1	Role of polyphenols and polyphenol-rich foods in the modulation of PON1 activity and
2	expression
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#### 27 ABSTRACT

28 Paraxonase 1 (PON1) is a HDL-associated enzyme involved in the protection of LDL and HDL-

29 lipoproteins against lipid peroxidation. Several studies documented the capacity of polyphenols

30 to stimulate PON1 transcription activation.

The objective of the present review is to provide the main evidence about the role and the potential mechaninsm of action of polyphenols and polyphenol-rich foods in the modulation of PON1 gene expression and activity.

A total of 76 *in vitro* and in vivo studies were included in the review. Overall, while evidence obtained *in vitro* are limited to quercetin and resveratrol, those deriving from animal models seem more convincing for a wide range of polyphenols but only at pharmacological doses. Evidence from human studies are promising but deserve more substantiation about the role of polyphenol-rich foods in the regulation of PON1 activity and expression.

39 Research focused on the understanding of the structure-activity relathionship of polyphenols 40 with PON1 and on the mechanisms at the base of PON1-modulation is warranted. Well-41 designed human intervention studies are encourage to corroborate the findings of polyphenols 42 also at physiological doses.

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#### 45 **1.INTRODUCTION**

Paraoxonases (PON) are a family of three enzymes named PON1, PON2 and PON3. PON1 and 46 PON3 are predominantly synthesized in the liver and secreted into the plasma where they are 47 associated with HDL. PON2 is not generally present in plasma but widely distributed also in 48 cells and tissues such as liver and kidneys. Both PON2 and PON3 have antioxidant properties 49 50 but lack of paraoxonase or arylesterase activities compared to PON1. Although all the three enzymes have shown anti-atherogenic activity, PON1 is considered the major protective factor 51 against LDL and HDL oxidation [1]. Studies investigating the role of PON1 in cardiovascular 52 disease have provided evidence that PON1 status is a better predictor of disease than PON2 and 53 54 PON3. The mechanism by which PON1 protect LDL from oxidation seems to be related to its 55 capacity to hydrolyze oxidized fatty acids derived from phospholipids, cholesterylester and 56 triglycerides hydroperoxides that are potentially atherogenic compounds [2]. In this regard, data from several animal models of atherosclerosis demonstrated the ability of PON1 to retard and 57 58 reverse atherosclerosis through a reduction of oxidized-LDL, a reduction of macrophages oxidative stress and foam cell formation, an increase in reverse cholesterol transport and an 59 improvement of arterial function. In addition, PON1 is involved in the detoxification of 60 homocysteine (Hcy)-thiolactone, a reactive metabolite that, through a process of N-61 homocysteinylation, affects the structure and function of proteins and lipoproteins including 62 63 HDL [3].

64 Several studies support the hypothesis that a low paraxonase and lactonase activity of 65 PON1 has been associated with an increased oxidative stress and vulnerability to plaque 66 formation, atherosclerosis and cardiovascular diseases [1,4-9]. Moreover, alterations in 67 circulating PON1 levels have been found in a variety of diseases including diabetes mellitus, 68 hepatic and renal diseases, psoriasis and rheumatoid arthritis [10]. It is well know that PON1 69 activity can be influenced by several factors such as lifestyle and diet. Very recently, Lou-Bonafante and colleagues critically revised the role of Mediterranean diet, and its components, in the modulation of PON1 activity [11]. The authors suggested that the Mediterranean diet, through the intake of nuts, fruit and vegetable may affect PON1 activity by protecting the enzyme from oxidative stress-induced inactivation and/or by improving its activity.

