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***Clinical relevance of WNT10B / WNT10B<sup>IVS1</sup> allele variant expression and  
its potential in Acute Myeloid Leukemia risk assessment***

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**CLINICAL RELEVANCE OF WNT10B / WNT10B<sup>IVS1</sup> ALLELE VARIANT EXPRESSION  
AND ITS POTENTIAL IN ACUTE MYELOID LEUKEMIA RISK ASSESSMENT**

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## **Abstract**

Acute myeloid leukemia (AML) develops as the consequence of a series of genetic changes in a hematopoietic precursor cell, that alter normal hematopoietic growth and differentiation, resulting in an accumulation of large numbers of abnormal, immature myeloid cells in the bone marrow and peripheral blood. The deceptively homogeneous, undifferentiated morphology of the leukemic blasts is now known to mask a heterogeneous collection of cells that recapitulate the hierarchy of precursor cells that characterize the normal process of blood-cell differentiation. Leukemia-initiating cell (LIC) properties occur in a self-renewing non-hematopoietic stem cell progenitor cell population, preceded by the expansion of a pre-leukemic long-term hematopoietic stem cell (LT-HSC). The WNT/ $\beta$ -catenin pathway has been shown to play a critical role in the regulation of cell proliferation, differentiation, and apoptosis of different malignant entities. Previous results obtained by our research team provided direct evidence that the WNT/ $\beta$ -catenin signaling is diffusely activated in the AC133<sup>+</sup> AML population, with a specific transcriptional signature involving over-expression of the WNT pathway agonists and down-modulation of the major antagonists. Applying the new *in situ* technique on AML bone marrow sections, we confirmed a dramatic increase of WNT10B expression and protein release within the microenvironment in the large majority of sample. Conversely, the activation of WNT signaling, marked by expression of the dephosphorylated  $\beta$ -catenin, was restricted only to a smaller subpopulation of AC133<sup>bright</sup> cells.

Focusing our attention on the major locus associated to the regenerative function, in the actual study we performed a 5'-RACE analysis on WNT10B mRNA, evidencing the presence of a non-physiological transcript variant named WNT10B<sup>IVS1</sup>, retaining 77 nucleotide of IVS1 and lacking exon1. In order to provide accurate quantification of mRNA levels of WNT10B and the related WNT10B<sup>IVS1</sup> transcript variant and to analyze the clinical relevance of their expression, we carried out the gene expression analysis by Droplet Digital<sup>TM</sup> PCR on mononucleated cells derived from 125 AML patients. Analyzing patients according to specific genetic or risk profiles, we demonstrated that canonical WNT10B mRNA was highly expressed in all *de novo* AML patients here examined, representing the gene with the highest expression in leukemic patients among all the genes actually known. Furthermore, non-physiological WNT10B<sup>IVS1</sup> variant was highly expressed in all non-favorable risk *de novo* AML, whereas it has non-detectable levels in core-binding factor AML, acute promyelocytic leukemia, and therapy-related disease.

The results presented here provided a compelling evidence that regeneration-associated WNT signaling exceeds the homeostatic range in the majority of human AML cases. These newly discovered genetic abnormalities WNT10B / WNT10B<sup>IVS1</sup> seem to be associated with clinical, morphologic, and phenotypic features that allow identification of specific leukemic entity. Finally, we presented distinct molecular signatures capable of distinguish with extremely high accuracy *de novo* AML patients from both favorable-risk and therapy-related patients, using a non-time consuming and inexpensive test. These findings, if confirmed in a larger population of patients, may help in refine diagnostic or prognostic criteria for previously described neoplasms, and to introduce newly recognized disease entities possibly characterized by distinct causative pathogenic mechanisms.

## **List of Abbreviations**

AML	Acute myeloid leukemia	LSC	Leucekia stem cells
APC	Axin/adenomatous polyposis coli	MDS	Myelodysplasia
APL	Acute promyelocytic leukemia	MDS/MPN	Myelodysplastic/myeloproliferative
BM	Bone marrow	MRC	Medical Research Council
CBF	Core-binding factor	NCCN	National Comprehensive Cancer
CEBPA	CCAAT/enhancer binding protein	NES	Nuclear export signal
CK1	Casein kinase 1	NLS	Nuclear localization signal
CR	Complete remission	NPM1	Nucleophosmin-1
CRD	Cycteine-rich domains	OLA	Oligonucleotide ligation assay
ddPCR	Droplet Digital PCR	OS	Overall survival
DFS	Disease-free survival	Porc	Porcupine protein
DKK	Dickkopfs	RCP	Rolling circle product
Dvl	Dishevelled protein	RFS	Relapse free survival
ELN	European LeukemiaNet	RI	Relapse incidence
FAB	French-American-British	ROC	Receiver operating characteristic
FLT3	FMS-like tyrosine kinase 3	SFRP	Secreted Frizzled-related proteins
FZD	Frizzled	TCF/LEF	T-cell / lymphoid enhancer factor
GSK3	Glycogen synthase kinase 3	TKD	Tyrosine kinase domain
HIC1	Hypermethylated in cancer 1	Wg	Wingless
HSC	Hematopoietic stem cells	WHO	World Health Organizzation
ITD	Internal tandem duplications	WIF1	Wnt inhibitory factor 1
LIC	Leukemia-Initiating Cells	WREs	Wnt response elements

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## List of Papers

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# 1. INTRODUCTION

## 1.1. LEUKEMIA INITIATING CELL

Acute myeloid leukemia (AML) consists of a group of relatively well-defined hematopoietic neoplasms involving precursor cells committed to the myeloid line of cellular development. AML develops as the consequence of a series of genetic changes in a hematopoietic precursor cell. These changes alter normal hematopoietic growth and differentiation, resulting in an accumulation of large numbers of abnormal, immature myeloid cells in the bone marrow and peripheral blood. These cells are capable of dividing and proliferating, but cannot differentiate into mature hematopoietic cells.

### Cell of origin: normal counterpart

Leukemia is a heterogeneous group of diseases characterized by clonal cells that exhibit maturation defects that correspond to stages in hematopoietic differentiation. Hematopoietic stem cells are multipotent and have the capacity to differentiate into the cells of all 10 blood lineages (ie, erythrocytes, platelets, neutrophils, eosinophils, basophils, monocytes, T and B lymphocytes, natural killer cells, and dendritic cells). In order to sustain hematopoiesis, stem cells are part of a developmental hierarchy capable of three basic functions:

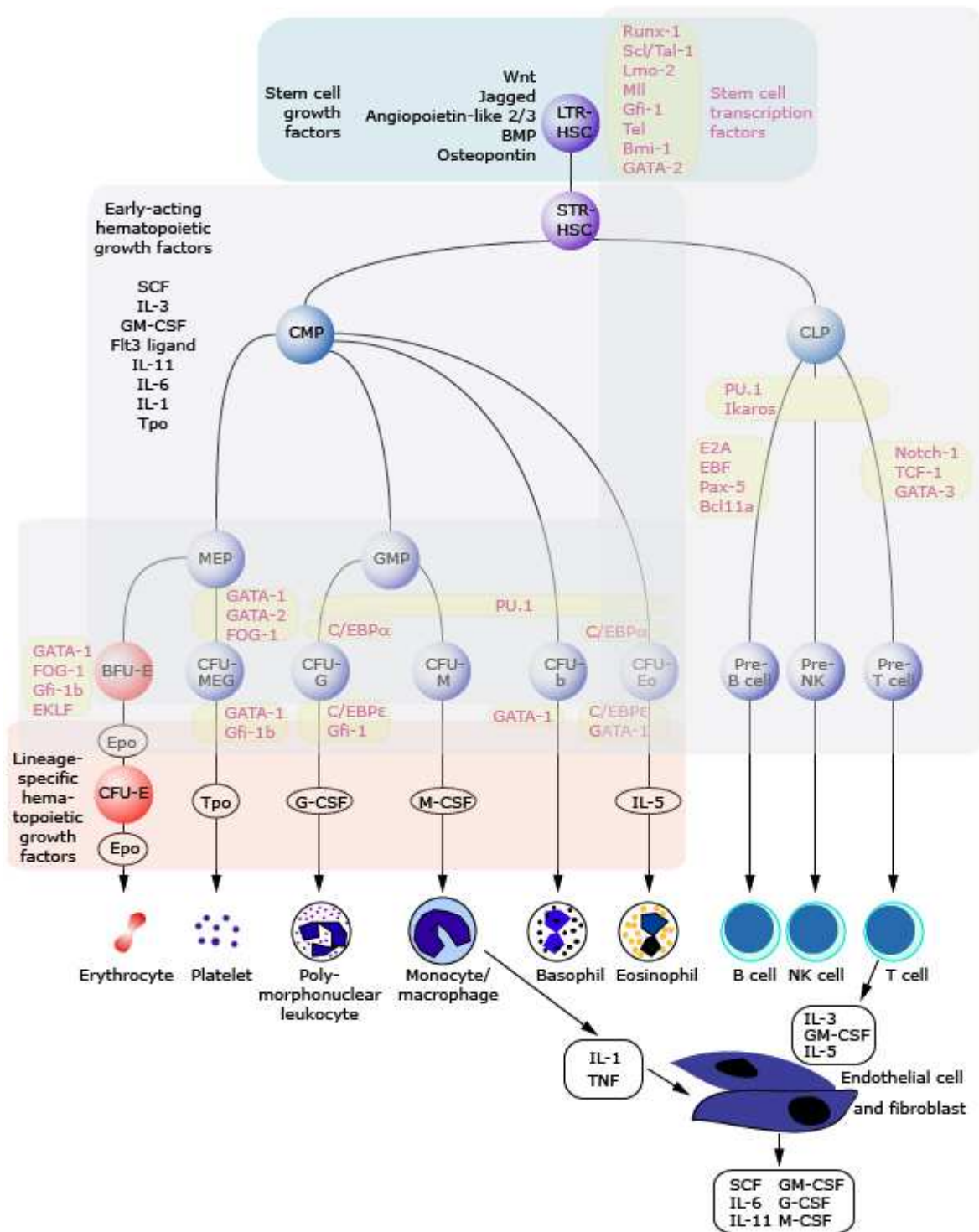
1. maintenance in a non-cycling state
2. self-renewal, allowing production of additional stem cells
3. production of committed progenitor cells

These progenitor cells commit to subsets of myeloid and lymphoid lineages, and ultimately to single developmental pathways, resulting in the expression of the terminally differentiated stage of each cell type (see **Figure 1**) [1,2].

Normal hematopoiesis is a dynamic, highly regulated process controlled by the combined effects of growth factors that permit cellular proliferation, and nuclear transcription factors that activate specific genetic programs, resulting in commitment to a specific lineage and in terminal differentiation (see **Figure 2**). Many of the regulatory growth factors and a number of specific transcription factors have been identified that play critical roles in lineage commitment, and in the subsequent development of the mature lymphoid and myeloid (erythroid, granulocytic/monocytic, and megakaryocytic) lineages [3,4].

A number of genes encoding these transcription factors are involved in recurring chromosomal translocations seen in AML, suggesting that the AML variants arise because the translocations result in significant alterations in regulatory processes controlling growth and differentiation programs [5].

**Figure 1 - Regulation of hematopoiesis via growth factors and cytokines**

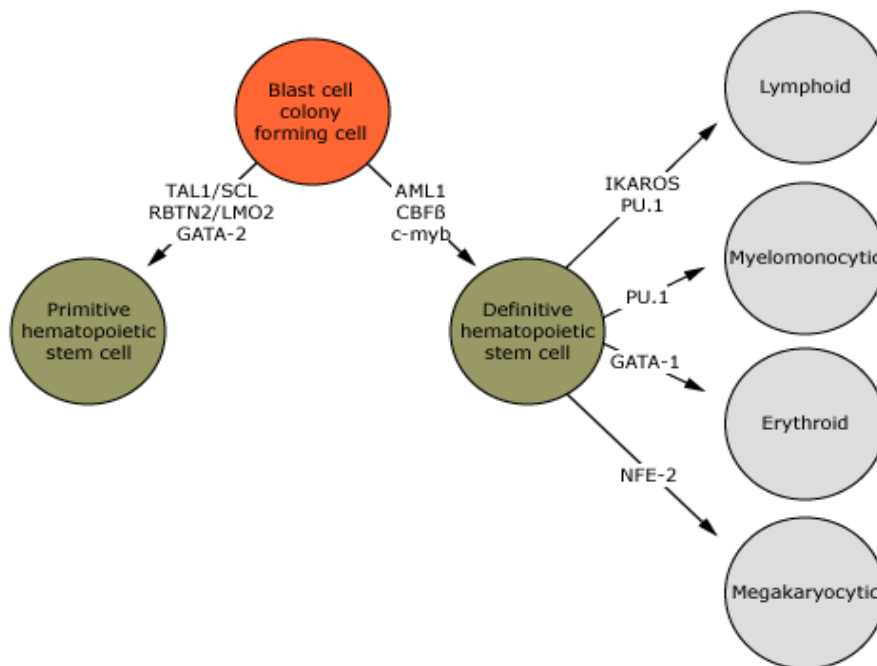


Hierarchical relationships of multipotent hematopoietic stem cells, progenitors, and mature cells of the myelopoietic, erythrocyte, and platelet lineages together with major growth factors, cytokines, and their actions.

LTR-HSC: long-term repopulating hematopoietic stem cell; STR-HSC: short-term repopulating hematopoietic stem cell; SCF: stem cell factor; IL: interleukin; CMP: common myeloid progenitor; CLP: common lymphoid progenitor; MEP: megakaryocyte-erythroid progenitor; GMP: granulocyte-myeloid progenitor; CFU: colony-forming unit; BFU: blast-forming unit; Epo: erythropoietin; Tpo: thrombopoietin; G-CSF: granulocyte colony-stimulating factor; M-CSF: monocyte/macrophage colony-stimulating factor; GM-CSF: granulocyte-macrophage colony-stimulating factor; TNF: tumor necrosis factor.

Reproduced from: Sieff CA, Zon LI. *Anatomy and Physiology of Hematopoiesis*. Elsevier, Philadelphia 2009.

**Figure 2 - Transcription factors essential for normal hematopoietic development**



*The primitive hematopoietic stem cell gives rise to nucleated erythroid cells at the yolk sac stage of development. Subsequently, multilineage hematopoiesis is thought to be derived from the the definitive hematopoietic stem cell.*

*Reproduced from: Kennedy M, Firpo M, Choi K, et al. A common precursor for primitive erythropoiesis and definitive haematopoiesis. Nature 1997; 386:488.*

## Clonality

AML is a clonal process that develops from a single transformed hematopoietic progenitor cell. It is believed that virtually all cases of AML are preceded by a premalignant proliferative disorder characterized by clonal hematopoiesis. Three large sequencing studies identified clonal hematopoiesis in approximately 4 percent of the general population and the incidence increased with age: 6 percent of persons 60 to 69 years; 10 percent of persons 70 to 79 years; 12 percent of persons 80 to 89 years; and 18 percent of persons 90 years or older [6-8]. Clonal hematopoiesis most commonly involved a mutation in DNMT3A, TET2, or ASXL1, mutations that are associated with myeloid malignancies. While clonal hematopoiesis was associated with an increased risk of hematologic cancer, the absolute risk of progression was very small.

Studies of isoenzyme restriction in leukemic myeloblasts of females heterozygous for the A and B isoforms of glucose 6-phosphate dehydrogenase (G6PD) have demonstrated the clonal origin of AML. The G6PD locus is on a portion of the X chromosome that undergoes inactivation in XX somatic cells (Lyonization). Approximately one-half of the cells in normal somatic tissue will have randomly inactivated one or the other of the X chromosomes, allowing expression of the A and B isoforms in approximately equal amounts. Cells of clonal origin, however, express only one type of G6PD. In each of the G6PD heterozygous females with AML, both types of enzyme were found in normal tissues, but only a single type was observed in the leukemic myeloblasts [9-11]. Similar conclusions were reached using X-linked recombinant DNA probes, standard cytogenetics, and fluorescence in situ hybridization (FISH) [12-14].

## **Leukemic stem cells**

AML is a heterogenous disease with leukemic cells of different subtypes resembling normal cells at various stages of maturation. However, there is growing information to support that all leukemias, including AML, appear to be maintained by a pool of self-renewing malignant cells. Based on their ability to serially transfer the disease upon xenotransplantation into immunodeficient mice, it has been hypothesized that limited numbers of cells within the bulk population of leukemic cells have the capacity to function as stem cells that maintain the potential for unlimited self-renewal [15]. These leukemic stem cells (**LSC**, also **leukemia-initiating cells**) may be more immature than the majority of circulating leukemic cells, and are thought to have originated from cells with existing self-renewal capacity or from progenitors that have re-acquired this stem cell-like property. According to this hypothesis, the majority of leukemia cells do not have unlimited self-renewal and exhibit some features of partial differentiation depending on the genetic aberration present.

## 1.2. ACUTE MYELOID LEUKEMIA: STATE OF THE ART

Two models have been proposed to explain the heterogeneity of AML observed at the molecular, cytogenetic, phenotypic, and clinical level: transformation to leukemia occurring at one of several developmental stages, or transformation to leukemia occurring within primitive multipotent cells.

### 1. Transformation at one of several developmental stages

This model proposes that any cell type within the stem cell/progenitor cell hierarchy, from primitive multipotent stem cell to lineage-committed progenitor cell, is susceptible to leukemic transformation, resulting in the expansion of abnormal cells that exhibit different stages of differentiation. For AML, this model predicts that the phenotype of the leukemic stem cells restricted to the granulocytic-monocytic series differs from that of cells with involvement of erythroid, megakaryocytic, and granulocytic-monocytic lineages (see **Figure 1**).

