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# Genes on the move: candidate genes and long-distance migration in birds

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## **1. Introduction**

### **1.1. Endogenous control of migration and other life-history events**

The Earth undergoes circannual seasonal changes in ecological conditions due to the inclination of its rotation axis with respect to the ecliptic plane. Seasonality is expressed through oscillations in temperatures, precipitations, photoperiod and availability of food resources; such oscillations are more obvious at high latitudes, becoming gradually less noticeable towards the tropics. Organisms inhabiting seasonal environments must face periods in which food resources are predictably scant or absent, and weather conditions are adverse. Migration is an adaptive strategy, shared by several animal taxa, evolved to exploit the seasonal changes of resources by moving to different areas at different times of the year, as these become suitable (Gauthreaux 1982; Rappole 1995; Berthold 1996).

Bird migration is impressively widespread, involving every year billions of individuals of thousands of species (Berthold 2001; Hahn *et al.* 2009), and is accomplished by means of a set of morphological, physiological and behavioural adaptations that allow birds to successfully move from breeding to wintering grounds and back at the correct time of the year (Newton 2008). Migratory birds, indeed, reach the breeding grounds when the food supplies enable them to breed (Lack 1954), and leave towards more suitable areas before the ecological conditions deteriorate (Newton 2008). Migratory species, especially those travelling over long distances, must anticipate the changes in ecological conditions by weeks or even months to prepare for migration, for example by reaching the critical fuel load required for successfully completing their long journey across ecological barriers, and usually have very limited (if any) cues about the ecological conditions at their goal areas (Gwinner 1972; Hagan *et al.* 1991; Newton 2008; Saino & Ambrosini 2008). Hence, the annual cycle is timed to ensure that the birds' major life-history events, i.e. reproduction, migration and moult, occur in the proper sequence and at the right time of the year (Newton 2008).

This is allowed by the existence of an endogenous control of the annual schedule, that has been well documented in several migratory bird species by means of experimental studies on wild birds kept under controlled laboratory conditions (Gwinner 1968, 1971, 1972, 1981, 1986; Berthold *et al.* 1974; Berthold & Terrill 1991).

Relying entirely on internal rhythms, however, implies that any slight shift of the annual program would uncouple the life-history events from the prevailing ecological conditions (Newton 2008). Hence, the endogenous cycle is kept in phase with seasonal variation and interannual differences in ecological conditions by means of external cues, that act as time-keepers (*Zeitgeber*) (Merrow *et al.* 2005; Newton 2008). Due to its high reliability, variation in photoperiod has been suggested among the primary of these cues (e.g. Gwinner 1986; Gwinner & Helm 2003; Sharp 2005). Importantly, since both day length and daily differences in photoperiod broadly vary along a south-north axis, photoperiod also provides cues about the latitude to migratory birds that travel between distant areas, experiencing different photoperiodic regimes. Hence, photoperiod seems to be involved not only in the mechanism that control the timing of circannual events, but also in the setting of the whole spatio-temporal program of migration (Newton 2008).

## **1.2. The genetics of migratory traits**

The occurrence of a tight endogenous control of migration hint that migratory traits are under a strong genetic influence (reviewed in Liedvogel & Lundberg 2014). Findings from heritability studies, crossbreeding and displacement experiments (e.g. Berthold 1984; Møller 2001; Pulido *et al.* 2001; Pulido & Bethold 2003; Thorup & Rabøl 2007), and as well as from studies of the response of wild populations to changing selective pressures (e.g. Brown & Brown 2000) corroborate this idea.

Migratory species and populations could broadly differ in the extent of migratory activity and in the expression of migratory traits (e.g. Berthold 1988; Pulido 2007). At increasing latitudes the

breeding season becomes shorter and delayed, and the migration lengthens in term of distance travelled and time taken to be accomplished; as a consequence, birds breeding at different latitudes must adaptively adjust their phenology and their response to day length to the latitude at which they nest (Newton 2008). Even within populations individuals could show large differences in traits linked to migration, and such variability may be maintained by different selective pressures that occur in different years (e.g. partial migration, Lundberg 1987). It has been shown that such differences in migratory traits among species, populations and individuals are at least partly triggered by genetic variation (Pulido & Berthold 2003; Pulido 2007; van Noordwijk *et al.* 2006).

Identifying which genes or gene groups underline the phenotypic variation observed in natural populations, and to which extent, is of broad evolutionary and conservation interest (e.g. Ellegren & Sheldon 2008; Møller *et al.* 2010), though for decades the topic has received less attention (Liedvogel & Lundberg 2014). The few studies trying to link phenotypic variability of migratory traits with the overall genetic differentiation in bird, fish and insect migratory populations have disclosed mostly weak associations (Liedvogel *et al.* 2011). Hence, it has been suggested that variation in migratory traits among individuals could be the outcome of polymorphism at relatively few regions or loci with additive effects on downstream signalling cascades of a variety of other genes (Liedvogel *et al.* 2011). In recent years, several studies have focused on the polymorphism of phenological candidate genes (i.e. genes involved in the control of the circadian clock), that may explain the variability of timing of circannual events and other behavioural traits in birds and other vertebrates (e.g. birds: Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Mueller *et al.* 2011; Chakarov *et al.* 2013; Peterson *et al.* 2013; Saino *et al.* 2013; Bourret & Garant 2015. Salmonid species: O'Malley & Banks 2008; O'Malley *et al.* 2010). Candidate genes, indeed, show short tandem repeats in functional or neutral regions that could affect the gene functions and ultimately may influence the photoperiodic response (Kashi *et al.* 1997; Comings 1998; Li *et al.* 2004; Fondon *et al.* 2008; Visser *et al.* 2010). The candidate gene approach relies on *a priori* knowledge of

functional genes which contribute to shape the phenotype of a trait of interest in model organisms, or that were identified in previous biochemical studies (Tabor *et al.* 2002; Fitzpatrick *et al.* 2005; Hoffmann & Willi 2008; Pardo-Diaz *et al.* 2015). Since several gene structures and functions are conserved among divergent taxa, such an approach could be useful to investigate the association between gene polymorphism and phenotypic variation in natural populations of non-model organisms (Fitzpatrick *et al.* 2005). Other approaches implemented to identify the genomic regions underlying adaptive phenotypic variability include the ‘forward genetics’, which searches for gene regions or genes underpinning known adaptive traits by surveying a large number of molecular markers in individuals segregating for a trait of interest (reviewed in Pardo-Diaz *et al.* 2015), and the ‘reverse genetics’, that refers to the genome-wide detection of loci with evidence of selection without *a priori* knowledge of their associated phenotype (Pardo-Diaz *et al.* 2015).

### **1.3. *Clock* gene polymorphism and phenology of circannual events**

Most of the phenological candidate genes studied so far are involved in the ‘core circadian oscillator’ (CCO), a fully characterized, auto-regulated negative feedback loop that modulates the photoperiodic response and that is responsible for the onset and setting of circadian and circannual rhythms (Panda *et al.* 2002; Lincoln *et al.* 2003; Bell-Pedersen *et al.* 2005; Ko & Takahashi 2006). The *Clock* (*Circadian Locomotor Output Cycles Kaput*) gene products play a central role within the CCO: CLOCK protein heterodimerizes with a second protein, BMAL1, forming the transcriptional-activating complex of the CCO itself (Gekakis *et al.* 1998; Young & Kay 2001; Panda *et al.* 2002; Ko & Takahashi 2006); in addition, the CLOCK-BMAL1 complex acts as an output of the CCO by activating the transcription of downstream clock-controlled genes (Reppert & Weaver 2002; Iuvone *et al.* 2005). The avian *Clock* exonic region shows a C-terminal polyglutamine (Poly-Q) repeat sequence that could vary in length depending on the number of CAG repeats it bears, and that affects the binding affinity of CLOCK protein with its transmission factor (Darlington *et al.* 1998).

It has been suggested that polymorphism at Poly-Q, reported both among populations and among individuals within populations (e.g. Fidler & Gwinner 2003; Johnsen *et al.* 2007; Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Peterson *et al.* 2013), may contribute to phenotypical variability in the timing of life-history events (among which migration) by differentially affecting circadian rhythms (Darlington *et al.* 1998; Gekakis *et al.* 1998; Hayasaka *et al.* 2002).

A first evidence of the possible role of *Clock* gene in the control of phenology come from an among-populations comparison of *Clock* allele size in the blue tit (*Cyanistes caeruleus*). The study revealed a latitudinal cline in the frequency of ‘longer’ and ‘shorter’ alleles (i.e. alleles carrying more and less CAG repeats, respectively), with Poly-Q length increasing at northern latitudes (Johnsen *et al.* 2007). It has been proposed that such a latitudinal cline may arise from an adaptation to local regimes of annual photoperiodic oscillations that birds experience at their breeding areas (Johnsen *et al.* 2007; O’Malley & Banks 2008; O’Malley *et al.* 2010). Moreover, as the breeding season becomes delayed and shorter moving polewards, the occurrence of Poly-Q of different lengths at different latitudes could be related to the timing of birds’ life-history events, longer alleles being associated with delayed phenology. The latter hypothesis seems to be supported by the findings of several studies investigating the association between *Clock* allele size and phenology of life-history events within populations. Indeed, intrapopulation variation in *Clock* allele size predicted the timing of reproduction and moult in some avian species (Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Saino *et al.* 2013; Bourret & Garant 2015). The direction of the *Clock* allele size-phenology association was coherent in all the studies that detected such relationship: individuals bearing longer *Clock* alleles showed a delayed timing, whereas those carrying shorter alleles had an advanced phenology. Specifically, a study of the blue tit reported that females with shorter *Clock* alleles started laying earlier and had shorter incubation periods than their counterparts bearing longer alleles (Liedvogel *et al.* 2009). Similar findings come from studies of two swallow species: in the barn swallow (*Hirundo rustica*) a rare, long allele was associated with delayed

breeding phenology (Caprioli *et al.* 2012); a study of a breeding tree swallow (*Tachycineta bicolor*) population showed a similar pattern of association, although the relationship was statistically significant only in combination with breeding density (Bourret & Garant 2015). Furthermore, the single study investigating the association between *Clock* polymorphism and moult showed that the timing of moult had a tendency to increase with *Clock* allele size in wintering barn swallows (Saino *et al.* 2013). Finally, findings from further research on candidate genes polymorphism suggested an involvement of *Clock* also in the control of behavioural traits linked to migration. Indeed, *Clock* allele size increased with migration distance within two subspecies groups belonging to the genus *Junco*, although not among populations (Peterson *et al.* 2013).

Conversely, studies of other bird species have failed to disclose any association between *Clock* genotype and breeding latitude or phenotype (Liedvogel & Sheldon 2010; Dor *et al.* 2011; Dor *et al.* 2012; Chakarov *et al.* 2013; Kuhn *et al.* 2013) and the role of *Clock* polymorphism in shaping avian phenotype variability still needs to be clarified.

#### **1.4. *Adcyap1* allele size and variability of migratory behaviour**

Besides *Clock*, other phenological candidate genes have been studied in wild birds to investigate their possible role in the control of circannual activities (e.g. Steinmeyer *et al.* 2009; Mueller *et al.* 2011; Chakarov *et al.* 2013; Peterson *et al.* 2013; Bourret & Garant 2015). *Adcyap1* (*Adenylate Cyclase-Activating Polypeptide 1*) is a second candidate gene whose polymorphism has been suggested to underline variability in behavioural traits (Mueller *et al.* 2011; Peterson *et al.* 2013; Chakarov *et al.* 2013; Bourret & Garant 2015). *Adcyap1* encodes PACAP (pituitary adenylate cyclase-activating polypeptide), a neuropeptide known to exert a plethora of biological functions (Nowak & Zawilska 2003; Vaudry *et al.* 2009; Olano-Marin *et al.* 2011). There are several indications of a possible role of PACAP in the control of some of the physiological and behavioural shifts associated with migration in avian species (Mueller *et al.* 2011). First, it has been suggested

that a determinant of migration onset in night-migratory birds (Fusani & Gwinner 2005), the phase-shift of the endogenous oscillators from day to night activity through melatonin modulation (Mueller *et al.* 2011), could be driven by PACAP expression, since PACAP stimulates the melatonin synthesis in the pineal gland (Simonneaux *et al.* 1993; Schwartz & Andrews 2013). Furthermore, PACAP seems to be involved in the photoperiodic control of the annual schedule in several ways (Schwartz & Andrews 2013): it modulates the expression of the CCO, by directly activating *Clock* and other circadian genes in the pineal gland (Nagy & Csernus 2007; Racz *et al.* 2008) and it conveys light information from the retina to the suprachiasmatic nucleus of hypothalamus (Hannibal *et al.* 1997), a key element of the circadian timing (Schwartz & Andrews 2013). Finally, findings from experimental manipulation of PACAP levels in avian brain are suggestive for a role of the neuropeptide in driving migration-related physiological changes, such as fuel shift to lipid metabolism, premigratory hyperphagia and migratory fasting (Schwartz & Andrews 2013). Indeed, administration of PACAP in the ventricular system of chicken brain influenced energy metabolism by increasing metabolic rate, body temperature and lipid utilization (Tachibana *et al.* 2007), whereas increased PACAP concentration in the brain inhibited the feeding behaviour (Tachibana *et al.* 2003).

The 3' UTR of *Adcyap1* gene, that consist of post-transcriptional regulatory elements, shows length polymorphism due to simple sequence repeat insertion (Steinmeyer *et al.* 2009). It has been proposed that microsatellite polymorphism at the 3' UTR may influence the post-transcriptional processes by modifying the structure of the region (Hirokawa & Takemura 2005; Bartel 2009; Chatterjee & Pal 2009). At the among-population level, *Adcyap1* polymorphism predicted the migration propensity and the migration distance in the blackcap (*Sylvia atricapilla*), with *Adcyap1* allele size increasing with migratory activity (Mueller *et al.* 2011). Nevertheless, no association between *Adcyap1* allele size and migration distance has been found among populations of the genus *Junco* (Peterson *et al.* 2013). In addition, it has been shown that *Adcyap1* allele size affected

migratory restlessness in blackcap (*Sylvia atricapilla*) (Mueller *et al.* 2011) and Oregon junco (*Junco hyemalis thurberi*) (Peterson *et al.* 2013) individuals kept in cage, longer *Adcyap1* alleles being associated with more persistent migratory restlessness (Mueller *et al.* 2011; Peterson *et al.* 2013). Since that migratory restlessness reflects migration propensity in wild populations and ultimately affects the distances travelled by migratory birds (Gwinner 1990; Berthold 1996; Maggini & Bairlein 2010), on the whole these findings foster the idea that longer *Adcyap1* alleles should be associated with longer migratory journeys.

A further cue of an involvement of *Adcyap1* polymorphism in shaping avian behavior come from three studies of the timing of life-history events in association with candidate genes polymorphism. Juvenile buzzards (*Buteo buteo*) bearing longer *Adcyap1* alleles dispersed earlier compared to those carrying shorter alleles (Chakarov *et al.* 2013). Furthermore, in female tree swallows *Adcyap1* allele size affected the timing of laying, although the association varied with latitude. Finally, *Adcyap1* allele size predicted timing of spring migration in female blackcaps migrating across Europe, although the genotype-phenology association emerged in combination with wing morphology only (Mettler *et al.* 2015). Such relationships could be partly mediated by an effect of *Adcyap1* polymorphism on migratory traits. Chakarov *et al.* (2013), indeed, suggested that *Adcyap1* genotype could affect dispersal in buzzards through an effect on migratory restlessness, whereas the latitudinal variation of the *Adcyap1* allele size-breeding phenology detected in the tree swallow could reflect spatial variation in migratory traits driven by *Adcyap1* variability (Bourret & Garant 2015).

## 2. Outline of the thesis

The findings described above are suggestive for a role of phenological candidate genes polymorphism in driving the variability in migratory behaviour both among populations and among individuals within populations, although the effect of genotype was somewhat inconsistent across the different studies (e.g. Liedvogel & Sheldon 2010, Dor *et al.* 2011). However, to the best of my knowledge, no study has investigated the effect of *Clock* and *Adcyap1* genotype, as well as that of other candidate genes, on migration phenology. Moreover, further studies focusing on the *Clock*- and *Adcyap1*-migratory behaviour associations are needed to shed light on the role of candidate genes polymorphism in shaping the inter-individual variation of other migratory traits.

The aim of this thesis is to investigate whether polymorphism at candidate genes affects the timing of migration and the migration distance in avian species, with particular reference to *Clock* and *Adcyap1* genes. I will focus on *Clock* and *Adcyap1* because information on variation at these genes has recently become available for several bird populations and species. Moreover, as outlined above, polymorphism at *Clock* and *Adcyap1* genes have been previously linked to phenotypic variability in avian species. However, I will also assess the genotype-phenology association for two other candidate genes, *Creb1* and *Npas2*, whose polymorphism has been recently linked to differences in the timing of breeding and of juvenile dispersal (Chakarov *et al.* 2013; Bourret & Garant 2015), and for a newly identified polymorphic *Clock* region. Since long-distance migratory species exhibit a tighter endogenous control of migratory traits (Debat & David 2001; Pulido & Widmer 2005), they are good candidates to study genotype-phenotype association. I will hence focus on long-distance migration.

In the first chapters of the thesis (**Chapter 1, 2 and 3**) I investigated the association between *Clock* and *Adcyap1* polymorphism and migratory traits' variability in individuals from different

population migrating through stopover sites, with particular focus on spring migration. Specifically, **Chapter 1** focuses on whether *Clock* and *Adcyap1* allele size predicted the arrival date at a stopover site during spring migration in four trans-Saharan migratory species (nightingale, *Luscinia megarhynchos*; pied flycatcher, *Ficedula hypoleuca*; tree pipit *Anthus trivialis* and whinchat *Saxicola rubetra*). In addition, I tested for the allelic dominance of the long *Clock* allele, since this pattern was highlighted in previous studies for *Clock* and other genes characterized by Poly-Q polymorphism (Ross 2002; Fondon *et al.* 2008; Liedvogel *et al.* 2009). *Clock* allele size significantly and positively predicted migration timing in two out of four species; moreover, I found evidence that such relationship was driven by the size of the long rather than the short *Clock* allele. Conversely, *Adcyap1* allele size did not covary with spring migration timing in the study species.

**Chapter 2** is focused on the association between *Clock* and *Adcyap1* allele size and two aspects of migratory phenotype: the timing of spring migration and the migration distance. By capitalizing on feather deuterium ratio ( $\delta^2\text{H}$ ) of individual Wilson's warblers (*Cardellina pusilla*) migrating through a stopover site halfway the wintering and the breeding grounds, I provided evidence that *Adcyap1* allele size was associated with migration distance in males, but not in females. Indeed, the frequency of longer *Adcyap1* alleles was higher in (male) Wilson's warblers populations breeding at northern latitudes and migrating over longer distances than in those breeding at southern latitudes. Furthermore, I reported that the genotype-breeding latitude association was stronger among the long-distance migrants from the northern breeding populations than among southern birds migrating over shorter distances. On the other hand, *Clock* gene showed very low variability, and nor *Adcyap1* neither *Clock* allele size significantly predicted the timing of spring migration in the Wilson's warbler.

In **Chapter 3** I considered variation at five candidate genes (*Clock*, *Adcyap1*, *Npas2*, *Creb1* and a newly identified *Clock* region that shows a tandem repeat motif, *Clock3*) in association with the timing of two major life-history events in a trans-Saharan migratory passerine bird, the willow

warbler (*Phylloscopus trochilus*). First, I tested whether candidate genes allele size predicted the timing of spring migration across the central Mediterranean sea, recorded at a stopover site. Secondly, I investigated the association between candidate genes polymorphism and moult speed, another important determinant of the timing of circannual events, as gauged by ptilochronological study of feather growth rate. *Npas2* allele size affected migration date in male willow warblers, and long *Clock* allele size predicted migration phenology in females (but not in males), although the relationship was opposite to our predictions in both cases, longer alleles being associated with early migration. However, wing length decreased with migration date in females (rather than increasing, as expected), hinting that such associations might emerge because of a geographic differentiation at *Npas2* and *Clock* combined with an unusual pattern of migration of different populations. Furthermore, *Creb1* polymorphism was related to variation in moult speed; indeed, males, but not females, bearing longer *Creb1* alleles grown their feather faster than those carrying shorter alleles. Conversely, *Clock3* and *Adcyap1* allele size did not predict timing of migration nor moult speed.

In the second part of thesis (**Chapter 4**) I considered the within-population variability in migration phenology in relation to *Clock* allele size. By taking advantage of year-round tracking data of individual barn swallows obtained by means of light-level geolocators, I tested whether *Clock* polymorphism predicted the timing of non-breeding period (from departure for fall migration to arrival at the breeding grounds in spring) within three different populations breeding in the Po plain (Italy) and in southern Switzerland. Despite the very low genetic variation at this locus, I showed *Clock* allele size predicted the timing of non-breeding season in the Swiss population. Moreover, I found that the effect of *Clock* allele size on phenology varied depending on the phase considered, being more pronounced for phenological variables related to the timing of spring migration. Conversely, no association between genotype and migration timing emerged for the two Italian populations.

Finally, the third part of the thesis (**Chapter 5**) consists of a comparative study of 23 trans-Saharan migratory species; I tested whether genetic variation (allele size and gene diversity) at *Clock* and *Adcyap1* loci covaried with the species' life-history traits, including distribution, phenology of spring migration across the central Mediterranean sea and distance between the wintering and the breeding ranges. I used phylogenetic confirmatory path analysis to disentangle the possible causal relationships behind the associations between migratory traits and gene diversity. Using such a comparative approach I highlighted that species breeding at northern latitudes had a higher frequency of longer *Clock* alleles. Moreover, I found that *Clock*, but not *Adcyap1*, gene diversity was lower among species migrating over longer distances and having a delayed and more concentrated spring migration, the most important variables directly affecting *Clock* gene diversity being the migration distance and the migration date.

### **3. Conclusions and future perspectives**

On the whole, these findings contribute to broaden our understanding of the role of phenological candidate genes in the control of avian behaviour, from the inter-individual to the inter-species level. Capitalizing on several and in some cases novel methods used to infer migratory traits at different scales, I provided entirely novel evidence of the association between *Clock* and *Adcyap1* polymorphism and traits linked to migration.

In this thesis I showed for the first time that *Clock* allele size predicts migration timing in bird species (**Chapter 1, 3 and 4**). The proximate mechanisms underpinning the *Clock* allele size-phenology association in migratory birds are still unknown and open to speculations, but could involve different photoperiodic response during migrations, for example at the time of departure

from the wintering grounds or during the spring migratory journey. This hypothesis seems to be corroborated by the findings of **Chapter 4**, along with previous evidence for a role of *Clock* allele size in the control of winter moult phenology in birds overwintering around the Equator (Saino *et al.* 2013); indeed, in barn swallows tracked from fall migration to arrival at the breeding grounds, the magnitude of the effect of *Clock* genotype on phenology increased along the non-breeding season, being less clear for the departure from the breeding grounds and becoming more marked during wintering and at the onset of spring migration. Despite the small circannual variation in photoperiod experienced by birds in the equatorial region, it has been demonstrated that they can detect even minor daily changes in photoperiod and in the time of sunrise and sunset and that they could set the timing of seasonal events accordingly (Hau *et al.* 1998; Goymann *et al.* 2012). If this is true, the association between *Clock* allele size and timing of breeding detected among migratory species (e.g. Caprioli *et al.* 2012) could originate from carry-over effects of events that occur during the non-breeding season, at low latitudes. Alternatively, it has been proposed that the photoperiodic response mediated by *Clock* gene may be restricted to the life-history events occurring at high latitudes, where the seasonal differences in photoperiod are marked (Johnsen *et al.* 2007; Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Bourret & Garant 2015). By highlighting that the frequency of longer *Clock* alleles increased with the breeding latitude of a species (**Chapter 5**), I provided further support for a possible role of *Clock* length polymorphism in the adaptation to local photoperiodic regimes at the breeding grounds.

Although contentious and not in line with the expected, the evidence of an association between timing of spring migration and genetic variation at *Npas2* gene, another candidate gene involved in the photoperiodic response (**Chapter 3**), hint that polymorphism at other candidate genes, besides the well-studied ones, may contribute to phenotypic variation in birds, especially for those species that show low variability at *Clock* locus.

Furthermore, I found an association between *Adcyap1* allele size and migration distance among a Nearctic-Neotropical migratory passerine bird, the Wilson's warbler (**Chapter 2**). This is the first study to detect a direct association between *Adcyap1* genotype and migratory phenotype in individual wild birds and supported the expectations of previous research on migration propensity and migratory restlessness (Mueller *et al.* 2011; Peterson *et al.* 2013). Importantly, the relationship was remarkably stronger among the long-distance, northern breeding populations and weaker and statistically non-significant for the southern breeding ones. Thus, we may hypothesize that the tighter endogenous control of migration (and possibly of other seasonal activities) that characterizes the long-distance migrants (Debat & David 2001; Pulido & Widmer 2005) could arise from a stronger genotype-phenotype association at phenological candidate genes.

Finally, using a comparative approach (**Chapter 5**), I showed that longer, delayed and concentrated migratory journeys are associated with lower genetic diversity at *Clock* locus. Such result suggests that the reduced phenotypic variance observed among long-distance migratory species (Pulido & Widmer 2005; Rubolini *et al.* 2010; Knudsen *et al.* 2011), that has been previously attributed to a tighter endogenous control of migration and hence to an environmental canalization of migratory traits (Pulido & Widmer 2005), may also be determined by depleted genetic variation at phenological candidate genes.

Taken together, these findings hint that candidate genes controlling migratory behaviour could be of pivotal evolutionary importance for long-distance migratory birds. For instance, the adaptive phenological shifts expected under climate change could be achieved by genetic variation at phenological candidate genes, since in these species the plastic phenotypic response appears to be reduced (Pulido & Widmer 2005; Rubolini *et al.* 2010; Knudsen *et al.* 2011). Selection towards shorter *Clock* alleles has been detected in two bird species that showed a *Clock* genotype-phenology association. Indeed, blue tits and barn swallows bearing shorter *Clock* alleles showed a higher fecundity, possibly mediated by the benefits to breed early, compared to those carrying longer

alleles (Liedvogel *et al.* 2009; Caprioli *et al.* 2012). However, the loss of genetic variation at genes controlling migratory traits observed in bird species migrating over longer distances suggests that the marked decline of populations of long-distance migratory birds driven by changing environmental conditions (Sanderson *et al.* 2006; Møller *et al.* 2008; Saino *et al.* 2011) may at least partly explained by the impossibility to accomplish micro-evolutionary changes in genetic composition. In this context, a deeper insight of phenological candidate gene variability and of the genotype-phenology association could help to predict the consequences of climate changes and to identify which species deserve more attention from a conservational perspective.

Studying candidate gene polymorphism in relation to migratory phenotype could also improve our understanding of evolutionary mechanisms. It has been suggested that the occurrence of among-population differences in the timing of seasonal events could drive reproductive isolation, possibly leading to speciation (e.g. Quinn *et al.* 2000; Adams & Thibault 2006; Friesen *et al.* 2007; Devaux & Lande 2008; Ketterson *et al.* 2015). If genetic structure at phenological candidate genes actually underlies among-populations variation in timing of migration and breeding, at least in some bird species, the occurrence of latitudinal clines in the frequency of longer and shorter alleles could be involved in the mechanism of population divergence through allochrony. Other behavioural traits linked to migration could promote reproductive isolation in sympatric migratory populations; for instance, in blackcaps breeding in central Europe that have recently established a migratory divide, differences in migration distance and migratory orientation promoted reproductive isolation and genetic divergence in a few generations (Rolshausen *et al.* 2009). A study comparing genome-wide patterns of divergence between populations of Swainson's thrush (*Catharus ustulatus*) migrating over different distances and along distinct routes further suggests that that migratory-linked genes and migratory behaviour could be important drivers of population differentiation. In these populations, indeed, several genes linked with migratory behaviour, among which *Adcyap1*, were remarkably more differentiated than other autosomal genes (Ruegg *et al.* 2014).

Findings from this thesis (**Chapter 1, 2, 3 and 4**) and previous research (e.g. Peterson *et al.* 2013; Bourret & Garant 2015) highlighted that the effect of candidate genes on phenotype could vary according to the species or the population considered, to the environment experienced by individuals or to the sex. It has been suggested that the among-population differences in candidate genes-phenology associations could arise from the occurrence of different environmental effects or from variation in the genetic background of the populations (Peterson *et al.* 2013; Bourret & Garant 2015). Hence, future research should consider sex- or population-specific selective pressures or a broader set of candidate genes that could affect phenotype in wild populations. A further, intriguing, possibility could be to identify new polymorphic loci possibly determining variation in migratory traits. For instance, the restriction site-associated DNA sequencing (RADSeq) allows the detection of thousands of single nucleotide polymorphisms (SNPs) and other genetic markers simultaneously, without the need to rely on existing sequencing data and with rather low costs (Davey & Blaxter 2010). For such reasons, this technique is particularly useful for genome-wide studies of natural populations of non-model organisms (Davey & Blaxter 2010) and, along with novel methods that could efficiently analyze large SNP dataset (Gutenkunst *et al.* 2009; Excoffier *et al.* 2013) may represent the next frontier in the study of the genetic bases of migratory behaviour.

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Photo: Andrea Capobianco Dondona



# PART 1

## CHAPTER 1

### **Polymorphism at the *Clock* gene predicts phenology of long-distance migration in birds**

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Photo: Alberto Erba, Andrea Galimberti and Francesco Renzi

# Polymorphism at the *Clock* gene predicts phenology of long-distance migration in birds

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## Abstract

Dissecting phenotypic variance in life history traits into its genetic and environmental components is at the focus of evolutionary studies and of pivotal importance to identify the mechanisms and predict the consequences of human-driven environmental change. The timing of recurrent life history events (phenology) is under strong selection, but the study of the genes that control potential environmental canalization in phenological traits is at its infancy. Candidate genes for circadian behaviour entrained by photoperiod have been screened as potential controllers of phenological variation of breeding and moult in birds, with inconsistent results. Despite photoperiodic control of migration is well established, no study has reported on migration phenology in relation to polymorphism at candidate genes in birds. We analysed variation in spring migration dates within four trans-Saharan migratory species (*Luscinia megarhynchos*; *Ficedula hypoleuca*; *Anthus trivialis*; *Saxicola rubetra*) at a Mediterranean island in relation to *Clock* and *Adcyap1* polymorphism. Individuals with larger number of glutamine residues in the poly-Q region of *Clock* gene migrated significantly later in one or, respectively, two species depending on sex and whether the within-individual mean length or the length of the longer *Clock* allele was considered. The results hinted at dominance of the longer *Clock* allele. No significant evidence for migration date to covary with *Adcyap1* polymorphism emerged. This is the first evidence that migration phenology is associated with *Clock* in birds. This finding is important for evolutionary studies of migration and sheds light on the mechanisms that drive bird phenological changes and population trends in response to climate change.

**Keywords:** *Adcyap1*, *Anthus trivialis*, birds, climate change, *Clock*, *Ficedula hypoleuca*, *Luscinia megarhynchos*, migration, phenology, *Saxicola rubetra*

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## Introduction

Information on phenotypic variation in life-history traits is plentiful and detailed, but our knowledge of the genetic mechanisms and diversity that underpin such variation is patchy, at best. For example, most organisms living in seasonal environments need to match the

timing of their main activities, such as leafing, emerging from diapause, breeding or migrating, with temporal variation in ecological conditions, as even small deviations from appropriate timing may have serious fitness consequences (Clutton-Brock 1988; Nussey *et al.* 2005; Both *et al.* 2006). Individuals from a same or different populations often show large variation in the timing of such activities (i.e. in their phenology), but the extent to which phenological differences are hard-wired in genetic differences or rest on phenotypically plastic

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responses to environmental heterogeneity is still largely unknown (see Møller *et al.* 2010). Yet, dissecting the sources of phenological variation is relevant to the generality of evolutionary studies and is of pivotal importance for our understanding of the impact that changes in ecological conditions (Ellegren & Sheldon 2008), including anthropogenic climate change at global scale (see Møller *et al.* 2010), will have on populations and communities of organisms.

Adaptive timing of switching between major activities in order to track seasonal variation often requires that organisms anticipate ecological conditions days to months ahead and undergo physiological and behavioural changes that may take long to be accomplished (Bradshaw & Holzapfel 2007, 2010; Foster & Kritzman 2009). Environmental seasonality is predictably associated with changes in photoperiod because both are ultimately driven by the revolution of the earth around the sun. Diverse organisms have evolved the ability to sense photoperiodic changes as a cue to prepare for the forthcoming new conditions (Vaz Nunes & Saunders 1999; Bradshaw & Holzapfel 2007; Helm *et al.* 2013). This is the case, for example, in salmonid fish where gonadal maturation depends on photoperiod (Beacham & Murray 1988). All main annual activities in birds, including breeding, migration and plumage moult, are at least partly under photoperiodic control (Gwinner 1986, 2003; Dawson *et al.* 2001; Berthold *et al.* 2003; Dawson 2004; Sharp 2005; Vágási *et al.* 2010). The endogenous biochemical, physiological and behavioural circadian cycles which exist in most organisms are controlled by well-characterized biological clocks (Bell-Pedersen *et al.* 2005), and genetic polymorphism in circadian clock genes has been associated with behavioural variation in many organisms (Tauber & Kyriacou 2005; Johnsen *et al.* 2007; O'Malley & Banks 2008; Liedvogel *et al.* 2009).

Recent search for candidate genes has hinted at a core role of the *Clock* (*Circadian Locomotor Output Cycles Kaput*) gene in controlling the phenology of photoperiodic traits (Steinmeyer *et al.* 2009; see Mueller *et al.* 2011; O'Brien *et al.* 2013), although the evidence is inconsistent and contentious (see below). The circadian *Clock* gene encodes a protein (CLOCK) that heterodimerizes with the protein encoded by the *Bmal1* gene to produce a transcription factor which serves as a positive driver and as an 'output' signal in the core circadian oscillators (Panda *et al.* 2002; Fidler & Gwinner 2003; Ko & Takahashi 2006). *Clock* is highly conserved in vertebrates, but length polymorphism has been frequently documented particularly at the exonic carboxyl-terminal polyglutamine ((CAG)<sub>n</sub>) repeat region (poly-Q) (Saleem *et al.* 2001; Fidler & Gwinner 2003; Johnsen *et al.* 2007). Poly-Q polymorphism has been found to be

associated with variation in circadian rhythms in mammals (Gekakis *et al.* 1998) and amphibians (Hayasaka *et al.* 2002), by influencing the transcription-activating potential of the heterodimer complex. In birds, for which information on *Clock* variation has recently become available from several populations and species, length polymorphism at the poly-Q region is a largely prevailing condition among passerines (65/69 populations from 12 species; Chakarov *et al.* 2013; e.g. Johnsen *et al.* 2007; Liedvogel *et al.* 2009; Dor *et al.* 2011; Caprioli *et al.* 2012; Peterson *et al.* 2013). The extent of polymorphism, however, can vary among conspecific populations as well as between syntopic populations of closely related species (e.g. Johnsen *et al.* 2007; Liedvogel & Sheldon 2010; Dor *et al.* 2011; Kuhn *et al.* 2013).

A first line of evidence for an involvement of *Clock* poly-Q in the control of phenology of vertebrates stems from the observation of latitudinal variation in *Clock* allele frequencies: in two anadromous Pacific salmon species and in a mostly resident passerine bird, the blue tit (*Cyanistes caeruleus*), poly-Q allele length increases with latitude, implying that an adaptive delay in homologous phenophases with increasing latitude across populations is associated with longer poly-Q repeats (Johnsen *et al.* 2007; O'Malley & Banks 2008; O'Malley *et al.* 2010). However, studies of other Pacific salmon species and birds have failed to disclose consistent evidence for latitudinal variation at *Clock* poly-Q (Johnsen *et al.* 2007; O'Malley & Banks 2008; Dor *et al.* 2011, 2012; Kuhn *et al.* 2013; O'Brien *et al.* 2013). A second line of evidence is the covariation between *Clock* polymorphism and bird breeding phenology within populations: blue tit and barn swallow (*Hirundo rustica*) females with longer alleles show delayed breeding (Liedvogel *et al.* 2009; Caprioli *et al.* 2012). In both species, shorter alleles are at a fecundity advantage, and barn swallow individuals with shorter alleles also appear to be more viable (Liedvogel *et al.* 2009; Caprioli *et al.* 2012). Again, however, counterevidence exists from other passerines (Liedvogel & Sheldon 2010; Dor *et al.* 2011). Hence, the role of *Clock* poly-Q polymorphism in driving phenological variation in birds and other vertebrates is far from clear. In addition, the information on the association of individual variation in *Clock* and the phenology of migration and moult published to date is very limited. This is surprising because moult and migration are also known to be under photoperiodic control (see above). Barn swallows with rare long poly-Q alleles have been found to postpone moult compared to individuals with shorter alleles (Saino *et al.* 2013). Studies comparing migration strategies of different conspecific populations in relation to variation at *Clock* have failed to identify consistent relationships (Mueller *et al.* 2011; Peterson *et al.* 2013). In two subspecies groups identified within two species of the passerine

genus *Junco*, which shows considerable geographical variation in migratoriness, Peterson *et al.* (2013) found a positive relationship between migration distance and *Clock* allele length. Although this association was not consistent across all subspecies, these findings suggest an involvement of *Clock* also in the control of bird migration behaviour. To the best of our knowledge, however, no study has reported on variation in migration phenology according to *Clock* poly-Q polymorphism at the individual level.

Besides *Clock*, other regulatory genes are candidates as part of the mechanisms that control migration in birds (see e.g. Mueller *et al.* 2011). *Adcyap1* (*Adenylate Cyclase-Activating Polypeptide 1*) (Racz *et al.* 2008; Vaudry *et al.* 2009), for example, encodes pituitary adenylate cyclase-activating polypeptide (PACAP), a neuropeptide which is involved in diverse biological functions, such as the regulation of gastrointestinal functions (Mirabella *et al.* 2002) and neuroprotective potential (Dejda *et al.* 2005). PACAP stimulates release of melatonin and directly activates *Clock* in chickens (*Gallus gallus*) (Nagy & Csernus 2007), thereby affecting the signalling pathway involved in the circadian rhythms of the molecular clock (Racz *et al.* 2008). *Adcyap1* shows microsatellite polymorphism at the 3'-UTR region of the gene (Steinmeyer *et al.* 2009), which affects several processes at post-transcriptional level (Li *et al.* 2004; Riley & Krieger 2009). In the blackcap (*Sylvia atricapilla*), microsatellite polymorphism at *Adcyap1* has been shown to predict variation in migratory tendency among 14 geographical populations. In addition, polymorphism at *Adcyap1* predicts variation in migratory restlessness (*Zugunruhe*) in two blackcap populations, with longer alleles being positively associated with migratory activity (Mueller *et al.* 2011). Consistently with the results from some blackcap populations (Mueller *et al.* 2011), a positive relationship has been documented between migratory restlessness and *Adcyap1* allele length among individuals from one of two Oregon junco (*Junco hyemalis thurberi*) populations that were tested in captivity. However, no consistent variation in migratoriness was found among geographical populations belonging to different *Junco* subspecies (Peterson *et al.* 2013).

Hence, several pieces of evidence hint at an important role of *Clock* and *Adcyap1* in the control of bird phenology and migratoriness, but the information on the association between timing of migration and polymorphism at these candidate genes is lacking.

We therefore choose to investigate the association between timing of migration and polymorphism at *Clock* poly-Q and *Adcyap1* using four species of obligate, long-distance (trans-Saharan) migrants (nightingale, *Luscinia megarhynchos*; pied flycatcher, *Ficedula hypoleuca*; tree pipit, *Anthus trivialis*; whinchat, *Saxicola rubetra*) as

models. We focused on these genes because of their role in controlling avian phenology and because of their potential reciprocal interactions. Timing of migration at the individual level was gauged from the date of capture during spring migration at a small Mediterranean island (Ventotene), off the western coast of southern Italy. These species were chosen because they are the only ones that can be sampled in large numbers at the study site, and second-year individuals (i.e. approximately 1-year-old individuals hatched during the spring/summer preceding that of capture) can be distinguished from older individuals. This allowed us to control for age effects on migration phenology (see also Methods). Individuals were sexed morphologically or by typing of the *CHD* gene (see Saino *et al.* 2010a), to account for sex differences in timing of migration. We predicted that individuals with a larger number of *Clock* poly-Q repeats averaged between the two alleles (i.e. individuals with larger mean allele length; *Clock*-MAL hereafter) would exhibit delayed migration. Dominance of the longer allele has been suggested for other genes that show poly-Q polymorphism (Ross 2002). We therefore also tested for allelic dominance. In addition, we tested whether any positive relationship between migration date and poly-Q polymorphism was stronger when we considered the length of the longer rather than of the shorter allele within each individual genotype. Previous evidence pointed at larger migratory distance and restlessness in individuals/populations with longer *Adcyap1* alleles (see above). Larger migration distance may translate, other things being equal, into later spring migration, due to longer migration journey (Rubolini *et al.* 2005; Liechti *et al.* 2015). We thus expected longer *Adcyap1* alleles to be associated with relatively delayed migration. However, we had no unequivocal predictions on any differential relationship between migration date and *Clock* or *Adcyap1* genotype in either sex or age classes.