75 Regarding the effects of dietary constituents, several *in vivo* studies showed an increase in PON1 activity/expression following vitamin C [12-13], vitamin E [14-16], folate [13], 76 carotenoids [17], mono- and poly- unsaturated fatty acids [18-22], selenium [21,22], and 77 polyphenols supplementation [23-25]. Polyphenols are a heterogeneous family of bioactive 78 79 compounds widely distributed in the plant kingdom. Chemically, they are characterized by the common presence of at least one aromatic ring in their structure, linked with other phenolic-, 80 hydroxyl-, carbon- or other chemical groups [26]. Polyphenols can be classified into *flavonoids* 81 82 (i.e. flavonols, flavanones, flavones, isoflavones, anthocyanidins, and flavan-3-ols) and nonflavonoids (i.e. condensed and hydrolysable tannins, stilbenes, phenolic acids, 83 84 hydroxibenzoic and hydroxycinnamic acids and lignans) depending of their chemical structure [26,27]. They can be in the form of oligomers and polymers, or esterified with other chemical 85 compounds (mainly sugars or organic acids), while rarely are present as aglycones (without 86 87 sugar). Minor *nonflavonoids* include also derivatives of colonic microbiota metabolites such as phenylvaleric, phenyl-lactic, phenylpropionic, phenylmandelic and phenylhydracrylic acid [28]. 88 In the last years, several studies focused on the bioactivity of polyphenols and polyphenol-rich 89 90 foods. Most of the studies have been performed in vitro and in animal models, while limited are 91 those in humans. In particular, observational and intervention studies documented an effect of polyphenols in the prevention/modulation of metabolic syndrome [28], endothelial dysfunction 92 93 [29], hypertension [30-32] and cardiovascular and coronary diseases [33,,34]. The effects seem related to the antioxidant and anti-inflammatory activity [35,36], to vascular function 94 modulation [33,37] and to lipid/cholesterol regulation [38]. In addition, it has been hypothesized 95

96 that polyphenols effects may be mediated also by the regulation of PON1 activity and gene 97 expression. In the present review, we attempt to summarize the main evidence on the potential 98 effects of polyphenols and polyphenol-rich foods on PON1 expression and activity also 99 considering, when available, the contribution of genetic factors and the mechanisms of action. 100 The review will focus on both *in vitro* and *in vivo* studies.

# 101 2.OVERVIEW OF *IN VITRO* AND *IN VIVO* STUDIES ON POLYPHENOLS AS 102 MODULATORS OF PON1 EXPRESSION AND ACTIVITY

A systematic search for literature focused on the effect of polyphenols and polyphenol-rich 103 104 foods in the modulation of PON1 was carried out. The search of the studies was performed based on the preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) 105 flow diagram (Figure 1). PUBMED, ScienceDirect and Scopus databases were searched to 106 identify pertinent articles. The systematic computerized literature search was performed from 107 January 2000 up to November 2016. The exploration used the combination of the following 108 109 terms: 'polyphenols', 'polyphenol-rich foods', 'flavonoids', 'anthocyanins' and 'paraoxonase 110 1'. Reference lists of the obtained papers were also searched for additional articles. The selection of the in vitro and in vivo studies was performed according to the following inclusion 111 112 and exclusion criteria. Inclusion criteria: 1- be performed in cells and/or in animal models and/or in humans; 2-be a study evaluating PON-1 activity and/or expression; 3-be a study 113 evaluating polyphenols and/or polyphenol-rich foods. Exclusion criteria: a) evaluating foods not 114 having polyphenols as major bioactive compounds; b) performed *in vitro* but not using cells; c) 115 116 written not in English; d) performed without a statistical analysis. A total of 406 records were 117 screened and 323 out of them were excluded based on title or abstract or because duplicate papers. Eighthy-three full-text articles were obtained from the databases and from the reference 118 lists of the obtained papers. Based on the full-text, inclusion and exclusion criteria, 7 articles 119 120 were excluded while 76 papers were analyzed. Five of them combined two or three experimental

models [39-43] for a total of 81 studies. Among them, 11 were *in vitro* studies, 44 were performed on animal models and 26 were intervention studies in humans. The studies included in the review are described in **Tables 1-3** (*provided as supplemental material*) and the following details were included: polyphenol/s or polyphenol rich-food (composition was reported when available) tested, cell model, animal model or subjects selected and their characteristics, study design, type of intervention and main findings.