The correlation between specific cytogenetic and molecular genetic aberrations and the morphologic appearance of leukemic cells might suggest that the transforming event occurs at different stages of myeloid differentiation. This hypothesis is underscored by the French-American-British (FAB) classification for AML, which distinguishes different subtypes of AML based upon the stage of apparent differentiation (see **Table 1**). Support for this model includes flow cytometric/molecular analyses of the leukemic cell in acute promyelocytic leukemia (APL) that suggest that the leukemic cell arises in a committed lineage-restricted, CD34+/CD38+ progenitor cell [16].

### 2. Transformation within primitive multipotent cells

A second model proposes that mutations responsible for leukemic transformation and progression occur only in primitive multipotent stem cells, with disease heterogeneity resulting from a variable ability of these primitive stem cells to differentiate and acquire specific phenotypic lineage markers [17,18].

Hematopoietic stem cells express a characteristic cell surface antigen (CD34), and can be further subdivided by the expression of additional cell surface antigens, including CD38 and HLA-DR (see **Figure 3**) [19-22]:

- CD34+/CD38-/HLA-DR- cells are multipotential hematopoietic stem cells, give rise to mixed-lineage granulocytic-erythroid-megakaryocytic colonies in culture, can repopulate immune deficient mice with normal hematopoietic cells in vivo, and demonstrate self-renewal capacity, as assessed by their ability to be serially transplanted into secondary recipient mice. There are data to suggest that, in some cases of AML, the leukemic stem cell may be quite similar to normal hematopoietic stem cells.
- CD34+/CD38+/HLA-DR+ cells define a committed population of myeloid progenitor cells.

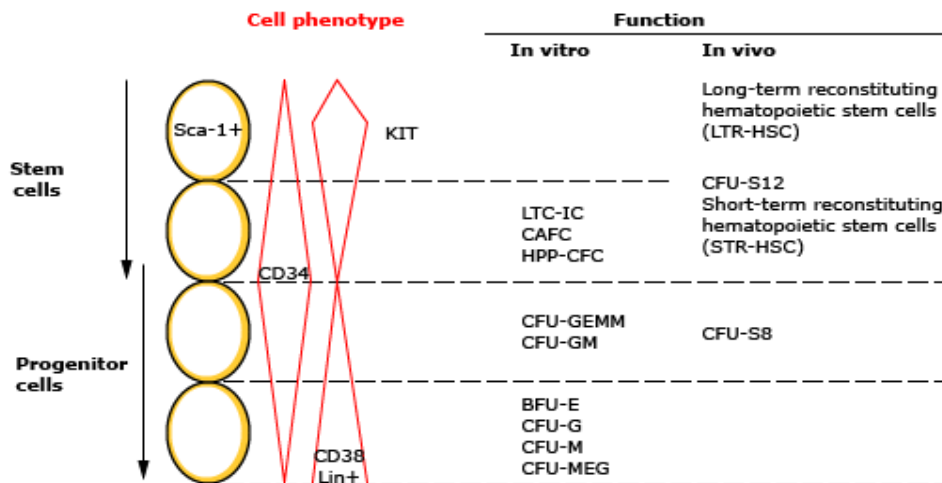
**Table 1 - French-American-British (FAB) classification of acute myeloid leukemia**

FAB type	% Blasts (all cells)	% Blasts (NEC)	Erythroid progenitors	Morphology	Cytochemistry
<b>M0</b>	>30	>90	<50	Blasts resemble L2 variant of ALL Cytoplasmic granules and Auer rods are not seen	MPO+ <3% SBB+
<b>M1</b>	>30	>90	<50	>30% type 1 and type 2 blasts <10% differentiated myeloid cells Auer rods seen in about 50 percent of cases	MPO+ >3% SBB+
<b>M2</b>	>30	>30-89	<50	>30% type 1 and type 2 blasts >10% differentiated myeloid cells Auer rods seen in about 70 percent of cases	MPO+ SBB+ NSE+ <20% PAS-
<b>M3</b>	>30*	>30-89	<50	>20% abnormal hypergranular progranulocytes Blast count may be <30% Auer rods and faggot cells seen in virtually all cases	MPO+ SBB+ PAS- NSE±
<b>M3V</b>	>30*	>30-89	<50	>20% abnormal hypogranular progranulocytes Blast count may be <30% Auer rods and faggot cells seen in virtually all cases	MPO+ SBB+ PAS- NSE±
<b>M4</b>	>30	>30-79	<50	>20% promonocytes and monocytes >20% granulocytic cells Peripheral monocytosis ( $>5 \times 10^9$ ) Auer rods seen in about 65% of cases	MPO+ >20% NSE+
<b>M4eo</b>	>30	>30-79	<50	>5% eosinophils and cells with mixed basophilic and eosinophilic granules, plus M4 features	MPO+ >20% NSE+
<b>M5a</b>		>80	<50	>80% of nonerythroid cells are monoblasts Auer rods usually not seen	NSE+
<b>M5b</b>		>80	<50	>80% of nonerythroid cells are monocytes, promonocytes, and monoblasts Auer rods can be seen in a minor population of myeloblasts (30% of cases)	NSE+
<b>M6</b>		>30	>50	Erythroid predominance and dysplasia >30% blasts among non-erythroid cells Auer rods present in blasts in 60% of cases	PAS+ (erythroid cells); blasts are MPO+
<b>M7</b>	>30		<50	Blasts with cytoplasmic blebbing ± platelet shedding Marrow fibrosis Auer rods are not seen	Platelet MPO+ on EM

NEC: nonerythroid cells; MPO: myeloperoxidase; SBB: Sudan black; NSA: nonspecific esterase; PAS: periodic acid-Schiff.  
\* Abnormal progranulocytes and blasts.



**Figure 3 - Stem cell properties**



*Schematic view of some general properties and assays for the heterogeneous cells that compromise the stem cell and progenitor cell compartments. Reproduce from: UpToDate Topic 4493 Version 30.0 Graphic 77910 Version 4.0.*

Cytogenetic and FISH studies of sorted stem cell compartments from patients with AML evolving from a prior myelodysplastic syndrome and patients with de novo AML have shown that the characteristic cytogenetic abnormality from both groups was present in the CD34+/CD38- multipotential stem cell compartment [23-25]. Similar findings were noted in patients with the 5q- syndrome [26] and monosomy 7 [27], myelodysplastic disorders with differing risks of leukemic transformation.

More compelling evidence comes from studies in which purified stem cell subpopulations from normal subjects and those with AML were transplanted into mice with severe combined immunodeficiency disease (SCID). These experiments have detected approximately one SCID mouse leukemia-initiating cell (SL-IC) in  $10^5$  AML cells, which can repopulate immune deficient mice with leukemic cells phenotypically identical to those of the AML patient from which they were derived [25,28,29].

Using a non-obese diabetic (NOD)/SCID mouse [30], SL-ICs were found to reside only in the CD34+/CD38- fraction [15]. This was consistent regardless of the AML subtype, lineage markers, or percentage of leukemic blast cells expressing the CD34 antigen. The SL-ICs also demonstrated self-renewal capacity, a requirement for maintenance of the leukemic clone. The uniformity of the leukemic stem cell phenotype strongly suggests that the leukemia initiating transformation and progression-associated genetic events occur in primitive cells and not in committed progenitors.

## Two-hit hypothesis of leukemogenesis

Progression to acute leukemia may require a series of genetic events beginning with clonal expansion of a transformed leukemic stem cell [31-33]. The specific mutational event(s) required for this progression are not currently well defined.

The "two-hit hypothesis" of leukemogenesis implies that AML is the consequence of at least two mutations, one conferring a proliferative advantage (class I mutations) and another impairing hematopoietic differentiation (class II mutations) [34]. Type I mutations include those of FLT3-ITD, K-RAS mutations, and KIT mutations, while mutations in CEBPA are type II abnormalities [35].

Important insights have been obtained from human leukemias:

- A variety of clonality studies have shown that patients with AML in clinical remission may still have clonal, rather than polyclonal, hematopoiesis [12,36-38]. Such clonal remission may represent the presence of a "preleukemic stem cell" that has undergone an initial transforming event but has not acquired the additional mutation(s) essential to progression to overt leukemia. In these cases, it is presumed that the transformed, overtly leukemic cell probably represented a subclone of the original "preleukemic stem cell" which secondarily acquired the additional genetic mutations required for the definitive block in differentiation and manifestation of the leukemic phenotype.
- On average, AML clones have 8 to 13 mutations found within the coding regions of the genome. The accumulation of these lesions in a step-wise process within a hematopoietic stem cell was demonstrated in a study that compared gene mutations found in de novo AML with patient-matched residual non-leukemic hematopoietic stem cells in long-term survivors [39], and it suggests that several hits are required for the development of AML.
- Whole genome or whole exome sequencing of 200 cases of de novo AML reported an average of 13 gene mutations per tumor [40]. Of these, each tumor had an average of five genes known to be one of a group of 23 genes recurrently mutated in AML that can be broadly grouped into nine categories of genes thought to be involved in leukemogenesis. Mutations were found in genes associated with transcription-factor fusions (18%), nucleophosmin (27%), tumor suppression (16%), DNA-methylation (44%), signaling (59%), chromatin-modification (30%), myeloid transcription factor (22%), the cohesin-complex (13%), and the spliceosome complex (14%). Some mutation pairs occurred more commonly than expected (eg, NPM1 and FLT3), suggesting synergy, while others were mutually exclusive, suggesting duplicative pathways.
- In one study of seven patients with AML that had evolved from MDS, approximately 85 percent of bone marrow cells were clonal at the time of MDS diagnosis [41]. Whole genome sequencing of paired skin and bone marrow samples identified 11 recurrently mutated genes. Genotyping of bone marrow samples from the same patients collected at the time of AML diagnosis identified those mutations that were present at the time of MDS diagnosis (ie, NPM1, RUNX1, SMC3, STAG2, TP53, U2AF1, UMODL1, and ZSWIM4) and those that developed subsequently (ie, CDH23, PTPN11, WT1).

Consistent with the two-hit theory, expression of a chimeric protein represents only one of the genetic modifications necessary for the development of cancer and leukemia, and that the affected cell requires **additional mutational events** in order to express the transformed phenotype.

As an example, the presence of cells with the RUNX1/RUNX1T1 fusion transcript may not be sufficient, in itself, to result in AML, and may require a "second hit" for the development of AML:

- Remission bone marrow samples from patients with de novo AML (FAB -M2) with t(8;21)(q22;q22) and the RUNX1/RUNX1T1 fusion transcript have been found to harbor the aberrant fusion transcript for as many as 8 years following cessation of all chemotherapy [42].
- The RUNX1/RUNX1T1 fusion transcript has been detected in bone marrow samples from patients in remission following allogeneic bone marrow transplantation for AML [43].

### **Mechanisms of genetic damage**

Genetic changes associated with leukemogenesis can occur following chemotherapy, ionizing radiation, chemical exposure, and infection with retroviruses. In addition, certain familial disorders are associated with an increased incidence of acute myeloid leukemia (AML). However, it must be emphasized that the vast majority of patients with de novo AML show no evidence of any of these risk factors, and the etiologic factors contributing to the development of AML remain unknown. Interestingly, in a series of 127 patients with a previous primary malignancy and secondary AML, 30 percent did not receive any chemotherapy or radiation treatment prior to the development of AML [44].

**Chemotherapy-induced AML.** The development of myelodysplastic syndromes (MDS) and AML following chemotherapy for a variety of malignancies (eg, breast cancer, etc) is an unfortunate complication of curative treatment strategies [45]. This identification of an increasing incidence of therapy-related AML (t-AML) in an attempt to improve cure rates emphasizes the critical importance of understanding the underlying pathogenetic mechanisms for development of t-AML [46,47].

t-AML typically develops following alkylating agent-induced damage, at a median of three to five years following therapy for the primary malignancy and is usually associated with an antecedent myelodysplastic disorder [48]. This latency period suggests that multiple mutational events are involved in the development of the malignant phenotype [31].

- Clonal chromosomal abnormalities have been reported in the majority of cases of t-AML, the most frequently reported abnormalities involving complete loss or interstitial deletions of the long arm of chromosomes 7 and/or 5.
- Other therapy-related leukemias are associated with rearrangements of the MLL gene in chromosome band 11q23. AML associated with 11q23 often develops after treatment with drugs that target DNA-topoisomerase II (eg, epipodophyllotoxins, anthracyclines) with a very short latency of 12 to 18 months following treatment, and are not typically associated with an antecedent myelodysplastic syndrome [49-52].

**Ionizing radiation.** Ionizing radiation shares with alkylating agents the ability to damage DNA, usually by inducing double strand breaks that may cause the mutations, deletions, or translocations required for hematopoietic stem cell transformation [45,53]. As examples, an increased incidence of AML, which may have been directly proportional to the radiation exposure [54], has been noted in atomic bomb survivors [55] as well as in radiologists and radiologic technologists chronically exposed to high levels of radiation in the period before 1950 [56]. Ionizing radiation used in the treatment of malignancies (eg, Hodgkin lymphoma, breast cancer, uterine cancer, lung cancer) has also been linked to the development of AML [57].

**Chemical exposure.** Exposure to high levels of benzene has been associated with a higher risk of developing AML [58,59]. Relatively low-level exposure to benzene by petroleum distribution workers has been associated with an increased risk of developing myelodysplastic syndrome, but not AML [60,61]. The risk of developing a myeloid malignancy after benzene exposure appears to be dose-related and it is unknown whether there is any safe threshold for benzene exposure [62]. Polymorphisms resulting in inactivation of NAD(P)H:quinone oxidoreductase 1 (NQO1), an enzyme which detoxifies quinones and reduces oxidative stress, have been associated with an increased risk of de novo [63] and therapy-related acute leukemia [64], as well as a greater risk of benzene-induced hematotoxicity and leukemia [65]. For de novo AML, the most significant effect of low or null NQO1 activity was observed among patients with chromosomal translocations and inversions (odds ratio: 2.4), and was especially high for those with inv(16) (odds ratio: 8.1) [63].

**Infections.** In a number of animal models, retroviruses have been demonstrated to play an important role in leukemogenesis, and the human T-lymphotropic virus type I (HTLV-I) is associated with adult T cell leukemia-lymphoma [66]. In AML, however, despite extensive investigation, there has been no clear association of a retrovirus with leukemogenesis [67].

### 1.2.1. Molecular genetics of acute myeloid leukemia

Acute myeloid leukemia develops as the consequence of a series of genetic changes in a hematopoietic precursor cell. These changes alter normal hematopoietic growth and differentiation, resulting in an accumulation of large numbers of abnormal, immature myeloid cells in the bone marrow and peripheral blood. These cells are capable of dividing and proliferating, but cannot differentiate into mature hematopoietic cells. Similar to other malignancies, the genetic alterations in AML include mutation of oncogenes as well as the loss of tumor suppressor genes. In contrast to most solid tumors, many hematologic malignancies are associated with a single characteristic cytogenetic abnormality and specific cytogenetic abnormalities identified by karyotype analysis have considerable prognostic significance for patients with AML and affect treatment planning.

#### 1.2.1.1. Cytogenetics in acute myeloid leukemia

Cytogenetic analysis of metaphase cells is a key component to the evaluation of all patients with newly diagnosed or suspected AML. The malignant cells in most patients with AML have non-random, acquired clonal chromosomal abnormalities. The principal classes of cytogenetic alterations are:

- chromosomal translocation (**t**): process by which a break in at least two different chromosomes occurs, with exchange of genetic material. Reciprocal translocation refers to an exchange between two or more chromosomes in which there is no obvious overall loss of chromosomal material.
- chromosomal inversion (**inv**): two breaks in the same chromosome with rotation of the intervening material.
- chromosomal deletion (**del**): loss of chromosomal material. An interstitial deletion results from two breaks in a single chromosome with the loss of intervening material.
- monosomy: a form of genetic loss in which an entire chromosome is lost.

Using standard banding techniques, 50 to 60% of patients with AML de novo have abnormal karyotypes [68]. The most common karyotype results are:

- |   |       |
|---|-------|
| ▪ normal                                | (41%) |
| ▪ t(15;17)(q24.1;q21.1) and variants    | (13%) |
| ▪ trisomy 8                             | (10%) |
| ▪ t(8;21)(q22;q22) and variants         | (7%)  |
| ▪ 11q rearrangements                    | (6%)  |
| ▪ inv(16)(p13.1q22)/t(16;16)(p13.1;q22) | (5%)  |

In some cases, specific cytogenetic abnormalities are closely, and sometimes uniquely, associated with morphologically and clinically distinct subsets of the disease. As such, the 2008 WHO classification of tumors of the hematopoietic and lymphoid tissues uses genetic findings in addition to morphologic, immunophenotypic, and clinical features to define distinct subtypes of AML. In addition to establishing the type of AML, specific cytogenetic abnormalities have diagnostic, prognostic, and therapeutic importance (see below, “**Classification of acute myeloid leukemia**”).

### 1.2.1.2. Gene mutations

Gene sequencing studies have shown that, on average, de novo AML cases contain more than 10 significant gene mutations, many of which can be broadly grouped into 9 categories of genes thought to effect leukemogenesis [69]:

1. DNA-methylation
2. tumor suppression
3. transcription-factor fusions
4. nucleophosmin
5. signaling
6. chromatin-modification
7. myeloid transcription factor
8. cohesin complex
9. spliceosome complex

The most common genes mutated and the prognostic significance in adult patients with AML are reported in **Table 2** [70-72]. Abnormalities in FLT3, NPM1, KIT, and CEBPA have been the most widely studied. Other gene mutations, such as those involving WT1 (Wilms tumor 1), meningioma 1 (MN1), RUNX1, TET2, IDH1, IDH2, ASXL1, DNMT3A, or RAS, may also have prognostic significance, but need further confirmation in prospective studies.

