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## Abstract

*Purpose:*

To validate heart rate ( $f_H$ ) as an effective indicator of the aerobic demands of climbing, the  $f_H$  vs oxygen uptake ( $\dot{V}O_2$ ) relationship determined during cycling exercise and climbing on a circular climbing treadwall was compared. Possible differences in maximum aerobic characteristics between advanced and elite climbers were also assessed.

*Methods:*

Seven advanced and six elite climbers performed a discontinuous incremental test on a cycle ergometer and a similar test on a climbing treadwall. Cardiorespiratory and gas exchange parameters were collected at rest and during exercise.

*Results:*

The  $f_H$  vs  $\dot{V}O_2$  relationship was steeper during cycling than climbing at submaximal exercise for both groups and during climbing in the elite climbers as compared to the advanced. At peak exercise,  $\dot{V}O_2$  was similar during both cycling and climbing ( $3332 \pm 115$  and  $3193 \pm 129$  ml/min, respectively). Despite similar  $\dot{V}O_{2\text{peak}}$ , the elite climbers had a higher peak workload during climbing ( $11.8 \pm 0.8$  vs  $9.2 \pm 0.3$  m/min in elite and advanced climbers, respectively;  $P = .024$ ) but not during cycling ( $282 \pm 13$  vs  $268 \pm 12$  W in elite and advanced climbers, respectively).

*Conclusions:*

Our findings indicate that care should be taken when energy expenditure during climbing is estimated from the  $f_H$  vs  $\dot{V}O_2$  relationship determined in the laboratory. The level of climbing experience significantly affects the energy cost of exercise. Lastly, the similar aerobic demands of cycling and climbing at peak exercise, suggest that maximum  $\dot{V}O_2$  may play an important role in climbing performance. Specific training methodologies should be implemented to improve aerobic power in climbers.

## Keywords (separated by '-')

Indoor climbing - Treadwall - Oxygen uptake - Heart rate - Lactate - RPE

## Footnote Information

Communicated by Jean-René Lacour.



## 2 Cardiovascular and metabolic responses during indoor climbing 3 and laboratory cycling exercise in advanced and elite climbers

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### 7 Abstract

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23 **Keywords** Indoor climbing · Treadwall · Oxygen uptake · Heart rate · Lactate · RPE

### 24 Abbreviations

25  $f_H$  Heart rate

26  $\dot{V}O_2$  Oxygen uptake

27  $\dot{V}E$  Expiratory ventilation

28  $f_R$  Respiratory frequency

29  $V_T$  Tidal volume

$\dot{V}CO_2$   $CO_2$  production

[ $La^-$ ] Lactate concentration

RPE Rate of perceived exertion

CR scale Category ratio scale

SD Standard deviation

SE Standard error

ES Effect-size

CI Confidence interval

$P$  Statistical significance for  $t$  test

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### Introduction

Sport climbing is shortlisted for the Tokyo 2020 Olympic  
Games additional events, making precise definition of the  
physiological profile of climbers of pivotal importance to  
identify specific and effective training protocols.

Climbing requires discontinuous effort in mixed aerobic/  
anaerobic work (Bertuzzi et al. 2007) due to wall inclination  
and length, movement sequence (holds shape, size and posi-  
tion), speed of ascent, psychological challenge, and athlete

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ability (Aras and Akalan 2014; Mermier et al. 1997; Booth et al. 1999; de Geus et al. 2006; Draper et al. 2012, 2008; Fryer et al. 2013; Watts and Drobish 1998). The aerobic characteristics of climbers are generally assessed by indirect calorimetry through standard exercise tests carried out in the laboratory during non-specific cyclic activities such as running or cycling (Billat et al. 1995; Watts and Drobish 1998). With the introduction of treadwall ergometers, the physiological characteristics of climbers can be assessed during activities that are more similar to sport climbing (España-Romero et al. 2009; Watts and Drobish 1998; Booth et al. 1999). Due to the encumbrance of portable gas analyzers, heart rate ( $f_H$ ) is usually monitored after being linearly related to pulmonary oxygen uptake ( $\dot{V}O_2$ ) in the laboratory to estimate the aerobic demands of a sports activity (Arts and Kuipers 1994). However, the intermittent nature and the marked involvement of the upper limbs in climbing could affect the  $f_H$  vs  $\dot{V}O_2$  relationship, making the use of  $f_H$  to estimate the aerobic demands of climbers in the field questionable (Ferrauti et al. 2001; Astrand et al. 1968; Michikami et al. 2002). Indeed, different  $f_H$  vs  $\dot{V}O_2$  relationships were observed for physiological response to indoor climbing as compared to laboratory exercise tests (Balas et al. 2014; Sheel et al. 2003; Mermier et al. 1997; Watts and Drobish 1998; Booth et al. 1999). The disproportionately higher  $f_H$  values measured at lower exercise intensities were mainly explained by the marked involvement of the upper limbs that elicits higher cardiovascular responses as compared to exercise involving mainly the lower limbs (Kuepper et al. 2009; Rowell et al. 1996; Kaufman and Forster 1996). Assessment of physiological response to outdoor and laboratory testing during continuous incremental ramp tests (de Geus et al. 2006; Balas et al. 2014; Rodio et al. 2008; Sheel et al. 2003; Michailov et al. 2015; Mermier et al. 1997; Watts and Drobish 1998; España-Romero et al. 2009; Booth et al. 1999) generally tends to underestimate the metabolic response at a given work rate due to the fast workload increase. For better physiological evaluation, matching of cardiovascular and metabolic response should be preferably assessed under a steady condition. To date, only one study has compared the physiological response to climbing with treadmill running under a quasi-steady condition, during which subjects exercised for 4 min at a constant  $f_H$  at various mechanical work rates (Watts and Drobish 1998). The study found that during exercise at the same  $f_H$ , the  $\dot{V}O_2$  was lower during climbing than running. However, this comparison was conducted with only one workload and didn't take mechanical power into account.