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128 2.1 In vitro studies

Eleven *in vitro* studies evaluated the role of polyphenols and polyphenol-rich extracts on PON1 expression and activity (*see* Supplementary **Table 1** under "Supplemental data" in the online issue) [39,42-51].

The main polyphenols considered were resveratrol [47-51], used in two studies also as positive 132 control [42,43], and quercetin [39,43,45]. The human hepatoma cell line Huh7 was the main cell 133 line tested, being utilized in 9 out of the 11 in vitro studies considered [39,42-45,49,50]. The 134 duration of treatments generally ranged from 24 to 48 h, while the doses of resveratrol ranged 135 from 2 to 25  $\mu$ M with the exception of one study that used also concentrations of 200  $\mu$ M [48]. 136 Gouédard et al. [44] and Guyot et al. [50] reported an increased PON1 gene expression in human 137 138 hepatocyte primary cultures and in HuH7 hepatoma cell line following 48 h supplementation with 10 µM of resveratrol. Similar results were also observed by Gupta and colleagues 139 following incubation of HepG2 cells for 48 h with 15 µM of resveratrol [51]. Curtin et al. [50] 140 found the optimal induction of intracellular and extracellular PON1 activity within 2-20 µM of 141 resveratrol while no effect was observed at doses higher than 20 µM, which in turn resulted 142 cytotoxic leading to a decrease of cell metabolic activity. 143

144 Three studies found a dose-dependent in increase of PON1 activity [42,45,46]. Schrader and 145 colleagues documented that Huh7 liver hepatoma cells supplemented with curcumin (1-20  $\mu$ M 146 for 48 h) increased PON1 activation in a dose-dependent manner for concentrations higher than 147 10  $\mu$ M [42]. Khateet *et al.*[46] reported that supplementation with pomegranate juice 148 polyphenols such as punicalagin and gallic acid (from 17.5 to 70  $\mu$ g gallic acid equivalent/mL 149 for 24 h) increased HuH7 hepatocyte-secreted PON1 arylesterase activity and the effect was 150 dose-dependent. Garige and coworkers showed a progressive up-regulation of PON1 expression 151 and activity following increasing quercetin supplementation (from 0 to 20  $\mu$ M for 48 h) in 152 HuH7 cell line [45].

153 On the whole, studies documented a different effect of polyphenols in the modulation of PON1 activity and expression dependent on the compound tested. For example, Gouédard et al. 154 [45] reported that supplementation of HuH7 cells for 48 h with flavone, catechin and quercetin 155 156 (10  $\mu$ M) and naringenin (50  $\mu$ M) resulted in a significant increase of PON-1 activity even if the maximum induction was observed only with quercetin. Schader et al.[39] studied the effect of 157 different flavonoids (concentration range 1–25 µM for 48 hrs) on induction of PON1 in stably 158 159 transfected Huh7 liver cells. The authors documented that genistein was the most potent flavonoid with PON1-inducing activity, followed by daidzein, luteolin, isorhamnetin and 160 quercetin. Other flavonoids such as naringenin, cyanidin, malvidin and catechin showed only 161 little or no PON1-inducing activity. Quercetin and resveratrol proved to increase both PON1 162 mRNA expression and PON1 activity when compared to the related control groups (untreated 163 164 cells). However, a comparison of the findings from the different studies appear complicated due to the variability in terms of type and dose of compounds tested. 165

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167 *2.2 Animal studies* 

The effect of polyphenols and polyphenol-rich foods on animal models has been evaluated in 44 studies (*see* Supplementary **Table 2** under "Supplemental data" in the online issue) [25,39,40,42,43,52-90]. Most of them were performed on mice or rats, while two studies on hamsters [62,74]. The main polyphenols tested were quercetin [43,52,54,58,63,68,71,87] and catechin [54,58,71,87], whereas pomegranate juice was the most polyphenol-rich food used