**Table 2 - Molecular markers in acute myeloid leukemia**

Gene	Frequency in de-novo AML	Frequency in CN AML	Associations	Esclusions	Prognostic significance
<b>FLT3-ITD</b>	20 - 25%	30 - 35%	APL, t(6;9), <i>NPM1</i>	---	Adverse in CN AML
<b>FLT3-TKD</b>	5%	14%	<i>NPM1</i>	---	Controversial
<b>NPM1</b>	35%	50%	<i>FLT3-ITD, FLT3-TKD, DNMT3A, IDH1, IDH2</i>	Biallelic <i>CEBPA</i>	Favorable in CN AML
<b>CEBPA</b>	7%	8 - 19%	<i>FLT3-ITD</i>	<i>NPM1</i>	Biallelic favorable in CN AML
<b>KIT</b>	6%	25%	CBF AML	Other karyotypes	Adverse in CBF AML
<b>DNMT3A</b>	14 - 22%	20 - 33%	<i>NPM1, FLT3</i>	<i>CEBPA, MLL r</i>	Possibly adverse in CN AML
<b>TET2</b>	8 - 12%	23%	---	<i>IDH1, IDH2</i>	Controversial
<b>IDH1, IDH2</b>	8 - 16%	30%	<i>NPM1, FLT3</i>	<i>TET2, WT1</i>	Controversial
<b>ASXL1</b>	5 - 30%	10%	---	Possibly <i>CEBPA</i>	Adverse in CN AML

CBF: core-binding factor; CN: cytogenetically normal; APL: acute promyelocytic leukemia

**FLT3.** FLT3 (FMS-like tyrosine kinase 3) is a transmembrane tyrosine kinase receptor that stimulates cell proliferation upon activation.

There are two main types of FLT3 mutations. The most common are internal tandem duplications (ITD) of different length, that result in ligand-independent activation of the FLT3 receptor and a proliferative signal [73-76]. Alternatively, point mutations in the activating loop of the kinase domain (TKD) of FLT3 may result in tyrosine kinase activation of FLT3 [77]. Internal transmembrane duplications of the FLT3 gene (FLT3-ITD) are quite common in AML, particularly in patients with normal karyotypes. It has been proposed that FLT3-ITD mutational status is the primary predictor of poorer survival among patients with intermediate-risk AML by karyotype analysis [78-83], with an estimated 2-year progression-free survival rates of 20% and 4-year overall survival of approximately 20% [84]. In contrast, the FLT3-TKD mutations do not appear to be associated with the same poor outcome as FLT3-ITD [85].

**NPM1.** Nucleophosmin (NPM1) is a ubiquitously expressed phosphoprotein that normally shuttles between the nucleus and cytoplasm. It is involved in ribosomal protein assembly and transport and the regulation the tumor suppressor ARF (cyclin-dependent kinase inhibitor 2A). Abnormalities in the nucleophosmin (NPM1) gene are found in approximately 25 and 50 percent of patients with de novo AML or de novo normal karyotype AML, respectively. Mutation of NPM1 in AML impairs its transport to the nucleus such that it is retained in the cytoplasm [86]. Younger and older patients with NPM1 mutation without FLT3-ITD demonstrated improved outcomes, although the mechanism for increased chemosensitivity is not known [68,82,87-91].

**CEBPA.** The CCAAT/enhancer binding protein alpha (CEBPA) gene encodes a transcription factor essential for myeloid differentiation [92-93]. CEBPA mutations can be found in approximately 7 percent of patients with newly diagnosed AML [83,94] and in 8 to 19 percent of patients with cytogenetically normal AML [70]. Patients with cytogenetically normal AML, double mutations of CEBPA (either two different mutations or one homozygous mutation) and negative for FLT3-ITD mutations have a significantly longer median overall survival that is independent of other high-risk molecular features [82,94-100].

**KIT.** Mutations of the KIT gene can be detected in approximately 6% of newly diagnosed AML and in 20 to 30 percent of patients with AML and either t(8;21) or inv(16). While some studies suggest that KIT gene mutations confer a higher risk of relapse and adversely affect overall survival in core-binding factor AML [101-102], others suggest that this negative prognostic effect is only seen among AML with t(8;21) [83,103-104].

**Gene expression profiling.** Several studies have analyzed leukemia cells from patients with AML and have identified gene signatures that may be used to distinguish subsets with different outcomes [105-107]. Subgroups with different gene expression profiles have been found in patients with normal cytogenetics, as well as those with well defined cytogenetic changes, such as t(8;21) and inv(16), while other groups, such as

t(15;17), appeared to be more homogeneous in their signature [108-109]. There was still a wide range of outcomes in the prognostic groupings defined by GEP and gene profiling in AML cannot as yet be used as a predictor in individual patients. However, these initial GEP data confirm the importance of cytogenetic subgroups of AML as relatively homogeneous diseases, since leukemias with distinct translocations tend to have very similar gene expression patterns [110], and may contribute to subdivide the large group of patients with normal karyotypes into different biological subsets with different outcomes [111]. Furthermore, GEP data suggest the role of a leukemic stem cell in the pathogenesis of AML [112].



## 1.2.2. Classification of acute myeloid leukemia

Classification of the acute leukemias has traditionally relied upon the French-American-British (FAB) morphologic classification system, reflecting the predominant cell type and relating that cell to its presumed normal counterpart (see **Table 1**) [113,114]. Actually, AML is classified using the World Health Organization (WHO) classification system based upon a combination of morphology, immunophenotype, genetics, and clinical features [115,116].

There are four main groups of AML recognized in the 2008 WHO classification system (see **Table 3**):

- AML with recurrent genetic abnormalities
- AML with myelodysplasia-related features
- Therapy-related AML
- AML, not otherwise specified

**Table 3 - WHO classification of acute myeloid leukemia**

AML with recurrent genetic abnormalities	% of AML
AML with t(8;21)(q22;q22); <i>RUNX1-RUNX1T1</i>	7
AML with inv(16)(p13q22) or t(16;16)(p13;q22); <i>CEFB-MYH11</i>	5
Acute promyelocytic leukemia with t(15;17)(q22;q12); <i>PML-RARA</i>	13
AML with t(9;11)(p22;q23); <i>MLLT3-MLL</i>	6
AML with t(6;9)(p23;q34); <i>DEK-NUP214</i>	1
AML with inv(3)(q21q26.2) or t(3;3)(q21;q26.2); <i>RPN1-EVI1</i>	1
AML (megakaryoblastic) with t(1;22)(p13;q13); <i>RBM15-MKL1</i>	<0.5
AML with mutated NPM1 (provisional entity)	30
AML with mutated CEBPA (provisional entity)	6-15
AML with myelodysplasia-related features	
Therapy related AML	
AML, not otherwise specified	% of AML (% of AML, NOS)
AML with minimal differentiation (M0)	<5 (6)
AML without maturation (M1)	5-10 (25)
AML with maturation (M2)	10-14 (28)
Acute myelomonocytic leukemia (M4)	5-10 (21)
Acute monoblastic/acute monocytic leukemia (M5)	5-10 (15)
Acute erythroid leukemia (M6)	<5 (4)
Acute megakaryoblastic leukemia (M7)	<1 (1)
Acute basophilic leukemia	<1
Acute panmyelosis with myelofibrosis	<1

### 1.2.2.1. AML with recurrent genetic abnormalities

This group includes seven specific AML subtypes with defined structural abnormalities (see **Figure 4**) and two provisional entities identified at the molecular level (mutated NPM1 and mutated CEBPA).

- AML with the **t(8;21)(q22;q22);RUNX1-RUNX1T1** is seen in approximately 7 percent of adults with newly diagnosed AML. This translocation juxtaposes the RUNX1 (previously AML1 or core binding factor alpha-2) gene on chromosome 21 with the RUNX1T1 (previously ETO or MTG8) gene on chromosome 8 to form a RUNX1/RUNX1T1 chimeric product. RUNX1 heterodimerizes with another protein, core binding factor beta (CBFB), to form a transcription factor. The RUNX1/CBFB transcription factor binds directly to an enhancer core motif that is present in the transcriptional regulatory regions of a number of genes that are critical to hematopoietic stem and progenitor cell growth, differentiation, and function. Leukemogenesis by RUNX1-RUNX1T1 probably results from both altered transcriptional regulation of normal RUNX1 target genes and activation of new target genes that prevent programmed cell death and/or cellular differentiation pathways. Cases of AML with the t(8;21) have a morphologically distinct phenotype, characterized by myeloblasts with basophilic cytoplasm, indented nuclei, and prominent Auer rods. It portends a favorable prognosis in adults.
- AML with the **inv(16)(p13.1q22)** or **t(16;16)(p13.1;q22);CBFB-MYH11** represents approximately 5 percent of newly diagnosed AML and typically demonstrates monocytic and granulocytic differentiation with abnormal eosinophils in the bone marrow. The inversion breakpoint at 16q22 occurs near the end of the coding region of the core binding factor beta (CBFB) gene, which encodes one subunit of the heterodimeric RUNX1/CBFB transcription factor. This transcription factor binds directly to an enhancer core motif that is present in the transcriptional regulatory regions of a number of genes that are critical to myeloid cell growth, differentiation, and function. A smooth muscle myosin heavy chain gene (MYH11) is interrupted by the breakpoint on 16p. A fusion protein is produced containing the 5' region of CBFB (165 of 182 amino acids), including the domain that heterodimerizes with RUNX1, fused to the 3' portion of MYH11. The resultant CBFB/MYH11 fusion protein appears to act by disrupting the function of the RUNX1/CBFB transcription factor, resulting in the repression of transcription. Patients with inv(16) or t(16;16) generally have a good response to intensive chemotherapy.
- the balanced translocation **t(15;17)(q24.1;q21.1)** is seen in 13 percent of newly diagnosed AML and it is highly specific for acute promyelocytic leukemia (APL). The breakpoint on chromosome 17 occurs within the first intron of the alpha retinoic acid receptor gene (RARA) in most patients, whereas the break on chromosome 15 occurs within the PML gene. The translocation results in a PML/RARA fusion gene that contains most of the PML coding sequences, and the DNA binding and ligand binding domains of the RARA gene. The PML/RARA fusion protein shows reduced sensitivity to retinoic acid in terms of dissociation of N-CoR, a ubiquitous nuclear protein that mediates transcriptional repression. This could lead to persistent transcriptional repression, thereby preventing differentiation of promyelocytes. APL is a unique clinicopathological entity characterized

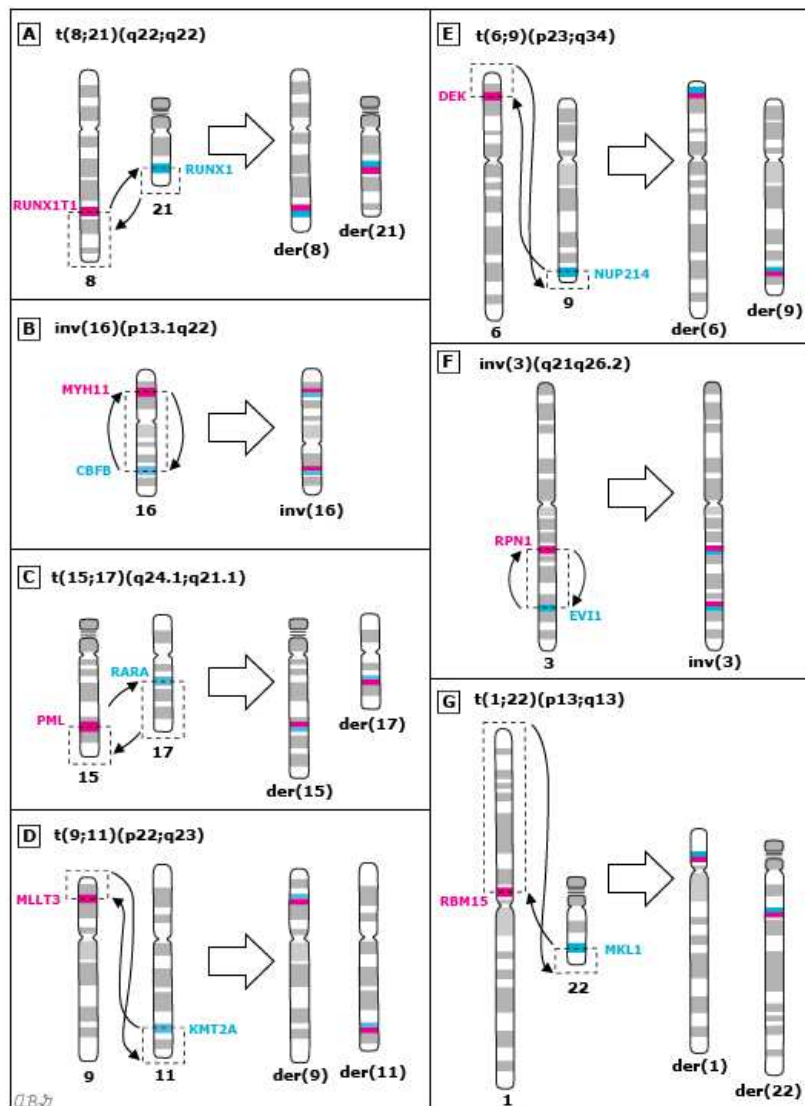
by the infiltration of the bone marrow by promyelocytes, often with a folded, reniform or bilobed nucleus, in association with clinical or laboratory evidence of disseminated intravascular coagulation and fibrinolysis. APL represents a medical emergency with a high rate of early mortality, often due to hemorrhage from disseminated intravascular coagulation. It is critical to start treatment as the diagnosis is suspected based upon cytologic criteria, and before definitive cytogenetic confirmation of the diagnosis. Patients with APL have an excellent prognosis when appropriate treatment is begun promptly. Several variant chromosome translocation involving RARA, but not PML have been identified in APL, such as the t(5;17), and t(11;17).

- **Rearrangements of 11q** are seen in approximately 6 percent of young adults with newly diagnosed AML. There are over 100 different recurring rearrangements that involve 11q23, with many different translocation partners in AML, especially the monoblastic and myelomonocytic types. Translocations of 11q23 involve the KMT2A gene (lysine (K)-specific methyltransferase 2A, previously called mixed-lineage, leukemia [MLL]). All known breakpoints fall within an 8.3 kb breakpoint cluster region of the gene encompassing exons 5 to 11. KMT2A is a DNA-binding protein that methylates histone H3 lysine 4 (H3K4), and positively regulates gene expression by binding to open chromatin structures at the active promoter regions of various genes, including multiple Hox genes, that are important in hematopoietic and lymphoid cell development, including myelomonocytic differentiation. Translocations of KMT2A result in the formation of a chimeric gene on the derivative 11 chromosome, consisting of the 5' region of KMT2A and the 3' region of the partner gene from the other chromosome, with subsequent expression of fusion mRNAs. The most common translocation involves KMT2A and the MLLT3 (AF9) gene at 9p22 in the t(9;11)(p22;q23). The t(9;11) translocation can present with a high white count, disseminated intravascular coagulation, and gingival or skin infiltration. Morphologically, monoblasts and promonocytes usually predominate. In general, patients with t(9;11)(p22;q23); KMT2A-MLLT3 tend to have an intermediate response to standard therapy, while leukemia patients with other 11q23/KMT2A rearrangements have a very dismal prognosis.
- AML with **t(6;9)(p23;q34); DEK-NUP214** is seen in approximately 1 percent of patients with newly diagnosed AML. The translocation results in the juxtaposition of DEK on chromosome 6 with NUP214 (also known as CAN) on chromosome 9. This results in the creation of a nucleoporin fusion protein that acts as a transcription factor and also alters nuclear transport. This subtype of AML typically presents with basophilia, pancytopenia, single or multilineage dysplasia with circulating monoblasts and promonocytes. Patients with the t(6;9)(p23;q34) typically have a poor outcome with standard therapy, which may be a result of the lesion itself or may reflect the higher than normal prevalence of FLT3 internal tandem duplications mutations (70%), which are known to convey a poor prognosis.
- AML with **inv(3)(q21q26.2)** or **t(3;3)(q21;q26.2);RPN1-EVI1** account for approximately 1 percent of AML cases. Cytogenetic abnormalities of 3q are associated with thrombocytosis in the peripheral blood and increased atypical megakaryocytes in the bone marrow of patients with AML. These

abnormalities are seen in de novo AML and in therapy-related MDS/AML and are associated with a poor response to therapy. The specific cytogenetic abnormalities involve bands 3q21 and 3q26.2 simultaneously, and they include the *inv(3)(q21q26.2)*, *t(3;3)(q21;q26.2)*, and the *ins(5;3)(q14;q21q26.2)* (insertion of chromosomal material from 3q into 5q). These abnormalities result in the activation of the MECOM (EVI1) gene, located at 3q26.2. MECOM encodes a zinc-finger transcription factor that interacts with a number of transcriptional and epigenetic regulators (CREBBP, CTBP, HDAC, KAT2B [P/CAF], SMAD3, GATA1, GATA2, DNMT3A, and DNMT3B), and mediates chromatin modifications and DNA hypermethylation. Depending on its binding partners, MECOM can act as a transcriptional activator to promote the proliferation of hematopoietic stem cells (eg, when bound to GATA2) or as a transcriptional repressor inhibiting erythroid differentiation (eg, when bound to GATA1). Abnormal expression of MECOM has also been detected in patients with myeloid leukemia and a normal karyotype, suggesting that inappropriate activation of this gene occurs through various mechanisms.

