

1 **Projecting non-native Douglas fir plantations in Southern Europe with the Forest Vegetation**

2 **Simulator**

3

4 **Abstract**

5 In Italy, Douglas fir has a high potential in terms of wood production and drought tolerance.  
6 However, a growth reference for mature stands is lacking. We calibrated and validated the Pacific  
7 Northwest variant of FVS to Douglas fir plantations, and ran the calibrated model to test  
8 management alternatives. We calibrated the height-diameter, crown width, crown ratio, and  
9 diameter increment submodels of FVS using multipliers fitted against tree measurements (n =704)  
10 and increment cores (180) from 20 plots. Validation was carried out on tree-level variables sampled  
11 in 1996 and 2015 in two independent permanent plots (275 trees). Multiplier calibration improved  
12 the error of crown submodels by 7-19%; self-calibration of the diameter growth submodel produced  
13 scale factors of 1.0 – 5.2 for each site. Validation of 20-years simulations was more satisfactory for  
14 tree diameter (-6% to +1% mean percent error) than for height (-10% – +8%). Calibration reduced  
15 the error of predicted basal area and yield after 50 years relative to yield tables. Simulated response  
16 to thinning diverged depending on site index and competition intensity. FVS is a viable option to  
17 model the yield of Douglas fir plantations in Italy, reflecting current understanding of forest  
18 ecosystem dynamics and how they respond to management interventions.

19

20

21 Keywords: Empirical forest models; growth and yield; calibration; plantation management;

22 *Pseudotsuga menziesii* (Mirb.) Franco

## 23 1 Introduction

24

25 Plantations are a resource with global importance for wood and pulp production (Forest Europe  
26 2015). In Europe, Douglas fir (*Pseudotsuga menziesii* (Mirb.) Franco) has been planted on a large  
27 scale and is now the most economically important exotic tree species (Schmid et al. 2014; Ducci  
28 2015). Douglas fir has usually a high growth rate in comparison with other forest tree species in  
29 Europe, has a higher resistance to drought (Eilmann and Rigling 2012), and may provide high  
30 added-value timber (especially after the first thinning) (Monty et al. 2008). In Southern Europe, no  
31 indigenous conifer has similar characteristics of productivity and timber quality (Corona et al.  
32 1998).

33 In Italy, Douglas fir was introduced in 1882 (Pucci 1882) using seeds from the Pacific Northwest  
34 Coast of the United States (Pavari and De Philippis 1941). Between 1922 and 1938, the “Stazione  
35 Sperimentale di Selvicoltura” established 98 experimental plantations (Pavari 1916; Pavari and De  
36 Philippis 1941; Nocentini 2010). These trials demonstrated that a variety of sites in central and  
37 northern Italy was suitable for the species (Pavari 1958). Nowadays, Douglas fir plantations cover  
38 an area of about 0,8 million ha in Europe (Forest Europe 2015). In Tuscany (Central Italy), Douglas  
39 fir covers 3,360 hectares in pure stands and 2,112 hectares in mixed stands (Regional Forest  
40 Inventory of Tuscany 1998).

41 The key to successful management of productive Douglas fir plantations is a proper understanding  
42 of growth dynamics in relation to tree characteristics, stand structure, and environmental variables.  
43 The productivity of Douglas fir stands in Italy was studied by Pavari and De Philippis (1941) and,  
44 distinctly, by Cantiani (1965) who established a yield table for stands up to 50 years old, based on  
45 115 plots of different ages.

46 Growth and yield models simulate forest dynamics through time (i.e., growth, mortality,  
47 regeneration). They are widely used in forest management because of their ability to support the  
48 updating of inventories, predict future yield, and support the assessment of management alternatives

49 and silvicultural options, thus providing information for decision-making (Vanclay 1994). Much  
50 research has been carried out to model the growth of Douglas fir throughout its home range  
51 (Newnham and Smith 1964; Arney 1972; Mitchell 1975; Curtis et al. 1981; Wykoff et al. 1982;  
52 Wykoff 1986; Ottorini 1991; Wimberly and Bare 1996; Hann and Hanus 2002; Hann et al. 2003).  
53 In Italy, a growth reference for Douglas fir stands older than 50 years is currently lacking. Here, we  
54 propose the use of Forest Vegetation Simulator (FVS) to simulate the growth of such stands.  
55 FVS is an empirical, individual tree, distance-independent growth and yield model originally  
56 developed in the Inland Empire area of Idaho and Montana (Stage 1973). FVS can simulate many  
57 forest types and stand structures ranging from even-aged to uneven-aged, and single to mixed  
58 species in single to multi-story canopies. There are more than 20 geographical variants of FVS,  
59 each with its own parameterization of tree growth and mortality equations for a particular  
60 geographic area of the United States. In addition, FVS incorporates extensions that can simulate  
61 pest and disease impacts, fire effects, fuel loading and regeneration (Crookston 2005).  
62 FVS has been rarely used in Italy (Vacchiano et al. 2014). The aims of this work are: (1) calibrating  
63 and validating the Pacific Northwest Coast variant of FVS to Douglas fir plantations in Italy, (2)  
64 comparing predictions from the calibrated model against available yield tables for Douglas fir in  
65 Italy, and (3) using the calibrated model to test silvicultural alternatives for Douglas fir plantation  
66 management.

67

## 68 2 Materials

69

70 Data for this work were measured in 20 stands of Douglas fir planted between 1927 and 1942 over a  
71 2000 km<sup>2</sup> wide area in the northern Apennines, mostly within and nearby Tuscany region (Figure  
72 1), at elevations ranging between 770 and 1260 m a.s.l. For each stand, Table 1 reports climatic data  
73 derived from ClimateEU (Hamann et al. 2013) and Ecopedological Units (EU) from the

74 Ecopedological Map of Italy (Costantini et al. 2012). For each stand Table 2 reports aspect, slope,  
75 and site index, i.e. the top height at 50 years assessed according to Maetzke and Nocentini (1994).