[40,53,69,72] followed by vegetable oils [62,77,81]. Several studies were also performed using 173 grapevine derived products in the form of extracts or concentrate [25,67,79], red wine [54] or 174 polyphenols [64]. Numerous studies did not provide information regarding the polyphenols 175 176 concentration of food [25,53,56,57,69,70,78,85,87]. Other studies provided only an estimation of the polyphenol content evaluated through indirect techniques, for example measuring the total 177 phenolic content by the Folin-Ciocalteau method. This lack of information makes comparison 178 179 among studies, even those using the same food, particularly complex. All the studies were placebo-controlled. A large variability regarding the duration of the interventions (ranging from 180 2 to 20 weeks), as well as the dose of the tested compounds was observed. In spite of this, 39 181 182 out of 44 studies found a significant effect of supplementation with polyphenols on PON1 expression and/or activity. No effect was found in 5 studies [39,40,56,82]. The lack of effect 183 could be, at least in part, related to the relatively short duration of the intervention in several 184 studies (2-3)weeks) [39,42,56,88] compared to others (12-20)weeks) 185 [40,53,60,64,65,70,74,75,78,80]. For example, El-Beshbishy et al. [56] documented that the 186 intake of a polyphenol-rich food (500 mg kg<sup>-1</sup> day) for 2 weeks did not increase serum PON1 187 activity in rats, but showed to protect LDL from oxidation hypothesizing that this effect was not 188 mediated by PON1 but a direct effect of polyphenols on LDL itself. Schrader et al.[39] failed to 189 190 observe a modulation on plasma PON1 activity in rats fed with genistein for 3 weeks, in spite the same authors found genistein as the most potent inducer of PON1-transactivation at 191 concentrations higher than 5 µM in the cell model. Other than duration of the study, the lack of 192 193 effects on PON1 mRNA induction, protein and activity levels in the *in vivo* study may be attributed to the concentrations of genistein that were much higher than those found in plasma 194 and liver of rats. 195

Some investigations revealed high variability in PON1 gene expression and activity when using the same compound or food. For instance, 3 studies documented an increase in PON1 expression following quercetin administration, while no effect was observed after 199 catechin [54,71,88] which was suggested to be a poor inducer of PON1 mRNA and PON1 199 transactivation. On the contrary, Hamelet *et al.*[58] found catechin, but not quercetin, able to 201 counteract homocysteine-induced impairment of PON1 gene expression and activity in liver of 202 hyperhomocysteinemic mice. These conflicting results may be at least partially explained by the 203 different animal model used or different absorption and metabolism of polyphenols.

Regarding the studies investigating the effect of pomegranate juice intake, a significant 204 205 increase in PON1 activity was found by Kaplan et al. [53] and Rosenblat et al. [69] who showed a significant increase in serum PON activity in mice supplemented with pomegranate for 1 and 2 206 months, respectively. Similarly, Betanzos-Cabrera et al.[72] documented a significant increase 207 208 in liver PON1 activity after 4 months of supplementation with pomegranate juice (0.35 mmol/L) used in combination with a high fat diet compared to the high fat diet alone, but not compared to 209 the control group. Conversely, Aviram et al. [40] showed no effect on PON1 activity in mice 210 211 following 14 weeks of supplementation with 6.25 or 12.5 mL pomegranate juice/day (corresponding to 0.175 and 0.350 mmol of total polyphenols, respectively). This variability 212 among studies suggests that many variables (e.g. dose and duration of the studies, animal 213 model) may affect findings from different studies. 214

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#### 216 *2.3 Human studies*

