



An overview on the organocatalytic aza-benzoin 2

condensation reactions 3

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11 Abstract: The N-heterocyclic carbenes (NHCs) catalyzed aza-benzoin condensation reaction is an 12 efficient, single step strategy which employs easily available substrates such as aldehydes and 13 imines to provide α -amino ketones. The multi-functionality and high reactivity of α -amino ketones 14 make these structures attractive for medicinal chemistry and as precursors of a variety of amine 15 derivatives. The different electrophilic character of aldehydes and imines ensures a high 16 regioselective reaction. Enantiomerically enriched α -amino ketones have been synthesized through 17 stereoselective couplings promoted by chiral N-heterocyclic carbenes. One-pot domino procedures

18 including an aza-benzoin step allow access to valuable complex molecules.

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Review

20	Keywords:	aza-benzoin	condensation;	N-heterocyclic	carbene;	alpha-aminoketone;
21	organocatalysis, imine, bis(amino)-cyclopropenylidene					
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23 1. Introduction

24 a-Amino ketones are widespread structural moieties common to both natural and synthetic 25 significant compounds in medicinal chemistry (Figure 1) [1-4].

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29 Figure 1. Synthetic and natural biologically active α-amino ketones.

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They are largely employed as building blocks for the preparation of a large number of molecules and in particular 1,2-aminoalcohols, and vicinal diamines (Scheme 1), important motifs in many pharmaceutical compounds and widely applied as chiral auxiliaries and ligands in the field of asymmetric synthesis [5,6]. Moreover, they are precursors in the preparation of many heterocycles [7-11] and smoothly undergo nucleophilic addition reactions to give a variety of derivatives [12].



37 38

39 Scheme 1. Synthetic potential of α-amino ketones.

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41 Numerous synthetic routes to α -amino ketones are reported in the literature. However, these 42 methods involve longer multistep transformations starting from functionalized reactants such as 43 α -azido ketones [13], α -nitro ketones [14] or α -amino acids [12, 15].

44 The aza-benzoin condensation reaction, strictly related to the well-known benzoin 45 condensation reaction, represents the more straightforward approach to α -amino ketones and occurs 46 with 100% atom economy.

47 In its most general form, the aza-benzoin condensation reaction, firstly reported in 1988 [16] is a 48 N-heterocyclic carbene (NHC) catalyzed coupling between an aldehyde and an activated imine 49 (Scheme 2). The mechanism of this process, in analogy with the benzoin condensation, envisages the formation of a nucleophilic NHC II from azolium salt I, under basic conditions. Its addition to 50 51 aldehyde followed by proton transfer generates an acyl anion equivalent III known as 52 Breslow-Intermediate, thus causing a reversal of the original electrophilic carbonyl reactivity, 53 universally known as umpolung (dipole inversion). The acyl anion equivalent can be stabilized by 54 the π -back-donation of the carbanion onto the empty p_z orbital of the carbene atom giving rise to 55 hydroxy-enamine-type Breslow Intermediate (Scheme 2).

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X = S' NR²; Y = CR³, N; R = Alkyl¹, Aryl; PG = protecting group

a¹ = electron acceptor synthon (positively polarized carbon atom); d¹ = electron donor synthon (negatively polarized carbon atom) The superscript indicates that the carbon atom C⁻1 of the functional group itself is the reacting one as a d¹⁻ or a¹⁻synthon.

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59 Scheme 2. Catalytic cycle of the aza-benzoin condensation

60 The nucleophilic attack of Breslow Intermediate to the electrophilic imine, a second proton 61 transfer step and subsequent elimination furnish the condensation product at the same time 62 regenerating the catalyst. The wide choice of chiral azolium salts reported in the literature allows 63 access to the asymmetric version of the reaction affording enantioenriched α-amino ketones [17]. 64 Besides NHCs also bis(amino)-cyclopropenylidenes (BACs) have been successfully applied as 65 umpolung promoting species [18].