By modulating circadian rhythms in physiology and behaviour (e.g. foraging activity), *Clock* and *Adcyap1* genotypes may influence general body condition. We therefore also tested whether *Clock* and *Adcyap1* genotype predicted total body mass and two indexes of condition, that is the extent of subcutaneous fat stores and the size of the pectoral muscle (Kaiser 1993; Jenni *et al.* 2000).

## Methods

### Study species

The four species we studied are small (ca. 10–28 g) passerines that breed in Eurasia and overwinter in sub-Saharan Africa (Cramp 1998). The pied flycatcher and

the whinchat are sexually dichromatic, whereas the tree pipit and the nightingale show no obvious sexual dichromatism and were therefore sexed molecularly (see Saino *et al.* 2008). Individuals hatched in the spring before that of capture (i.e. second-year individuals) can be distinguished from older individuals based on plumage (Svensson 1992; Jenni & Winkler 1994).

### Field methods

We captured migrants at the ringing station run by IS-PRA on Ventotene island (40°48'N–13°25'E), a very small (1.75 km<sup>2</sup>) isle located ca. 50 km off the western coast of southern Italy, during spring 2013. Birds were trapped using mist nets in the period 22 March–27 May, which covers the entire migration period at Ventotene of the species that we studied (Spina *et al.* 1993; Saino *et al.* 2010a). Nets were operated according to standard protocols and constant capture effort, except under adverse meteorological conditions. The species we considered are exclusively migratory in coastal southern Italy (see Meschini & Frugis 1993), with the exception of the nightingale, which, however, does not breed on Ventotene (Fernando Spina, personal observation). Trans-Saharan migrants that pass southern Italy during spring migration mostly breed in Northern, Central and Eastern Europe (Jonzén *et al.* 2006; Spina & Volponi 2008). However, the relative timing of passage of the geographical populations is unknown. Migratory birds stopover at Ventotene during spring migration through the Mediterranean. Stopover of individual migrants on Ventotene, however, is rather short (mostly <2 days), as suggested by recapture data of individually marked birds and by radio-tracking data (Goymann *et al.* 2010; Tenan & Spina 2010). Given the spread of capture dates within species (see Supporting Information), we are therefore confident that any error in estimating migration date over Ventotene was negligible compared to among-individual variation in 'true' migration date.

Trapped birds were ringed and subjected to measurements of body mass and 3rd primary length ('wing length') (Spina *et al.* 1993). In addition, the extent of subcutaneous fat depots and the size of the pectoral muscle were recorded to serve as proxies for individual general state (see Kaiser 1993; Bairlein 1995; Jenni *et al.* 2000). Because wing length increases with breeding latitude in several European passerines (Cramp 1998; Peiró 2003; Evans *et al.* 2009; Tarka *et al.* 2010), wing length was entered as a covariate in the analyses as a proxy for latitude of the population of origin/destination. A small blood sample was taken using capillary tubes after puncturing the ulnar vein. In cases of large numbers of birds due to be processed simultaneously, we

rather sampled 3–4 undertail coverts as a source of DNA. The samples were kept in a cool bag while in the field and then stored at –20 °C until use for analyses. When feathers, rather than blood, were collected, they were stored in plastic tubes containing 1 mL of 99% ethanol.

We aimed at sampling a maximum of 250 individuals per species. Based on the species-specific total number of birds trapped during the previous years (2006–2011), we therefore decided to sample every captured nightingale and tree pipit, every third pied flycatcher and two of every three whinchat, according to capture sequence (sample 'A'). In addition, for the pied flycatcher and whinchat, we also sampled all individuals that did not happen to be included in sample 'A', until the 50th that was captured since the start of the season (sample 'B'). This was decided to better resolve the genotypic frequencies among early migrants. The analyses of capture date in relation to *Clock* and *Adcyap1* genotype were conducted on samples A and B pooled (but see *Analysis of Clock and Adcyap1 genes* section for constraints on the sample for *Adcyap1*). Importantly, this approach did not bias the results because sampling was independent of sex, age and genotype. Thus, there was no reason to speculate that our sampling design inflated or, conversely, decreased the strength of any relationship between migration date and genotype.

In the analyses, date was expressed as Julian day. The temporal frequency distribution of the individuals that we considered (see Fig. S1, Supporting information) suggests that the entire main migration period at Ventotene of all the species we studied was effectively sampled. Indeed, the modes of the distributions were far from either extreme of the sampling periods, and capture frequencies at the temporal extremes of the sampling periods were small or equal to 0 for all species. Thus, the chances of missing rare alleles were not larger at either extreme compared to the core of the species-specific migration period.

### Analysis of *Clock* and *Adcyap1* genes

Total genomic DNA was extracted by alkaline lysis using 6 µL of blood in 100 µL of a 50 mM NaOH at 100 °C for 20 min. Extracted DNA was quantified using a spectrophotometer and diluted to a final concentration of 50–100 ng/µL.

Genomic DNA from feathers was extracted using the commercial 5 PRIME, ArchivePure DNA purification kit (5 PRIME, Hilden, Deutschland). The samples were initially rehydrated using a 0.9% NaCl solution for 10 min, with constant agitation. Only the basal portion of the calamus was taken and chopped into small pieces. The calamus fragments were placed into 300 µL

of the kit Cell Lysis Solution. Subsequently, the 'Protocol 14: DNA purification from 50 to 100 mg fresh or frozen solid tissue' of the ArchivePure DNA Purification Manual was followed adjusting quantities to 1/10 of the suggested volumes. Genomic DNA was finally resuspended in 30  $\mu$ L of DNA hydration solution and stored at  $-20^{\circ}\text{C}$ . DNA extracted from feathers was in all cases very little; thus, the maximum quantity allowed in each PCR (5  $\mu$ L) was used.

Polymorphism at the *Clock* gene and ultimately the number of glutamine residues present in the poly-Q domain of the CLOCK protein was determined by fragment analysis after PCR amplification as described in Caprioli *et al.* (2012). The genetic analysis of the microsatellite sequence present in the 3'-UTR of the *Adcyap1* gene was conducted using PCR primers reported in Steinmeyer *et al.* (2009), with the forward primer labelled either with 6-FAM or with TAMRA dyes, allowing mixing the amplification products from two individuals into one sample. PCRs were conducted in a final volume of 15  $\mu$ L containing 100–200 ng of genomic DNA and 1 U Taq DNA polymerase (Genespin, Milan, Italy), a final concentration of 200  $\mu$ M dNTPs, 0.3  $\mu$ M of each of the forward and reverse primers, 1.5 mM  $\text{MgCl}_2$  and 1 $\times$  of supplied Taq buffer. PCR cycling was as follows: an initial denaturation at  $95^{\circ}\text{C}$  for 3 min, then 35 cycles of  $95^{\circ}\text{C}$  for 40 s, annealing at  $57^{\circ}\text{C}$  for 40 s and  $72^{\circ}\text{C}$  for 1 min, followed by a final extension of  $72^{\circ}\text{C}$  for 7 min.

As no prior sequence information about the *Clock* and *Adcyap1* genes was available for the investigated species, the PCR-amplified fragment of few homozygous individuals per species was sequenced (BMR genomics, Padua, Italy). The sequencing allowed determining the number of glutamine residues present in the most frequent allele of the *Clock* gene. The identified *Clock* alleles were named according to the number of glutamine residues in the poly-Q region of the predicted mature protein, as  $Q_n$ , with  $n$  being the number of poly-Q repeats, whereas the length of *Adcyap1* alleles was expressed in base pairs. It should be noted that within the *Adcyap1* genomic sequence, two microsatellites are present: one is a dinucleotide repeat, whereas the other, although shorter, is a three base pair repeat. This resulted in the identification of alleles that could differ by one base pair, as previously reported by Steinmeyer *et al.* (2009). We also point out that we identified a 5-bp difference in size of the *Adcyap1* alleles between the DNA sequence and the estimated PCR fragment size. In particular, the sequenced fragment is larger than the genescan-estimated fragment size used in the analyses.

Because of previous evidence from diverse vertebrate species showing associations between phenology and *Clock* genotype (see Introduction), the study was origi-

nally designed to investigate the association between migration phenology and *Clock* gene. Recent evidence (Peterson *et al.* 2013) for an involvement of *Adcyap1* in the control of migration behaviour (though not phenology), besides that originally presented by Mueller *et al.* (2011), prompted us to also extend the analyses to *Adcyap1*, based on the genetic material originally extracted for *Clock* analyses. However, most of the PCRs from DNA extracted from feathers did not produce PCR fragments detectable by the automatic sequencer. This was probably due to the limiting available DNA quantity in the material extracted from feathers. In addition, PCR amplification efficiency was suboptimal because the *Adcyap1* primers we used were those designed for other species (see Steinmeyer *et al.* 2009). This was the cause of the smaller sample available for *Adcyap1* than for *Clock* analyses (see Results for species-specific sample sizes for either gene). However, the samples of individuals available for the analyses of either gene were similarly representative of the temporal spread of migrants within-individual species. Indeed, the frequency distributions of the samples used in the analyses of either gene did not differ (Kolmogorov–Smirnov test;  $Z < 0.73$ ,  $P > 0.66$  for all species).

### Molecular sexing

Sexing from genomic DNA was performed using P2/P8 primers (Saino *et al.* 2008). As the use of P2/P8 primers on DNA extracted from feathers did not produce reliable results (due to a limited PCR amplification efficiency), a different set of primers, also from the *CHD* gene, was used. The following pair of primers, CHD1F 5'-TATCGTCAGTTTCCHTTTCAGGT-3' and CHD1Rs 5'-CCTTTTATTGATCCATCAAGTC-3', modified from Lee *et al.* (2010), was used. PCR amplification was performed in a 15- $\mu$ L reaction mixture, with 1–5 ng of genomic DNA, 1 $\times$  PCR buffer (5 PRIME), 1.5 mM of  $\text{Mg}^{2+}$ , 0.3  $\mu$ L of each primer (stock 10 mM), 1.5  $\mu$ L of dNTPs (stock 2 mM) and 1 U Taq DNA polymerase (5 PRIME). Amplification was conducted under the following conditions:  $94^{\circ}\text{C}$  for 5 min, 35 cycles at  $94^{\circ}\text{C}$  for 45 s,  $55^{\circ}\text{C}$  for 45 s and  $72^{\circ}\text{C}$  for 1 min and further extension at  $72^{\circ}\text{C}$  for 7 min. The amplification products were separated on 2.5% agarose gel and visualized after ethidium bromide staining.

### Statistical analyses

We mostly relied on linear models of migration date where age (second year or older) and sex were included as two-level factors, and wing length and mean allele length (MAL) of either candidate gene (hereafter *Clock*-MAL or, respectively, *Adcyap1*-MAL) as

covariates. In addition, we ran linear models including the length of the longer or, respectively, the length of the shorter *Clock* or *Adcyap1* allele as covariates. The two-way interactions among sex, age and allele length variables were initially included in the models. Models were subsequently simplified by excluding all the non-significant ( $P > 0.05$ ) interaction terms in a single step, in order to reduce the risk of incurring type I statistical errors due to inflation of the number of tests. The effect size of individual terms is given as partial  $\eta^2$ . Fisher's exact test was used to test for within-species differences in *Clock* genotype frequencies between age classes or sexes. Cochran–Mantel–Haenszel test was used to investigate sex-dependent differences in *Clock* genotype frequencies while controlling for age effects and, reciprocally, for age-related differences while controlling for sex effects. Tests on sex- and age-dependent variation in *Adcyap1* frequencies were not practical because large polymorphism (see Results) reduced the frequency of individuals within any particular genotype group.

Following Liedvogel *et al.* (2009), we tested for allelic dominance by comparing migration date of birds that were heterozygous or homozygous for one of the two most common *Clock* alleles in an analysis of variance. Given the frequencies of homozygotes, this test was practical only among the  $Q_{11}/Q_{11}$ ,  $Q_{11}/Q_{12}$  and  $Q_{12}/Q_{12}$  nightingale genotype groups. The frequency of the second most common homozygote genotype was too low to permit such test in the other species (see Results). Owing to large polymorphism (see Results), tests for allelic dominance with this approach were not practical for *Adcyap1*. However, in the absence of established a priori information on allelic dominance at *Clock* or *Adcyap1* depending on allele length in birds (see Liedvogel *et al.* 2009 for the single test on *Clock* in the blue tit), we repeated the analyses using three different indicators of genotype at these loci: length of the shorter allele, length of the longer allele and mean length (MAL; see also above) of the two alleles.

The covariation between morphological traits and allele length indicators was analysed in linear models where we controlled for the effects of sex and age. The analyses were then repeated while also controlling for the effect of migration date.

Sampling effort was stronger during early migration, implying that mean migration date estimates may not accurately reflect the 'true' (i.e. the absolute) migration parameters for each sex and age class of individuals. Because of this sampling design, we refrained from making inferences on sex- and age-dependent variation in migration dates. We entered sex and age as factors in the analyses merely to control for their potentially confounding effects on the association between migration date and genotype.

Throughout the text, parameter estimates are given with their standard error in parentheses. The  $\alpha$ -level of statistical tests was set at  $P < 0.05$ . Statistical analyses were performed using SPSS 13.0, 15.0 or SAS 9.2 statistical packages.

## Results

### Migration date and *Clock* polymorphism

The sample included 729 individuals from the four species (see Table 1 for sex- and age-specific sample sizes). *Clock* polymorphism, in terms of both number of alleles and heterozygosity, varied among species. The gaps in the distributions of the alleles for the pied flycatcher and the whinchat, whereby allele  $Q_{14}$  and  $Q_{10}$ , respectively, were not recorded (see Table 1), suggest that at least one additional allele could exist in each of these species (see Kuhn *et al.* 2013). This is unlikely to have affected the results because these 'missing' alleles were likely to be rare, particularly in the whinchat, as suggested by the frequency of the two alleles adjacent to the missing one. The difference in the number of alleles between species could be due to sampling effects, because the two species with the largest number of alleles (including gaps) were those with the largest sample (Table 1).

**Table 1** Number of individuals ( $n$ ), number of *Clock* poly-Q alleles ( $K$ ), poly-Q allele frequencies ( $Q_n$ ), mean allele size (SE in parentheses) and observed heterozygosity ( $H_o$ ). The information for all the individuals from samples A and B (see Methods) that could be successfully genotyped is reported

	$n$	$K$	$Q_6$	$Q_7$	$Q_8$	$Q_9$	$Q_{10}$	$Q_{11}$	$Q_{12}$	$Q_{13}$	$Q_{14}$	$Q_{15}$	$Q_{16}$	Mean allele size	$H_o$
Nightingale	151	5				0.043	0.030	0.440	0.480	0.007				11.38 (0.12)	0.550
Pied flycatcher	226	5					0.013	0.104	0.704	0.177		0.002		12.05 (0.03)	0.478
Tree pipit	144	5	0.007	0.063	0.035	0.854	0.042							8.86 (0.04)	0.250
Whinchat	208	7				0.007		0.005	0.026	0.012	0.938	0.005	0.007	13.90 (0.04)	0.125

Sample sizes by sex and age classes: nightingale: males-second year: 40, males-older: 34, females-second year: 39, females-older: 38; pied flycatcher: 57, 50, 77, 42; tree pipit: 27, 35, 38, 44; whinchat: 58, 26, 106, 18.

Genotype frequencies did not deviate from the Hardy–Weinberg equilibrium (Fisher's tests;  $P > 0.11$  for all species). The frequency of the genotypes did not vary between age classes nor between males and females (Table 2). In addition, no significant variation in genotype frequencies between age classes existed after controlling for sex differences (Table 2). Recipro-

**Table 2** Fisher's exact tests ( $P$ -value) for age and sex effects and  $P$ -values (Cochran–Mantel–Haenszel statistic, degrees of freedom) for sex or age differences in genotypic frequencies (see Statistical analyses)

	Nightingale	Pied flycatcher	Tree pipit	Whinchat
Age	0.901	0.966	0.128	0.990
Sex	0.998	0.923	0.084	0.629
Age	0.779	0.946	0.258	0.708
correcting for sex	(6.42, 10)	(1.68, 6)	(10.11, 8)	(3.77, 6)
Sex	0.910	0.873	0.180	0.408
correcting for age	(4.71, 10)	(2.28, 6)	(11.40, 8)	(6.17, 6)

**Table 3** Linear models of migration date in relation to sex, age, mean *Clock* poly-Q allele length and wing length.  $\eta^2$  is the effect size of the individual terms. Two-way interactions between mean allele length and sex or age were excluded when nonsignificant. Sample size for the nightingale is 150 rather than 151 (see Table 1) due to one missing datum for wing length. F: females; M: males; SY: second-year individuals

	$F$	d.f.	$P$	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	25.00	1,144	<0.001	0.148		
Age	16.15	1,144	<0.001	0.101		
Sex $\times$ Age*	4.36	1,144	0.039	0.029		
Mean allele length	0.13	1,144	0.718	0.001	0.414 (1.146)	
3rd primary length	5.17	1,144	0.024	0.035	-0.064 (0.028)	
<b>Pied flycatcher</b>						
Sex	56.76	1,221	<0.001	0.204	F: 118.7 (0.86)	M: 108.8 (0.91)
Age	47.82	1,221	<0.001	0.178	SY: 118.0 (0.77)	Older: 109.4 (0.94)
Mean allele length	0.00	1,221	0.976	0.000	-0.043 (1.456)	
3rd primary length	4.16	1,221	0.043	0.018	-0.084 (0.041)	
<b>Tree pipit</b>						
Sex	23.90	1,139	<0.001	0.147	F: 112.3 (1.02)	M: 104.1 (1.19)
Age	5.18	1,139	0.024	0.036	SY: 109.8 (1.06)	Older: 106.5 (0.97)
Mean allele length	6.07	1,139	0.015	0.042	4.057 (1.646)	
3rd primary length	0.14	1,139	0.708	0.001	-0.014 (0.036)	
<b>Whinchat</b>						
Sex	9.43	1,203	0.002	0.044	F: 121.2 (0.99)	M: 116.9 (1.11)
Age	3.48	1,203	0.063	0.017	SY: 120.5 (0.72)	Older: 117.6 (1.38)
Mean allele length	2.62	1,203	0.107	0.013	2.371 (1.465)	
3rd primary length	0.46	1,203	0.497	0.002	-0.030 (0.044)	

\*Estimated marginal means: Second-year females: 109.8 (1.28), Second-year males: 105.7 (1.20), Older females: 107.3 (1.24), Older males: 98.1 (1.36).

cally, no differences existed between males and females after controlling for age effects (Table 2).

In linear models, migration date was not predicted by two-way interaction terms between *Clock*-MAL and sex or age ( $P > 0.19$  in all cases). Simplified models where we controlled for the significant main (and interaction, if significant) effects of sex and age showed that in the tree pipit migration date increased with *Clock*-MAL (Table 3; Fig. 1). No statistically significant effect of *Clock*-MAL on migration date was observed for the other species (Table 3; Fig. 1). Moreover, these models showed that nightingales and pied flycatchers with longer wings migrated earlier, whereas no significant wing length effect on migration date emerged for the tree pipit and the whinchat (Table 3).

In linear models of migration date of individual species with the same design as for *Clock*-MAL (see above) where length of the longer *Clock* allele, rather than *Clock*-MAL, was entered as a predictor, length of the longer allele differentially predicted migration date of male and female tree pipits (sex by allele length interaction effect:  $F_{1,138} = 12.30$ ,  $P = 0.001$ ): the relationship was significantly positive in females ( $t = 4.07$ ,  $P < 0.001$ ) and nonsignificant in males (Fig. 2; Table S1,

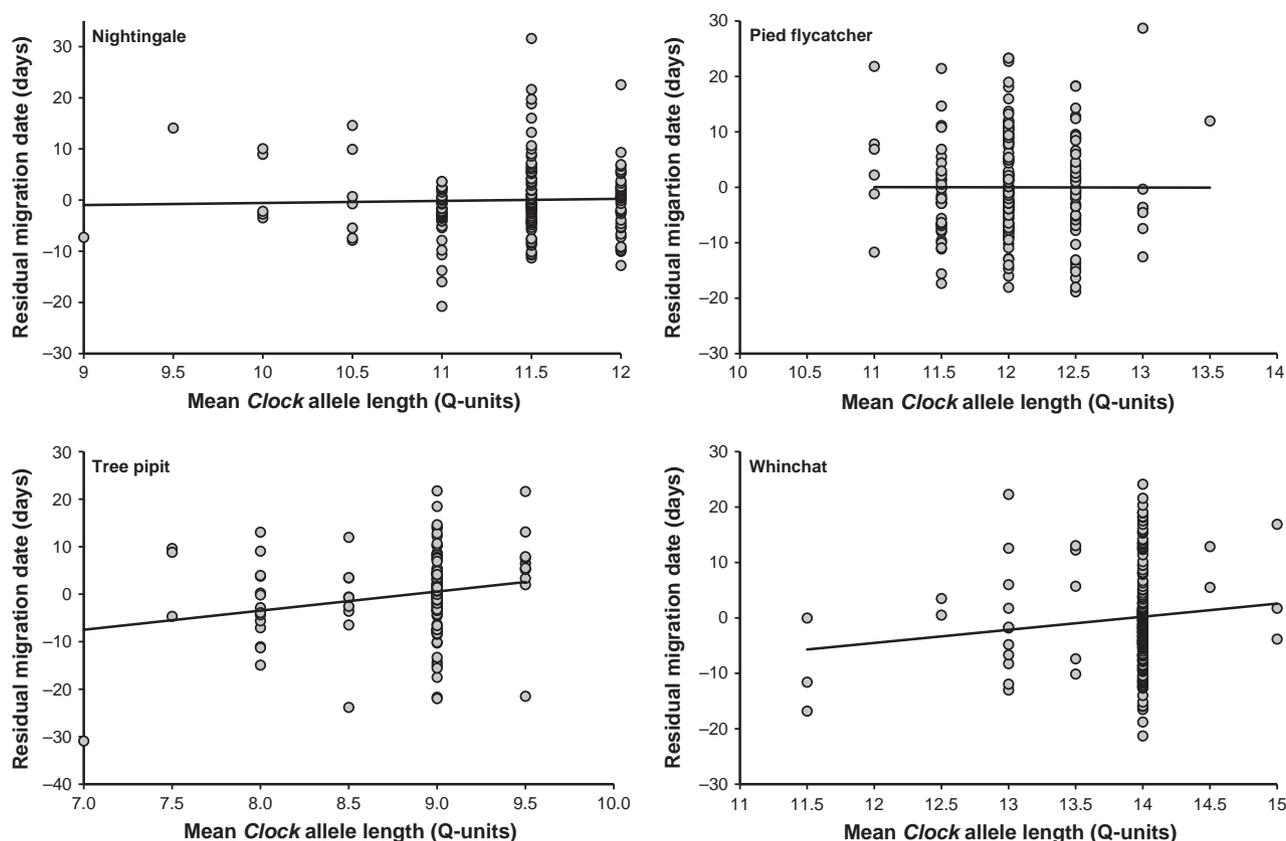


Fig. 1 Migration date in relation to mean *Clock* allele length (*Clock*-MAL). Migration date is expressed as residuals from a linear model where we controlled for sex, age (and also age by sex interaction effect in the nightingale) and wing length. The relationship is significantly positive for the tree pipit. Regression lines fitted to the residuals are shown merely to represent overall trends. For appropriate statistics, see Table 3.

Supporting information). In addition, the relationship was statistically significant and positive among nightingales ( $F_{1,144} = 5.17$ ,  $P = 0.024$ , coefficient: 2.640 (1.161),  $\eta^2 = 0.035$ ; Table S1, Supporting information) (Fig. 2). The length of the longer allele in the other species did not significantly predict migration date ( $P > 0.13$  in both cases; Table S1, Supporting information). In models with the length of the shorter allele, no significant effect on migration date was observed in of the four species ( $P > 0.07$  in all cases; Table S2, Supporting information).

The test for allelic dominance (see Statistical analyses) in nightingales that were homozygous or heterozygous for  $Q_{11}$  or  $Q_{12}$  partly supported dominance of the longer allele. In fact, migration date significantly varied among the three genotypic groups in a model where we controlled for the main effects of sex, age and wing length ( $F_{2,123} = 4.36$ ,  $P = 0.015$ ; estimated marginal mean (SE,  $n$ ) for  $Q_{11}/Q_{11}$ : 101.9 (1.34, 31);  $Q_{11}/Q_{12}$ : 106.8 (0.94, 63);  $Q_{12}/Q_{12}$ : 104.8 (1.26, 36)). Thus, homozygotes for the shorter ( $Q_{11}$ ) allele had the earliest migration date and migrated significantly

earlier than heterozygote individuals (LSD test:  $P = 0.004$ ). In addition, no significant difference in migration date existed between the heterozygote and the homozygote individuals for the longer allele ( $Q_{12}$ ) ( $P = 0.19$ ). However, no significant difference existed between migration date of either homozygote groups ( $P = 0.14$ ). This approach (see also Liedvogel *et al.* 2009) for testing allelic dominance could only be taken for the two most frequent alleles in the nightingale because in no other species more than one common homozygote was recorded. In fact, the maximum frequency of the second most common homozygote recorded in the other species was 3.1% for  $Q_{13}/Q_{13}$  in the pied flycatcher.

#### Migration date and *Adcyap1* polymorphism

The sample included 460 individuals from the four species (see Table 4 for sex- and age-specific sample sizes). As observed for *Clock*, polymorphism at *Adcyap1* varied among species, with the number of alleles ranging from 7 to 13. The observed heterozygosity was relatively low

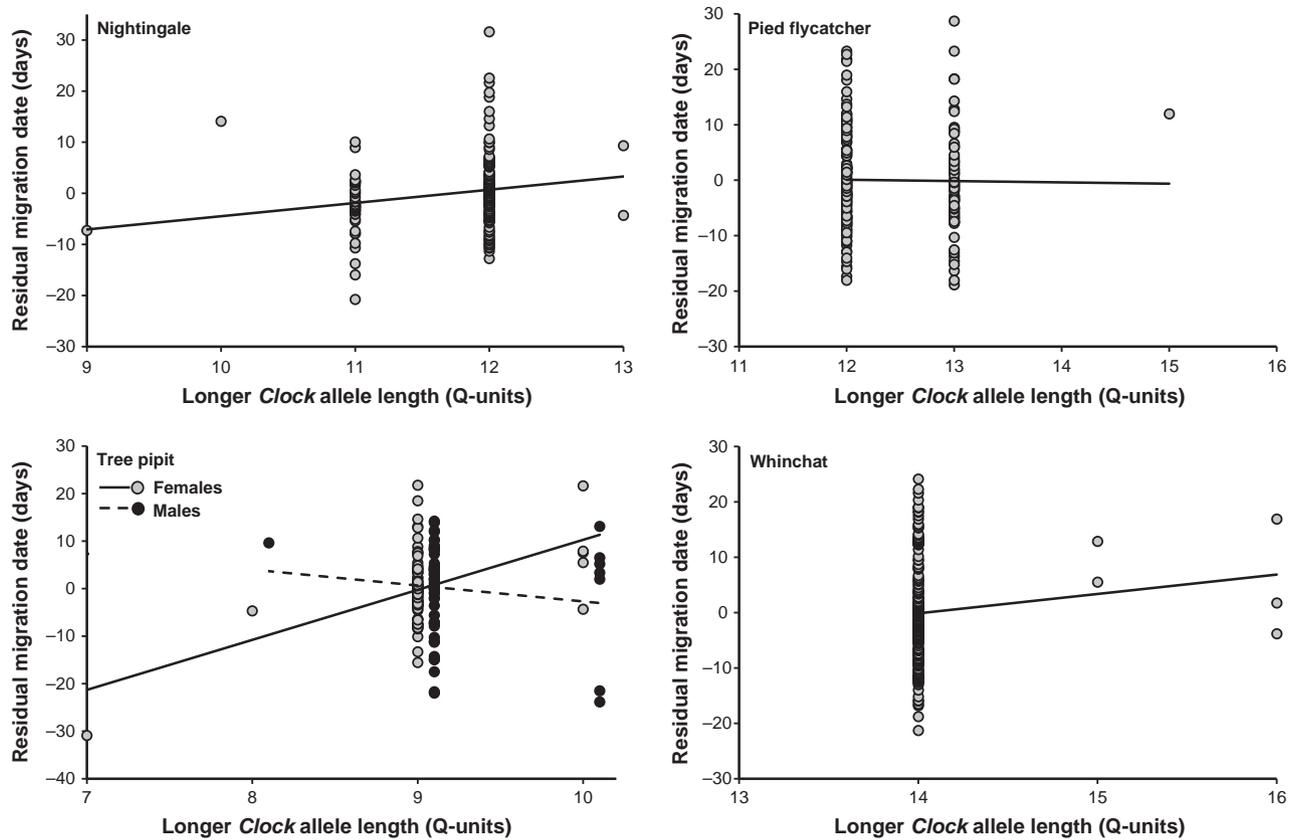


Fig. 2 Migration date in relation to length of the longer *Clock* allele. Migration date is expressed as residuals from a linear model where we controlled for sex, age (and also age by sex interaction effect in the nightingale) and wing length effects. The relationship is significantly positive for the nightingale. For the tree pipit, the slopes of the relationships were significantly different for either sex, and the relationship for females was significantly positive. Regression lines fitted to the residuals are shown merely to represent the overall trends. For appropriate statistics, see Table 3.

in the nightingale, the species with the smallest number of alleles, as compared to the other species (Table 4).

Genotype frequencies did not deviate from the Hardy–Weinberg equilibrium (Fisher's tests;  $P > 0.57$  for all species). Large polymorphism and heterozygosity, and relatively small sample size per each sex by age group, prevented an analysis of sex- and age-dependent variation of genotype frequencies (see Statistical analyses).

Linear models showed that migration date was not predicted by *Adcyap1*-MAL while controlling for the effects of sex, age and wing length ( $P > 0.27$  for all species; see Table 3 for sample sizes; see also Table S3 and Fig. S2, Supporting information). The largest effect size ( $\eta^2 = 0.013$ ) for *Adcyap1*-MAL, which was observed in the pied flycatcher, was associated with a negative effect, that is opposite to the expectation. The two-way interaction terms among sex, age and *Adcyap1*-MAL were removed from the models as their effect never attained significance ( $P > 0.15$  in all cases). Models of migration date including the length of the longer allele

disclosed a significant sex by allele length interaction in the pied flycatcher ( $F_{1,89} = 4.90$ ,  $P = 0.029$ ; Table S4, Supporting information). The relationship within either sex was nonsignificant. In addition, the stronger relationship, which was observed among males, was negative and thus opposite to the expectation. Hence, this effect will not be discussed further. No other main or interaction effects were observed in models of migration date in relation to longer or, respectively, shorter *Adcyap1* allele length in any species (Tables S4 and S5).

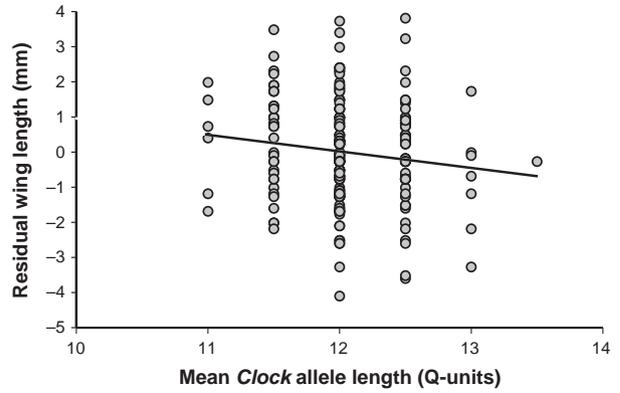
#### Covariation of morphology with *Clock* and *Adcyap1* genotype

We found a significant negative association between wing length and *Clock*-MAL in the pied flycatcher ( $F_{1,222} = 3.97$ ,  $P = 0.048$ , coefficient:  $-4.668$  (2.343)) (Fig. 3), but not in the other species ( $P > 0.05$  in all cases) in models where we controlled for the effect of sex and age. The statistically nonsignificant sex by age interaction ( $P > 0.33$  in all cases) was excluded from

**Table 4** Number of individuals (*n*), number of *Adcyap1* alleles (*K*), frequencies of alleles of different length, mean allele length (SE) and observed heterozygosity (*H<sub>o</sub>*). The information for all the individuals from samples A and B (see Methods) that could be successfully genotyped is reported

	<i>n</i>	<i>K</i>	157	160	161	163	164	165	166	167	168	169	170	171	172	173	174	175	176	178	180	182	183	184	186	187	188	Mean allele size	<i>H<sub>o</sub></i>
Nightingale	99	7	0.010	0.010	0.046	0.707		0.126		0.086		0.015					0.026		0.337	0.163	0.390	0.042	0.005	0.017	0.005		0.005	163.5 (0.12)	0.455
Pied flycatcher	95	11				0.005					0.005																	178.3 (0.19)	0.695
Tree pipit	97	12				0.031			0.088	0.031	0.253	0.016	0.330	0.067	0.113	0.046	0.016	0.005										169.4 (0.16)	0.763
Whinchat	169	13			0.012	0.044	0.030	0.036	0.018	0.228	0.012	0.376	0.012	0.183	0.015	0.033									0.003		168.4 (0.14)	0.787	

Sample sizes by sex and age classes: nightingale: males-second year: 25, males-older: 23, females-second year: 25, females-older: 26; pied flycatcher: 26, 23, 30, 16; tree pipit: 17, 23, 26, 31; whinchat: 50, 21, 82, 16.



**Fig. 3** Wing length in relation to mean *Clock* allele length in the pied flycatcher. Wing length is expressed as residuals from a model controlling for sex and age effects. The relationship is negative and statistically significant.

models on all species. Analyses where *Clock* longer allele length, rather than *Clock*-MAL, was considered did not confirm the significant relationship between wing length and *Clock* in the pied flycatcher ( $F_{1,222} = 2.60, P = 0.109$ ), but disclosed a significant negative association between wing length and longer allele length in the whinchat [ $F_{1,204} = 8.36, P = 0.004$ , coefficient:  $-10.997 (3.803)$ ]. Analyses where *Clock* shorter allele length was considered did not show any significant effect on wing length in any species ( $P > 0.14$  in all cases). Fat stores and body mass (also after controlling for wing length as a proxy for body size) were not predicted by *Clock*-MAL nor by *Clock* shorter or, respectively, longer allele length in any species ( $P > 0.11$ ). Pectoral muscle score was significantly and positively predicted by *Clock*-MAL [ $F_{1,204} = 4.78, P = 0.030$ , coefficient:  $0.195 (0.089)$ ] and *Clock* shorter allele length [ $F_{1,204} = 3.97, P = 0.048$ , coefficient:  $0.095 (0.048)$ ] in the whinchat, whereas no significant association was observed for all the *Clock* allele length indicators in the other species ( $P > 0.05$ ). *Clock*-MAL or *Clock* shorter or longer allele length did not significantly predict body mass, and pectoral muscle and fat stores ( $P > 0.06$  in all cases) while controlling for capture date (besides sex and age) in any species.

The analyses of wing length, fat stores, pectoral muscle score and body mass, where we controlled for sex and age effects, did not reveal significant covariation with the indicators of *Adcyap1* allele length in any species, with the only exception for a negative association of pectoral muscle score with *Adcyap1* shorter allele length in the whinchat [ $F_{1,165} = 4.99, P = 0.027$ , coefficient:  $-0.039 (0.017)$ ;  $P > 0.06$  in the other cases]. However, no significant covariation between morphological variables and *Adcyap1* allele length indicators persisted in the models where we

controlled for migration date, besides sex and age effects ( $P > 0.05$  in all cases).

## Discussion

We studied the phenology of spring migration of four passerine species and found evidence that timing of migration covaries with the number of poly-Q repeats at the *Clock* gene. This association was due to the number of poly-Q repeats of the 'longer' rather than of the 'shorter' allele. Moreover, polymorphism at another candidate gene for the control of migratory behaviour, *Adcyap1*, did not predict migration date.

### *Polymorphism at Clock and migration date*

Polymorphism at *Clock* poly-Q has been documented in most bird populations/species studied so far (Chakarov *et al.* 2013). The four species we studied mirror the large diversity in *Clock* polymorphism among passerines (Johnsen *et al.* 2007; Liedvogel *et al.* 2009; Dor *et al.* 2011; Caprioli *et al.* 2012). The only species for which data on *Clock* are specifically available for comparison is the pied flycatcher: 3–5 *Clock* alleles have been reported in 6 European populations (Spain to Norway), with a total of 5 alleles ( $Q_{10}$ – $Q_{14}$ ). The present data thus expand allelic diversity to a rare longer allele ( $Q_{15}$ ) and suggest that  $Q_{14}$  was not reported here due to sampling effects. The heterozygosity observed in the present study (0.478) is intermediate to those reported previously (0.412–0.563; Kuhn *et al.* 2013), suggesting that at least the pied flycatcher population(s) we sampled is representative of genetic variation at *Clock* at continental scale.

Timing of migration was significantly later for tree pipits with relatively large *Clock*-MAL. Models of migration date in relation to *Clock* longer allele length disclosed a significant difference in the relationship between the two sexes. Migration date positively covaried with longer *Clock* allele length in females but not in males in the tree pipit. In addition, these models disclosed a significant positive relationship between migration date and longer *Clock* allele length in the nightingale. We have no unequivocal explanation for sex dependence of migration phenology in relation to longer *Clock* allele length in the tree pipit, as sex dependence in *Clock* photoperiodic responses has not been previously documented. Conversely, the statistical effect of shorter allele length was always nonsignificant. A test for allelic dominance (see also Liedvogel *et al.* 2009) where we compared migration date of two homozygote ( $Q_{11}/Q_{11}$  and  $Q_{12}/Q_{12}$ ) groups and of the heterozygote group  $Q_{11}/Q_{12}$  in the nightingale (the only species for which this approach was feasible) partly supported

dominance of the longer allele: migration date of  $Q_{11}/Q_{12}$  individuals was significantly later than for  $Q_{11}/Q_{11}$  individuals and not significantly different from that of  $Q_{12}/Q_{12}$  individuals. These findings are consistent with genetic dominance of the longer allele in most of human disorders which are caused by the expansion of  $(CAG)_n$  repeats coding for polyglutamine protein segments, such as Spinal and Bulbar Muscular Atrophy, Huntington's disease, DentatoRubral and PallidoLuy-sian Atrophy and Spino-Cerebellar Ataxia (Ross 2002). Poly-Q tracts function as protein interaction domains and regulate various signalling processes at the transcriptional level. The presence of more glutamine residues affects transcription, either by interacting directly with DNA or by competing with polyglutamine-containing transcription factors (e.g. Riley & Orr 2006; Hands *et al.* 2008), as demonstrated in many polyglutamine-containing proteins. We have no evidence suggesting that the *Clock* alleles we identified are anything but fully functional. Although the number of glutamine residues is far from that detected in the disease-causing proteins in human poly-Q disorders, it can be argued that as the poly-Q tract determines protein interactions, longer alleles are dominant over shorter ones.

### *Sources of variation in the Clock–migration date relationship*

The size of the effects ( $\eta^2$ ) of *Clock*-MAL and also of *Clock* longer allele length on migration date was generally small (see e.g. Mueller *et al.* 2011). Migration data obtained at bird ringing stations are inherently affected by large random 'noise' due to interference of local and 'upstream' meteorological conditions on actual migration flux as well as on stopover/capture probability (Saino *et al.* 2010b). While such nuisance effects could partly confound existing associations between migration date and *Clock* genotype, we deem it unlikely that they produced spurious evidence for the associations we observed. In fact, this effect would require that individuals with longer alleles were differentially more likely to stopover at Ventotene late as compared to early in the migration period. While any such association between *Clock* and stopover probability would be interesting on its own, this interpretation is not supported by the present data. This is the case because there were generally no relationships between *Clock*-MAL and proxies of body condition, which could affect stopover decisions. Yet, the causes of the considerable, though statistically nonsignificant variation among species in the slope of the association between migration date and *Clock*-MAL (Table 3), deserve consideration. One possibility is that such variation partly arises if 'noise' in capture data differs among species because, for example,

some species are more sensitive to weather *en route* (Saino *et al.* 2010b). If this is the case, interspecific differences in the interference of weather on landing decisions may differentially affect the relationship we observed between migration date and *Clock*-MAL, producing larger confounds for some species than others. Alternatively, interspecific variation in the relationship between migration date and *Clock*-MAL could be the genuine reflection of environmental 'masking' effects on endogenous rhythms that differ among species. Species are believed to differ in the strength of circannual clock and, thus, in the extent to which environmental inputs affect the expression of such rhythms (Dawson *et al.* 2001; Bradshaw & Holzapfel 2007; Helm 2009). Species which are less susceptible to external influences may display stronger association between migration date and *Clock*, producing interspecific variation in slopes and effect sizes. Unfortunately, no information is available on the strength of the circannual clock for any of the species we considered.

