

1 **Advantages of arthroscopic rotator cuff repair with a transosseous suture technique. A**  
2 **prospective randomized controlled trial.**

3  
4 **ABSTRACT**

5 **Background:** Rotator cuff tear is a common finding in patients with painful, poorly functional  
6 shoulder. The surgical management of this disorder has improved greatly and can now be fully  
7 arthroscopic.

8 **Purpose:** The aim of this study was to evaluate clinical and radiological results of arthroscopic rotator  
9 cuff repair using two different techniques: single-row anchor fixation versus transosseous hardware-  
10 free suture repair.

11 **Study design:** Prospective randomized controlled clinical trial.

12 **Methods:** Sixty-nine patients with rotator cuff tears were enrolled: 35 patients were operated with  
13 metal anchors and 34 with standardised transosseous repair. The patients were clinically evaluated  
14 before surgery, during the 28 days following surgery and at least 1 year after the operation using  
15 validated rating scores (Constant score, QuickDASH, numerical rating scale). Final follow-up was  
16 obtained at more than 3 years by a QuickDASH evaluation in order to detect any difference from  
17 previous follow-up. During the follow-up, rotator cuff integrity was determined through magnetic  
18 resonance imaging and classified into the five Sugaya's categories.

19 **Results:** Patients operated with the transosseous technique had significantly less pain, especially from  
20 the 15th postoperative day: in the third week the mean value of NRS for anchor group was 3.00 while  
21 for tunnel group was 2.46 (p-value = 0.02), in the fourth week the same values were 2.44 and 1.76  
22 respectively (p-value < 0.01). There were no differences in functional outcome between the two groups  
23 at the final evaluation. In the evaluation of rotator cuff repair integrity, based on Sugaya magnetic  
24 resonance imaging classification, no significant difference between the two techniques in terms of re-  
25 tear rate were found (p-value = 0.81).

26 **Conclusions:** There were no significant differences between the two arthroscopic repair techniques  
27 in terms of functional and radiological results. However, postoperative pain decreased more quickly  
28 after the transosseous procedure, which, therefore, emerges as a possible improvement in the surgical  
29 repair of the rotator cuff.

30 **Clinical relevance:** Since there is a significant postoperative pain reduction with transosseous  
31 technique, it can be considered a valid alternative to anchor repair.

32

33 **Key terms:** rotator cuff, transosseous arthroscopic repair, anchor repair, re-tear rate, MRI evaluation.

34

35 **What is known about the subject:** Arthroscopic single-row suture anchor repair represents the gold  
36 standard in arthroscopic rotator cuff surgery. Transosseous hardware-free repair has been the gold  
37 standard open procedure for a long time.

38 **What this study adds to existing knowledge:** This first randomized controlled trial of arthroscopic  
39 transosseous versus anchor techniques for rotator cuff repair shows equivalent functional and imaging  
40 results, but reduced postoperative pain after the former.

41 **INTRODUCTION**

42

43 Rotator cuff injury is a common cause of pain and shoulder disability.<sup>36</sup> Studies in symptomatic and  
44 asymptomatic populations have revealed that this injury is very common: the prevalence in cadaveric  
45 studies ranges from 5% to 40% of the population,<sup>49</sup> with a significant increase in subjects over the  
46 age of 60.<sup>50</sup>

47 Various risk factors have been evaluated: age seems to play a pivotal role in the development of this  
48 disorder, and smoking, resulting in a reduced supply of oxygen in the footprint area, increases the risk  
49 of rupture. Indeed, dose- and time-dependent relationships between smoking and rotator cuff disease  
50 have been identified.<sup>3</sup> High cholesterol levels, diabetes and some familiar predisposition are all addi-  
51 tional factors that can lead to a possible increased risk of developing degenerative rotator cuff dis-  
52 ease.<sup>49</sup>

53 Despite the high prevalence of rotator cuff tears, there is not a consensus on the optimal treatment.  
54 Numerous studies have compared different surgical approaches trying to identify the technique that  
55 can provide the best tendon repair and can reduce the re-tear rates. Over the years, there has been a  
56 remarkable evolution in surgical techniques, moving from open procedures, to mini-open and, finally  
57 to arthroscopic techniques.

58 Arthroscopic repair surgery is a valid therapeutic approach, which can provide good clinical results  
59 and a low level of complications.<sup>46</sup> Different arthroscopic repair techniques have been developed in  
60 the last 20 years. Single-row, double-row, and transosseous equivalent are arthroscopic techniques,  
61 based on implantable devices, which provide good clinical outcomes.<sup>12,19,23,31,32,55</sup>

62 The open transosseous technique was considered the gold standard for repair of rotator cuff lesions  
63 until the end of the last century. This technique provided the best clinical and biomechanical results  
64 but, for a long time, was feasible only with the open approach.

65 In 2006, Cicak *et al.*<sup>15</sup> and Matis *et al.*<sup>33</sup> proposed two different methods to realize the transosseous  
66 suture with an arthroscopic approach. These techniques have been developed in the last few years  
67 with the introduction of specific devices able to create a standardized transosseous tunnel.<sup>22,27</sup>

68 The aim of the present work was to evaluate the results of the latest arthroscopic transosseous cuff  
69 repair procedure, and compare them with those of the longer-established single-row suture anchor  
70 repair technique in patients followed from the preoperative period until more than 3 year  
71 postoperative.

72 Therefore we wished to test the null hypothesis that there were not statistically significant differences  
73 in post-operative values of VAS score between metal anchor and transosseous repair groups.

74

## 75 **MATERIALS AND METHODS**

76

### 77 Study design

78 This was a prospective, randomized, controlled, double-blind clinical trial. The CONSORT  
79 (Consolidated Standards of Reporting Trials) statement guidelines were followed to perform this  
80 randomized controlled study and present the results. A flow diagram according to CONSORT  
81 guidelines illustrates the grouping and flow of patients in our clinical study (*Figure 1*).

82 The randomization list was prepared preoperatively. Block randomization was performed to allocate  
83 patients to one of the two treatment groups. An independent investigator, not involved in the surgical  
84 treatment, prepared and sealed opaque envelopes bearing the type of operation to perform. After the  
85 phase of diagnostic arthroscopy to confirm the lesion and eligibility for the study, patients were  
86 randomized into one of the two treatment groups. Patients were not informed about which technique  
87 was used on the day of the surgery or at the follow-up visits. The examiners who evaluated the  
88 patients' shoulder also did not know the type of surgery performed.

89 The study protocol was approved by the Regional Ethical Committee (authorization number 2769;  
90 January 29, 2013) and registered at ClinicalTrials.gov (ClinicalTrials.gov ID: NCT01815177; March  
91 3, 2013).

92

### 93 Population

94 Postoperative pain was considered as the primary variable for calculating the sample size. To observe  
95 a difference in pain of 2 points on the numerical rating scale (NRS) between the two groups with a  
96 standard deviation of 2 points, power of 80% and alpha value of 5%, the minimum number of patients  
97 to be enrolled per group was 17, allowing for a possible drop-out of 10-15% of the patients.