66 Recently, increased attention has been turned to the nature of the nucleophilic partner 67 including acylsilanes as acyl donors.

68 The scope of this review article is to provide an overview of the advances in chemoselective 69 aza-benzoin condensation reactions, covering methods to both racemic and enantiomerically 70 enriched α-amino ketones. The synthesis of more complex molecules *via* tandem reactions which 71 involve an aza-benzoin coupling step is also described.

At last, the synthesis of a selected pharmaceutical candidate which employ the aza-benzoin condensation as the key reaction of the process is considered.

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76 2. Chemoselective aza-benzoin condensation reactions

The major issue to successfully execute the aza-benzoin condensation reaction is the requirement that the whole process should evolve under kinetical control, where the imine is more reactive towards Breslow Intermediate than a second molecule of aldehyde, but less reactive if compared to aldehyde with the NHC catalyst.

Activated imines (Figure 2) are often employed in organic chemistry as equivalents of carbonyl
 compounds in reactions with a wide array of nucleophilic reagents [19-21].

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JL_R ²	ų_R²	
$N^{\Gamma}R^{2}$	N∕° ©O	N R ²
11		
$H(R^1)$	$R \frown H(R^1)$	$R \frown H(R^1)$
()	()	()

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- 85

86 Figure 2. Some activated imines.

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88 Compared to the controlled cross-acyloin reaction between two different aldehydes [22], the 89 use of imines as acyl anion acceptors is advantageous due to the difference in electrophilicity 90 between aldehydes and imines and to the possibility of further finely adjusting imine reactivity 91 because of the trivalency of nitrogen. The choice of the imine protecting group is critical. In fact, the 92 carbene addition to activated N-tosyl and N-phosphinovl imines to give a stable nitrogen analogues 93 of Breslow Intermediate that could stop the catalytic cycle, has been found [23]. However, recent 94 studies have shown that a fast dissociation-recombination process of the carbene/iminium ion pair 95 takes place in the presence of an acid catalyst (Scheme 3) [24-25].





97 Scheme 3. Fast dissociation-recombination of aza-Breslow intermediate.

R^

99 Arylsulfonylamides and tert-butyl- or benzyl aryl(tosyl) carbamates have been widely 100 employed as imine precursors thank to their simple preparation, high stability and to the aptitude to 101 provide in situ the reactive imines under mild conditions. Moreover, the introduction of 102 alkoxycarbonyl functionalities (e.g. Boc or Cbz) as activating groups allows to obtain the final amino 103 derivatives which can be easily deprotected.

104 The substrate scope is presently limited to aldimines deriving from aryl or heteroaryl 105 aldehydes. Conversely, imines obtained from aliphatic aldehydes undergo decomposition or 106 tautomerization to the more stable enamine derivatives and their use has not yet been realized 107 (Scheme 4).

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109

110 Scheme 4. Imine/enamide equilibrium.

111 On the other hand, ketimines are of particular interest because they serve as precursors of 112 tetrasubstituted carbon atoms. However, their utilization have proved to be more challenging due to 113 their poor reactivity.

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115 3. Methods to racemic α-amino ketones

116 3.1 Use of N-heterocyclic carbenes

117 Murry and co-workers envisioned to carry out the coupling of N-benzylidene 118 cyclohexanecarboxamide, slowly generated *in situ* from the parent α -amido sulfone by elimination 119 of sulfinic acid in order to ensure catalyst turnover, with 4-pyridinecarboxaldehyde in the presence 120 of commercially available thiazolium salt **I-1** and triethylamine (Scheme 5). Under these conditions 121 the corresponding amino ketone was obtained with 98% yield [26].



4 of 22

Commento [D1]: enamide

123 Scheme 5. Murry and co-workers selected examples of aza-benzoin condensation between 124 acylimines and aldehydes.

125 The reaction displays a wide scope with respect to the aldehyde. It is noteworthy that 126 α ,β-unsaturated cinnamaldehyde, under these reaction conditions, did not undergo 1,4-addition and 127 also aliphatic acetaldehyde reacts although in moderate yield (Scheme 5).