76

77 Tree measurements were carried out in a 20-m radius circular plot located at the center of each  
78 sampled stand, except Pietracamela that had a radius of 10 m. For each living tree (for a total of 704  
79 trees) we measured: stem diameter at 130 cm height (DBH), total height (HT), crown length (CL),  
80 and crown width (CW) as the average of two orthogonal crown diameters. From a sub-sample of 8-  
81 10 trees per plot, we extracted an increment core at 130 cm above the ground. Tree cores were  
82 prepared for measurement in the lab and analyzed with LINTAB and TSAP-WIN software; from  
83 each core (for a total of 180 cores) we measured the radial increment from the last 10 annual rings  
84 to the nearest 0.01 mm.

85

### 86 3 Calibration

87

88 In order to adjust FVS to local growing conditions, the model components (hereafter “submodels”)  
89 need to undergo calibration against observed data. FVS submodels include height-diameter  
90 equations, crown width equations, crown ratio equations, tree diameter growth equations, tree  
91 height growth equations, mortality equations, and bark ratio equations. Due to the lack of repeated  
92 field measurements, this paper focuses on the first four submodels, leaving the others unchanged.

93 Since the considered populations of Douglas fir come from the Pacific Northwest coast of the  
94 United States (Pavari and De Philippis 1941), the Pacific Northwest (PN) variant of FVS (Keyser  
95 2014) was used as a basis for model calibration and runs. The original range considered by this  
96 variant covers from a line between Coos Bay and Roseburg, Oregon in the south to the northern  
97 shore of the Olympic Peninsula in Washington, and from the Pacific coast to the eastern slope of the  
98 Coast Range and Olympic Mountains (Keyser 2014).

99 FVS includes two options to calibrate model performance to local growing conditions (Dixon  
100 2002): (i) automatic scaling by the model, and (ii) user-defined multipliers of model output entered  
101 by the user by specific input scripts or “keywords” (Van Dyck and Smith-Mateja 2000). For the  
102 height-diameter and large tree diameter growth submodels we analyzed the performance of  
103 automatic calibration, while for crown width and crown ratio submodels we fitted user-defined  
104 multipliers. The following paragraphs illustrate, for each of the four submodels, the adopted  
105 calibration strategy and its results.

106 All the variables in the FVS equations are expressed in imperial units; conversion to and from the  
107 metric system was carried out outside the calibration algorithms. The simulation cycle is 10 years.

108 To check whether each submodel needed calibration, we fitted FVS submodels to the observed data  
109 and computed 95% confidence intervals for all regression coefficients. If default FVS coefficients  
110 were outside of locally-calibrated confidence intervals, model adjustment was deemed necessary.  
111 Additionally, we compared the fit of non-calibrated versus calibrated submodels against observed  
112 data, using coefficient of determination ( $R^2$ ), root mean square error (RMSE), mean bias (MBE),  
113 mean absolute bias (MABE) and mean percent bias (MPE) as goodness-of-fit metrics (Rehman  
114 1999).

115

### 116 3.1 Height-Diameter submodel

117

118 Height-Diameter relationships in FVS are used to estimate missing tree heights in the input data. By  
119 default, the PN variant uses the Curtis-Arney functional form as shown in Equation [1] (Arney 1985;  
120 Curtis 1967). Height-Diameter submodel (HT) uses an internal self-calibration method; if users  
121 don't provide all stem heights, but more than three, the height-diameter equation is calibrated.

122

$$123 \quad HT = 4.5 + p2 * \exp(-p3 * DBH^{p4}) \quad [1]$$

124

125 where  $p_2$ - $p_4$  are species-specific parameters (default values for the PN variant:  $p_2=407.1595$ ;  
126  $p_3=7.2885$ ;  $p_4=-0.5908$ ).

127 When fitted against observed tree heights from all the plots here considered, Equation (1) had two  
128 parameters whose confidence intervals did not include the FVS default values (Table 3): submodel  
129 adjustment was therefore needed.

130

131 The fit of the uncalibrated submodel against observations (Figure 2) produced a  $R^2$  of 0.6 and MPE  
132 equal to 1.18%, corresponding to MBE equal to 33 cm and RMSE of 4.86 m. The new coefficients  
133 ( $p_2$ - $p_4$ ) were calculated by nonlinear regression:  $p_2 = 199.4300348$ ,  $p_3 = 8.9860045$ ,  $p_4 = -0.9680623$ .

134 The calibrated HT submodel produced an MBE equal to -0.3 cm and an RMSE of 4.16 m.

135

### 136 3.2 Crown width submodel

137

138 In PN-FVS, crown width (CW) is computed as a function of tree and stand characteristics (Equation  
139 2: Crookston 2005) and bound to  $\leq 24$  m:

140

$$CW = (a_1 * BF) * DBH^{a_2} * HT^{a_3} * CL^{a_4} * (BA + 1.0)^{a_5} * (\exp(EL))^{a_6} \quad []$$

141

142 where BF is a species- and location-based coefficient (default  $BF$  for Douglas fir= 0.977), BA is  
143 stand basal area, EL is stand elevation in hundreds of feet, and  $a_1$ - $a_6$  are species-specific  
144 parameters ( $a_1=6.02270$ ;  $a_2= 0.54361$ ;  $a_3= -0.20669$ ;  $a_4= 0.20395$ ;  $a_5=-0.00644$ ;  $a_6=-0.00378$ ). When  
145 Equation [2] was fitted against observed data, only two parameters were inside the 95% confidence  
146 intervals of the uncalibrated equation (Table 3): submodel adjustment was therefore needed.

