

# 1 Gellan Nanohydrogels: Novel Nanodelivery Systems for Cutaneous Administration of Piroxicam

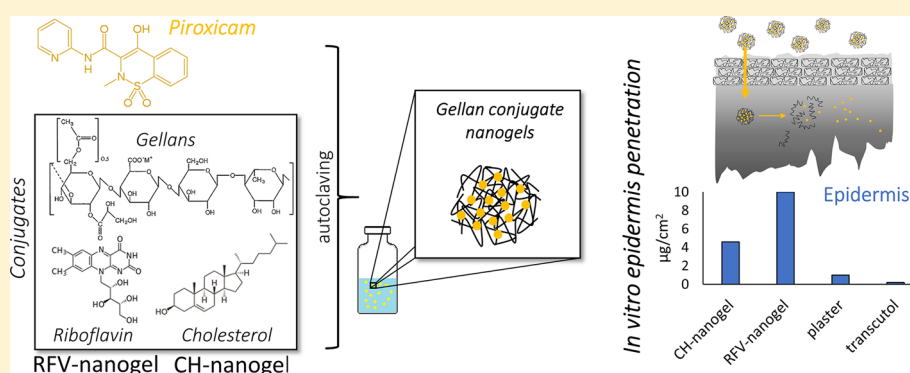
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8 **S** Supporting Information



9 **ABSTRACT:** The feasibility to use gellan nanohydrogels (Ge-NH) as delivery system for the cutaneous administration of  
10 piroxicam (PRX) was investigated using gellan conjugated with cholesterol or riboflavin. The *in vitro* skin penetration studies  
11 through human epidermis were performed using a saturated aqueous drug solution, a 50% w/v Transcutol aqueous solution, and  
12 a commercially available PRX plaster as controls. Confocal microscopy, ATR-FTIR spectroscopy, circular dichroism, and a  
13 dynamometer assisted extrusion assay were performed to clarify the permeation mechanism of Ge-NHs. The skin permeation  
14 studies evidenced that Ge-NHs enhance the PRX retention in the epidermis and, at the same time, slow down the permeation  
15 process with respect to the controls. NHs can penetrate the *stratum corneum*, and then gradually disassemble thus diffusing in the  
16 viable epidermis reaching the spinosum layer. In conclusion, NHs represent a novel strategy to target poorly permeable  
17 compounds in the epidermis, thus improving the management of cutaneous pathologies.

18 **KEYWORDS:** nanohydrogel, gellan, self-assembling polysaccharide, piroxicam, skin penetration

## 19 ■ INTRODUCTION

20 Nanosize hydrogels (nanogels, NHs) are polymer nanoparticles  
21 with three-dimensional networks, formed by chemical and/or  
22 physical cross-linking of polymer chains. Various nanogels have  
23 been designed as drug-delivery systems (DDS) and studied for  
24 different biomedical applications, such as regenerative medicine  
25 and bioimaging applications.<sup>1–4</sup>

26 Very recently, the feasibility to administer a drug in the skin  
27 by the use of nanogels has been also proposed.<sup>5–8</sup> Indeed,  
28 having a highly porous structure with a large water content,  
29 NHs seem to be able to enhance the skin penetration of the  
30 embedded (mainly hydrophobic) compounds. As an example,  
31 Smejkalova and co-workers found a significant increase of the  
32 retention of a hydrophobic probe (i.e., Nile red) both in  
33 epidermis and in dermis using a nanogels obtained from an  
34 esterified hyaluronic acid.<sup>9</sup> In another work, the nano-  
35 encapsulation of ibuprofen into a ternary complex with chitosan  
36 and gellan significantly increased the permeation of the drug

with respect to the control hydrogel.<sup>10</sup> Analogously, the  
37 permeation of acitretin through pig ear skin was improved by  
38 chitin base nanogels.<sup>5</sup> The skin permeation profile can be  
39 further optimized by using stimulus responsive nanogels as  
40 demonstrated in the case of caffeine.<sup>11</sup> 41

The permeation mechanism of nanogel through the skin has  
42 not been yet completely clarified, and, basically, two different  
43 hypotheses are reported in the literature. According to the first  
44 one, the enhancement effect may be related to the softness and  
45 physicochemical stability of the nanogel as suggested in the case  
46 of polyglycerol-based nanogels.<sup>12</sup> Alternatively, a loss of  
47 nanogel integrity with skin depth and a copenetration of  
48 nanogel components and their payload has been suggested.<sup>9</sup> 49

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50 In order to further support the efficacy of physically cross-  
51 linked nanogels in enhancing the skin penetration of drugs,  
52 providing more information on the mechanism aspects, this  
53 work aimed to explore the feasibility of gellan based  
54 nanohydrogels (Ge-NHs) to deliver piroxicam (PRX) in  
55 human epidermis.

56 The feasibility to obtain Ge-NHs by using an innovative,  
57 reproducible process based on a standard autoclave cycle and  
58 the physicochemical stability of the resulting systems have been  
59 already demonstrated.<sup>13–16</sup> NH formation results from the self-  
60 assembling of Ge-cholesterol (GeCh) and Ge-riboflavin  
61 (GeRfv) derivatives, and hydrophobic drugs can be easily  
62 loaded within Ge-NHs.<sup>14</sup> PRX appears of particular interest as  
63 model drug since it is a very poorly permeable compound if  
64 compared to the other nonsteroidal antiinflammatory drugs<sup>17</sup>  
65 and it has been proposed for the treatment of nonmelanoma  
66 skin cancers.<sup>18,19</sup>

67 Due to the risk of serious gastrointestinal adverse effects in  
68 comparison to other NSAIDs,<sup>20,21</sup> the development of a  
69 therapeutic system able to enhance the PRX retention in the  
70 skin, reducing at the same time the systemic exposure, may be  
71 beneficial to improve the safety of the treatment.

72 In the present work, the *in vitro* permeation profiles through  
73 human epidermis of PRX-loaded GeCh-NHs and GeRfv-NHs  
74 were investigated. Aiming to better understand their possible  
75 application in the design of drug delivery systems intended for  
76 cutaneous applications, their penetration patterns were  
77 compared to those obtained with a PRX saturated aqueous  
78 solution, PRX Transcutol aqueous solution, and a commercially  
79 available medicated plaster. The solutions were selected as  
80 reference to determine how the penetration profile of PRX was  
81 influenced by the drug loading in the nanohydrogel. In  
82 particular, Transcutol was used since it is a well-known skin  
83 penetration enhancer which favors the retention in the skin.<sup>22</sup>  
84 The medicated plaster was selected to better understand how  
85 efficient were Ge-NHs in enhancing drug permeation with  
86 respect to a drug product already authorized for the market for  
87 the treatment of locoregional painful syndromes.