128 The process is tolerant also to the amide portion of the tosylamide. However, tosylamides 129 deriving from aliphatic aldehydes bearing an α -proton failed to generate the corresponding 130 acylimines likely due to the aptitude of these compounds to isomerize to enamides.

131 Cross-over experiments highlighted that the reaction is under kinetic control and that the 132 corresponding benzoins are not observed and do not serve as substrates.

Subsequently, Murry disclosed the application of his methodology to a novel one-pot synthesis
of highly functionalized imidazoles, an important class of heterocycles widespread in natural
products and in medicinal chemistry (Scheme 6).



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^a Product isolated by crystallization from the crude product mixture. b Product isolated by chromatography

138 Scheme 6. Murry and co-workers selected examples of one-pot synthesis of imidazoles.

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140 The addition of an appropriate amine and acetic acid to the reaction mixture of the α -amino 141 ketone intermediate followed by heating to reflux provided the ring closure to imidazole. Moreover, 142 chiral imidazoles can be prepared starting from chiral amines or amino acids. It is noteworthy that 143 tetra substituted imidazoles, difficult to obtain by other routes, can be synthesized with moderate to 144 good yields by this methodology. This approach allows access also to substituted oxazoles and 145 thiazoles in good yields by replacing the amine with triphenylphosphine/iodine or the Lawesson's 146 reagent, respectively [27].

147*Pseudo*-homo-couplings (defined as an aldehyde reacting with an imine derived from the same148aldehyde, $Ar = Ar^2$) and cross-couplings ($Ar \ddagger Ar^2$) under thermodynamic control have been149developed some years later by using unactivated aryl imines, aryl aldehydes, thiazolium salt I-1 as150the precatalyst and triethylamine as the base, in refluxing ethanol for 48 hours (Scheme 7).

Under these conditions competing benzoins could reversibily form and behave as substrates for
 α-aminoketones formation.

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153 Cross experiments highlighted that also the α -aminoketone formation is reversible. This 154 protocol provides the access to aza-benzoin coupling also by less reactive aryl imines [28].



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Scheme 7. You and coworkers selected examples of intermolecular coupling between unactivatedimines with aldehydes.

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Acylsilanes, disclosed by Brook in 1957, are considered as sterically hindered aldehydes by
virtue of the removable silyl group and undergo smoothly nucleophilic addition reactions [29]. They
have been employed as unconventional donor partners in regioselective intermolecular acyloin
condensation in a number of procedures catalyzed by cyanides [22].

In the benzoin-type condensation reaction, after the nucleophilic attack of the cyanide catalyst on the acylsilane, the mechanism involves a [1,2] shift of the migrating SiR₃ group (Brook rearrangement) generating the key stabilized acyl anion equivalent, in analogy with Breslow catalytic cycle.

167 The subsequent addition of this species to the competent electrophile, followed by catalyst 168 release, leads to the desired condensation product (Scheme 8).

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170

171 Scheme 8. Acylsilanes as acyl donors in cross benzoin condensation.

Scheidt disclosed the reaction of alkyl and aryl acylsilanes with aromatic N-diphenylphosphinoyl imines upon exposure to catalytic N-methyl 4,5-dimethyl thiazolium salt (I-2) (30 mol%), DBU as the base and a stoichiometric amount of *iso*propanol for 48 hours. Under these new conditions, the Brook rearrangement occurs smoothly without the need of charged and potentially toxic cyanide, fluoride or phosphite anions [30].

N-phosphinylated amino ketones, completely devoided of any homo-coupling productcontamination in 51-94% yields have been afforded (Scheme 9).



2 equiv of acylsilane. 30 mol % of precatalyst. 30 mol % of DBU· 4 equiv of alcohol. CHCl³ 60 $^\circ$ C a dimethylphenyl acylsilane was used.