147 To this end, we used the CWEQN keyword that allows to enter user-defined coefficients for a new  
148 species-specific crown width model (Equation 3):

149

$$CW = s_0 + (s_1 * DBH) + (s_2 * DBH^3) [3]$$

150

151 where the coefficients  $s_0 - s_3$  were determined by nonlinear regression:  $s_0=6.701$ ,  $s_1=0$ ,  $s_2=0.111$ ,  
152  $s_3=1.502$ . Calibration improved model fit: MPE decreased from 31% to 12%, MBE from 83 cm to  
153 0.2 cm and RMSE from 2.12 m to 1.87 m.

154

### 155 3.3 Crown ratio submodel

156

157 Crown ratio (CR), i.e. the ratio of crown length to total tree height, is a commonly used predictor of  
158 diameter increment both in United States (Wykoff 1990) and Europe (Monserud and Sterba 1996).  
159 It is an indicator of the joint effects of stand density, tree size and vigor, and social position of each  
160 tree in the stand. Crown ratio equations are used for three purposes by FVS: (i) to estimate tree  
161 crown ratios missing from the input data for both live and dead trees; (ii) to estimate change in  
162 crown ratio for each simulated cycle for live trees; and (iii) to estimate initial crown ratios for  
163 regenerating trees established during a simulation (Keyser 2014).

164

165 PN-FVS uses a Weibull-based model to predict crown ratio for all live trees with  $DBH > 2.5$  cm  
166 (Dixon 1985). First, the average stand crown ratio (ACR) on a 1-100 scale is estimated as a function  
167 of stand density (Equation 4: Johnson and Kotz 1995):

168

$$ACR = d_0 + d_1 * RELSDI * 100 [4]$$

169

170 where  $d_0 - d_1$  are species-specific coefficients ( $d_0 = 5.666442$ ;  $d_1 = -0.025199$ ) and  $RELSDI$  = relative  
171 Stand Density Index, i.e., the ratio between measured (SDI) and species-specific maximum SDI  
172 ( $SDI_{max}$ ). SDI is a measure of relative density based on the self-thinning rule (Yoda et al. 1963)  
173 i.e., the inverse relationship between the number of plants per unit of area and the mean size of the

174 individuals (Comeau et al. 2010; Pretzsch and Biber 2005; Shaw 2006; Vacchiano et al. 2005). SDI  
175 (Reineke 1933) is calculated according to Equation (5):

176

$$177 \quad SDI = TPA \left( \frac{Qmd}{25} \right)^{1.605} [5]$$

178

179 where TPA is the number of trees per acre. Maximum SDI is provided as species-specific default  
180 (SDI<sub>max</sub> for Douglas fir = 950). Maximum SDI also controls FVS mortality equations; by default,  
181 density related mortality begins at RELSDI =55% (Dixon 1986).

182

183 ACR is then used to estimate the parameters A, B, and C of the Weibull distribution of individual  
184 CRs (Equations 6-10):

185

$$A = A_0 [6]$$

$$B = B_0 + B_1 * ACR \text{ (bound to } B > 3) [7]$$

$$C = C_0 + C_1 * ACR \text{ (bound to } C > 2) [8]$$

$$SCALE = 1 - (0.00167 + (CCF - 100)) [9]$$

$$CR = A + B * \left( \left( -\log \left( 1 - \left( SCALE * \frac{RANK}{N} \right) \right) \right)^{1/C} \right) [10]$$

186

187 where  $a_0$ ,  $b_0 - b_1$ ,  $c_0 - c_1$  are species-specific coefficients (Keyser 2014) ( $a_0=0$ ;  $b_0=-0.012061$ ;  
188  $b_1=1.119712$ ;  $c_0=3.2126$ ;  $c_1=0$ ), N is the number of trees in the stand, RANK is a tree's rank in the  
189 stand DBH distribution (1 = the smallest; N = the largest), SCALE is a density-dependent scaling  
190 factor (Siipilehto et al. 2007) bound to  $0.3 < SCALE < 1.0$ , and CCF is stand crown competition  
191 factor (Krajicek et al. 1961), computed as the summation of individual CCF ( $CCF_t$ ) from trees with  
192 DBH > 2.5 cm (Equation 11: Paine and Hann 1982).

193



$$CCFt = r1 + (r2 * DBH) + (r3 * DBH^2) [11]$$

194

195 where  $r_1 - r_3$  are species-specific coefficients ( $r_1=0.0387616$ ;  $r_2=0.0268821$ ;  $r_3=0.00466086$ ).

196

197 When fitted against observed data, confidence interval of Equation [10] included the PN-FVS  
198 default values only in one case (Table 3), therefore calibration was needed.

199 The fit of the uncalibrated crown ratio model against observed data was very poor ( $R^2 = 0.08$ , MPE  
200 = 14%, MBE = -2.64 m, RSME = 4.47 m).

201 Crown ratio calibration was attained by a keyword (CRNMULT) that multiplies simulated crown  
202 ratios by a specified proportion (Hamilton 1994). The value of CRNMULT (=1.22) was determined  
203 by nonlinear regression using observed CR as dependent variable and the independent variables  
204 from Equations [4]-[10].

205 CRNMULT improved the fit of the CR submodel:  $R^2$  from 0.08 to 0.91, MPE from -14.02% to  
206 5.13%, MBE from -2.64 to -0.49 m and RMSE from 4.47 to 3.89 m.