## 88 ■ EXPERIMENTAL SECTION

89 **Materials.** Piroxicam (PRX) was purchased from Cameo  
90 Healthcare (Thane, India). Egg phosphatidylcholine (Egg-PC)  
91 was kindly provided by Lipoid (Steinhausen, Switzerland).  
92 Tween 80 was obtained from Croda chocques (France);  
93 HPLC-grade, acetonitrile, and MeOH were purchased from  
94 VWR International PBI s.r.l. (Milan, Italy). Gellan (Ge) (Kelco  
95 product) was purchased from Giusto Faravelli (Italy).  
96 Cholesterol (Ch) and riboflavin (Rfv) were Sigma-Aldrich Srl  
97 (Milan, Italy) products. Transcutol (diethylene glycol mono-  
98 ethyl ether) was obtained from Gattefossé Italia (Milan Italy).  
99 All other reagents and solvents were purchased from Sigma-  
100 Aldrich Srl (Milan, Italy) and used without further purification.

101 **Synthesis of Cholesterol- and Riboflavin-Conjugated**  
102 **Gellans.** The high molecular weight native Ge (sodium salt  
103 form) was, at first, modified in the tetrabutylammonium salt  
104 form by ion-exchange resin. To obtain NHs, it is necessary to  
105 lower the  $M_w$  of the polymer. To this aim, Ge was extruded  
106 through an orifice at high pressure conditions (M-110EH  
107 Microfluidizer Processor in an extrusion chamber G10Z, 87  
108 mm;  $P$  1200 bar,  $T = 50$  °C). A final  $M_w \approx 2.75 \times 10^5$   
109 determined by GPC was obtained.<sup>13</sup>

110 As a general method, the Ge derivatives were obtained by  
111 esterifying the polymer chains with a hydrophobic moiety,

previously derivatized using an appropriate spacer according to  
a previously described reaction. Gellan-cholesterol (GeCh) was  
obtained by linking cholesterol (esterified with 4-bromobutyric  
acid; Ch) to the polymer chains in *N*-methyl pyrrolidone  
(derivatization degree, 9% mol/mol).<sup>13</sup> Gellan-riboflavin  
(GeRfv) was obtained by linking riboflavin tetrabutryrate  
(functionalized with 1,6-dibromohexane, Rfv) to the polymer  
chains (derivatization degree, by feed ratio, 30% mol/mol)  
according to the reaction already described in the literature.<sup>15</sup>  
Polymer derivatives were characterized according to procedures  
already described.<sup>23</sup> Moreover, FTIR spectra ( $4000\text{--}650\text{ cm}^{-1}$ )  
showed for both the samples a peak at about  $1750\text{ cm}^{-1}$ ,  
indicating the formation of the ester linkage between Ge and  
the hydrophobic moieties (Figure S1).

**Preparation of Nanogels.** The NHs were prepared by the  
autoclave process as previously reported.<sup>14,15</sup> Briefly, 3.0 mg of  
each gellan derivative (i.e., GeCh or GeRfv) were dispersed in  
3.0 mL of distilled water (1.0 mg/mL) by overnight magnetic  
stirring at 25 °C. At the same time, 1 mL of PRX acetone  
solution (2 mg/mL) was added to a glass vial and stored at  
room temperature until the complete solvent evaporation, thus  
forming a film of the drug. The Ge derivate suspension (3 mL)  
was then added to this vial, shaken in vortex, and autoclaved for  
20 min at 121 °C and 1.10 bar (Autoclave-steam sterilizer 2440  
ML, Tuttnauer, NL). At the end of the process, a PRX-loaded  
NH suspension was obtained. The resulting suspension was  
withdrawn and centrifuged at 20 °C, 4000 rpm, 10 min  
(Universal 30RF, Hettich Zentrifugen, Germany). The super-  
natant containing NHs was stored for further studies. Blank  
NHs were prepared as well following the same protocol. The  
PRX chemical stability to the autoclaving process was  
preliminary evaluated by the HPLC method reported below.

**Particle Size and  $\zeta$ -Potential Measurements.** The mean  
hydrodynamic diameter of NHs ( $D_h$ ), PDI, and  $\zeta$ -potential  
were measured at 25 °C by the DLS method. Aliquots of  
supernatant containing NHs were diluted 1:10 in HPLC-grade  
water and analyzed by Zetasizer Nano ZS (Malvern Instru-  
ments Ltd., U.K.).

**Drug Content.** The PRX amount loaded in the NHs was  
determined indirectly as follows. After the centrifugation step  
above-described, the pellet was solubilized in 2 mL of ethanol  
to extract the unloaded amount of PRX. At the same time, the  
vial used for autoclave cycle was cleaned with 2 mL of ethanol  
to recover the PRX absorbed on the wall. The two ethanolic  
solutions were mixed and diluted 1:20 in the mobile phase  
described below to determine the PRX amount unloaded. The  
amount of the loaded drug was determined by subtracting the  
result from the amount of PRX initially added to the vial.

**Absorption Spectrum of PRX.** The determination of  
maximum peak of absorbance ( $\lambda_{max}$ ) of PRX loaded in the NHs  
was performed using a UV-vis spectrometer Lambda 2S  
(PerkinElmer, USA). Before the analyses, the drug-loaded NH  
suspension was diluted 1:10 in HPLC-grade water and, then,  
put in quartz cuvettes with a light path of 10 mm (Hellma  
Analytics, USA). The UV spectrum was recorded from 200 to  
700 nm using HPLC-grade water as blank reference. The  $\lambda_{max}$   
was graphically determined from the resulting UV spectrum of  
the sample. Saturated PRX aqueous solution and PRX solutions  
(20  $\mu\text{g/mL}$ ) in acidified (0.125 M phosphate buffer sodium pH  
2.0, Eur.Ph. 9.0; pH = 2) and alkaline conditions (0.1 M  
phosphate buffer solution, Eur.Ph. 9.0; pH = 10) were used as  
controls.