- AML with the ***t(1;22)(p13;q13);RBM15-MKL1*** is a rare entity accounting for <0.5 percent of cases of newly diagnosed AML. It is typically a megakaryoblastic process occurring in infants, who present with marked hepatosplenomegaly, anemia, thrombocytopenia, and a moderately elevated white cell count, and sometimes it can present as a mass and mimic sarcoma. This translocation involves the RNA-binding motif protein-15 (RBM15, also known as OTT) and a DNA binding motif protein known as megakaryocyte leukemia-1 (MKL1, also known as MAL). MKL1 is involved in the normal production of platelets. The role of the resultant chimeric protein in leukemogenesis is poorly understood, but may include the modulation of chromatin organization, HOX-induced differentiation, or extracellular signalling pathways. The prognostic significance of the *t(1;22)(p13;q13)* with modern therapy is unclear.

**Figure 4 - Recurring chromosomal abnormalities in acute myeloid leukemia**



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### 1.2.2.2. AML with myelodysplasia-related features

AML with myelodysplasia (MDS)-related features (previously called AML with multilineage dysplasia) is defined by cases that fit the criteria for a diagnosis of AML ( $\geq 20$  percent blasts), without a history of prior cytotoxic therapy for an unrelated disease, with one or more of the following three characteristics associated with myelodysplasia;

- AML that evolves from previously documented myelodysplastic syndrome
- AML that demonstrates MDS-related cytogenetic abnormalities, such as monosomy 5 or del(5q), monosomy 7 or del(7q), isochromosome 17p
- AML with morphologically identified multilineage dysplasia, defined as dysplasia present in  $\geq 50$  percent of cells in two or more hematopoietic lineages

Patients with AML who have a prior history of MDS or have MDS-related cytogenetic abnormalities have a poor outcome with conventional therapy. Such patients frequently demonstrate multilineage dysplasia. In contrast, the identification of multilineage dysplasia in the absence of these two features may not predict a poor outcome.

### 1.2.2.3. Therapy-related myeloid neoplasm

Persons who are exposed to cytotoxic agents are at risk of developing acute myeloid leukemia (t-AML), myelodysplastic syndrome (t-MDS), and myelodysplastic syndrome/myeloproliferative neoplasms (t-MDS/MPN). These conditions lie along a continuum of disease and are categorized by the 2008 WHO classification system as therapy-related myeloid neoplasms (t-MN) [116]. This is a heterogeneous and poorly defined group of patients who have a shorter median survival than patients with de novo AML, MDS, or MDS/MPN.

The diagnosis of therapy-related myeloid neoplasm (t-MN) is made when evaluation of the peripheral blood and bone marrow demonstrates morphologic, immunophenotypic, and cytogenetic changes consistent with the diagnosis of AML, MDS, or MDS/MPN in a patient with prior exposure to cytotoxic agents. Therapy-related myeloid neoplasms account for approximately 10 to 20 percent of all cases of AML, MDS, and MDS/MPN [117]. The incidence among patients treated with cytotoxic agents varies according to the underlying disease, specific agents, timing of exposure, and dose [118,119]. Patients can present at any age, but the median age at diagnosis is 61 years [120,121]. The proportion of patients with a prior hematologic malignancy or a prior solid tumor is approximately equal and accounts for the large majority of cases. Five to 20 percent of patients will have a history of exposure to cytotoxic therapy for benign disorders while a similar proportion will have undergone an autologous hematopoietic cell transplantation (HCT).

Several cytotoxic agents have been implicated (see **Table 4**). The latency period between first exposure to a cytotoxic agent and the development of t-MN ranges from one to 10 years and varies by cytotoxic agent:

- t-MN after exposure to alkylating agents or radiation therapy typically presents after a latency period of approximately 5 to 7 years. Two-thirds of these patients are first recognized by evidence of myelodysplasia (usually trilineage dysplasia), marrow failure, and pancytopenia. The chromosomal abnormalities seen in these t-MNs often involve complex abnormalities and monosomies such as -5 or -7 that have been associated with unfavorable risk
- t-MN that develops after the use of topoisomerase II inhibitors has a considerably shorter latency period of one to three years and most often presents with overt leukemia and rarely with MDS or MDS/MPN. The cytogenetic alterations typically apparent in these t-MNs often involve 11q23 abnormalities, such as t(9;11), or 21q22 abnormalities, such as t(8;21) or t(3;21)

The latency periods with other agents are not as clear. In addition, patients often have a history of exposure to multiple agents making the responsible factor difficult to determine.

Clonal chromosomal abnormalities are usually present in t-MDS prior to the evolution to leukemia, and these changes are often multiple and complex. Multiple clinical and biological subsets of t-MN have been recognized, and these are correlated with the specific therapy administered for the primary disease.

**Table 4 - Cytotoxic agents implicated in therapy-related myeloid neoplasm**

Class	Agent
Alkylating agents	melphalan, cyclophosphamide, nitrogen mustard, chlorambucil, busulfan, carboplatin, cisplatin, dacarbazine, procarbazine, carmustine, mitomycin C, thioTEPA, lomustine, bendamustine, and others
Topoisomerase II inhibitors	etoposide, teniposide, doxorubicin, daunorubicin, mitoxantrone, epirubicin, amsacrine, and actinomycin
Antimetabolites	thiopurines, mycophenolate, and fludarabine
Antitubulin agents ( <i>usually in combination with other agents</i> )	vincristine, vinblastine, vindesine, paclitaxel, and docetaxel
Ionizing radiation therapy	given as large fields that include the bone marrow

**Alkylating agents and/or radiation therapy.** Following alkylating agents and/or radiation therapy the most common type of t-MN is due to damage from alkylating agents. The alkylating agents react directly with DNA, inducing t-MN with unbalanced aberrations, primarily loss of chromosome material. Involvement of chromosomes 5 and/or 7 are characteristic of this subtype of therapy-related disease [122-128], and may be related to polymorphisms in enzymes responsible for modifying host responses to damage caused by leukemogens such as benzene and alkylating agents [129-131].

In a series of 306 patients with t-MN, 92 percent had clonal cytogenetic abnormalities and an abnormal karyotype with loss of all or part of chromosomes 5 and/or 7 was observed in 93 percent [127]:

- Normal karyotype 8%
- Abnormalities of chromosomes 5 and/or 7 70%
  - -7 or del(7q) 50%
  - del(5q)/t(5q) 43%

Some specific translocations also occur in t-MN following multiagent chemotherapy, as chromosomal rearrangements at 11p15 (NUP98 gene, which encodes a component of the nucleoporin complex) [132,133] or mutations and loss of heterozygosity of the TP53 tumor suppressor gene located on chromosome band 17p13 [134]. Mutations of TP53 are associated with abnormalities of chromosome 5 and a complex karyotype.

**DNA topoisomerase II inhibitors.** The second subtype of t-MN occurs in patients who have been treated with chemotherapeutic drugs that inhibit DNA topoisomerase II (eg, etoposide, teniposide, doxorubicin, mitoxantrone, epirubicin, dexrazoxane).

Balanced translocations occur in this type of t-MN, and most often involve the KMT2A gene at 11q23 or the RUNX1 gene at 21q22 [122,125,128,135,136]. Another recurring translocation that also involves the KMT2A gene, t(11;16)(q23;p13.3), has been described only in t-MN following exposure to a topoisomerase II inhibitor [137,138]. Patients with this translocation may present with a MDS, which is rarely seen with other 11q23 translocations [138]. The fusion gene with t(11;16)(q23;p13.3) consists of KMT2A fused to the gene that encodes CREB-binding protein (CREBBP or CBP) [137]. The fusion product retains the histone acetyltransferase domain of CREB binding protein; this may promote leukemogenesis by inducing histone acetylation of genomic regions targeted by KMT2A [139]. Topoisomerase II inhibitor therapy has also been associated with t(15;17)(q24.1;q21.1) and acute promyelocytic leukemia [140-143], as well as t(4;11) and acute lymphoblastic leukemia [144].

### **Mechanisms of dysplasia and leukemogenesis**

The high frequency of loss of 5q and/or 7q in t-MN due to alkylating agents and/or radiation therapy suggests that critical genes located at these sites are related to myelodysplasia and myeloid leukemogenesis [145,146].

**del(5q).** Cytogenetic and molecular analysis of MDS, AML and t-AML with a del(5q) has resulted in the identification of a region of approximately 1 Mb that is deleted in all patients (referred to as the commonly deleted segment) proposed to contain critically important genes [146-149]. A second, distal commonly deleted segment has been identified in 5q32-q33.1 in patients with MDS with an isolated del(5q) (5q-syndrome) [150]. A number of genes located on 5q including RPS14, miR-145/46a, EGR1, NPM1, APC, and CTNNA1 have been implicated in the development of myeloid disorders due to a gene dosage effect [151].

The gene encoding RPS14 located in 5q32, which is required for the processing of 18S pre-rRNA, was identified as a candidate disease gene in the 5q- syndrome [152]. Downregulation of RPS14 in CD34+ bone marrow cells blocks the differentiation of erythroid cells, and increases apoptosis in differentiating erythroid cells in vitro. Other studies have shown haploinsufficiency of two micro-RNAs (miRNAs), miR-145 and miR-146a, that are abundant in hematopoietic stem/progenitor cells (HSPCs), are encoded by sequences near the RPS14 gene, and cooperate with loss of RPS14. The Toll-interleukin-1 receptor domain-containing adaptor protein (TIRAP) and tumor necrosis factor receptor-associated factor-6 (TRAF6) are respective targets of these miRNAs, implicating inappropriate activation of innate immune signals in the pathogenesis of the 5q- syndrome [153,154].

Other genes located on 5q that are deleted in MDS, AML, or therapy-related MDS/AML with a del(5q) include EGR1, NPM1, CTNNA1, and APC. Loss of function of Apc (adenomatosis polyposis coli gene, Apc tumor suppressor gene) in animal models produces a condition simulating MDS [155]. Similarly, loss of



function of Egr1 cooperates with mutations induced by alkylating agents to induce myeloid neoplasms in mouse models [156]. Loss of expression of the alpha-catenin (CTNNA1) gene in hematopoietic stem and progenitor cells, as a result of chromosomal deletion or epigenetic silencing, may also contribute to transformation of myeloid cells in AML patients with a del(5q) [157]. Although Npm1 heterozygous mice (Npm1+/-) develop erythroid dysplasia and dysplastic megakaryocytes, the role of NPM1 in the pathogenesis of MDS/AML is unclear, since NPM1 is neither deleted in many patients with a del(5q), nor have mutations been identified in the remaining allele [158]. These results provided support to the current model that the cooperative loss of multiple genes on 5q is required for the pathogenesis of myeloid disorders with a del(5q).

**del(7q)** — To determine the location of genes on 7q that may be involved in myelodysplasia and myeloid leukemogenesis, the breakpoints and the extent of the del(7q) were evaluated in 55 patients with primary MDS or de novo AML and in 26 patients with t-MN [159]. This analysis suggested that there may be two distinct deleted segments of chromosome 7. The majority of patients had proximal breakpoints in q11.2-22 and distal breakpoints in q22-36. The smallest overlapping deleted segment was within q22. A minority of patients had involvement of q31-36, with a commonly deleted segment consisting of q32-33.

FISH was used to define the deleted segment at 7q22 [159]. The commonly deleted segment was 2 to 3 Mb; a slightly more distal, but an overlapping, commonly deleted segment was identified in 7q in another report [160]. An overlapping, but slightly proximal, commonly deleted segment was identified, which contains the gene encoding the transcription factor CUX1, hypothesized to act as a tumor suppressor by regulating genes that promote hematopoiesis [161]. Patients with de novo or therapy-related malignant myeloid disorders with del(7q) demonstrate haploinsufficiency for CUX1. Further study is needed to determine whether haploinsufficiency of CUX1 is sufficient for the development of myeloid malignancies, or whether haploinsufficiency of additional genes on 7q are necessary. Patients with the less frequent loss of band 7q32 have a very poor prognosis, suggesting that critical genes may be present at this site [162].

Microarray-based studies of MDS and myeloproliferative neoplasia (MPN) have implicated another region on 7q, band 7q36, in the pathogenesis of these diseases. These studies revealed the presence of acquired uniparental disomy (aUPD, or acquired copy-neutral loss of homozygosity) in some cases. Further analysis led to the identification of rare patients with microdeletions and the subsequent identification of mutations in the EZH2 gene within the deleted segment. Homozygous EZH2 mutations are found in approximately 75 percent of patients with aUPD, and in 12 percent of patients with MDS/MPN (both monoallelic and biallelic mutations were detected) [163,164]. Notably, these patients typically do not have cytogenetically visible abnormalities of chromosome 7. EZH2 encodes the catalytic subunit of the polycomb repressive complex 2 (PRC2), a highly conserved histone H3 lysine 27 (H3K27) methyltransferase that influences stem cell renewal by epigenetic repression of genes involved in cell fate decisions. EZH2 was previously reported to be an oncogene in epithelial tumors, such as breast cancer; however, the mutations identified in MDS/MPN result in loss of function of the histone methyltransferase activity, suggesting that EZH2 acts as a tumor suppressor for myeloid malignancies.

#### 1.2.2.4. AML, not otherwise specified

In addition to AML with recurring balanced translocations, and chromosomal gains and losses described above, about 40 to 50 percent of de novo AML and up to 10 percent of t-AML will have a normal karyotype by conventional cytogenetic analysis. This is a very heterogeneous group of patients with variable age, morphological features, and clinical course [68,111]. In a study of 5876 young adults with newly diagnosed de novo or secondary AML, 41 percent of patients had a normal karyotype [68]. These patients had rates of complete remission and 10-year survival of 90 percent and 38 percent, respectively.

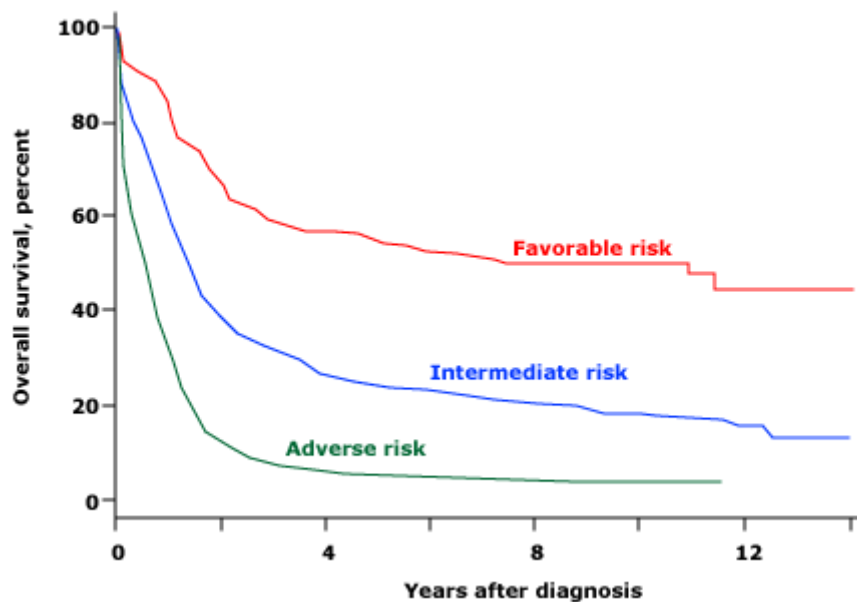
These cases of AML that do not meet the criteria for the categories described above are classified as AML, not otherwise specified (NOS). These cases are further subclassified by morphology that is similar to that used in the previous FAB classification system (see **Table 1**). This subclassification of patients with AML, NOS does not provide additional prognostic information.

Using genomic microarray analysis, and other molecular techniques, many novel gene mutations have been identified in normal karyotype AML. These include FLT3-ITD/TKD and KMT2A-PTD, as well as mutations of NPM1, NRAS CEBPA, BAALC, and WT1. Identification of these mutations provides new insights into the pathogenesis of this group of AML with a normal karyotype, and it also is important in further clarifying prognosis.

### 1.2.3. Prognosis in Acute Myeloid Leukemia

Karyotype analysis with metaphase cytogenetics is a key component of the initial evaluation of a patient with AML and specific cytogenetic abnormalities in AML have considerable prognostic significance and affect treatment planning (see **Table 5**). The value of risk stratification by karyotype has been illustrated in several analyses of patients enrolled in prospective clinical trials. The largest studies were cooperative group efforts from the Medical Research Council (MRC), the Southwest Oncology Group/Eastern Cooperative Oncology Group (SWOG/ECOG), and the Cancer and Leukemia Group B (CALGB). All studies confirmed earlier results from other groups attesting to the importance of pre-treatment karyotype (see **Figure 5**) [68,165-171].

**Figure 5 - Overall survival in AML patients according to cytogenetic risk categories (CALGB 8641)**



*See Reference 169 (Byrd JC, Blood 2002). Favorable risk (median survival 7.6 years): t(8;21); inv(16) or t(16;16); del(9q). Intermediate risk (median survival 1.3 years): normal karyotype; -Y; del(5q); loss of 7q; t(9;11); +11; del(11q); abn(12p); +13; del(20q); +21. Adverse risk (median survival 0.5 years): complex karyotype ( $\geq 3$  abnormalities); inv(3) or t(3;3); t(6;9); t(6;11); -7; +8 (sole abnormality); +8 with one other abnormality other than t(8;21), t(9;11), inv(16), or t(16;16); t(11;19)(q23;p13.1).*

The specifics regarding what constitutes favorable, intermediate, and unfavorable risk have varied among the cooperative groups. While there has been general agreement that t(8;21), inv(16), and t(15;17) predict a good outcome, there has been disagreement regarding what abnormalities determine an unfavorable risk and how additional chromosomal abnormalities impact the prognostic value of known markers.