207

### 208 3.4 Large Tree Diameter Growth submodel

209

210 The large ( $DBH > 7.62$  cm) tree diameter growth model used in most FVS variants predicts the  
211 natural logarithm of the periodic change in squared inside-bark diameter ( $\ln(DDS)$ ) (Equation 12:  
212 Stage 1973) as a function of tree, stand and site characteristics:

213

$$\begin{aligned}
\ln(DDS) = & b_1 + (b_2 * EL) + (b_3 * EL^2) + (b_4 * \ln(SI)) + (b_5 * \sin(ASP) * SL) \\
& + (b_6 * \cos(ASP) * SL) + (b_7 * SL) + (b_8 * SL^2) + (b_9 * \ln(DBH)) \\
& + (b_{10} * CR) + (b_{11} * CR^2) + (b_{12} * DBH^2) + \left( b_{13} * \frac{BAL}{\ln(DBH + 1.0)} \right) \\
& + (b_{14} * CCF) + (b_{15} * RELHT) + (b_{16} * \ln(BA)) + (b_{17} * BAL) \\
& + (b_{18} * BA) [12]
\end{aligned}$$

214

215 where BAL is total basal area in trees larger than the subject tree, RELHT is tree height divided by  
216 the average height of the 40 largest diameter trees in the stand, b1 is a location-specific coefficient  
217 that defaults to -0.1992, and b2-b18 are species-specific coefficients (b<sub>2</sub>=-0.009845; b<sub>3</sub>=0;  
218 b<sub>4</sub>=0.495162; b<sub>5</sub>=0.003263; b<sub>6</sub>=0.014165; b<sub>7</sub>=-0.340401; b<sub>8</sub>=0; b<sub>9</sub>=0.802905; b<sub>10</sub>=1.936912; b<sub>11</sub>=0;  
219 b<sub>12</sub>=-0.0000641; b<sub>13</sub>=-0.001827; b<sub>14</sub>=0; b<sub>15</sub>=0; b<sub>16</sub>=-0.129474; b<sub>17</sub>=-0.001689; b<sub>18</sub>=0) (Keyser  
220 2014).

221 When fitted against the observations, confidence interval analysis showed that only two parameters  
222 of Equation [12] were inside the 95% confidence intervals of the uncalibrated equation (Table 3),  
223 therefore the model needed calibration. This was attained by enabling self-adjustment of growth  
224 predictions by scale factor calculation.

225 When five or more observations of periodic increment for a species are provided for a plot, FVS can  
226 adjust the increment models to reflect local conditions (Stage 1981). This automatic calibration  
227 computes a species-specific scale factor that is used as a multiplier to the base growth equations,  
228 bound to a range of 0.08-12.18, and applied at the plot level. The scale factors are attenuated over  
229 time. The attenuation is asymptotic to one-half the difference between the initial scale factor value  
230 and one. The rate of attenuation is dependent only on time, and has a half-life of 25 year (Dixon  
231 2002).

232 In order to check for bias, we disabled the self-calibration and randomization algorithms of the large  
233 tree diameter growth model using the NOCALIB and NOTRIPLE keywords, and scrutinized scale  
234 factors for  $\ln(DDS)$  automatically calculated against observed periodic increments.  
235 These scale factors ranged from 1 to over 5, showing a large variety of growing conditions  
236 unaccounted for by the default growth equation (Table 4). The high heterogeneity of growth is also  
237 shown by the ratio of the standard deviation of the residuals for the growth sample to the model  
238 standard error, which is consistently higher than 1.0. Bayes weights (Krutchkoff 1972) are an  
239 expression of confidence that the growth sample represents a different population than does the  
240 original data used to fit the model (in this case, PN-FVS data). In other words, a value of 0.90  
241 would indicate a 90% certainty that the growth sample represents a different population than the  
242 database used to fit the model (Dixon 2002).

243

#### 244 4 Model validation

245

246 We used independent datasets from two of the oldest permanent plots in Italy (Mercurella: 85 years,  
247 39,336°N, 16,081°E; Vallombrosa: 90 years, 43,749°N, 11,577°E) to validate the calibrated PN-  
248 FVS for a total of 275 trees. Using the the TIMEINT keyword, we ran a simulation from 1996 to  
249 2015 with a cycle length of 5 years. We compared predicted vs. observed DBH and height  
250 (Mercurella: year 2012, Vallombrosa: year 2015). Initial stem heights in Mercurella (1996) were  
251 calculated with Curtis-Arney function (Curtis 1967). The value of  $R^2$  between predicted and  
252 observed data for DBH was high in both sites (Table 5), especially for Vallombrosa (0.96), while  $R^2$   
253 for height was lower (0.54 in Mercurella and 0.72 in Vallombrosa).

254

#### 255 5 Comparison with yield tables

256

257 We ran the locally-calibrated PN variant of FVS 50 years into the future using site characteristics  
258 referred to the measured 20 plots and starting from bare ground. Initial plantation density was set at  
259 2745 trees per hectare, i.e. similar to the initial density of the yield table by Cantiani (1965), using  
260 the PLANT keyword. We instructed FVS to reproduce the same treatments prescribed by the  
261 Cantiani yield table, by using the THINBTA keyword (Thinning from below to trees per acre  
262 target); thinnings were scheduled after 20 years (20% basal area removal), 30 years (30% removal),  
263 40 years (25% removal), and 50 years (25% removal). We compared basal area simulated by the  
264 uncalibrated and calibrated PN-FVS (mean across all stands) against the Cantiani yield table.

265 In all stands, simulated basal area was higher than the one predicted by the yield table with a MBE  
266  $9.23 \text{ m}^2 \text{ ha}^{-1}$ , RMSE  $13.05 \text{ m}^2 \text{ ha}^{-1}$ , and MPE 26%.

267 Calibration reduced the difference between the Cantiani yield table established for Douglas fir  
268 plantations in Tuscany and simulated mean basal area (Figure 3) and volume (Figure 4) across all  
269 stands.