Lastly, training level and technical skills may also influence cardiorespiratory and metabolic response to treadwall climbing and, in turn, the  $f_H$  vs  $\dot{V}O_2$  relationship (Balas et al. 2014; España-Romero et al. 2009). At the same submaximal climbing slope and velocity, elite athletes were found

to exhibit lower  $\dot{V}O_2$  and  $f_H$ , suggesting that training level and climbing experience may increase exercise economy. To date, the effects of training level and technical skills on the  $f_H$  vs  $\dot{V}O_2$  relationship have never been assessed under controlled steady conditions.

The aim of the present study was to determine: (1) the possible differences between the  $f_H$  vs  $\dot{V}O_2$  relationship during climbing under a macroscopic steady condition and that obtained during cycle exercising in the laboratory; (2) the effect of training level and technical skills on the  $f_H$  vs  $\dot{V}O_2$  relationship. For this purpose, two groups of climbers (advanced and elite) performed a discontinuous, incremental test on a cycle ergometer and a similar discontinuous incremental test on a climbing treadwall. Given the possible effects of technical skills and training status on exercise performance, our hypothesis was that the advanced climbers would show a steeper  $f_H$  vs  $\dot{V}O_2$  relationship than elite climbers during the specific (climbing) rather than the aspecific (cycling) exercise.

## Methods

### Participants

Thirteen male national level climbers volunteered to participate in the study. Based on the French climbing grade scale, which provides a reliable measure of performance (Draper et al. 2011, 2012), the participants reported their best climbing grade achieved in the last 2 years in the red-point and on-sight styles (Draper et al. 2011) and were assigned to either the advanced or the elite group (Draper et al. 2012, 2011). Anthropometric characteristics, climbing level and training habits are presented in Table 1. After full receiving an explanation of the purpose of the study and the experimental

**Table 1** Anthropometric characteristics, climbing level, and training regime

	Advanced (n = 7)	élite (n = 6)
Age (years)	25.2 (3.9)	29.7 (4.9)
Stature (m)	1.79 (0.7)	1.77 (0.7)
Body mass (kg)	68.8 (6.0)	67.2 (4.3)
Body fat (%)	10.6 (1.4)	9.8 (1.2)*
Forearm circumference (cm)	28.7 (0.3)	29.8 (0.6)
Climbing experience (years)	6.8 (3.9)	11.5 (6.8)*
Training frequency (h/week)	11 (4)	14 (3)*
Best red-point level	7a+ – 7c	8a – 8b+
Best on-sight level	6c+ – 7b	7b – 8a+

Mean  $\pm$  SD

\* $P < .05$  vs advanced

132 procedures, the participants gave their written, informed  
 133 consent form. The study was approved by the local Ethics  
 134 Committee and carried out in accordance with the principles  
 135 of the 1975 Declaration of Helsinki. At the time of the study,  
 136 none of the climbers reported musculoskeletal pathologies  
 137 of the upper or lower limbs.

## 138 Experimental design

139 During their first visit to the laboratory, the participants were  
 140 familiarized with the protocol as well as with the climbing  
 141 and cycling equipment. Test sessions two and three were ran-  
 142 domized. One session involved performing a discontinuous  
 143 incremental test on a cycle ergometer. Since cycle ergometry  
 144 is minimally influenced by movements of the body centre of  
 145 mass, it allows for reliable evaluation of exercise economy  
 146 and, in turn, of the  $f_H$  vs  $\dot{V}O_2$  relationship. During the other  
 147 visit, the subjects performed a discontinuous incremental  
 148 test on a rotating climbing ergometer (Rotor Dynamic Wall,  
 149 Climblock-Plastic System, Rovereto, Italy).

150 Tests were performed during the same week at approxi-  
 151 mately the same time of the day, with at least 48 h in  
 152 between. The subjects were asked to refrain from all climb-  
 153 ing and intense aerobic activities for 48 h prior to testing;  
 154 they were instructed to have a meal similar to what they usu-  
 155 ally eat before a climbing ascent about 3 h before the tests  
 156 and to abstain from any ergogenic beverages for at least 8 h.

## 157 Experimental procedures

### 158 Cycle test

159 The cycle ergometric test was carried out in a climate-con-  
 160 trolled room at a constant temperature ( $20 \pm 1^\circ\text{C}$ ) and rela-  
 161 tive humidity ( $50 \pm 5\%$ ). The tests were performed on an  
 162 electrically controlled, mechanically braked cycle ergometer  
 163 (839E, Monark, Vansbro, Sweden). After 5 min of rest for  
 164 taking baseline measurements, the discontinuous incremen-  
 165 tal test started with the same two workloads (50 and 100 W)  
 166 for all participants. Three more workloads (each lasting  
 167 4 min, with 5 min of rest in between bouts) were adminis-  
 168 tered according to individual cardiorespiratory response to  
 169 reach the maximum aerobic power in five workloads. The  
 170 subjects were asked to maintain a cadence of  $90 \pm 3$  rpm and  
 171 the test was considered terminated when the cadence could  
 172 not be maintained for more than 10 s. Verbal encouragement  
 173 was given during the effort. The main gas exchange param-  
 174 eters (expiratory ventilation,  $\dot{V}E$ ; respiratory frequency,  
 175  $f_R$ ; tidal volume,  $V_T$ ;  $O_2$  uptake,  $\dot{V}O_2$ ;  $CO_2$  production)  
 176 were recorded on a breath-by-breath basis with a portable  
 177 metabolic system (mod. K4, Cosmed, Rome, Italy). Flow  
 178 meter and gas analyzers were carefully calibrated before  
 179 each test with a 3-L syringe (mod. 5530, Hans-Rudolph,