**Table 5 - Recurring karyotypic abnormalities in acute myeloid leukemia**

Cytogenetic	Affected genes	Clinical features	Prognosis	Incidence
t(8;21)	<i>RUNX1/RUNX1T1</i>	Younger adults (average age 30 years) AML with maturation (FAB M2) Auer rods usually present	Favorable	5 to 7%
t(15;17)	<i>PML/RARA</i>	Younger adults (average age 40 years) Atypical promyelocytes with bilobed nucleus and granules (APL, FAB M3) Disseminated intravascular coagulation	Favorable	5 to 8%
t(11;17)	<i>ZBTB16/RARA</i>	Similar to APL but with sparser granules, lack of faggot cells, and absence of the typical bilobed nucleus	Poor response to ATRA	<1%
abn(16q22)	<i>CBFB/MYH11</i>	Younger adults (average age 40 years) Acute myelomonocytic leukemia (M4) with eosinophilia	Favorable	5%
abn(11q23)	<i>MLL</i> and many partners	Older adults (average age >50 years) Acute monoblastic/monocytic leukemia (M5) Hyperleukocytosis and extramedullary disease common	Poor, except t(9;11)	3%
+8	---	Older adults (average age >60 years) Varied morphology Often associated with other chromosomal additions and deletions	Poor	3 to 10%
del 5, del 7, 5q-, 7q-, or combinations	---	Older adults (average age >60 years) Varied morphology, common in acute erythroid leukemia (M6) Common in secondary AML and MDS	Poor	15 to 20%
Inv 3	<i>RPN1/MECOM</i>	Abnormal megakaryocytes and increased platelet count Other abnormalities common (del 5,7)	Poor	<1%
abn(p17)	<i>TP53</i>	Younger adults (average age <60 years) Varied morphology Other abnormalities common (del 5,7, complex karyotype)	Poor	5%
+13	---	Older adults (average age >60 years) Varied morphology and hybrid features	Poor	1 to 2%
t(6;9)(p2;q34)	<i>DEK/NUP214</i>	AML with maturation (M2) and acute myelomonocytic leukemia (M4) with prominent basophilia	Poor	1 to 2%
t(9;22)	<i>BCR/ABL1</i>	Older adults (average age >50 years) Usually AML with minimal differentiation (M1), prominent splenomegaly, possible transformation of unrecognized CML	Poor	1%
t(1;22)	<i>RBM15/MKL1</i>	Infants (aged 0 to 3 years) Often acute megakaryoblastic leukemia (M7), prominent organomegaly	Poor	<1%
t(8;16)	<i>KAT6A/CREBBP</i>	Acute myelomonocytic leukemia (M4) and acute monoblastic and monocytic leukemia (M5), erythrophagocytosis	Poor	<1%

### 1.2.3.1. Medical Research Council classification

In the hierarchical Medical Research Council (MRC) cytogenetic classification system, which was developed more than a decade ago by the analysis of a cohort of 1612 children and younger adults (< 55 years), 3 cytogenetic risk groups were distinguished [172,173]. Patients with t(15;17), t(8;21), and inv(16), irrespective of the presence of additional cytogenetic changes, were assigned to the “favorable risk” group; patients lacking any of these aberrations and found to have abn(3q), del(5q), -5/-7, or complex karyotype (ie, 5 or more unrelated cytogenetic abnormalities) were defined as “adverse risk.” The remaining patients, that is, those with normal karyotype and other structural or numerical abnormalities, comprised the “intermediate-risk” group. More recently, information from 5876 adults with newly diagnosed de novo (93%) or secondary AML enrolled on prospective MRC trials [68] was used to modify the MRC’s prior stratification system (see **Table 6**). Using these definitions, rates of OS at 10 years were 69, 38, 33, and 12 percent for patients with favorable risk, normal karyotype, intermediate risk, and adverse risk, respectively.

**Table 6 - Prognostic value of Medical Research Council classification**

Risk group	Subsets	Incidence (%)	10yOS (%)
Favorable	t(15;17)(q22;q21); <i>PML-RARA</i>	16	69
	t(8;21)(q22;q22); <i>RUNX1-RUNX1T1</i>		
	inv(16)(p13.1q22) / t(16;16)(p13.1;q22); <i>CBFB-MYH11</i>		
Intermediate	Abnormalities not described in favorable or unfavorable	59	35
Adverse	abn(3q) [excluding t(3;5)(q21-25;q31-35)]	25	12
	inv(3)(q21q26.2) or t(3;3)(q21;q26.2); <i>RPN1-EVI1</i>		
	add(5q), del(5q), -5,		
	-7, add(7q)/del(7q),		
	t(6;11)(q27;q23)		
	t(10;11)(p11_13;q23)		
	t(11q23) [excluding t(9;11)(p21-22;q23) and t(11;19)(q23;p13)]		
	t(9;22)(q34;q11)		
	-17/abn(17p),		
	Complex (≥4 unrelated abnormalities)		

\*: Irrespective of additional cytogenetic abnormalities

### 1.2.3.2. European LeukemiaNet classification

The European LeukemiaNet (ELN) classification system integrates cytogenetic and molecular features (ie, FLT3-ITD, CEBPA, and NPM1) in AML to divide cases into four prognostic risk groups. In an analysis of 818 younger adults (<60 years) and 732 older adults with primary AML treated within cooperative group trials, the ELN classification prognostic groups had significantly different rates of complete remission (CR), disease-free survival (DFS), and overall survival (OS) at three years (see **Table 7**) [174].

**Table 7 - Prognostic value of European LeukemiaNet classification**

Risk group	Subsets	CR (%)	3yDFS (%)	3yOS (%)
Favorable	t(8;21)(q22;q22); <i>RUNX1-RUNX1T1</i>			
	inv(16)(p13.1q22) / t(16;16)(p13.1;q22); <i>CBFB-MYH11</i>	Y: 96	Y: 55	Y: 66
	Mutated <i>NPM1</i> without <i>FLT3</i> -ITD (normal karyotype)	O: 83	O: 24	O: 33
	Mutated <i>CEBPA</i> (normal karyotype)			
Intermediate-I*	Mutated <i>NPM1</i> and <i>FLT3</i> -ITD (normal karyotype)	Y: 76	Y: 23	Y: 28
	Wild-type <i>NPM1</i> and <i>FLT3</i> -ITD (normal karyotype)	O: 61	O: 10	O: 11
	Wild-type <i>NPM1</i> without <i>FLT3</i> -ITD (normal karyotype)			
Intermediate-II	t(9;11)(p22;q23); <i>MLLT3-MLL</i>	Y: 79	Y: 34	Y: 45
	Cytogenetic abnormalities not classified as favorable or adverse	O: 63	O: 11	O: 16
Adverse	inv(3)(q21q26.2) or t(3;3)(q21;q26.2); <i>RPN1-EVI1</i>			
	t(6;9)(p23;q34); <i>DEK-NUP214</i>	Y: 50	Y: 10	Y: 12
	t(v;11)(v;q23); <i>MLL</i> rearranged	O: 39	O: 6	O: 3
	-5 or del(5q); -7; abnl(17p); complex karyotype <sup>Δ</sup>			

CR: complete remission; DFS: disease-free survival; OS: overall survival; Y: younger patients; O: older patients.

\* Includes all AMLs with normal karyotype except for those included in the favorable subgroup

<sup>Δ</sup> Three or more chromosome abnormalities in the absence of one of the WHO designated recurring translocations or inversions, that is, t(15;17), t(8;21), inv(16) or t(16;16), t(9;11), t(v;11)(v;q23), t(6;9), inv(3) or t(3;3)

### 1.2.3.3. National Comprehensive Cancer Network classification

The National Comprehensive Cancer Network (NCCN) risk classifications vary slightly from those of the MRC or ELN group. The main differences between NCCN and ELN classification are that NCCN has continued to place normal karyotype AML with FLT3-ITD mutation in the unfavorable risk group rather than in the intermediate risk group, and that patients with the favorable-risk CBF-AML [eg, t(8;21) or inv(16)/t(16;16)] with the presence of c-KIT mutation are considered in the intermediate risk group because of an higher risk of relapse (see **Table 8**) [175].

**Table 8 - Prognostic value of National Comprehensive Cancer Network classification**

Risk group	Subsets
Favorable	t(15;17)(q22;q21); <i>PML-RARA</i>
	t(8;21)(q22;q22); <i>RUNX1-RUNX1T1</i> without c-KIT mutation
	inv(16)(p13.1q22) / t(16;16)(p13.1;q22); <i>CBFB-MYH11</i> without c-KIT mutation
	Normal karyotype with NPM1 mutation in the absence of FLT3-ITD mutation
	Normal karyotype with biallelic CEBPA mutation in the absence of FLT3-ITD mutation
Intermediate	Normal karyotype
	+8 alone
	t(9;11)(p22;q23); <i>MLLT3-MLL</i>
	t(8;21) or inv(16) / t(16;16) with c-KIT mutation
	Other non defined
Adverse	-5, del(5q), - 7, del(7q)
	inv(3)(q21q26.2) or t(3;3)(q21;q26.2); <i>RPN1-EVI1</i>
	t(11q23) [excluding t(9;11)(p21-22;q23)]
	t(9;22)(q34;q11)
	t(6;9)(p23;q34); <i>DEK-NUP214</i>
	Monosomal karyotype *
	Complex (≥3 clonal chromosomal abnormalities)
	Normal karyotype with FLT3-ITD mutation

\*: monosomal karyotype is defined as at least two autosomal monosomies or a single autosomal monosomy in the presence of one or more structural cytogenetic abnormalities

### 1.3. WNT SIGNALING PATHWAY AND ACUTE MYELOID LEUKEMIA

Wnt/ $\beta$ -catenin signaling directs cell proliferation and cell fate during embryonic development and adult homeostasis. Wnt proteins were originally identified in *Drosophila* [176] and mice [177], which were called Wingless(Wg) and Int1, hence the name **Wnt**. In humans there are 19 secreted Wnt ligands acting both on the secreting cell and neighbouring cells and 10 frizzled receptors that can activate the canonical (Wnt/ $\beta$ -catenin), or non-canonical (Wnt/PCP or Wnt/Ca<sup>+</sup>) Wnt pathways. In the absence of Wnt ligand or presence of Wnt antagonists, the axin/adenomatous polyposis coli (APC)/casein kinase 1 (CK1)/glycogen synthase kinase 3 (GSK3) protein complex binds and phosphorylates  $\beta$ -catenin resulting in ubiquitination and proteosomal degradation of  $\beta$ -catenin. The pathway is activated when a Wnt ligand binds to the transmembrane domain receptor of the Frizzled family (FZD) and its co-receptor low-density lipoprotein receptor-related protein 5 or 6 (LRP5/6). FZD receptors are seven-pass transmembrane receptors which have cyteine-rich domains (CRD) in their N-terminus. Through the CRD, FZD receptor binds Wnt ligands. After an activating Wnt signal the protein Dishevelled (Dvl) is recruited to the receptor complex and the cytoplasmic tail of LRP5/6 is phosphorylated by CK1 and GSK3 $\beta$ . This provides a docking site for Axin1, which is then recruited to the receptor complex. Nonphosphorylated active  $\beta$ -catenin is then accumulated and transported to the nucleus.  $\beta$ -catenin forms complexes with the TCF/LEF transcription factors. In the absence of  $\beta$ -catenin TCF forms a transcriptional repressor complex with Groucho. Groucho is physically displaced by  $\beta$ -catenin and Pygopus and Legless are recruited to assemble a transcriptional activator complex.  $\beta$ -catenin is rapidly turned over by ubiquitination and degradation by the proteasome pathway under unstimulated conditions. This requires phosphorylation of  $\beta$ -catenin by a “degradation complex” consisting of APC, Axin, GSK3, and CK1, followed by binding of  $\beta$ -Trcp. Several Wnt inhibitors have been identified such as the extracellular Dickkopfs (DKK), secreted Frizzled-related proteins (SFRP1-5) and Wnt inhibitory factor 1 (WIF1) that prevent ligand-receptor interactions [178]. Intracellular inhibitors are DACT that interacts with Dvl [179], Wilms tumour protein 1 (WT1) that promote  $\beta$ -catenin ubiquitination and degradation, the nuclear proteins SRY-box containing genes (SOX) and the transcriptional repressor Hypermethylated in cancer 1 (HIC1) that interacts with the  $\beta$ -catenin/TCF/LEF complex, inhibiting transcription of Wnt target genes [180]. Furthermore, menin encoded by the MEN1 (Multiple endocrine neoplasia type 1) gene, inhibits the transcriptional activity of  $\beta$ -catenin by transporting  $\beta$ -catenin out of the nucleus [181].

#### 1.3.1. Wnt/ $\beta$ -catenin signaling pathway

Wnt proteins are characterized by a high number of conserved cysteine residues and are glycosylated and lipid modified at two conserved residues, which makes Wnt proteins highly hydrophobic. The palmitate is added in the endoplasmatic reticulum by the protein Porcupine (Porc) and is essential for signaling. Wnt proteins bind to the extracellular N-terminal cysteine-rich domain of the Frizzled (Fz) receptor, which is in a complex with the low density lipoprotein receptor-related protein 5 or 6 (LRP5/6). After an activating Wnt



signal the protein Dvl is recruited to the receptor complex and the cytoplasmic tail of LRP5/6 is phosphorylated by CK1 and GSK3 $\beta$ . This provides a docking site for Axin1, which is then recruited to the receptor complex. Axin1 is sequestered and assembly of the destruction complex is disrupted.  $\beta$ -catenin will accumulate in the cytoplasm and translocate to the nucleus, where it initiates transcription by activating T cell factor/lymphoid enhancer factor (TCF/LEF) transcription factors. In the absence of  $\beta$ -catenin TCF forms a transcriptional repressor complex with Groucho. Groucho is physically displaced by  $\beta$ -catenin and Pygopus and Legless are recruited to assemble a transcriptional activator complex [182-185].  $\beta$ -catenin is rapidly turned over by ubiquitination and degradation by the proteasome pathway under unstimulated conditions. This requires phosphorylation of  $\beta$ -catenin by a “degradation complex” consisting of APC, Axin, GSK3, and CK1, followed by binding of  $\beta$ -Trcp [186,187]. Wnt ligands interact with the cell surface receptor, Frizzled (FZD). The initial connection between seven-transmembrane-span proteins of the Fz family and Wnt proteins came from studies in *Drosophila* cell culture. FZD receptors are seven-pass transmembrane receptors which have cyteine-rich domains in their N-terminus. Through the CRD, FZD receptor binds Wnt ligands. In general, it is thought that a monomeric FZD receptor transmit signals downstream upon binding with Wnt ligand, however, the crystallographic resolution of the structure of the mouse FZD8 and sFRP3 CRD domains suggested that CRDs might be able to homodimerise or heterodimerise. Furthermore, there are reports showing that dimerisation of FZD receptor activates the Wnt/ $\beta$ -catenin pathway and that FZD form specific homo-and hetero-oligomers. These reports suggest the wide possibility of the signal transmission mechanism downstream of FZD receptor. Upon the binding of Wnt to FZD receptor, the intracellular amino sequences, K-T-X-X-X-W directly binds to Dishevelled proteins. There are 10 reported human frizzled receptors. Phylogenetically, the Frizzled receptors fall into four groups [188].