98 The study was concluded with the enrolment of 69 patients randomized into the two groups: repair  
99 with the use of metal anchors (35 patients) and transosseous repair (34 patients). Three patients (4%)  
100 dropped out of the study: one because of rotator cuff revision surgery and two postoperative voluntary  
101 drop-outs. The revision surgery has been considered as a drop out because a different surgeon  
102 evaluated the patient and gave the indication to a revision, without any possibility for the surgeon of  
103 this study to evaluate the clinical condition and the radiological exams and to asses the real necessity  
104 for a revision surgery. One other patient was unable to undergo the radiological control after the  
105 development of an absolute contraindication to this procedure (metallic splinter). This patient was  
106 still able to undergo the clinical evaluation at more than 3 years (*Figure 1*).

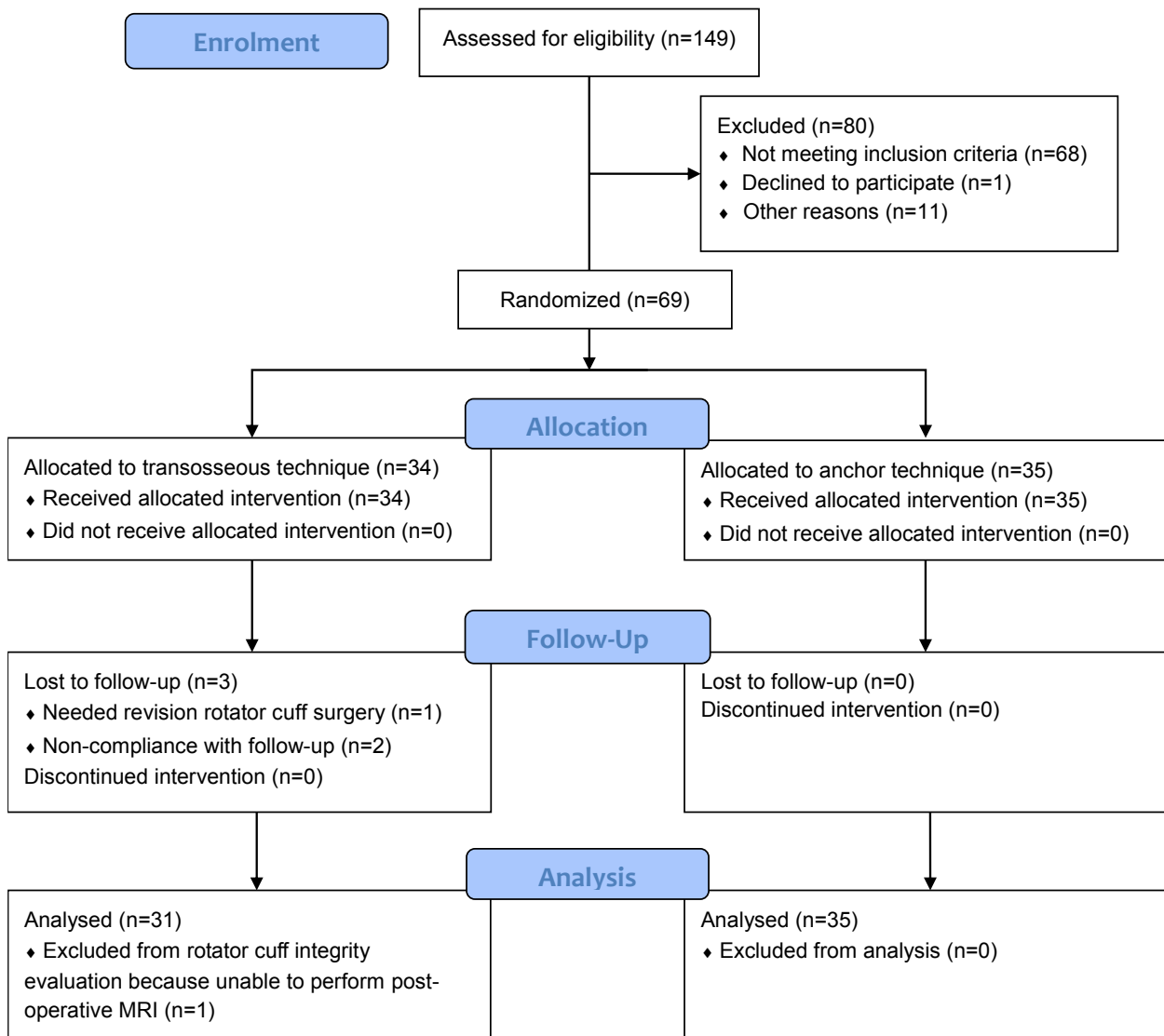


Figure 1. Flow diagram of the study.

108 Statistical analysis

109 Continuous variables are expressed as means and standard deviations (SD) or medians, and first and  
110 third quartiles [Q1 - Q3] as appropriate, while the dichotomous variables are expressed in numbers  
111 of cases and frequencies.

112 The Kolmogorov-Smirnov test was used to evaluate the normal distribution of the sample and, if the  
113 null hypothesis of this test could not be rejected, the non-parametric Mann-Whitney test (U test) and  
114 Wilcoxon's test were applied for the analysis of the samples. Variables with a Gaussian distribution  
115 were analysed with Student's *t*-test. Dichotomous variables were analysed using the chi square test.  
116 Associations with p values <0.05 are considered statistically significant.

117

118 Eligibility criteria

119 From January 2013 to February 2014, 69 patients with lesions of the rotator cuff, confirmed by  
120 arthroscopic evaluation, were enrolled, according to inclusion and exclusion criteria listed in *Table 1*.

*Table 1. Eligibility criteria.*

<p><b>Inclusion criteria:</b></p> <ul style="list-style-type: none"><li>- Age between 18 and 65 years</li><li>- Full thickness rotator cuff lesion</li><li>- Informed consent to participation in the study</li><li>- Body mass index <math>\leq 33</math></li></ul> <p><b>Exclusion criteria:</b></p> <ul style="list-style-type: none"><li>- Injuries of the subscapularis tendon</li><li>- Need for tenodesis of the long head of the biceps</li><li>- Massive retracted rotator cuff lesions</li><li>- Disorders of the shoulder such as osteonecrosis, fractures and osteoarthritis (glenohumeral and acromioclavicular)</li><li>- Osteomyelitis, active infections or sepsis</li><li>- Muscular atrophy and peripheral neurovascular impairment</li><li>- Body mass index <math>&gt; 33</math></li><li>- Patients with metabolic disorders, and serious comorbid conditions that could limit the follow-up (e.g. neoplastic diseases, immune deficiencies, hepatitis)</li></ul>
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121

122 Preoperative evaluations

123 Preoperatively, an investigator took a careful medical history and performed a specific clinical  
124 examination of the shoulder. All patients then underwent standard imaging studies for evaluation of  
125 the rotator cuff lesion: X-ray (in true anteroposterior, A/P, and outlet views) and magnetic resonance  
126 imaging (MRI) without contrast.