#### *Proximate mechanisms of Clock–migration date association*

A proximate explanation for the covariation between migration date and *Clock* may consist in differences in timing of migration among geographical populations that differ in genetic composition at *Clock*. If *Clock*-mediated response to photoperiod orchestrates migration phenology, latitudinal variation in *Clock* frequencies might be expected (Johnsen *et al.* 2007). Trans-Saharan migrants that pass southern Italy during spring migration mostly breed in Northern, Central and Eastern Europe (Jonzén *et al.* 2006; Spina & Volponi 2008). However, marked confounding effects of latitudinal variation in *Clock* are very unlikely because most bird species studied so far do not show geographical population structuring at *Clock* (e.g. Dor *et al.* 2011; but see Johnsen *et al.* 2007). Because latitudinal clines exist in wing morphology across Europe, whereby wing pointedness and length increase with latitude (Cramp 1998; Peiró 2003; Evans *et al.* 2009; Tarka *et al.* 2010), in the analyses, we included wing length as a covariate. This partly accounted for variation in geographical origin/destination. Moreover, we found no significant positive covariation between *Clock* genotype and wing length, which would be expected if both wing length and *Clock* allele length increased with latitude. The negative sign of this association in the pied flycatcher (*Clock*-MAL) and in the whinchat (*Clock* longer allele) was opposite to that expected. In combination with the lack of latitudinal population structure at *Clock* (Dor *et al.* 2011; Kuhn *et al.* 2013), this suggests no interference of latitudinal variation on the results. To sum up, poor

geographical structuring of bird populations at *Clock*, the evidence that *Clock* allele length is not positively associated with wing length and the fact that genotype–phenotype associations persisted when controlling for wing length suggest that the present findings are not the spurious results of the confounding effect of latitude of origin/destination.

Mechanistic links between *Clock* variation and migration phenology may operate via timing of departure from the sub-Saharan wintering grounds or during migration. It has been argued that photoperiodic responses in equatorial regions are hindered by small circannual variation in photoperiod, implying no role of *Clock* in departure decisions from equatorial Africa. On the other hand, even minor changes in photoperiod at low latitudes elicit physiological responses in birds and other vertebrates (Hau *et al.* 1998; see also Burns 1985; Wayne & Rissman 1991). In addition, *Clock* genotype was found to be associated with the phenology of moult at the tropics (Saino *et al.* 2013): in the barn swallow, winter moult close to the Equator was delayed in individuals with a rare long *Clock* allele. Hence, *Clock* may indeed control photoperiodic responses also in Equatorial areas. Alternatively, *Clock* may affect the duration of staging at stopover sites in North Africa (around 35°N), before crossing of the Mediterranean in early spring. Finally, an additional alternative interpretation is that apparent *Clock* effects at tropical latitudes represent carry-over effects of the influence that *Clock* had on previous phenological events, including breeding and/or autumn migration.

#### *Implications of the Clock–migration date association*

Long-distance migrants are believed to have evolved hardwired phenological control of migration. These are expected because they need to set their circannual life cycle without having any cue to the current and future conditions at either opposite extreme of their migratory journey (Pulido & Widmer 2005; Pulido 2007; but see Saino & Ambrosini 2008). Some evidence suggests that lower phenotypic variation in migratory traits observed among long-distance migrants is due to environmental canalization functioning to adaptively buffer environmental variation (Pulido & Widmer 2005). Evidence for variation in timing of migration being associated with genetic variation at candidate genes in long-distance migrants is scant (see Gwinner 1996; Knudsen *et al.* 2011; Mueller *et al.* 2011; Peterson *et al.* 2013). Our present findings are entirely novel in that they hint at an association between timing of migration and *Clock*. The present results therefore have a bearing for the interpretation of the mechanisms of response of birds to climate change (Møller *et al.* 2010). Populations can accommodate the

consequences of climate change by phenotypically plastic and/or micro-evolutionary responses (Dunn & Winkler 2010). Phenotypic plasticity should result in phenological shifts most readily. Conversely, micro-evolutionary change is mainly expected to occur whenever selection exceeds the range of phenological change which is allowed by phenotypic plasticity and to proceed at lower pace in promoting adaptation to changing conditions (Visser *et al.* 2010). Environmental canalization of migratory traits may hinder the ability of long-distance migrants to adjust their migration phenology to rapid advancement in the spring phenophases at their breeding grounds (Rubolini *et al.* 2007, 2010; Knudsen *et al.* 2011; but see Jonzén *et al.* 2006) and cause marked population decline in long-distance Afro-Palaearctic migratory passerines (Sanderson *et al.* 2006; Møller *et al.* 2008; Both *et al.* 2009; Saino *et al.* 2011). This is ultimately the case because photoperiodic cues, which are not affected by climate change, lose their predictive value as to the optimal time to migrate towards the breeding grounds (Visser *et al.* 2010). In the present study, a unit decrease in the number of repeats at *Clock* poly-Q was associated with an advancement in migration date ranging from around 0 to ca. 4 days (Table 3). Over the last decades, warming of spring in continental Europe has led to an advancement of spring phenophases in the order of 1–2 days per decade (Schwartz *et al.* 2006). Thus, the difference in migration date putatively caused by a unit difference in the number of *Clock* poly-Q repeats roughly corresponds to the shift in spring phenophases that has occurred per each decade over the last half-century. We should therefore expect selection to have occurred for shorter *Clock* alleles (Dunn & Winkler 2010; Lehikoinen & Sparks 2010). Retrospective analysis of micro-evolution of *Clock* is obviously a difficult task in the absence of ad hoc sampling to estimate allele frequencies in past and extant populations. Recently, such an analysis has been attempted in the pied flycatcher over a century: no evidence of change in *Clock* frequencies was recorded, suggesting that selection for early arrival has not caused micro-evolutionary change at *Clock* (Kuhn *et al.* 2013). It should be noticed, however, that any inadvertent bias towards sampling of individuals with *Clock*-based early or, conversely, late arrival in the past and/or recent populations may have confounded these analyses. Hence, we feel that micro-evolutionary change at *Clock* in association with current climate change awaits more empirical tests.

#### *Polymorphism at Adcyap1 and migration date*

No statistically significant association between migration date and *Adcyap1* polymorphism could be detected. The analyses of phenology in relation to

*Adcyap1* polymorphism were based on a smaller sample compared to those of phenological variation in relation to *Clock* polymorphism. Lack of significant relationships between phenology and *Adcyap1* polymorphism could therefore be caused by reduced power of the statistical tests. This interpretation is unlikely to apply, however, because the size of the effects *Adcyap1* allele length on migration date was very small. In addition, the strongest observed relationship within any species was associated with a negative, rather than a positive effect of *Adcyap1* allele length on migration date. Previous studies have provided evidence for an association between migratory distance and restlessness at the population or at the individual level and *Adcyap1* (Mueller *et al.* 2011; Peterson *et al.* 2013). Thus, our study suggests that *Adcyap1* may only be involved in the control of those migration traits, but not of timing of migration. Admittedly, the marginally significant negative association between pectoral muscle score and *Adcyap1* shorter allele length has no obvious interpretation. We may speculate that the PACAP protein coded by the *Adcyap1* gene might have a weak pleiotropic effect, not necessarily associated with migration.

Thus, this study adds a novel facet to the growing body of studies which are providing mixed evidence for a role of *Clock* in the control of phenological variation in vertebrates. We showed for the first time that spring migration date covaries with *Clock* genotype in migratory birds. These results disclose a new perspective on the ecological and evolutionary study of phenological response of birds to current climate change.

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- N.S., D.R., L.G., F.S., G.B. and L.B. designed the study. G.B., V.O., J.G.C., C.D.P., D.R. and N.S. did the field-work. M.C., L.G., E.G., C.D.P., G.B. and A.G. did the laboratory work. N.S., D.R., L.G. and G.B. did the statistical analyses. N.S., D.R., L.G. and C.D.P. wrote the paper.
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### Data accessibility

Partial *Clock* and *Adcyap1* genomic sequences: Dryad doi:10.5061/dryad.j22j1.

Timing of migration, morphology, sex, age, *Clock* and *Adcyap1* genotypes: Dryad doi:10.5061/dryad.j22j1.

### Supporting information

Additional supporting information may be found in the online version of this article.

**Fig. S1** Frequency distribution of captures dates of males and females of the four focal species entered in the analyses of migration date in relation to *Clock* genotype.

**Fig. S2** Relationships between migration date (1 = January 1st) and mean *Adcyap1* allele length in the four species.

**Table S1** Linear models of migration date in relation to sex, age, longer *Clock* allele length and wing length.

**Table S2** Linear models of migration date in relation to sex, age, shorter *Clock* allele length and wing length.

**Table S3** Linear models of migration date in relation to sex, age, mean *Adcyap1* allele length and wing length.

**Table S4** Linear models of migration date in relation to sex, age, longer *Adcyap1* allele length and wing length.

**Table S5** Linear models of migration date in relation to sex, age, shorter *Adcyap1* allele length and wing length.

SI\_1

Supporting online information for

“Polymorphism at the *Clock* gene predicts phenology of long-distance migration in birds”

Saino et al.

Figure SI\_1. Frequency distribution of captures dates of males and females of the four focal species entered in the analyses of migration date in relation to *Clock* genotype.

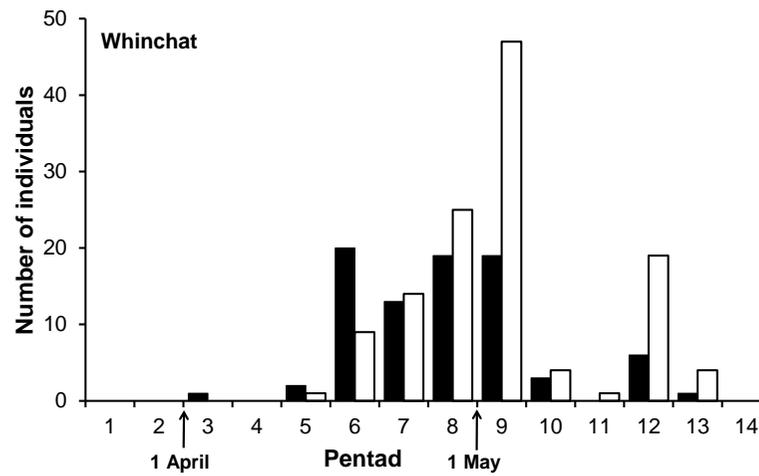
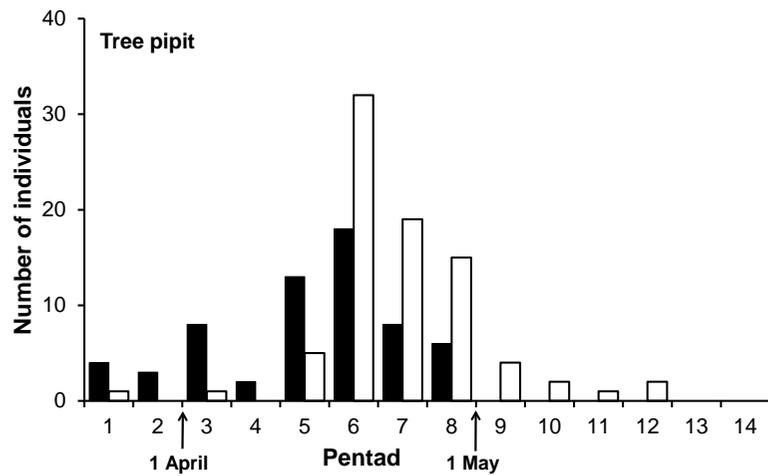
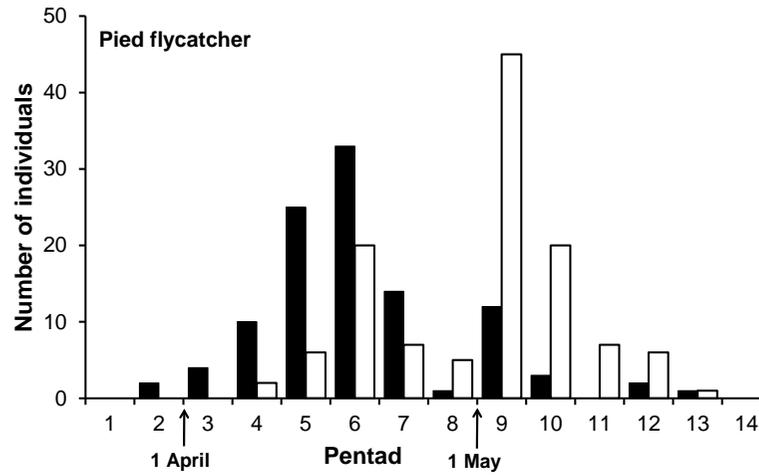
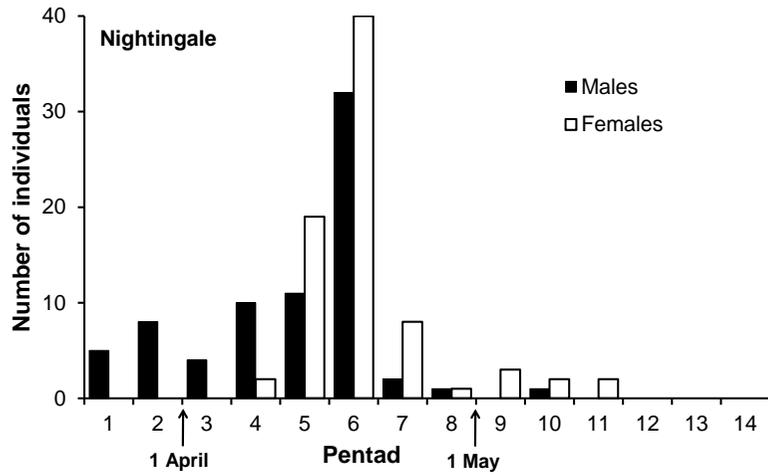


Table SI\_1. Linear models of migration date in relation to sex, age, longer *Clock* allele length and wing length. Two-way interactions among sex, age or mean allele length were excluded whenever they did not attain statistical significance. See Table 1 in the main text for sample sizes.

	<i>F</i>	d.f.	<i>P</i>	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	27.47	1, 144	<0.001	0.160		
Age	16.89	1, 144	<0.001	0.105		
Sex x Age	4.93	1, 144	0.028	0.033		
Longer allele length	5.17	1, 144	0.024	0.035	2.640 (1.161)	
3 <sup>rd</sup> primary length	5.88	1, 144	0.017	0.039	-0.068 (0.028)	
<b>Pied flycatcher</b>						
Sex	56.71	1, 221	<0.001	0.204	F: 118.7 (0.86)	M: 108.8 (0.91)
Age	47.71	1, 221	<0.001	0.178	SY: 118.0 (0.77)	Older: 109.4 (0.94)
Longer allele length	0.04	1, 221	0.840	0.000	-0.238 (1.173)	
3 <sup>rd</sup> primary length	4.25	1, 221	0.040	0.019	-0.085 (0.041)	
<b>Tree pipit</b>						
Sex	10.68	1, 138	0.001	0.072	F: 112.7 (0.99)	M: 104.1 (1.15)
Age	7.10	1, 138	0.009	0.049	SY: 110.3 (1.03)	Older: 106.6 (0.94)
Longer allele length	3.25	1, 138	0.073	0.023		
3 <sup>rd</sup> primary length	0.03	1, 138	0.873	0.000	-0.006 (0.035)	
Sex x Longer allele length	12.30	1, 138	0.001	0.082	F: 10.547 (2.592) <sup>a</sup>	M: -3.395 (3.007)
<b>Whinchat</b>						
Sex	10.53	1, 203	0.001	0.049	F: 121.4 (0.99)	M: 116.7 (1.11)
Age	3.03	1, 203	0.083	0.015	SY: 120.5 (0.72)	Older: 117.7 (1.38)
Longer allele length	2.23	1, 203	0.137	0.011	3.699 (2.477)	
3 <sup>rd</sup> primary length	0.16	1, 203	0.691	0.001	-0.018 (0.045)	

\* Estimated marginal means: Second-year females: 109.9 (1.26), Second-year males: 105.7 (1.18), Older females: 107.5 (1.22), Older males: 97.9 (1.34)

a:  $t = 4.07$ ,  $P < 0.001$ ; b:  $t = -1.13$ ,  $P = 0.261$ .

Table SI\_2. Linear models of migration date in relation to sex, age, shorter *Clock* allele length and wing length. Two-way interactions among sex, age or mean allele length were excluded whenever they did not attain statistical significance. See Table 1 in the main text for sample sizes.

	<i>F</i>	d.f.	<i>P</i>	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	25.22	1, 144	<0.001	0.149		
Age	16.27	1, 144	<0.001	0.102		
Sex x Age	4.40	1, 144	0.038	0.030		
Shorter allele length	1.01	1, 144	0.316	0.007	-0.798 (0.793)	
3 <sup>rd</sup> primary length	4.81	1, 144	0.030	0.032	-0.062 (0.028)	
<b>Pied flycatcher</b>						
Sex	56.97	1, 221	<0.001	0.205	F: 118.7 (0.86)	M: 108.8 (0.91)
Age	47.78	1, 221	<0.001	0.178	SY: 118.0 (0.77)	Older: 109.4 (0.94)
Shorter allele length	0.02	1, 221	0.886	0.000	0.155 (1.084)	
3 <sup>rd</sup> primary length	4.11	1, 221	0.044	0.018	-0.084 (0.041)	
<b>Tree pipit</b>						
Sex	22.73	1, 139	<0.001	0.141	F: 112.2 (1.03)	M: 104.1 (1.20)
Age	5.30	1, 139	0.023	0.037	SY: 109.9 (1.07)	Older: 106.5 (0.98)
Shorter allele length	3.23	1, 139	0.075	0.023	1.752 (0.975)	
3 <sup>rd</sup> primary length	0.13	1, 139	0.718	0.001	-0.013 (0.036)	
<b>Whinchat</b>						
Sex	8.95	1, 203	0.003	0.042	F: 121.2 (0.99)	M: 116.9 (1.11)
Age	3.53	1, 203	0.062	0.017	SY: 120.5 (0.72)	Older: 117.6 (1.38)
Shorter allele length	1.57	1, 203	0.212	0.008	0.980 (0.783)	
3 <sup>rd</sup> primary length	0.58	1, 203	0.446	0.003	-0.034 (0.044)	

\* Estimated marginal means: Second-year females: 109.9 (1.28), Second-year males: 105.7 (1.20), Older females: 107.4 (1.23), Older males: 98.1 (1.36)

Table SI\_3. Linear models of migration date in relation to sex, age, mean *Adcyap1* allele length and wing length. Two-way interactions among sex, age or mean allele length were excluded as they never attained significance. See Table 3 in the main text for sample sizes.

	<i>F</i>	d.f.	<i>P</i>	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	22.27	1, 94	<0.001	0.192	F: 109.8 (1.13)	M: 101.7 (1.17)
Age	12.59	1, 94	0.001	0.118	SY: 108.6 (1.11)	Older:102.9 (1.13)
Mean allele length	0.13	1, 94	0.720	0.001	-0.260 (0.725)	
3 <sup>rd</sup> primary length	3.21	1, 94	0.077	0.033	-0.063 (0.035)	
<b>Pied flycatcher</b>						
Sex	25.66	1, 90	<0.001	0.222	F: 121.6 (1.57)	M: 109.7 (1.53)
Age	7.60	1, 90	0.007	0.078	SY: 118.6 (1.33)	Older:112.6 (1.61)
Mean allele length	1.21	1, 90	0.274	0.013	-0.600 (0.545)	
3 <sup>rd</sup> primary length	2.13	1, 90	0.148	0.023	-0.105 (0.072)	
<b>Tree pipit</b>						
Sex	19.65	1, 92	<0.001	0.176	F: 113.5 (1.27)	M: 103.8 (1.55)
Age	5.42	1, 92	0.022	0.056	SY: 110.8 (1.36)	Older:106.5 (1.23)
Mean allele length	0.13	1, 92	0.725	0.001	0.212 (0.600)	
3 <sup>rd</sup> primary length	0.10	1, 92	0.748	0.001	-0.014 (0.044)	
<b>Whinchat</b>						
Sex	9.43	1, 164	0.002	0.054	F: 121.9 (1.12)	M: 117.0 (1.25)
Age	3.62	1, 164	0.059	0.022	SY: 121.1 (0.82)	Older:117.7 (1.55)
Mean allele length	1.16	1, 164	0.284	0.007	-0.428 (0.398)	
3 <sup>rd</sup> primary length	0.09	1, 164	0.759	0.001	-0.015 (0.050)	

Table SI\_4. Linear models of migration date in relation to sex, age, longer *Adcyap1* allele length and wing length. Two-way interactions among sex, age or mean allele length were excluded whenever they did not attain significance. See Table 3 in the main text for sample sizes.

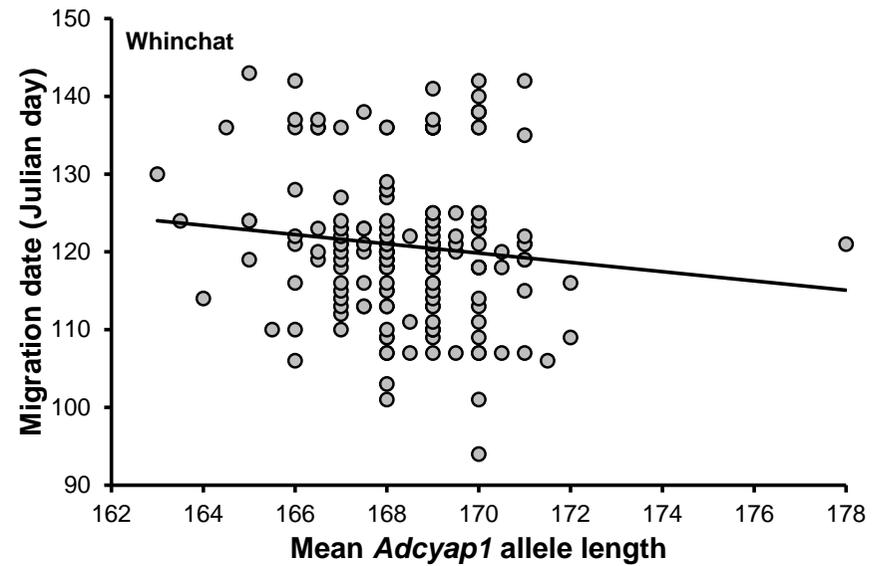
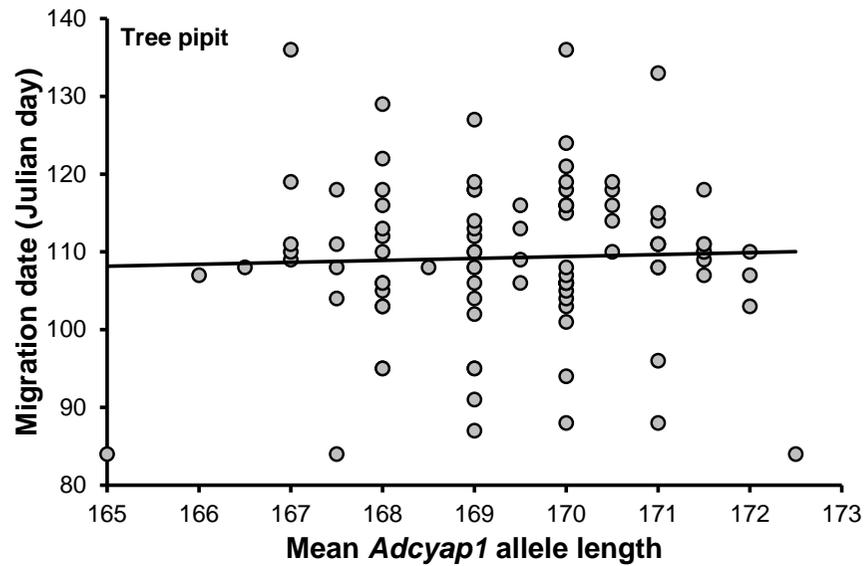
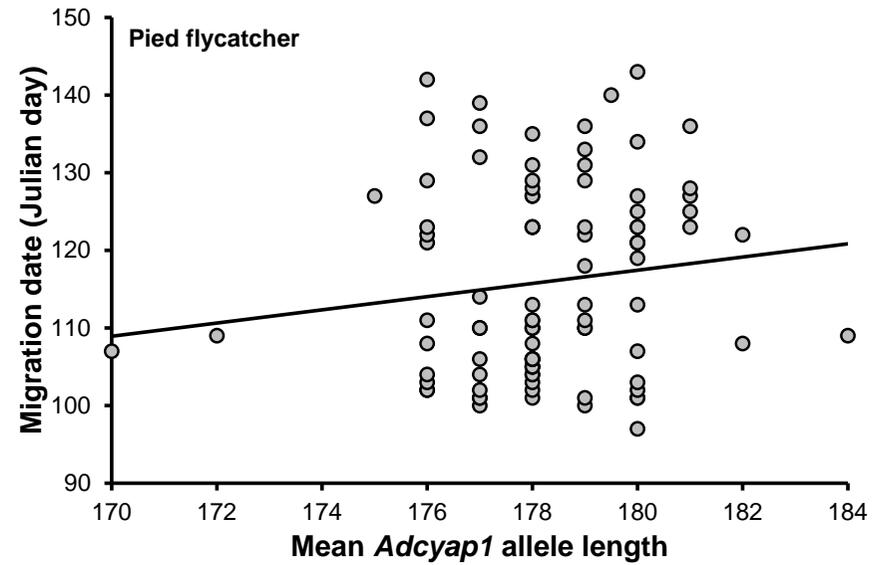
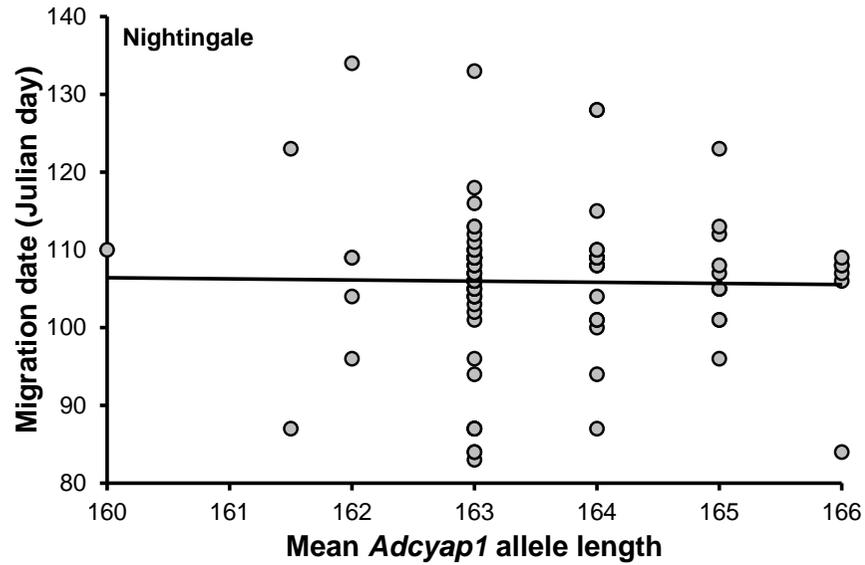
	<i>F</i>	d.f.	<i>P</i>	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	22.33	1, 94	<0.001	0.192	F: 109.8 (1.13)	M: 101.7 (1.17)
Age	12.42	1, 94	0.001	0.117	SY: 108.6 (1.11)	Older:102.9 (1.13)
Longer allele length	0.05	1, 94	0.823	0.001	-0.100 (0.447)	
3 <sup>rd</sup> primary length	3.21	1, 94	0.076	0.033	-0.063 (0.035)	
<b>Pied flycatcher</b>						
Sex	4.59	1, 89	0.035	0.049	F: 120.9 (1.55)	M: 109.6 (1.50)
Age	7.65	1, 89	0.007	0.079	SY: 118.1 (1.31)	Older:112.3 (1.59)
Longer allele length	0.10	1, 89	0.754	0.001		
3 <sup>rd</sup> primary length	2.21	1, 89	0.140	0.024	-0.105 (0.070)	
Sex x Longer allele Length	4.90	1, 89	0.029	0.052	F: 0.878 (0.713) <sup>a</sup>	M: -1.168 (0.588) <sup>b</sup>
<b>Tree pipit</b>						
Sex	19.16	1, 92	<0.001	0.172	F: 113.4 (1.28)	M: 103.9 (1.56)
Age	5.34	1, 92	0.023	0.055	SY: 110.8 (1.36)	Older: 106.5 (1.23)
Longer allele length	0.06	1, 92	0.800	0.001	0.125 (0.493)	
3 <sup>rd</sup> primary length	0.13	1, 92	0.723	0.001	-0.016 (0.045)	
<b>Whinchat</b>						
Sex	9.84	1, 164	0.002	0.057	F: 121.9 (1.13)	M: 116.8 (1.26)
Age	3.89	1, 164	0.050	0.023	SY: 121.1 (0.83)	Older:117.6 (1.57)
Longer allele length	0.01	1, 164	0.926	0.000	0.032 (0.342)	
3 <sup>rd</sup> primary length	0.08	1, 164	0.783	0.000	-0.014 (0.050)	

a:  $t = 1.23$ ,  $P = 0.222$ ; b:  $t = -1.99$ ,  $P = 0.050$ .

Table SI\_5. Linear models of migration date in relation to sex, age, shorter *Adcyap1* allele length and wing length. Two-way interactions among sex, age or mean allele length were excluded as they never attained significance. See Table 3 in the main text for sample sizes.

	<i>F</i>	d.f.	<i>P</i>	$\eta^2$	Estimated marginal means or coefficients (SE)	
<b>Nightingale</b>						
Sex	22.18	1, 94	<0.001	0.191	F: 109.8 (1.13)	M: 101.7 (1.17)
Age	12.53	1, 94	0.001	0.118	SY: 108.6 (1.12)	Older:102.9 (1.13)
Shorter allele length	0.11	1, 94	0.746	0.001	-0.216 (0.665)	
3 <sup>rd</sup> primary length	3.17	1, 94	0.078	0.033	-0.063 (0.035)	
<b>Pied flycatcher</b>						
Sex	25.66	1, 90	<0.001	0.222	F: 121.5 (1.56)	M: 109.7 (1.53)
Age	7.74	1, 90	0.007	0.079	SY: 118.6 (1.33)	Older:112.6 (1.62)
Shorter allele length	1.22	1, 90	0.273	0.013	-0.498 (0.451)	
3 <sup>rd</sup> primary length	2.27	1, 90	0.136	0.025	-0.109 (0.072)	
<b>Tree pipit</b>						
Sex	19.81	1, 92	<0.001	0.177	F: 113.5 (1.28)	M: 103.8 (1.56)
Age	5.41	1, 92	0.022	0.056	SY: 110.8 (1.36)	Older: 106.5 (1.24)
Shorter allele length	0.10	1, 92	0.752	0.001	0.151 (0.478)	
3 <sup>rd</sup> primary length	0.09	1, 92	0.772	0.001	-0.013 (0.045)	
<b>Whinchat</b>						
Sex	9.48	1, 164	0.002	0.055	F: 121.8 (1.12)	M: 116.9 (1.24)
Age	4.18	1, 164	0.043	0.025	SY: 121.2 (0.82)	Older:117.6 (1.54)
Shorter allele length	3.27	1, 164	0.073	0.020	-0.567 (0.314)	
3 <sup>rd</sup> primary length	0.04	1, 164	0.836	0.000	-0.010 (0.049)	

Figure SI\_2. Relationships between migration date (1 = January 1<sup>st</sup>) and mean *Adcyap1* allele length in the four species. The relationship was non-significant in all cases, after controlling for sex, age and wing length (see Table SI\_1). Simple linear regression lines are shown to better visualize the trends.





## CHAPTER 2

### ***Adcyap1* polymorphism covaries with breeding latitude in a Nearctic migratory songbird, the Wilson's warbler (*Cardellina pusilla*)**

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## ***Adcyap1* polymorphism covaries with breeding latitude in a Nearctic migratory songbird, the Wilson's warbler (*Cardellina pusilla*)**

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### Abstract

Understanding the genetic background of complex behavioral traits, showing multigenic control and extensive environmental effects, is a challenging task. Among such traits, migration is known to show a large additive genetic component. Yet, the identification of specific genes or gene regions explaining phenotypic variance in migratory behavior has received less attention. Migration ultimately depends on seasonal cycles, and polymorphism at phenological candidate genes may underlie variation in timing of migration or other aspects of migratory behavior. In this study of a Nearctic–Neotropical migratory songbird, the Wilson's warbler (*Cardellina pusilla*), we investigated the association between polymorphism at two phenological candidate genes, *Clock* and *Adcyap1*, and two aspects of the migratory phenotype, timing of spring migration through a stopover site and inferred latitude of the breeding destination. The breeding destination of migrating individuals was identified using feather deuterium ratio ( $\delta^2\text{H}$ ), which reliably reflects breeding latitude throughout the species' western breeding range. Ninety-eight percent of the individuals were homozygous at *Clock*, and the rare heterozygotes did not deviate from homozygous migration phenology. *Adcyap1* was highly polymorphic, and allele size was not significantly associated with migration date. However, *Adcyap1* allele size significantly positively predicted the inferred breeding latitude of males but not of females. Moreover, we found a strong positive association between inferred breeding latitude and *Adcyap1* allele size in long-distance migrating birds from the northern sector of the breeding range (western Canada), while this was not the case in short-distance migrating birds from the southern sector of the breeding range (coastal California). Our findings support previous evidence for a role of *Adcyap1* in shaping the avian migratory phenotype, while highlighting that patterns of phenological candidate gene–phenotype associations may be complex, significantly varying between geographically distinct populations and even between the sexes.

## Introduction

In many migratory organisms, individuals embark on their first migratory journey without any guidance from conspecifics concerning the timing and the direction of migration and the distance to be covered, and in most cases in the absence of any cue concerning the ecological conditions they subsequently face (Newton 2008). Such an innate spatiotemporal program, which is remarkably consistent at the population level, hints at a strong genetic component of migratory behavior (reviewed in Liedvogel and Lundberg 2014). Indeed, experimental studies of birds focusing on quantitative genetics and heritability of timing and direction of migration and migration distance revealed these traits have a large additive genetic component (Pulido and Berthold 2003). However, identifying which individual genes or gene groups are involved in shaping phenotypic variability in natural populations of migratory species has received less attention (Liedvogel and Lundberg 2014).

Studies of migratory vertebrates have mainly focused on phenological candidate genes (PCG), that is, genes whose allelic variation may explain differences in the photoperiodic responses among populations and individuals (e.g., Johnsen et al. 2007; O'Malley and Banks 2008; O'Malley et al. 2010; Bourret and Garant 2015; Saino et al. 2015). These PCG show short tandem repeats that could affect gene functions and are possibly involved in determining variability in migratory phenotypes (Kashi et al. 1997; Comings 1998; Li et al. 2004; Fondon et al. 2008). Among PCG, *Clock* (*Circadian Locomotor Output Cycles Kaput*) plays a central role within the “core circadian oscillator” (CCO), which is ultimately responsible for the onset and setting of circadian and circannual rhythmicity (Panda et al. 2002; Lincoln et al. 2003; Bell-Pedersen et al. 2005; Ko and Takahashi 2006). Polymorphism at a *Clock* polyglutamine-rich region (Poly-Q) has been reported both among and within populations (e.g., Johnsen et al. 2007; O'Malley and Banks 2008; Liedvogel et al. 2009; Caprioli et al. 2012), and it may play a role in determining phenological responses by differentially affecting the timing of seasonal activities (Hayasaka et al. 2002). At the among-population level, a latitudinal cline in *Clock* allele size, with the frequency of longer alleles increasing along a south–north gradient, has been documented in some migratory bird and fish species (Johnsen et al. 2007; O'Malley and Banks 2008; O'Malley et al. 2010; Lemay and Russello 2014) and probably reflects local adaptation to different photoperiodic regimes (Kyriacou et al. 2008). However, such a latitudinal cline has not been detected in other studies (e.g., Dor et al. 2012; O'Brien et al. 2013).

Within populations, *Clock* polymorphism may affect an individual's response to photoperiod. Intrapopulation

variation in *Clock* allele size predicted timing of key life-history events of birds, such as reproduction, molt, and migration (Liedvogel et al. 2009; Caprioli et al. 2012; Saino et al. 2013, 2015; Bazzi et al. 2015; Bourret and Garant 2015), with individuals bearing “shorter” alleles (i.e., with fewer glutamine residues) showing advanced timing, while individuals bearing “longer” alleles showed a delayed phenology. Yet, other studies failed to find any association between *Clock* genotype and timing of phenophases (Liedvogel and Sheldon 2010; Dor et al. 2011; Chakarov et al. 2013; Morbey et al. 2014). Finally, in a Nearctic migratory bird species complex (*Junco hyemalis* and *J. phaeonotus*), *Clock* allele size increased with migration distance within some subspecies groups, but not among populations (Peterson et al. 2013).

A second important PCG, *Adcyap1* (*Adenylate Cyclase-Activating Polypeptide 1*), encodes PACAP (pituitary adenylate cyclase-activating polypeptide), a neuropeptide broadly diffused in the brain and peripheral organs of vertebrates (Nowak and Zawilska 2003; Vaudry et al. 2009; Olano-Marin et al. 2011). PACAP is known to exert several biological functions, many of which could foster physiological and behavioral shifts related to migration (Mueller et al. 2011). PACAP plays a role in circadian and circannual timing, stimulating melatonin synthesis in the pineal gland and conveying light information from the retina to the suprachiasmatic nucleus of the hypothalamus, a key element in the regulation of circadian timing of birds and mammals (Simonneaux et al. 1993; Hannibal et al. 1997; Schwartz and Andrews 2013). PACAP also modulates the expression of the CCO, by directly activating *Clock* and other circadian genes in the chicken pineal gland (Nagy and Csernus 2007; Racz et al. 2008). *Adcyap1* shows microsatellite polymorphism at the 3'-UTR of the gene that has been suggested to modify post-transcriptional processes (Steinmeyer et al. 2009). The first evidence of a link between *Adcyap1* 3'-UTR polymorphism and migratory behavior came from a study of the blackcap (*Sylvia atricapilla*), where longer *Adcyap1* allele sizes were positively associated with the amount of migratory restlessness of caged birds during their first migration toward the wintering areas (Mueller et al. 2011). Hence, allele size variation may modulate migration distance within populations, with birds bearing longer *Adcyap1* alleles migrating farther, because the amount of migratory restlessness is associated with longer migratory flights (Gwinner 1990; Berthold 1996; Maggini and Bairlein 2010). Furthermore, blackcap populations migrating over longer distances had a longer mean *Adcyap1* allele size (Mueller et al. 2011). A study of the dark-eyed junco (*J. hyemalis*) further supported the possible role of *Adcyap1* in affecting migratory restlessness in a migratory population (Peterson et al. 2013). However, *Adcyap1*

polymorphism was not associated with migration distance among junco populations (Peterson et al. 2013).

As PACAP modulates molecular clocks (Nagy and Csernus 2007; Racz et al. 2008), we may also expect *Adcyap1* to predict phenology within populations. Early dispersing juvenile buzzards (*Buteo buteo*) carried longer *Adcyap1* alleles (Chakarov et al. 2013). Moreover, *Adcyap1* allele size predicted laying date of female tree swallows (*Tachycineta bicolor*), although the effect of genotype on phenology varied between local populations (Bourret and Garant 2015). Finally, there was no association between timing of spring migration toward the breeding areas and *Adcyap1* polymorphism in four Palearctic–Afrotropical migrants (Saino et al. 2015), and *Adcyap1* polymorphism predicted timing of spring migration in blackcaps migrating across Europe only among females and in combination with wing morphology (Mettler et al. 2015).

In this study of a Nearctic–Neotropical migratory passerine, the Wilson’s warbler (*Cardellina pusilla*), we aimed to assess whether *Clock* and *Adcyap1* polymorphism predicted the timing of spring migration to breeding areas, recorded at a southern stopover site, and the inferred latitude of the breeding destination, as gauged from the stable hydrogen isotope ratio of feathers (Kelly et al. 2002; Paxton et al. 2007; Hobson and Wassenaar 2008). We sampled Wilson’s warblers during spring migration along the western flyway at a stopover site in Arizona, USA, halfway between the wintering (from southern Mexico to Panama) and the breeding areas (from California to northern Canada and Alaska) (see Fig. 1). The Wilson’s warbler is an obligate migrant which follows a “leapfrog” migration pattern, with birds from northern breeding populations overwintering at more southern latitudes in the wintering range (Central Mexico to Panama) compared to those from southern breeding populations, that overwinter in northern Mexico (Kelly et al. 2002; Clegg et al. 2003; Paxton et al. 2007; Ruegg et al. 2014b). Hence, birds from northern breeding populations perform considerably longer migrations than those from southern breeding ones (Fig. 1).

We took advantage of the well-defined geographical gradient in hydrogen stable isotope ratios ( $\delta^2\text{H}$  hereafter) in precipitation across North America to infer the breeding destination of migrating warblers sampled at the study site (Fig. 1; Kelly et al. 2002; Clegg et al. 2003; Paxton et al. 2007; Hobson and Wassenaar 2008; Hobson et al. 2014).

As outlined above, candidate genes may predict phenotypic variation at two different levels: within and among populations. To investigate within-population effects, we

tested the association between timing of migration and genetic polymorphism while statistically removing the confounding effects of breeding latitude (reflecting population of origin; northern populations migrate later, this study and Paxton et al. 2007). On the other hand, to investigate among-population effects and highlight possible adaptive geographic clines in allele frequencies, we analyzed the association between genetic polymorphism and inferred breeding latitude.