270

271 6 Model runs and management options

272

273 Finally, in order to evaluate management alternatives for mature Douglas fir plantations in Italy, we  
274 used the calibrated PN-FVS to simulate the results of thinning in two plots with comparable site  
275 index but different competition intensity. SDI controls FVS mortality model, and density related  
276 mortality begins when the stand SDI is above 55% of SDImax (Dixon 1986). We chose plots  
277 Acquerino58 (relative SDI 60.94%, Site index 31m) and Campamoli (relative SDI 48.15%, Site  
278 index 37 m) as test sites with similar fertility but different competition intensity. Data from both  
279 stands were run for 50 years into the future, starting from year 2013, and prescribing a thinning  
280 from below at the beginning of the simulation using the THINBTA keyword with three different  
281 management choices (type A 10%, type B 30%, type C control = no thinning).

282 Simulation results diverged depending on site index and current competition intensity. For all  
283 thinning regimes, both basal area and volume increased linearly in the low-competition stand  
284 (Campamoli: relative SDI =48%). In the high competition stand (Acquerino58: relative SDI = 60%)  
285 basal area decreased under the no thinning and 10% thinning regimes because of high competition  
286 mortality (Figure 5).

287

## 288 7 Discussion

289

290 FVS can be calibrated by self-calibration (e.g., the height-diameter and large tree diameter growth)  
291 or growth multipliers (e.g., crown width and crown ratio submodels). These multipliers allow the  
292 user to simulate growth patterns outside the region of first model calibration, i.e., in the presence of  
293 growth bias for any given species, geographic area, site, or forest type (Dixon 2002).

294 Height-Diameter self-calibration reduced from of 0.328 to -0.003 m, indicating that the functional  
295 form of this allometric equation is adequate to represent dimensional relationships of Douglas fir  
296 outside of its native range. A slightly different approach was followed to calibrate the crown width  
297 submodel, i.e., fitting a simplified equation with a different functional form. The analysis of  
298 maximum CW by Paine and Hann (1982) shows crowns larger than observed in Italy, probably  
299 because of the different thinning regimes and growing conditions in the two countries.  
300 Nevertheless, the new equation of crown width (Equation [3]) reduced MBE by 80 cm and MPE by  
301 20 %, showing a satisfactory adjustment for this submodel.

302 Crown ratio is generally the second most important predictor of tree growth, after DBH. The  
303 uncalibrated CR submodel underestimated crown ratio in our plots. Observed crowns were 22%  
304 deeper than those predicted by default PN-FVS, possibly as a result of different forest management  
305 in these plots than in geographic range of origin (e.g., more intense thinning), altered competitive  
306 relationships (no inter-specific competitors in plantations), or improved growing conditions and soil  
307 fertility (site index in the upper part of the range provided by, e.g., McArdle et al. 1949). After

308 calibration, the CR submodel improved considerably, although MBE remained negative: (-2.64 m  
309 default and -0.49 m calibrated).

310 Tree diameter growth or basal area growth equations have traditionally been used as one of the  
311 primary types of growth equations for individual tree growth models (Holdaway 1984; Ritchie and  
312 Hann 1985; Wykoff 1986; Wensel et al. 1987; Dolph 1988). A variety of equation forms and  
313 covariates have been used in diameter increment models. Wykoff (1990) indicated that three types  
314 of covariates need to be considered in a diameter increment model: tree size, competition and site.  
315 FVS includes them all: tree (DBH, height), stand (crown competition factor, basal area, basal area  
316 in larger tree) and site (aspect, slope, elevation, site index) characteristics are incorporated in a  
317 single equation (Equation [12]). Self-calibration of the large-tree diameter increment model occurs  
318 if, for a given species, there are at least five large (DBH >7.62 cm) tree records with measured  
319 diameter increments. Correction scale factors relating measured to predicted increment are then  
320 added to the simulations as multipliers. Scale factors higher than one, like the one computed by this  
321 calibration study, imply that the default model is underpredicting diameter growth. The amount of  
322 underprediction was major (up to 5-fold), but we could find no apparent relationship between scale  
323 factor and topographic or site variables in our sample plots. Actual growth performance might be  
324 related to unknown provenance differences, local soil water deficit (Sergent et al. 2014a), or soil  
325 nitrogen content, which was found important in tree growth recovery after drought spells (Sergent  
326 et al. 2014b). Previous calibrations of the FVS empirical diameter growth submodels found the a  
327 18-parameter functional form too complicated to calibrate reliably and to discern ecological effects  
328 of individual predictors, suggesting replacement by much simpler model forms (Shaw et al. 2006)  
329 following sensitivity analysis of the most influential parameters (Vacchiano et al. 2008).

330 In this study it was not possible to calibrate other dynamic submodels of FVS, namely the height  
331 increment and mortality components, due to the lack of repeated measures as a calibration dataset.  
332 We acknowledge that mortality is an especially important component, as FVS has been previously  
333 found to be highly sensitive to small differences in the self-thinning algorithm (De Rose et al.

334 2008). More research and monitoring are needed to understand both density-dependent and density-  
335 independent mortality in the non-native range of Douglas fir, especially regarding tree susceptibility  
336 to drought stress (Ruiz Diaz Britez et al. 2014) or extreme weather events.

337 The validation against independent data from Mercurella and Vallombrosa stands showed that the  
338 DBH was predicted with a higher accuracy than height, probably due to the lack of measured  
339 heights and, consequently, the absence of height-diameter self-calibration for Mercurella in the  
340 initial simulation year (1996), and possibly to the lack of calibration of the height growth submodel.

341 The validation against these independent dataset showed that the calibrated model generally had a  
342 much lower prediction error than the original PN-FVS models, in particular for predicting DBH at  
343 Vallombrosa.