127 In addition, patients were evaluated using validated clinical scores: the NRS<sup>8</sup>, Constant score<sup>16</sup> and  
128 QuickDASH (Disabilities of the Arm, Shoulder and Hand) Outcome Measure<sup>17</sup>. The numerical pain  
129 rating scale assigns a numerical value to pain from 0 to 10: patients rate their pain considering 0 as  
130 the absence of pain and 10 as the worst imaginable pain. The Constant score is calculated using a  
131 system that combines tests of shoulder function (range of motion and strength, for a total of 65 points)  
132 with a subjective assessment of disability determined by the shoulder pathology (pain and limitation  
133 in common daily activities, for a total of 35 points). The QuickDASH Outcome Measure is based on  
134 a self-assessed questionnaire: 11 questions evaluate the patient's disability and symptoms on a scale  
135 of responses ranging from 1 to 5 where 1 means "no difficulty / symptoms" and 5 means "extreme  
136 difficulty".

137

138 Intraoperative evaluations

139 The primary diagnostic arthroscopy allowed an assessment of the tendon tear using the following  
140 criteria: type (articular, bursal or full thickness); form (crescent, U, V, L or L reverse); lesion size  
141 (anteroposterior and mediolateral diameters and Snyder's classification<sup>45</sup>); and tendon injury  
142 percentage (primarily supraspinatus and, possibly, of the other cuff tendons). Snyder classification  
143 describes the extent, the location and the size of the tear. The location is classified as articular (Type  
144 A), bursal (Type B), and complete (Type C). The dimension for the full thickness tears is defined as:  
145 1-small tear; 2-moderate tear < 2 cm of only one tendon without retraction; 3-large complete tear,



146 usually 3-4-cm, with minimal retraction; 4-massive rotator cuff tear involving 2 or more rotator cuff  
147 tendon with retraction associated.<sup>45</sup>

148 Acromial type was also assessed according to the Bigliani-Morrison classification.

149

#### 150 Postoperative evaluations

151 Patients were asked to fill out a form indicating their perceived pain each day until the 28<sup>th</sup> day after  
152 surgery (corresponding to the time the arm-sling was removed) and the onset of any adverse clinical  
153 events. Pain was assessed using the NRS.

154 At 2 months after surgery, stiffness was evaluated using the criteria described by Chung *et al.*<sup>13</sup>

155 Passive range of motion in three directions (forward elevation, external rotation at the side and  
156 internal rotation at the back) was measured with a goniometer by a single blinded examiner. Shoulder  
157 stiffness was defined as: passive forward elevation less than 120°, passive external rotation with the  
158 arm at the side less than 30°, and passive internal rotation at the back lower than L-3. Patients who  
159 met any one of these criteria were considered to have a stiff shoulder.

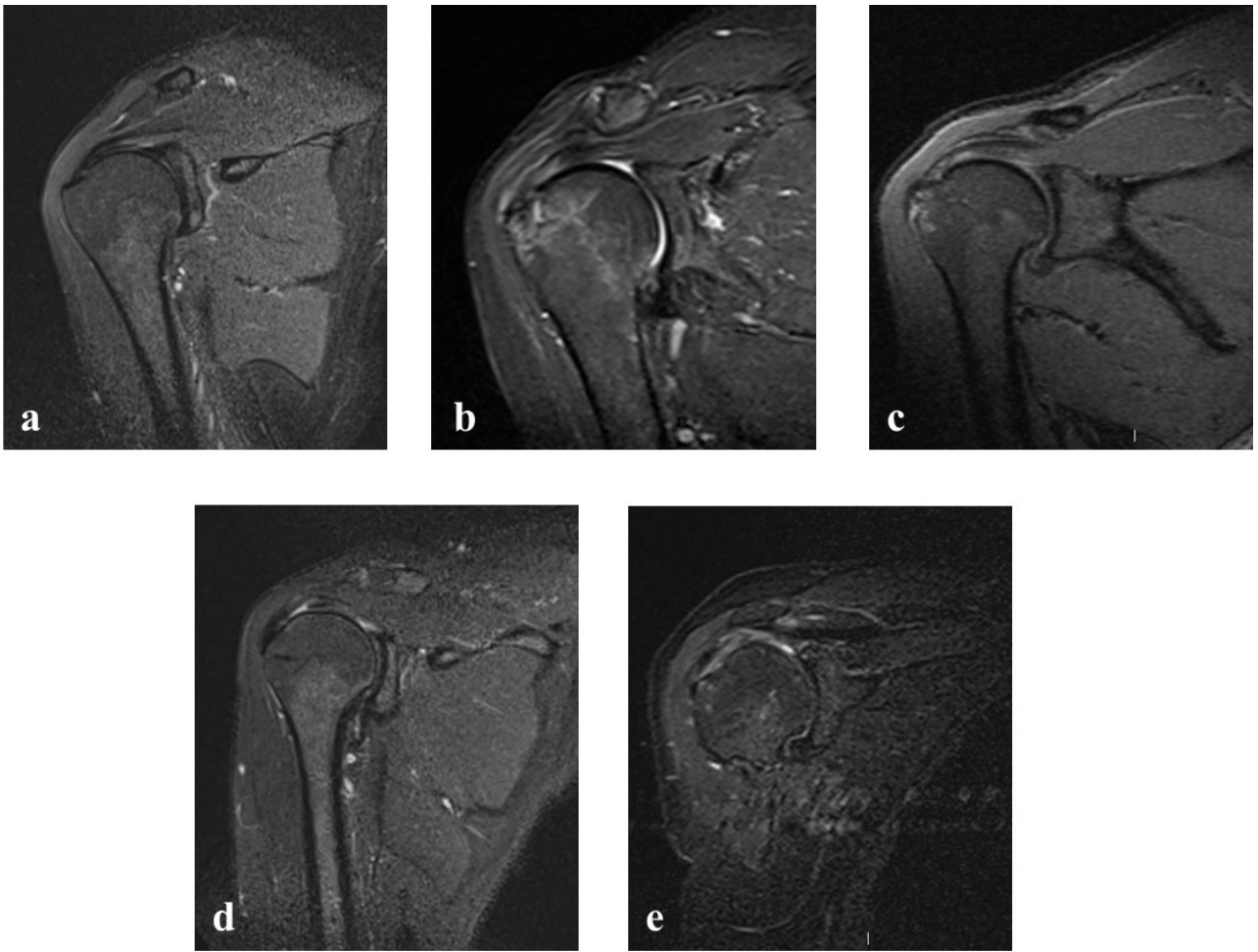
160 After at least 1 year, the patients were evaluated by MRI and, again, with the NRS, Constant score  
161 and QuickDASH Outcome Measure. In order to evaluate any other modification, a new QuickDASH  
162 analysis was run at more than 3 years after surgery.