Shawnee, KS, USA) and a gas mixture of known concen-  
 180 tration ( $16\%O_2$ ,  $5\%CO_2$ , balance  $N_2$ ).  $f_H$  was continuously  
 181 monitored by electrocardiography (mod. Delta VIS, Remco  
 182 Italia, Cardioline, Vignate, Italy). At rest and at the end of  
 183 each workload, arterial blood samples ( $4\ \mu\text{l}$ ) were taken from  
 184 the ear lobe for blood lactate concentration ( $[La^-]$ ) measure-  
 185 ment by spectrophotometry (LT-1710, Lactate Pro, Arkray,  
 186 Kyoto, Japan). Rate of perceived exertion (RPE) at general  
 187 (6–20 scale), muscular and respiratory levels (category ratio,  
 188 CR10 scale) was reported by participants at rest and after  
 189 each workload.  
 190

### 191 Climbing test

192 The indoor climbing test was performed on a rotating drum-  
 193 shaped treadwall (4 m diameter and 12 m of linear develop-  
 194 ment; Fig. 1), on which resin holds were applied to trace  
 195 routes similar to those of artificial walls. As a warm-up  
 196 exercise before the test, the athletes climbed the route they  
 197 had successfully led during the familiarization session. The  
 198 discontinuous incremental test started with 5 min of base-  
 199 line measurements followed by the same two workloads (4.5  
 200 and 5.5 m/min) for all participants. Three more workloads

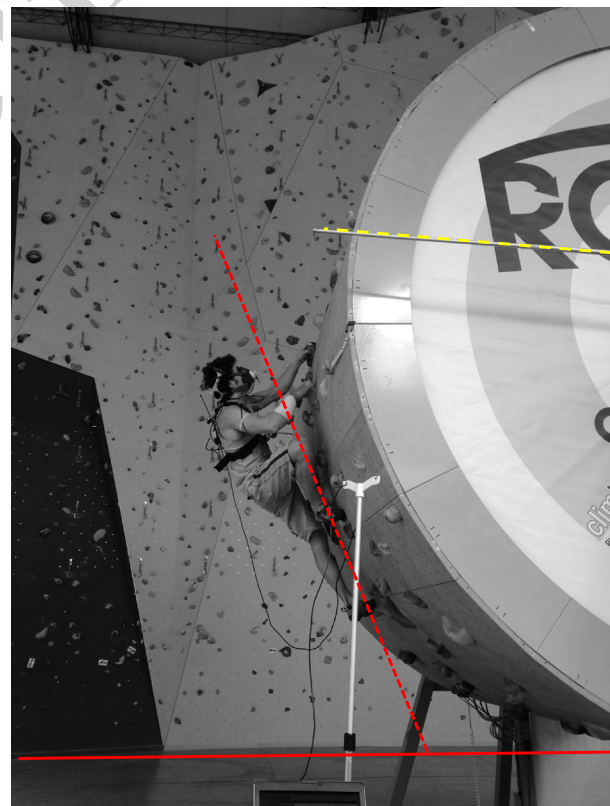


Fig. 1 The rotating treadwall utilized for the indoor climbing test. The dashed yellow line marks the limit they were not allowed to cross when reaching with their hands, thus constraining them to climb at a negative slope between  $120^\circ$  and  $125^\circ$  (dashed red line)

201 (each lasting 4 min, with 5 min of rest in between each bout)  
 202 were administered according to individual cardiorespiratory  
 203 response to reach peak aerobic power in five workloads.

204 The treadwall is so designed that the participants could  
 205 perform the exercise uninterruptedly at each required speed.  
 206 The cylindrical shape permitted to choose the inclination on  
 207 which to move. During tests, the climbers were not allowed  
 208 to reach with the hands beyond a horizontal thread posi-  
 209 tioned parallel to the floor, thus constraining them to climb  
 210 at a negative slope between 120° and 125° (Fig. 1).

211 The route was gradable as 6c according to the French  
 212 scale, with no abrupt technical changes; this was done in  
 213 order to assess the physical involvement of climbing more  
 214 than the climber's technical skills. If a fall occurred because  
 215 of a technical error, the climbers were immediately allowed  
 216 to resume the trial. The test was considered terminated when  
 217 a climber failed to maintain the rotating speed of the tread-  
 218 wall. During the exercise, gas exchange parameters were col-  
 219 lected breath-by-breath and  $f_H$  was continuously monitored.  
 220  $[La^-]$  was determined at rest and at the end of each work-  
 221 load, as were general RPE, muscular and respiratory CR10.

## 222 Data analysis and statistics

223 Cardiorespiratory and metabolic parameters were averaged  
 224 over the last minute of each workload for both cycling and  
 225 climbing tests. This averaging was performed to obtain val-  
 226 ues similar to a steady condition ("macroscopic steady")  
 227 also for climbing, thus overcoming, at least in part, the inter-  
 228 mittent nature of this effort (continuous accelerations and  
 229 decelerations) that may solicit anaerobic metabolism to a  
 230 higher extent (Dellal et al. 2010; Scott and Fountaine 2013;  
 231 Esposito et al. 2004). The  $f_H$  vs  $\dot{V}O_2$  relationship was then  
 232 computed.