174 **Mechanical Properties of Nanogels.** The ability of NHs  
175 to act as soft carriers, squeezing through the narrow  
176 intracellular spaces of the packed *stratum corneum*, was assessed  
177 by a dynamometer assisted extrusion process, according to the  
178 method previously developed for studying the deformability of  
179 flexible liposomes.<sup>24</sup> Briefly, samples of Ge-NHs were diluted to  
180 a concentration of 0.05 mg/mL in Milli-Q water and loaded in  
181 1 mL gastight syringe, held with the needle facing downward  
182 into an extruder casing fixed to a vertical holder. The syringe  
183 plunger was put in touch with a 50 N load cell of a  
184 dynamometer (INSTRON 5965, ITW Test and Measurement  
185 Italia Srl, Italy) set up to move the plunger at a constant rate of  
186 1 mm/s, forcing NH suspension through a polycarbonate  
187 membrane, pore diameter 50 nm. To minimize the dead  
188 volume and to carry out the test correctly, the membrane was  
189 prewetted with Milli-Q water before each test. At the end of the  
190 experiment the extruded fraction was collected and analyzed by  
191 DLS for particle size determination and counting of the  
192 particles. The force (N) required to extrude the NH suspension  
193 through the membrane was registered as a function of the  
194 plunger displacement (mm), and the constant of deformability  
195 ( $k$ ) was calculated as the slope of the resulting curve.<sup>24</sup> A highly  
196 flexible transferosome formulation, made of Egg-PC and Tween  
197 80 (85:15 w/w %) and prepared by the thin film hydration  
198 method, was used as a reference.

199 **Quantification of Piroxicam by HPLC.** The concen-  
200 tration of PRX was determined by HPLC (HP 1100,  
201 ChemStations, Agilent Technologies, USA). Separation was  
202 carried out using a reverse-phase column (LiChrospher 100  
203 RP-18E, 5  $\mu$ m, 125  $\times$  4.0 mm, CPS Analytica, Italy) and 0.03 M  
204 phosphate pH 3.0 buffer/acetonitrile (60/40% v/v) as mobile  
205 phase. The flow rate was 1.5 mL/min, and the injection volume  
206 was 20  $\mu$ L. The retention time of PRX was 2.4 min. The drug  
207 concentration was determined at two wavelengths (i.e., 248 nm,  
208 360 nm) from calibration curves in the range of 0.05–20  $\mu$ g/  
209 mL ( $R^2 > 0.999$ ).

210 **In Vitro Skin Permeation Experiments.** The permeation  
211 studies were performed using the frozen abdominal skin from  
212 three different donors, who underwent cosmetic surgery within  
213 one month before the *in vitro* experiment. Epidermis samples  
214 were prepared following an internal standard procedure.<sup>25</sup> The  
215 full-thickness skin was sealed in evacuated plastic bags and  
216 frozen at  $-20$   $^{\circ}$ C within 6 h after removal. Before the  
217 experiments, the skin was thawed at room temperature, and the  
218 excess of fat was carefully removed. The skin sections were cut  
219 into squares of about 4.9 cm<sup>2</sup>, and, after immersion in water at  
220 60  $^{\circ}$ C for 1 min, the epidermis was gently separated from the  
221 remaining tissue with forceps. The electrical resistance ( $R$ ) of  
222 the isolated epidermis was measured to ensure the integrity of  
223 the barrier membrane, and epidermis samples with  $R$  values  
224 above 25 k $\Omega$  cm<sup>2</sup> were used for experiments (voltage, 100 mV;  
225 frequency, 100 Hz; Agilent 4263B LCR Meter, Microlease, I).  
226 The epidermis sample was mounted on the Franz diffusion cell  
227 with a permeation area of 0.636 cm<sup>2</sup>. The receptor compart-  
228 ment (volume:  $\approx$  3.0 mL) was filled with 0.9% w/v NaCl  
229 aqueous solution containing 100  $\mu$ g/mL NaN<sub>3</sub> as preservative.  
230 The receptor phase was selected to guarantee the sink  
231 condition throughout the permeation experiments. Special  
232 care was given to avoid air bubbles between the buffer and the  
233 epidermis in the receptor compartment. The upper and lower  
234 parts of the Franz cell were sealed with Parafilm (Pechiney  
235 Plastic Packaging Company, USA) and fastened together by  
236 means of a clamp, with the epidermis acting as a seal between

the donor and receptor compartments. Then, the donor 237  
compartment was filled with 0.4 mL of tested liquid 238  
formulations. The system was kept at 37  $^{\circ}$ C using a circulating 239  
water bath, so that the epidermis surface temperature was at 32 240  
 $\pm$  1  $^{\circ}$ C throughout the experiment. At predetermined time 241  
intervals (1, 4, 6, 16, 24 h), 200  $\mu$ L samples were withdrawn 242  
from the receiver compartment and replaced with a fresh 243  
aliquot of receiver medium. Samples were analyzed by HPLC 244  
according to the method described in the dedicated section. 245  
Moreover, in the case of GeRfv-NHs, the withdrawn aliquots 246  
were also analyzed using a Wallac 1420 Victor2Microplate 247  
Reader (PerkinElmer, US) to estimate the permeation pattern 248  
of the GeRfv. The excitation and the emission wavelengths 249  
were set at 355 and 535 nm, respectively. The values are 250  
expressed as the average of parallel experiments performed at 251  
least in duplicate using an epidermis sheet from each of the 252  
three donors ( $n = 6$ ). The cumulative amount permeated 253  
through the skin per unit area ( $Q_i$ ) was calculated from the 254  
drug concentration in the receiving medium and plotted as a 255  
function of time. The maximal flux ( $J_{\max}$ ) was determined as the 256  
slope of the linear portion of the plot  $Q_i$  vs time ( $R^2 > 0.98$ ). 257

At the end of the permeation experiments, the concentration 258  
of PRX retained in the epidermis ( $R_{24}$ ) was quantified by the 259  
following procedure. The epidermis sheet was removed from 260  
the Franz diffusion cell, and each side was gently treated with 5 261  
mL of methanol (MeOH) to wash out the unabsorbed drug. 262  
Subsequently, the sample was dried, thinly sliced, and placed in 263  
5 mL of fresh MeOH. The suspension was soaked in a 264  
sonicator for 30 min and then maintained for 24 h at  $2-8$   $^{\circ}$ C. 265  
Finally, the supernatant was filtered through a 0.45  $\mu$ m filter 266  
and analyzed by HPLC.  $R_{24}$  was expressed as micrograms of 267  
PRX per square centimeter. 268

The  $R_{24}/Q_{24}$  ratio was used to underline the PRX affinity for 269  
the epidermal layers and the influence of the formulation on 270  
such parameter.<sup>26</sup> 271

The performances of the NHs in terms of skin permeation 272  
were assessed using a PRX saturated aqueous (16  $\mu$ g/mL) 273  
solution, 500  $\mu$ g/mL PRX in a Transcutol aqueous solution 274  
(50% w/v), and a medicated plaster already available on the 275  
Italian market (PRX content 200  $\mu$ g/cm<sup>2</sup>; Brixidol, Promedica 276  
Srl, Italy). In this case, the experimental protocol was slightly 277  
modified: despite liquid formulation, the plaster was attached 278  
on the human epidermis immediately before the membrane was 279  
mounted on the Franz diffusion cell. 280