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180 Scheme 9. Scheidt and co-workers selected examples of aza-benzoin coupling between acylsilanes181 and N-diphenylphosphinoyl imines.

182 The phosphinoyl group on the nitrogen atom can be removed at the end of the reaction under 183 mild conditions to give α -aminoketones. Alkyl N-phosphinoyl imines have been unsuitable for the 184 reaction since they undergo isomerization to more stable enamides due to the presence of an 185 enolizable proton. On the other hand, N-phosphinoyl protecting group is essential for the success of 186 the reaction. In fact, more reactive N-benzoyl, N-sulfinyl and N-sulfonyl imines interact irreversibly 187 with the catalyst thus stopping the catalytic cycle. NHCs derived from imidazolium or triazolium 188 salts did not afford the desired reaction. The proposed mechanism, illustrated in Scheme 10, 189 envisages the addition of carbene II-2 to acylsilane followed by the formation of intermediate IV via 190 Brook rearrangement. The reaction of this intermediate with the imine is reversible and thus 191 unproductive. The subsequent transfer of Si(CH₃)₃ to isopropanol provides less congested 192 intermediate III-2 (Breslow Intermediate) that, after imine addition, affords the protected α-amino 193 ketone and regenerates the catalyst.

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196Scheme10.Catalyticcycleofacyloin-typecouplingbetweenacylsilanesand197N-aryldiphenylphosphinoyl imines.

An independent synthesis of intermediate **V** and its reaction with N-(diphenylphosphinyl) benzaldimine in the presence of DBU and *iso*propanol to give the desired product provided substantial evidence for the proposed catalytic cycle (Scheme 11) [30]. This strategy opened a new access, induced by neutral carbenes, to the Brook rearrangement.



203

204 Scheme 11. Synthesis and reactivity of intermediate V.

205 Non-enolizable N-protected aryl trifluoromethyl ketimines have been used as acceptor partners 206 in the coupling with a series of highly reactive furan-2-carbaldehydes to give the corresponding 207 α -amino- α -trifluoromethyl ketones, bearing a valuable quaternary stereocenter, in moderate to good 208 yields (32-87%) in the presence of triazolium salt **I-3** (Scheme 12) [31].



210

2 equiv of imine' precatalyst 10 mol%' Cs2CO3 20 mol%' THF' rt' 15 h



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214 3.2 Use of bis(amino)-cyclopropenylidenes (BACs)

N-heterocyclic carbenes have emerged as powerful, efficient and versatile organocatalysts, which still are allowing access to new and unexpected organic trasformations. The efforts in developing non five-membered nitrogen containing heterocyclic carbenes have been rather limited as a consequence of NHCs success. However, bis(amino)-cyclopropenylidenes (BACs), the smallest aromatic rings containing a carbene center, have recently been employed in some intriguing applications [32,33]. Easily prepared in a one-pot reaction, BACs, likewise NHCs, catalytically induce acyl anion reactivity in aldehydes. Moreover, a significant amount of aldehyde

self-condensation side product is often formed in NHCs chemistry whereas it is normally absent inumpolung reactions catalyzed by BACs.

The limited ability of BACs to mediate aldehyde couplings even under ideal conditions prompted the exploration of their potential in aza-benzoin reactions. After fruitless attempts with Boc and tosyl imines, P,P-diphenyl N-[(aryl)(tosyl)methyl] phosphinic amides, the more practical surrogates of the corresponding protected imines, gave productive results in the reaction with aromatic aldehydes in the presence of bis(diethylamino)cyclopropenium salt VI (Scheme 13) [18].

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20 mol % of precatalyst 4.0 equiv of Cs_2CO_3 , CH_3CN , 4 Å M. S., trable have been used be a constraint of algehyde have been used be a constraint of the second statement of the second stateme

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Scheme 13. Gravel and co-workers selected examples of enantioselective aza-benzoin condensationcatalyzed by BACs.

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The reaction is effective with heteroaromatic, *para* or *meta* substituted benzaldehydes. In some cases an excess of aldehyde has been necessary to drive the reaction towards the product.