233 Statistical analysis was performed using a software pack-  
 234 age (Sigmaplot ver. 12.5, SysStat Software Inc, San Jose,  
 235 CA, USA). A Kolmogorov-Smirnov test was applied to  
 236 verify normal data distribution. A sample size of 13 partici-  
 237 pants was calculated to ensure a statistical power higher than  
 238 0.80. Descriptive statistics [mean; standard deviation (SD);  
 239 standard error (SE)] were used to describe the study sam-  
 240 ple characteristics and results. Possible differences between  
 241 conditions for the whole group were checked using paired  
 242 Student's *t* test. To identify differences between groups, for  
 243 the considered variables, unpaired Student's *t* test was uti-  
 244 lized. The magnitude of changes was assessed using effect-  
 245 size (ES) statistics with the standard error of ES estimate  
 246 and the ES lower and upper 95% confidence interval (CI).  
 247 ES was classified as trivial for values <0.2, small between  
 248 0.2 and 0.6, moderate between 0.6 and 1.2, large between  
 249 1.2 and 2.0, and very large > 2.0 (Batterham and Hopkins  
 250 2006). The relationship between  $f_H$  and  $\dot{V}O_2$  was determined  
 251 by linear regression analysis. Regression lines of different

252 groups were compared by utilizing the individual intercept  
 253 and slope of each subject. Paired (differences between the  
 254 two ergometers) or unpaired (differences between the two  
 255 groups) Student's *t* test was applied to disclose significant  
 256 differences in intercept and slope. Statistical significance  
 257 was set at  $\alpha < 0.05$ .

## 258 Results

### 259 Submaximal exercise

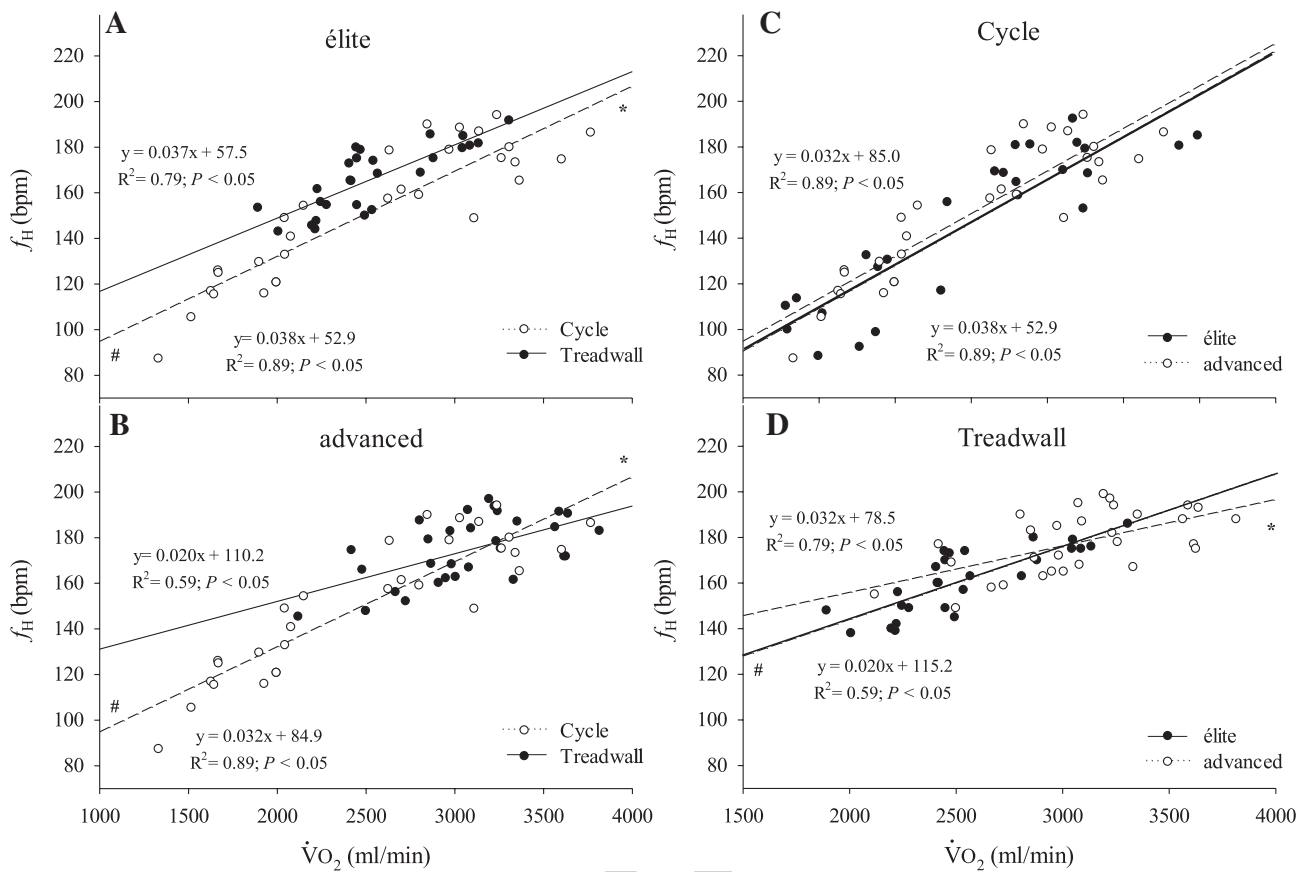
260 When the pooled data from both groups were considered  
 261 together, the difference between the regression line slopes of  
 262 the  $f_H$  vs  $\dot{V}O_2$  relationship for the cycle ( $y = 0.022x + 107.1$ ;  
 263  $R^2 = 0.68$ ;  $P < .05$ ) and the treadwall ( $y = 0.039x + 52.7$ ;  
 264  $R^2 = 0.89$ ;  $P < .05$ ) exercise, while close, did not achieve  
 265 statistical significance ( $P = .091$ , ES = 0.40, CI = 1.14–0.41).  
 266 However, when the elite and the advanced climbers were  
 267 considered alone, both groups presented significant differ-  
 268 ences for intercept and slope between cycle and treadwall  
 269 exercise (Fig. 2c, d). Differences between the elite and the  
 270 advanced athletes emerged only for the specific treadwall  
 271 exercise, where the difference in slope reached statistical sig-  
 272 nificance ( $P = .010$ , ES = 0.69, CI = -0.14 to 2.18; Fig. 2b).