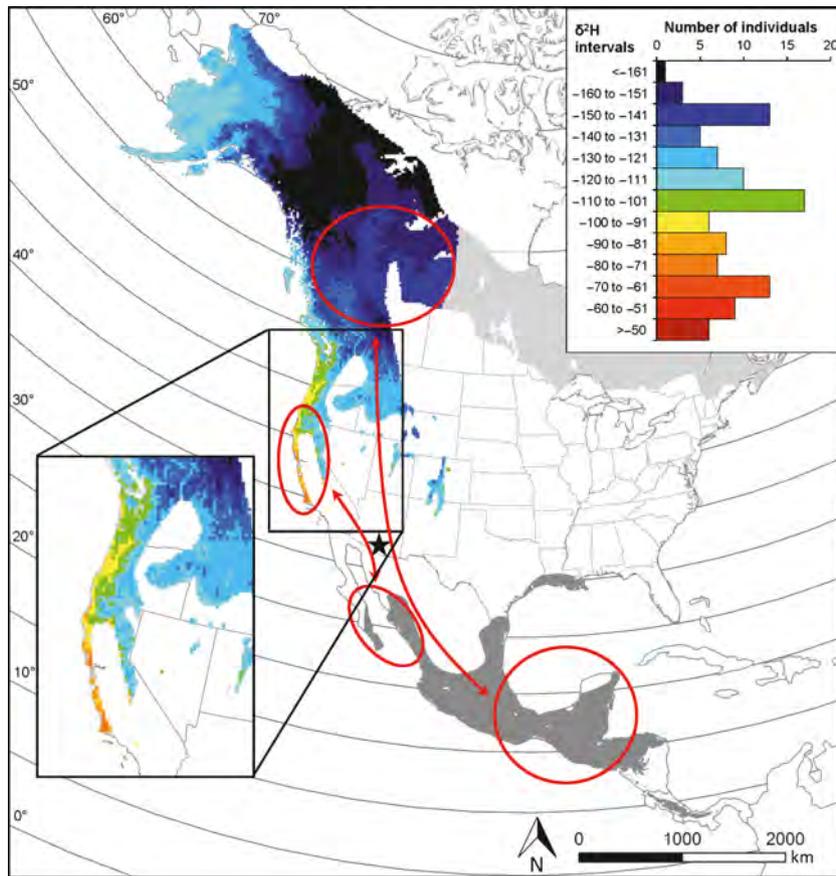
In line with previous literature documenting associations between *Clock* polymorphism and phenology, we predicted that within populations (i.e., after controlling for breeding latitude, by including feather  $\delta^2\text{H}$  in the models), individuals bearing shorter *Clock* alleles will migrate early, whereas those with longer alleles will have a delayed migration phenology. Among populations, we predicted birds originating from northern populations to have longer *Clock* alleles than those from southern populations.

On the other hand, we expected *Adcyap1* allele size to increase with latitude of the breeding destination (i.e., decrease with increasing feather  $\delta^2\text{H}$ ; Fig. 1), reflecting an among-population effect. We also explored the association between *Adcyap1* and the timing of migration at the within-population level (i.e., after controlling for feather  $\delta^2\text{H}$ ), but no clear prediction could be formulated due to the paucity of previous studies (Chakarov et al. 2013; Bourret and Garant 2015; Mettler et al. 2015; Saino et al. 2015).

## Materials and Methods

### Study species

The Wilson’s warbler is a small, sexually dichromatic, widespread Nearctic passerine that breeds in North America and winters in Mexico and Central America (Pyle et al. 1997). The species shows a clear genetic differentiation among geographical populations, with birds from the eastern portion of the breeding range and migrating through eastern North America being well separated from those migrating along the western flyway (Ruegg et al. 2014b). In addition, western breeding populations can be separated into five homogeneous genetic clusters (Ruegg et al. 2014b). Wilson’s warblers perform a single complete postbreeding molt while at or near the breeding grounds, before fall migration (Pyle et al. 1997; Paxton et al. 2007). Hence, birds sampled during spring migration will carry tail feathers grown in the breeding areas in the previous breeding season. Feather  $\delta^2\text{H}$  values from spring migrating birds was thus used to infer individual breeding destinations (see below).



**Figure 1.** Feather  $\delta^2\text{H}$  isoscape within the breeding range of western North American Wilson's warbler populations. The map (Lambert Conformal Conic projection) shows the expected spatial distribution of feather  $\delta^2\text{H}$  values in the western part of Wilson's warbler breeding range (see Materials and Methods). The eastern portion of the breeding distribution range (light gray) and the entire wintering distribution range (dark gray) of the species is also shown for comparison, and the position of the study site (Buenos Aires National Wildlife Refuge, Arizona) is marked as a black star. Inset histogram: frequency distribution of feather  $\delta^2\text{H}$  values of spring migrating Wilson's warblers at the study site ( $n = 105$ ). The ellipses denote the approximate breeding destination (based on feather  $\delta^2\text{H}$  thresholds; see Materials and Methods) and wintering distribution (based on Ruegg et al. 2014b) of short-distance migrating southern birds (feather  $\delta^2\text{H} \geq -90\text{‰}$ ,  $n = 43$  individuals) and of long-distance migrating northern birds (feather  $\delta^2\text{H}$  values  $< -130\text{‰}$ ,  $n = 22$  individuals) (see Materials and Methods).

### Study site and field methods

We captured Wilson's warblers at the Buenos Aires National Wildlife Refuge (Fig. 1), Pima County, USA ( $31^{\circ}33'00''\text{N}$   $111^{\circ}33'02''\text{W}$ ), during March 28–May 24, 2006. All field procedures were approved by the University of Western Ontario Animal Use Sub-Committee (2006-014-02), the US Geological Survey Bird Banding Lab (23423), the US Fish and Wildlife Service (MB121152-0), and the Arizona Game and Fish Department (298399). The study area, located in the Sonoran Desert, provides isolated riparian habitats to a large number of migrating birds which stopover there to refuel during spring migration. Previous studies showed that birds with markedly different breeding destinations (exclusively from the western breeding range) use the area as a stopover site (Paxton et al. 2007; Ruegg et al. 2014b; Fig. 1). We selected a small (approx. 2 ha), isolated trapping site which enabled us to reliably capture most of the foraging individuals at any given time; afternoon resighting efforts indicated that unmarked individuals were rare (QRH, unpublished data). We are therefore confident that almost all the birds were captured on the day of arrival. We used

first capture date of each bird as a reliable estimate of timing of migration (hereafter, migration date).

Birds were trapped during the morning hours using mist nets, banded, measured (wing length, to the nearest mm), and sexed according to Pyle et al. (1997). Age was not assessed due to difficulties in discriminating between second year and older individuals based on the differences in feather wear. For each individual, we collected a biological sample including one outer rectrix and three breast contour feathers. Full-grown feathers were collected and stored individually at room temperature for later analyses. Overall, we captured 108 individuals (49 females, 59 males).

### Stable isotope analyses and feather isoscape of the breeding destination

Stable hydrogen isotope measurements were made for individual rectrices.  $\delta^2\text{H}$  analyses were performed using the comparative equilibration approach reported in Wassenaar and Hobson (2003) (see Appendix S1 for details). Isotope values were reported in  $\delta$  notation as parts per thousand (‰) deviation from the Vienna

Standard Mean Ocean Water (VSMOW)–Standard Light Antarctic Precipitation (SLAP) scale. We could determine  $\delta^2\text{H}$  for 105 individuals (47 females, 58 males) of the 108 sampled. The remains of analyzed feathers were kept in glass tubes at room temperature until genetic analyses were performed.

To infer the putative breeding destination of migrating Wilson's warblers, we compared the feather  $\delta^2\text{H}$  values with a  $\delta^2\text{H}$  feather isoscape of North America showing the spatial distribution of expected feather  $\delta^2\text{H}$  values on a continental scale (Fig. 1). The  $\delta^2\text{H}$  feather isoscape was derived by combining the most recent available amount-weighted growing season  $\delta^2\text{H}$  precipitation surface (see Terzer et al. 2013 for details and data source) and a feather – precipitation  $\delta^2\text{H}$  transfer function for Neotropical migrant nonground foragers (full details reported in Hobson et al. 2014).

To compare the strength of genotype–phenotype associations between populations differing in migratory behavior (see *Statistical analyses* below), we aimed at separating long-distance migrating “northern” birds from short-distance migrating “southern” ones. Moreover, in these comparisons, we aimed at accounting for the geographical genetic structuring among the species' western population complex previously described by Ruegg et al. (2014b) using high-resolution genetic markers. To this end, we first assigned our feather  $\delta^2\text{H}$  values to 10‰ intervals and plotted the contours of these intervals on the feather isoscape map (Fig. 1). “Southern” birds were identified as those with feather  $\delta^2\text{H}$  values  $\geq -90$ ‰ ( $n = 43$  individuals), which is consistent with the genetically homogeneous cluster of coastal California breeding birds, migrating south over short-distances to the Pacific coastal areas of northern Mexico (“yellow” cluster in Ruegg et al. 2014b; Fig. 1). “Northern” birds were identified as those with feather  $\delta^2\text{H}$  values  $< -130$ ‰ ( $n = 22$  individuals), which breed throughout Canada and migrate over long distances to winter in southern Mexico and throughout Central America (Kelly et al. 2002; Ruegg et al. 2014b; Fig. 1). These birds belong to a different genetically homogeneous cluster (“violet” cluster in Ruegg et al. 2014b). Intermediate feather  $\delta^2\text{H}$  values (between  $-130$ ‰ and  $-91$ ‰) were excluded from these analyses as they may either reflect birds breeding in continental northwestern US states or in central-western Alaska (see Fig. 1), thus including birds from genetically differentiated clusters of populations (Ruegg et al. 2014b). Nevertheless, given that the mean value of the lowest 10<sup>th</sup> percentile of the feather  $\delta^2\text{H}$  values we recorded in migrating individuals ( $-150.7$ ‰; see also Fig. 1) corresponds to ca. 59° N on the feather isoscape shown in Fig. 1 (details not shown), we regard the possibility that birds from northern and northwestern Canada and Alaska

(i.e., above 60° N, Fig. 1) were included in our sample as unlikely. Indeed, these populations may show a more eastward migration route (Paxton et al. 2007). Finally, Kelly et al. (2002) showed that feather  $\delta^2\text{H}$  of Wilson's warblers sampled throughout their entire western breeding range (from California to northern Alaska) decreases linearly with breeding latitude. Hence, we can safely assume that, in our sample, decreasing feather  $\delta^2\text{H}$  values reflects increasing latitudes of breeding destinations.

## Genetic analyses

Total genomic DNA was extracted from feathers (both the rectrix remains and breast contour feathers) using commercial kits (see Appendix S1 for details). Polymorphism at the *Clock* and *Adcyap1* genes (allele size due to the number of sequence repeats) was determined by fragment analysis as described in Caprioli et al. (2012) and Saino et al. (2015) with slight modifications (Appendix S1). Of the 105 birds with feather  $\delta^2\text{H}$  values, we reliably genotyped 102 individuals (*Clock*: 46 females, 56 males; *Adcyap1*: 44 females, 58 males). Although no *Clock* genomic sequence is available for the study species, the alignment of all *Clock* gene sequences of passerine species found in GenBank showed that all length polymorphisms in this order are due to a variable number of glutamine codons (details not shown). Hence, it is safe to assume that the 115- and 118-bp-long alleles we detected (see Results) correspond to Q<sub>8</sub> and Q<sub>9</sub> alleles, respectively (*Clock* alleles are identified according the predicted number of glutamine residues in the mature protein; see Liedvogel et al. 2009).

## Statistical analyses

As we found extremely low variability at the *Clock* locus (see Results), to explore the *Clock* genotype-timing of migration association, we compared migration date of the rare heterozygote *Clock* individuals with the phenotypic distribution of migration date of the homozygote ones (as detailed in Bazzi et al. 2015). We thus calculated the 95% nonparametric bootstrap confidence limits (BCa method; see details in Bazzi et al. 2015) of migration date for homozygous individuals, separately for each sex; to account for seasonal variation in the occurrence of birds from different breeding destinations (see Results and Paxton et al. 2007), the migration date values of both the homozygote and the heterozygote individuals were expressed as residuals from the linear regression of migration date on feather  $\delta^2\text{H}$ , separately for each sex. We then compared the heterozygote values (residuals) with the confidence intervals of homozygote individuals (from the distribution of residuals) of their respective sex. No

formal analysis was made to investigate the association between *Clock* genotype and breeding destination.

To test for an association between *Adcyap1* allele size and migration date while controlling for variation in migration date due to variation in breeding destination, we ran linear models of migration date (1 = January 1) as a function of allele size (mean of the two alleles; Mueller et al. 2011; Chakarov et al. 2013; Peterson et al. 2013; Saino et al. 2015), feather  $\delta^2\text{H}$ , and sex (0 = females, 1 = males). Finally, we tested the association between *Adcyap1* genotype and breeding destination by linear models of feather  $\delta^2\text{H}$  as a function of *Adcyap1* allele size and sex. Similar models were run to investigate the association between wing length, another proxy of breeding destination (see Results and Q. R. Hays et al. in prep.), and *Adcyap1* allele size. Two-way interaction terms were included in all initial linear models and removed *en bloc* if statistically nonsignificant ( $P > 0.05$ ).

We also tested whether the strength of the *Adcyap1*–feather  $\delta^2\text{H}$  association varied between the two genetically homogeneous clusters of northern and southern birds by computing the correlation coefficients between *Adcyap1* allele size and feather  $\delta^2\text{H}$  for northern and southern birds, and applying a test for the difference between two correlation coefficients (Sokal and Rohlf 2009).

All the analyses involving *Adcyap1* were repeated for each allele size (short and long) to investigate whether allelic dominance effects occurred, because this is often the case in phenotypic effects of simple sequence repeats (Ross 2002; Fondon et al. 2008; Liedvogel et al. 2009; Saino et al. 2015).

Deviations from Hardy–Weinberg equilibrium (HWE) were tested for the *Adcyap1* locus using the Markov chain method (Guo and Thompson 1992) implemented in GENEPOP (dememorization = 1000, batches = 100, iterations per batch = 1000) to obtain unbiased estimates of the Fisher's exact statistic (Raymond and Rousset 1995). For this locus, we also calculated genetic differentiation by computing the  $F_{\text{ST}}$  estimate between the northern and southern populations by means of Fstat 2.9.3 software (Goudet 2001).

Means and parameter estimates are reported together with their associated standard error, unless stated otherwise.

## Results

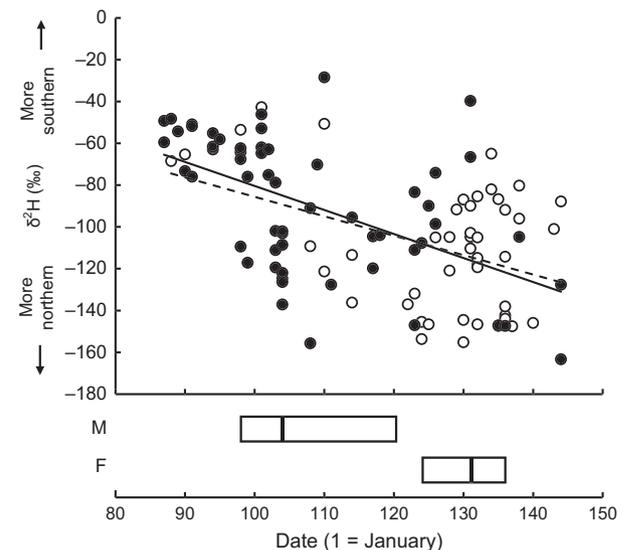
### Timing of migration and breeding destination

Feather  $\delta^2\text{H}$  values showed a clear temporal decline during the migration period [Fig. 2; linear regression, estimate:  $-1.08$  (0.16) ‰/day,  $t_{103} = 6.67$ ,  $P < 0.001$ ], with

birds directed toward northern breeding areas (i.e., with lower feather  $\delta^2\text{H}$  values) migrating later (see also Paxton et al. 2007). Migration date also significantly differed between the sexes, males migrating considerably earlier on average (April 19) than females (May 7) ( $t_{106} = 6.76$ ,  $P < 0.001$ ) (Fig. 2) (see Otahal 1995). Feather  $\delta^2\text{H}$  predicted migration date in a similar way in either sex (see Fig. 2), as indicated by the nonsignificant interaction between sex and feather  $\delta^2\text{H}$  in a linear model ( $F_{1,101} = 0.72$ ,  $P = 0.40$ ). This model also confirmed a statistically significant sex difference in migration date [mean estimated difference in migration date between males and females: 14.33 (2.59) days ( $F_{1,102} = 30.68$ ,  $P < 0.001$ )] while controlling for the effect of feather  $\delta^2\text{H}$  [ $-0.21$  (0.04) days/‰,  $F_{1,102} = 29.76$ ,  $P < 0.001$ ] (see also above and Fig. 2).

### Genetic variation at *Clock* and *Adcyap1*

The *Clock* locus showed very low variability, because only two (one male, one female) of 102 genotyped individuals were heterozygous (Q8/Q9), while all the others were homozygous (Q9/Q9) [mean allele size = 117.97 (0.02) bp; observed heterozygosity  $H_o = 0.02$ ]. On the other hand, *Adcyap1* was highly variable ( $H_o = 0.78$ ) (Table S1). *Adcyap1* genotype frequencies significantly deviated from HWE ( $P = 0.030$ ). However, this was not the case if the test was conducted within southern and northern birds (both  $P$ -values  $> 0.60$ ). This finding



**Figure 2.** Feather  $\delta^2\text{H}$  decreases during the migration period in male and female Wilson's warblers. Males: filled circles, continuous line;  $n = 58$ ; females: open circles, broken line;  $n = 47$ . The lines represent simple linear regressions. Boxplots show the median migration date of each sex (boxes: 25<sup>th</sup> and 75<sup>th</sup> percentile).

corroborates the hypothesis of reduced gene flow between genetically differentiated southern and northern population clusters (Ruegg et al. 2014b). Indeed, *Adcyap1* genotypes showed a statistically significant differentiation between southern and northern birds ( $F_{ST} = 0.045$ ,  $P = 0.001$ ). The estimated  $F_{ST}$  for the *Adcyap1* microsatellite was considerably larger than the mean  $F_{ST}$  obtained from the reanalysis of the allelic panel of neutral microsatellite loci previously published in Clegg et al. (2003) from birds breeding in the corresponding population clusters (courtesy of S. M. Clegg and T. B. Smith) (see Appendix S2).

However, the mean *Adcyap1* allele size did not significantly differ between southern and northern birds [158.26 (0.19) vs. 158.73 (0.37), respectively;  $t_{63} = 1.28$ ,  $P = 0.21$ ]. Results were qualitatively similar for the short and long allele size (details not shown for brevity).

### Within-population variation in timing of migration and genotype

Controlling for feather  $\delta^2H$ , the migration dates of the heterozygous *Clock* male and female fell within the 95% confidence limit of the sex-specific distributions of migration date (Table S2). Hence, the rare heterozygous *Clock* individuals were not phenodeviant with respect to migration timing of homozygotes.

There was no statistically significant association between *Adcyap1* allele size and migration date in linear models controlling for sex and feather  $\delta^2H$  (Table 1). There were no significant two-way interactions between sex, allele size, and feather  $\delta^2H$  on migration date (Table 1). Hence, the (nonsignificant) effect of *Adcyap1* genotype on timing of migration was similar irrespective of geographical origin (no statistically significant allele size  $\times$   $\delta^2H$  interaction, Table 1).

### Breeding destination and genotype

The two rare heterozygous *Clock* individuals had feather  $\delta^2H$  values that were at the extremes of the feather  $\delta^2H$  distribution: The male had a value of  $-63\text{‰}$ , whereas the female had a value of  $-145\text{‰}$ . Hence, the male belonged to the southern group, while the female to the northern group (see Materials and Methods) (see also Fig. 1).

In linear models of feather  $\delta^2H$  as a function of mean *Adcyap1* allele size and sex, allele size marginally and negatively predicted feather  $\delta^2H$  ( $F_{1,99} = 4.26$ ,  $P = 0.042$ ; Table 1, Fig. 3). Moreover, females showed significantly lower feather  $\delta^2H$  values than males [ $-110.6\text{‰}$  (30.2 SD) vs.  $-89.7\text{‰}$  (33.0 SD), respectively]. The slope of the relationship between mean allele size and feather  $\delta^2H$  was similar in the two sexes, as testified by the nonsignificant

**Table 1.** Linear models of the effect of *Adcyap1* allele size on migration date, feather  $\delta^2H$ , and wing length. The interaction terms were removed *en bloc* if nonsignificant ( $P > 0.05$ ), and statistics for main effects refer to models without the interaction term. Results were qualitatively similar if the short or long allele size was considered instead of the mean allele size (details not shown for brevity).

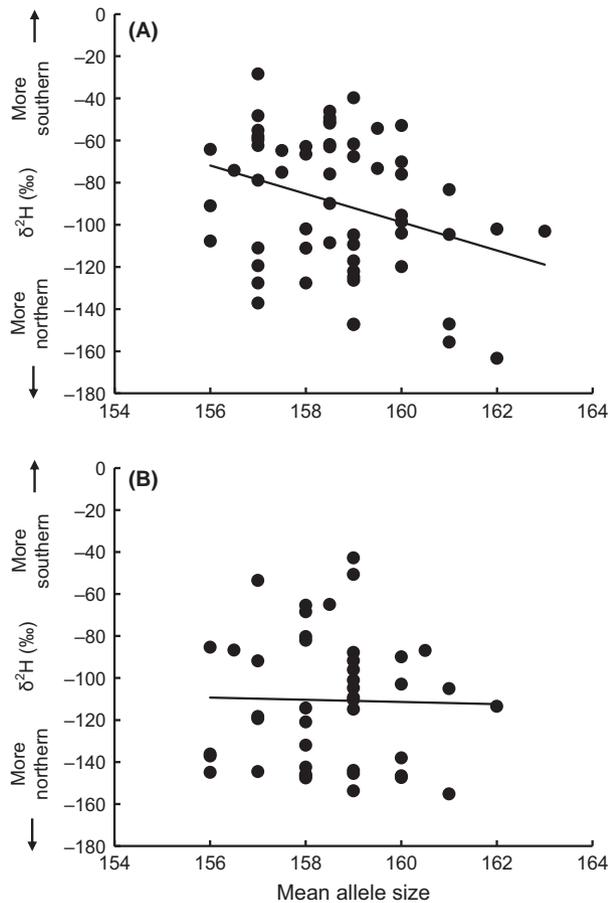
	Estimate (SE)	df	F	P
Migration date ( $n = 102$ )				
Allele size	0.34 (0.86)	1, 98	0.16	0.69
Sex	-14.64 (2.67)	1, 98	30.18	<0.001
$\delta^2H$	-0.21 (0.04)	1, 98	27.93	<0.001
Allele size $\times$ sex	0.21 (1.86)	1, 95	0.01	0.91
Allele size $\times$ $\delta^2H$	-0.01 (0.03)	1, 95	0.09	0.77
Sex $\times$ $\delta^2H$	-0.05 (0.08)	1, 95	0.42	0.52
Feather $\delta^2H$ ( $n = 102$ )				
Allele size	-4.35 (2.11)	1, 99	4.26	0.042
Sex	21.63 (6.35)	1, 99	11.59	0.001
Allele size $\times$ sex	-6.22 (4.32)	1, 98	2.07	0.15
Wing length ( $n = 102$ )				
Allele size	0.28 (0.12)	1, 99	5.60	0.020
Sex	1.49 (0.35)	1, 99	17.74	<0.001
Allele size $\times$ sex	0.21 (0.24)	1, 98	0.78	0.38

interaction between allele size and sex (Table 1). However, the relationship was statistically significant in males ( $r = -0.32$ ,  $P = 0.015$ ,  $n = 58$ ) but not in females ( $r = -0.02$ ,  $P = 0.88$ ,  $n = 44$ ) (Fig. 3).

The results were similar if the size of the long *Adcyap1* allele was used instead of mean *Adcyap1* allele size (Table 1) (effect of allele size:  $F_{1,99} = 5.15$ ,  $P = 0.025$ ). The correlation coefficient (Pearson's  $r$ ) between the long allele size and feather  $\delta^2H$  in males was  $-0.38$  ( $P = 0.004$ ), whereas in females it was  $0.01$  ( $P = 0.97$ ). No statistically significant association emerged between short *Adcyap1* allele size and feather  $\delta^2H$  (correlation coefficients: males,  $r = -0.14$ ,  $P = 0.30$ ; females,  $r = -0.05$ ,  $P = 0.74$ ).

Wing length decreased linearly with feather  $\delta^2H$ , indicating that wing length can be used as a reliable proxy of breeding destination and that more negative feather  $\delta^2H$  values within the observed range of variation were reflecting northern breeding destinations (see also *Stable isotope analyses and feather isoscape of the breeding destination*) [linear model with sex and feather  $\delta^2H$  as predictors, effect of feather  $\delta^2H$ , estimate:  $-0.03$  (0.01),  $F_{1,102} = 56.63$ ,  $P < 0.001$ ; effect of sex:  $F_{1,102} = 55.92$ ,  $P < 0.001$ ; the sex  $\times$   $\delta^2H$  interaction and the quadratic term of feather  $\delta^2H$  were not significant (details not shown)] (see also Q. R. Hays et al., in prep.).

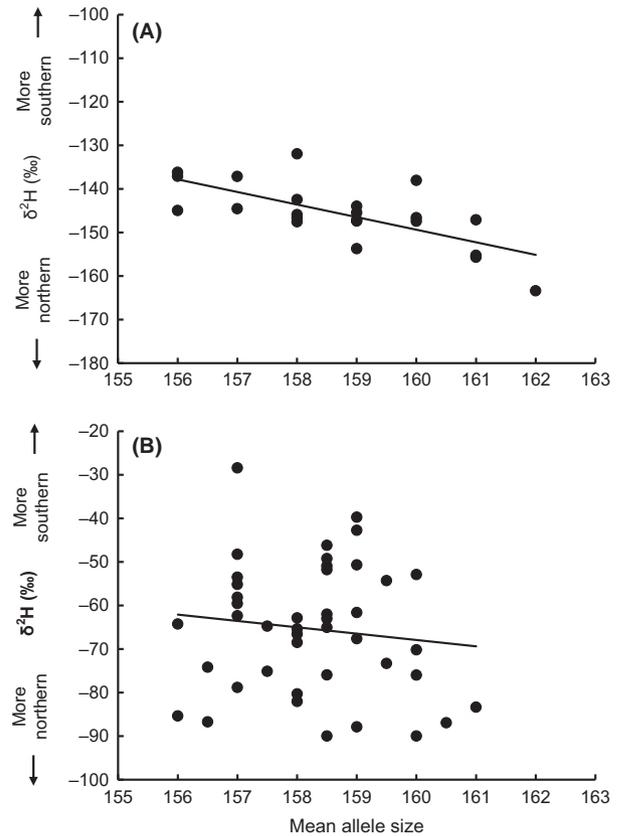
Wing length was significantly (positively) predicted by *Adcyap1* mean and long allele size, while controlling for sex differences in wing length (Table 1; effect of long allele size, estimate:  $0.20$  (0.09),  $F_{1,99} = 5.27$ ,  $P = 0.024$ ). The correlation between wing length and mean or long



**Figure 3.** Wilson's warbler feather  $\delta^2\text{H}$  values in relation to *Adcyap1* allele size in (A) males and (B) females. The lines represent simple linear regressions.

*Adcyap1* allele size was statistically significant in males (mean allele size:  $r = 0.30$ ,  $P = 0.021$ ; long allele size:  $r = 0.33$ ,  $P = 0.011$ ) but not in females (mean allele size:  $r = 0.12$ ,  $P = 0.44$ ; long allele size:  $r = 0.06$ ,  $P = 0.68$ ). No statistically significant association emerged between wing length and short *Adcyap1* allele size (effect of short allele size:  $F_{1,99} = 2.48$ ,  $P = 0.119$ ; correlation coefficients: males,  $r = 0.14$ ,  $P = 0.35$ ; females,  $r = 0.17$ ,  $P = 0.22$ ). However, controlling for breeding destination, *Adcyap1* allele size (mean, long or short) did not significantly predict wing length anymore (all  $P > 0.17$ ); hence, the association between wing length and *Adcyap1* genotype was a spurious effect of the latitudinal variation of wing length.

The strength of the association between *Adcyap1* mean or long (but not short) allele size and feather  $\delta^2\text{H}$ , as gauged by correlation coefficients, significantly differed between the genetically homogeneous clusters of southern and northern birds (Table 2): *Adcyap1* allele size and feather  $\delta^2\text{H}$  were strongly negatively correlated among



**Figure 4.** Correlation between *Adcyap1* allele size and feather  $\delta^2\text{H}$  values in (A) northern or (B) southern birds. The same y-axis range is shown for ease of comparison. The lines represent simple linear regressions.

**Table 2.** Association between *Adcyap1* allele size and feather  $\delta^2\text{H}$  in southern and northern Wilson's warblers. Z-values refer to the test of the difference between the correlation coefficients of southern and northern birds.

	Southern birds (n = 43)	Northern birds (n = 22)	Z	P
Mean allele size	-0.12	-0.69***	2.63	0.009
Short allele size	-0.13	-0.31	0.69	0.490
Long allele size	-0.07	-0.77***	3.44	<0.001

Significance levels of correlation coefficients: \*\*\* $P < 0.001$ .

northern birds, while this was not the case among southern birds (Table 2, Fig. 4). Results were qualitatively unaltered if these comparisons were based on more restrictive cutoffs for northern (i.e.,  $< -140\text{‰}$ ) and southern ( $\geq -80\text{‰}$ ) birds (Fig. 1 and Table S3).

To further rule out that the strong association between inferred breeding latitude and *Adcyap1* allele size among northern birds is confounded by geographical variation in

population genetic structure, we reanalyzed previously published data (Clegg et al. 2003) on (presumably) neutral microsatellite loci from Wilson's warbler breeding in range of northern birds (Table S4). We found no statistically significant association between mean allele size of 8 microsatellite markers and either breeding latitude or predicted feather  $\delta^2\text{H}$  values at the breeding sites (Table S4).

## Discussion

We investigated whether variation at two PCG, *Clock* and *Adcyap1*, affected the timing of spring migration and breeding destination, as inferred from feather  $\delta^2\text{H}$  values. To our knowledge, this is the first study testing whether interindividual variation in migration distance was predicted by *Clock* and *Adcyap1* genetic polymorphism. We found low variability at the *Clock* gene locus, most individuals being homozygous, and the two rare *Clock* heterozygotes were not deviants compared to homozygotes for timing of migration. Hence, rare genetic variants of this *Clock* gene region are not associated with migratory behavior in Wilson's warbler.

The *Adcyap1* 3'-UTR microsatellite was instead highly polymorphic, and longer *Adcyap1* alleles were significantly associated with northern breeding latitudes, especially among males. The association between breeding latitude and *Adcyap1* polymorphism was considerably strong within the genetically homogenous cluster of long-distance migrating northern birds, while it was nonsignificant within the cluster of short-distance migrating southern birds.

Given the extremely low genetic polymorphism at *Clock*, we will frame our discussion around the *Adcyap1*-phenotype association.

### *Adcyap1* polymorphism and timing of migration

Relative timing of spring migration through the study site, accounting for phenological differences among populations, was not associated with *Adcyap1* 3'-UTR microsatellite variation. We had no clear predictions on the association between *Adcyap1* polymorphism and timing of migration, as the few previous studies provided mixed or no evidence for links between *Adcyap1* and phenology (see Introduction). However, PACAP is broadly involved in scheduling circadian rhythms (Schwartz and Andrews 2013), and it may activate *Clock*, whose polymorphism covaries with breeding/migration phenology in some species (Liedvogel et al. 2009; Caprioli et al. 2012; Bazzi et al. 2015; Bourret and Garant 2015; Saino et al. 2015). The lack of any statistically detectable association

between spring migration phenology and *Adcyap1* polymorphism may possibly indicate that phenology in this species is mostly shaped by other PCG, or by environmental modifications of gene expression via epigenetic mechanisms (Joska et al. 2014). Alternatively, phenology could be under the control of several genes with small additive effects (Manolio et al. 2009), as hypothesized for reproduction and other complex life-history traits (Visser et al. 2010; Liedvogel et al. 2012).

### *Adcyap1* polymorphism and breeding destination

The observation of a relatively strong association between male *Adcyap1* polymorphism and breeding destination constitutes the first evidence of a link between interindividual variation in migration distance and PCG in wild birds. *Adcyap1* microsatellite polymorphism explained ca. 10% of the variance in male breeding destination, a remarkable value compared to studies analyzing genotype-phenotype associations in the wild (Fondon et al. 2008; Bourret and Garant 2015; Saino et al. 2015).

The association between *Adcyap1* allele size and breeding destination may be the outcome of both inter- and intrapopulation effects. For instance, *Adcyap1* may show a geographical cline, with northern birds having longer alleles than southern ones, but also, within populations, a pattern of individual birds bearing longer alleles migrating over longer distances. The observed difference in the strength of the association between allele size and feather  $\delta^2\text{H}$  between the previously identified genetically homogeneous clusters of southern and northern birds suggests that within-population rather than between-population effects may drive the observed covariation between *Adcyap1* allele size and breeding destination in the entire sample. In line with this, although the two groups were genetically differentiated based on the  $F_{\text{ST}}$  statistic, the mean *Adcyap1* allele size did not significantly differ between northern and southern birds.

The remarkably strong association between *Adcyap1* polymorphism and breeding destination in long-distance migrating northern birds (ca. 50% of variance explained; Table 2) compared to short-distance migrating southern ones is intriguing. Previous evidence suggested that migratory behavior is under more strict endogenous (genetic) control in long-distance vs. short-distance migrants, because short-distance migrants typically show larger phenotypic variance in migratory behavior than long-distance migrants (Berthold 1996; Pulido and Widmer 2005). This has been hypothesized to result from strong stabilizing selection and environmental canalization

of migratory traits (Pulido and Widmer 2005; Pulido 2007). Our findings suggest that a stronger endogenous control of migratory behavior in long-distance migrants may also involve a tighter genotype–phenotype link for PCG. As these tests were conducted within previously identified genetically homogenous clusters (see Materials and Methods), the distinct spatial genetic structuring of the Wilson’s warbler population complex did not confound our findings.

The association between *Adcyap1* genotype and breeding destination differed between the sexes. Using the entire sample of birds, *Adcyap1* allele size significantly predicted breeding destination in males but not in females. PCG may thus control migratory behavior in a sex-specific way, as shown earlier for *Clock* and other genes (Caprioli et al. 2012; Bourret and Garant 2015). We could rule out that the lack of statistically significant genotype–phenotype association among females arose from the larger accidental subsampling of southern females. In fact, even when restricting the analysis to the northern birds ( $n = 22$ ), in spite of the low sample size (6 males and 16 females), the association between *Adcyap1* polymorphism and feather  $\delta^2\text{H}$  was still stronger among males ( $r = -0.89$ ) than females ( $r = -0.50$ ). We could also rule out that feather  $\delta^2\text{H}$  differently predicted actual breeding destination in the two sexes: the covariation between feather  $\delta^2\text{H}$  and wing length was remarkably similar and statistically significant in both sexes (see also Q. R. Hays et al. in prep.).

Finally, we found that the effect of *Adcyap1* genotype on breeding latitude was consistent when we considered both the mean and the longer allele size, while no significant association emerged for the shorter allele size. This suggests a genetic dominance of the longer allele, a feature observed in the *Clock* gene (Liedvogel et al. 2009; Saino et al. 2015) and in other simple sequence repeats (Ross 2002; Fondon et al. 2008).

### Potential mechanisms driving the association between *Adcyap1* polymorphism and breeding destination

The mechanisms linking *Adcyap1* polymorphism to migration traits are largely unknown and have been only sparsely addressed (Mueller et al. 2011; Peterson et al. 2013; Saino et al. 2015). We envisage two nonexclusive pathways that may lead to an association between *Adcyap1* polymorphism and breeding destination among long-distance migrating birds. These pathways rest on the assumption that the main determinant of migration distance in passerines is the amount and/or onset of migratory restlessness during their first southward migration (Gwinner 1996; Newton 2008).

First, a latitudinal cline of *Adcyap1* allele size may reflect adaptation to local photoperiodic conditions triggering migratory behavior from the highly seasonal northern breeding quarters at the most appropriate time of the year at different latitudes. In fact, PACAP is involved in conveying light information to the suprachiasmatic nucleus of the hypothalamus and modulates photoperiodic circadian genes (Hannibal et al. 1997; Nagy and Csernus 2007; Racz et al. 2008). *Adcyap1* length polymorphism may thus influence circadian genes’ expression (Nagy and Csernus 2007; Racz et al. 2008) and regulate the onset of autumn migratory autumn restlessness. As the duration of autumn migratory restlessness mostly depends on the timing of its onset (Maggini and Bairlein 2010), *Adcyap1* could affect the onset rather than the duration of autumn restlessness in birds breeding at different latitudes. Unfortunately, the association between the onset of migratory restlessness and *Adcyap1* polymorphism was not tested in previous studies (Mueller et al. 2011; Peterson et al. 2013). Evidence from juvenile dispersing common buzzards may support this hypothesis. In this species, juveniles carrying longer *Adcyap1* alleles disperse earlier than those bearing shorter alleles (Chakarov et al. 2013). As both dispersal and migration rely on increased behavioral “restlessness” (Ritchison et al. 1992), we argue that *Adcyap1* polymorphism influences the onset of restlessness rather than its duration.

Second, PACAP may affect migratory restlessness, and hence, the distance to be migrated from different breeding latitudes in autumn, by modulating melatonin secretion (Simonneaux et al. 1993) and lipid utilization, while inhibiting feeding behavior (Tachibana et al. 2003, 2007). PACAP could cause a phase shift of the endogenous oscillators from day-to-night activity through melatonin modulation, a major determinant of migration onset in passerines (Fusani and Gwinner 2005; Mueller et al. 2011), and a fuel shift to lipid metabolism, which may influence nutritional state and night migratory activity (Coppack and Bairlein 2011; Schwartz and Andrews 2013). Longer *Adcyap1* alleles may induce a decrease of melatonin release over longer periods, extending migratory restlessness and thus the distance migrated (Coppack and Bairlein 2011).

### Concluding remarks

By taking advantage of feather  $\delta^2\text{H}$  gradients throughout the breeding range of Wilson’s warbler in western North America, we discovered the evidence of a very strong latitudinal cline in *Adcyap1* microsatellite polymorphism among the genetically homogeneous cluster of northern breeding birds, that were also genetically differentiated at this PCG from southern breeding ones. We also uncov-

ered that the strength of *Adcyap1*–phenotype association may vary widely among geographical populations and even between the sexes, suggesting that sex-specific selective pressures may affect PCG–phenotype associations. Importantly, between-sex and among-population variation in *Adcyap1*–phenotype associations may contribute to explain the often inconsistent findings of previous studies investigating the link between PCG polymorphism and migratory behavior.

On the whole, results from this study deepen our understanding of the genetic basis of avian migration, as they are consistent with the hypothesis that *Adcyap1* is involved in the regulation of migratory behavior, and may have far-reaching evolutionary implications. For instance, our finding of stronger genetic differentiation for the *Adcyap1* microsatellite than for neutral microsatellite markers between short- and long-distance migratory Wilson's warblers is coherent with a previous study comparing genome-wide patterns of divergence between short- and long-distance migrating populations of the Swainson's thrush (*Catharus ustulatus*) (Ruegg et al. 2014a). That study reported that several migration-linked genes, including *Adcyap1*, showed a stronger pattern of single nucleotide polymorphism (SNP) differentiation between short- and long-distance migrants compared to all the other SNPs from autosomal genes. Coupled with the observation of a strong latitudinal cline of allele size among long-distance migrating northern birds, a significant differentiation of the *Adcyap1* microsatellite between short- and long-distance migrating Wilson's warblers provides support for a role of migration-linked genes and migratory behavior as drivers of population differentiation, promoting reproductive isolation and eventually leading to speciation (Liedvogel et al. 2011; Ruegg et al. 2014a).

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## Conflict of Interest

None declared.

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## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Appendix S1.** Determination of feather  $\delta^2\text{H}$  and genetic analyses.

**Appendix S2.** Comparison of  $F_{ST}$  of neutral microsatellites (from Clegg et al. 2003) between southern and northern Wilson's warblers.

**Table S1.** Wilson's warbler *Adcyap1* allele frequencies, observed heterozygosity ( $H_o$ ) and mean (SE) allele size.

**Table S2.** Phenotypic distribution of migration date of male and female Wilson's warblers homozygotes for *Clock*.

**Table S3.** Variation in the strength of the association between *Adcyap1* allele size and feather  $\delta^2\text{H}$  value among southern and northern Wilson's warblers based on differ-

ent thresholds to identify southern and northern birds.

**Table S4.** Correlation between mean allele size at 8 neutral microsatellite markers (from Clegg *et al.* 2003) and breeding latitude or predicted feather  $\delta^2\text{H}$  of the breeding site.

## Supporting Information

### ***Adcyap1* polymorphism covaries with breeding latitude in a Nearctic migratory songbird, the Wilson's warbler (*Cardellina pusilla*)**

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#### **List of items:**

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**Table S4.** Correlation between mean allele size at 8 neutral microsatellite markers (from Clegg *et al.* 2003) and breeding latitude or predicted feather  $\delta^2\text{H}$  of the breeding site.

## **Appendix S1.** Determination of feather $\delta^2\text{H}$ and genetic analyses

### *Determination of feather $\delta^2\text{H}$*

Tail feathers were first washed in a 2:1 chloroform/methanol solution to remove surface oils, rinsed and air dried. A small sample (ca. 0.35 mg) was cut from the distal vane of the feather and transferred to a silver capsule. In order to avoid uncontrolled exchange between non carbon-bound hydrogen in the feathers and ambient water vapor,  $\delta^2\text{H}$  analyses were performed using the comparative equilibration approach reported in Wassenaar and Hobson (2003). Feather and keratin standard  $\delta^2\text{H}$  values were analysed on  $\text{H}_2$  gases produced by flash pyrolysis under He flow. Within each feather run, we measured 5 replicates of the Environment Canada keratin reference materials CBS (-197‰), SPK (-121.6‰) and KHS (-54.1‰). Based on replicate measurements of standards, measurement error was estimated as  $\pm 2\%$ .