Both electron-poor and electron-rich groups on the *para* position of the aromatic ring of the acceptor are compatible with the reaction. Although the acidic deprotection of phosphinic amides can be performed under mild conditions, the product proved not to be stable as the free base, therefore it was necessary to insert again the nitrogen protecting group.

241 Until now, attempts to develop the asymmetric version of the reaction using a chiral BAC have242 not reach the goal.

244 4. Methods to enantiomerically enriched α-amino ketones

The first example of asymmetric aza-benzoin reaction is due to Miller and co-workers which used an unconventional chiral thiazolium salt. Ideally it derives from histidine by replacing the imidazole ring with the thiazole one (Figure 3).



243



250 Figure 3. Thiazolium derivative and its precursors.

In order to ensure a chiral binding pocket to the reaction partners the thiazolylalanine has been inserted as the middle aminoacid in a tripeptide sequence and subsequently converted to the corresponding thiazolium salt.

Enantiomerically enriched α-amino ketones have been obtained by the coupling of aromatic aldehydes with *in situ* generated acylimines in the presence of the chiral thiazolylalanine (Taz) containing peptide salt **I-4** (Scheme 14) [34].

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15 mol % of precatalyst, 10 equiv of pentamethylpiperidine (PEMP), 23 °C, 15 min 2 h

Scheme 14. Miller and co-workers selected examples of enantioselective intermolecular aza-benzoin
 condensation catalyzed by salt I-4.

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The reaction product undergoes to racemization under basic reaction conditions due to enolization. In order to ensure high enantiomeric excesses, the amount of amine and the reaction time need to be carefully evaluated.

The assumption that a less activated imine would lead to increased stability of the newly formed stereocenter prompted Rovis to employ N-Boc-protected imines in the presence of chiral I-5 and aliphatic aldehydes (Scheme 15) [22].





Scheme 15. Rovis and co-workers selected examples of enantioselective intermolecular aza-benzoincondensation.

272 Cesium acetate has been used as the base in order to generate in situ the catalytic amount of the 273 acid required for catalyst regeneration. The reactions have been carried out at - 20°C to suppress 274 racemization and molecular sieves have been added to prevent hydrolysis of imines due to the 275 igroscopic nature of the salt. Following the optimization of the conditions, the scope of the reaction 276 has been explored. Excellent ees and high yields have been obtained with a variety of straight chain 277 aldehydes. On the other hand, lower yields have been observed when β -branched aliphatic 278 aldehydes such as iso-butyraldehyde have been employed, whereas a-branched aldehydes do not 279 react. Electron-rich and electron-poor Boc-arylimines have been used, however ortho-fluoro aryl 280 derivative does not participate in the reaction.

281 One of the challenges of organic chemists is the ability to highlight different substrate 282 reactivities in a selective manner.

The use of enals in cross-acyloin couplings is an arduous task since homoenolate, enolate and
acyl anion equivalent can all be generated by reaction with NHCs through different reaction
pathways (Scheme 16).



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289 Scheme 16. Use of enals in NHCs chemistry.

290 The choice of the catalyst is the key factor that controls the chemoselectivity of these three 291 species.

Ye studied the influence of steric and electronic factors of a series of L-pyroglutamic acid
derived triazolium salts on the reactivity of cynnamaldehyde with N-Boc protected trifluoromethyl
phenyl ketimine (Scheme 17) [35].

The free hydroxy group on the catalyst plays a key role not only for the reduced steric hindrance compared to its silylated analogous, but, more importantly, thanks to the possible hydrogen bond formation with the ketimine. The desired products have been obtained in high yields and enantioselectivities by using catalyst **I-10** (Scheme 17). Electron-withdrawing and electron-donating substituents on the aromatic ring of enals do not change yields and enantioselectivities. β -Alkyl enals worked well in the reaction, however β -alkyl and β -aryl ynals resulted in decreased yields, although high ees have still been obtained.