273 When  $\dot{V}O_2$  was considered as a function of mechani-  
 274 cal power during cycle exercise, no differences were  
 275 found between the regression line equations for the elite  
 276 ( $y = 8.76x + 1120.4$ ;  $R^2 = 0.95$ ;  $P < .05$ ) and the advanced  
 277 ( $y = 8.42x + 1240.1$ ;  $R^2 = 0.96$ ;  $P < .05$ ) climbers. During  
 278 treadwall climbing, the regression line equations for elite  
 279 ( $y = 130.2x + 1624.2$ ;  $R^2 = 0.83$ ;  $P < .05$ ) and the advanced  
 280 ( $y = 132.1x + 2226.2$ ;  $R^2 = 0.58$ ;  $P < .05$ ) climbers paralleled  
 281 each other and differed significantly only for the intercept.

### 282 Peak exercise

283 The maximum mechanical aerobic power achieved during  
 284 the cycle test was  $282 \pm 10$  W. When the two groups were  
 285 compared, the elite and the advanced climbers achieved  
 286  $291 \pm 13$  and  $270 \pm 12$  W, respectively ( $P = .024$ , ES = 0.67,  
 287 CI = -0.50 to 1.73). During the climbing exercise, the max-  
 288 imum velocity was  $10.9 \pm 1.5$  m/min ( $11.8 \pm 0.8$  for the elite  
 289 and  $9.2 \pm 0.3$  m/min for the advanced climbers) ( $P = .003$ ,  
 290 ES = 0.65, CI = 0.41–2.94). The physiological parameters  
 291 at maximum exercise are shown in Fig. 3. No differences  
 292 were found at maximum exercise between the two testing  
 293 modalities for  $\dot{V}O_2$  and  $f_H$  (Fig. 3a, b). Conversely, lower  $\dot{V}E$   
 294 ( $156.9 \pm 6.3$  and  $116.0 \pm 4.1$  L/min for cycle and climbing,  
 295 respectively;  $P = .000$ , ES = 0.49, CI = -3.02 to -1.12),  
 296 due to reduced  $V_T$  ( $2.75 \pm 0.11$  and  $2.36 \pm 0.05$  L for cycle  
 297 and climbing, respectively;  $P = .002$ , ES = 0.43, CI = -2.07  
 298 to -0.39) and  $f_R$  ( $58.2 \pm 3.3$  and  $49.6 \pm 1.7$  b/min for cycle





**Fig. 2** Heart rate vs oxygen uptake ( $f_H$  vs  $\dot{V}O_2$ ) relationship at different exercise intensities during climbing and cycling tests, for the advanced ( $n=7$ ) and the elite ( $n=6$ ) climbers. \* $P < .05$ , statistical

difference in slope value between the two regression lines (cycling and climbing exercise); # $P < .05$ , statistical difference in intercept value between the two regression lines

299 and climbing, respectively;  $P = .039$ ,  $ES = 0.41$ ,  $CI = -1.69$   
 300 to  $-0.08$ ) were observed for climbing. Also, lower  $[La^-]$   
 301 values (see Fig. 3c;  $P < .000$ ,  $ES = 0.61$ ,  $CI = -3.29$  to  
 302  $-1.31$ ) were found for climbing. No differences between  
 303 the advanced and the elite athletes were found.

304 Lower general RPE ( $P = .025$ ,  $ES = 0.41$ ,  $CI = -1.73$  to  
 305  $-0.11$ ), lower muscular ( $P = .017$ ,  $ES = 0.41$ ,  $CI = -1.78$   
 306 to  $-0.15$ ) and respiratory CR10 ( $P = .005$ ,  $ES = 0.41$ ,  $CI =$   
 307  $-1.77$  to  $-0.15$ ) for climbing than cycling was observed  
 308 (Fig. 4). The advanced climbers expressed higher scores for  
 309 general ( $P = .040$ ,  $ES = 0.60$ ,  $CI = -1.98$  to  $0.30$ ) and mus-  
 310 cular ( $P = .025$ ,  $ES = 0.60$ ,  $CI = -2.32$  to  $0.03$ ) RPE during  
 311 cycling than the elite athletes.