163

#### 164 Radiological assessment

165 The radiological control at 1 year consisted of MRI at 1.5 Tesla (Magnetom Sonata Maestro Class,  
166 Siemens Medical Solutions, Erlangen, Germany). The study protocol required T1-Spin Echo oriented  
167 transverse, coronal, and sagittal views. T1-TIRM (Turbo Inversion Recovery Magnitude) coronal and  
168 sagittal projections were also obtained. Images had a 256 x 256 matrix.

169 In order to reduce the duration of these controls, imaging was performed only for rotator cuff tendons  
170 and muscles. Rotator cuff integrity was evaluated according to Sugaya's classification<sup>47,48</sup> (*Figure 2,*  
171 *Table 2*).



*Figure 2. Classification according to Sugaya et al<sup>46</sup>: a, type I; b, type II; c, type III; d, type IV; e, type V. See descriptions in Table 2.*

Table 2. Sugaya's classification criteria.

- Rotator cuff with sufficient thickness in comparison to normal rotator cuff and with homogeneous hypointense signal (type I)
- Rotator cuff with sufficient thickness in comparison to normal rotator cuff associated with partial areas of hyperintensity (type II)
- Insufficient thickness of rotator cuff, reduced to <50% compared to a healthy shoulder but without discontinuity. This picture suggests lesions with partial delamination (type III)
- Presence of minor discontinuities in one or two images, indicative of a small full-thickness lesion (type IV)
- Presence of major discontinuities observable in more than two images, suggestive of a medium or large full-thickness lesion (type V)

176

177 The same radiologist evaluated all images with no information on the patients' clinical evaluation.

178

#### 179 Surgical technique

180 A single surgeon (P. R.), who was not involved in the clinical follow-up, performed all the operations.

181 These were carried out with the patients in the lateral decubitus position with brachial plexus block

182 and associated sedation (blended). The upper limb was kept at about 30° of abduction and 30° of

183 flexion. The diagnostic arthroscopy was performed using an optic at 30° introduced by the posterior

184 portal. Front and side portals were conducted using the outside-in technique.

185 Once the patient's tendon injury and eligibility had been confirmed, the subject was randomized into

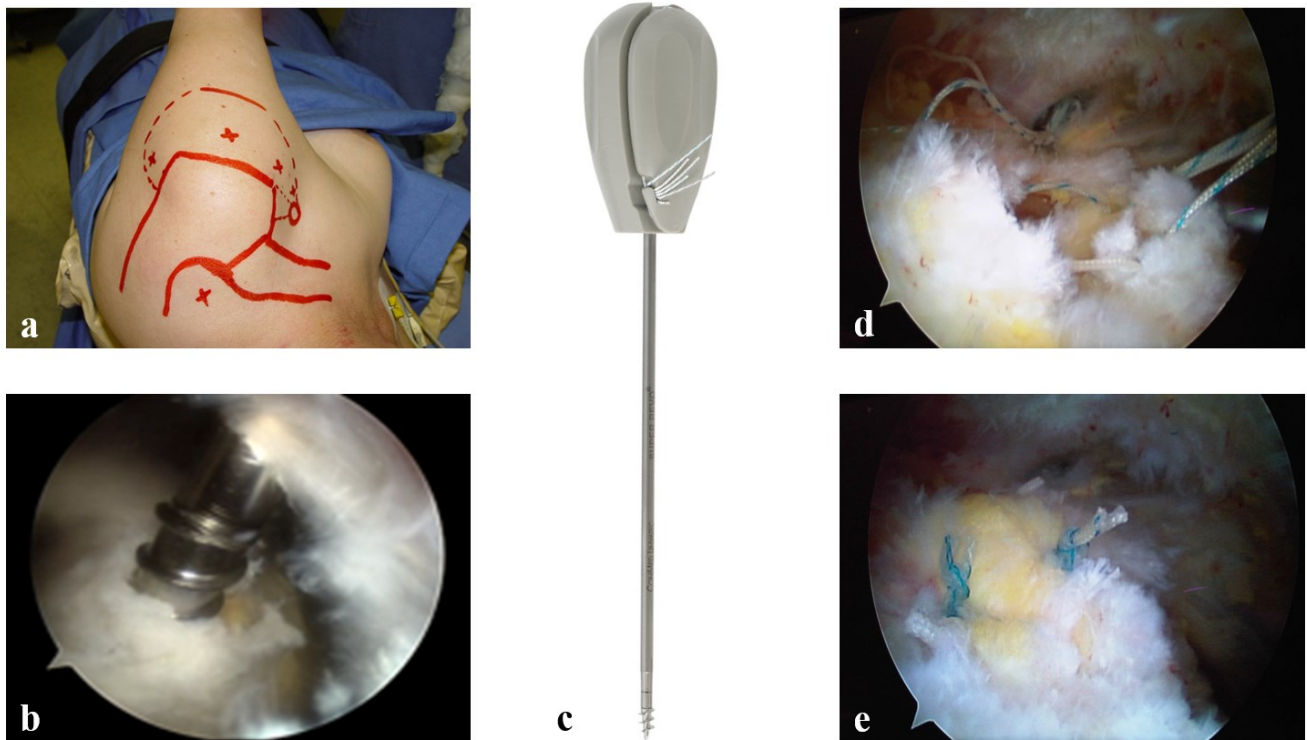
186 one of the two groups. The degree and type of tendon injury were then recorded.

187 In one of the two groups, the tendon was repaired using metal suture anchors REVO® and ThRevo®

188 (Conmed, Utica, New York) with two and three suture wires, respectively. A standard single-row

189 suture anchor repair has been used for this group. A *Tennessee Slider* knot was commonly utilized to