The effect of polyphenols and polyphenol-rich foods on PON1 gene expression and activity has 217 been investigated in 26 human intervention studies (see Supplementary Table 3 under 218 "Supplemental data" in the online issue) [40,41,43,91-113]. Differently from what observed in 219 in vitro and animal studies, the evidence of a modulation of PON1 expression and activity 220 through polyphenols is promising but needs more substantiation. In fact, half of the studies 221 reported an increase in PON1 expression and/or activity, while the other half showed no effect 222 or even a reduction following polyphenol/polyphenol-rich food supplementation. These 223 conflicting findings can be attributed to large differences among studies such as experimental 224

design, duration of the intervention, type of food and amount used, and last but not least, the study population selected. In this regard, 13 out of 26 studies were performed on healthy volunteers [40,41,43,91,94,96,100,101,104,107,108,110,112], whereas the remaining 13 investigations involved subjects with asymptomatic severe carotid artery stenosis [93], diabetes [95,99,103,105,106], peripheral arterial disease [97], cardiovascular risk [92,102,110,111], hemodialysis [113] and end-stage renal disease [98].

231 Regarding the study design, 14 studies did not include a control group evaluating the effects at baseline and post-intervention with polyphenols or polyphenol-rich foods [41,42,92,94,95,98-232 100,103,105,107,108,110,112]. A cross-over design was adopted in 5 studies 233 234 [96,97,101,104,111], while 7 studies used a parallel design [43,91,93,102,106,109,113]. Twenty-three out of 26 trials investigated the effect of polyphenol-rich foods in the medium-235 long term, with a duration generally ranging from 2 to 24 weeks [40,43,91-97,99-103,105-113] 236 237 except for one study that was a 3-year long term intervention [93]. Three studies evaluated also the effect of a single serving of decaffeinated green tea extracts, blackcurrant-based juice and 5 238 different beverages and wine on PON1 activity [41,104,108]. Compared to the long-term 239 intervention, the acute effect of polyphenol-rich foods failed to positively modulate the activity 240 of PON1. Only the administration of an orale dose of decaffeinated green tea extracts (455 mg 241 242 equivalent to 4 cups of green tea) showed to increase PON1 activity in a group of end-stage renal disease patients. 243

Pomegranate derived 244 and products the examined food were most 245 [40,41,93,95,101,103,105,112,113], followed by berries provided mainly in the form of juice [41,104,106,108]. The amount of food varied depending on the type of product and bioactive 246 composition. For example, the amount of pomegranate and berry juice, and red wine ranged 247 from 50 to 250 mL/day while that of virgin and extravirgin olive oil was around 25 mL/day. 248 Eight studies did not provide information about the food matrix composition of polyphenols 249 [91,92,97,100,105-107,109,112], while 10 trials provided an estimation of the total polyphenols 250

content [40,41,94,99,101,103,104,108,111,113]. Regarding pomegranate, a significant 251 252 modulation of PON1 activity was observed both in healthy and unhealthy subjects at low doses (50 mL per day). For example, Aviram et al. [40] showed that a 2-week consumption of 253 pomegranate juice (PJ; 50 mL/day) significantly increased serum PON1 activity in a group of 254 healthy subjects. The same authors reported an improvement of PON1 activity in a group of 255 patients with asymptomatic severe carotid artery stenosis following 1-3 year of PJ intervention 256 257 (50 mL/day) [93]. Rosenblat and coworkers found an increase in serum PON1 arylesterase activity after 12 weeks of PJ in a group of diabetic subjects [95]. A 4 and 6-week intervention 258 with PJ (50 mL/day) and pomegrate extract contributed to PON1 stabilization, increased 259 260 association with HDL, and enhanced catalytic activities in a group of diabetic and overweight individuals [99]. Moreover, Fuhrman and colleagues documented that a 4-week intake of PJ (50 261 mL/day) increased HDL-rePON1/free rePON1 ratio in diabetic subjects [103]. Only 2 studies 262 263 utilized PJ at higher doses [41,105]. Rosenblat et al. [41] showed that 250 mL/day of PJ per 1 week improved serum PON1 lactonase activity in healthy subjects, while no effect was observed 264 265 following a single dose of PJ. Finally, a 6-week intervention with PJ (200 mL/day) documented an increase in paraoxonase and aryl esterase PON1 activity in a group of diabetic subjects [105]. 266