### *Genetic analyses*

DNA was extracted from feathers using the Dneasy® Blood & Tissue Kit (Qiagen, Hilden, Germany) following manufacturer's instructions (i.e. Purification of Total DNA from Animal Tissues protocol), except for pre-treatment and elution steps. The basal portion (i.e., the portion of feather calamus from the tip to 2-3 mm above the superior umbilicus) of rectrices and breast contour feathers was isolated and incubated for at least six hours in sterile NaCl solution (0.9%) in agitation at 4°C. After rehydration, the calamus was chopped in small pieces on a sterile glass slide by using sterile instruments and incubated overnight in lysis buffer. After purification steps, DNA was eluted in 50  $\mu\text{l}$  of deionized water (molecular biology grade) and DNA quality and concentration were measured fluorometrically with a NanoDrop™ 1000 Spectrophotometer (Thermo Scientific, USA). Polymorphism at the *Clock* and *Adcyap1* genes (allele size due to the number of sequence repeats) were determined by fragment analysis as described in Caprioli *et al.* (2012) and Saino *et al.* (2015), with some modifications. Differently from these two previous studies, given the lower DNA concentration of the collected samples, PCRs were conducted starting from 10 ng of DNA by using puReTaq Ready-To-Go PCR beads (Amersham Bioscience, Freiburg, Germany) in a 25  $\mu\text{l}$  reaction according to the manufacturer's

instructions. Moreover, for both markers, the final elongation step (72°C) of PCR thermal profile was prolonged up to 30 minutes. PCR products (1 µl) were mixed with 12 µl of formamide and 0.2 µl of LIZ-500 size standard (Applied Biosystems, Foster City, CA, USA) and then analyzed on an ABI 3130 automated sequencer (Applied Biosystems).

The allele sizes for each locus were identified using Genemapper 4.0 software (Applied Biosystems). Genotyping (i.e. amplification and fragment electrophoresis) was repeated in samples with low or missing peaks at least two times before excluding them from the dataset.

**Appendix S2.** Comparison of  $F_{ST}$  of neutral microsatellites (from Clegg *et al.* 2003) between southern and northern Wilson's warblers.

We reanalysed microsatellite data previously published by Clegg *et al.* (2003) to estimate  $F_{ST}$  at (presumably) neutral loci from breeding birds belonging to the same northern and southern population clusters of our study. We considered the data from the populations labelled JUN, WA and BC in Table 1 of Clegg *et al.* (2003) as belonging to the northern population cluster (n = 42 individuals), whereas the population labelled CA was considered as belonging to the southern population cluster (n = 17 individuals). As in Clegg *et al.* (2003), for estimating  $F_{ST}$  we discarded data from three out eight microsatellites because of a significant heterozygote deficit. The estimated mean  $F_{ST}$  value across all 5 loci was -0.008 (95% bootstrap confidence intervals: -0.019 to 0.001) and not significantly different from 0 ( $P = 0.05$ ). Hence, the  $F_{ST}$  point estimate for the *Adcyap1* microsatellite (0.045), besides being significantly different from 0, was considerably larger (outside the 95% confidence intervals) than the mean  $F_{ST}$  of the other five neutral microsatellites. Such a low level of genetic differentiation based on neutral microsatellites is in line with the lack of detectable divergence among western breeding populations of the Wilson's warbler originally reported in Clegg *et al.* (2003). We note that significant patterns of genetic divergence among western breeding populations was later uncovered by Ruegg *et al.* (2014) by adopting a genomic approach.

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**Table S1.** Wilson’s warbler *Adcyap1* allele frequencies, observed heterozygosity ( $H_o$ ) and mean (SE) allele size.

	154	156	157	158	159	160	161	162	164	$H_o$	Mean allele size
<i>Adcyap1</i>	0.010	0.225	0.118	0.240	0.020	0.211	0.005	0.147	0.025	0.775	158.58 (0.16)

**Table S2.** Phenotypic distribution of migration date of male and female Wilson’s warblers homozygotes for *Clock*. Migration date is expressed as residuals of a linear regression of migration date on  $\delta^2\text{H}$ , separately for each sex, in order to control for the effect of geographical origin on migration date (see Fig. 3). Mean values are shown together with SD (round brackets) and non-parametric bootstrap 95% confidence limits (see Methods) (square brackets). The values for the two heterozygote individuals are shown on the rightmost column: both values fall within the 95% confidence limits of the homozygous males and females, respectively.

	Homozygotes	Heterozygote
Males (n = 55)	0.01 (12.83) [-2.98 to 3.74]	-0.30
Females (n = 45)	0.05 (12.12) [-3.68 to 3.43]	-2.31

**Table S3.** Variation in the strength of the association between *Adcyap1* allele size and feather  $\delta^2\text{H}$  among southern and northern Wilson’s warblers based on different thresholds to identify southern (S) and northern birds (N) [N: feather  $\delta^2\text{H} < -130$  ‰ or  $< -140$  ‰; S: feather  $\delta^2\text{H} \geq -80$  ‰ or  $\geq -90$  ‰; see Methods for details]. Numbers in parentheses denote sample sizes. Pairs of letters highlight statistically significant ( $P < 0.01$ ) Z-tests of the difference between correlation coefficients of southern and northern birds, with letters indicating different correlations (superscripts). Significance levels of correlation coefficients: \* =  $P < 0.05$ ; \*\* =  $P < 0.01$ ; \*\*\* =  $P < 0.001$ .

	S ( $\geq -80$ ‰) <sup>a</sup>	S ( $\geq -90$ ‰) <sup>b</sup>	N ( $< -130$ ‰) <sup>c</sup>	N ( $< -140$ ‰) <sup>d</sup>	Z-test
Mean allele size	0.07 (35)	-0.12 (43)	-0.69 (22)***	-0.71 (17)**	ac-ad-bc-bd
Short allele size	-0.05 (35)	-0.13 (43)	-0.31 (22)	-0.40 (17)	
Long allele size	0.05 (35)	-0.07 (43)	-0.77 (22)***	-0.73 (17)**	ac-ad-bc-bd

**Table S4.** Correlation between mean allele size at 8 neutral microsatellite markers (from Clegg *et al.* 2003) and breeding latitude or predicted feather  $\delta^2\text{H}$  of the breeding site. Microsatellite data refer to the breeding populations labeled JUN, WA and BC in Table 1 of Clegg *et al.* (2003). These populations occur in the latitude range spanning between 48°N and 58°N, corresponding to predicted feather  $\delta^2\text{H}$  spanning between -123 ‰ and -157 ‰ based on our feather isoscape (see Fig. 1). Hence, the range of these three populations entirely encompasses the ‘northern breeding populations’ as defined in this study ( $\delta^2\text{H} < -130$  ‰; see Methods). As the original microsatellite data from these three populations were not matched to individual-based isotope data (see Clegg *et al.* 2003), for each breeding population we calculated the predicted mean feather  $\delta^2\text{H}$  from a buffer of 50 km around each breeding site from the raster of the feather isoscape depicted in Fig. 1. Correlation coefficients and sample size (number of individuals; in parentheses) are shown. None of the correlations is statistically significant (all  $P > 0.09$ ).

Locus	Latitude	Feather $\delta^2\text{H}$
<i>WpC6</i>	0.120 (41)	-0.127 (41)
<i>WpD23</i>	0.055 (42)	-0.052 (42)
<i>WpD30</i>	0.133 (41)	-0.126 (41)
<i>WpD4</i>	0.248 (42)	-0.240 (42)
<i>Dpμ01</i>	0.212 (41)	-0.198 (41)
<i>Dpμ03</i>	-0.085 (42)	0.084 (42)
<i>Dpμ05</i>	-0.119 (39)	0.121 (39)
<i>Dpμ16</i>	-0.257 (41)	0.265 (41)



## CHAPTER 3

### **Sex-specific associations between *Clock*, *Npas2* and *Creb1* allelic variation, timing of spring migration and moult speed in the willow warbler (*Phylloscopus trochilus*)**

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Manuscript to be submitted



Photo: Andrea Galimberti

1           **Sex-specific associations between *Clock*, *Npas2* and *Creb1***  
2           **allelic variation, timing of spring migration and moult speed**  
3           **in the willow warbler (*Phylloscopus trochilus*)**

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24 **Keywords:** *Adcyap1*, candidate genes, phenology, ptilochronology

25 **Abstract**

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27 The timing of major life-history events, such as migration and moult, is set by endogenous circadian  
28 and circannual clocks, that have been well characterized at the molecular level. Conversely, the  
29 genetic sources of variation in phenology and in other behavioural traits have been sparsely  
30 addressed. It has been proposed that inter-individual variability in the timing of seasonal events may  
31 arise from allelic polymorphism at phenological candidate genes involved in the signalling cascade  
32 of the endogenous clocks. In this study of a long-distance migratory passerine bird, the willow  
33 warbler (*Phylloscopus trochilus*), we investigated whether allelic variation at four candidate genes  
34 (*Adcyap1*, *Clock*, *Creb1* and *Npas2*), predicted two major components of the annual schedule,  
35 timing of spring migration across the central Mediterranean sea and moult speed, the latter gauged  
36 from ptilochronological analyses of tail feathers moulted in the African winter quarters. *Npas2* and  
37 *Clock* allele size predicted spring migration date, with individuals bearing longer alleles migrating  
38 significantly earlier than those bearing shorter alleles. The allele size-phenology association was  
39 statistically significant for males only for *Npas2* gene and for females (but not for males) for *Clock*  
40 gene. Moreover, *Creb1* allele size significantly predicted male (but not female) moult speed, longer  
41 alleles being associated with faster moult. All other genotype-phenotype associations were  
42 statistically non-significant. These findings provide new evidence for a role of candidate genes in  
43 modulating the phenology of different circannual activities in long-distance migratory birds, and for  
44 the occurrence of sex-specific candidate gene effects.

45

## 46 **Introduction**

47

48 The annual schedule of migratory birds is controlled by an endogenous program, which is  
49 synchronized with seasonal changes primarily by daily changes in photoperiod (e.g. Gwinner 1986,  
50 Gwinner 2003, Sharp 2005, Pulido 2007, Visser et al. 2010). The endogenous clock that modulates  
51 circadian and circannual rhythmicity has been extensively studied in several organisms, from  
52 prokaryotes to vertebrates, and the genes controlling such mechanisms have been well characterized  
53 (Bell-Pedersen et al. 2005). Conversely, the genetic bases of phenotypic variation in the timing of  
54 seasonal events are poorly understood, and only a few genes have been rather firmly linked to  
55 phenological variability in wild organisms (e.g. the Clock gene Liedvogel et al. 2009, Caprioli et al.  
56 2012, Saino et al. 2015a).

57 It has been suggested that differences in the timing of life-history events among individuals  
58 could arise from polymorphism at genes involved in the signalling cascade of the endogenous clock  
59 (Visser et al. 2010). Studies of among-individuals and among-populations phenological variability  
60 in vertebrate species have mainly focused on length polymorphism at four candidate genes, namely  
61 *Adcyap1*, *Clock*, *Creb1* and *Npas2* (e.g. Liedvogel et al. 2009, O'Malley et al. 2010, Caprioli et al.  
62 2012, Chakarov et al. 2013, Bourret and Garant 2015). *Clock* and its paralogue *Npas2* are two  
63 components of the endogenous clock and show a polymorphic polyglutamine (poly-Q) repeat  
64 sequence in their exonic regions (Fidler and Gwinner 2003, Steinmeyer et al. 2009). On the other  
65 hand, short tandem repeat sequences at 3' UTR have been reported for *Creb1*, a transcription factor  
66 involved in the light-induced clock entrainment (Gau et al. 2002, Tischkau et al. 2003), and  
67 *Adcyap1*, encoding for PACAP, a neurotransmitter with several biological functions related to the  
68 circadian and circannual rhythmicity (Simonneaux et al. 1993, Hannibal et al. 1997, Nagy and  
69 Csernus 2007, Racz et al. 2008, Schwartz and Andrews 2013).

70 In birds, allele size variations at *Clock* and *Npas2* have been linked with differences in the  
71 timing of breeding among individuals, longer alleles being associated with delayed reproduction

72 and in some species with shorter incubation periods (Liedvogel et al. 2009, Caprioli et al. 2012,  
73 Bourret and Garant 2015, but see Liedvogel and Sheldon 2010, Dor et al. 2012). Moreover, timing  
74 of migration (Bazzi et al. 2015, Saino et al. 2015a) and winter moult (Saino et al. 2013) was delayed  
75 among individuals bearing longer *Clock* alleles in some long-distance migratory bird species.  
76 Polymorphism at *Adcyap1* and *Creb1* genes was found to be associated with juvenile dispersal  
77 behaviour in buzzards (*Buteo buteo*): individuals dispersing earlier carried longer *Adcyap1* alleles  
78 and shorter *Creb1* alleles than those dispersing later (Chakarov et al. 2013). Furthermore *Creb1*  
79 allele size was related to incubation duration in male tree swallows (*Tachycineta bicolor*), though in  
80 combination with spring temperatures only (Bourret and Garant 2015). Finally, *Adcyap1* allele size  
81 was associated with laying date in female tree swallows; however, the direction of the association  
82 varied with latitude, being negative at lower latitudes but becoming positive at higher latitudes  
83 (Bourret and Garant 2015). Although other studies did not find any relationship between candidate  
84 genes and phenology (e.g. Liedvogel and Sheldon 2010, Dor et al. 2012), there is evidence that  
85 polymorphism at some of such genes is associated with other behavioural traits that may be  
86 indirectly linked to circannual rhythms and/or photoperiodic response, such as migratory  
87 restlessness and migration distance (Mueller et al. 2011, Peterson et al. 2013, Bazzi et al. 2016).  
88 Moreover, a latitudinal cline in the frequency of alleles of different length has been reported for  
89 *Clock* and *Adcyap1* in a few species (Johnsen et al. 2007, Bazzi et al. 2016, but see Kuhn et al.  
90 2013); allele size of both candidate genes increased northwards, hinting a possible role of  
91 polymorphism in the adaptation to different photoperiodic regimes or to the timing of breeding  
92 season, that is delayed and shorter at higher latitudes (Gwinner 1986, Berthold 1996, Johnsen et al.  
93 2007, Bazzi et al. 2016).

94 On the whole, these findings suggest that candidate genes polymorphism may underlie  
95 variability in the timing of life-history events through the whole life-cycle of birds and at different  
96 life stages, but the general picture is still patchy. In this study of the willow warbler (*Phylloscopus*  
97 *trochilus*) we aimed at assessing whether length polymorphism at five candidate genes (the

98 previously studied *Adcyap1*, *Clock*, *Creb1* and *Npas2* genes and a newly identified polymorphic  
99 region of *Clock* gene, *Clock3*) predicted the timing of spring migration and the speed of winter  
100 moult, as assessed by measuring the growth rate of tail feathers by means of ptilochronological  
101 techniques (Grubb 2006). We assumed that a larger feather growth rate corresponds to a faster  
102 moult (Bensch and Grahn 1993, de La Hera et al. 2009). The willow warbler, a small (ca. 10 g)  
103 trans-Saharan migratory passerine that breeds in Eurasia at medium-high latitudes and overwinters  
104 in sub-Saharan Africa, is among the few species that perform two complete annual moults, one of  
105 which occurs during winter, while the birds are in Africa (Underhill et al. 1992). Birds leave for  
106 spring migration in late February-March and reach the breeding grounds in mid-March to late May  
107 (Cramp 1998), and were sampled during spring migration across the central Mediterranean sea. We  
108 expected migration date to be delayed among birds with longer *Clock* and *Npas2* alleles.  
109 Conversely, due to the variable genotype-phenotype associations detected by previous studies, we  
110 had no clear predictions on the allele size-phenology or feather growth rate association for the other  
111 candidate genes.

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113

## 114 **Materials and Methods**

115

### 116 **Field methods**

117 Willow warblers were sampled at Ventotene (40°48'N – 13°25'E), a small island located in the  
118 central Mediterranean Sea, ca. 50 km off the Italian coast. We captured birds during the period  
119 March 22 -May 27 2013; the sampling period encompassed the entire spring migration period of the  
120 study species at Ventotene (Spina et al. 1993, Messineo et al. 2001, Saino et al. 2010). Birds were  
121 trapped using mist-nets following standard capture protocols and banded with metal rings (Spina et  
122 al. 1993, Saino et al. 2010). Wing length (length of the 8<sup>th</sup> outermost primary feather, Jenni and  
123 Winkler 1994) and tarsus length were recorded for all birds. Wing length and tail length (but not

124 tarsus length) can be used as proxies of breeding destination among willow warblers breeding in  
125 Fennoscandia (Bensch et al. 1999): both wing and tail length strongly increased with breeding  
126 latitude ( $r^2 > 0.58$ ). Since the willow warblers migrating through the central Mediterranean are  
127 directed mostly towards Fennoscandia (Jonzén et al. 2006a, Jonzén et al. 2006b), we used wing  
128 length and tail feather length (see Ptilochronological analyses; wing and tail feather length were  
129 strongly positively correlated in our sample of birds: males,  $r = 0.83$ ; females,  $r = 0.87$ ) as proxies  
130 of breeding destination (separately for each sex as males are significantly larger than females; see  
131 Cramp 1998 and Results). Birds usually rest on Ventotene for a few hours before resuming their  
132 travel towards breeding quarters (Goymann et al. 2010, Tenan and Spina 2010). We considered  
133 only first capture dates (i.e. we excluded recaptures of birds previously ringed at the study site  
134 during the same season). We assumed that the distribution of first capture dates reflects the  
135 phenology of species's timing of spring migration at Ventotene (see Saino et al. 2010, Saino et al.  
136 2015a).

137 We aimed at sampling ca. 100 individuals, evenly distributed along the whole spring  
138 migration season. According to the number of willow warblers captured during the previous years  
139 (2006-2011), we sampled one every eight individuals (see Saino et al. 2010, Saino et al. 2015a). For  
140 each individual we collected a small blood (ca. 10-30  $\mu$ l, collected in heparinized capillary tubes  
141 and stored at -20 °C) or feather (3/4 undertail coverts, stored in 99% ethanol at room temperature)  
142 sample as a source of DNA. Moreover, the fourth outermost rectrix (Jenni and Winkler 1994;  
143 hereafter R<sub>4</sub>) was collected and stored in individual bags for ptilochronological analyses.

144

#### 145 **Ptilochronological analyses**

146 Moults speed was indirectly assessed by measuring growth bar width (GBW) on R<sub>4</sub> (see de La Hera  
147 et al. 2009). A single feather growth bar consists of one light band and one dark band, which  
148 correspond to the portion of the feather grown during a single night-day cycle (Brodin 1993). Wider  
149 growth bars reflect faster feather growth (Grubb 2006). Although moult speed depends on the

150 number of feather growing simultaneously, as well as on the individual feather growth rate, it has  
151 been shown that individuals with high feather growth rates moult many feathers at the same time  
152 (Bensch and Grahn 1993). Hence, we can assume that GBW could be safely used to analyze moult  
153 speed (see de La Hera et al. 2009). We measured the width of six bars, three on either side of a  
154 point located at two thirds of the feather length (modified from Grubb 2006 and de la Hera et al.  
155 2009 according to the number of growth bars clearly recognizable on willow warblers' R<sub>4</sub>). Total  
156 bars width was measured with a digital caliper (in mm, 0.01 mm resolution) on the dorsal surface of  
157 the vane. GBW was expressed as total bars width/6. In order to avoid any bias, all feather measures  
158 were taken by the same person (SP). Repeatability of GBW, as assessed on a sample of feathers  
159 measured twice, was very high ( $n = 20$ ,  $r = 0.96$ ,  $P < 0.001$ ).

160 After measuring GBW, feathers were taped to tracing paper across the shaft, and scanned; tail  
161 feather length (in mm; 0.01 mm resolution) was measured on the resulting images using the  
162 'segmented line' tool of ImageJ 1.46r software (rsbweb.nih.gov) (Saino et al. 2015b). Individuals  
163 whose feather tips were broken, for which feather length could thus not be measured, were excluded  
164 from moult speed analyses. We obtained GBW from 119 individuals.

165

## 166 **Genetic analyses**

167 Genomic DNA was extracted from blood samples by means of alkaline lysis of 6  $\mu$ l of blood in 100  
168  $\mu$ l of a 50 mM NaOH solution at 100°C for 20 minutes. Extracted DNA was quantified using a  
169 spectrophotometer and diluted to a final concentration of 50–100 ng/ $\mu$ L. Genomic DNA from  
170 feathers was extracted using a commercial kit (5 PRIME, ArchivePure DNA purification kit,  
171 Hilden, Deutschland). The procedure is described in details in Saino et al. (2015a).

172 Willow warblers are sexually size dimorphic (males are larger than females) but sexually  
173 monochromatic (Cramp 1998), and sex cannot be determined in the field. Hence, sex was  
174 determined using CHD1 primers (for DNA extracted from blood samples; details on primers and  
175 PCR amplification in Saino et al. 2015a). As PCR amplification performed on DNA extracted from

176 feathers with CHD1 primers did not produce reliable results, we designed a new set of primers on  
177 *Passer montanus* CHD gene (Sequence ID in GenBank: gb|GU370350.1|): PassexF 5'-  
178 GAGAAACTGTGCAAAACAGG-3' and PassexR 5'-GAGTCACTATCAGATCCAGARTATC-  
179 3'. PCR amplification were performed in a final volume of 15 µl, with 6 µl DNA solution, 1× PCR  
180 buffer (Promega), 1.5 mM of Mg<sup>2+</sup>, 0.3 µl of each primer (stock 10 mM), 1.5 µl of dNTPs (stock 2  
181 mM) and 1 U Taq DNA polymerase (Promega). PCR amplification profile was as follows: 95 °C  
182 for 3 min, 35 cycles at 95 °C for 45 s, 55 °C for 45 s and 72 °C for 50 s and further extension at 72  
183 °C for 5 min. PCR products were then separated on 2.5% agarose gel and visualized after ethidium  
184 bromide staining.

185 We assessed polymorphism at *Adcyap1*, *Creb1* and *Npas2* genes and at two polymorphic  
186 *Clock* gene regions [*Clock1* and *Clock3*; *Clock1* was the region investigated in Johnsen et al. (2007)  
187 and subsequent studies on *Clock* gene polymorphism, while *Clock3* was a newly identified  
188 polymorphic region; see below] by means of PCR amplification followed by fragment analysis.  
189 Primers for *Adcyap1* PCR amplifications were taken from Saino et al. (2015a), whereas *Clock1*  
190 primers are described in Caprioli et al. (2012). Finally, *Creb1* and *Npas2* primers correspond to  
191 those described in Steinmeyer et al. (2009) [with the slight modifications proposed by Bourret and  
192 Garant (2015) for the *Creb1* gene]. The *Clock3* locus was identified by aligning all *Clock* avian  
193 gene sequences available in GenBank and searching for polymorphic regions that vary in number of  
194 CAG repeats; *Clock3* primers (*Clock3F* 5'-TCTGCTGCTTCCCACTACA-3' and *Clock3R* 5'-  
195 ATCAGTCATCTTGTCAGTTCTGTG-3') were designed *ex novo*.

196 PCR amplification was performed using a commercial kit (Quiagen, Multiplex PCR Kit) in a  
197 final volume of 25/26 µl with 12.5 µl 2x QUIAGEN Multiplex PCR Master Mix, 2.5 µl 10x primer  
198 mix (0.5 µl for each primer), 2 µl RNase-free water (for genomic DNA extracted from blood only),  
199 5 µl 5x Q-Solution and 3 µl of DNA solution (6 µl for DNA extracted from feather samples). PCR  
200 amplification profile was: 95 °C for 15 min, 35 cycles at 94 °C for 30 s, 56 °C for 90 s, 72 °C for 60  
201 s and a final extension at 60 °C for 30 min. PCR products were labelled with 6-FAM (*Clock1* and

202 *Creb1*), HEX (*Clock3* and *Npas2*), or TAMRA (*Adcyap1*). Polymorphism at candidate genes was  
203 determined using fragment analysis (MacroGen Inc., Seoul, Republic of Korea) (see Caprioli et al.  
204 2012, Bazzi et al. 2015, Saino et al. 2015a).

205

## 206 **Statistical analyses**

207 First, we tested for deviations from Hardy–Weinberg equilibrium (HWE) for the five loci using the  
208 Markov chain method (Guo and Thompson 1992) implemented in GENEPOP (dememorization =  
209 1000, batches = 100, iterations per batch = 1000). Moreover, we explored genetic differentiation  
210 between the sexes for the five loci separately and for the combination of all loci by estimating  $F_{ST}$   
211 between males and females using Fstat 2.9.3 software (Goudet 2001).

212 Since length polymorphisms detected at the two *Clock* polymorphic regions could affect  
213 phenotype simultaneously, we also considered in the models the sum of *Clock1* and *Clock3* allele  
214 sizes (*sumClock* hereafter). To investigate the association between candidate genes allele size and  
215 migration date, while controlling for variation in migration timing due to sex, we ran six separate  
216 linear models of migration date (1 = January 1) as a function of sex (0 = females, 1 = males) and  
217 *Adcyap1*, *Clock1*, *Clock3*, *sumClock*, *Creb1*, or *Npas2* allele size (mean of long and short allele  
218 size). Each model tested the effect of a single candidate gene on migration date. Since any possible  
219 association between candidate genes may arise from a latitudinal cline of allele size, we accounted  
220 for the possible confounding effects of wing length (that increases with latitude across Europe in  
221 several passerine bird species; Cramp 1998, Bensch et al. 1999, Peiró 2003, Evans et al. 2009,  
222 Tarka et al. 2010), by including wing length as a covariate. Since previous studies highlighted a  
223 dominance of the longer allele in shaping phenology and other phenotypic traits for *Clock* and other  
224 genes with poly-Q polymorphism (Ross 2002, Fondon et al. 2008, Liedvogel et al. 2009, Saino et  
225 al. 2015a), we ran five separate models similar to those described above (for *Adcyap1*, *Clock1*,  
226 *Clock3*, *Creb1* and *Npas2*), in which the long instead of the mean allele size was included as a  
227 predictor.

228 Finally, we tested whether candidate genes allele size predicted GBW. Since GBW and  
229 feather length were strongly correlated ( $r = 0.51$ ,  $P < 0.001$ ), to control for the effect of feather  
230 length on GBW we computed the residuals of a linear regression of GBW on feather length (feather  
231 growth rate, FGR hereafter). We then ran six separate linear models of FGR as a function of sex and  
232 *Adcyap1*, *Clock1*, *Clock3*, *sumClock*, *Creb1*, or *Npas2* allele size. We also tested for the allelic  
233 dominance of the long allele by including long, instead of mean, allele size in a further similar set of  
234 models.

235

236

## 237 **Results**

238

### 239 **Migration phenology and morphology**

240 The willow warbler is a highly protandrous species, with mean migration date of males [99.6 (11.0  
241 SD),  $n = 63$ ] being much earlier than that of females [117.0 (12.5 SD),  $n = 60$ ;  $t_{117.4} = -8.24$ ,  $P <$   
242  $0.001$ ; see also Saino *et al.* 2010]. Males were significantly larger than females for all biometrics  
243 [wing length, males: 53.3 mm (1.9 SD), females: 49.6 mm (1.9 SD); tail feather length, males: 56.5  
244 mm (1.8 SD), females: 52.5 (2.2 SD); tarsus length, males: 19.7 mm (0.7 SD), females: 18.6 mm  
245 (0.6 SD); all  $t > 9.39$ , all  $P < 0.001$ ] (see also Cramp 1998).

246 Wing and tail feather length of males did not significantly vary with migration date [wing  
247 length, estimate: -0.20 (0.22 SE),  $t_{61} = -0.92$ ,  $P = 0.36$ ; tail feather length, estimate: -0.001 (0.002  
248 SE),  $t_{61} = -0.02$   $P = 0.99$ ]. On the other hand, wing and tail length of females significantly declined  
249 with migration date [wing length, estimate: -0.50 (0.19 SE),  $t_{58} = -2.60$ ,  $P = 0.012$ ; tail feather  
250 length, estimate: -0.006 (0.002),  $t_{58} = -2.61$ ,  $P = 0.011$ ]. Tarsus length did not significantly vary  
251 with migration date in both sexes (males, estimate: -0.12 (0.08 SE),  $t_{60} = -1.60$ ,  $P = 0.12$ ; females,  
252 estimate: -0.09 (0.06 SE),  $t_{58} = -1.51$ ,  $P = 0.14$ ). Hence, these analyses suggest that, at least among

253 females, birds from northern populations migrate earlier across the central Mediterranean than those  
254 from southern populations.

255

### 256 **Candidate genes variation**

257 We successfully genotyped 93 to 112 individuals, depending on locus (Table 1). Polymorphism  
258 broadly varied among candidate genes: the *Clock3* locus showed rather low variability, with two  
259 alleles only (108 and 111), one of which accounted for the 90.2% of allelic variability (Table 1); on  
260 the other hand, the *Adcyap1* locus was high variable (Table 1), with 10 alleles detected. The other  
261 candidate genes showed intermediate observed levels of heterozygosity (Table 1). The *Creb1* locus  
262 significantly deviated from the Hardy-Weinberg equilibrium ( $P < 0.001$ ), while this was not the  
263 case for the other loci ( $P > 0.21$ ). Allele frequencies of males and females were similar for all loci,  
264 as indicated by the small  $F_{ST}$  values (*Adcyap1*:  $F_{ST} = 0.001$ ,  $P = 0.20$ ; *Clock1*:  $F_{ST} = -0.002$ ,  $P =$   
265  $0.75$ ; *Clock3*:  $F_{ST} = -0.009$ ,  $P = 0.70$ ; *Creb1*:  $F_{ST} = 0.015$ ,  $P = 0.30$ ; *Npas2*:  $F_{ST} = -0.005$ ,  $P = 0.70$ ;  
266 all loci pooled:  $F_{ST} = 0.008$ ,  $P = 0.25$ ).

267

### 268 **Candidate genes, timing of migration and morphology**

269 *Npas2* allele size predicted migration date in a different way according to sex, as indicated by the  
270 statistically significant sex  $\times$  *Npas2* interaction ( $F_{1,88} = 6.95$ ,  $P = 0.010$ ) in a linear model including  
271 *Npas2* allele size, sex, wing length and the *Npas2*  $\times$  sex interaction as predictors (Table 2). Indeed,  
272 the *Npas2*-migration date association was statistically significant for males but not for females  
273 [males, *Npas2* allele size, estimate: -6.90 (1.96 SE),  $F_{1,44} = 12.47$ ,  $P = 0.001$ ; wing length, estimate:  
274 -0.09 (0.09 SE),  $F_{1,44} = 1.11$ ,  $P = 0.30$ ; females, *Npas2* allele size, estimate: 0.01 (1.47 SE),  $F_{1,43} =$   
275  $0.001$ ,  $P = 0.99$ ; wing length, estimate: -0.13 (0.09 SE),  $F_{1,43} = 1.85$ ,  $P = 0.18$ ]. Conversely, none of  
276 the other candidate genes was statistically significantly associated with migration date (Table 2).  
277 Results were similar if tail feather length was used instead of wing length as a predictor and for  
278 models in which we did not account for morphology (details not shown for brevity). Results for

279 *Adcyap1*, *Clock3*, *Creb1* and *Npas2* were qualitatively similar when using long allele size instead of  
280 mean allele size (details not shown for brevity).

281 On the other hand, in a linear model of migration date as a function of long *Clock1* allele size,  
282 sex and wing length, long *Clock1* allele size significantly and negatively predicted migration date in  
283 combination with sex, as indicated by the statistically significant long *Clock1* allele size  $\times$  sex  
284 interaction [allele size  $\times$  sex, estimate: -2.52 (1.12 SE),  $F_{1,116} = 5.08$ ,  $P = 0.026$ ; other model details  
285 not shown for brevity]. The effect of long *Clock* allele size on migration date was statistically  
286 significant for females, but not for males in sex-specific models including wing length as a  
287 covariate [females, long *Clock1* allele size, estimate: -1.89 (0.87 SE),  $F_{1,56} = 4.79$ ,  $P = 0.033$ ; wing  
288 length, estimate: -0.21 (0.08 SE),  $F_{1,56} = 7.35$ ,  $P = 0.009$ ; males, long *Clock1* allele size, estimate:  
289 0.15 (1.03 SE),  $F_{1,59} = 0.02$ ,  $P = 0.89$ ; wing length, estimate: -0.07 (0.08),  $F_{1,59} = 0.82$ ,  $P = 0.37$ ]  
290 (Fig. 2). All these results were qualitatively unaltered if tail feather length was included in the  
291 models instead of wing length and if we did not account for the possible confounding effects of  
292 morphology (details not shown).

293 Wing and tail feather length did not significantly covary with allele size of any locus in  
294 models separated by either sex (all  $P > 0.08$ ).

295

## 296 **Candidate genes and moult**

297 FGR did not significantly differ between the sexes [males: 0.02 (0.17 SD),  $n = 59$ ; females: 0.01  
298 (0.18 SD),  $n = 58$ ;  $t_{115} = -0.96$ ;  $P = 0.34$ ]. FGR was significantly predicted by *Creb1* allele size in  
299 combination with sex, as gauged by the statistically significant interaction between *Creb1* allele size  
300 and sex (Table 3; Fig. 3). FGR significantly increased with *Creb1* allele size in males but not in  
301 females (Table 3; Fig. 3), indicating that males bearing longer *Creb1* alleles grew their feathers  
302 faster than those bearing shorter *Creb1* alleles. Allele size polymorphism at other candidate genes  
303 did not significantly predict FGR (Table 3). Results were qualitatively unaltered if long, instead of  
304 mean, alleles were included in the models (details not shown for brevity).

## 305 **Discussion**

306

307 We investigated whether allelic variation at five candidate genes (*Adcyap1*, *Clock1*, *Clock3*, *Creb1*  
308 and *Npas2*) predicted the timing of two important life-history activities, namely timing of spring  
309 migration across the central Mediterranean sea and speed of tail feather moult in the African winter  
310 quarters, in the long-distance migrating willow warbler. Allelic variation broadly differed between  
311 candidate genes, from the low values of observed heterozygosity shown by *Clock3* locus to the high  
312 variability of *Adcyap1* gene. *Npas2* allele size affected migration date in male (but not female)  
313 willow warblers, but the relationship was opposite to our expectations based on previous research,  
314 with individuals bearing shorter alleles migrating later through the study site compared with those  
315 bearing longer alleles. Furthermore, long *Clock1* allele size significantly predicted migration date in  
316 females, but not in males; again, the relationship was opposite to expectations, the genotype-  
317 phenology pattern of association being similar to that detected for *Npas2*. Moreover, *Creb1* allele  
318 size significantly predicted FGR, a proxy of overall moult speed, faster moult being associated with  
319 longer *Creb1* alleles in male (but not female) willow warblers.

320 The delayed migration of males bearing shorter *Npas2* alleles was opposite to expectations.  
321 According to the few studies investigating the association between *Npas2* gene polymorphism and  
322 phenology (Chakarov et al. 2013, Bourret and Garant 2015) and the hypothesis that *Npas2* could  
323 overtake *Clock* gene functions, representing an alternative or additional source of adaptive poly-Q  
324 variation for the regulation of timing of seasonal events (Debruyne 2008, Steinmeyer et al. 2009),  
325 we expected *Npas2* allele size to increase with migration date. In addition, the long *Clock* allele  
326 size-migration date association found among females was opposite to our expectations based on  
327 previous research (e.g. Bazzi et al. 2015, Saino et al. 2015a), with individuals bearing longer alleles  
328 migrating earlier compared to those bearing shorter alleles.

329 A possible explanation for this finding is that different willow warbler populations that have  
330 diverged for *Npas2* and *Clock1* migrate through the study site at different times. Since we found

331 that late migrating female willow warblers had shorter wings and tails (but not tarsus length), this is  
332 indeed a possibility. Interestingly, this pattern of wing length decrease during the migration season,  
333 that emerged for other long-distance migratory passerines sampled at the same study site (Saino et  
334 al. 2015a), is different from expectations. In fact, wing length increases with latitude across Europe  
335 in several passerine species (including the willow warbler; Bensch et al. 1999) and northern  
336 populations usually migrate later than southern ones (see e.g. Cramp 1998, Rubolini et al. 2005,  
337 Conklin et al. 2010). The negative association between *Npas2* and long *Clock1* and migration date  
338 could thus originate because of geographic differentiation in *Npas2* and *Clock1* combined with an  
339 unusual differential migration pattern of willow warblers from different populations. However,  
340 wing length did not covary with *Npas2* and *Clock1* allele size, and the relationship between *Npas2*  
341 genotype and male migration, and between long *Clock1* allele size and female phenology, emerged  
342 when controlling for wing length differences (see Results). Hence, the explanation for a negative  
343 association between *Npas2* and *Clock1* allele size and migration date remains elusive.

344 The association between *Clock1* genotype and migration date emerged for long allele size  
345 only, being statistically nonsignificant for the mean allele size. This finding further corroborates the  
346 hypothesis of a dominance of the long allele, that has been suggested in previous studies of *Clock*  
347 and other genes that show poly-Q polymorphism (Ross 2002, Fondon et al. 2008, Liedvogel et al.  
348 2009, Saino et al. 2015a).

349 Feather growth rate was predicted by *Creb1* allele size, longer *Creb1* alleles being associated  
350 with faster moult. Again, the relationship differed between sexes, as gauged by the statistically  
351 significant interaction between sex and *Creb1* allele size. Sampling birds during migration allowed  
352 us to make inferences about the duration of moult by means of ptilochronological analysis of tail  
353 feathers (Grubb 2006); nevertheless, we could not obtain information about the timing of the moult,  
354 i.e. the date of the onset or of the end of plumage moult. Previous research mainly focused on the  
355 onset of life-history events, or their timing at a given stage (e.g. Caprioli et al. 2012, Chakarov et al.  
356 2013, Saino et al. 2013, Saino et al. 2015a), while only a few studies investigated the association

357 between candidate genes and the duration of circannual activities (Liedvogel et al. 2009; Bourret  
358 and Garant 2015; see also Liedvogel and Sheldon 2010; Mueller et al. 2011; Dor et al. 2012;  
359 Peterson et al. 2013). However, studying moult duration in relation to candidate genes  
360 polymorphism could improve our understanding of the genetic regulation of annual scheduling.  
361 Moult requires considerable amounts of resources, and overlap between moult and other circannual  
362 activities is largely avoided by most species (Jenni and Winkler 1994, Hemborg and Lundberg  
363 1998). Hence, in winter moulting species, such as the willow warbler, moult duration may constrain  
364 the timing of spring migration (Hedenström et al. 2007, Møller et al. 2011). Indeed, comparative  
365 studies of trans-Saharan migrants with different moult strategies, showed that species performing a  
366 complete moult during wintering migrate later than those moulting in Europe before autumn  
367 migration (Rubolini et al. 2005).

368 We had no a priori expectation on the possible effect of candidate genes allele size on moult  
369 speed, since the single previous study investigating the relationship between genotype and moult  
370 phenology focused on *Clock* gene only (Saino et al. 2013). However, Chakarov et al. (2013) found  
371 that longer *Creb1* alleles were associated with delayed juvenile dispersal in buzzards. Hence, the  
372 *Creb1* allele size-moult speed association we detected may arise from a delayed onset of plumage  
373 moult among individuals bearing longer *Creb1* alleles. A delayed timing of moult might constrain  
374 its duration, leading to faster feather growth, as demonstrated in small migratory passerines  
375 experimentally subjected to shorter moulting periods by altering photoperiod (e.g. Dawson et al.  
376 2000, Hall and Fransson 2000). Alternatively, we might speculate that *Creb1* allele size may  
377 directly affect moult speed through its involvement in the melanin synthesis pathway, that has been  
378 demonstrated at least in mammals (see e.g. Park et al. 2011). Indeed, it is known that melanin  
379 granules increase feather resistance to abrasion at low metabolic costs, and that non-melanic  
380 feathers should be much more thicker than melanic ones in order to resist to the same amount of  
381 wear (Bonser 1995). Improved melanin synthesis may allow birds to grow feather faster without  
382 reducing their resistance to wear.

383 Our results showed that *Npas2*, *Clock1* and *Creb1* genes had sex-specific phenotypic effects.  
384 Sex-specific effects of candidate genes have been previously highlighted for different life-history  
385 events by several studies (Caprioli et al. 2012, Bourret and Garant 2015, Saino et al. 2015a, Bazzi et  
386 al. 2016). Sex-specific effects may originate because of sex-specific selective pressures on timing of  
387 life-history events. For instance, in proterandrous migratory species, males are subjected to stronger  
388 selective pressures for early arrival at the breeding grounds than females (e.g. Morbey and  
389 Ydenberg 2001, Spottiswoode et al. 2006, Newton 2008, Reudink et al. 2009, Spottiswoode and  
390 Saino 2010). Proximately, sex-specific genotype-phenotype associations may arise because of sex-  
391 specific genetic architecture. For instance, the autosomal genome is shared by both sexes, but gene  
392 expression and regulation is often sexually dimorphic, leading to genotype-sex interactions in  
393 genotype-phenotype association studies (review in Ellegren and Parsch 2007, Ober et al. 2008). An  
394 alternative possibility is that males and females migrating at Ventotene originated from different  
395 breeding populations and that the observed sex-specific genotype-phenotype associations may  
396 instead originate because of population-specific candidate gene effects. However, the lack of  
397 genetic differentiation at candidate genes between the sexes (both for single loci and for the  
398 combination of the five loci) argues against this possibility.