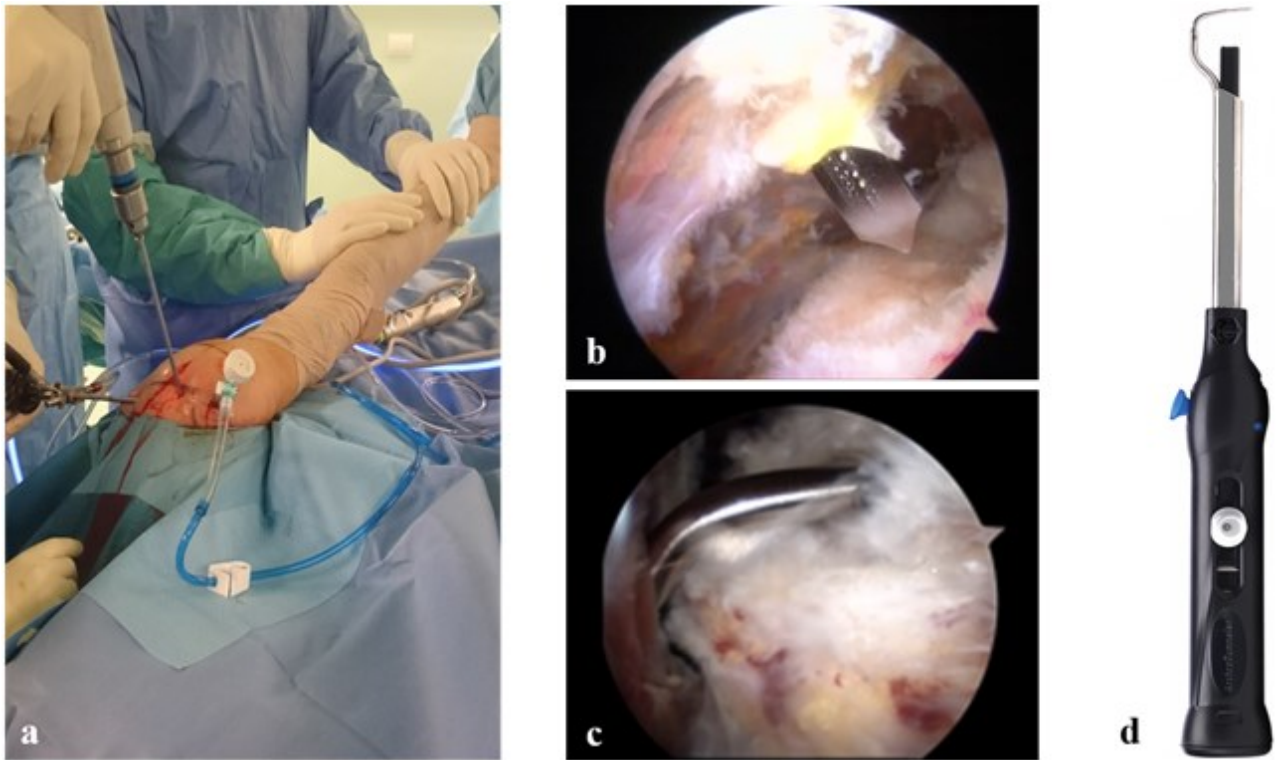
190 fix the tendon (*Figure 3*).



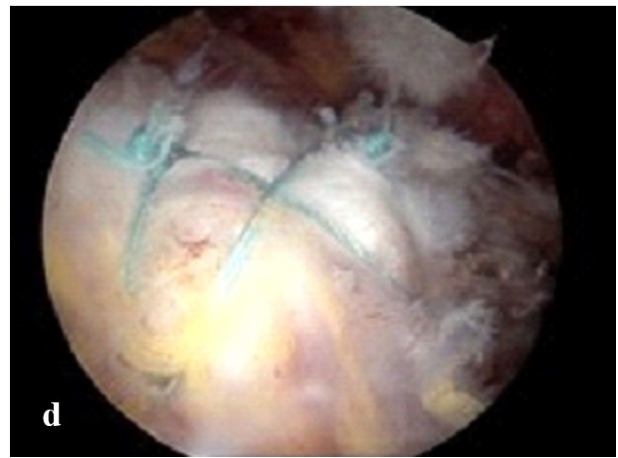
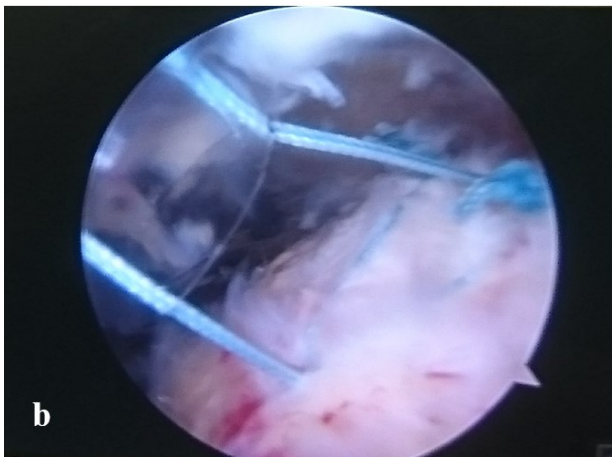
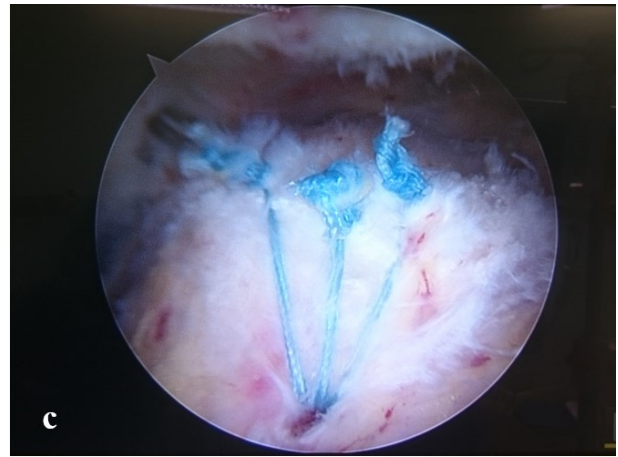
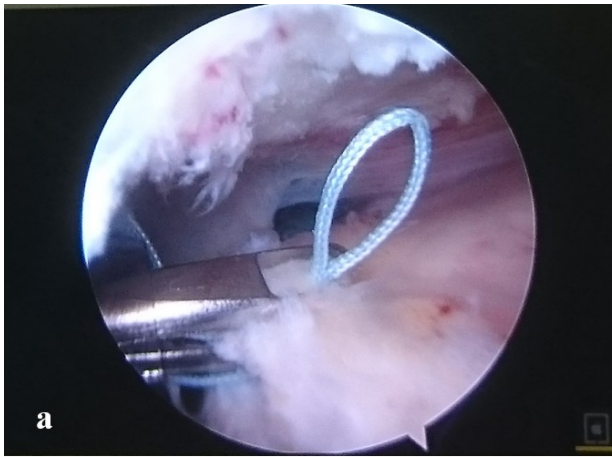
191

*Figure 3. Arthroscopic anchor repair. a: portal placement for arthroscopic rotator cuff repair; b: insertion of the metal anchor in the humeral head; c: metal suture anchor ThRevo® (Conmed, Utica, New York); d: passage of the sutures into the tendon; e: final repair view.*

192 In the other group, the rotator cuff was repaired using a transosseous technique, tunnelling the bone  
 193 with the ArthroTunneler® arthroscopic transosseous tunnelling device (Tornier Inc., Edina,  
 194 Minnesota). As a first step of this approach, a specific drill guide is inserted through an accessory  
 195 lateral superior portal to create a 2.9-mm medial tunnel close to the articular margin. The hooked  
 196 device (ArthroTunneler, Tornier, Edina, MN, USA) is introduced into the vertical drill tunnel. This  
 197 device allows to obtain a 2.5 mm lateral tunnel, positioned 1.5 cm from the lateral edge of the greater  
 198 tuberosity. Then, a shuttle suture is introduced by the ArthroTunneler device into the lateral tunnel  
 199 and retrieved from the medial tunnel. This suture shuttle will load the FiberWire® (Arthrex, Naples,  
 200 FL, USA) sutures through the tunnel. The sutures, then, are loaded through the cuff using a suture  
 201 passer. The suture configurations and the number of tunnels are finally determined depending on the  
 202 shape of the tear (*Figure 4, 5*).