**Attenuated Total Reflection (ATR) Spectroscopy.** 281  
Epidermis samples were prepared following an internal 282  
standard procedure as described above. 24 h before the ATR 283  
analyses, each epidermis sample was put in a Petri dish and 2.0 284  
mL of 1 mg/mL NH aqueous dispersion was added at the 285  
interface with the *stratum corneum*. An aliquot of Milli-Q grade 286  
water was used as control. The samples were stored at room 287  
temperature for 24 h. At the end of incubation time, each 288  
sample was carefully cleaned to eliminate the solution residues 289  
on the surface and, then, dried at room temperature for 30 min 290  
before ATR analyses. Afterward, each sample was carefully 291  
wiped with filter paper and let equilibrate for 15 min at 23  $^{\circ}$ C 292  
and 50% RH before testing. FTIR measurements were 293  
performed using a SpectrumOne spectrophotometer (Perki- 294  
nElmer, USA), by placing the epidermal sample on a diamond 295  
crystal mounted in an ATR cell (PerkinElmer, USA). The 296  
spectra were collected over the wavenumber region 4000–650 297  
cm<sup>-1</sup> at 4 cm<sup>-1</sup> resolution and 128 scans. The raw data were 298  
elaborated by ATR correction (Spectrum, PerkinElmer, USA); 299

300 the band maxima were assigned by second derivative after  
301 smoothing with a seven-point Savitsky–Golay function using  
302 Originlab 2015.

303 **Circular Dichroism (CD).** To detect possible interaction  
304 between the Ge derivatives and keratins in the *stratum corneum*,  
305 CD spectra were recorded using regenerated keratin as model  
306 compound. The protein was obtained by wool using the  
307 protocol described by Selmin and co-workers.<sup>27</sup> CD spectra  
308 were recorded on a J-810 spectropolarimeter, using a quartz  
309 cuvette of 0.1 cm path length, between 190 and 260 nm at a  
310 scanning rate of 50 nm/min with 0.5 nm resolution. The  
311 analyses were carried out on 0.1 mg/mL samples in water at  
312 room temperature.

313 **Confocal Microscopy Analysis.** Samples from *in vitro*  
314 permeation experiments were embedded in OCT compound  
315 (Miles Laboratories, Elkhart, IN, USA), rapidly frozen in liquid  
316 nitrogen, and stored at  $-80\text{ }^{\circ}\text{C}$ , pending sectioning. Cryostat  
317 sections (15  $\mu\text{m}$  thick), cut from frozen skin samples, were  
318 collected on adhesive coated slides, air-dried, and stored at  $-20$   
319  $^{\circ}\text{C}$  until use.

320 For confocal microscopy analysis, sections were rehydrated  
321 in PBS 0.1 M and stained with 4',6-diamidino-2-phenylindole  
322 (DAPI), Sigma-Aldrich Srl (Milan, Italy), to label nuclei. After  
323 final washing, sections were mounted with Mowiol mounting  
324 medium Sigma-Aldrich Srl (Milan, Italy).

325 Sections were examined with a confocal microscope Zeiss  
326 LSM 510 system (Gottingen, Germany).

327 Images from different slices were obtained through a 40 $\times$  oil  
328 immersion objective at 1024  $\times$  1024 pixel resolution, and  
329 acquired with the software A.I.M. 4.2.

## 330 ■ RESULTS

331 **Characterization of Nanogels.** The two prepared NHs,  
332 based on GeCh and GeRfv, had similar physicochemical  
333 characteristics. Indeed,  $D_h$  were  $177 \pm 28$  and  $178 \pm 16$  nm for  
334 GeCh-NHs and GeRfv-NHs, respectively. The  $\zeta$ -potential was  
335 close to  $-40$  mV in both cases, determining a good physical  
336 stability in water. The PRX was uploaded within the NHs by  
337 autoclaving a suspension of the drug in the presence of the Ge  
338 derivatives. The PRX content resulted as  $282 \pm 31$   $\mu\text{g}/\text{mg}$  and  
339  $301 \pm 12$   $\mu\text{g}/\text{mg}$ , respectively. It is worth noticing that the  
340 more general mechanism of the uploading of hydrophobic  
341 drugs within the NHs is not yet clearly elucidated. As described  
342 in a previous paper,<sup>16</sup> the mechanism could be related to the  
343 hydrophobic interactions among the drug molecules and the  
344 hydrophobic moieties responsible of the NH assemblies. These  
345 interactions could be favored by the high temperature reached  
346 during the autoclave treatment. It should be also emphasized  
347 that the preparation method led to obtaining NH suspensions  
348 in which the drug was mainly loaded into the nanogel, but also  
349 partially free in the suspension medium, which resulted as  
350 saturated, avoiding the drug leakage from the nanocarrier. In  
351 addition, the results obtained by UV analyses highlighted that  
352 the encapsulation of PRX in NHs did not alter its ionization  
353 equilibrium since the  $\lambda_{\text{max}}$  of both saturated solution and PRX-  
354 loaded GeCh-NHs resulted as superimposable (Table 1). The  
355 tests were performed only on GeCh-NHs, as the  $\lambda_{\text{max}}$  of GeRfv  
356 interfered with the absorbance spectrum of PRX.

357 Moreover, the obtained  $\lambda_{\text{max}}$  values were comparable to that  
358 obtained at pH 10, suggesting that PRX was in the zwitterionic/  
359 anionic form. Indeed, it is noteworthy that the  $\lambda_{\text{max}}$  of PRX  
360 varies as a function of the ionization state of the molecule.<sup>28</sup> At  
361 acidic pH values, the pyridine moiety, that is part of the PRX

**Table 1. Maximum Peak of Absorbance of PRX in Nanogels and Reference Solutions**

formulation	solvent	$\lambda_{\text{max}}$ (nm)
satd PRX soln	water	358
PRX-loaded GeCh-NHs	water	357
PRX soln pH 2	acidified water (pH = 2)	343
PRX soln pH 10	alkaline water (pH = 10)	354

structure, results to be protonated. When the pH increases 362  
above 7, the deprotonation of pyridine moiety induces a 363  
bathochromic shift of  $\lambda_{\text{max}}$ . Although the PRX anionic form 364  
prevails at high alkaline pH, the stabilization of a planar 365  
conformation of PRX due to the intramolecular hydrogen bond 366  
between the pyridine and the carbonyl group permits the 367  
formation of zwitterion. With respect to the experimental data, 368  
the existence of PRX zwitterionic form was also suggested by 369  
the yellowish color observed in the case of the saturated PRX 370  
solution.<sup>29</sup> 371