399 To conclude, our study provides novel insights into avian migratory phenotype-genotype  
400 associations for a large set of candidate genes. Our findings suggest that different candidate genes  
401 may contribute to regulating different life-history events in a sex-specific fashion, and that  
402 candidate gene polymorphism underlies among-individuals variation in phenology throughout the  
403 annual cycle. Intriguingly, the association between *Creb1*, a candidate gene which constitutes a key  
404 element for the light entrainment of the endogenous clock, and moult speed, a life-history event that  
405 occurs at equatorial latitudes, may suggest that daylength plays a role in the synchronization of  
406 circadian and circannual rhythms of birds even where daily changes in photoperiod are small.

407

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412

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593 **Table 1.** List of candidate genes, sample size (N), number of the alleles observed at each locus (K),  
 594 range of alleles length (alleles range, in bp), mean allele size (in bp, with associated standard error,  
 595 in brackets) and observed heterzygosity ( $H_o$ ).

Candidate gene	N	K	Alleles range	Mean allele size (SE)	$H_o$
<i>Adcyap1</i>	112	10	160-176	170.21 (0.22)	0.83
<i>Clock1</i>	121	5	114-126	120.03 (0.14)	0.47
<i>Clock3</i>	97	2	108-111	108.29 (0.06)	0.15
<i>Creb1</i>	92	4	271-277	274.01 (0.10)	0.50
<i>Npas2</i>	93	5	166-178	172.37 (0.11)	0.38

596

597 **Table 2.** Linear models of the effect of candidate genes allele size on migration date (1 = January  
598 1). The interaction terms were removed *en bloc* if nonsignificant ( $P > 0.05$ ), and statistics for main  
599 effects refer to models without the interaction term.

Variable	Estimate (SE)	df	<i>F</i>	<i>P</i>
<i>Adcyap1</i> allele size	0.19 (0.50)	1, 104	0.15	0.70
<i>Clock1</i> allele size	-0.80 (0.68)	1, 115	1.38	0.24
<i>Clock3</i> allele size	0.13 (1.93)	1, 89	0.01	0.95
<i>sumClock</i> allele size	-0.65 (0.79)	1, 89	0.67	0.41
<i>Creb1</i> allele size	0.96 (1.28)	1, 85	0.56	0.46
<i>Npas2</i> allele size	-5.51 (1.86)	1, 84	4.88	0.020
Sex	-983.19 (416.12)	1, 84	5.58	< 0.001
Sex × <i>Npas2</i> allele size	5.77 (2.41)	1, 84	5.73	0.019

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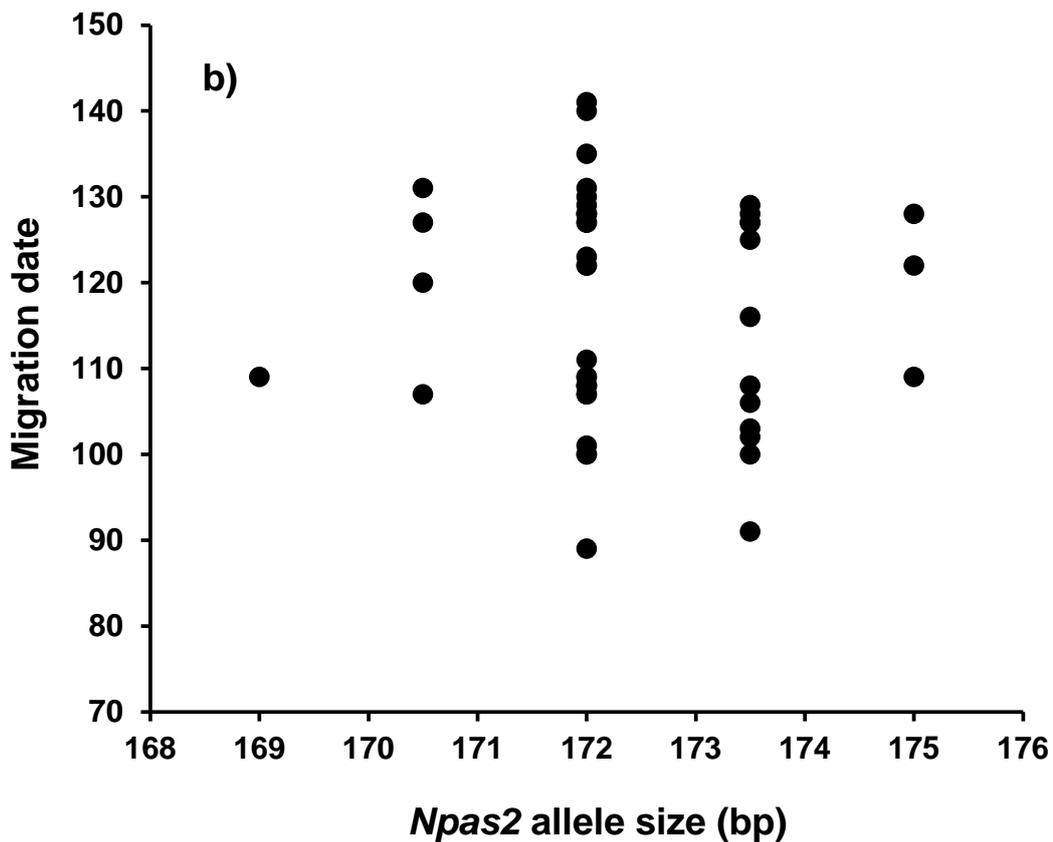
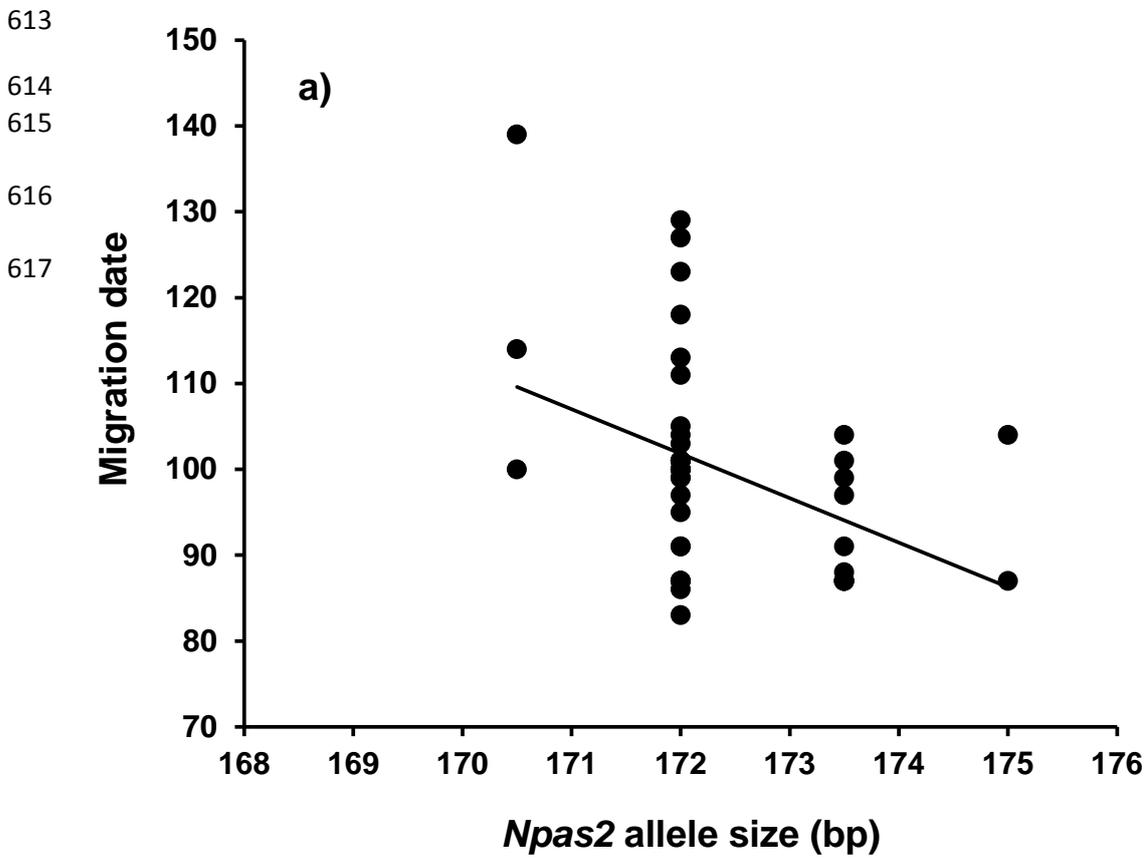
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602 **Table 3.** Linear models of the effect of candidate genes allele size on feather growth rate (residuals  
603 of a regression of GBW on feather length; see Methods). The interaction terms were removed *en*  
604 *bloc* if nonsignificant ( $P > 0.05$ ), and statistics for main effects refer to models without the  
605 interaction term.

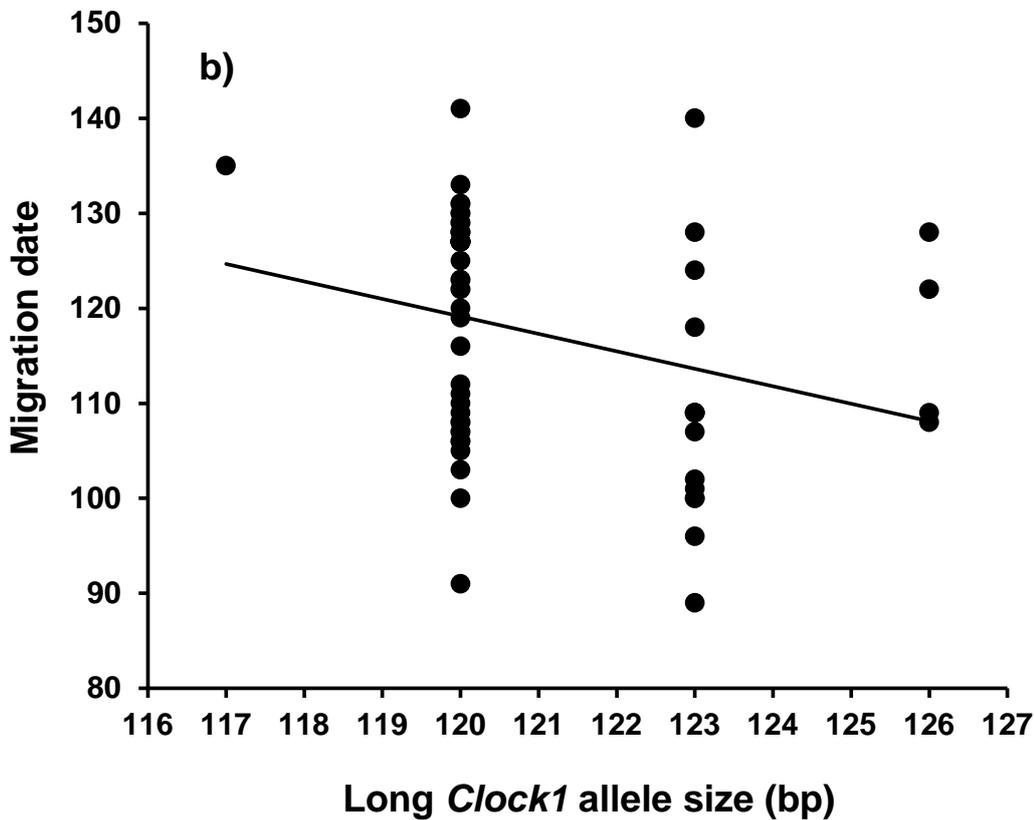
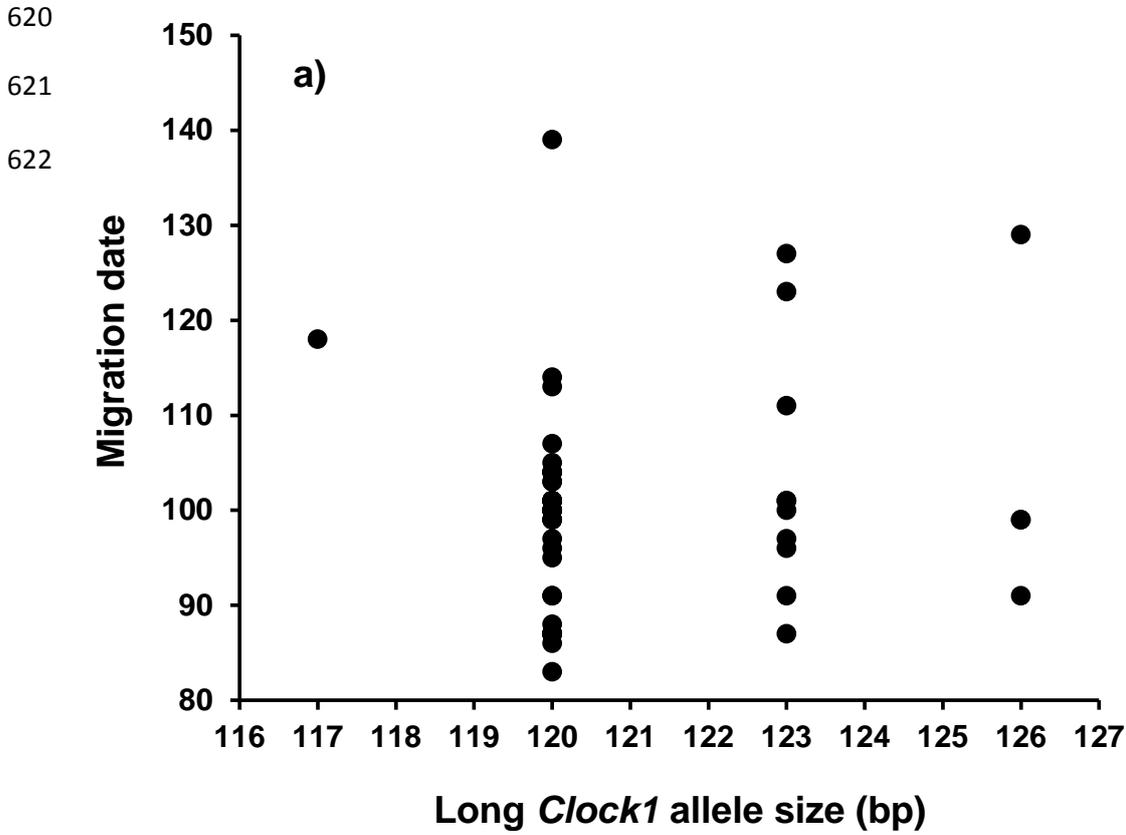
Variable	Estimate (SE)	df	<i>F</i>	<i>P</i>
<i>Adcyap1</i> allele size	0.006 (0.007)	1, 104	0.65	0.42
<i>Clock1</i> allele size	0.003 (0.010)	1, 112	0.07	0.80
<i>Clock3</i> allele size	0.001 (0.027)	1, 90	0.01	0.99
<i>sumClock</i> allele size	0.012 (0.011)	1, 88	1.04	0.31
<i>Creb1</i> allele size	0.054 (0.022)	1, 85	0.12	0.73
Sex	26.04 (10.70)	1, 85	5.93	0.017
<i>Creb1</i> allele size × sex <sup>a</sup>	-0.10 (0.04)	1, 85	5.93	0.017
<i>Npas2</i>	0.011 (0.017)	1, 86	0.43	0.51

606 a) estimates from linear models of migration date as a function of *Creb1* allele size separated for either sex;  
607 males, estimate: 0.054 (0.020 SE),  $F_{1,45} = 7.45$ ,  $P = 0.009$ ; females, estimate: -0.041 (0.035 SE),  $F_{1,40} =$   
608 1.33,  $P = 0.26$   
609  
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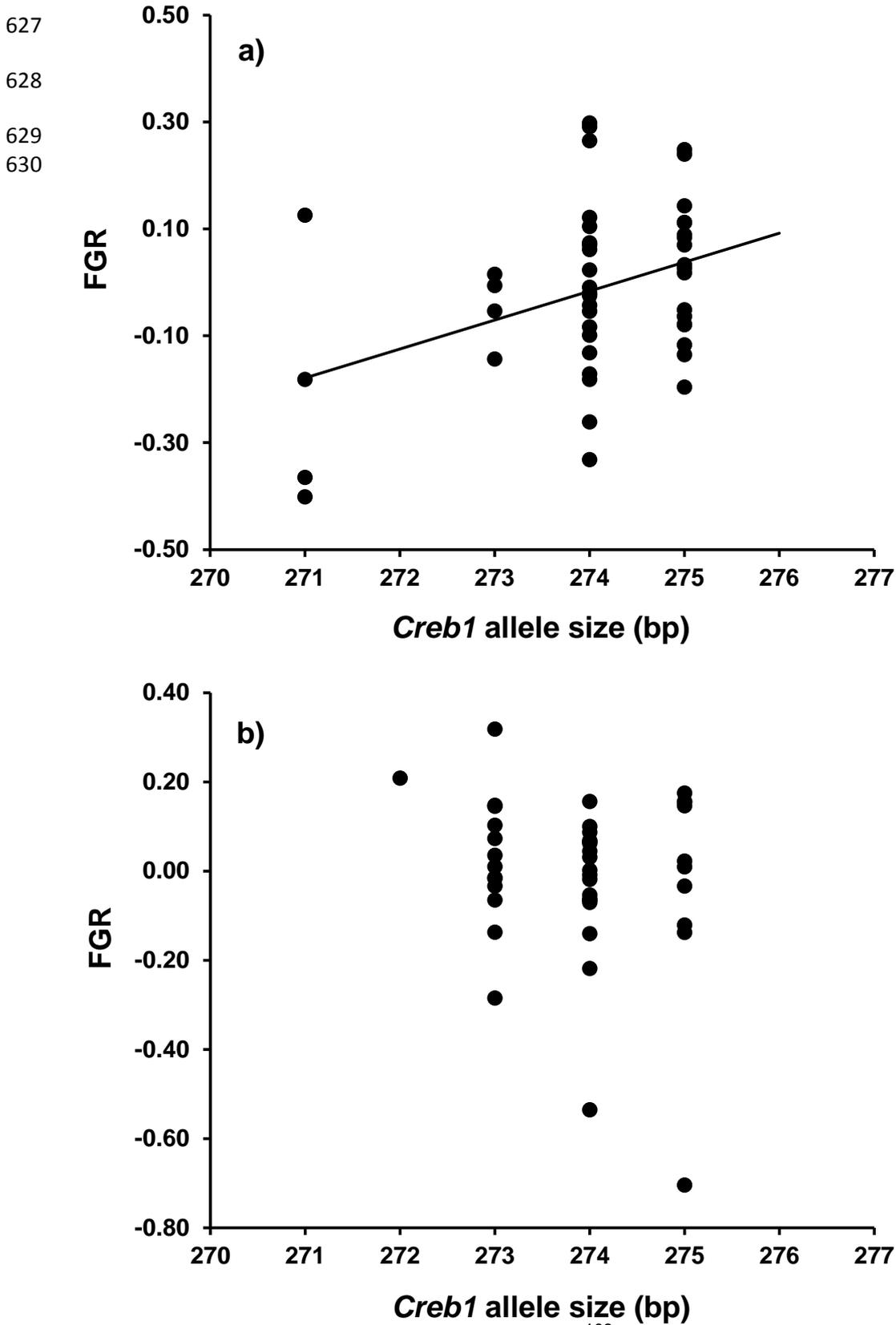
611 **Figure 1.** Migration date (1 = January 1) in relation to *Npas2* allele size in (a) male and (b) female  
612 willow warblers. The line represents simple linear regression.



618 **Figure 2.** Migration date (1 = January 1) in association with long *Clock1* allele size in (a) males and  
619 (b) females. The line represents simple linear regression.



623 **Figure 3.** Feather growth rate (FGR; residuals of a regression of GBW on feather length; see  
624 Methods) vs *Creb1* allele size in (a) males and (b) females. High FGR values are assumed to reflect  
625 faster moult. The line represents simple linear regression. The result for females was similar after  
626 removing the outliers from the model (details not show for brevity).





## **PART 2**

## CHAPTER 4

### ***Clock* gene polymorphism and scheduling of migration: a geolocator study of the barn swallow *Hirundo rustica***

Gaia Bazzi, Roberto Ambrosini, Manuela Caprioli, Alessandra Costanzo, Felix Liechti, Emanuele Gatti, Luca Gianfranceschi, Stefano Podofillini, Andrea Romano, Maria Romano, Chiara Scandolara, Nicola Saino and Diego Rubolini

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## Clock gene polymorphism and scheduling of migration: a geolocator study of the barn swallow *Hirundo rustica*

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Circannual rhythms often rely on endogenous seasonal photoperiodic timers involving 'clock' genes, and *Clock* gene polymorphism has been associated to variation in phenology in some bird species. In the long-distance migratory barn swallow *Hirundo rustica*, individuals bearing the rare *Clock* allele with the largest number of C-terminal polyglutamine repeats found in this species ( $Q_8$ ) show a delayed reproduction and moult later. We explored the association between *Clock* polymorphism and migration scheduling, as gauged by light-level geolocators, in two barn swallow populations (Switzerland; Po Plain, Italy). Genetic polymorphism was low: 91% of the 64 individuals tracked year-round were  $Q_7/Q_7$  homozygotes. We compared the phenology of the rare genotypes with the phenotypic distribution of  $Q_7/Q_7$  homozygotes within each population. In Switzerland, compared to  $Q_7/Q_7$ , two  $Q_6/Q_7$  males departed earlier from the wintering grounds and arrived earlier to their colony in spring, while a single  $Q_7/Q_8$  female was delayed for both phenophases. On the other hand, in the Po Plain, three  $Q_6/Q_7$  individuals had a similar phenology compared to  $Q_7/Q_7$ . The Swiss data are suggestive for a role of genetic polymorphism at a candidate phenological gene in shaping migration traits, and support the idea that *Clock* polymorphism underlies phenological variation in birds.

The timing of seasonal activities has major fitness consequences and is subjected to intense natural selection<sup>1,2</sup>. In species experiencing seasonal peaks of resource availability, typically occurring at medium-high latitudes, proper matching of life-cycle events to such resource pulses is fundamental for achieving a high fitness. The timing of seasonal activities is often set by genetically controlled endogenous circadian and circannual rhythms<sup>3</sup>, which are synchronized with ecological conditions through external drivers<sup>4</sup>, among which photoperiod plays a pivotal role<sup>5</sup>. This seasonal photoperiodic timer involves 'circadian clock' genes, which are responsible for the onset and setting of circadian and circannual rhythmicity<sup>6–8</sup>. The circadian clock relies on an auto-regulated negative feedback loop, the 'core circadian oscillator' (CCO)<sup>9,10</sup>. In birds and mammals, the CLOCK transcription factor, encoded by the *Circadian Locomotor Output Cycles Kaput* (*Clock*) gene, plays a central role within the CCO, both by acting as a transcriptional activator of the CCO itself and by activating the transcription of several output genes<sup>11,12</sup>. The C-terminal domain of the *Clock* gene contains a series of polyglutamine residues (Poly-Q) which may vary in length among species as well as among individuals within populations<sup>13–18</sup>.

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Latitudinal increase in the number of Poly-Q repeats across populations has been suggested to reflect adaptation to local regimes of circannual photoperiodic variation<sup>14,16,19</sup>. Similarly, Poly-Q polymorphism may control variation in photoperiodic responses among individuals from the same population, causing variation in phenology at the individual level<sup>15,17,20,21</sup>. Though the evidence for an association between *Clock* polymorphism and timing of seasonal events at the population level is scant, two recent studies conducted on a long-distance migratory bird, the barn swallow *Hirundo rustica*, have shown that a very rare *Clock* genotype, the heterozygous  $Q_7/Q_8$  (c.a. 1.5% of the population), which contains the largest Poly-Q stretch observed in this species ( $Q_8$ ), has a delayed timing compared to the most frequent (94%)  $Q_7/Q_7$  genotype<sup>17,20</sup>. These findings are consistent with the idea that larger Poly-Q stretches are associated with a delayed phenology<sup>15,17,20,21</sup>, and suggest that the  $Q_7/Q_8$  genotype shows a delayed annual routine. Specifically, the first study, carried out on an Italian barn swallow population, showed that the onset of laying by  $Q_7/Q_8$  females was delayed by 13 days relative to  $Q_7/Q_7$  counterparts, corresponding to ca. 0.7 s.d. later than the mean of  $Q_7/Q_7$  females<sup>17</sup>. In the second study, carried out on moulting barn swallows in the sub-Saharan wintering areas, wing feather moult of three  $Q_7/Q_8$  individuals was found to be significantly delayed, by ca. 8/9 days, than  $Q_7/Q_7$  birds<sup>20</sup>. In both studies, the phenology of the other rare genotype  $Q_6/Q_7$  (4.8%)<sup>17</sup>, with a smaller Poly-Q stretch, did not differ from that of the common genotype  $Q_7/Q_7$ <sup>17,20</sup>.

To date, the analysis of individual variation in scheduling of migration events across the entire annual cycle in small-sized birds has been hampered by the technical difficulties of tracking large numbers of individuals from the same population. Here we exploit data retrieved from 64 individual barn swallows tracked along the entire annual migration cycle using miniaturized light-level geolocators<sup>22</sup> to explore the association between *Clock* genotype polymorphism and scheduling of migration events in two barn swallow populations breeding in Southern Switzerland (Magadino) and Northern Italy (Po Plain). We expected that rare individuals bearing the heterozygous  $Q_7/Q_8$  genotype should show a delayed scheduling of migration and wintering events compared to the phenotypic distribution of phenological traits of  $Q_7/Q_7$  birds, complementing previous evidence concerning delayed timing of breeding and moult.

## Results and Discussion

Most (90.6%) of the 64 individuals tracked for an entire annual cycle (Switzerland,  $n = 26$ ; Po Plain,  $n = 38$ ) were homozygous  $Q_7/Q_7$ , as expected. In the Swiss population, we detected two  $Q_6/Q_7$  males and a single  $Q_7/Q_8$  female, while in the Po Plain we detected three  $Q_6/Q_7$  individuals (two males, one female) and no  $Q_7/Q_8$  birds.

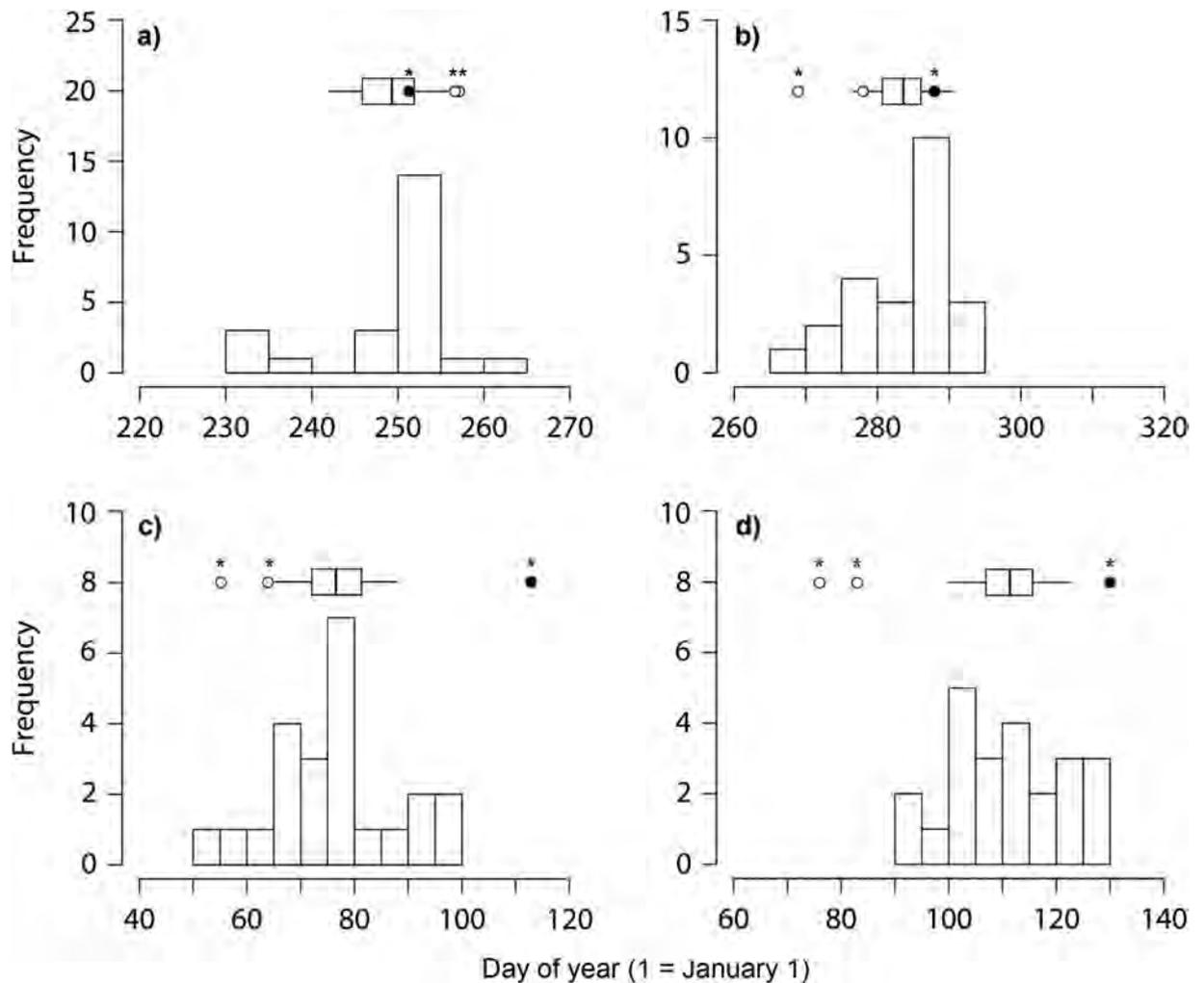
The migration and wintering phenology of  $Q_7/Q_7$  birds showed a broad overlap between the two study populations, with the single exception of spring arrival date to the breeding colony, that was ca. 10 days later among Swiss birds compared to Po Plain ones (Fig. 1; Supplementary Table S1, Fig. S1; see also Liechti *et al.*<sup>22</sup>).

In the Swiss population, the single  $Q_7/Q_8$  female showed a consistently delayed phenology and was outside the 95% confidence interval (CI) compared to the phenotypic distribution of  $Q_7/Q_7$  for all traits (Fig. 1), both when actual or within-group centered values (thus removing any between-year and between-sex variation in phenology) were considered, the single exception being departure date from the breeding colony for actual values (Fig. 1a; Supplementary Table S2). On the other hand, the two  $Q_6/Q_7$  males were consistently delayed and outside the 95% CI compared to the phenotypic distribution of  $Q_7/Q_7$  individuals for departure from the breeding colony, but were consistently early and outside the 95% CI compared to  $Q_7/Q_7$  for traits related to pre-breeding migration (Fig. 1c,d; Supplementary Table S2). They were instead not consistently advanced for arrival date to wintering area (Fig. 1b; Supplementary Table S2). Results were similar if the analyses were separated by sex (Supplementary Table S3) and if we accounted for the effect of individual variation in wintering latitude and longitude (stationary wintering positions, SWP; see Methods) on arrival date to the breeding colony (Supplementary Table S2).

In the Po Plain, the lack of  $Q_7/Q_8$  individuals allowed only a partial evaluation of the association between *Clock* polymorphism and phenology. Although being somewhat delayed in some cases (Supplementary Fig. S1, Table S2), the scheduling of migration and wintering events of the three  $Q_6/Q_7$  was not consistently different from the phenotypic distribution of  $Q_7/Q_7$  birds, with the exception of colony departure, that was delayed in all three individuals compared to the 95% CI of  $Q_7/Q_7$  birds, similarly to the Swiss population (Supplementary Fig. S1, Table S2).

It may be worth noting that the two Swiss  $Q_6/Q_7$  males and the  $Q_7/Q_8$  female were also outside the CIs (advanced and delayed, respectively) of the  $Q_7/Q_7$  Po Plain birds for departure from the wintering area and arrival to the breeding colony (cf. Fig. 1 and Supplementary Fig. S1; Table S2).

For the Swiss  $Q_7/Q_8$  female, delayed arrival could lead to a delayed reproduction<sup>17</sup>. This female started egg laying on May 28<sup>th</sup>, 2012 (day 148), but unfortunately we have no other breeding data from geolocator females in the same year (nor from the same female in the previous year) for comparison. However, data from  $Q_7/Q_7$  geolocator females from the previous year (spring 2011) revealed a mean first egg laying date that was ca. 20 days earlier (day 126, CI 120 to 136,  $n = 5$ ) than the  $Q_7/Q_8$  female. We are confident that the delayed laying of the  $Q_7/Q_8$  female compared to  $Q_7/Q_7$  females in the previous year was robust to between-year differences in egg laying dates: in fact, the  $Q_7/Q_8$  female was a delayed phenodeviant for arrival date (Table 1; Fig. 1), and over the entire sample of geolocator females (both Swiss and Po Plain individuals) there was a strict association between arrival date and breeding date ( $r = 0.90$ ,  $n = 16$ ;

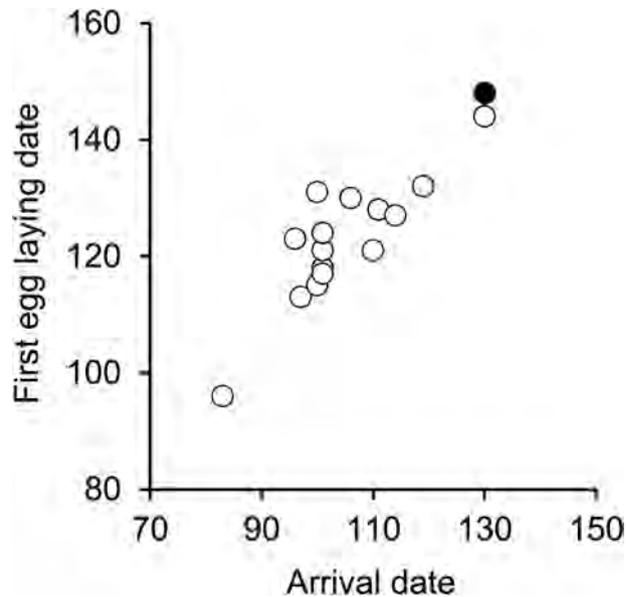


**Figure 1. Frequency distribution of phenotypic values of the  $Q_7/Q_7$  individuals and phenology of the  $Q_6/Q_7$  and  $Q_7/Q_8$  individuals in the Swiss population.** (a) Departure from the breeding colony; (b) arrival to the wintering area; (c) departure from the wintering area; (d) arrival to the breeding colony. The horizontal boxes above histograms show the mean and 95% non-parametric bootstrap confidence interval (CI) of the  $Q_7/Q_7$  phenotypic distribution, while the horizontal lines show the standard deviation. Dots show the phenotypic values of the rare genotypes (open dot =  $Q_6/Q_7$ ; filled dot =  $Q_7/Q_8$ ). Histograms and dots refer to actual data, while asterisks above dots denote that the  $Q_6/Q_7$  or  $Q_7/Q_8$  values were outside the 95% CI of a given phenological trait for centred values (removing any between-year and between-sex variation in phenology; see Supplementary Table S2 and Methods for details).

$Zr = 1.47$ , CI 0.93 to 2.01; Fig. 2). On the other hand, the two Swiss  $Q_6/Q_7$  males did not have a clearly advanced breeding date compared to  $Q_7/Q_7$  birds (details not shown for brevity). Indeed, the correlation between breeding date (start of egg laying by the social mate) and arrival date in geolocator males was weaker than in females ( $r = 0.31$ ,  $n = 37$ ;  $Zr = 0.32$ , CI  $-0.02$  to 0.66), suggesting that timing of reproduction is mainly under female control<sup>17</sup>.

These findings, based on the first individual year-round tracking data for the barn swallow, complement previous evidence that the rare  $Q_7/Q_8$  barn swallow genotype has a delayed timing<sup>17,20</sup>. Importantly, this evidence originates from the analysis of a further independent dataset, as no individuals from the Swiss population had been included in previous studies<sup>17,20</sup>. The delay of the Swiss  $Q_7/Q_8$  individual was most pronounced for phenological variables related to timing of spring migration (departure from the wintering area and arrival date to the breeding colony) (Fig. 1).

Intriguingly, the two Swiss  $Q_6/Q_7$  males showed an apparently advanced timing of spring migration compared to  $Q_7/Q_7$  birds in the same population. In previous studies of the barn swallow, no statistically significant differences in timing emerged between  $Q_6/Q_7$  and  $Q_7/Q_7$  birds<sup>17,20</sup>. The Po Plain data show instead an inconsistent tendency towards a delayed pre-breeding migration phenology of the three  $Q_6/Q_7$  birds compared to  $Q_7/Q_7$  ones. Albeit not conclusively due to the small sample size of the 'rare'



**Figure 2. Arrival date predicts onset of reproduction among female barn swallows equipped with geolocators (day 1 = January 1<sup>st</sup>).** The fitted regression equation is: first egg laying date = 0.88 (0.12 s.e.m.) × arrival date + 30.48 (12.51 s.e.m.) ( $R^2 = 0.80$ ). The filled dot denotes the single  $Q_7/Q_8$  female from the Swiss population.

genotypes, the picture emerging from this and our earlier work may suggest that ‘clock’ genes differently affect phenology in different barn swallow populations, as previously documented by an analysis of the songbird genus *Junco*<sup>18</sup>. The most likely explanation for such among-population variation in ‘clock’ gene-phenology associations has been proposed to be variation in genetic background among different populations of the same species, with possibly other genes related to phenology variably interacting with *Clock* in different geographical populations<sup>18</sup>.

The proximate mechanisms leading to an association between length of Poly-Q stretches and phenology remain unknown, but may involve a different response to photoperiod or to other astronomical cues while in Africa. Despite photoperiodic changes around the Equator are minor, birds are able to detect them and tune the timing of their seasonal activities (including timing of moult) accordingly<sup>23</sup>. Moreover, it has recently been suggested that tropical birds can use variation in time of sunrise and sunset to adjust the timing of life-history events along the annual cycle<sup>24</sup>. Our findings suggest that the previously documented reproductive delay in  $Q_7/Q_8$  females was likely to be mainly a consequence of carry-over effects of events occurring during wintering (such as moult) and at the onset of spring migration. Elucidating whether the *Clock*-phenology association causally stems from variable photoperiodic responses while in the African wintering grounds, triggering variable onset of migration activities with carry-over effects on timing of reproduction, would be a challenge for future research.

The fact that the association between *Clock* genotype and timing of autumn migration was somewhat less clear may be due to the difficulties in identifying the onset of autumn migration. Geolocator data allow only an estimate of the timing of departure from the breeding colony, which may not be indicative of variation in onset of post-breeding migration. In fact, the timing of departure from the breeding colony may be heavily affected by involvement in reproductive activities (e.g. nest failure, laying of multiple clutches and extent of post-fledging parental care) besides timing of reproduction. In addition, departures are very concentrated and apparently highly synchronized with stochastic events such as rainfall bouts, which may vary locally between study colonies<sup>22</sup>.

Why such rare genotypes persist in barn swallow populations is open to speculation. It is known that occasional spring cold spells, occurring after arrival of barn swallows to their breeding areas, can cause large mortality<sup>25,26</sup>. Clearly, the rare, delayed  $Q_7/Q_8$  individuals may accrue a survival advantage under such intense natural selection episodes, and this may contribute to maintain *Clock* polymorphism in barn swallow populations. On the other hand, early arriving  $Q_6/Q_7$  males may accrue a high fitness advantage by mating earlier if environmental conditions are favourable, even if the association between timing of spring arrival and reproduction was not as strong as in females in the study populations, since timing of reproduction predicts seasonal fitness in barn swallows<sup>25,27</sup>. Finally, we wish to point out that both here and in our previous studies we focused on the association between *Clock* gene polymorphism and phenology, but future studies will need to address whether the huge phenological variance observed among the most common  $Q_7/Q_7$  genotype is mostly due to environmental effects or whether it can be explained by genetic variation at other phenological candidate genes.

To conclude, capitalizing on a unique dataset of individual year-round tracking data of a small long-distance migratory bird obtained by miniaturized light-level geolocators, the Swiss data are suggestive for a role of genetic polymorphism at a candidate phenological gene in shaping variation in migration traits. Despite the very low genetic variation and the consequently small sample of 'rare' genotypes, our findings foster the idea that *Clock* gene polymorphism may underlie phenological variation in avian species.

## Methods

**Study species, study sites and field procedures.** The barn swallow is a small (ca. 20 g) Holarctic semi-colonial insectivorous passerine bird. European populations are largely migratory<sup>28</sup>. Birds move southwards in September-October, and winter in sub-Saharan Africa, where they undergo their annual complete plumage moult. During wintering, birds are mostly stationary<sup>22</sup>. They then leave for spring migration in February-March and reach their breeding sites mid-March to late May<sup>22</sup>. Females lay one to three clutches (3–7 eggs) per season<sup>28</sup>. In Europe, barn swallows mostly breed in small colonies settled in rural buildings (farms, cowsheds, stables)<sup>29</sup>.