*Figure 4. Arthroscopic transosseous repair. a, b: creation of the medial/vertical tunnel; c: insertion of the device in the medial tunnel and creation of an orizontal lateral tunnel; d: ArthroTunneler<sup>®</sup> arthroscopic transosseous tunnelling device (Tornier Inc., Edina, Minnesota, USA).*



204

*Figure 5. Arthroscopic transosseous repair. a: passage of wire through the tendon; b: repair with simple sutures; c, d: different possible final results: one tunnel with three suture knots and two tunnels with an X-box crossed configuration.*

205

206 Acromioplasty with Sampson's technique was carried out in patients with type 2 or 3 acromial  
207 morphology according to Bigliani's classification. In cases of tendinopathy of the long head of the  
208 biceps, a tenotomy was performed.

209 Finally, surgical times for each surgery were collected.

210

### 211 Rehabilitation protocol

212 All patients enrolled wore an arm-sling day and night for 4 weeks after surgery, during that period  
213 the sling was removed only to eat and perform personal hygiene and light exercises of mobilization  
214 of the elbow and scapulo-thoracic joint. From the 29<sup>th</sup> day, unless otherwise indicated, patients began

215 passive physical therapy to recover the full range of motion of the shoulder joint. From the end of the  
216 2<sup>nd</sup> month, patients started active physical therapy, lasting 4 weeks, to regain muscle strength.

217

## 218 **RESULTS**

219

220 The study population consisted of 39 (59%) women and 27 (41%) men with a mean age of 54.5 years  
221 (range, 38-65 years) and standard deviation of 6.75. The mean body mass index of the studied  
222 population was 25.7 (range, 17.6-33) with a standard deviation of 3.91. The shoulder repaired was on  
223 the dominant side in 46 (70%) cases and on the non-dominant side in the other 20 (30%) cases.

224 The mean preoperative dimensions of the lesions were 20.59 mm (range, 5-50 mm) for the anterior  
225 to posterior axis and 20.22 mm (range, 5-60 mm) for the medial to lateral side with standard  
226 deviations of 10.3 and 13.9, respectively. The mean surgery times were:  $61.5 \pm 16.2$  min for the  
227 transosseous group and  $53.7 \pm 10.7$  min for anchor technique. The difference, evaluated with t-test,  
228 was not significant (p-value 0.17). The follow-up period lasted, on average, 40 months (range, 31 –  
229 46 months).

230 *Table 3* shows the distribution of lesions according to the surgical technique and degree of tendon  
231 damage classified as proposed by Snyder.

232

*Table 3. Distribution of types of lesion according to Snyder's classification  
and divided by surgical technique.*

	<b>C1</b>	<b>C2</b>	<b>C3</b>	<b>C4</b>	<b>Total</b>
<b>Anchor</b>	11	12	5	7	<b>35</b>
<b>Tunnel</b>	3	11	7	10	<b>31</b>
<b>Total</b>	14	23	12	17	<b>66</b>

233 The Constant and QuickDASH scores at 15 months showed significant improvements in comparison  
234 with preoperative scores (*Table 4*). The median improvements in Constant values were 7.2 points in

235 the anchor repair group and 5.6 points in the group where the transosseous technique was adopted.  
 236 The disability level decreased by 43.1 points in the anchor group and by 51.1 points in the  
 237 transosseous group.  
 238

Table 4. Constant and QuickDASH results in each group.

	Preoperative	15 months follow-up	p-value
<b>Constant</b>			
<b>Anchor</b>	65.1 [54.9 - 72]	72.3 [67.1 – 79.6]	<0.001
<b>Tunnel</b>	64.3 [53.8 – 73.5]	69.9 [65.9 – 80.7]	0.0033
<b>QuickDASH</b>			
<b>Anchor</b>	45.4 [25 – 56.8]	2.3 [0 - 18.9]	<0.001
<b>Tunnel</b>	55.6 [47.2 – 72.2]	4.5 [0 – 15.9]	<0.001

Data are reported as median [Q1 - Q3].

239  
 240 At the 15 months follow-up pain scores had decreased from pre-operative values by 3.8 points and  
 241 4.5 points in the anchor and transosseous groups, respectively, which were both statistically  
 242 significant reductions (p-values < 0.01) (Table 5).  
 243

244 Table 5. NRS results divided by surgical technique.

	Preoperative	15 months follow-up	p-value
<b>Anchor</b>	5.1 ± 2.6	1.3 ± 2.1	<0.001
<b>Tunnel</b>	5.7 ± 2.5	1.2 ± 1.8	<0.001

Data are reported as mean ± SD

245  
 246 At the final follow-up (40 months), the value of QuickDASH remained substantially stable, in  
 247 comparison with the 15 months follow-up, for both the technique and the differences are not  
 248 statistically significant (Table 6).



Table 6. QuickDASH results at final follow-up in each group

	15 months follow-up	Final 40 months follow-up	p-value
<b>QuickDASH</b>			
<b>Anchor</b>	2.3 [0 - 18.9]	2.3 [0 - 9.1]	0.29
<b>Tunnel</b>	4.5 [0 - 15.9]	2.3 [0 - 9.1]	0.39

Data are reported as median [Q1 - Q3].

249

250 There were no statistically significant differences between the two groups in terms of Constant (p-  
 251 value = 0.25), QuickDASH (p-value = 0.52) and NRS (p-value = 0.91) values determined at the 15  
 252 months follow-up (Table 7). The some result for the QuickDASH at the final follow-up (p-value =  
 253 0.78).

Table 7. Mean values of the scores at 15 months follow-up.

	Anchor	Tunnel	p-value
<b>Constant</b>	72.3 [67.1 - 79.6]	69.9 [65.9 - 80.7]	0.25
<b>QuickDASH</b>	2.3 [0 - 18.9]	4.5 [0 - 15.9]	0.52
<b>NRS</b>	1.3 ( $\pm$ 2.1)	1.2 ( $\pm$ 1.9)	0.91

Data are reported as mean ( $\pm$  SD) or median [Q1 - Q3]

254

255 The analysis of postoperative pain, measured daily for 28 days after surgery, did not show a significant  
 256 difference between the two techniques. Although mean NRS values were almost always lower after  
 257 surgery with the transosseous technique than with the anchor technique, the differences in daily means  
 258 between the two groups were not statistically significant (p-value min. 0.19 - 0.96 max).