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1.2 equiv of imine, 10 mol % of precatalyst, 20 mol % of Cs_2CO_3 , CH_2Cl_2 , 30 \degree C^{- a} 10 mol% of Cs_2CO_3 was used.

315 Scheme 17. Ye and coworkers selected examples of enantioselective intermolecular aza-benzoin316 condensation using enals.

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318 The free hydroxy group on the catalyst plays a key role not only for the reduced steric 319 hindrance compared to its silylated analogous, but, more importantly, thanks to the possible 320 hydrogen bond formation with the ketimine. The desired products have been obtained in high 321 yields and enantioselectivities by using catalyst **I-10** (Scheme 17). Electron-withdrawing and 322 electron-donating substituents on the aromatic ring of enals do not change yields and 323 enantioselectivities. β -Alkyl enals worked well in the reaction, however β -alkyl and β -aryl ynals 324 resulted in decreased yields, although high ees have still been obtained.

In order to further explore the scope of the reaction, (Z)-methyl 2-((*tert*-butoxycarbonyl) imino)-2-phenylacetate and (Z)-*tert*-butyl(cyano(phenyl))methylene) carbamate were used as acceptors. The aza-benzoin products have been obtained in good yields and high enantiomeric excesses (Scheme 18).

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1'2 equiv of imine, 10 mol % of precatalyst, 20 mol % of Cs_2CO_3 , CH_2CI_2 , 30 $^{\circ}C$

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Scheme 18. Ye and coworkers selected examples of aza-benzoin reaction.

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Cyclic N-protected ketimines have attracted significant interest, especially within asymmetric synthesis, due to their easy preparation and handling and their stable E/Z configuration which ensures a high enantiofacial differentiation. In particular, the oxindole scaffold is a privileged structural motif common in natural products and in pharmacological active compounds.

The chemoselectivity of the reaction between 2,3-dioxo-2,3-dihydroindole (isatin) derived ketimines and enals has been studied by Chi [36]. When precatalyst **I-11** was used, the reaction afforded the homoenolates derived adducts (pathway A). Replacing the encumbered and electron-rich N-mesityl substituent with less hindered and electron-deficient pentafluorophenyl moiety (**I-12**) switched the outcome of the reaction towards the aza-coupling product with high chemoselectivity (pathway B) (Scheme 19).





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Scheme 19. Pathways deriving from reaction between enals and isatin-derived ketimines catalysed
 by NHCs. (A) β-carbon reaction. (B) Carbonyl carbon reaction.

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349 3-Aminooxindoles bearing a quaternary stereocenter with high ees and good yields have been350 prepared (Scheme 20).

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Commento [D2]: Possible deleted





1.5 equiv enal, 10 mol% of precatalyst, 1 equiv of KOAC, CHCl3, N2, 4 Å M. S., It, 8 h or 12 h.



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An unprecedented enantioselective aza-benzoin coupling starting from ring-strained 2*H*-azirines to give chiral aziridines, useful building synthons and valuable pharmaceutical structural motifs has been recently reported [37]. Functionalized benzaldehydes and heteroaromatic aldehydes are well tolerated (Scheme 21). Unfortunately aliphatic aldehydes failed to participate in the reaction (data not shown).



1.2 equiv of aldehyde, 15 mol% of precatalyst, 1 equiv of Cs2CO3, MTBE, 25° C;argon atmosphere:

362 Scheme 21. Reaction scope

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The scope of the reaction has been also tested with respect to the 2H-azirines by systematically
 varying substituent patterns on the aromatic ring. In all cases high ee and yields have been obtained
 When alkyl or alkenyl groups replaced the aromatic ring excellent enantiomeric excesses have
 been still achieved although with lower yields.