The study was carried out at three study sites, one in southern Switzerland, in an Alpine valley floor (Magadino, 46°09' N, 8°55' E, 211 m a.s.l.) and two in the Po Plain (northern Italy; Lombardy, 45°19' N, 9°40' E, 60 m a.s.l.; Piedmont, 45°33' N, 8°44' E, 160 m a.s.l.) (details in Scandolara *et al.*<sup>29,30</sup> and Liechti *et al.*<sup>22</sup>). We captured barn swallows at several colonies during two breeding seasons (2010 and 2011). A very large sample (640 individuals; 2010, n = 310; 2011, n = 330) of adult birds (i.e. at least 1 year old) was equipped with miniaturized light-level geolocators weighting on average 0.68–0.77 g (3.74–4.14% of body mass)<sup>30</sup>. During the subsequent breeding season we retrieved 124 geolocators (2010, n = 78; 2011, n = 46)<sup>22</sup>. We collected a small blood sample from birds that successfully returned to their breeding colony with the geocator. Blood was collected from the brachial vein into heparinized capillary tubes, stored in a cool bag and subsequently kept at –20/–80 °C. Birds were marked with individual colour rings upon capture and were assigned to their nests by direct observation<sup>17,30</sup>. Laying date (Julian date of first egg laying) was determined for most individual by means of frequent inspections of nest content (details in Scandolara *et al.*<sup>30</sup>).

All procedures were performed in accordance with the Swiss and Italian regulations concerning scientific investigations on bird species in the wild, and approved by the Office fédéral de l'environnement (OFEV, Division Espèces, écosystèmes, paysages; Switzerland) (permit n. F044-0799), by Regione Lombardia (auth. n. 329 issued on January 21, 2009 and n. 2141 issued on March 9, 2011) and by the Provincia di Novara (auth. n. 905 issued on March 21, 2011).

**Geocator data analysis.** Deriving accurate geographical positions from light-level data obtained from geolocators constitutes a major methodological challenge<sup>31</sup>. The full details and rationale of the analyses of barn swallow geocator data are reported in Liechti *et al.*<sup>22</sup>. Hereafter we briefly summarize the interpretation of the phenological traits of interest for defining individual variation in the timing of the annual routine. First, based on standardized analyses of daily changes in sunrise and sunset time derived from light-level data (latitudinal estimates were not calculated during migration because the barn swallow migration periods coincide with the period around the Equinoxes, when data derived from geolocators do not allow to accurately calculate latitudes<sup>22,31</sup>), we assigned each daily position to a stationary or movement (migration) period. We then calculated the following phenological traits at the individual level: departure from the breeding colony (day of departure from the breeding colony, determined by means of visual inspection of variation in daily light-level profiles); arrival to the wintering area (day of the first stationary period south of the Sahara, i.e. south of 23.5°N); departure from the wintering area (day of the last stationary period south of the Sahara); arrival to the breeding colony (date of arrival to the breeding colony, determined by means of visual inspection of variation in daily light-level profiles). Among the 124 individuals that returned with the geocator (see above), we could obtain complete phenological information (i.e. we could obtain phenological data until spring arrival date to the breeding colony) for 68 individuals only (2010, n = 37; 2011, n = 31), due to total or partial failure of the geolocators (e.g. battery failure), which did not allow recovering the entire migration path (see Table 1 in Liechti *et al.*<sup>22</sup>). For each of these complete tracks we determined the stationary wintering position (SWP) as the centre of density (mode) of longitude and latitude of all the daily positions, taking into account the stationary periods south of the Sahara<sup>22</sup>.

**Genetic analyses.** Genomic DNA extraction was performed by alkaline lysis using 6 µl of blood in 100 µl of a 50 mM NaOH at 100 °C for 20 minutes. DNA was quantified using a spectrophotometer and diluted to a final concentration of 50–100 ng/µl. PCR amplification was performed using primers designed on the barn swallow genomic sequence: forward primer (5'-labelled with 6-FAM dye) [6FAM]GGGACAGGTGGTGACAGCTTATC and reverse primer CTGCTGATGGTCCTGCTGACT (Sigma-Aldrich)<sup>17</sup>; PCR fragments were screened for length polymorphism at *Clk*polyQcfs by resolution and detection on a conventional DNA sequencing machine using a commercial fragment analysis service (Macrogen Inc., Seoul, Republic of Korea)<sup>17</sup>. The reliability of molecular data for the barn swallow *Clk*polyQcfs locus has previously been confirmed by independently repeating the fragment analysis of several individuals<sup>17,20</sup>. Alleles were named according to the number of glutamine repeats predicted in

the mature protein as  $Q_6$  to  $Q_8$ , after sequencing the most common allele ( $Q_7$ , 112 bp long, coding for a CLOCK protein containing a stretch of 7 glutamine repeats)<sup>32</sup>. We genotyped the vast majority (64 out of 68 individuals; 2010,  $n = 34$ ; 2011,  $n = 30$ ) of the birds for which we obtained complete migration tracks (missing genotypes were due to failed genotyping or missing samples).

**Statistical analyses.** Since the genotype-phenology associations may differ between populations<sup>18</sup>, tests of associations between *Clock* polymorphism and phenology were conducted within population. First, we calculated the 95% non-parametric bootstrap CIs (BCa method, based on 5000 replicates; R-package *boot*, ver. 1.3–9<sup>33</sup>) of each phenological trait of  $Q_7/Q_7$  individuals. We then checked whether the phenological values of the  $Q_6/Q_7$  and  $Q_7/Q_8$  individuals were included or not within the CIs. If a phenological value was outside the  $Q_7/Q_7$  CI of a given trait, that individual was considered phenodeviant for that trait (i.e. it had a phenotype that significantly deviated from the phenotypic distribution of the reference genotype). Male and female data were pooled for analyses as there were no statistically significant differences between the sexes for most phenological traits, with the exception of departure from the breeding colony, that was 2 days later on average in males compared to females<sup>22</sup>. In addition, to completely remove between-year and between-sex differences<sup>22</sup>, we adopted a within-group centring procedure, subtracting from a given value the mean of its corresponding group (i.e. 2010 males, 2010 females, 2011 males, 2011 females). CIs were calculated for centred values as well. Data from the Lombardy and Piedmont study areas were pooled because both were in the same geographical area (Po Plain) and because of the small sample of rare genotypes at each study site (see Results and Discussion).

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### Author Contributions

N.S. and D.R. conceived and designed the experiment. G.B., D.R. and N.S. wrote the paper. G.B., R.A., M.C., L.G., E.G., S.P., F.L. and D.R. analysed the data. G.B., R.A., M.C., A.C., A.R., M.R., C.S., N.S. and D.R. collected the data.

### Additional Information

**Supplementary information** accompanies this paper at <http://www.nature.com/srep>

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## Supplementary Information

### ***Clock* gene polymorphism and scheduling of migration: a geolocator study of the barn swallow *Hirundo rustica***

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**Table S1. Descriptive statistics of phenological traits (day 1 = January 1<sup>st</sup>) for Q<sub>7</sub>/Q<sub>7</sub> individuals from the Swiss and Po Plain population.** Mean, sample size (round brackets), and non-parametric bootstrap 95% confidence interval (CI) (square brackets) are shown. Results were qualitatively similar if we controlled for differences among years in linear models with year and population as two-level fixed factors (see also Ref. 22), and if we include data for the Q<sub>6</sub>/Q<sub>7</sub> and Q<sub>7</sub>/Q<sub>8</sub> individuals (details not shown for brevity). In the Po Plain, we could not estimate departure from the breeding colony and arrival to the wintering area for two Q<sub>7</sub>/Q<sub>7</sub> individuals.

<b>Phenological trait</b>	<b>Switzerland</b>	<b>Po Plain</b>
Departure from the breeding colony	249.3 (23) [245.4; 251.8]	251.2 (33) [248.8; 253.2]
Arrival to the wintering area	283.8 (23) [280.8; 286.2]	281.5 (33) [278.4; 285.3]
Departure from the wintering area	76.7 (23) [72.3; 81.4]	71.5 (35) [67.7; 75.9]
Arrival to the breeding colony	111.3 (23) [107.0; 115.7]	101.1 (35) [97.0; 104.6]

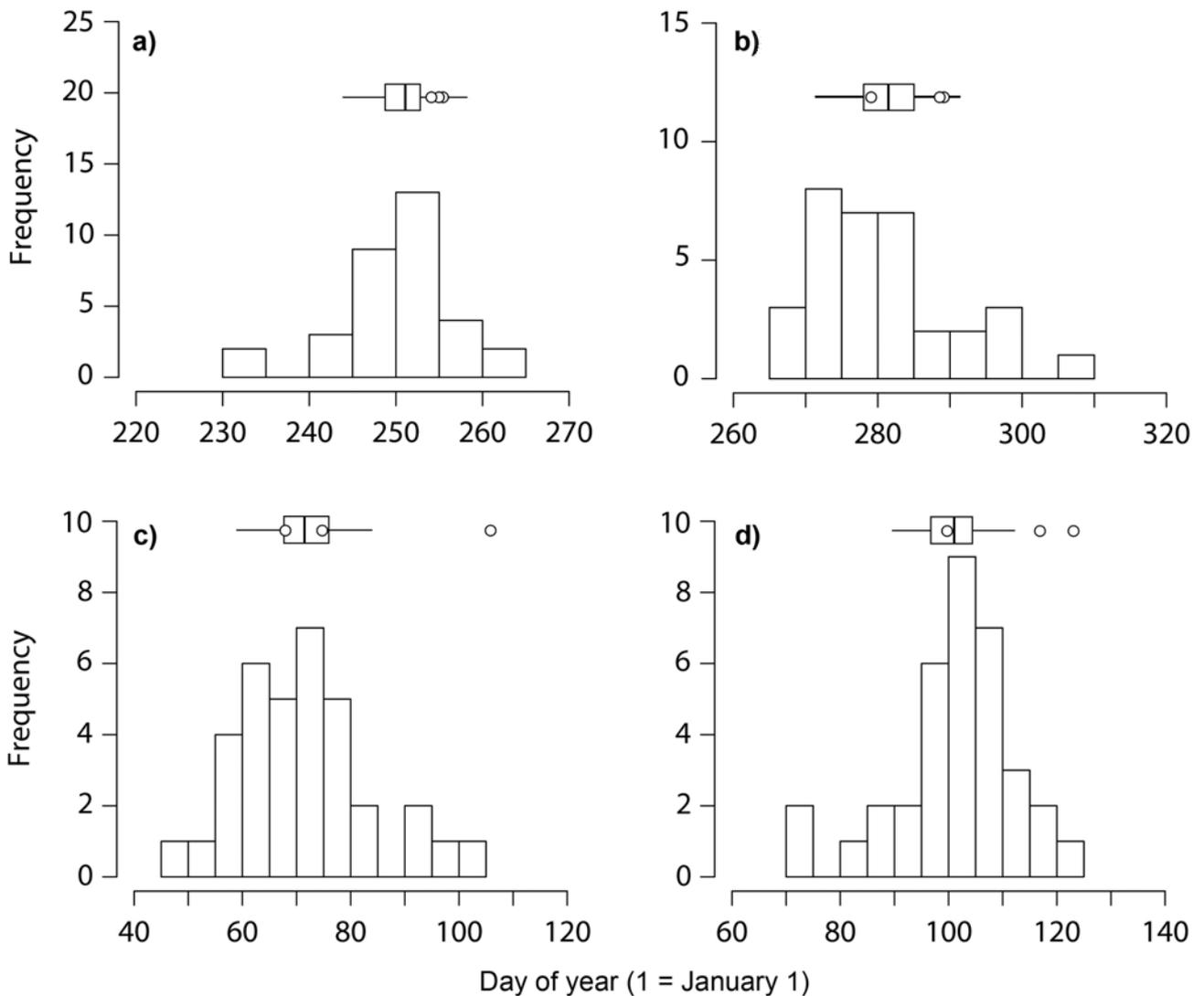
**Table S2. Mean, sample size (round brackets), and non-parametric bootstrap 95% confidence interval (CI) (square brackets) of phenological traits for Q<sub>7</sub>/Q<sub>7</sub> individuals from the Swiss and Po Plain population.** Data for the Q<sub>6</sub>/Q<sub>7</sub> individuals (mean value, with individual values in square brackets) and for the Swiss Q<sub>7</sub>/Q<sub>8</sub> female are also shown. Data were centred within-groups to remove any between-year and between-sex variation (see Methods) and are expressed in days (difference from the mean). For the Swiss population, statistics of residuals from the linear regression between centred arrival date to the breeding colony values and centred latitude and longitude of the SWP (see Methods), thus removing the effects of individual variation in wintering positions on arrival date to the breeding colony (see Ref. 22), are also shown. In the Po Plain, we could not estimate departure from the breeding colony and arrival to the wintering area for two Q<sub>7</sub>/Q<sub>7</sub> individuals.

Phenological trait	Q <sub>6</sub> /Q <sub>7</sub>	Q <sub>7</sub> /Q <sub>7</sub>	Q <sub>7</sub> /Q <sub>8</sub>
<i>Switzerland</i>			
Departure from the breeding colony	2.33 [2.33; 2.33]	-0.48 (23) [-3.70; 1.74]	6.33
Arrival to the wintering area	-5.50 [-10.00; -1.00]	0.35 (23) [-2.01; 2.67]	3.00
Departure from the wintering area	-11.50 [-16.00; -7.00]	0.10 (23) [-5.01; 4.47]	20.67
Arrival to the breeding colony	-21.06 [-24.56; -17.56]	1.57 (23) [-2.51; 5.79]	6.00
Arrival to the breeding colony (residuals)	-14.77 [-20.43; -9.10]	1.03 (23) [-2.65; 4.61]	5.86
<i>Po Plain</i>			
Departure from the breeding colony	3.65 [1.80; 4.08; 5.08]	-0.33 (33) [-2.53; 1.36]	-
Arrival to the wintering area	4.10 [-1.40; 6.85; 6.85]	-0.37 (33) [-3.32; 3.61]	-
Departure from the wintering area	8.16 [-6.07; -1.40; 31.93]	-0.70 (35) [-4.50; 3.54]	-
Arrival to the breeding colony	11.16 [0.00; 11.93; 17.93]	-0.85 (35) [-4.92; 2.59]	-

**Table S3. Mean, sample size (round brackets), and non-parametric bootstrap 95% confidence interval (CI) (square brackets) of phenological traits (day 1 = January 1<sup>st</sup>) for Q<sub>7</sub>/Q<sub>7</sub> males and females from the Swiss population.** Data for the two Q<sub>6</sub>/Q<sub>7</sub> males are shown in the leftmost column (mean value, with the two individual values in square brackets), while data for the Q<sub>7</sub>/Q<sub>8</sub> female are shown in the rightmost column.

<b>Phenological trait</b>	<b>Q<sub>6</sub>/Q<sub>7</sub></b>	<b>Q<sub>7</sub>/Q<sub>7</sub> males</b>	<b>Q<sub>7</sub>/Q<sub>7</sub> females</b>	<b>Q<sub>7</sub>/Q<sub>8</sub></b>
Departure from the breeding colony	257.0 [257; 257]	250.2 (14) [245.6; 253.0]	248.0 (9) [240.5; 252.4]	251
Arrival to the wintering area	273.5 [269; 278]	284.6 (14) [280.4; 287.4]	282.6 (9) [278.2; 286.2]	288
Departure from the wintering area	59.5 [55; 64]	74.6 (14) [68.4; 79.6]	80.1 (9) [73.2; 88.6]	113
Arrival to the breeding colony	79.5 [76; 83]	110.3 (14) [105.4; 115.3]	112.8 (9) [104.8; 120.9]	130

**Figure S1. Frequency distribution of phenotypic values of the Q<sub>7</sub>/Q<sub>7</sub> individuals and phenology of the Q<sub>6</sub>/Q<sub>7</sub> individuals in the Po Plain.** a) Departure from the breeding colony; b) arrival to the wintering area; c) departure from the wintering area; d) arrival to the breeding colony. The horizontal boxes above histograms shows the mean and 95% non-parametric confidence interval (CI) of the Q<sub>7</sub>/Q<sub>7</sub> phenotypic distribution, while the horizontal lines show the standard deviation. Dots show the phenotypic values of the Q<sub>6</sub>/Q<sub>7</sub> individuals. The x- and y-axis are on the same scale as those of the corresponding panels of Fig. 1, to facilitate comparisons of phenology between populations.





## **PART 3**

## CHAPTER 5

### ***Clock* gene polymorphism and interspecific variation in migratory behaviour: a comparative study of trans-Saharan migratory birds**

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1 ***Clock* gene polymorphism and interspecific**  
2 **variation in migratory behaviour: a comparative**  
3 **study of trans-Saharan migratory birds**

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5  
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23  
24 **Running head:** Candidate genes and long-distance migration

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31

32 **Abstract**

33

34 Migratory behaviour is controlled by endogenous circannual rhythms that are synchronized by  
35 external cues, such as photoperiod. Investigations on the genetic basis of circannual rhythmicity in  
36 vertebrates have highlighted that variation at ‘circadian clock’ genes may play a major role in  
37 regulating photoperiodic responses and timing of life cycle events, such as reproduction and  
38 migration. In this comparative study of 23 trans-Saharan migratory bird species we investigated the  
39 relationship between genetic variation at two candidate genes, *Clock* and *Adcyap1*, and migratory  
40 traits, including timing of spring migration across the central Mediterranean Sea. Coherently with  
41 previous evidence showing latitudinal clines in ‘circadian clock’ genes, *Clock* allele size increased  
42 with breeding latitude across species. However, there was no evidence that *Clock* allele size  
43 predicted the timing of spring migration. *Clock* (but not *Adcyap1*) gene diversity was significantly  
44 lower among species migrating over longer distances, and among those showing delayed spring  
45 migration and smaller phenotypic variance in migration timing. Phylogenetic confirmatory path  
46 analysis suggested that migration date and distance were the most important variables directly  
47 affecting *Clock* gene diversity. Hence, our novel comparative study supports the hypothesis that  
48 *Clock* affects migratory behaviour, possibly via adaptation to photoperiodic conditions in the  
49 breeding areas. Moreover, it suggests for the first time that long-distance migration is associated  
50 with lower gene diversity at candidate genes for migration, likely due to strong stabilizing selection  
51 on timing of life cycle events and to the time constraints imposed by a late migration and delayed  
52 life cycle.

53

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55

## 56 **Introduction**

57

58 Many bird species breeding in the Northern Hemisphere respond to seasonal variation in ecological  
59 conditions by moving to more favourable areas of the tropics during the non-breeding (winter)  
60 period. This long-distance migration strategy has likely evolved in species that progressively  
61 extended their breeding range northward during the post-glacial phase to exploit favourable summer  
62 ecological conditions in temperate seasonal habitats, and were then subjected to selective pressures  
63 gradually promoting migratoriness to maximize survival during the non-breeding period (Milá *et al.*  
64 2006; Salewski & Bruderer 2007). Migration between the breeding and non-breeding areas is  
65 accomplished by physiological and behavioural shifts that take place at the appropriate time of the  
66 year and are triggered by endogenous circannual rhythms (Visser *et al.* 2010). Such endogenous  
67 rhythms have a strong genetic component (Visser *et al.* 2010) and are synchronized by external cues  
68 (Merrow *et al.* 2005). Variation in photoperiod has been suggested among the primary external cues  
69 promoting the synchronization of circannual rhythms (e.g. Gwinner 1986; Gwinner 2003; Sharp  
70 2005; Pulido 2007). Translating photoperiodic signals into appropriate behavioural and  
71 physiological changes takes place through complex neurophysiological cascades that involve  
72 specific receptors and their encoding genes, among which those of the ‘clock’ gene family play a  
73 major role (Panda *et al.* 2002; Lincoln *et al.* 2003; Bell-Pedersen *et al.* 2005; Ko & Takahashi  
74 2006). Specifically, research on migratory birds has focused on the *Clock* (*Circadian Locomotor*  
75 *Output Cycles Kaput*) gene, that regulates the core circadian oscillator, which is ultimately  
76 responsible for the onset and setting of circadian and circannual rhythms (Panda *et al.* 2002;  
77 Lincoln *et al.* 2003; Bell-Pedersen *et al.* 2005; Ko & Takahashi 2006). The avian *Clock* exonic  
78 region shows a C-terminal polyglutamine (Poly-Q) repeat sequence that varies in extent both among  
79 populations and among individuals within populations (e.g. Fidler & Gwinner 2003; Johnsen *et al.*  
80 2007; Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Peterson *et al.* 2013). It has been suggested that  
81 this allelic length polymorphism may modulate gene function (Gekakis *et al.* 1998; Hayasaka *et al.*

82 2002). Among-population comparisons in size of the *Clock* Poly-Q (number of repeat CAG  
83 sequences) have highlighted that *Clock* Poly-Q allele size increases at northern latitudes in the blue  
84 tit (*Parus caeruleus*) (Johnsen *et al.* 2007). Thus, specific *Clock* genotypes may be adapted to  
85 latitudinal differences in the photoperiod that migratory birds experience in their breeding areas.  
86 Since the timing of spring seasonal activities becomes increasingly delayed while moving  
87 polewards, longer *Clock* Poly-Q alleles should be associated with delayed timing of reproduction  
88 and pre-nuptial migration. In line with this hypothesis there is some evidence that, within-  
89 populations, individuals bearing longer *Clock* alleles show a delayed timing through the entire life  
90 cycle (Liedvogel *et al.* 2009; O'Malley *et al.* 2010; Caprioli *et al.* 2012; Saino *et al.* 2013; Bazzi *et*  
91 *al.* 2015; Bourret & Garant 2015; Saino *et al.* 2015, but see Liedvogel & Sheldon; Dor *et al.* 2011;  
92 Chakarov *et al.* 2013).

93 Hence, both theoretical and empirical arguments suggest that the candidate gene *Clock* is  
94 involved in the control of phenology and migratory behaviour. The polymorphism of candidate  
95 genes may represent the outcome of adaptation to natural selection pressures favouring specific  
96 traits of migratory birds that are at least partly controlled by those genes. If this hypothesis is true,  
97 interspecies differences in candidate gene polymorphism are expected to explain interspecies  
98 differences in migratory behaviour, such as migration timing or migration distance. Other candidate  
99 genes have been suggested to contribute to shaping migratory behaviour. For instance, *Adcyap1*,  
100 another candidate gene whose encoded polypeptide (PACAP) is also involved in the physiological  
101 regulation of circadian and circannual rhythms (Simonneaux *et al.* 1993; Hannibal *et al.* 1997; Nagy  
102 & Csernus 2007; Racz *et al.* 2008; Schwartz & Andrews 2013), shows a 3'-UTR microsatellite  
103 polymorphism that may explain variation in migratory restlessness and migration distance (Mueller  
104 *et al.* 2011; Peterson *et al.* 2013; Bazzi *et al.* 2016).

105 In this study, we assessed the degree of interspecific variation in *Clock* and *Adcyap1* gene  
106 polymorphism in a set of 23 trans-Saharan migratory birds that share the same broad selective  
107 pressures, ecology and migratory behaviour (Table S1). All these species breed in Eurasia and

108 winter in sub-Saharan Africa (Cramp 1998). We then assessed how genetic variation at these two  
109 candidate genes for migration covaries with interspecific variation in migratory traits. Despite the  
110 species considered in our study share the same general migration strategy (i.e. they all breed in  
111 Eurasia, winter south of the Sahara and cross the desert and central Mediterranean sea during spring  
112 migration), they show large among-species variation in migratory traits (see e.g. Rubolini *et al.*  
113 2005). For instance, several species migrate to the Mediterranean to breed, while others go further  
114 to northern Europe. Moreover, some species overwinter just south of the Sahara, in the Sahel  
115 region, while others extend their winter distribution to southern Africa (Rubolini *et al.* 2005). As a  
116 consequence, conspicuous interspecies differences in the timing of spring migration towards the  
117 breeding areas exist, as assessed by variation spring migration date across the Mediterranean (Spina  
118 *et al.* 1993; Pilastro & Spina 1997; Pilastro *et al.* 1998; Rubolini *et al.* 2004; Rubolini *et al.* 2005;  
119 Saino *et al.* 2007; Saino *et al.* 2010a; Robson & Barriocanal 2011). Moreover, large interspecific  
120 differences also exist in the variance of migration dates, with some species migrating over several  
121 weeks while others migrate over a few days only (Spina *et al.* 1993).

122 We first tested whether long *Clock* allele size predicted relatively late spring migration  
123 across the Mediterranean at the species level. This is expected because *Clock* genotypes with larger  
124 poly-Q stretches were found to be associated with a delayed phenology in some previous  
125 intraspecific studies (Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Saino *et al.* 2013; Bazzi *et al.*  
126 2015; Bourret & Garant 2015; Saino *et al.* 2015). Moreover, we tested the hypothesis that species  
127 migrating to more northern latitudes have longer *Clock* alleles. If *Clock* controls the rhythms of  
128 seasonal activities in the breeding areas (Johnsen *et al.* 2007; Liedvogel *et al.* 2009; Caprioli *et al.*  
129 2012; Bourret & Garant 2015), species migrating to northern breeding areas are expected to show  
130 longer *Clock* alleles.

131 Finally, species differ broadly in the extent of candidate genes polymorphism. Some species  
132 show very low polymorphism, especially at *Clock*, while others show a broad diversity of  
133 genotypes (Liedvogel *et al.* 2009; Liedvogel & Sheldon 2010; Dor *et al.* 2011; Caprioli *et al.* 2012;

134 Chakarov *et al.* 2013; Kuhn *et al.* 2013; Peterson *et al.* 2013; Saino *et al.* 2013; Bazzi *et al.* 2015;  
135 Bourret & Garant 2015; Saino *et al.* 2015; Bazzi *et al.* 2016). To our knowledge, no study has ever  
136 assessed whether such variability among species is associated with migratory behaviour. Several  
137 arguments suggest that this may be the case. For instance, species migrating over longer distances  
138 generally show reduced phenotypic variance in migratory behaviour compared to those migrating  
139 over shorter distances (Berthold 1996, 2001; Pulido & Widmer 2005). The former are likely under  
140 strong selection favouring those phenotypes and genotypes that performed better throughout a  
141 tightly scheduled annual cycle. Indeed, long-distance migrants arrive in late spring at their breeding  
142 sites (e.g. Hagan *et al.* 1991; Rubolini *et al.* 2010), have a rapid juvenile development, and leave for  
143 their non-breeding areas earlier than short-distance migrants (e.g. Gwinner 1986; Berthold 1996).  
144 This is achieved by a strong endogenous regulation of migratory behaviour (e.g. Gwinner 1971;  
145 Gwinner 1972; Hagan *et al.* 1991; Gwinner & Helm 2003). By contrast, species migrating over  
146 shorter distances have less tight annual routines and show a more labile endogenous control,  
147 resulting in larger phenotypic variance (e.g. Gwinner 1972; Pulido & Widmer 2005). We might thus  
148 predict that species migrating over longer distances, showing a delayed migration and a reduced  
149 variance in timing of migration, would show reduced candidate gene polymorphism. We built  
150 several hypothetical models relating migration distance, spring migration timing (date and variance)  
151 to both *Clock* and *Adcyap1* gene polymorphism, and used phylogenetic path analysis (von  
152 Hardenberg & Gonzalez-Voyer 2013; Gonzalez-Voyer & von Hardenberg 2014) to try to infer the  
153 possible causal relationships among variables.

154

## 155 **Materials and Methods**

156

### 157 Study species and field methods

158

159 We included in our study 23 small- to medium-sized long-distance migratory bird species belonging  
160 to 6 orders (Columbiformes, Caprimulgiformes, Coraciiformes, Bucerotiformes, Piciformes and  
161 Passeriformes) (Table S1; Fig. S1). The study was conducted at Ventotene (40°48'N – 13°25'E), a  
162 small island located in the central Mediterranean sea, ca. 50 km off the Italian coast. Birds were  
163 trapped during spring migration using mist-nets, following standard protocols with constant capture  
164 effort, and individually marked with metal rings (Spina *et al.* 1993; Saino *et al.* 2010a). We  
165 considered in this study all those trans-Saharan migratory species (i.e. species that breed in Eurasia  
166 and mainly or partly overwinter in sub-Saharan Africa, according to Cramp 1998) that regularly  
167 stopover at the study site during spring migration and that we could sample in sufficiently large  
168 numbers to allow reliable estimates of genetic variation (see below). During spring migration, most  
169 birds arrive at Ventotene directly from North Africa, after crossing the Sahara Desert and the  
170 Mediterranean Sea (Pilastro *et al.* 1995; Pilastro & Spina 1997; Pilastro *et al.* 1998; Grattarola *et al.*  
171 1999; Saino *et al.* 2010b). Most of the study species are known to perform only very short stopovers  
172 at Ventotene, and in most cases birds only rest on the island for a few hours before resuming their  
173 migration towards their breeding quarters (Goymann *et al.* 2010; Tenan & Spina 2010). Only first  
174 capture dates (i.e. excluding recaptures of birds previously ringed during the same season) were  
175 considered in this study. As in previous studies, we assumed that the distribution of first capture  
176 date reliably reflects the phenology of spring migration across the Mediterranean Sea of the target  
177 species (Saino *et al.* 2010a; Saino *et al.* 2015).

178 Samples for genetic analyses were taken during March-May 2002, 2008, 2013 and 2014. Each  
179 species was sampled in a single study year, the only exception being the European nightjar  
180 (*Caprimulgus europaeus*) that was sampled in both 2013 and 2014 to increase sample size. The

181 trapping period encompassed the whole spring migration period of the study species at Ventotene  
182 (Spina *et al.* 1993; Messineo *et al.* 2001; Saino *et al.* 2010a). We aimed at genotyping at least 30  
183 individuals per species, evenly distributed throughout the migration period of each species. Hence,  
184 we sampled every *i*-th individual of a given species, and species-specific *i* values were decided  
185 based on the capture data of previous years (see Saino *et al.* 2010a; Saino *et al.* 2015). We also  
186 included data from four species (*Anthus trivialis*, *Ficedula hypoleuca*, *Luscinia megarhynchos*,  
187 *Saxicola rubetra* and *Phylloscopus trochilus*) that had been genotyped in larger numbers for other  
188 purposes (Saino *et al.* 2015; our unpubl. data) (see Table S2). For each individual we took a small  
189 blood (ca. 10-30  $\mu$ l; collected in heparinized capillary tubes, on sterile tissue paper or on glass  
190 slides) or feather sample (3/4 undertail coverts) for genetic analyses. Samples were stored at -20 °C  
191 (blood collected in capillary tubes) or at room temperature (blood collected on tissue paper,  
192 preserved in buffer; feathers; blood collected on ethanol-fixed and dried glass slides).

193

#### 194 Life-history traits

195

196 For each species, we recorded several life-history traits, including distribution, population size and  
197 phenological variables. All data are reported in Table S1. Information on distribution was obtained  
198 from the most recent distribution maps available (BirdLife International & NatureServe 2015) and  
199 distribution traits were calculated using ArcMap 9.3 (ArcGis® software by ESRI). Considering that  
200 birds were sampled at Ventotene during spring migration towards northern breeding areas, we  
201 excluded from the calculations the breeding range south of Ventotene (i.e. south of 40°48' N).  
202 Moreover, we excluded all breeding ranges extending east of the Urals (i.e. east of 60°00' E). Ring  
203 recoveries from the central Mediterranean corroborate our decision to exclude those populations  
204 (see Spina & Volponi 2008a, b). Similarly, we excluded from the calculations the European sector  
205 of the wintering range north of the latitude of Ventotene (some species are establishing wintering  
206 populations in southern Europe, e.g. the hoopoe *Upupa epops* and the wryneck *Jynx torquilla*)

207 (Cramp 1998; van Wijk *et al.* 2013) and included only information from the African winter  
208 distribution and from those regions of the European winter distribution that lie south of the latitude  
209 of Ventotene.

210 For each species we recorded the following distribution variables: 1) northernmost breeding  
211 latitude (hereafter breeding latitude, expressed in decimal degrees; the northernmost breeding  
212 latitude indicates of how much a species' distribution spreads northwards); 2) breeding range size  
213 (in km<sup>2</sup>); 3) latitudinal width of breeding and of wintering distribution ranges (breeding and  
214 wintering range widths, hereafter), calculated as the difference between the northernmost and the  
215 southernmost latitude of breeding and wintering distribution ranges, respectively (in decimal  
216 degrees); 4) migration distance, calculated as the distance (in km) between the centroids of breeding  
217 and wintering ranges obtained as outlined above (Table S1).

218 We computed two components of species-specific phenology at Ventotene: the median spring  
219 migration date (day 1 = January 1) (mean value of yearly median migration dates for each species;  
220 migration date hereafter), and the spread of migration dates (migration spread hereafter; calculated  
221 as the mean value of the yearly difference between the 90<sup>th</sup> and the 10<sup>th</sup> percentile of migration  
222 dates, expressed in days). To do this, we used data collected in the years 2006-2012 (sampling  
223 period March 1 to May 31, encompassing the entire migration period of trans-Saharan migrants in  
224 the Mediterranean; see also Saino *et al.* 2010a). Both timing of spring migration and migration  
225 spread were significantly repeatable within species (migration date, repeatability:  $R = 0.87$ ,  $F_{22,138} =$   
226  $49.88$ ,  $P < 0.001$ ; migration spread, repeatability:  $R = 0.49$ ,  $F_{22,138} = 8.09$ ,  $P < 0.001$ ;  $N = 23$  species  
227 and  $N = 161$  species  $\times$  year combinations) (see also Rubolini *et al.* 2005).

228

229 Genetic analyses and candidate gene polymorphism

230

231 Blood genomic DNA was extracted by alkaline lysis. Approximately 6  $\mu$ l of blood (from capillary  
232 tubes, tissue paper or grass slides) were boiled at 100°C in 70-100  $\mu$ l (depending on the quantity of

233 blood available) of a 50mM NaOH solution for 20 min. Extracted DNA was then quantified using a  
234 spectrophotometer and diluted to a final concentration of 50-100 ng/μl. Genomic DNA from  
235 feathers was extracted using a commercial kit, as described in Saino *et al.* (2015) (ArchivePure  
236 DNA purification kit, 5 PRIME, Hilden, Deutschland).

237 Polymorphisms at *Clock* poly-Q and *Adcyap1* 3'-UTR were determined by fragment analysis  
238 after PCR amplification as in Caprioli *et al.* (2012) and Saino *et al.* (2015). PCR products were  
239 labelled with HEX (*Clock*), 6-FAM (*Clock* and *Adcyap1*) or TAMRA (*Adcyap1*) dyes and PCR  
240 products of 2 individuals or 2 loci were mixed in a single well in most cases.

241 *Clock* allele size was expressed for each species as the mean of individuals' mean or long  
242 *Clock* allele sizes. The use of the long (besides mean) allele size is justified because dominance of  
243 the longer allele in shaping the phenotype has been suggested for *Clock* (Liedvogel *et al.* 2009;  
244 Saino *et al.* 2015), similarly to other genes that show poly-Q polymorphism (Ross 2002; Fondon *et al.*  
245 2008). As *Clock* allele length polymorphism is due to a variable number of glutamine codons  
246 sequences, we can safely compare *Clock* allele sizes of different species. Conversely, *Adcyap1*  
247 allele size could not be compared among species. The *Adcyap1* 3'-UTR length polymorphism is  
248 mainly due to a compound microsatellite sequence variation, but alleles differing by just one  
249 nucleotide occur (Saino *et al.* 2015; Bazzi *et al.* 2016), proving that the observed polymorphism  
250 could be due to any type of nucleotide variation, among species. Hence, *Adcyap1* allele size  
251 differences among species could not be attributed to short tandem repeats only, making variation in  
252 allele size of this candidate gene not useful for comparative purposes.

253 Finally, as species (besides differing in allele length) broadly varied in allele and genotype  
254 diversity, we calculated an index of gene diversity across species for both the *Clock* and *Adcyap1*  
255 loci (hereafter *Clock* and *Adcyap1* gene diversity, respectively). Gene diversity ( $\hat{h}$ ) was calculated  
256 as the allelic diversity for a given locus according to the formula:  $\hat{h} = 2m (1 - \sum x_i^2) / (2m - 1)$ ,  
257 where  $m$  is the number of individuals for each species and  $x_i$  the frequency of the  $i$ -th allele (see Nei  
258 & Roychoudhury 1974; formula 12.33 in Nei & Kumar 2000).

259 Gene diversity of both *Clock* and *Adcyap1* loci was unrelated to sample size, population size  
260 ( $\log_{10}$ -transformed estimated number of breeding pairs in Europe) (from the BirdLife International  
261 website [www.birdlife.org](http://www.birdlife.org)), or breeding range size (Table S1). Hence, these variables did not affect  
262 candidate gene diversity estimates, and were not further considered.

263 On the whole, we successfully genotyped 1400 individuals for the *Clock* locus and 1088  
264 individuals for *Adcyap1* (Table S1).

265

266 Phylogenetic comparative analyses

267

268 In order to statistically control for phylogenetic relationships among species, as required in  
269 comparative studies (Felsenstein 1985; Harvey & Pagel 1991; Freckleton *et al.* 2002), we  
270 downloaded from the BirdTree website ([www.birdtree.org](http://www.birdtree.org)) (Jetz *et al.* 2012) 2000 trees from both  
271 the ‘Ericson’ and the ‘Hackett’ phylogenies of our set of 23 species (following Rubolini *et al.* 2015;  
272 details in Jetz *et al.* 2012). Both the ‘Ericson’ and the ‘Hackett’ tree sets were summarized into  
273 consensus trees (50% majority-rule consensus tree), following the procedure described in Rubolini  
274 *et al.* (2015), using the SumTrees program, part of DendroPy, a Python library for phylogenetic  
275 computing (Sukumaran & Holder 2010). Since the ‘Hackett’ consensus tree showed a basal  
276 polytomy between the European nightjar and the turtle dove (*Streptopelia turtur*), it could not be  
277 used in phylogenetic analyses. Hence, all subsequent analyses were based on the ‘Ericson’  
278 phylogeny only. The consensus tree is shown in Fig. S1.

279 To investigate the associations between *Clock* allele size or *Clock* and *Adcyap1* gene diversity  
280 and migratory traits, we relied on phylogenetically corrected generalized least-square regression  
281 models (PGLS). PGLS models were ran using the ‘pgls’ function of the R library caper (ver. 0.5.2)  
282 (Orme *et al.* 2011), with the  $\lambda$  parameter (Freckleton *et al.* 2002) estimated using maximum  
283 likelihood (ML).

284 We first tested whether *Clock* allele size predicted phenology by means of PGLS models of  
285 migration date as a function of *Clock* allele size. Since interspecific variation in timing of spring  
286 migration of trans-Saharan migrants across the Mediterranean is strongly related to wintering  
287 latitude, with species wintering at more southern latitudes in Africa migrating later at Ventotene  
288 (Rubolini *et al.* 2005), we accounted for the possible confounding effects of migration distance on  
289 migration date by including migration distance as a further predictor in the PGLS models. On the  
290 other hand, breeding latitude was not a significant predictor of migration date at Ventotene neither  
291 in this (details not shown) nor in previous studies of timing spring migration at Ventotene (Rubolini  
292 *et al.* 2005) while controlling for migration distance. Secondly, we ran PGLS models of *Clock* allele  
293 size as a function of breeding latitude. All models were run by considering either mean and long  
294 *Clock* allele size.

295 Finally, to investigate how migration-related traits affected gene diversity and species' traits,  
296 we ran four separate PGLS models in which gene diversity (both *Clock* and *Adcyap1*) was the  
297 dependent variable and breeding range width, wintering range width, migration distance and  
298 migration date were the predictors. We relied on univariate models since the different species' traits  
299 were intrinsically intercorrelated (details not shown). If candidate gene diversity reflects an  
300 adaptation to phenologically and ecologically variable environmental conditions, we may expect  
301 gene diversity to increase with increasing (breeding and wintering) range width. On the other hand,  
302 we expected gene diversity to decrease with increasing migration distance and later migration date  
303 (see Introduction). Moreover, if low gene diversity at candidate genes imposes constraints on  
304 phenotypic variance, we could expect low gene diversity to result in a smaller spread of migration  
305 dates. Hence we ran a further PGLS model in which migration spread was the dependent variable  
306 and gene diversity (both *Clock* and *Adcyap1*) was the predictor.

307 The robustness of PGLS models was checked with respect to phylogenetic uncertainty by  
308 means of the model-averaging method recommended by Garamszegi and Mundry (2014). BirdTree  
309 tree sets include a sample of Markov Chain Monte Carlo (MCMC) trees sampled in proportion to

310 their posterior probability (Jetz *et al.* 2012), and individual trees might vary either in topology or  
311 branch length. To this end, we ran a PGLS model for each of the 2000 trees of our tree set.  
312 Parameter estimates were then averaged across the models according to an information-theoretic  
313 (IT) approach (Burnham & Anderson 2002), with each model weighted according to its fit to the  
314 data, as evaluated using the Akaike's information criterion (AIC), and reported with their associated  
315 95% confidence intervals (CIs).