259 However, the weekly mean value of NRS showed a significant difference in pain levels in favour of  
 260 the transosseous technique in the third and fourth weeks after surgery (p-value = 0.02 and < 0.01,  
 261 respectively) (Table 8).

262

Table 8. Weekly NRS values for the first 4 weeks after rotator cuff repair.

	Week I	Week II	Week III	Week IV
<b>Anchor</b>	5.45 ± 2.81	3.70 ± 2.77	3.00 ± 2.44	2.44 ± 2.41
<b>Tunnel</b>	5.45 ± 2.89	3.50 ± 2.68	2.46 ± 2.56	1.76 ± 2.31
<b>p-value</b>	0.99	0.43	0.02	<0.01

Data are reported as mean ( $\pm$  SD)

263

264 The evaluation at 2 months showed that 17 patients in the anchor group and 12 patients in the  
 265 transosseous group had a stiff shoulder; this difference in frequency was not statistically significant  
 266 (p-value = 0.42) (Table 9).

267

Table 9. Normal and stiff shoulders at 2 months after surgery.

	Stiff	Normal	Total
<b>Anchor</b>	17	18	<b>35</b>
<b>Tunnel</b>	12	19	<b>31</b>
<b>Total</b>	29	37	<b>66</b>

268

269 The mean NRS scores 2 month after surgery were not statistically different between the two groups,  
 270 being 2.3 points in the anchor group and 1.4 points in the transosseous group (p-value = 0.11).

271 The distribution of degrees of rotator cuff integrity, evaluated by MRI at least 1 year after surgery,  
 272 was substantially similar in the two groups (Table 10).

273

274

275

276

Table 10. Postoperative cuff integrity defined by Sugaya's classification.

Surgical technique	Sugaya's classification					Total
	I	II	III	IV	V	
<b>Anchor</b>	7 (20%)	17 (49%)	7 (20%)	3 (9%)	1 (3%)	<b>35</b>
<b>Tunnel</b>	5 (17%)	17 (57%)	4 (13%)	4 (13%)	0 (0%)	<b>30</b>
<b>Total</b>	<b>12 (18%)</b>	<b>34 (52%)</b>	<b>11 (17%)</b>	<b>7 (11%)</b>	<b>1 (2%)</b>	<b>65</b>

277

278 According to Sugaya's classification<sup>47,48</sup> at the assessment at least 1 year after anchor or transosseous  
 279 repair, 69% vs 74%, respectively, of the rotator cuffs had sufficient thickness; 20% vs 13% of the  
 280 rotator cuffs were intact with insufficient thickness and 12% vs 13% rotator cuffs had discontinuities  
 281 and, therefore, different degrees of re-rupture.

282 Dichotomising the MRI results in terms of integrity/rupture<sup>43</sup> (Sugaya's classes I, II, III = intact;  
 283 Sugaya's classes IV, V = re-ruptured), 88% of the anchor group and 87% of the transosseous group  
 284 were intact. The difference in terms of re-rupture between the two groups was not statistically  
 285 significant (p-value = 0.81). Furthermore, the difference in functional outcome between the intact and  
 286 re-ruptured group (Table 11), expressed by the Constant and QuickDASH scores, revealed no  
 287 differences (p-values = 0.57 and 0.56, respectively).

Table 11. Comparison between postoperative functional outcomes of patients with intact or re-ruptured rotator cuffs.

	Intact	Re-ruptured	p-value
<b>Constant</b>	70.1 [66.2 – 79.6]	69.4 [62.7 – 77.9]	0.57
<b>QuickDASH</b>	4.5 [0 – 13.6]	6.8 [0 – 27.3]	0.56
<b>Power (Kg)</b>	7.02 (± 3.33)	5.73 (± 3.19)	0.31
<b>NRS</b>	1.11 (± 1.85)	2.75 (± 2.49)	0.03

Data are reported as mean (± SD) or median [Q1 - Q3]

288

289 The difference in strength between patients with an intact rotator cuff and those with a re-ruptured  
290 rotator cuff (7.02 kg vs 5.73 kg, respectively) was not statistically significant (p-value = 0.31).  
291 However, the difference in pain between these two groups turned out to be statistically significant (p-  
292 value = 0.03) with a mean NRS score of 1.11 in the group of patients with intact rotator cuffs and  
293 2.75 in the group with re-ruptured rotator cuffs.  
294 No postoperative complications, except for re-tears, occurred during the study period.

295

## 296 **DISCUSSION**

297

298 The main finding of this first randomized controlled trial comparing the arthroscopic transosseous  
299 technique with the single-row suture anchor technique for rotator cuff repair is that the two techniques  
300 provide similar results with regards to MRI-assessed tendon healing and shoulder function. However,  
301 patients operated with the transosseous approach tended to have less postoperative pain in the third  
302 and fourth weeks after surgery. This trend appeared statistically significant. Overall, re-tear rates with  
303 both techniques were very low and scarcely associated with clinical performance.

304 Rotator cuff repair is based on the creation of a fibrovascular interface between tendons and bone,  
305 which is necessary for complete healing and tendon insertion reconstruction.<sup>10</sup> The two surgical  
306 techniques analysed in this study have different capacities to create ideal conditions for tendon  
307 healing. Compared with transosseous suturing, the use of anchors in a "single-row" configuration  
308 determines a stronger concentration of force vectors in suture passage areas and, consequently, greater  
309 circumferential tension forces on the tendon.<sup>39,44</sup> Furthermore, the transosseous technique allows the  
310 creation of a larger suture tendon contact area than that created during the anchor procedure,  
311 increasing the adhesion pressure at the footprint surface.<sup>38</sup> These two factors, combined with the  
312 stability of the tendon-to-bone interface, play key roles in obtaining a long-lasting repair.<sup>29</sup> These  
313 considerations regard "open" surgery, where transosseous tunnel repair is still considered the "gold  
314 standard".<sup>41</sup> Since arthroscopic transosseous repair has only recently been introduced, there are few

315 studies related to the biomechanical characteristics of this type of repair. Based on the results of a  
316 controlled laboratory study, Kummer *et al.*<sup>26</sup> suggested that arthroscopic transosseous rotator cuff  
317 repair with an X-box crossed suture configuration provides similar strength and stability to an  
318 arthroscopic transosseous equivalent suture-bridge repair.

319 As reported by Spennacchio *et al.*<sup>46</sup>, arthroscopic rotator cuff repair ensures good long-term results,  
320 with clinical outcomes often being better than radiological results. This study confirms that surgical  
321 rotator cuff repair leads to performance enhancement, evidenced by pain reduction and improvements  
322 in Constant and QuickDASH scores.<sup>1,13</sup> Nevertheless, no significant differences in postoperative  
323 clinical results were observed. These findings suggest that the biomechanical differences between  
324 anchor and transosseous repair do not cause relevant disparities in healing capacity.