In the late 1980s,  $\beta$ -catenin was independently discovered twice, on the basis of its different functions: structural and signalling. The group of Rolf Kemler isolated  $\beta$ -catenin, together with two other molecules ( $\alpha$ -catenin and  $\gamma$ -catenin/plakoglobin), as proteins associated with E-cadherin, the key molecule of Ca<sup>2+</sup>-dependent cell adhesion. These proteins were named catenins to reflect their linking of E-cadherin to cytoskeletal structures [189]. The signalling potential of  $\beta$ -catenin was exposed through its *Drosophila* orthologue Armadillo: the armadillo gene was discovered in the seminal screens for mutations affecting segmentation of the *Drosophila* embryo [190]. This finding was a key step in the subsequent characterization of the Wnt/ $\beta$ -catenin (or Wingless/ Armadillo, respectively) signalling cascade, and of the functions and mutual interactions of its individual components. Finally in the mid- 1990's several groups independently found that the signalling function of  $\beta$ -catenin/Armadillo in the nucleus is mediated via TCF/LEF transcription factors, which in association with  $\beta$ -catenin trigger Wnt-mediated transcription [191-195]. The  $\beta$ -catenin protein (781 aa residues in humans) consists of a central region (residues 141–664) made up of 12 imperfect Armadillo repeats (R1–12) that are flanked by distinct N- and C-terminal domains, NTD and CTD, respectively. A specific conserved helix (Helix-C) is located proximally to the CTD, adjacent to the last ARM repeat (residues 667–683) [196]. The NTD and the CTD may be structurally flexible, whereas the central region forms a relatively rigid scaffold. This scaffold serves as an interaction platform for many  $\beta$ -catenin binding partners, at the membrane, in cytosol, and in the nucleus [197]. Free  $\beta$ -catenin is recognized by the key scaffold molecules Axin and APC, both of which can directly interact with  $\beta$ -catenin and also inter se. The scaffold establishes a platform for 68 associated kinases to phosphorylate  $\beta$ -catenin [198,199]. CK1a

phosphorylates  $\beta$ -catenin and therefore the scaffolding proteins Axin and APC are essential for the GSK3-mediated phosphorylation of  $\beta$ -catenin: although GSK3 can modify a plethora of different proteins within a cell as a free molecule, it modifies  $\beta$ -catenin only if it is associated with Axin and APC [200,201]. APC contributes to the establishment of the destruction complex, and stabilizes  $\beta$ -catenin's phosphorylation status. If N-terminally phosphorylated  $\beta$ -catenin is not associated with APC, after leaving the destruction complex, then it is immediately dephosphorylated by PP2A [202]. Activation of Wnt signalling leads to the disassembly of the  $\beta$ -catenin destruction complex and GSK3 activity is blocked.  $\beta$ -Catenin can dynamically shuttle between the cytoplasm and nucleus. Surprisingly, it does not contain any classical nuclear localization signal (NLS) or nuclear export signal (NES) within its polypeptide sequence. Indeed nuclear import of  $\beta$ -catenin was shown to occur importin-karyopherin independently [203]. Recently,  $\beta$ -catenin was shown to directly interact with different nuclear pore complex components (NPCs) [204,205]. By transiently and sequentially binding to different NPCs,  $\beta$ -catenin could pass through the nuclear pores. nucleus Once in the nucleus  $\beta$ -catenin can activate transcription of Wnt/ $\beta$ -catenin target genes. Hence,  $\beta$ -catenin initiates transcription only as a member of bipartite or multimeric complexes wherein one partner provides association with specific response elements on target genes (e.g., Wnt response elements, WREs) and  $\beta$ -catenin acts as the central transcriptional activator. TCF/Lef transcription factors serve as the main nuclear partners of  $\beta$  catenin guiding it to specific DNA loci. Within the coactivator complex,  $\beta$ -catenin functions as a scaffold to link the LEF-1/TCF proteins to specific chromatin remodeling complexes, as well as to the Wnt coactivators, Bcl-9/Lgs and Pygopus. Bcl-9/Lgs and Pygopus are implicated in nuclear localization of  $\beta$ -catenin [206] as well as transcription [207,208].

### **1.3.2. Induction of WNT signaling pathway in AML**

Aberrant activation of Wnt/ $\beta$ -catenin signaling has been linked to several cancers, including the progression of AML and other haematological malignancies [209,210]. Several studies pointed out the important role of the Wnt signaling in regulating mitotic divisions of hematopoietic stem cells (HSCs) [211]. The requirement of WNT signalling activity in HSC self-renewal and bone marrow repopulation has been indicated by the positive effect of WNT activation on hematopoietic stem cells (HSC) recovery in transplantation studies [211,212] and that WNT activation through TCF /  $\beta$  -catenin signalling was necessary for optimal HSC formation [213] and HSC integrity [214]. The control of self-renewal is mediated by WNT proteins produced by stromal cells and the niche and, in some cases, from the same HSCs through a paracrine/autocrine mechanism. Wnt pathway dysregulation exists in myeloid leukemias [211]; indeed, a Wnt pathway requirement for leukemia-initiating cell development in AML has emerged in a mouse model [215].

### **1.3.3. WNT signaling in long-term reconstituting AC133<sup>bright</sup> leukemia cells**

Acute myeloid leukemia is a genetically heterogeneous clonal disorder characterized by two molecularly distinct self-renewing leukemic stem cell (LSC) populations most closely related to normal progenitors and organized as a hierarchy. Ample evidence exists in mouse models that AML develops through the stepwise

acquisition of collaborating genetic and epigenetic changes in self-renewing LICs, which exhibit a committed myeloid immunophenotype and give rise to nonleukemogenic progeny in a myeloid-restricted hierarchy [216-218]. Leukemia-initiating cells are restricted only to the CD34<sup>+</sup>CD38<sup>-</sup> population. The AC133 antigen (a glycosylation-dependent epitope of CD133) defines a desirable population of stem and progenitor cells containing in turn all the CD34<sup>bright</sup>CD38<sup>-</sup> progenitors, as well as the CD34<sup>bright</sup>CD38<sup>+</sup> cells committed to the granulocytic/monocytic lineage [219]. According to literature's data, the AC133 antigen expression is restricted to a rare cell population with long-term reconstituting activity, ranging from 20% to 60% of all CD34<sup>+</sup> cells, and resulting barely detectable in CD34<sup>-</sup> Lin<sup>-</sup> cells. In a previous study [220], our research team demonstrated the clonogenic ability of AC133<sup>+</sup> selected cell in qualitative terms (as capacity to produce colony forming-units granulocyte/macrophage and/or burst-forming units-erythroid in presence of appropriate stimulation) as well as in quantitative term (comparing the results with those obtained from unsorted bone marrow mononuclear cells).

In order to highlight the de-regulated pathways involved in the maintenance of a self-renewing state in LICs, in a previous study our research team performed a genome-wide functional enrichment analysis on gene expression microarray data of AC133<sup>+</sup> cells isolated from 33 newly diagnosed unselected non-promyelocytic AML patients and 10 healthy donors [221]. The functional enrichment methods selected the term "WNT receptor signaling pathway" (GO:0016055) as the most specific self-renewal associated dysregulated pathway in AC133<sup>+</sup> AML cells. Among the 103 differentially expressed Wnt genes identified, genes shown to be highly AML-specific include the WNT ligands *WNT2B*, *WNT6*, *WNT10A*, and *WNT10B* [222], the WNT/ $\beta$ -catenin signaling agonists including *SMYD3* [223], *DKK2* [224], *SOX4* [225], *PROP-1* [226], and *PYGO2* [227,228], antagonists including *WIF-1* [229], *KLHL12* [230], *LRP6* [231], *KREMEN1* [232], *E2F1* [233], *DACT1* [234], and *HBP1* [235], and the deregulated WNT targets including *STAT3*, *MYCN*, *ABCC4*, *DLX3*, *MARK4*, *RUNX2*, *CD24*, and *CD44* [236]. Notably, *WNT2B*, *WNT6*, *WNT10A*, and *WNT10B*, known to promote hematopoietic tissue regeneration [222], are the WNT mediators specifically upregulated in the AC133<sup>+</sup> AML cells. Collectively, these data were consistent with ligand-dependent activation of the regeneration-associated WNT pathway [222,237].

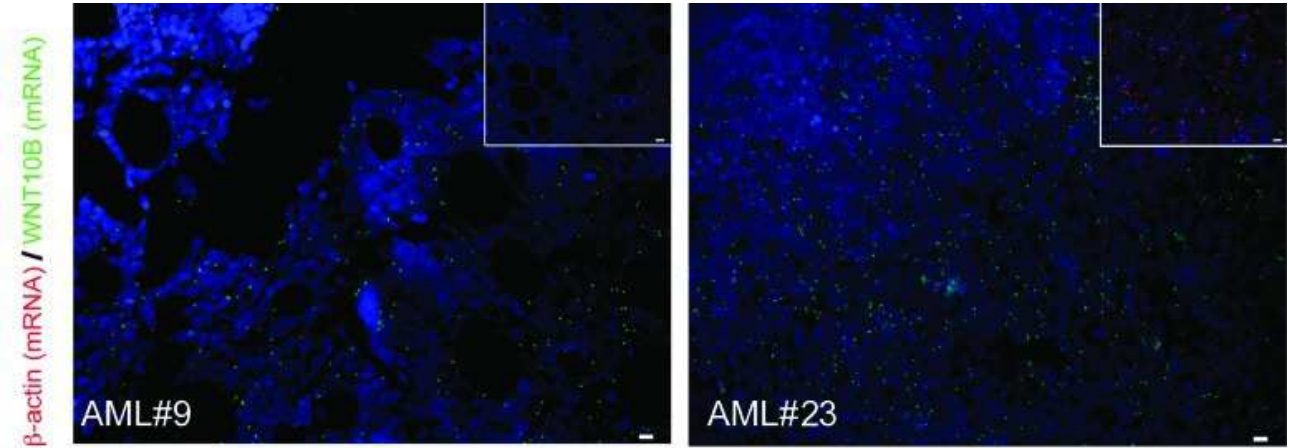
#### 1.3.4. Qualitative evaluation of WNT10B and detection of AC133<sup>bright</sup> subpopulation

According to these data, indicating transcriptional activation of canonical WNTs, we investigated how expression of WNT is related to AML phenotype through new *in situ* approaches. The attention was placed on WNT10B, a well-known hematopoietic stem cell regenerative-associated molecule, which was the only one to be expressed by all AML patients. *In situ* mRNA detection by target-primed Rolling Circle Amplification (RCA) analysis detected WNT10B-related transcript in bone marrow (BM) sections obtained from two randomly selected AML patients at diagnosis, with  $\beta$ -actin as reference transcript in consecutive sections.

Visualization by using high-performance fluorescence microscopy showed a diffuse localization pattern in the tissues (see **Figure 6**), and signal distribution and RCP quantification showed a  $\beta$ -actin/*WNT10B* ratio close to 1, suggesting a constitutive activation of *WNT10B* transcription in the BM. In addition, we analyzed transcriptional activation of canonical WNTs focusing on genes that have been shown to be potent regulators

of stem cell functions. N-terminally dephosphorylated  $\beta$ -catenin (ABC) was increasingly accumulated as determined by immunoblot analysis. Remarkably, we confirmed a dramatic increase in WNT10B expression in all patient samples, except for the only patient affected by therapy-related AML.

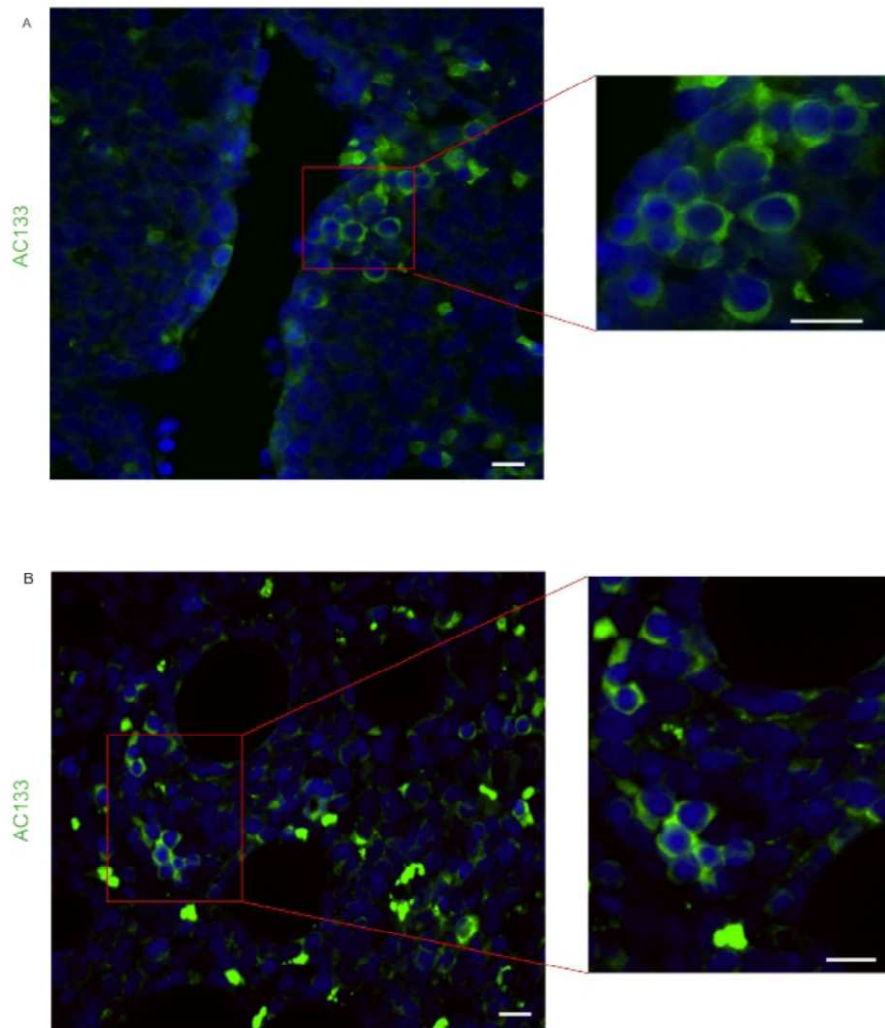
### **Figure 6 - WNT10B mRNA *in situ* detection**



*Detection with padlock probe and target-primed rolling circle amplification of individual WNT10B transcripts on BM slides from AML patients. WNT10B RCPs are shown in green (Cy5, while red RCPs represent  $\beta$ -actin transcripts in consecutive sections. Cell nuclei are shown in blue. Images were acquired with x20 magnification. Scale bar, 10  $\mu$ m.*

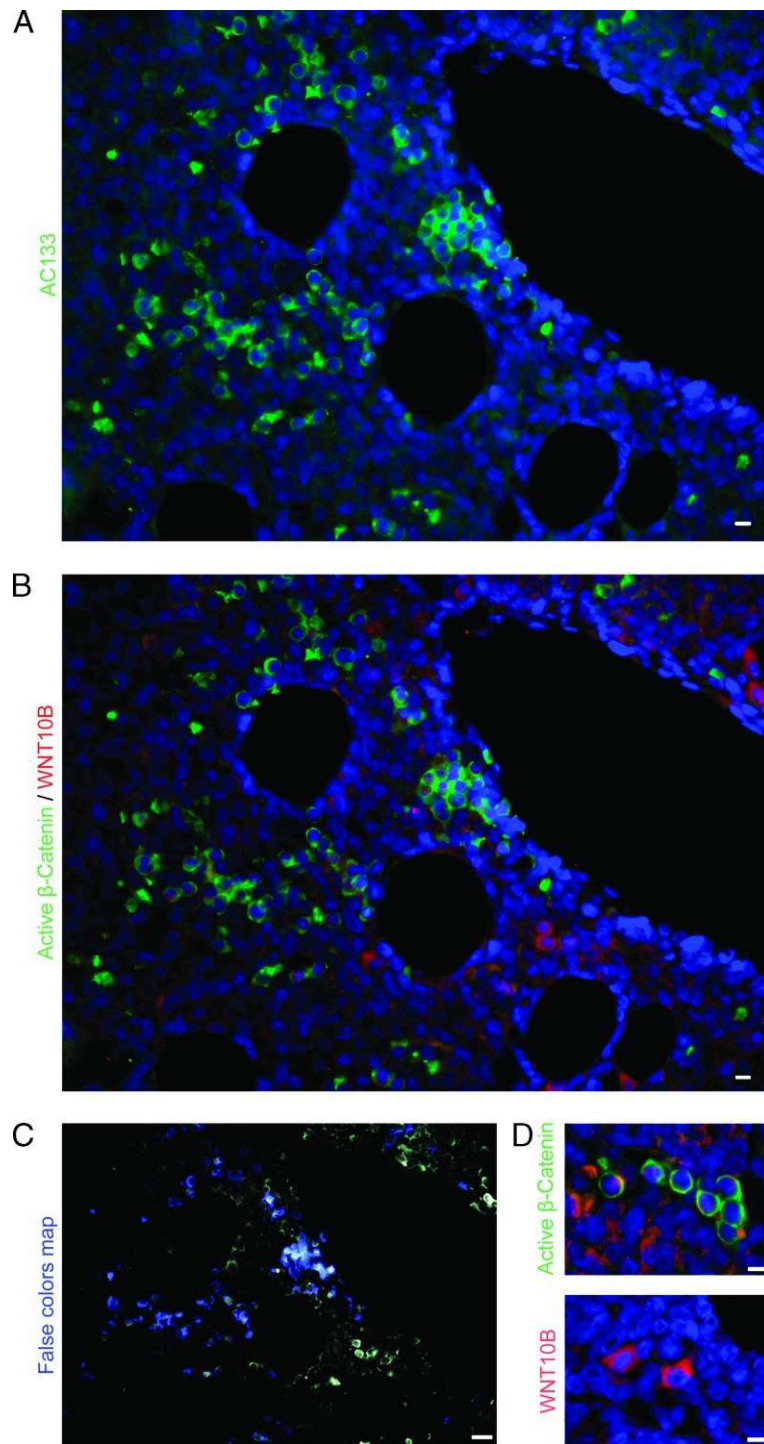
To better elucidate the impact of the broad WNT10B overexpression on the leukemic microenvironment, we examined its expression in histologic preparations of BM from five randomly selected AML patients at diagnosis. The AC133 immunostaining revealed islands of highly positive cells (AC133<sup>bright</sup>) in an estimated proportion of 8% of cells, amid AC133<sup>dim</sup> or negative tumor blasts (**Figure 7**). These AC133<sup>bright</sup> showed a small diameter of the nuclei (8-10  $\mu$ m) and a clonal appearance with an increased nuclear/cytoplasmatic ratio. The double immunostaining for WNT10B and ABC confirmed that WNT10B was expressed by a high proportion of leukemic cells, as well as in interstitial spaces, suggesting its secretion and release in the BM microenvironment (**Figure 8D**). In order to define the spatial relationship between AC133 and ABC positive cells in AML bone marrow cell population, we performed a double immunostaining AC133/ABC (**Figure 9**). We observed a stringent correlation between AC133 and ABC signal, suggesting that the WNT signal responsiveness function is strictly associated to AC133<sup>bright</sup> cells. Using ImageJ, we noted that there are two types of signal positivity: the AC133 signals were localized around the membrane perimeter, while the ABC signals defined the cytoplasmatic area. While WNT10B is diffusely expressed at mRNA and protein levels on both leukemic blasts and stromal-like cells (**Figure 8A/8D**), activation of WNT signaling marked by expression of the dephosphorylated  $\beta$ -catenin (ABC) was restricted to the smaller population of AC133<sup>bright</sup> leukemic cells (**Figure 8B/8C**), likely induced through an autocrine/paracrine mechanism (**Figure 8B/8D**).