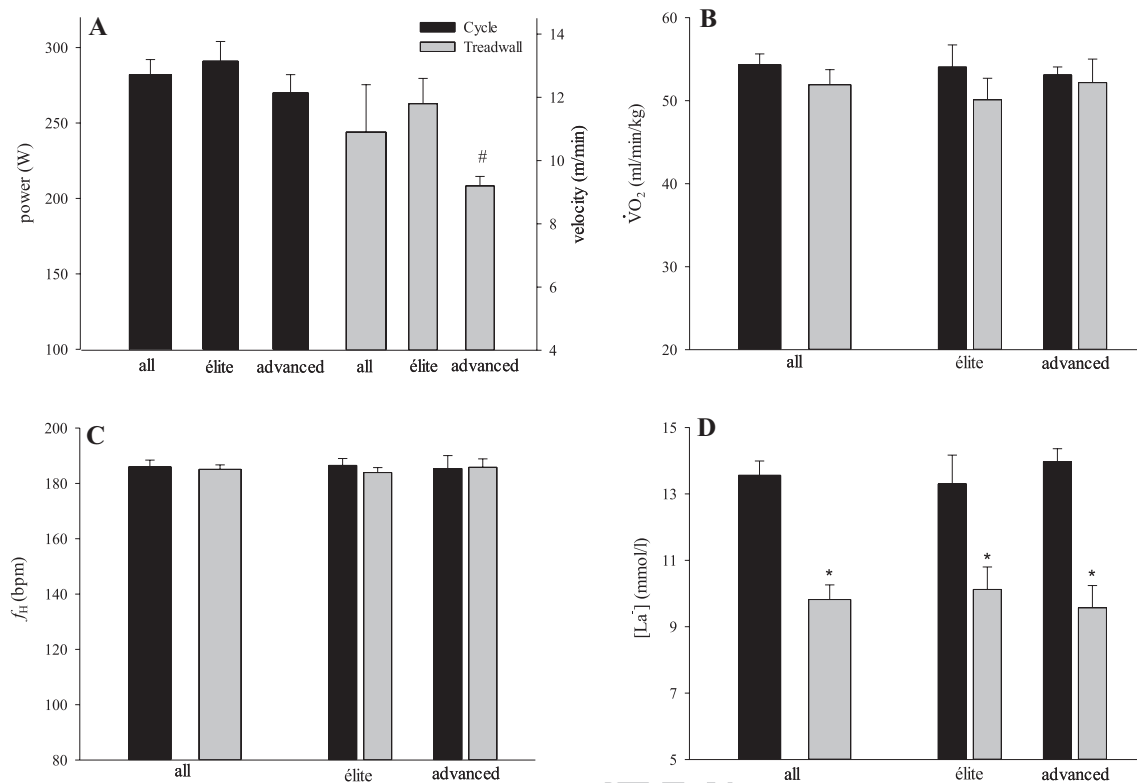
312 **Discussion**

313 The main finding of the present study was that, when the  
 314 data from all participants were pooled together, the  $f_H$  vs  $\dot{V}O_2$   
 315 relationship during cycling was steeper than that observed  
 316 during climbing under a macroscopic steady condition.

Higher  $f_H$  values were observed during climbing than dur- 317  
 318 ing cycling at low and medium workload intensities at the  
 319 same  $\dot{V}O_2$ . Moreover, a significant difference in the  $f_H$  vs  
 320  $\dot{V}O_2$  slope was found during climbing between the elite and  
 321 the advanced athletes, suggesting that training level and  
 322 technical skills may affect that relationship. Interestingly, in  
 323 spite of the similar peak  $\dot{V}O_2$  and  $f_H$  values, peak climbing  
 324 velocity was higher for the elite climbers, indicating a better  
 325 climbing efficiency at maximum climbing velocity.

326 **Preliminary considerations**

The atypical nature of climbing make it very difficult to 327  
 328 standardize specific testing protocols approximating real-  
 329 world climbing conditions. For instance, a speed-controlled  
 330 climbing ascent may not be representative of true climb-  
 331 ing situations as athletes tend to climb at a self-selected  
 332 pace (Giles et al. 2006). This may seem a limitation of our  
 333 study. However, the macroscopic steady condition achieved  
 334 during treadwall climbing was an essential prerequisite to



**Fig. 3** Physiological parameters at maximal exercise (power or velocity; pulmonary  $O_2$  uptake,  $\dot{V}O_2$ ; heart rate,  $f_H$ ; blood lactate concentration,  $[La^-]$ ) during climbing and cycling exercise for the whole group and the advanced ( $n=7$ ) and the elite ( $n=6$ ) climbers. \* $P < .05$  vs cycle

335 compare cardiovascular and metabolic responses with exercise intensity.

336  
337 We chose a steadily negative treadwall slope to make the  
338 testing protocol more representative of climbing competitions that frequently include routes on overhanging walls  
339 (Mermier et al. 1997; Watts and Drobish 1998). For this reason, cardiorespiratory values during the climbing tests  
340 were much higher, and the climbing speed slower, than in previous investigations (Mermier et al. 1997; Watts and  
341 Drobish 1998; Sheel et al. 2003; de Geus et al. 2006; Giles et al. 2006; Booth et al. 1999). Nevertheless, the speed of  
342 ascent in the present study (4–11 m/min) was faster than that reported during usual, self-selected outdoor climbing  
343 (3–5 m/min) (Booth et al. 1999).