**In Vitro Skin Permeation Experiments.** The permeation 372  
profile of saturated PRX solution resulted to be negligible 373  
(Table 2; Figures S2, S3), despite the  $R_{24}$  value suggesting that 374  
PRX penetrates the *stratum corneum*. Indeed, it is noteworthy 375  
that the low drug solubility in water strongly influences the 376  
permeation process, affecting the concentration gradient among 377  
the membrane layers. On the contrary, the PRX content in the 378  
medicated plaster induced a 25-fold increase of the amount of 379  
the drug loaded in the donor compartment with respect to the 380  
saturated solution. In these conditions, the PRX significantly 381  
permeated through the human epidermis, as demonstrated by 382  
the resulting flux value and the retained amount (Table 2; 383  
Figures S2, S3). However,  $Q_{24}$  and  $R_{24}$  were  $1.04 \pm 0.28\%$  and 384  
 $0.52 \pm 0.14\%$  of the applied dose respectively, confirming the 385  
limited poor tendency of PRX to permeate through the skin. 386

When NHs were used as drug carriers, the  $R_{24}$  values 387  
obtained after the application of NHs significantly increased 388  
with respect to the reference formulations (Table 2,  $p$ -value 389  
<0.05). Moreover, the permeation process was slowed down in 390  
comparison to medicated plaster ( $Q_{24}$ ,  $J_{\text{max}}$ , lag time,  $p$ -values 391  
<0.001). Independently of the composition of the NHs, a slight 392  
increase of the “PRX utilization” of the dosage form with 393  
respect to the medicated plaster was recorded. In agreement 394  
with the EMA Guideline on quality of transdermal patch 395  
(EMA/CHMP/QWP/608924/2014), the PRX utilization was 396  
defined as the percentage of total drug absorbed within the 397  
entire *in vitro* experiment with respect to the initial drug loading 398  
of the dosage form. Indeed, the PRX utilization of the 399  
medicated plaster was  $\approx 1.5\%$  while that of the NHs resulted at 400  
least 2-fold higher. In particular, GeRfv-NHs appeared to be the 401  
most efficient formulation with respect to the  $R_{24}$  expressed 402  
both as absolute value (Table 2) and as percentage (i.e.,  $5.59 \pm$  403  
 $2.03\%$  of the applied dose). In addition, the dose-normalized 404  
permeation results showed that GeRfv-NHs was effective in 405  
minimizing the drug permeation through the human epidermis 406  
(i.e.,  $0.03 \pm 0.01\%$ ). The capability of NHs to promote the 407  
PRX retention within the human epidermis was also confirmed 408  
by the comparison of the  $R_{24}/Q_{24}$  values (Table 2). If the 409  
plaster value was bias for the permeation through the skin ( $R_{24}/$  410  
 $Q_{24} = 0.5$ ), the  $R_{24}/Q_{24}$  was strongly in favor of the numerator 411  
of the fraction for all the NH formulations ( $R_{24}/Q_{24} > 20$ ). This 412  
resulted in a GeRfv-NHs ratio 10 times higher than GeCh- 413  
NHs. 414

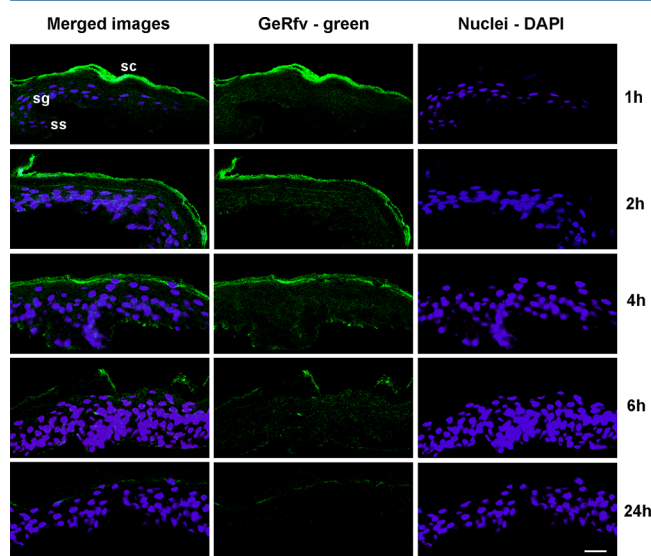
**Table 2. Results of *in Vitro* Permeation Studies on PRX-Loaded GeCh-NHs, GeRfv-NHs, PRX 50% w/v Transcutol Solution, and Saturated Solution and Plaster (Mean Value  $\pm$  SD;  $n = 6$ )**

formulation	PRX dose ( $\mu\text{g}/\text{cm}^2$ )	$Q_{24}$ ( $\mu\text{g}/\text{cm}^2$ )	lag time (h)	flux ( $\mu\text{g}/\text{cm}^2/\text{h}$ )	$R_{24}$ ( $\mu\text{g}/\text{cm}^2$ )	$R_{24}/Q_{24}$
GeCh-NHs	146	$0.221 \pm 0.107$	$7 \pm 4$	$0.018 \pm 0.005$	$4.626 \pm 1.237$	21
GeRfv-NHs	189	$0.049 \pm 0.020$	>16	— <sup>a</sup>	$10.290 \pm 3.743$	210
satd soln	8	—	>24	—	$0.031 \pm 0.053$	—
50% w/v Transcutol soln	200	$0.436 \pm 0.167$	>16	— <sup>a</sup>	$2.391 \pm 0.835$	11
plaster	200	$2.07 \pm 0.551$	$3 \pm 0$	$0.100 \pm 0.024$	$1.033 \pm 0.275$	0.5

<sup>a</sup>The flux was not calculated since the long lag time.

Based on the obtained results, the GeRfv-NHs, which resulted as the most effective formulation in enhancing the localization of PRX in the skin, was thus selected to study the penetration pattern.

**Confocal Microscopy Images.** The pattern of penetration of the GeRfv-NHs within the human epidermis was followed by confocal microscopy, taken advantage of the autofluorescence of riboflavin. Images were recorded on skin samples exposed to the GeRfv-NHs for different times (1, 2, 4, 6, 24 h; Figure 1). Nuclei of the epidermal cells appear as bright blue ovals. In control samples (not shown) any green signal was evident.