316 To disentangle the causal relationships behind the associations between migratory traits and  
317 gene diversity, we carried out a phylogenetic confirmatory path analysis, following von Hardenberg  
318 and Gonzalez-Voyer (2013), Gonzalez-Voyer and von Hardenberg (2014). The causal hypotheses,  
319 described in the Results section, were represented with directed acyclic graphs (DAGs), that can be  
320 mathematically expressed as a set of structural equations. The hypothesized causal models were  
321 tested by means of the d-sep test applied to PGLS models (von Hardenberg & Gonzalez-Voyer  
322 2013). The Fisher's *C* statistic was computed for each causal model (Shipley 2000), and the best-  
323 fitting models were selected by ranking them according to the *C*-statistic Information Criterion  
324 (CIC) (von Hardenberg & Gonzalez-Voyer 2013). If models were equally well-supported (i.e. they  
325 had a  $\Delta\text{CIC} < 2$  from the best-fitting model; von Hardenberg & Gonzalez-Voyer 2013), path  
326 coefficients (calculated on standardized variables) were averaged according to their CICc weights  
327 (Symonds & Moussalli 2011). Standardized path coefficients are reported with their associated 95%  
328 CIs. All analyses were run using R 3.0.1 (R Core Team 2013).

329

330 **Results**

331

332 *Clock* allele size, phenology and distribution

333

334 We observed a strong phylogenetic signal in *Clock* allele size, with estimated  $\lambda$  values varying  
335 between 0.75 and 0.93 for long and mean allele size, respectively (ML  $\lambda$  estimates derived from  
336 intercept-only PGLS models). Hence, closely related species show more similar *Clock* allele sizes  
337 than distantly related ones due to phylogenetic descent.

338 Interspecific variation in spring migration date was not significantly affected by *Clock* allele  
339 size (either mean or long) across species (Table 1). The results were similar when controlling for  
340 the effect of migration distance on migration date [mean *Clock* allele size, estimate: -0.24 (0.28 SE),  
341  $t = -0.85$ ,  $P = 0.40$ ; effect of migration distance, estimate: 65.14 (13.94 SE),  $t = 4.67$ ,  $P < 0.001$ ;  
342 results for the long *Clock* allele were qualitatively similar, details not shown for brevity].

343 On the other hand, interspecific variation in long *Clock* allele size was significantly and  
344 positively predicted by breeding latitude (Table 1, Fig. 2), while the association was weaker and  
345 non-significant for the mean *Clock* allele size (Table 1). The alternative scenario, i.e. that longer  
346 *Clock* alleles might allow species to colonize more northern latitudes, was also supported [effect of  
347 *Clock* allele size on breeding latitude; mean allele size, PGLS estimate: 0.42 (0.18 SE),  $t = 2.40$ ,  $P =$   
348  $0.026$ ; long allele size, estimate: 0.48 (0.16 SE),  $t = 2.93$ ,  $P = 0.008$ ]. Model-averaged parameter  
349 estimates confirmed in all cases the results from PGLS models ran on the consensus tree (see Table  
350 1; other details not shown for brevity for the alternative scenario).

351

352 Gene diversity, phenology and distribution

353

354 We observed a strong phylogenetic signal in *Clock* (ML estimate of  $\lambda = 1$ ) but not *Adcyap1* gene  
355 diversity ( $\lambda = 0$ ), implying that closely related species show more similar *Clock* (but not *Adcyap1*)  
356 gene diversity than distantly related ones due to common ancestry.

357 *Clock* gene diversity was negatively and significantly predicted by migration distance and  
358 migration date in univariate PGLS models (Table 2; Fig. 3). Moreover, *Clock* gene diversity  
359 significantly and positively predicted migration spread [PGLS, estimate: 9.71 (4.42 SE),  $t = 2.19$ ,  $P$   
360 = 0.039; Fig. 3]. Conversely, neither the width of the breeding range nor that of the wintering range  
361 significantly predicted *Clock* gene diversity (Table 2). In contrast, in similar univariate PGLS  
362 models, *Adcyap1* gene diversity was not significantly affected by any of the phenological or  
363 distribution variables we considered (Table 2), and it did not significantly predict migration spread  
364 (PGLS, estimate = 1.94 (6.51 SE),  $t = 0.30$ ,  $P = 0.77$ ). All results from the univariate PGLS models  
365 of gene diversity run on the consensus tree were confirmed by the model-averaged parameter  
366 estimates (see Table 2; details not shown for gene diversity vs. migration spread).

367

368 Phylogenetic path analysis of the association between *Clock* gene diversity, migration distance,  
369 migration date and migration spread

370

371 To limit complexity, causal models were built based on the results derived from the exploratory  
372 univariate PGLS models described in the previous paragraph. We considered only those variables  
373 that were significantly associated with gene diversity. Hence, we did not run any path analysis  
374 involving *Adcyap1* gene diversity and built a set of 12 causal models relating migration distance  
375 (M), migration date (D) and migration spread (S) to *Clock* gene diversity (H) (Fig. 1). Migration  
376 distance, migration date and migration spread can be regarded as a part of the same ‘migratory  
377 syndrome’ and are tightly related to each other (see also Dingle 2006). Based on previous evidence  
378 (e.g. Francis & Cooke 1986; Hagan *et al.* 1991; Kissner *et al.* 2003; Rubolini *et al.* 2005), in all  
379 causal models we assumed that species migrating over longer distances arrive later in spring (late

380 migration date), and that species that arrive late in spring also show smaller migration spread, either  
381 directly as a consequence of longer migrations (M09, M10 and M12) or indirectly as a consequence  
382 of later migration (M02-M08). As explained above, we assumed that migration distance and  
383 migration date affected *Clock* gene diversity, while the latter was assumed to affect migration  
384 spread. M01 was *a priori* deemed as highly unlikely (it implied that migration distance and  
385 migration date independently and directly affected *Clock* gene diversity) and was tested as a  
386 ‘control’ model.

387 Most causal models fitted the data relatively well, as gauged by the non-significant values of  
388 the Fisher’s *C* statistic (Table 3). M03 and M02 were identified as the best causal models ( $\Delta\text{CIC} <$   
389 2) (Table 3). These models envisaged that both migration distance and migration date directly affect  
390 *Clock* gene diversity (Fig. 1). Moreover, migration date was affected by migration distance, which  
391 in turn affected migration spread (Fig. 1). Standardized path coefficients from the best fitting  
392 models suggested that migration date and distance both directly affected *Clock* gene diversity with a  
393 similar intensity (Table 4). Finally, the other direct causal path showed that migration distance  
394 positively and significantly predicted median migration date, and that migration spread was  
395 negatively and significantly predicted by migration date (Table 4).

396

397

## 398 **Discussion**

399

400 In this comparative study of 23 trans-Saharan migratory bird species we investigated the association  
401 between *Clock* and *Adcyap1* polymorphism and life-history traits related to migration and  
402 geographic distribution. We found a significant relationship between breeding latitude and *Clock*  
403 allele size, with species having breeding ranges that extend to more northern latitudes that bear on  
404 average longer alleles. Conversely, *Clock* allele size was not related to migration date through the  
405 central Mediterranean. *Clock* gene diversity was predicted by several traits related to migration:

406 longer migration distances, delayed spring migration across the central Mediterranean sea, and  
407 reduced variance of migration dates were all significantly associated with lower *Clock* gene  
408 diversity. The possible causal relationships between *Clock* gene diversity and migratory traits were  
409 investigated using phylogenetic confirmatory path analysis (von Hardenberg & Gonzalez-Voyer  
410 2013; Gonzalez-Voyer & von Hardenberg 2014). No association between *Adcyap1* genetic diversity  
411 and life-history traits emerged: we will henceforth focus on *Clock* gene polymorphism only.

412

413 Life-history and interspecific variation in *Clock* allele size

414

415 We found *Clock* allele size to increase with the northernmost latitude reached by the breeding range  
416 of a species, and the relationship was stronger for the long rather than the mean *Clock* allele size.  
417 This finding corroborates the hypothesis that length polymorphism could reflect an adaptation to  
418 different photoperiodic conditions experienced by birds breeding at different latitudes (Johnsen *et*  
419 *al.* 2007). The timing of the breeding season broadly varies across Europe along a southwest-  
420 northeast axis; birds directed towards northern latitudes generally have delayed and shorter  
421 reproductive periods and experience much more marked daily differences in photoperiod compared  
422 to their southern breeding counterparts in their breeding areas (e.g. Gwinner 1986; Berthold 1996).  
423 *Clock* alleles with more CAG repeats could provide an adaptive advantage for migrants that must  
424 delay the timing of breeding and modulate the reproductive season (and other events of the annual  
425 life cycle, including timing of migration) accordingly. Since photoperiod acts as a major external  
426 cue to synchronize circannual rhythms (e.g. Gwinner 1986; Gwinner 2003; Sharp 2005; Pulido  
427 2007), the photoperiodic *Clock* gene could mainly schedule the timing of life-history events of  
428 migrants while they are at the breeding grounds (Liedvogel *et al.* 2009; Caprioli *et al.* 2012; Bourret  
429 & Garant 2015), where the seasonal differences in day length are more pronounced. Hence, natural  
430 selection could have favoured the occurrence of longer alleles where birds need to cope with sharp  
431 daily changes of photoperiod. In both cases, natural selection may have led to a higher frequency of

432 such alleles in species breeding at northern latitudes compared to those species with a more  
433 southerly distribution. These findings are in accordance with latitudinal clines in *Clock* allele size  
434 that have been previously reported among blue tit populations (Johnsen *et al.* 2007) and in allelic  
435 polymorphism of ‘clock’ family genes among *Drosophila* populations (Costa *et al.* 1992; Hut *et al.*  
436 2013).

437 Finally, the strength of the allele size-breeding latitude association was larger for the long  
438 compared to the mean *Clock* allele size: this hints a dominance of the longer allele, as previously  
439 suggested for *Clock* and other candidate genes for migration (Liedvogel *et al.* 2009; Saino *et al.*  
440 2015; Bazzi *et al.* 2016). This would be consistent with the notion that many phenotypic traits  
441 (including pathological states, such as the Huntington’s disease) originating from tandem repeat  
442 sequences show dominance of the longer allele (Ross 2002; Fondon *et al.* 2008).

443 On the other hand, we did not find any association between timing of spring migration and  
444 *Clock* polymorphism. Such an association was expected because *Clock* polymorphism has been  
445 previously shown to predict phenology of spring migration at the individual level in some bird  
446 species (Bazzi *et al.* 2015; Saino *et al.* 2015). The lack of significant associations between *Clock*  
447 allele size and migration date was confirmed also when removing the confounding effect of  
448 migration distance, that is a strong determinant of timing of spring migration across species (Francis  
449 & Cooke 1986; Hagan *et al.* 1991; Rubolini *et al.* 2005). It might be speculated that *Clock* allele  
450 size might be more strongly tied with the phenology of other seasonal life cycle events, such as  
451 timing of breeding or departure for autumn migration, rather than timing of spring migration across  
452 the Mediterranean. Indeed, timing of breeding and autumn migration are expressed in highly  
453 seasonal environments, and are expected to be more strongly linked to photoperiodic cues that can  
454 affect *Clock* gene expression than timing of spring migration from equatorial Africa to Eurasia.

455

456

457

458 *Clock* gene diversity and migratory traits

459

460 Long-distance migratory birds typically show less phenotypic variance in migratory traits compared  
461 to those migrating over shorter distances (Berthold 1996, 2001; Pulido & Widmer 2005). It has  
462 been suggested that the low phenotypic variance observed among long-distance migratory species  
463 could arise from a tighter endogenous control of migration, resulting in environmental canalization  
464 of migratory behaviour (Debat & David 2001; Pulido & Widmer 2005), rather than from depleted  
465 additive genetic variation (Pulido & Widmer 2005). Long-distance migrants leave the wintering  
466 grounds thousands of km far from their breeding quarters, and weeks or months before their arrival,  
467 with very limited (if any) cues about the ecological conditions at their goal areas (Saino &  
468 Ambrosini 2007). Thus, the low genetic variation exhibited by such species, along with  
469 environmental canalization, could be explained by the occurrence of a strong stabilizing selection  
470 towards the few phenotypes (and genotypes) that allow birds to reach the critical fuel load required  
471 for successfully completing their long journey across ecological barriers of the Sahara Desert and  
472 Mediterranean Sea, to migrate during the short window of optimal conditions *en route* and to reach  
473 the breeding sites just in time to exploit the peak of food resources that are required for achieving a  
474 successful reproduction (e.g. Berthold 1995; Merilä 1999; Both & Visser 2001). Although our study  
475 included only trans-Saharan migratory bird species, interspecies differences in migration distances  
476 were huge, varying from less than 3000 km in Mediterranean breeding species wintering in the  
477 Sahel (e.g. *Sylvia cantillans*) to more than 7000 km in species breeding in north-central Europe and  
478 wintering in southern Africa (e.g. *Hippolais icterina*). Similar huge differences occurred in the  
479 timing of spring migration across the Mediterranean, with early trans-Saharan migratory species  
480 arriving at Ventotene as early as the beginning of April and late species as late as mid-May (Table  
481 S1 and Rubolini *et al.* 2005). Our finding showed that such differences in migratory behaviour are  
482 associated with interspecies differences in *Clock* gene diversity. The phylogenetic path analysis and  
483 the analysis of standardized path coefficients indicated that both migration date and migration

484 distance significantly and directly affected *Clock* gene diversity. The best supported models  
485 envisaged also direct effects of migration distance on migration date and migration spread, with  
486 species migrating over longer distances arriving late at Ventotene and having a smaller migration  
487 spread. Hence, the path analysis suggests that both migration distance and the time constraints  
488 imposed by a delayed migration negatively affected *Clock* gene diversity. Late migration implies a  
489 shorter breeding season, rapid nestling development and early departure for autumn migration: all  
490 these traits require a relative inflexibility to external cues and tight endogenous regulation of life  
491 cycle events. Hence, depleted genetic variation at *Clock*, and possibly other candidate genes as well  
492 (though not *Adcyap1*), may be a consequence of such tight life cycle constraints that are ultimately  
493 due to migrating over longer distances.

494

495 Concluding remarks

496

497 This study demonstrates that a comparative approach may prove extremely useful to highlight the  
498 role of candidate genes in the evolution of migratory traits, and vice-versa. To our knowledge, such  
499 a broad, inter-species approach has never been previously applied in studies of candidate genes  
500 variation. By highlighting that species directed to northern latitudes had a higher frequency of  
501 longer *Clock* alleles, we provide novel evidence for a possible role of *Clock* gene polymorphism in  
502 adaptation to local photoperiodic during the breeding season. Moreover, we showed that the extent  
503 of *Clock* gene diversity was related to migratory behaviour. Hence, the *Clock* gene may be of  
504 pivotal evolutionary importance for long-distance migrants. The populations of these species, and in  
505 particular of those migrating over longer distances, are heavily negatively affected by climate  
506 changes (Sanderson *et al.* 2006; Møller *et al.* 2008; Saino *et al.* 2011), partly because they appear to  
507 be constrained in their response to environmental changes by reduced phenotypic plasticity (Pulido  
508 & Widmer 2005; Rubolini *et al.* 2010; Knudsen *et al.* 2011). Our results suggest that the weaker  
509 response of these species to climate change may at least partly result from loss of genetic variation

510 at candidate genes controlling migratory behaviour. Importantly, depleted diversity at candidate  
511 genes for migration may provide a mechanisms contributing, besides environmental canalization, to  
512 the smaller phenotypic variance of migration-related traits among long-distance migrants.

513

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520

521

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740 **Data Accessibility**

741 The data on which the present study is based are all included in the Supporting Information.

742

743 **Author contributions**

744 GB, NS, and DR designed the study. GB, DR, CDP, NS, FS, and JGC collected the data. GB, MC,  
745 SP, CDP, LG and EG performed the lab work. DR, GB and RA analyzed the data. DR and GB  
746 wrote the paper.

747

748

749 **Table 1.** PGLS regression models testing the effect of *Clock* allele size (species-specific mean of  
750 mean or long allele size) on migration date (above) and the effect of breeding latitude on *Clock*  
751 allele size (below) of 23 trans-Saharan migratory bird species. Model-averaged parameters and their  
752 95% CIs (accounting for phylogenetic uncertainty) are also shown (see Methods).

Trait	Estimate (SE)	<i>t</i>	<i>P</i>	Model-averaged parameter [95% CIs]
<i>Migration date vs. Clock allele size</i>				
Mean allele size	-0.19 (0.34)	-0.55	0.59	-0.08 [-0.69; 0.53]
Long allele size	-0.12 (0.32)	-0.37	0.72	-0.02 [-0.60; 0.54]
<i>Clock allele size vs. breeding latitude</i>				
Mean allele size	0.23 (0.14)	1.61	0.12	0.22 [-0.05; 0.50]
Long allele size	0.42 (0.17)	2.55	0.018	0.43 [0.10; 0.76]

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759 **Table 2.** Univariate PGLS regression models testing the effect of distribution and phenology on  
 760 *Clock* and *Adcyap1* gene diversity of 23 trans-Saharan migratory bird species. Migration distance is  
 761 expressed in km × 1000. Model-averaged parameters and their 95% CIs (accounting for  
 762 phylogenetic uncertainty) are also shown (see Methods).

763  
 764  
 765

<b>Trait</b>	<b>Estimate (SE)</b>	<b><i>t</i></b>	<b><i>P</i></b>	<b>Model-averaged parameter [95% CIs]</b>
<i>Clock gene diversity</i>				
Breeding range width	-0.006 (0.005)	-1.11	0.28	-0.006 [-0.015; 0.004]
Wintering range width	-0.003 (0.003)	-1.02	0.32	-0.003 [-0.008; 0.003]
Migration distance	-0.086 (0.033)	-2.62	0.016	-0.081 [-0.145; -0.016]
Migration date	-0.011 (0.004)	-2.60	0.017	-0.010 [-0.018; -0.002]
<i>Adcyap1 gene diversity</i>				
Breeding range width	0.008 (0.006)	1.46	0.17	0.008 [-0.003; 0.020]
Wintering range width	0.001 (0.003)	0.48	0.64	0.001 [-0.004; 0.007]
Migration distance	0.004 (0.036)	0.11	0.91	0.004 [-0.067; 0.075]
Migration date	0.001 (0.004)	0.22	0.83	-0.001 [-0.007; 0.009]

766

767

768 **Table 3.** List of the 12 hypothesized causal models (see Fig. 1 for graphical representation) and  
 769 their statistics. Fisher's *C* statistic is reported together with its associated degrees of freedom, p-  
 770 value and CIC value. Models are sorted according to their CIC values (lowest values represent the  
 771 best-fitting models). The best models (i.e. those with  $\Delta\text{CIC} < 2$ ) are highlighted in boldface.  
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<b>Model</b>	<b><i>C</i></b>	<b>df</b>	<b><i>P</i></b>	<b>CICc</b>	<b><math>\Delta\text{CIC}</math></b>
<b>M03</b>	<b>5.18</b>	<b>6</b>	<b>0.52</b>	<b>26.64</b>	<b>0.00</b>
<b>M02</b>	<b>5.93</b>	<b>6</b>	<b>0.43</b>	<b>27.39</b>	<b>0.75</b>
M05	3.26	4	0.52	29.55	2.90
M06	3.61	4	0.46	29.90	3.25
M07	3.68	4	0.45	29.97	3.32
M11	10.80	6	0.09	32.26	5.62
M08	1.01	2	0.60	32.86	6.22
M04	11.95	6	0.06	33.41	6.77
M09	9.53	4	0.049	35.82	9.18
M12	9.60	4	0.048	35.89	9.25
M10	17.87	6	0.007	39.34	12.69
M01	25.72	6	< 0.001	47.19	20.55

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 777

778 **Table 4.** Standardized path coefficients (with lower, LCL, and upper, UCL, 95% CI) from the two  
 779 best-fitting models identified in Table 3 (M03 and M02; see Fig. 1).

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Path	Coefficient (SE)	LCL	UCL
<i>Direct causal effects on H</i>			
D → H	-0.43 (0.17)	-0.76	-0.11
M → H	-0.39 (0.15)	-0.68	-0.10
<i>Other direct causal paths</i>			
M → D	0.59 (0.18)	0.25	0.94
D → S	-0.59 (0.18)	-0.93	-0.24

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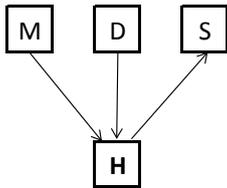
784 **Figure 1.** Directed acyclic graphs (DAG) representing causal hypotheses of the relationships  
785 between *Clock* gene diversity (H), migration distance (M), migration date (D) and spread of  
786 migration dates (S).

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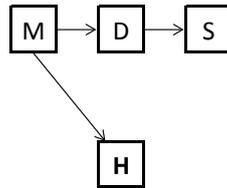
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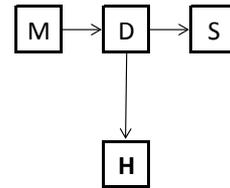
**M01**



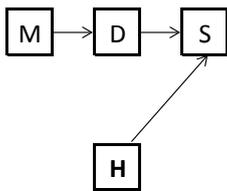
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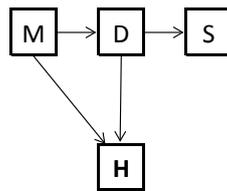
**M03**



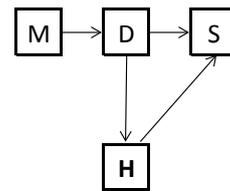
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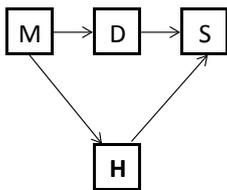
**M05**



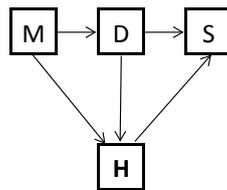
**M06**



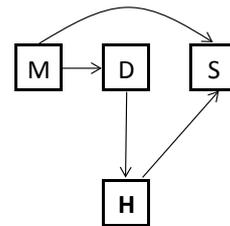
**M07**



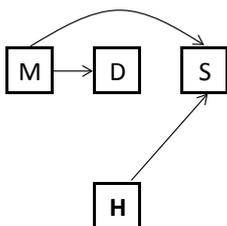
**M08**



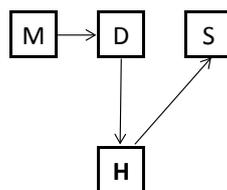
**M09**



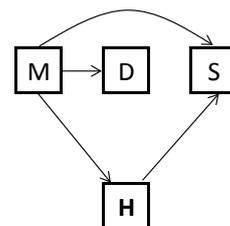
**M10**



**M11**

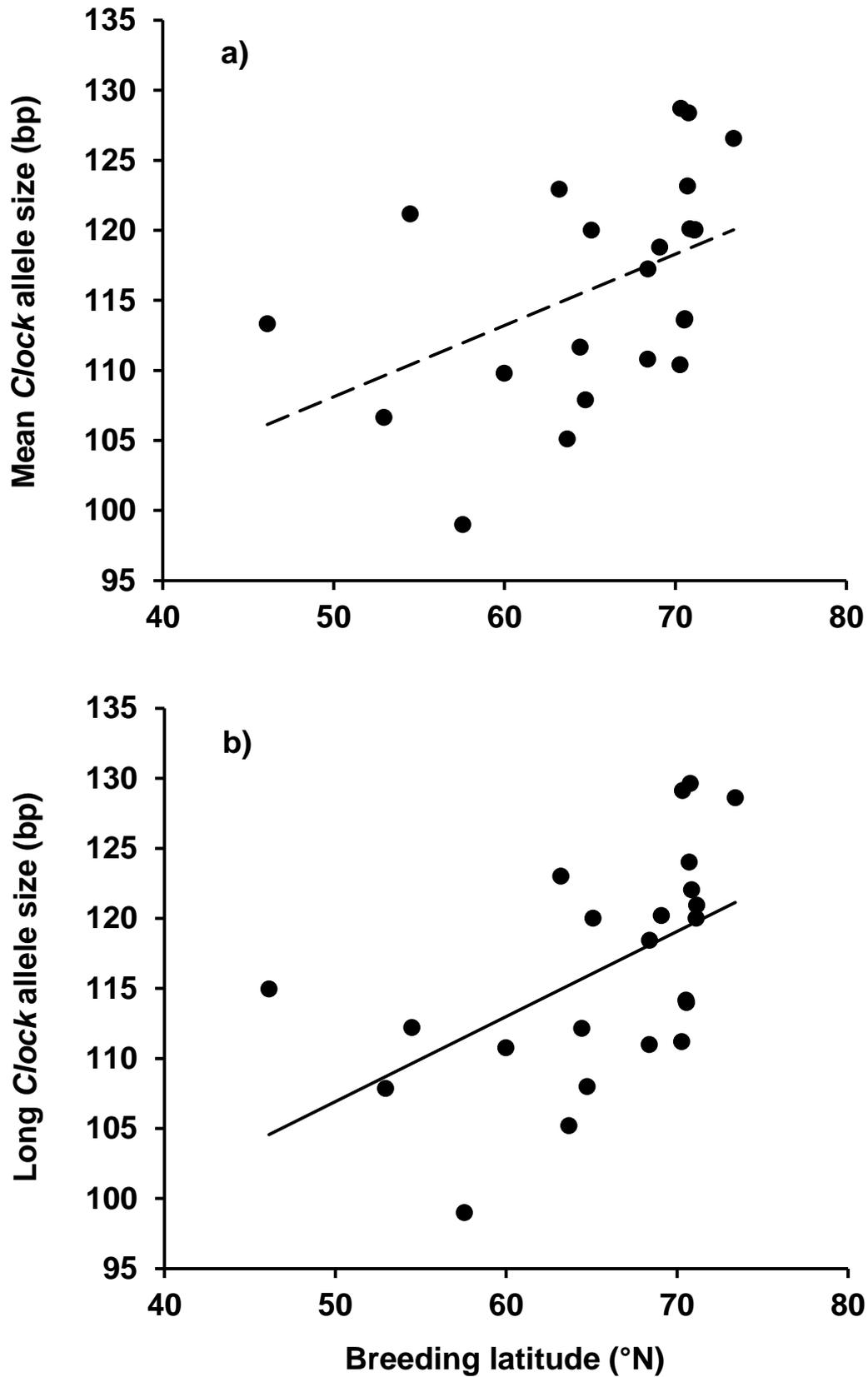


**M12**



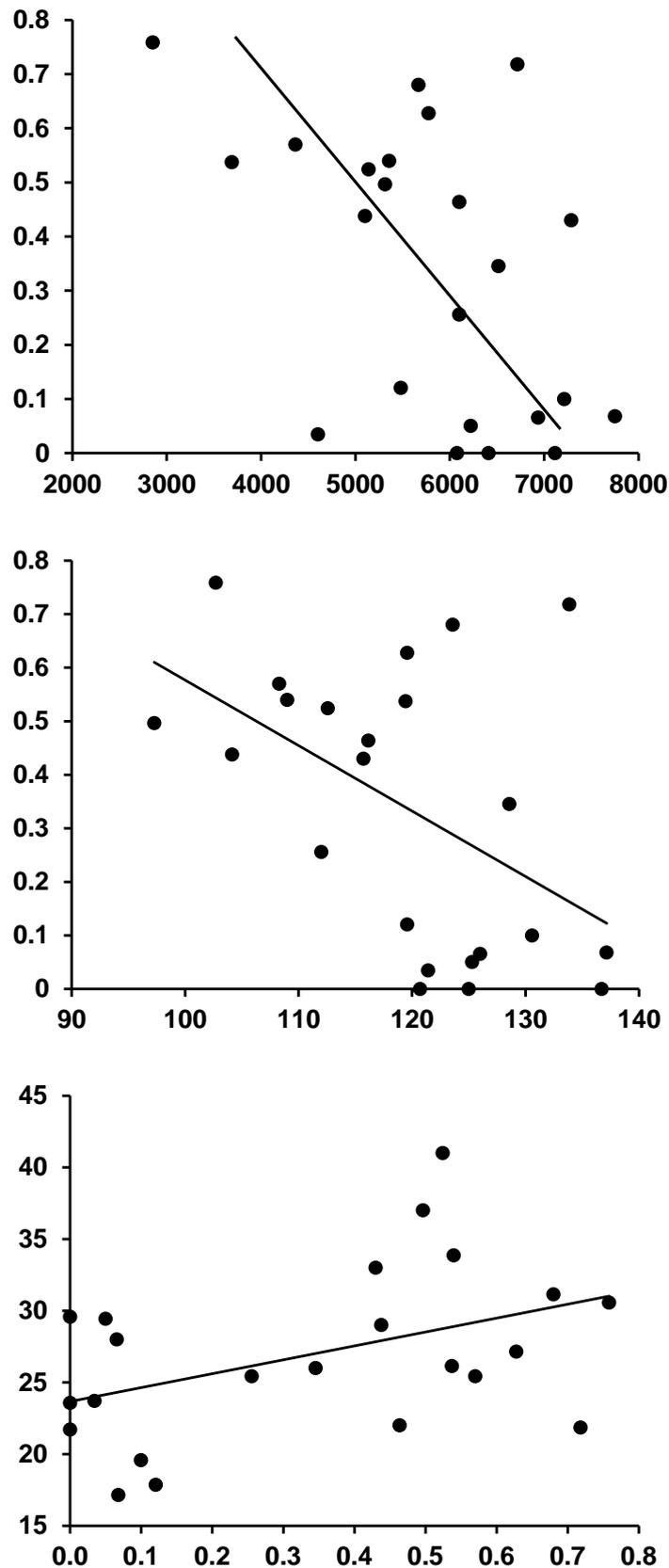
790 **Figure 2.** Mean (a) and long (b) *Clock* allele size (species-specific mean values) vs. breeding  
791 latitude. The lines represent phylogenetically regression equations from PGLS models reported in  
792 Table 1. The broken line in a) denotes non-significant regression line (see Table 1 for statistics).  
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794



795 **Figure 3.** *Clock* gene diversity vs. a) migration distance and b) migration date (1 = January 1), and  
796 migration spread vs. c) *Clock* gene diversity. The lines represent phylogenetically corrected  
797 regression equations from PGLS models.  
798

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1 **Table S1.** List of the 23 trans-Saharan migratory bird species, information on sample size ( $N_{2006-2012}$ : mean number of birds captured at Ventotene  
2 during 2006-2012) and life-history traits considered in the analyses (see Methods): migration date (1 = January 1; mean value of yearly median  
3 migration dates for each species); migration spread (mean value of the yearly difference of the 90<sup>th</sup> and the 10<sup>th</sup> percentile of migration dates);  
4 breeding latitude (northernmost breeding latitude); breeding and wintering range width (differences of the northernmost and the southernmost  
5 breeding and wintering latitudes, respectively). Population size (from the BirdLife International website [www.birdlife.org](http://www.birdlife.org)) is also shown. Species  
6 are sorted in alphabetical order.

7

Species	Migration date	Migration spread (d)	$N_{2006-2012}$	Migration distance (km)	Breeding latitude (°N)	Breeding range width (°)	Wintering range width (°)	Population size (pairs)
<i>Acrocephalus arundinaceus</i>	125.29	29.43	59	6223	63.20	22.72	49.90	3640000
<i>Acrocephalus schoenobaenus</i>	125.00	29.57	217	6411	71.12	30.64	51.81	5135000
<i>Acrocephalus scirpaceus</i>	136.71	23.57	127	6078	65.09	24.61	53.70	3000000
<i>Anthus trivialis</i>	112.00	25.43	289	6100	70.53	30.05	42.42	32500000
<i>Caprimulgus europaeus</i>	128.57	26.00	23	6516	64.42	23.94	53.09	857000
<i>Ficedula hypoleuca</i>	116.14	22.00	977	6100	70.72	30.24	18.91	15950000
<i>Hippolais icterina</i>	137.14	17.14	2243	7751	68.38	26.57	33.08	5110000
<i>Jynx torquilla</i>	104.14	29.00	83	5102	70.27	29.79	43.38	1137000
<i>Lanius senator</i>	119.43	26.14	71	3691	52.94	12.46	23.61	2520000
<i>Luscinia megarhynchos</i>	108.29	25.43	321	4364	54.49	14.01	23.85	15500000
<i>Merops apiaster</i>	120.71	21.71	65	7115	57.55	17.07	45.15	3925000
<i>Muscicapa striata</i>	130.57	19.57	796	7215	70.56	30.08	49.98	18800000
<i>Oenanthe oenanthe</i>	109.00	33.86	104	5357	73.40	32.92	54.54	10540000
<i>Oriolus oriolus</i>	126.00	28.00	150	6938	63.67	23.19	42.82	6195000
<i>Phoenicurus phoenicurus</i>	112.57	41.00	420	5140	70.77	30.29	39.50	12315000
<i>Phylloscopus sibilatrix</i>	119.57	27.14	1304	5775	69.08	28.60	15.27	9080000
<i>Phylloscopus trochilus</i>	115.71	33.00	1616	7289	71.16	29.78	50.28	79650000
<i>Saxicola rubetra</i>	119.57	17.86	851	5483	70.31	29.83	30.94	8585000
<i>Streptopelia turtur</i>	121.43	23.71	239	4604	64.73	24.25	13.43	4545000
<i>Sylvia borin</i>	133.86	21.86	3957	6716	70.85	30.37	46.24	21800000
<i>Sylvia cantillans</i>	102.71	30.57	1876	2853	46.12	5.64	21.78	4375000
<i>Sylvia communis</i>	123.57	31.14	1652	5669	68.40	27.92	47.83	22550000
<i>Upupa epops</i>	97.29	37.00	55	5314	59.99	19.51	76.01	2030000

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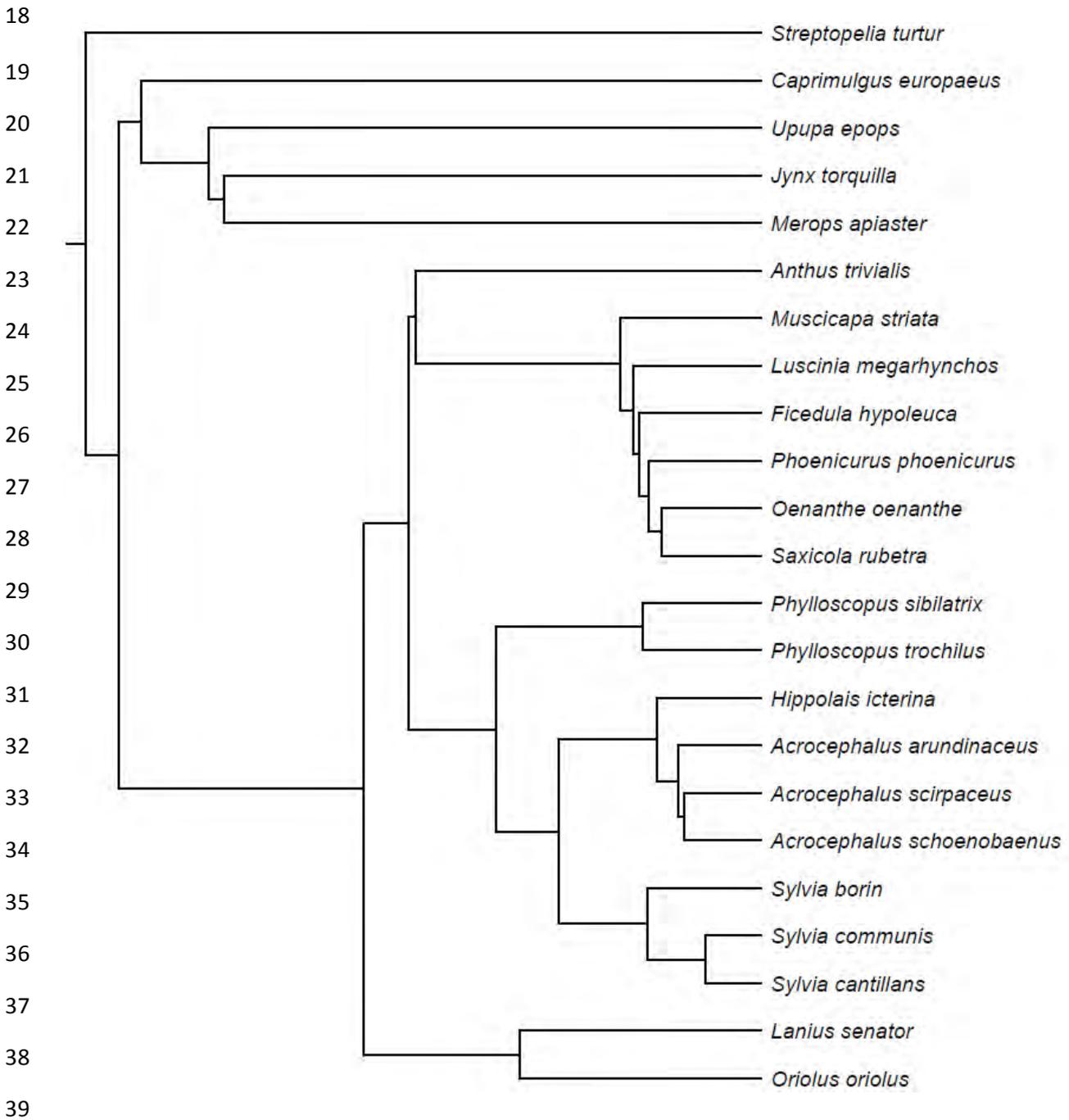
9 **Table S2.** List of the 23 trans-Saharan migratory bird species and genetic variables (species-specific mean of mean or long *Clock* allele sizes, in bp;  
 10 *Clock* and *Adcyap1* gene diversity) considered in the analyses (see Methods). Species are sorted in alphabetic order. *Clock* ( $N_{Clock}$ ) and *Adcyap1*  
 11 ( $N_{Adcyap1}$ ) sample sizes are also shown.

Species	Mean <i>Clock</i> allele size (bp)	Long <i>Clock</i> allele size (bp)	<i>Clock</i> gene diversity	$N_{Clock}$	<i>Adcyap1</i> gene diversity	$N_{Adcyap1}$
<i>Acrocephalus arundinaceus</i>	122.93	123.00	0.10	20	0.32	21
<i>Acrocephalus schoenobaenus</i>	120.00	120.00	0	30	0.59	30
<i>Acrocephalus scirpaceus</i>	120.00	120.00	0	24	0.94	24
<i>Anthus trivialis</i>	113.59	114.16	0.42	153	0.94	97
<i>Caprimulgus europaeus</i>	111.65	112.15	0.51	39	0.91	39
<i>Ficedula hypoleuca</i>	123.16	124.01	0.67	226	0.87	95
<i>Hippolais icterina</i>	110.79	111.00	0.13	29	0.83	29
<i>Jynx torquilla</i>	110.40	111.20	0.64	30	0.84	31
<i>Lanius senator</i>	106.65	107.85	0.75	20	0.88	18
<i>Luscinia megarhynchos</i>	121.16	112.20	0.72	162	0.69	99
<i>Merops apiaster</i>	99.00	99.00	0	35	0.35	36
<i>Muscicapa striata</i>	113.69	114.00	0.19	29	0.85	29
<i>Oenanthe oenanthe</i>	126.55	128.60	0.71	30	0.80	30
<i>Oriolus oriolus</i>	105.10	105.20	0.13	30	0.80	30
<i>Phoenicurus phoenicurus</i>	128.37	129.63	0.74	43	0.90	35
<i>Phylloscopus sibilatrix</i>	118.80	120.20	0.83	30	0.73	29
<i>Phylloscopus trochilus</i>	120.04	120.94	0.68	121	0.94	112
<i>Saxicola rubetra</i>	128.71	129.12	0.23	208	0.92	169
<i>Streptopelia turtur</i>	107.90	108.00	0.07	29	0.45	30
<i>Sylvia borin</i>	120.10	122.03	0.83	31	0.86	31
<i>Sylvia cantillans</i>	113.32	114.97	0.87	31	0.86	22
<i>Sylvia communis</i>	117.24	118.44	0.82	25	0.93	26
<i>Upupa epops</i>	109.80	110.76	0.64	25	0.73	26

12

13

14 **Figure S1.** Phylogenetic hypothesis used for the comparative analyses. The tree is a 50% majority-  
 15 rule consensus tree (see Methods for details) obtained from 2000 random trees of the ‘Ericson’  
 16 phylogeny, downloaded from the BirdTree.org website ([www.birdtree.org](http://www.birdtree.org)).  
 17





## Appendix

List of publications in ISI-ranked journals by Gaia Bazzi

The list includes all the publications that I have co-authored during my PhD.

7. **Bazzi G**, Galimberti A, Hays QR, Bruni I, Cecere JG, Gianfranceschi L, Hobson KA, Morbey YE, Saino N, Guglielmo CG, Rubolini D (2016) *Adcyap1* polymorphism covaries with breeding latitude in a Nearctic migratory songbird, the Wilson's warbler (*Cardellina pusilla*). *Ecology and Evolution*.
6. Romano A, **Bazzi G**, Caprioli M, Corti M, Costanzo A, Rubolini D, Saino N (2016) Nestling sex and plumage color predict food allocation by barn swallow parents. *Behavioral Ecology*, arw040.
5. **Bazzi G**, Ambrosini R, Caprioli M, Costanzo A, Liechti F, Gatti E, Gianfranceschi L, Podofillini S, Romano A, Romano M, Scandolara C, Saino N, Rubolini D (2015) *Clock* gene polymorphism and scheduling of migration: a geolocator study of the barn swallow *Hirundo rustica*. *Scientific Reports* **5**, 12443.
4. Saino N, Romano M, Romano A, Rubolini D, Ambrosini R, Caprioli M, Parolini M, Scandolara C, **Bazzi G**, Costanzo A (2015) White tail spots in breeding Barn Swallows *Hirundo rustica* signal body condition during winter moult. *Ibis* **157**, 722-730.
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