344 Even after calibration, PN-FVS overpredicted stand basal area at 50 years by 26% to a local yield  
345 table (Cantiani 1965). With only one direct measurement in time, it is impossible to ascertain  
346 whether this might be related to differences in species-specific carrying capacity (maximum SDI),  
347 or altered growing conditions as a consequence of e.g., climate change and/or higher nitrogen  
348 deposition relative to when the original yield table was fitted. However, biological validation of  
349 model behavior was successful, as simulated stands responded to different thinning (type A 10%,  
350 type B 30%) in a manner that was highly sensitive to their current site index and competition  
351 intensity. Where competition was higher, the benefit of thinning was greater.

352 In this work, our goal was to illustrate a model calibration procedure that could be replicated by  
353 forest managers starting from one-time tree size measurements compounded by an increment  
354 sampling. Calibration by multipliers is rigid in the sense that it does not allow for changing or  
355 simplifying model forms, e.g., dropping unused predictors or altering the shape of allometric curves  
356 (e.g., Russell et al. 2013), which could be attained only by rewriting the simulator code. However,  
357 our work was successful in providing a statistically validated decision support tool to project  
358 growth and yield of mature non-native Douglas fir plantations some decades into the future.  
359 Notwithstanding the inherent limitation of an empirical approach to forest modeling (Pretzsch

360 2009), the wealth of management options, model extensions, open access, and continuity of support  
361 by the developers make FVS an attractive option to managers and forest owners wishing to  
362 implement their management plans with scientifically based decision support tools.

363

## 364 8 Conclusion

365

366 This work has calibrated an age-independent, individual-tree, distance-independent growth and  
367 yield simulator for Douglas fir for Central Italy. A tree level simulator could be an effective tool for  
368 planning forest management. Calibrating this model to other areas and for other species in Italian  
369 forests may be a useful management support instead of traditional yield tables.

370 Other FVS submodels and extensions can be calibrated besides those here considered (Russell et al.  
371 2015): regeneration, climate-FVS and especially mortality, which is an important growth submodel  
372 to be considered in future evaluations because it is one most sensitive to changes in future climate  
373 regimes, such as increases in drought severity and duration (Crookston et al. 2010). Simple  
374 modifications to the tree mortality model within PN-FVS could result in improved precision for  
375 estimating future number of trees (e.g., Radtke et al. 2012).

376 The self-calibration feature of FVS extends the geographic range over which the model can be  
377 exploited, assuming that the factors affecting growth in a given area also affect growth in the same  
378 way elsewhere. If this assumption cannot be accepted, the only other option is to refit the  
379 relationships using data from the geographic area of interest. If this procedure can be accepted, then  
380 the model equations can be calibrated rather easily.

381 Here, we have proved a relevant improvement for the application of FVS in Italy over the original  
382 model. The results also highlight the importance of using long-term historical growth data for the  
383 calibration and validation of the model. Permanent plots are generally well suited for tracking long-  
384 term model reliability and for evaluating model performance relative to specific treatments  
385 distinctively. Maintaining existing local networks of permanent plots, especially those with long



386 histories of measurement, to predict forest growth in the climate change, is suggested (Crookston et  
387 al. 2010).

388 In conclusion, FVS has been proven to be a suitable type of yield modeling for Douglas fir forest  
389 growth in Italy: (i) it suitably represents current understanding of the dynamic forest ecosystem and  
390 how it responds over time to management interventions; (ii) it provides a monitoring target to test  
391 our assumptions with (for example, stand yield following different silvicultural treatments and  
392 successional pathways when no treatments are applied); (iii) it provides a modeling framework to  
393 integrate existing modeling components such as crown equations, site index curves and ecological  
394 land classification; (iv) it provides tools to develop and compare various silvicultural treatments; (v)  
395 it simulates a stand through time to inform and instruct forest managers; (vi) it can be effectively  
396 adopted to update inventory data.

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556 Table captions

557

558 Table 1: Main climatic and geographic parameters of the sampled stands: MAT=mean annual  
559 temperature, MWMT=mean warmest month temperature, MCMT=mean coldest month  
560 temperature, MAP=mean annual precipitation, MSP=mean summer precipitation, EU=  
561 ecopedological units.

562 Table 2: Main site and dendrometric characteristics of the study areas: SDI=stand density index,  
563 CCF=crown competition factor, PCC=percent of canopy cover, QMD=quadratic mean diameter,  
564 TH=top height, SI=site index.

565 Table 3: Confidence intervals of *HT - CW - CR - ln(DDS)* submodel parameters (bold: default PN-  
566 FVS value within 95% c.i. of the uncalibrated submodel).