Two studies examined the role of pomegranate extract on PON-1 activity [112,113]. Tracy *et al.*[112] reported that a 3-month supplementation with 1g per day of pomegranate capsule extract increased serum PON-1 activity in a group of recurrent stone formers but not in the non-stone former group. Wu and colleagues [113] showed that a daily oral supplementation for 6 months of purified pomegranate extract (1g per day) improved serum PON-1 lactonase activity (but not paraoxonase and arylesterase PON-1 activity) in a group of hemodialysis patients.

The effect of berries, alone or in combination with other foods, on PON1 activity was evaluated in 6 studies [40,91,97,104,106,108]. The results have shown high variability between studies. For example, 1 week of intervention with blackcurrant juice (250 mL/day) increased serum PON1 lactonase activity in healthy subjects [56]. In a double-blind randomized clinical trial, the intake of 240 mL/day of cranberry juice for 12 weeks increased PON1 activity in type 2 diabetic male patients [106]. Conversely, Kardum *et al.*[108] reported no effect of a 12-week intervention with polyphenol-rich chokeberry juice (100 mL/day) on PON1 activity in a group of healthy subjects. Similar findings were also observed by Huebbe and colleagues, which documented no effect on PON1 activity following a post-prandial consumption of 250 g of blackcurrant-based juice [104].

Three studies specifically evaluated the effects of virgin and extravirgin olive oil, and 284 virgin argan oil [94,97,111]. Chercki et al. [94] showed that a 3-week intervention with 25 285 286 mL/day of virgin argan and extra virgin olive oil (providing about 3.3 mg/kg and 790 mg/kg of total polyphenols, respectively) increased PON1 activity in a group of healthy subjects. Farràs 287 and coworkers [111] reported that a 3-week intervention with a functional virgin olive oil (25 288 289 mL/day providing about 500 ppm of total phenolic compounds) significantly improved PON1 activity in a group of hyperlipidemic individuals. On the contrary, Loued et al. [107] 290 291 documented that a 12-week intervention with extra virgin olive oil (25 mL/day) did not affect serum PON1 activity in young and elderly healthy subjects. 292

A comparison of findings from animal and human studies testing the same food products appears difficult since most of the animal studies used larger doses compared to those in human trials. To give an example, the supplementation with 5-10 mL/day of pomegranate juice in mice weighting ~200 g would correspond to 1.75-3.5 L/day when consumed by a subject of 70 kg. Thus, an appropriate extrapolation of animal dose to human dose and viceversa through normalization to the body surface area should be used.

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#### 300 3. HYPOTHESIZED MECHANISMS OF PON1 REGULATION TROUGH POLYPHENOLS

In Figure 2 are reported the possible mechanisms of action of polyphenols in the regulation of
PON1 expression and activity. One of the most putative pathway of upregulation of PON1 could

be the activation of the AhR. The AhR is a ligand-activated transcription factor belonging to the 303 304 basic helix-loop-helix/per-aryl hydrocarbon receptor nuclear translocator protein-(ARNT)single-minded protein (Sim) family of proteins. It is classically activated by synthetic 305 306 xenobiotics such as dioxins, polycyclic aromatic hydrocarbons but also polyphenols (i.e. resveratrol and quercetin). Upon ligand binding, AhR translocates to the nucleus and forms a 307 heterodimer with the ARNT. The AhR/ARNT heterodimer binds to xenobiotic responsive 308 elements (XREs) within the PON1 promoter (\_126 and \_106 region) and induces an 309 upregulation as documented in human breast cancer and hepatoma cell line following quercitin 310 supplementation [44,114]. 311