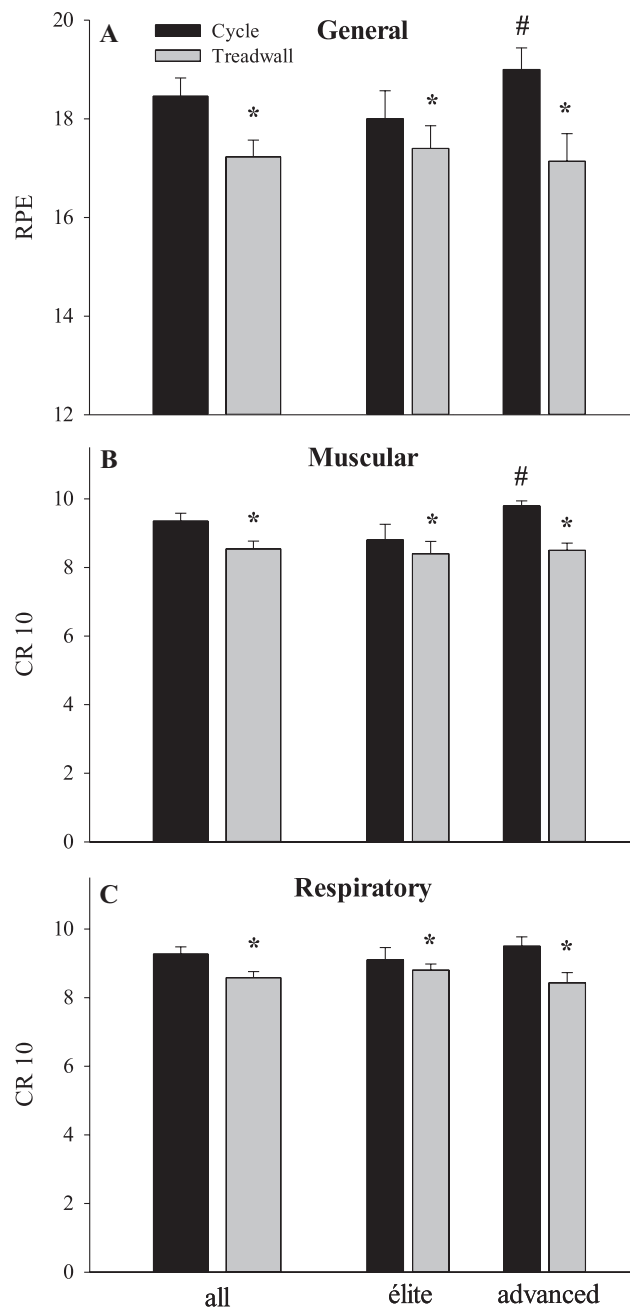
### 349 Physiological responses at submaximal exercise

350 Cardiovascular and respiratory responses to discontinuous incremental exercise increased with exercise intensity for  
351 both testing modalities, providing experimental evidence that mechanical work was higher when climbing treadwall  
352 speed was faster, while inclination and difficulty were held constant.

353 Consistent with previous studies (Mermier et al. 1997; Watts and Drobish 1998; Balas et al. 2014; Sheel et al.

2003; Booth et al. 1999), the relationship between  $f_H$  and  $\dot{V}O_2$  during climbing differed from that observed in cycling. Disproportionately higher  $f_H$  values with respect to  $\dot{V}O_2$  were observed when climbing at low and medium velocities. This phenomenon may be explained by longer isometric phases of the forearm muscles when climbing ascent is slow. There is, indeed, a disproportionate rise in blood pressure, cardiac output and  $f_H$  under isometric as compared to dynamic actions, especially when the upper limb muscles are involved (Kuepper et al. 2009; Rowell et al. 1996; Astrand et al. 2003; Rowell 1993; Kaufman and Forster 1996). At higher climbing intensities this phenomenon may be attenuated as movement speed increases and, consequently, the isometric phase of the upper limb muscles shortens (Mermier et al. 1997). Moreover, the  $f_H$  discrepancy between climbing and cycling may be related to a greater cardiovascular response to exercise typical to when the arms work above the heart level (Rowell et al. 1996; Rowell 1993; Kaufman and Forster 1996). Also, higher psychological stress and/or anxiety in climbing may contribute to explain this phenomenon, especially when  $f_H$  is far from its maximum limit (Mermier et al. 1997).

380 The novelty of the present investigation was the matching of physiological responses to climbing and exercise intensity (treadwall speed) under a macroscopic steady condition of



**Fig. 4** General rate of perceived exertion (RPE; Borg scale 6–20), muscular and respiratory CR10 during the climbing and cycling tests for the whole group and the advanced ( $n=7$ ) and the elite ( $n=6$ ) climbers. \* $P < .05$  vs cycle; # $P < .05$  vs elite

383 the discontinuous incremental protocol, thus overcoming the  
384 intrinsic limits of ramp protocols. Ramp protocols include  
385 changes in work rate that could be faster than cardiorespira-  
386 tory and metabolic adjustments and so may not represent the  
387 best testing modality to achieve mechanical and metabolic  
388 power matching (Riboli et al. 2017).

389 Collectively, our data seem to suggest that  $f_H$  shouldn't be  
390 considered a good marker of metabolic demands in climbing.

391 Accordingly, it cannot be used adequately to monitor and  
392 prescribe climbing training intensities because, despite the  
393 macroscopic steady condition,  $f_H$  at low climbing velocity  
394 was markedly higher than in cycling at the same  $\dot{V}O_2$ .

395 Differences in the  $f_H$  vs  $\dot{V}O_2$  relationship between elite  
396 and advanced climbers have been currently investigated.  
397 Recent studies observed that elite climbers have an attenuated  
398 pressure response to isometric exercise and an increased  
399 muscle vasodilator capacity as compared to less experienced  
400 climbers (Sheel et al. 2003). Previous data and the present  
401 findings show that the slope of the  $f_H$  vs  $\dot{V}O_2$  relationship  
402 during climbing is higher in elite than in advanced climber-  
403 s. A plausible explanation on the effects of training and  
404 experience levels is the difference in pressure response to  
405 isometric exercise in elite climbers, who present a lower  
406 cardiovascular response to low and moderate exercise intensity  
407 during climbing. This was not the case at maximum climbing  
408 exercise because the cardiovascular response to exercise  
409 in that scenario is very close to its maximum, and therefore  
410 may not differ between the two groups.

411 Lastly, when we considered  $\dot{V}O_2$  and  $f_H$  as a function of  
412 climbing velocity, the elite climbers showed lower  $\dot{V}O_2$  and  
413  $f_H$  values at the same ascent velocity, with greater exercise  
414 economy. This was not the case during cycle exercise, how-  
415 ever, suggesting that differences between the two groups  
416 existed only for a specific and not a generic testing modality.  
417 The greater economy of movement reported for elite athletes  
418 during climbing (Balas et al. 2014; Bertuzzi et al. 2007) may  
419 depend on better postural control and technical optimization  
420 of movements (Bertuzzi et al. 2007). Whether this finding  
421 was due to physiological aspects or to greater technical skills  
422 still remains an open question.