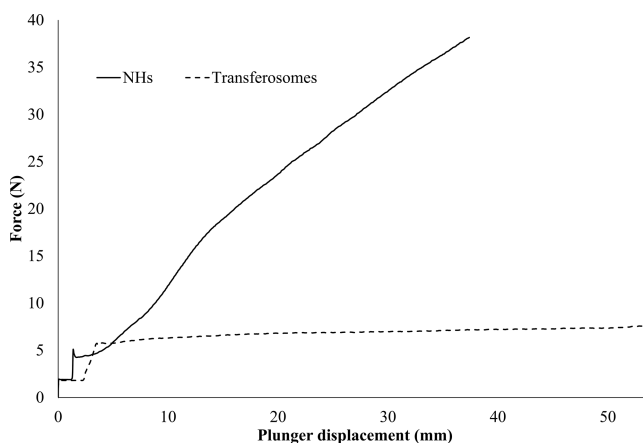
**Figure 1.** Confocal images obtained from frozen skin samples treated by GeRfv-NHs. Merged images show green signal detection on the outer surface of the skin and in the deeper layers at different times points after the treatment. Green fluorescence is due to the GeRfv. Nuclei are stained blue with DAPI. SC = *stratum corneum*; SG = *stratum granulosum*; SS = *stratum spinosum*. Images were acquired under a 40 $\times$  oil immersion objective. Scale bar = 20  $\mu\text{m}$ .

As depicted in Figure 1, after 1 h of exposure, NHs concentrate mainly on the outer skin surface, remaining essentially confined in the *stratum corneum*, while a weak fluorescence is noticed in the epidermal layers, mainly at the granulosum–spinosum limit (after 2 h). The probe signal, detected on the outer surface of the skin, underwent a significant reduction over time until it almost disappeared after 24 h after the initial treatment. This seems to be related to the diffusion of the probe deeper in the epidermis layers, up to the spinosum layer. Indeed, after 6 h exposure to GeRfv-NHs a homogeneous green fluorescent signal was observed throughout the epidermis, suggesting a great affinity of the polysaccharide scaffolding of the gel for the skin components.

Finally, it should be mentioned that the fluorescence of GeRfv-NHs in the receiving medium of the Franz cell after 24 h experiment was not significantly different from the fluorescence of the samples exposed to untreated epidermis samples, suggesting a negligible GeRfv permeation through the human epidermis.

**Mechanical Properties of Nanogels.** Nanosized channel-like pores of the stratum corneum are one of the major pathways suggested for the skin penetration of nanocarriers, and, therefore, the ability of the delivery systems to squeeze through these pores is a requirement for the release of the drug payload deep into the skin. Nanogels are highly porous, soft, and compressible materials, since they can exchange water with the external environment undergoing swelling and deswelling processes. Therefore, they could adapt their structure thus crossing the tight skin barrier. In this respect, Montanari et al. found that HA based nanogels exhibit a low Young's modulus as determined by AFM analysis.<sup>16</sup> Moreover, Hendrickson and Lyon reported the first proof of concept that nanogels, after application of a pressure, can undergo such a deformation to pass through cylindrical pores having a diameter one tenth smaller than their own diameter.<sup>30</sup> Basing on this evidence, we investigated the behavior of our NHs during constriction passage through track-etch membranes having pores at least 3.5 times smaller than their own size to resemble the penetration through the nanoporous structure of the *stratum corneum*. The test was performed at constant rate to estimate the forces acting during this process. In Figure 2, a representative curve obtained after extrusion of the NHs is reported.

After the first peak, which corresponds to the force required to overcome the frictions for plunger displacement, the force



**Figure 2.** Representative curves of the force versus plunger displacement registered forcing GeRfv-NHs through 50 nm polycarbonate membranes (solid line) and transfersomes (dashed line).

Table 3. ATR-FTIR Data of Human Epidermis Samples Treated with GeCh-NHs and GeRfv-NHs<sup>a</sup>

epidermis treatment	stretching CH <sub>3</sub>		stretching CH <sub>2</sub>		<i>H</i> <sub>2920/2850</sub>	scissoring CH <sub>x</sub>	fwhm
	symmetric	asymmetric	symmetric	asymmetric			
control	2958.0 ± 0.1	2923.5 ± 0.1	2873.3 ± 0.1	2854.1 ± 0.1	1.841.0 ± 0.1	1466.4 ± 0.1	12.1 ± 0.3
GeCh-NHs	2958.1 ± 0.1	2923.2 ± 0.1	2873.4 ± 0.1	2854.0 ± 0.1	1.783.0 ± 0.0	1466.5 ± 0.0	12.8 ± 0.8
GeRfv-NHs	2957.8 ± 0.3	2923.1 ± 0.1	2873.2 ± 0.1	2854.1 ± 0.1	1.801.0 ± 0.0	1466.6 ± 0.1	13.6 ± 0.3

<sup>a</sup>Epidermis samples treated only by water were used as a control.

470 progressively increased until the maximum limit (based on the  
471 equipment performances) was reached determining the end of  
472 the test run. Only 2% of NHs were found in the filtrate and the  
473 particle size resulted reduced by about 40% of the initial particle  
474 diameter, suggesting that nanogels partially lost their structure  
475 during the passage through the pores, finally occluding the  
476 porous membrane. Indeed, the force versus plunger displace-  
477 ment curve registered for NHs did not present the plateau  
478 segment registered for transferosome suspension, which seems  
479 to freely flow through the membrane pores (Figure 2). Finally,  
480 the *k* value registered for the NHs (~0.9 N/mm) resulted to be  
481 4-fold higher than that obtained for Egg-PC based trans-  
482 ferosomes (~0.02 N/mm).

483 **ATR-FTIR Spectroscopy and CD Measurements.** The  
484 changes in the barrier properties of *stratum corneum* exposed to  
485 a chemical can be attributed to an alteration of the intercellular  
486 lipids from orthorhombic conformation toward the less ordered  
487 hexagonal conformation and/or the extraction of ceramides.  
488 These effects can be monitored by ATR-FTIR spectroscopy  
489 analyzing the possible shift of bands in the CH<sub>x</sub> stretching  
490 region or measuring the CH<sub>2</sub> scissoring bandwidth (expressed  
491 as the full width at 50% peak height, fwhm).<sup>31</sup> The treatment of  
492 the human epidermis by nanogels did not determine any  
493 significant shift of CH<sub>x</sub> stretching vibration bands (Table 3;  
494 Figure S3). Moreover, the fwhm values of the CH<sub>2</sub> scissoring  
495 bandwidth were in the 11.0–14.0 cm<sup>-1</sup> range, confirming that  
496 all formulations did not affect the organization of lipids since  
497 they remained in the most stable orthorhombic conformation.  
498 This lack of alteration of lipidic network was further confirmed  
499 by the evaluation of the ratio between the heights of the band at  
500 about 2923 and 2853 (*H*<sub>2920/2850</sub>) which, remaining almost  
501 constant after the treatment with NHs, excluded a possible  
502 extraction of lipids from the *stratum corneum*.