368 The scaling up of the reaction afforded excellent yields and ee (Scheme).

$$\xrightarrow{+} \overset{\mathsf{N}}{\underset{\mathsf{C}_{6}\mathsf{H}_{5}}{\overset{\mathsf{N}}{\longrightarrow}}} \overset{\mathsf{N}}{\underset{\mathsf{C}_{6}\mathsf{H}_{5}}{\overset{\mathsf{H}}{\longrightarrow}}} \overset{\mathsf{H}}{\underset{\mathsf{C}_{6}\mathsf{H}_{5}}{\overset{\mathsf{H}}{\longrightarrow}}} \overset{\mathsf{H}}{\underset{\mathsf{C}_{6}\mathsf{H}_{5}}{\overset{\mathsf{H}}{\longrightarrow}}}$$

90% yi^eld[,] 96% ee

370 Scheme . Gram-scale synthesis.

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373 5. Tandem reactions

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In 2011, almost simultaneously, two papers dealing with the preparation of functionalized dihydroindenones with divergent diastereoselectivity have been published.

Ye and coworkers developed a tandem aza-benzoin/aldol reaction starting from benzene
1,2-dicarboxaldehyde and N-Boc imines using I-1 as precatalyst which afforded exclusively *cis*-2-amino-3-hydroxyindenones with yields up to 93% (Scheme 22) [38].

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1.5 equiv of imine, 20 mol % of precatalyst, 20 mol % of $Cs_2CO_3CH_2CI_2$, rt

381 Scheme 22. Ye and co-workers selected examples of tandem aza-benzoin/aldol reactions.

382 Optimized conditions required the use of cesium carbonate to generate the carbene and di*iso*propylethylamine in order to promote the formation of the imine.

Phenyl imines with electron-withdrawing groups gave the corresponding indenones with higher yields compared to imines with electron-donating substituents. Imines bearing both a *m*-chlorophenyl or a *p*-chlorophenyl group showed similar reactivity. Also heteroarylimines gave high yields.

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388 The resulting cis 1-hydroxy-3-oxo-2-(p-tolyl)-2,3-dihydro-1H-inden-2-yl)benzamide could be easily converted to the corresponding isoquinolinone under Mitsunobu conditions (Scheme 23) [38]. 390



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392 Scheme 23. Synthesis of isoquinolinone.

393 You and coworkers developed a process to substituted trans dihydroindenones through a 394 NHC-catalyzed aza-benzoin/Michael reaction starting from tert-butyl aryl(tosyl) methylcarbamates 395 and (E)-ethyl 3-(2-formylphenyl)acrylates (Scheme 24) [39].



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400 Scheme 24. You and co-workers selected examples of tandem aza-benzoin/Michael reaction.

402 In order to obtain high yields of the desired product, 2.2 equivalents of cesium carbonate have 403 been used. A reduced loading of base furnished some aza-benzoin product together with the 404 dihydroindenone, while a further increasing the Cs2CO3 decreased the yield. Under the optimized 405 conditions the tandem reaction tolerated both electron-withdrawing and electron-donating 406 substituents on the phenyl group of the imine and also heteroarylimines gave good results. 407 Cyclohexyl-substituted carbamate did not react. Also functionalized acrylates could be used as 408 suitable substrates. A possible catalytic cycle is depicted in Scheme 25.

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411 Scheme 25. Proposed catalytic cycle of tandem aza-benzoin/Michael reaction .

412 The Breslow Intermediate, generated from the reaction of the carbene catalyst with acrylate, produced the intermediate VII after addition of imine. A subsequent proton transfer gives 413 414 intermediate VIII, which releases the catalyst and the α -amino ketone. It is worth noting that the 415 imine carbon acts as an electrophile in the first step of the process when reacts with the Breslow 416 Intermediate, but as a nucleophile in the following Michael addition step. In fact, the enolizable 417 α -carbon atom in the aza-benzoin product results a stronger nucleophilic site compared to the 418 contiguous nitrogen atom and reacts in the Michael addition furnishing exclusively the 419 dihydroindenone derivative.

Bode developed a cascade sequence involving an aza-benzoin/oxy-Cope strategy for the
synthesis of bicyclic α-lactams with diastereoisomeric ratio higher than 10:1 and enantioselectivities
up to 98%. The starting reactants included both 3-alkyl or 3-arylenals and chalcone-derived sulfonyl
imine (Scheme 26) [40].