### 423 Physiological and perceptual involvement at peak 424 exercise

425 At peak exercise, the cardiorespiratory and metabolic commit-  
426 ment was similar for the two exercise modalities. The  
427  $\dot{V}O_2$  and  $f_H$  at peak climbing exercise were about 96% and  
428 99%, respectively, of those at peak cycle exercise. These  
429 findings seem to contradict previous observations in which  
430 physiological commitment at peak climbing exercise  
431 ranged between 45 and 70% of  $\dot{V}O_2$  at maximum treadwall  
432 exercise (Balas et al. 2014; Billat et al. 1995; Mermier  
433 et al. 1997). Considering also that climbers generally  
434 report  $\dot{V}O_{2peak}$  values markedly lower than endurance ath-  
435 letes, it was concluded that aerobic capacity plays a sec-  
436 ondary role in climbing (Billat et al. 1995; Michailov et al.  
437 2015). As mentioned previously, however, these studies  
438 were carried out with continuous incremental ramp tests  
439 and not under a macroscopic steady condition, implying  
440 that the increase in work rate reported in previous studies  
441 was faster than cardiorespiratory and metabolic

adjustments (Riboli et al. 2017). In addition, the athletes tested in those studies of previous investigations climbed on vertical or slightly overhanging walls, following routes of low difficulty (Balas et al. 2014; Mermier et al. 2000; Watts and Drobish 1998; Espana-Romero et al. 2009) and without being asked to climb at their maximum speed, thus leading unquestionably to reduced physiological demands. In our study, the climbing tests were performed up to maximum climbing velocity on a constant overhanging wall, and each workload was held constant for 4 min (macroscopic steady condition). In previous studies, the lower  $\dot{V}O_{2\text{peak}}$  in climbers was also ascribed to the small involvement of the upper limb muscle mass as compared to cyclic locomotion modalities (running, pedaling) (Michailov et al. 2015). However, climbing globally involves a greater number of skeletal muscles not only for primary movements but also to maintain a constant postural control in conditions of instability. Therefore, climbing should be considered as a whole-body exercise, as also evidenced by the high percentage of cycle  $\dot{V}O_{2\text{peak}}$  during climbing.

Collectively, these findings suggest that  $\dot{V}O_{2\text{peak}}$  needs to be reconsidered as an strategic factor for climbing performance, thus questioning the current belief that maximum aerobic fitness is not an important requirement for climbing.

In line with previous studies (Mermier et al. 1997; Watts et al. 2000), we observed lower maximal  $[La^-]$  during climbing than cycling. Compared to the large body muscle mass involved in running or cycling, the small size of the active muscles of the upper body utilizing anaerobic metabolism in climbing may have played an important role (Mermier et al. 1997; Watts et al. 2000). Nevertheless, we observed higher  $[La^-]$  levels at peak climbing exercise than those found previously (Giles et al. 2006; Michailov et al. 2015). This finding could be explained by the relatively long effort at maximum velocity during the last workload in the present protocol (4 min), without rest periods in between bouts. When athletes climb at a self-selected velocity, they generally take short rests on large holds to reduce peripheral muscle fatigue and to allow partial resynthesis of the high-energy phosphate stores (Bertuzzi et al. 2007).

RPE and CR10 values were lower at peak climbing exercise than during cycling at general, muscular and respiratory levels. This finding well reflects the lower  $f_R$  during climbing. Indeed,  $f_R$  seems to be strongly related not only to respiratory but also to general RPE (Nicolo et al. 2016). Also, the lower  $[La^-]$  may have influenced muscular CR10 during climbing. Furthermore, the higher confidence and expertise of our subjects with climbing than cycling exercise may have affected RPE and CR10 outcomes.

When the group was divided into elite and advanced climbers, the same dissimilarities between cycling and climbing persisted. Though the two groups did not differ for physiological parameters at peak exercise, including peak  $\dot{V}O_2$ , the elite athletes achieved a higher peak workload during the climbing test. This observation, together with the lower  $\dot{V}O_2$  vs climbing velocity relationship (Fig. 4b), highlight the higher exercise economy in the elite climbers during the specific testing modality. When exercise was aspecific, i.e., during cycling, the difference in exercise economy disappeared.

The advanced climbers reported higher general and muscular RPE only at maximum cycle exercise and similar RPE values at maximum climbing exercise. This could have been due to a less familiarity with fatigue perception and maximum effort during an unusual exercise modality mostly involving the lower rather than the upper limb muscles. Future studies are may better elucidate the role of training and experience level on the central and peripheral aspects of the perception of fatigue. There is also a need to investigate the differential effect of specific and aspecific exercise modalities on RPE.

## Practical applications and conclusions

Since sport climbing has recently been included in the next Olympic Games (Tokyo 2020) program, it becomes crucial to appropriately define the physiological profile of this discipline and to assess the determinant factors of climbing performance. Our study results suggest that  $f_H$  may not be considered as a good marker of aerobic demands in climbing. Moreover, the elite climbers showed a physiological engagement similar to that in of the advanced athletes but at higher work rates during climbing, indicating better exercise economy during the specific exercise. Lastly, the lack of a difference in  $\dot{V}O_2$  at peak exercise between the two exercise modalities indicates that the current belief that  $\dot{V}O_{2\text{max}}$  is not a prerequisite for climbing performance may be inaccurate. For this reason, specific training components to improve peak aerobic power in climbers should be employed to optimize performance.

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## Compliance with ethical standards

**Conflict of interest** The authors declare no conflict of interest.

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