503 The analysis of the amide I and amide II regions confirmed  
504 the lack of significant interactions between the Ge-NHs and the  
505 main *stratum corneum* components. First, the treatment of  
506 epidermis sheet with Ge-NHs does not determine any  
507 modification of the maximum of the amide I that remained  
508 centered in the 1650–1660 cm<sup>-1</sup> region, confirming that the  
509 main conformation of  $\alpha$ -helical conformations prevails in the  
510 *stratum corneum* proteins (data not shown). Second, the ratio  
511 among the amide I and amide II height, which is an indication  
512 of protein unfolding,<sup>32</sup> remained almost constant. Third, the  
513 research of the amide I hidden peaks by second derivative  
514 evidenced the lack of any significant modification of the  
515 wavelengths, which overlapped those already described in the  
516 literature.<sup>33</sup> This wavenumber did not significantly change after  
517 the treatment by both of the formulations. This lack of  
518 significant modifications in keratin conformations is further  
519 confirmed by CD analyses performed on regenerated wool  
520 keratin which presents a prevalent  $\alpha$ -helical conformation.  
521 Indeed, the CD spectra recorded on regenerated keratin and  
522 keratin GeCh mixtures overlapped and the small reduction of

the intensity was attributed to dilution of the protein, as  
exemplified in Figure 3.

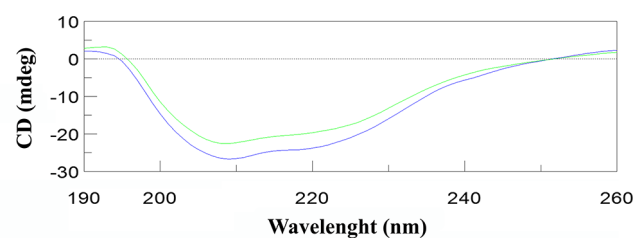


Figure 3. CD spectra of regenerated keratin (blue line) and regenerated keratin/GeCh 1/1 mixture (green line).

## DISCUSSION

525 Ge-NHs represent a new useful tool in drug delivery for many  
526 reasons. The biocompatibility of Ge and the soft consistency of  
527 the NHs, due to the hydrogel structure at nanoscale, is a  
528 favorable environment for loading drugs in a well-tolerated  
529 device. Moreover, the innovative method for the NHs forming  
530 and loading in one shot by means of an autoclave, leading to a  
531 sterile suspension, is particularly appealing for a large-scale  
532 application of these nanodevices. Ge-NHs have been already  
533 exploited in loading and delivery of hydrophobic drugs, such as  
534 paclitaxel. In that case, the drug loading is allowed by the  
535 hydrophobic interactions between the drug molecules and the  
536 hydrophobic zones in the NHs, which are built up by the  
537 cholesterol moieties linked to the polymer chains. As can be  
538 easily argued, this property can be exploited for loading and  
539 delivery of many other hydrophobic drugs. Starting from the  
540 above-mentioned properties and taking into account the skin  
541 structure and the results described in the literature on the  
542 applications of nanodevices in topical drug delivery,<sup>5,7,8</sup> this  
543 work aims to demonstrate the usefulness of Ge-NHs also in  
544 cutaneous applications, improving the PRX administration  
545 through the skin. The PRX loading in Ge-NHs determined a  
546 modification of its skin penetration pattern with respect to a  
547 medicated plaster, which can be considered one of the most  
548 effective dosage forms to sustain the permeation of this model  
549 drug through the skin. Indeed, NHs enhanced the skin  
550 retention, *R*<sub>24</sub>/*Q*<sub>24</sub> values being significantly higher than those  
551 obtained by both the Transcutol solution and the PRX plaster.  
552 The same effect was also described in the case of clobetasol: the  
553 use of chitin nanogels that enhanced the skin retention with  
554 respect to a marketed cream.<sup>7</sup> The comparison with Transcutol  
555 is particularly interesting since this compound is claimed to  
556 increase the drug solubility in the skin and therefore its  
557 retention.

558 This data suggested that the effect of nanogels cannot merely  
559 be ascribed to an increase of the PRX apparent solubility.  
560 Indeed, a modification of the drug apparent solubility should  
561 influence only the partition of the permeant from the donor

563 toward the *stratum corneum* while the subsequent processes  
564 (i.e., the diffusion through the epidermis layers and the  
565 partition toward the receiver medium) should result  
566 unaffected. Thus, assuming that the adhesive matrix of a  
567 patch acts as a drug reservoir on the skin,<sup>34</sup> the  $R_{24}/Q_{24}$  values  
568 obtained with the Ge-NHs and the medicated plaster should  
569 overlap. Moreover, the enhancement of the localization of PRX  
570 in the epidermis layer could not be ascribed to a change in the  
571 ionic equilibrium of PRX, as shown by the negligible differences  
572 between the UV spectrum of native PRX and drug-loaded  
573 GeCh-NHs. Such findings seem to demonstrate that Ge-NHs  
574 play an active role in the whole permeation process of PRX  
575 through the human epidermis. As clearly evidenced by confocal  
576 images, the green signal of Rfv was already detectable in the  
577 *stratum granulosum* after 1 h from the application. At time  
578 points of 2, 4, and 6 h the signal became detectable also in the  
579 *stratum spinosum*, even if weaker and more scattered. However,  
580 since the resolution confocal microscope cannot discriminate  
581 the presence of intact NHs into the epidermis, the generated  
582 data are unable to define if the Ge derivatives are able or not to  
583 penetrate the skin as intact NHs or disassembled during the  
584 penetration. Although these results are in agreement with other  
585 published ones,<sup>6,7</sup> no conclusive evidence was available  
586 regarding the penetration mechanism of nanogels.

587 It may be hypothesized that, once Ge-NHs are in contact  
588 with the skin environment, a modification of their inner  
589 structure may occur. Indeed, hydrophobic attractive forces  
590 between the hydrophobic moieties of Ge chains (i.e.,  
591 cholesterol, riboflavin) stabilized the 3D structure of Ge-NHs  
592 from a critical value of concentration in water.<sup>16</sup> When such  
593 systems interact with the upper skin layers, the low water  
594 content ( $\approx 20\%$ ) of *stratum corneum* and the presence of the  
595 crystalline lipid matrix in the extracellular spaces<sup>35</sup> may induce a  
596 change of the interaction pattern within the spherical-like  
597 network of Ge-NHs. In particular, the lipidic matrix of the  
598 *stratum corneum* could interact with the hydrophobic moieties  
599 of Ge derivatives (i.e., cholesterol, riboflavin), reducing the  
600 cohesive forces between the polysaccharide chains and thus  
601 favoring their disassembly.