**Figure 7 - Detection of AC133<sup>bright</sup> cells**



*AC133 direct immunostaining on two bone marrow sections derived from AML patients, AML9 (A) and AML63 (B). The green signals, obtained with hybridization antibody labeled with dye 488 nm, define the AC133-positive cells. Two types of AC133-positive cells can be observed: a rare group of cells characterized by high bright positivity, and other cells showing a dim cytoplasmatic signal. Cell nuclei are shown in blue. Scale bar 10  $\mu$ m. (**Unpublished data, corollaries of [221]**)*

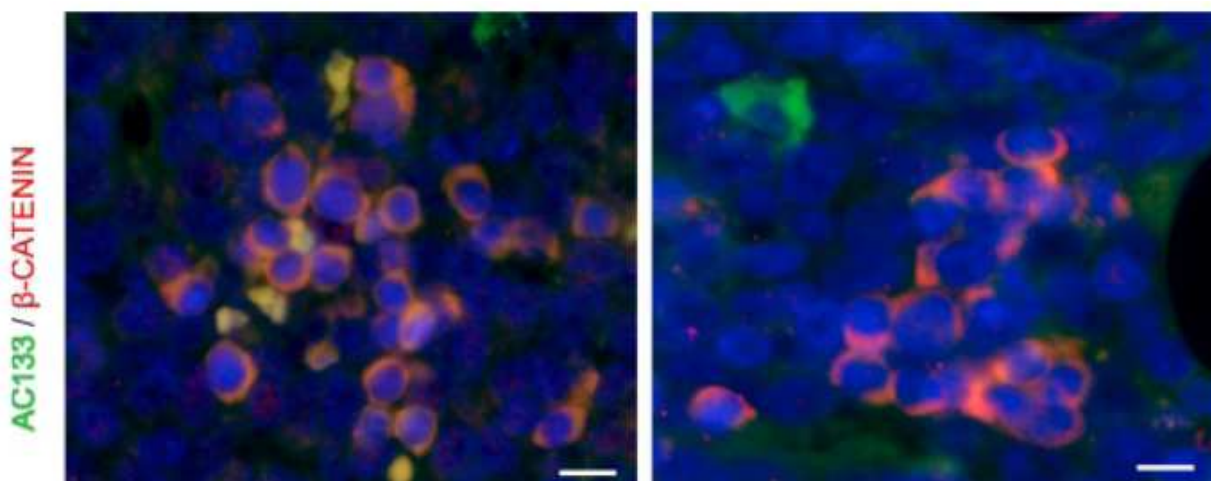
**Figure 8 -  $\beta$ -Catenin activation in the subpopulation of AC133<sup>bright</sup> AML cells expressing WNT10B**



(A) Representative immunostaining micrographs show green fluorescence of cells expressing AC133 in a BM section. Cell nuclei are shown in blue. Scale bar represents 10  $\mu$ m. (B) Co-staining of BM from adjacent serial section for expression of ABC (green) and WNT10B (red). Cell nuclei are shown in blue. (C) False color maps of ABC/WNT10B double positive cells (blue). (D) Morphologic detail of cells showing intense specific staining for ABC (top panels) and WNT10B (bottom panels).



**Figure 9 - AC133<sup>bright</sup> as marker of WNT signaling activation**

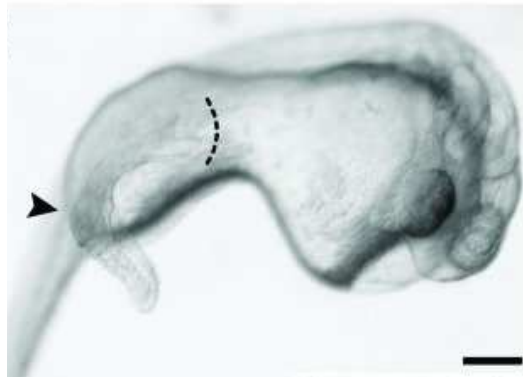


Double immunostaining for AC133 and ABC detection, on bone marrow section derived from AML9 patient. Cell nuclei are shown in blue. Scale bar 10  $\mu$ m. (**Unpublished data, corollaries of [221]**)

We established a primary AC133<sup>+</sup> cell culture (termed A46) selected from a 66-year-old male at diagnosis of AML-M2 with diploid karyotype and a dominant CD133.1<sup>+</sup>CD34<sup>+</sup>CD38<sup>+</sup>CD45<sup>+</sup>CD117<sup>+</sup> blast population. Comparative immunostaining and conditioned medium analysis of AC133-selected A46 leukemic and normal cells revealed that AC133<sup>+</sup> A46 cells synthesize and secrete WNT ligands, whereas normal BM-derived AC133<sup>+</sup> cells resulted negative. Subsequently, we showed a dramatic increase of WNT10B expression and protein release within the microenvironment in the large majority of samples from AML patients recruited to this study, with the exception of a therapy-related AML patient. In accordance with previous reports [238], we have not detected *WNT10B* gene expression in normal AC133<sup>+</sup> hematopoietic cells.

Leukemic stem cell functional activity in AC133<sup>+</sup> cells was tested and significant levels of engraftment were found upon transplantation of A46 cells into sublethally irradiated Rag2<sup>-/-</sup> $\gamma$ c<sup>-/-</sup> mice. Then, we explored the physiological relevance of tumor-derived WNT signals by using the developing zebrafish as a biosensor. We hypothesized that WNT-secreting A46 cells transplanted into developing zebrafish embryos might act as ectopic sources of maternal WNT ligands. Consistent with our hypothesis, A46 cells retained a dorsal organizer-inducing activity with development of ectopic axial structures, possibly correlated with their strong WNT signaling activation (see **Figure 10**). Conversely, control embryos grafted with normal AC133<sup>+</sup> cells did not display alterations of the normal phenotype.

**Figure 10** – AC133+ A46 AML cells induce ectopic gene expression and secondary body axis formation upon transplantation in zebrafish embryos



*Bright-field microscopy of a 24-hpf zebrafish embryo injected with A46 AML cells (lateral view). The arrowhead and the dotted line indicate the secondary trunk/tail induced by A46 cells. Scale bars represent 150  $\mu$ m.*

These findings provided direct evidence that the WNT/ $\beta$ -catenin signaling is diffusely activated and exceeds the homeostatic range in the majority of human AML cases, with a specific transcriptional signature involving overexpression of the WNT pathway agonists and down-modulation of the major antagonists.



## **2. MATERIALS AND METHODS**

## 2.1. Study population

### 2.1.1. Patients' characteristics and data collection

One hundred twenty-five patients with untreated AML were included in this study. Patients' characteristics are shown in **Table 9**. Each patient gave his/her informed consent for collection of clinical data, the cryopreservation of bone marrow samples and the performance of DNA-analysis for scientific purposes, in accordance with institutional guidelines. Bone marrow samples from each patient were collected and cryopreserved at diagnosis and then centrally analyzed at the University of Milan, Italy.

For each patient, data regarding history, haematologic parameters, bone marrow morphology, immunophenotype, cytogenetic, molecular analysis, and diagnosis of extra-medullary leukemia were recorded. Treatment schedule and outcome data were available for 116 out of 125 patients. Patients' data were periodically updated, centrally verified for consistency and completeness and subsequently submitted for statistical analysis. The study design adhered to the Declaration of Helsinki and approval for this study was obtained from the Niguarda Hospital Review Board.

### 2.1.2. Definitions and criteria for treatment response

Complete remission (CR) was defined as less than 5% of bone marrow blasts, regression of extramedullary disease, transfusion independency with peripheral neutrophil count greater than 1 000/ $\mu$ L and platelet count greater than 100 000/ $\mu$ L and disappearance of the cytogenetic and molecular markers [239,240]. Recurrent disease is defined as the reappearance of  $\geq$  5% blasts in the bone marrow or in the peripheral blood or as the appearance of a new extramedullary site of disease in patients with a previously documented CR. Extramedullary disease was defined as any leukemic collection outside the bone marrow and its presence was documented either by histological, cytological or radiological criteria.

Overall survival (OS) was calculated from the date of diagnosis until death, where all living patients were censored at the time of last contact. The duration of CR was calculated from the date of the first CR until the date of the first relapse. Relapse-free survival (RFS) was calculated from the date of the first CR until the date of the first relapse, where patients were censored at the time of last contact or death not due to recurrent disease.

**Table 9 - Clinical and genetic characteristics at presentation**

<b>Characteristics</b>		
<b>Patients, no.</b>	125	
Median age, years (range)	51	(15 - 76)
No. men/no. women	67/58	
Median WBC, x 10 <sup>9</sup> /L (range)	16.3	(0.3 - 345)
Median Hb, g/dl (range)	8.8	(4.2 - 12.0)
Median PLT, x 10 <sup>9</sup> /L (range)	34.5	(7 - 296)
Median LDH, U/L (range)	792	(172 - 1500)
Median marrow blast, % (range)	75.0	(20 - 98)
Median peripheral blast, % (range)	58.0	(0 - 97)
Extramedullary disease, no. (%)	12	(9.6)
<b>Cytogenetic features</b>		
Without additional abnormalities, no. (%)	48	(38.4)
No. Abnormalities, no. (%)	13	(10.4)
Structure abnormalities, no. (%)	64	(51.2)
t(8;21)	18	
inv(16)/t(16;16)	24	
t(15;17) or variant	8	
<b>Mutational status</b>		
FLT3-ITD mutated cases, no. (%)	15/86	(17.4)
FLT3-TKD mutated cases, no. (%)	5/83	(6.0)
NPM1 mutated cases, no. (%)	20/46	(43.5)
Biallelic CEBPA mutated cases, no. (%)	2/11	(--)
<b>Classification</b>		
<i>de novo</i> AML, no.	112	
AML with myelodysplasia-related features, no.	6	
Therapy-related AML, no.	7	

### 2.1.3. Statistical analyses

All collected variables were submitted to usual descriptive methods. In particular, for continuous variables the distribution was firstly evaluated by the Shapiro-Wilk test, so that normally distributed variables were summarized with mean and standard deviation, while non-normal variables were summarized with median and range. The Pearson's chi-square test with Yates' correction for continuity and the Fisher's exact test (if applicable) were used to check the association between categorical data, after cross-tabulation. Comparisons of normally distributed continuous variables were carried out by Student's t-test or by Welch test (in the case of non-homogeneous variances between groups, previously verified by Levene's test). The Kruskal-Wallis test and the Mann-Whitney U-test were used for comparison of continuous non-normally distributed variables.

The survival analysis was carried out using the Kaplan-Meier product limit method, followed by the logrank test, to evaluate the possible differences in survival between groups. Cox univariate and multivariate regression models were also used to analyse the effects of continuous variables on survivorship. The optimal multivariate model was chosen using a backward stepwise elimination after inserting all variables showing  $p < .20$  at univariate analysis.

The receiver operating characteristics curve (ROC) was traced to analyse the role of WNT transcript levels on survivorship and to search for an optimal cut-off value for WNT transcript itself. For all possible cut-off points, the total accuracy was considered together with sensitivity, specificity, positive predictive value and negative predictive value; however, the choice was made according to Youden.

Statistical analysis was done using MedCal 9.3.7.0. Statistical significance was assumed for all tests with  $p < .05$ .

## **2.2. Single cell analysis: mRNA in situ detection and Droplet Digital PCR**

At present, cell and tissue analysis of cellular pathways altered in disease, including transcripts, and protein levels, localizations, modifications and dynamic interactions, offer insights into the molecular basis of disease, and it will also provide a basis for directed biomarker discovery efforts and for identifying promising drug targets. Therefore, the single cell analysis of tumor specimens may allow the identification of cancer sub-clones that is a prerequisite for a more advanced and personalized therapeutic approaches. Advanced methods for single cell analysis have been developed to obtain comprehensive biological information from a snapshot of individual cells, and to pinpoint any essential disease related alterations in cellular pathways. The study of cell-to-cell variation has now become an important focus of biological and clinical research. In fact, when addressing cell-to-cell variation, single-cell analyses are necessary in order to study and characterize the intrinsic heterogeneity of cell populations, which are masked in bulk measurements [241-243]. Single-cell analyses carried out *in situ* enable the identification and discrimination of cells within a microenvironment, thereby identifying subpopulations of cells with a particular expression profile or signaling activity status from the bulk of others in the original environment. Then, gene expression and protein analysis are pushed to include four fundamental parameters: analytical resolution, throughput, multiplexability and spatial resolution.

### **mRNA in situ detection**

Taking this issues into consideration, *in situ* analyses of mRNA and protein complexes can achieve precise and spatial localization within morphological preserved cells or tissues as they occur in their natural situation. Spatial resolution is an intrinsic property of *in situ* techniques because the molecular reactions are performed directly on the tissue section and the spatial information is readily visible. The concept with padlock probes was invented two decades ago and is an extension of the oligonucleotide ligation assay (OLA) [244], offering highly selective detection of DNA and RNA in solution and *in situ*. First, padlock probes are linear oligonucleotides of approximately 70 to 100 nucleotides in length with target- complementary 5'- and 3'-ends which constitute dual target recognition when both probe arms must hybridize correctly to the target.

This property allows for highly multiplex assays with limited cross-reactivity between probes [245-247]. Secondly, when the padlock probes hybridize to their correct target the ends of the padlock probe are brought together in a head to tail orientation, with only a nick in between. The nicks can be sealed by a DNA ligase creating circles that are locked onto the target strands as padlocks [248]. Only a perfect match in the junction enables ligation, which creates a circular DNA molecule that subsequently can be detected. RCA, also known as rolling circle replication (RCR), is an isothermal amplification technique of circular DNA molecules that creates long single stranded DNA molecules with tandem repeats of complements of the original circles [249]. Thus, RCA generates copies at a rate that is linear over time unlike PCR that proceed in an exponential fashion. Since the contiguous rolling circle products (RCPs) will by nature collapse into micrometer-sized DNA-bundles, RCA is highly suitable for localized detection. RCA consists of an isothermal amplification of a circular single-stranded DNA molecule. A 100 nt-long circle is replicated several hundred times per hour thanks to the high processivity of this polymerase ( $1 \times 10^3$  nt/min) [250]. Some of the characteristics of this mechanism make RCA an exquisite signal amplification system, especially for *in situ* applications. The RCPs become detectable in a fluorescence microscope by the local enrichment of short fluorescent probes that hybridize to the detection sites of the coiled RCPs [251]. A great advantage with the appearance of single RCPs, being distinct bright signals representing individual molecules, is that it permits exact quantification of detected targets in a solution or *in situ*.

### **Droplet Digital PCR**

The concept of digital PCR was first described in 1992 by Sykes et al., who recognized that the combination of limiting dilution, end-point PCR, and Poisson statistics could yield an absolute measure of nucleic acid concentration (252). Subsequently, Vogelstein and Kinzler at Johns Hopkins University developed a method whereby a sample is diluted and partitioned to the extent that single template molecules can be amplified individually, each in a separate partition, and the products detected using fluorescent probes (253). The term "digital PCR" was coined to describe this novel method. Digital PCR improves upon the sensitivity of real-time PCR and enables the detection of rare events such as single-nucleotide mutations in a population of wild-type sequences. In conventional real-time PCR, the signal from wild-type sequences can dominate and obscure the signal from the rare sequence. By minimizing the effects of competition between targets, digital PCR overcomes the difficulties inherent to amplifying rare sequences, and allows for sensitive and precise absolute quantification of nucleic acids.

A critical step in digital PCR is sample partitioning (ie, the division of each sample into discrete subunits) prior to amplification by PCR. The sample is prepared in a manner similar to that for real-time PCR but is then separated into thousands of partitions, each ideally containing either zero or at most a few template molecules. Each partition behaves as an individual PCR reaction and, as with real-time PCR, fluorescent probes are used to identify amplified target DNA. Each partition can then be readily analyzed after amplification to determine whether or not it contains the target sequence. Samples containing amplified product are considered positive (fluorescent), and those without product, and thus with little or no fluorescence, are negative. The ratio of positives to negatives in each sample is the basis of quantification. Unlike real-time PCR, digital PCR does not rely on the number of amplification cycles to determine the initial

amount of template nucleic acid in each sample; rather, it relies on Poisson statistics to determine the absolute template quantity.

The unique sample partitioning step of digital PCR, paired with Poisson statistical data analysis, allows higher precision than traditional PCR and real-time PCR methods. Accordingly, digital PCR is particularly well suited for applications that require the detection of small amounts of input nucleic acid or finer resolution of target amounts among samples, for example, rare sequence detection, copy number variation analysis, and gene expression analysis of the rare targets [254].