312 Another plausible pathway could involve the transcription factor sterol regulatory element-binding protein-2 (SREBP-2) via specificity protein 1 (Sp1). SREBPs are a new class 313 of membrane-bound transcription factors that modulate lipid homeostasis. SREBP-2 is the major 314 315 regulator of cholesterol biosynthetic pathway. Recent studies have reported that quercetin may modulate PON1 gene via SREBP-2 [45,115]. In particular, it has been hypothesized that 316 317 quercetin can cause PON1 translocation through SREBP-2 from the endoplasmic reticulum to the nucleus, where interacts with sterol responsive elements-like sequence on the PON1 318 promoter [45]. It has been reported that an interaction between Sp1 and protein kinase C (PKC) 319 320 could represent a potential mechanism of PON1 transcription in HuH7 liver cells [56]. This process seems activated through a phosphorylation of PKC mediated by polyphenols (i.e. 321 resveratrol and epigallocatechin gallate) in HepG2 cells [116]. 322

323 SREBP-2 is linked to p44/42 mitogen-activated protein kinase (MAPKs) signaling cascade. 324 MAPKs regulate the synthesis of chemokines, cytokines, adhesion molecules and 325 prostaglandins involved in inflammation. MAPKs seem to play an important role in the 326 regulation of PON1 activity and PON1 protein expression in Huh7 cells [117]. However, the 327 role of polyphenols on MAPK regulation has not been deeply investigated. For example, 328 epigallocatechin gallate has shown to inhibit interleukin-1beta-induced activation of MAPK in

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human chondrocytes through the inhibition of c-Jun NH2-terminal kinase (JNK) dependent activity [118]. It is plausible that polyphenols may stimulate PON1 transcription through the activation of JNK or acting as scavenger by inhibiting ROS production and oxidation. In this regard, protocatechuic acid, the main metabolite of cyanidin-3-glucoside, was able to induce the activation of JNK in macrophages which, in turn, determined the increase of nuclear receptor Nrf2, leading to inhibition of the early ROS overproduction [119].

335 The intracellular signalling cascade of peroxisome proliferator-activated receptors (PPARs) pathway plays a critical role in the regulation of diverse biologic processes 336 within the cardiovascular system, including PON activity. In this regard, recently pomegranate 337 338 juice polyphenols, gallic acid and ellagic acid were demonstrated to upregulate PON1 expression and PON1 release from hepatocytes through the activation of PKA and PPARy 339 signaling pathway [46]. 340

341 Several studies have demonstrated that also inflammation can negatively affect PON1 activity [120]. The inflammatory process is orchestrated by nuclear factor kappa-B (NF- $\kappa$ B), an 342 343 oxidative stress sensitive transcription factor, predominantly existing in the cytoplasm in an inactive state bound to a member of the IkB family of inhibitory proteins [121]. Phosphorylation 344 of IkB by PKC or IkB kinase (IKK) results in its degradation and dissociation from the NF-kB 345 complex. Once NF-kB is activated, it stimulates the expression of a number of genes including 346 those responsible for the production of citokines and interleukins. The production of citokines 347 and interleukins such as C reactive protein, interleukin-6, interleukin-1 and tumor necrosis 348 factor alpha have shown to reduce PON1 activity and PON1 mRNA levels in murine and human 349 hepatoma cell lines [120]. In particular, polyphenols have been recognized to block the 350 phosphorylation of IkB by inhibiting the activation of NF-kB and of the inflammatory cascade 351 352 as documented in *in vitro* and in animal models [122,123]. Inhibitors of NF-kB translocation or the transient over-expression of IkB have shown to partially restore PON1 mRNA levels [120]. 353

