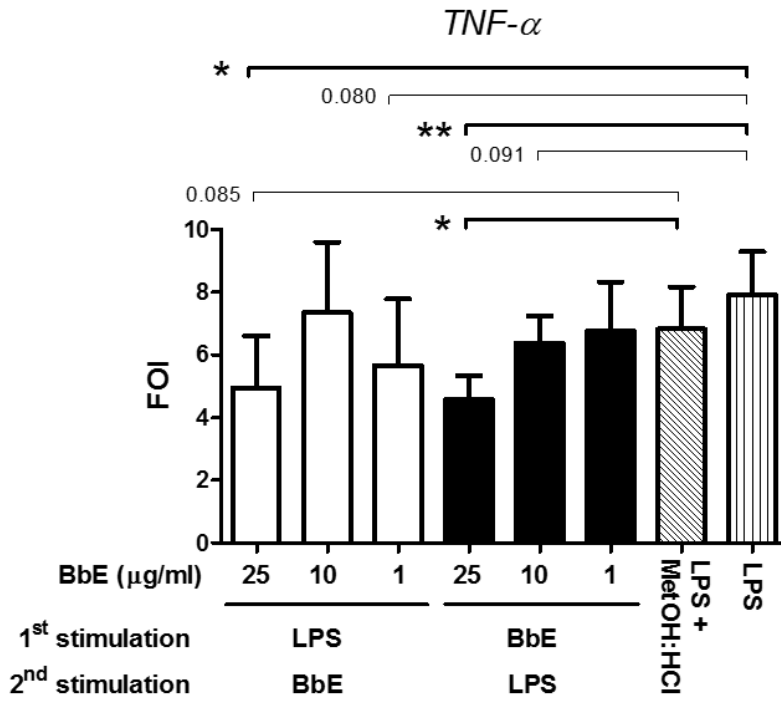
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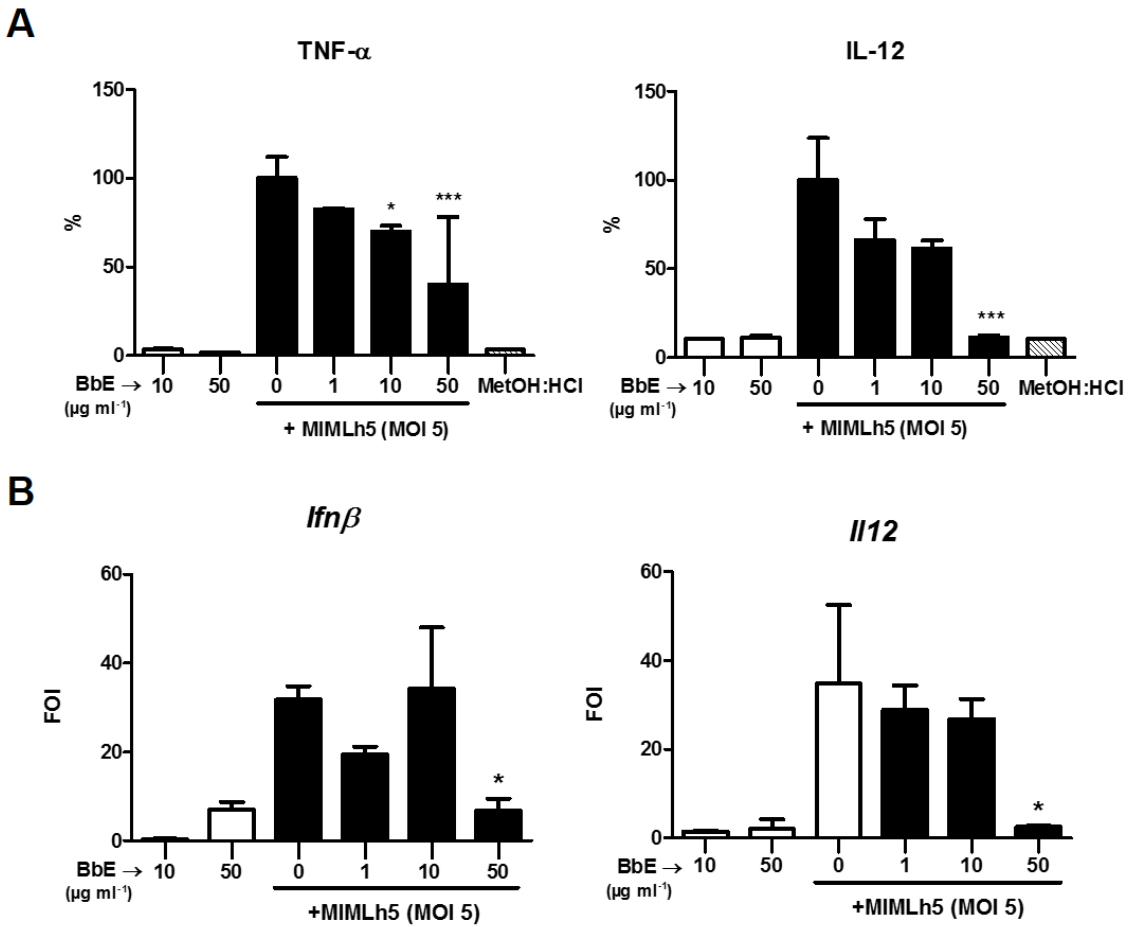
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**Fig. 2**



551

**Fig. 3**



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