325 According to the daily assessments of pain for 4 weeks following surgery, postoperative pain was not  
326 significantly different in the groups treated with the two techniques, although pain appeared to  
327 decrease more rapidly following transosseous repair. However, when pain data were grouped by  
328 week, patients treated with the transosseous procedure had significantly less pain in the third and  
329 fourth weeks after surgery than did patients treated with anchors, while there was almost total  
330 equivalence in the first 14 days. One possible explanation for these findings could be the similar  
331 invasiveness of the surgical procedure for both techniques: in the early postoperative days,  
332 inflammation/oedema and repair processes for the surgical wounds are almost equivalent, whereas  
333 from the third week, it is possible that there is less pain with the transosseous technique because of  
334 the absence of metal anchors in the bone. Another reason for the faster pain reduction could be the  
335 improvement in the vascular pattern that may contribute to a better biological healing.<sup>52</sup>

336 The limitations of both techniques are well described in the literature. The main limitations of the  
337 anchor procedure are: 1) difficulty of re-operation due to the presence of anchors in the greater  
338 tuberosity; 2) possible mobilization of the anchors; 3) anchor impingement in abduction movements;  
339 and 4) implant costs.<sup>27</sup> On the other hand, the limitations of transosseous repair are: 1) longer surgery  
340 time; 2) need for surgeons who are very experienced in shoulder arthroscopic techniques; and 3) risk

341 of rupture of the cortical component of the tunnel's lateral margin, especially in elderly osteoporotic  
342 patients<sup>4,9</sup>. Black *et al.*<sup>6</sup> evidenced this limitation in two patients, out of a group of 31, who had  
343 intraoperative rupture of the lateral margin of the tunnel. The longer surgery time could be a  
344 consequence of the learning curve in guide use<sup>2</sup> and, so, it could decrease in the future.

345 In our study, we did not find any clinical evidence of the limitations described above, further  
346 supporting a substantial similarity between the two techniques.

347 Recently, a review paper regarding arthroscopic rotator cuff repair complications<sup>40</sup> evidenced that the  
348 adverse events most frequently reported in literature are tendon re-rupture and non-healing. The  
349 ranges of prevalence for these complications appeared to be very wide, also as a consequence of  
350 preoperative differences in lesion size<sup>7,34,54</sup>: Galantz *et al.*<sup>21</sup> reported a 94% re-rupture rate at 2 years,  
351 while Sugaya *et al.*<sup>47</sup> described 10% of negative cases following a "double-row" technique.

352 A review published in 2010, based on data from 1252 patients divided by surgical technique and  
353 lesion size, quantified re-rupture frequencies at 1 year of follow-up after surgery for lesions less than  
354 1 cm, between 1 and 3 cm, and greater than 4 cm as 18%, 31%, and 44%, respectively, following  
355 "single row" anchor repair and 17%, 20% and 44%, respectively, after "open" transosseous repair.<sup>19</sup>

356 Regarding the transosseous arthroscopic technique, Kuroda *et al.*<sup>27</sup> reported a re-rupture rate of 6%  
357 at 24 months and Flanagan *et al.*<sup>20</sup> a 3.7% failure rate at 38 months: while the former study used MRI  
358 to assess the re-tear occurrence, the latter was based on clinical evaluation.

359 In our study, the overall re-rupture rate at a mean follow-up of 15 months was 13%, with 11% being  
360 minor discontinuities and only 2% being medium-large, full-thickness lesions.

361 The values obtained are at the lower extreme of the previously defined broad range and in line with  
362 the best case studies of healing. The difference in re-rupture frequency between the two procedures  
363 is not statistically significant. This finding, which apparently does not match with the theoretically  
364 better healing capacity offered by the transosseous technique,<sup>51</sup> is consistent with the findings of  
365 empirical studies comparing the "open" transosseous technique with arthroscopic suture anchor  
366 repair.<sup>5,19</sup>

367 Thus the causes of rotator cuff repair failure do not depend predominantly on different surgical  
368 technique but, rather, on reduced bone density, patient's age, size of the lesion, degree of fatty muscle  
369 belly infiltration, level of myotendinous retraction, and smoking.<sup>7,11,13,14,35,37</sup>

370 Although re-tears occur infrequently more than 3 months after rotator cuff repair<sup>25</sup>, a possible  
371 limitation of this study is the lack of a radiological evaluation at 40 months follow-up. A difference  
372 in re-tear rates could become clearer over a longer time than that covered by the follow-up of this  
373 study<sup>53</sup>, and the superiority of one technique over the other in term of healing capacity could therefore  
374 appear in the future with longer radiological evaluation. Nevertheless, the stability of QuickDASH  
375 score between the 15 months follow-up and the 40 months follow-up suggests that no more  
376 complications occurred in this period. A second limitation to our study may be that the assessment  
377 of lesion dimension was performed after the randomization process. This process resulted in a  
378 difference in lesion dimension distribution for the C1 type lesion, which are more represented in the  
379 anchor group. Another limitation is that massive retracted lesions and lesions that involved the  
380 subscapularis tendon were excluded from this study.

381 Confirming previous published data<sup>30,42</sup>, this study underlines the poor link between rotator cuff  
382 repair failure and patients' functional impairment: there were no significant differences in Constant  
383 and QuickDASH scores between patients with intact rotator cuffs and patients with different levels  
384 of re-rupture.<sup>24,28,48</sup> However, according to Malavolta *et al.*<sup>30</sup>, there is a significant difference in  
385 postoperative pain between patients with intact rotator cuffs and patients with full-thickness rotator  
386 cuff lesions.

387 Shoulder function can be preserved even in the presence of a tendon lesion, when this is not  
388 excessively extended, as proven by the fact that in the United States each year only 6% of patients  
389 with a full-thickness rotator cuff tear seek help from surgery.<sup>18</sup> The reduction of pain after surgical  
390 repair can, therefore, in itself provide a fundamental contribution to improving patients' quality of  
391 life.<sup>5</sup>

392

393 **CONCLUSION**

394

395 In this study we evaluated the results of arthroscopic transosseous repair compared to the single-row  
396 suture anchor repair.

397 In line with the assumptions of the study, the two procedures provided substantially equivalent results  
398 in terms of functional and radiological results. Given the similarity of outcomes of the two described  
399 techniques, it appears advisable to choose a treatment depending on other factors such as bone tissue  
400 quality, surgical experience, and operative costs. However, transosseous repair was found to be  
401 associated with faster pain reduction in the first postoperative month, with a more quick decrease in  
402 pain from the third week. Overall, the transosseous technique appears to offer similar results as  
403 single-row anchor repair in arthroscopic rotator cuff repair.

404

405 **Conflict of Interest:**

406 The authors declare that they have no conflicts of interest regarding this study.

407

408 **Financial Disclosure:**

409 The authors declare that they did not receive any financial support for this study.

410

411

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