The specific chemical structure of polyphenols seems to have a role in the modulation of 354 PON1 activity and expression. The presence of hydroxyl groups on the flavonoid rings seems to 355 increase their affinity to re-PON1, while the glucuronidation and sulfatation processes, which 356 357 mask important hydroxyl groups of the flavonoid molecules decrease their PON1-inducing activity. Flavones and flavonols (i.e. luteolin, quercetin, kaempferol and apigenin), that show 358 different numbers of hydroxyl groups on their rings, interact with higher affinity to re-PON1 359 360 than other flavonoids. These compounds present a double bond at their C ring, making it planar due to coupling of the A and B rings' electrons, so the hydroxyl group at position 3 and the 361 oxygen at position 4 on the C ring are on the same plane [124]. 362

However, the rePON1-flavonoid interaction, not only depends on the number and presence of flavonoids hydroxyl groups, but also on the flavonoids substructure. In fact, although apigenin and naringenin (flavone and flavanone, respectively) have the same number of hydroxyl groups at the same positions, apigenin shows a higher affinity to PON1 than naringenin probably due to a 2,4-substituted resorcinol moiety in the A ring [124].

Recently, Atrahymovic and colleagues showed that the isoflavan glabridin could link re-PON1, despite the high hydrophobic subunit, protecting re-PON1 in a dose-dependent (1–100  $\mu$ M) manner [125]. The authors hypothesized that the mechanism governing the protective effect was not related to the antioxidant action, but rather to a physical interaction with the enzyme. The bind glabridin-re-PON1 affected the enzyme structure and significantly enhanced the ability of the enzyme to remove Ox-LDL associated cholesteryl ester hydroperoxides.

The different chemical structure of polyphenols and the impact of PON1 polymorphisms in the response make it difficult to elucidate the ability of these dietary compounds to modulate PON1 activity and gene expression and the specific mechanisms involved.

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#### 378 4. CONCLUSIONS

Several observational studies outlined the importance of PON1 in the prevention of 379 380 atherogenesis and preservation of HDL from oxidation. The mechanism by which PON1 can preserve HDL from oxidation is not completely elucidated and more research should focus on 381 this aspect. In this context, the present review provides results supporting the role of 382 polyphenols in the modulation of PON1, even if much remains to ascertain. In fact, the studies 383 performed *in vitro* are few and most of the positive effects were observed only for quercetin and 384 385 resveratrol at doses not comparable to those achievable in vivo. The evidence deriving from animal models seem to be more convincing; the majority of studies found a significant effect of 386 polyphenols and polyphenol-rich foods supplementation on both PON1 expression and PON1 387 388 activity, even if high doses have been generally used. Regarding human trials, it has been shown a positive modulation of PON1 gene expression and activity following the consumption of some 389 polyphenol-rich foods, especially pomegranate juice at the dose of 50 mL/day. However, results 390 391 deserve further investigations because of some methodological issues. In fact, the population characteristics were different among the studies and, in addition, in most of the trials the 392 experimental designs were not placebo-controlled. This latter represents a limitation, since it is 393 not clearly possible to attribute the effects observed specifically to the polyphenol-rich food 394 395 treatment.

396 Future studies should be performed to understand the mechanisms by which polyphenols can modulate PON1 activity, and to verify whether the effects can be obtained at physiological 397 doses. This consideration highlights the importance of using reasonable doses of foods and 398 related bioactive compounds in intervention studies as suggested by the Food and Drug 399 Administration in clinical trials for therapeutics [126]. In addition, the adoption of rigorous and 400 well controlled human intervention studies is encouraged. Moreover, since polyphenols are 401 402 extensively metabolized in the human gut and liver, the contribution of their metabolic products should be considered. 403

## 405 5. ACKNOWLEGMENTS

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contributed to critical discussion of results. Marisa Porrini, Salvatore Ciappellano and Patrizia
Riso critically reviewed the paper literature and approved the final manuscript. The authors
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## **FIGURE CAPTION 1**

Fig. 1 Flow chart highlighting the study selection

# **FIGURE CAPTION 2**

Fig. 2 Polyphenols in the modulation of PON1 activty and expression: the mechanisms of action