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Where not specified, a single diastereoisomer was detected



18 of 22

426 Strictly related to the one-pot processes reviewed in this section is a paper dealing with the 427 addition of homoenolate equivalents to appropriate imines followed by cyclization steps generating 428 γ -lactams (Scheme 27) [41].

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Scheme 27. Selected examples of Bode and co-workers catalytic synthesis of lactams.

433 Disubstituted γ -lactams with high diastereoselectivity have been obtained in the reaction of a 434 series of cinnamaldehydes with electron-rich N-sulfonyl imines in the presence of precatalyst I-14. 435

437 Novel acyl anion acceptors, namely benzylidene thio-ureas, have been used in a domino 438 aza-benzoin/intermolecular aza-acetalization process for the synthesis of 5-hydroxy-imidazolidene-439 2-thiones, a class of heterocycles displaying relevant biological activities (Scheme 28) [42]. 440



443 Scheme 28. Strategy for the synthesis of 5-hydroxy-imidazolidene-2-thiones.

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445 The generality of the strategy toward imidazolidine-2-thiones was investigated by considering 446 variations on the structures of both the aromatic aldehydes and the α -sulfonyl amines (Scheme 29). 447 Further studies demonstrated that the novel cyclization reaction could be run under optimized 448 conditions on a large scale without losing reactivity or diastereoselectivity.





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451 Scheme 29. Bortolini and co-workers selected examples of the one-pot synthesis of 452 5-hydroxy-imidazolidene-2-thiones.

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454 6. A successful application of aza-benzoin condensation to the synthesis of a pharmaceutical 455 candidate

456 Metabotropic Glutamate Receptors 5 (mGluR5) are broadly expressed throughout the central 457 nervous system and are implicated in different cognitive and behavioural processes. The molecule 458 depicted in Figure 4 has been identified as a potential candidate for pre-clinical development of 459 mGluR5 modulators [43].

460 tert-Butyl or benzyl ((1R,2S)-1-(5-bromo pyridin-3-yl)-2-(2,5-difluorophenyl)-2-hydroxyethyl) 461 carbamate have been selected as the key intermediate for the synthesis of the target mGluR5 462 modulator. Unfortunally, their preparation via aminohydroxylation occurs with low regioselectivity. 463 The resolutive approach has been envisaged in the asymmetric reduction of a protected 464 α -aminoketone assembled by a regioselective aza-benzoin condensation catalysed by I-1.

465 The same approach could be applied for the synthesis of other 1,2-amino alcohols, were the 466 traditional methods based on functionalization of alkenes may suffer from selectivity issues. 467



468

469 Figure 4. Synthetic approach through the aza-benzoin reation for the preparation of mGluR5 470 modulator.

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472 7. Conclusions

The aza-benzoin condensation represents a useful enrichment of organic chemistry tools complementary to the traditional cross benzoin reaction.

475 The different electrophilicity of imines with respect to aldehydes and the possibility to further 476 tune their reactivity by a careful choice of the protecting group on the nitrogen atom, offers the 477 possibility to solve the problem of chemoselectivity which represents the weak point of the cross 478 benzoin coupling between two different aldehydes. For this reason, the aza-benzoin condensation 479 allows an easy access in a regioselective manner to valuable α -amino ketones. The possibility to take 480 advantage of a great number of structurally different chiral N-heterocyclic carbenes has successful 481 improved stereoselective protocols which have provided α -amino ketones with high enantiomeric 482 excesses. Moreover, the experimental requirements consent to include the aza-benzoin condensation 483 in domino processes for the straightforward synthesis of complex cyclic derivatives. In conclusion, 484 the aza-benzoin reaction is a general, practical and broad scope methodology which forebodes new 485 interesting developments.

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- 488 Conflicts of Interest: "The authors declare no conflict of interest."
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