567 Table 4: Scale factors computed by self-calibration of the *ln(DDS)* submodel.

568 Table 5: Results of calibrated PN-FVS model validation at Mercurella and Vallombrosa sites.

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573 Table 1

574

| <b>Stand</b> | <b>Latitude</b> | <b>Longitude</b> | <b>Elevation</b> | <b>MAT</b> | <b>MWMT</b> | <b>MCMT</b> | <b>MAP</b> | <b>MSP</b> | <b>EU</b> |
|--------------|-----------------|------------------|------------------|------------|-------------|-------------|------------|------------|-----------|
|              | degrees         |                  | m asl            |            | °C          |             | mm         |            | code      |
| acquerino44  | 44.009          | 11.002           | 950              | 9.5        | 19.2        | 0.9         | 1485       | 463        | 8.07      |
| acquerino58  | 44.005          | 11.009           | 900              | 9.8        | 19.5        | 1.2         | 1458       | 455        | 8.07      |
| amiata       | 42.872          | 11.581           | 1100             | 10         | 19.7        | 2           | 622        | 246        | 16.01     |
| berceto      | 44.498          | 9.978            | 950              | 9          | 18.9        | -0.2        | 1301       | 444        | 8.08      |
| camaldoli152 | 43.807          | 11.812           | 1030             | 9.3        | 18.9        | 0.9         | 1148       | 394        | 8.07      |
| camaldoli209 | 43.805          | 11.819           | 1020             | 9.3        | 18.9        | 0.9         | 1142       | 393        | 8.07      |
| campalbo     | 44.129          | 11.301           | 950              | 9.1        | 18.9        | 0.2         | 1365       | 415        | 10.01     |
| campamoli    | 43.836          | 11.75            | 920              | 9.8        | 19.4        | 1.2         | 1134       | 390        | 10.04     |
| cavallaro    | 43.959          | 11.748           | 880              | 9.8        | 19.7        | 0.8         | 986        | 362        | 10.03     |
| cottede      | 44.105          | 11.175           | 1100             | 8.4        | 18.3        | -0.6        | 1268       | 392        | 10.01     |
| frugnolo     | 43.395          | 11.916           | 770              | 11         | 20.6        | 2.5         | 734        | 275        | 10.04     |
| gemelli      | 43.968          | 11.728           | 1000             | 9.2        | 18.9        | 0.4         | 1211       | 424        | 10.03     |
| lagdei       | 44.415          | 10.018           | 1250             | 7.5        | 17          | -1          | 1780       | 578        | 8.07      |
| lama         | 43.838          | 11.869           | 860              | 10.2       | 19.9        | 1.6         | 1103       | 384        | 8.07      |
| lizzano      | 44.155          | 10.831           | 1120             | 8.5        | 18.1        | 0           | 1128       | 428        | 8.07      |
| montelungo   | 44.024          | 10.962           | 1090             | 8.8        | 18.4        | 0.2         | 1464       | 456        | 8.07      |
| orecchiella  | 44.206          | 10.364           | 1260             | 7.7        | 17.2        | -0.6        | 1671       | 527        | 8.05      |
| ortodicorso  | 44.04           | 10.988           | 1074             | 8.8        | 18.5        | 0.2         | 1482       | 459        | 8.07      |
| pietracamela | 42.515          | 13.548           | 1120             | 9.8        | 19.4        | 1           | 806        | 319        | 11.07     |
| porretta     | 44.135          | 10.922           | 1057             | 8.8        | 18.5        | 0.2         | 1179       | 407        | 8.07      |

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| <b>Stand</b> | <b>Age</b> | <b>Aspect</b> | <b>Slope</b> | <b>Trees</b> | <b>SDI</b> | <b>CCF</b> | <b>PCC</b> | <b>QMD</b> | <b>TH</b> | <b>SI</b> |
|--------------|------------|---------------|--------------|--------------|------------|------------|------------|------------|-----------|-----------|
|              | years      | degrees       |              | n            | -          | -          | %          | cm         | m         | m         |
| acquerino44  | 75         | 135           | 30           | 49           | 517.5      | 417        | 87         | 53.2       | 41.1      | 31.1      |
| acquerino58  | 85         | 180           | 60           | 31           | 578.9      | 499        | 76         | 75.9       | 47.4      | 31.1      |
| amiata       | 75         | 225           | 10           | 34           | 512.1      | 428        | 53         | 66.5       | 46.8      | 34.1      |
| berceto      | 82         | 355           | 50           | 44           | 488.6      | 420        | 69         | 54.9       | 35.8      | 28        |
| camaldoli152 | 75         | 90            | 30           | 53           | 553.1      | 442        | 52         | 52.9       | 45.7      | 31.1      |
| camaldoli209 | 75         | 135           | 30           | 39           | 550.5      | 456        | 41         | 63.8       | 48.9      | 34.1      |
| campalbo     | 79         | 90            | 10           | 24           | 434.2      | 373        | 41         | 74.4       | 47.0      | 31.1      |
| campamoli    | 72         | 270           | 40           | 36           | 457.4      | 375        | 64         | 59.8       | 49.2      | 36.9      |
| cavallaro    | 80         | 45            | 55           | 35           | 485.7      | 402        | 64         | 63.2       | 47.2      | 31.1      |
| cottede      | 87         | 180           | 20           | 37           | 481.1      | 405        | 48         | 60.6       | 40.7      | 28        |
| frugnolo     | 86         | 355           | 20           | 43           | 466.4      | 375        | 45         | 54.2       | 46.5      | 31.1      |
| gemelli      | 81         | 135           | 30           | 32           | 472.3      | 394        | 62         | 65.6       | 47.6      | 31.1      |
| lagdei       | 87         | 357           | 10           | 35           | 509.7      | 425        | 72         | 65.1       | 40.2      | 28        |
| lama         | 73         | 90            | 60           | 31           | 375.0      | 315        | 56         | 57.9       | 43.3      | 31.1      |
| lizzano      | 80         | 90            | 30           | 39           | 568.8      | 474        | 78         | 65.1       | 48.0      | 34.1      |
| montelungo   | 75         | 135           | 45           | 38           | 475.0      | 389        | 62         | 59.2       | 42.4      | 31.1      |
| orecchiella  | 72         | 225           | 15           | 36           | 447.4      | 368        | 33         | 59         | 42.0      | 31.1      |
| ortodicorso  | 80         | 45            | 40           | 34           | 411.5      | 335        | 66         | 58         | 42.6      | 28        |
| pietracamela | 80         | 315           | 85           | 21           | 783.5      | 619        | 76         | 49.2       | 43.1      | 28        |
| porretta     | 85         | 40            | 25           | 46           | 556.0      | 453        | 58         | 57.9       | 40.6      | 28        |