602 The low water content of *stratum corneum* could also drive a  
603 loss of water and a partial shrinkage of the swollen Ge-NHs.  
604 Moreover, most of the green signal associated with the Ge  
605 grafted riboflavin is localized in the *stratum corneum* and  
606 appeared uniformly distributed suggesting an affinity for keratin  
607 as already demonstrated for hyaluronan, which has a similar  
608 structure.<sup>36</sup> Thus, it is reasonable to hypothesize that the NH  
609 shrinkage and the possible interactions with keratins could  
610 trigger the disaggregation of the nanoassembly. This possibility  
611 is supported by the measurements of the  $\zeta$ -potentials of  
612 regenerated keratin, Ge derivative, and their mixtures. As an  
613 example, the  $\zeta$ -potential of 0.1 mg/mL regenerated keratin and  
614 GeCh solutions was  $-28.0 \pm 1.1$  mV and  $-39.6 \pm 1.4$  mV,  
615 respectively. Their 1:1 mixture resulted as  $-31.9 \pm 2.4$  mV,  
616 suggesting a possible weak interaction between the two  
617 components, which reduced the  $\zeta$ -potential value of the  
618 polysaccharide derivative. This interaction should mainly  
619 influence the conformation of the Ge derivative since the CD  
620 spectra did not evidence any modification of the regenerated  
621 keratin and the ATR-FTIR spectra registered on the human  
622 epidermis did not evidence any significant modification of the  
623 conformation of skin proteins. This latter data appeared of  
624 interest since the unfolding of skin protein is generally

considered an indication of the possible toxicity of the  
625 permeants.<sup>32</sup> 626

All of the above considerations supported the idea that the  
627 NHs favor the partition of PRX within the *stratum corneum* and  
628 the NH disassembly can favor the localization of the drug in the  
629 viable epidermis. The disassembly of the nanostructure could  
630 also be justified by the reduction of the intensity of the green  
631 signal in the confocal images of the epidermis layer over time.  
632 This hypothesis is in agreement with the results of FRET  
633 analyses performed on hydrophobic hyaluronic nanogels which  
634 suggested their significant disaggregation when they reach the  
635 viable epidermis.<sup>9</sup> Indeed, the nanogel did not present a  
636 sufficient deformability, as demonstrated by the extrusion assay,  
637 to penetrate the *stratum corneum* through the hydrophilic  
638 pathway similarly to deformable vesicle and their dimensions  
639 are too large for a diffusion through an intercellular route as in  
640 the case of metallic nanoparticles.<sup>37</sup> On the other hand, their  
641 Young modulus is quite similar to that reported for  
642 conventional liposomes, thus it was reasonable to hypothesize  
643 that, even if the structure is completely different, their behavior  
644 could be similar. Indeed, also in the case of conventional  
645 liposomes it is generally recognized that they enhance the drug  
646 retention into the skin disintegrating or fusing on the skin  
647 surface, thus exchanging lipid components with the *stratum*  
648 *corneum* and favoring the drug release.<sup>38</sup> 649

## 650 ■ CONCLUSIONS

The literature has described the NHs as good drug carriers in  
651 many applications. The results obtained in the present work  
652 indicate that Ge-NHs can be useful in carrying and enhancing  
653 the skin penetration of insoluble and poorly permeable drug  
654 substances. Although their mechanism of action needs a deeper  
655 investigation, the obtained data suggest that they can penetrate  
656 intact in the upper skin layers and they experience a gradual  
657 disassembling process by the diffusion in the deeper epidermis  
658 layers. The ability of GeCh- and GeRfv-NHs to localize the  
659 drug in the epidermis along with the possibility to be  
660 internalized in epidermal cell lines makes such nanosystems  
661 advantageous in opening new therapeutic strategies in the  
662 management of cutaneous pathologies. Finally, it should be  
663 underlined that these formulations could be considered as the  
664 final dosage form to dermal delivery of drug molecules. Indeed,  
665 in a previous work, we demonstrate the feasibility to administer  
666 other nanocarriers, namely, ultraflexible liposomes and nano-  
667 emulsions, by a simple spray.<sup>31,39</sup> This approach should be  
668 advantageous since the nanogel suspension can be administered  
669 as such, avoiding dilutions that can reduce their concentration  
670 or can cause the diffusion of the loaded drug outside the  
671 nanocarrier. Indeed, the preparation method led to obtain a  
672 suspension in which the drug is both loaded in the nanogel and  
673 free in the suspension medium, which resulted as saturated,  
674 avoiding drug leakage from the nanocarrier. In the specific case  
675 of PRX, the permeation of the solubilized fraction through the  
676 human epidermis is negligible in comparison to the penetration  
677 pattern of PRX-loaded Ge-NHs, as demonstrated by the *in vitro*  
678 skin permeation experiment performed using the saturated  
679 solution. 680

## 681 ■ ASSOCIATED CONTENT

### 682 ⑤ Supporting Information

The Supporting Information is available free of charge on the  
683 ACS Publications website at DOI: 10.1021/acs.molpharma-  
684 ceut.7b00926. 685

686 FTIR spectra, PRX permeation profiles and retention  
687 data, and ATR-FTIR spectra (PDF)

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### 695 Notes

696 The authors declare no competing financial interest.

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## 703 ■ ABBREVIATIONS USED

704 AFM, atomic force microscope; ATR, attenuated total  
705 reflection; CD, circular dichroism; DAPI, 4',6-diamidino-2-  
706 phenylindole; Egg-PC, egg phosphatidylcholine; Ge, gellan;  
707 GeCh, gellan-cholesterol; GeRfV, gellan-riboflavin;  $J_{max}$ , max-  
708 imal flux; MetOH, methanol; NHs, nanohydrogels; NSAIDs,  
709 nonsteroidal anti-inflammatory drugs; PDI, polydispersity  
710 index; PRX, piroxicam;  $Q_t$ , cumulative amount permeated  
711 through the skin per unit area after a period;  $R$ , electrical  
712 resistance;  $R_{24}$ , drug retained amount into the epidermis after  
713 24 h;  $\lambda_{max}$ , maximum peak of absorbance

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