580 Table 3

| Submodel | Statistical parameters | Confidence interval |              | PN-FVS default    |
|----------|------------------------|---------------------|--------------|-------------------|
|          |                        | 2.5%                | 97.5%        |                   |
| HT       | p2                     | 177.051041          | 244.5944047  | 407.1595          |
|          | p3                     | 5.439085            | 16.9760288   | <b>7.2885</b>     |
|          | p4                     | -1.274372           | -0.6851091   | -0.5908           |
| CW       | a1                     | 3.59114045          | 23.884341979 | <b>5.884</b>      |
|          | a2                     | 0.80599868          | 1.311925335  | 0.544             |
|          | a3                     | -0.74220643         | -0.308624119 | -0.207            |
|          | a4                     | -0.02696175         | 0.142872953  | 0.204             |
|          | a5                     | -0.0869313          | 0.156519271  | <b>-0.006</b>     |
|          | a6                     | -0.01535613         | -0.003457285 | <b>-0.004</b>     |
| CR       | A                      | 20.029              | 41.385       | 0                 |
|          | B                      | 10.162              | 26.481       | 4.5               |
|          | C                      | -0.105              | 1.092        | <b>0.311</b>      |
| ln(DDS)  | b1                     | 95.403020           | 513.117783   | -0.1992           |
|          | b2                     | 0.248486            | 2.749077     | -0.009845         |
|          | b3                     | -0.040339           | -0.002925    | 0                 |
|          | b4                     | 7.360673            | 17.855091    | 0.495162          |
|          | b5                     | 0.097451            | 3.735880     | 0.003263          |
|          | b6                     | -1.197667           | 1.942963     | <b>0.014165</b>   |
|          | b7                     | -13.818310          | 7.291880     | <b>-0.340401</b>  |
|          | b8                     | -14.522460          | 14.427475    | <b>0</b>          |
|          | b9                     | 2.005133            | 24.924225    | 0.802905          |
|          | b10                    | -10.721810          | 20.635366    | <b>1.936912</b>   |
|          | b11                    | -25.792430          | 16.887971    | <b>0</b>          |
|          | b12                    | -0.007620           | 0.007434     | <b>-0.0000641</b> |
|          | b13                    | -0.037989           | 0.302196     | <b>-0.001827</b>  |
|          | b14                    | 0.034499            | 0.126296     | 0                 |
|          | b15                    | 0.220498            | 9.916505     | 0                 |
|          | b16                    | -125.8779           | -42.562184   | -0.129474         |
|          | b17                    | -0.100059           | 0.006533     | <b>-0.001689</b>  |
|          | b18                    | -0.002082           | 0.229584     | <b>0</b>          |

| Stand        | Number of tree records | Fvs scale factor | Ratio std. Error | Bayes weight | Scale factor |
|--------------|------------------------|------------------|------------------|--------------|--------------|
| acquerino44  | 7                      | 1.019            | 3.642            | 0.451        | 1.043        |
| acquerino58  | 9                      | 1.555            | 2.663            | 0.85         | 1.681        |
| amiata       | 8                      | 2.869            | 1.543            | 0.999        | 2.872        |
| berceto      | 9                      | 1.988            | 3.549            | 0.947        | 2.066        |
| camaldoli152 | 9                      | 2.14             | 2.509            | 0.975        | 2.182        |
| camaldoli209 | 8                      | 2.447            | 1.56             | 0.995        | 2.458        |
| campalbo     | 6                      | 2.42             | 1.076            | 0.995        | 2.431        |
| campamoli    | 10                     | 3.388            | 2.029            | 1            | 3.388        |
| cavallaro    | 6                      | 1.882            | 3.061            | 0.924        | 1.982        |
| cottede      | 8                      | 3.181            | 1.288            | 1            | 3.181        |
| frugnolo     | 8                      | 1.656            | 2.143            | 0.896        | 1.756        |
| gemelli      | 6                      | 1.847            | 3.576            | 0.907        | 1.967        |
| lagdei       | 7                      | 1.072            | 2.333            | 0.579        | 1.128        |
| lama         | 10                     | 5.19             | 1.589            | 1            | 5.19         |
| lizzano      | 9                      | 3.299            | 2.5              | 1            | 3.299        |
| montelungo   | 9                      | 2.952            | 2.105            | 0.999        | 2.955        |
| orecchiella  | 10                     | 2.371            | 2.565            | 0.99         | 2.392        |
| ortodicorso  | 9                      | 2.372            | 1.992            | 0.991        | 2.391        |
| pietracamela | 9                      | 2.282            | 2.151            | 0.987        | 2.307        |
| porretta     | 13                     | 1.363            | 3.241            | 0.759        | 1.504        |

584 Table 5

| Statistical parameter | Mercurella |        | Vallombrosa |         |
|-----------------------|------------|--------|-------------|---------|
|                       | DBH        | Height | DBH         | Height  |
| $R^2$                 | 0.89       | 0.54   | 0.96        | 0.72    |
| MBE                   | -4.36 cm   | 3.17 m | 0.03 cm     | -5.32 m |
| RMSE                  | 6.15 cm    | 4.44 m | 3.67 cm     | 7.07 m  |
| MPE                   | -6.76%     | 8.85%  | 1.55%       | -10.13% |
| MABE                  | 4.79 cm    | 3.53 m | 3.32 cm     | 6.31 m  |

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588 Figure captions

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590 Figure 1 – Location of the study areas

591 Figure 2 - Observed versus predicted tree heights by default PN-FVS Height-Diameter submodel

592 Figure 3 - Basal area predicted by PN-FVS default, by calibrated PN-FVS and by Cantiani yield  
593 table (1965)

594 Figure 4 - Volume predicted by PN-FVS default, by calibrated PN-FVS and by Cantiani yield table

595 Figure 5 - Simulation of the response of stand basal area (above) and volume (below) to thinning  
596 from below in the Campamoli (left) and Acquerino58 (right) stands.

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