### 2.2.1. mRNA *in situ* detection

In situ detection of individual mRNA molecules was performed as described. Bone marrow biopsies of AML patients, previously embedded in paraffin blocks, were cut in 5  $\mu\text{m}$  thick sections and mounted on slides. Slides were dewaxed as follows: twice in 100% xylene for 15 minutes and 10 minutes, twice in 100% EtOH for 2 minutes, twice in 95% EtOH for 2 minutes, twice in 70 % EtOH for 2 minutes, and washed in DEPC-H<sub>2</sub>O for 5 minutes and in DEPC-PBS for 2 minutes. Tissue fixation was performed in 3.7% (w/v) paraformaldehyde in PBS for 10 minutes at room temperature. After a wash in DEPC-PBS for 2 minutes, the tissue sections were then permeabilized with 2 mg/ml pepsin (Sigma Aldrich, St. Louis, US) in 0.1 M HCl at 37° C for 2 minutes. Slides were washed in DEPC-H<sub>2</sub>O for 5 minutes, in DEPC-PBS for 2 minutes and then fixed in 3.7% (w/v) paraformaldehyde in PBS for 10 minutes at room temperature. Tissue sections were then dehydrated through a series of 70%, 85% and 100% ethanol for 1 minutes each. Molecular reactions were carried out with a reaction volume of 100  $\mu\text{l}$  in secure-seals (13 mm in diameter, 0.8 mm deep; Grace Bio-Labs) mounted over the tissue. One  $\mu\text{M}$  of locked nucleic acid (LNA)-modified cDNA primer (Exiqon, Vedbaek, Denmark; see **Table 10a**) was added to the slide with 10 U/ $\mu\text{l}$  of M-MULV reverse transcriptase (Fermentas), 500 nM dNTPs (Invitrogen), 0.2  $\mu\text{g}/\mu\text{l}$  BSA (New England Biolabs, NEB) and 1 U/ $\mu\text{l}$  RiboLock RNase Inhibitor (Fermentas) in the M-MULV reaction buffer. Slides were incubated for 3 hours at 37° C. After incubation, slides were washed in PBS-T (DEPC-PBS with 0.05% Tween20), followed by a post-fixation step in 3.7% (w/v) paraformaldehyde in DEPC-PBS for 30 min at room temperature. After post-fixation the sample were washed twice in DEPC PBS-T. To make the target cDNA strands available for padlock probe hybridization, the RNA portion of the created RNA-DNA hybrids was degraded with RNaseH (Fermentas). Ligation was then carried out with 0.1  $\mu\text{M}$  of the  $\beta$ -actin padlock probe, WNT10B padlock probe and WNT10B<sup>IVS1</sup> padlock probe (Sigma-Aldrich, St Louis, MO; see **Table 10b**) and in a mix of 0.5 U/ $\mu\text{l}$  Ampligase (Epicentre), 0.4 U/ $\mu\text{l}$  RNase H (Fermentas), 1 U/ $\mu\text{l}$  RiboLock RNase Inhibitor (Fermentas), Ampligase buffer, 50 mM KCl and 20% formamide. Incubation was performed first at 37° C for 30 minutes, followed by 45 minutes at 45° C. After ligation reaction, the slides were washed twice in DEPC-PBS with 0.05% Tween20. Rolling Circle Amplification (RCA) was performed with 1 U/ $\mu\text{l}$  DNA Polymerase (Fermentas) in the supplied reaction buffer, 1 U/ $\mu\text{l}$  RNase Inhibitor (Fermentas), 250  $\mu\text{M}$  dNTPS (Invitrogen), 0.2  $\mu\text{g}/\mu\text{l}$  BSA (NEB) and 5% glycerol. Incubation was carried out for 5 hours at 37° C, and it was followed by a twice wash in PBS-T. Rolling Circle Particles (RCPs) were visualized using 100 nM of detection probe (Sigma-Aldrich; see **Table 10c**) in 2X SSC and 20% formamide at 37° C for 20 minutes. Slides were then washed in DEPC-PBS. Nuclei were counterstained with 100 ng/ml Hoechst 33258 (Sigma-Aldrich). The Secure-seals were removed and

the slides were dehydrated using a series of 70%, 85% and 99.5% ethanol for 3 minutes each. The dry slides were mounted with Invitrogen Slowfade. Images of bone marrow tissue slides were acquired using an Axioplan II epifluorescence microscope (Zeiss) equipped with a 100 W mercury lamp, a CCD camera (HRM, Zeiss), and a computer-controlled filter wheel with excitation and emission filters for visualization of DAPI, Cy3, and Cy5. A x20 (Plan Apocromat, Zeiss) and x40 (Plan Neofluar, Zeiss) objective were used for capturing the images. Images were collected using the Axiovision software (release 4.3, Zeiss). Exposure times for slides images were 520–680 ms (at 20X magnification), 320–480 ms (X40) for DAPI; 300 ms (x20), 650 ms (Å~40) for Cy3; 250 ms (Å~20), 580 ms (Å~40) for Cy5. Images were collected as z-stacks to ensure that all RCPs were acquired, with a maximum intensity project created in Axiovision. For quantification, the numbers of 153 RCPs and cell nuclei in images were counted digitally using CellProfiler ([www.cellprofiler.org](http://www.cellprofiler.org)) on three x20 microscope images. The total number of RCPs was divided by the number of nuclei for each image. The average for each sample was then calculated from the result of the five images and is reported as RCPs per cell. The threshold for different color channels was set with ImageJ 1.41 .

**Table 10 – Primer, padlock probe and detection probe for mRNA *in situ* detection**

<b>A. LNA PRIMERS</b>
<b><i>β-actin</i></b>
5'-C+TG+AC+CC+AT+GCCCACCATCACGCC-3'
<b><i>WNT10B</i></b>
5'-C+A+G+G+C+CGGACAGCGTCAAGCACACG-3'
<b>B. PADLOCK PROBES</b>
<b><i>β-actin</i></b>
5'-[Phos] GCCGGCTTCGCGGGCGACGATTCTCTATGATTACTGACCTATGCGTCTATTTAGTGGAGCCTCTTCTTTACGGCGC CGGCATGTGCAAG-3'
<b><i>WNT10B</i></b>
5'-[Phos] ACCGTGCCTGTCCGACCCTCTCTATGATTACTGACCTAAGTCGGAAGTACTACTCTCTTCTTTTAGTGAAGCCC AGGCAACCCA-3'
<b><i>WNT10B<sup>IVS1</sup></i></b>
5'-[Phos] AGTCTCCCGTCCCGCAGGTCTCTCTATGATTACTGACCTATGCGTCTATTTAGTGTATCCTCTTCTTTCTATTCTG AACCCGCATCA-3'
<b>C. DETECTION PROBES</b>
<b><i>β-actin, CY3</i></b>
5'-[Cy3]-TGCGTCTATTTAGTGGAGCC-3'
<b><i>WNT10B, CY5</i></b>
5'-[Cy5]-AGTCGGAAGTACTACTCTCT-3'

FLUOROPHORES	$\lambda$ ABSORPTION	$\lambda$ EMISSION
HOECHST 33258	346 nm	460 nm
CY3	550 nm	570 nm
CY5	622/36 nm	667/30 nm

### 2.2.2. RNA isolation

Total RNA for expression profiling analysis was extracted using RNAqueus 4PCR kit (Ambion, Austin TX) from mononucleated cells derived AML samples. 500  $\mu$ L of Lysis/Binding Solution was added to a sample and vortexed vigorously; an equal volume of 64% Ethanol was added to the lysate and the tube was inverted several times. The samples were applied to columns, spun for 30 seconds at 10,000 RCF, and the flow through was discarded. 700  $\mu$ L of Wash Solution #1 was added, centrifuged, the flow through was then discarded. Then 500  $\mu$ L of Wash Solution #2/3, was added and centrifuged and the flow thought was subsequently discarded. This process was repeated with another 500  $\mu$ L of Wash Solution #2/3. RNA was eluted with 50  $\mu$ L of preheated Elution Solution, and centrifuged at 10,000 RCF for 30 seconds, and eluted again with 15  $\mu$ L of Elution Solution. Solution was then treated with 7.5  $\mu$ L of 10x DNase1 buffer and 1.0  $\mu$ L of DNase1 enzyme to destroy residual DNA. The product was then incubated for 30 minutes at 37° C before 8.0  $\mu$ L of DNase1 inactivation reagent was added and incubated for 2 minutes at room temperature. The tube was then spun down at 10,000 RCF for 1 minute to pellet the inactivation reagent.

### 2.2.3. RNA quality evaluation

Integrity of RNA samples extracted from dried blood spots was checked using Experion™ (Bio-Rad, USA). Experion system was included with automated electrophoresis station, priming station, vortex station for RNA analysis and RNA std sens analysis kit which included with chips and reagents for standard-sensitivity RNA. Following procedure performed for RNA analysis using the Experion system. In order to avoid any contamination during RNA integrity analysis, electrodes of the Experion system were cleaned using Experion electrode cleaner (800  $\mu$ l) in the first step. After repeating this step for one more time, electrodes were rinsed with DEPC treated water (500  $\mu$ l) for 5 minutes using electrode cleaning chip. At the end lid was kept open for 60 second to evaporate remaining water on electrodes. RNA stain, RNA loading buffer and RNA gel from the RNA std sens kit were removed from 4° C and equilibrated at room temperature for 20 minutes. RNA stain was wrapped in aluminum foil to avoid its light sensitive degradation. RNA gel was filtered from filter tube at 2000 RPM for 10 minutes. Filtered gel (65  $\mu$ l) was taken into RNase-free microfuge tube and mixed with RNA stain (1  $\mu$ l). RNA ladder was removed from -20° C and thawed it on ice for 10 minutes. RNA ladder (1  $\mu$ l) and RNA samples (3  $\mu$ l) was taken into RNase-free microfuge tube. RNA ladder and RNA samples were denatured at 70° C for 2 minutes. Ladder and samples were immediately placed on ice for 5 minutes, spun down for 2-5 seconds and stored on ice until needed. Gel-stain solution (9  $\mu$ l) was taken in well labeled as GS on RNA std sens chip without forming any air bubble. Chip was primed by setting appropriate



pressure for sufficient time on priming station. Chip was inspected for any air bubbles in micro 133 channels and for incomplete priming. Gel-stain solution (9  $\mu$ l) was taken other well labeled GS. Filtered gel (9  $\mu$ l) was taken to well labeled as G. Loading buffer (5  $\mu$ l) was taken to each sample well 1-12 including ladder well. RNA ladder (1  $\mu$ l) was taken to the well labeled as L. RNA samples were taken to all wells numbered as 1-12. Chip was placed tightly and vortexed for 60 seconds on vortex station. Primed chip loaded with RNA samples and ladder was then kept on electrophoresis station for 5 minutes and electrophoresis run was started. The use of a RNA ladder as a mass and size standard during electrophoresis allows the estimation of the RNA band sizes. After completion of the run, electrodes were cleaned using DEPC water (800  $\mu$ l) filled in a cleaning chip. Electropherograms generated were analyzed by Experion software version 3.2. Integrity of the RNA may be assessed by visualization of the 18S and 28S ribosomal RNA bands. The intact RNA preparation shows high 18S and 28S rRNA peaks as well as a small amount of 5S RNA.

#### 2.2.4. Droplet Digital PCR

In our study, Droplet Digital PCR (ddPCR) experiments were performed using primers and probes listed in **Table 11**. We performed the experiment on Bio-Rad's QX100 ddPCR system and the reaction mixtures in a final 20  $\mu$ l volume consisted of 10  $\mu$ l of 2x One-Step RT-ddPCR Supermix (Bio-Rad, CA, USA), 1 mM Manganese Acetate solution (Bio-Rad, CA, USA), 0.5  $\mu$ M of forward and reverse primers, 0.25  $\mu$ M probes. The 0.1 mM RNA, extracted using the RNAqueous-4PCR kit following the manufacturer's instructions (Ambion, Austin, TX-Thermo Fisher Scientific), was denatured at 95° C for 5 minutes and kept on ice prior addition to the reaction. The 20  $\mu$ L ddPCR reaction mixture was then loaded into the Bio-Rad DG8 droplet generator cartridge (Bio-Rad, CA, USA). Then, each oil well was filled with 70  $\mu$ l of droplet generation oil (Bio-Rad, CA, USA) and the prepared cartridge was then loaded into the QX100 droplet generator (Bio-Rad, CA, USA). The generated droplets were transferred to a 96-well PCR plate, that was sealed with a BioRad pierceable foil heat seal, and then samples were amplified on the T100 BioRad thermal cycler. The thermal cycling conditions consisted of 30 minutes reverse transcription at 60° C, 5 minutes initial denaturation at 95° C, followed by 40 cycles of a two-step thermal profile of 30 seconds denaturation at 94° C and 60 seconds annealing-elongation at 60° C and a final 10 minutes denaturation step at 98° C. Then plates were transferred to the QX 100 droplet reader (Bio-Rad, CA, USA) and ddPCR data were analyzed with QuantaSoft analysis software (version 1.7.4).

The TaqMan<sup>®</sup> probe specific for WNT10B ties transcript exon 1 and it is marked by FAM fluorophore ( $\lambda_{abs} = 495$  nm and  $\lambda_{em} = 520 - 495$  nm), while the TaqMan<sup>®</sup> probe specific for WNT10B<sup>IVS1</sup> ties transcript at intron 1 and is marked by the fluorophore HEX ( $\lambda_{abs} = 530$  nm and  $\lambda_{em} = 560$ ). The fraction of drops at high fluorescence intensity, distinct from the weak background signal due to imperfect quenching of the TaqMan<sup>®</sup> probes, was used to calculate by Poisson statistics the concentration of target in the original sample:

$$M = -\ln\left(1 - \left(\frac{P}{R}\right)\right)$$

*M* = average number of target molecules per droplet

*P* = number of positive droplet

*R* = number of analyzed droplet

Therefore, it is possible to derive the concentration of copies of target per microliter by multiplying M for  $1000/V_d$ , where  $V_d$  is the volume of each drop, and further calculate the concentration of the target in the initial solution by multiplying the concentration for the dilution factor (D) used.

**Table 11 – Primer and TaqMan® probes in Droplet Digital PCR**

<b>PRIMER</b>	<b>PRIMER SEQUENCE</b>
Forward WNT10B	5'-GCAGCACTAGTGAAGCCCAG-3'
Forward WNT10B <sup>IVS1</sup>	5'-CCTGAACCCGCATCAAGTCTC-3'
Reverse	5'-ATCTCATTGCTTAGAGCCCGAC-3'

<b>TARGET</b>	<b>TAQMAN® SEQUENCE</b>
Forward WNT10B	5'-[6FAM]CACCCAAACCACTGGAGTCCTGATCG[BHQ1]-3'
Forward WNT10B <sup>IVS1</sup>	5'-[HEX]TCTCCCGTCCCGCAGGTCCTGATCG[BHQ1]-3'

### **3. RESULTS**

### 3.1. Identification of WNT10B<sup>IVS1</sup> transcript variant

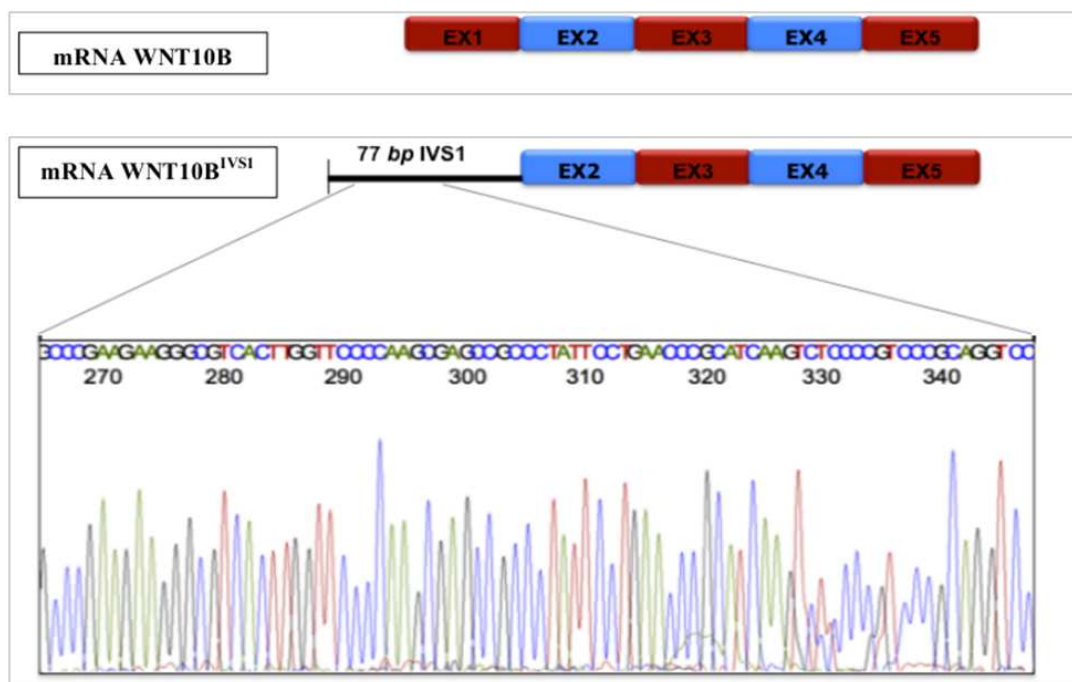
#### 3.1.1.Characterization of 5' region of WNT10B

In order to understand the cause of the highly WNT10B regenerative molecule expression in the leukemia environment, we performed a deeply characterization of the WNT10B mRNA using 5' Rapid Amplification of cDNA Ends (5'-RACE). As the first step, we set up the 5'-RACE that was carried out on RNA extracted from AML46 patient. A gene-specific oligonucleotide that hybridizes to a known sequence within a characterized coding region is used to prime reverse transcription. Using a GSP2 primer, designed on WNT10B exon2, we obtained a product that was approximately 120bp long. To characterize the RACE product generated, the product was cloned into the pCR<sup>®</sup>IITOPPO<sup>®</sup> vector (Invitrogen), and inserts were analysed by EcoRI restriction digest. Inserts that correlated in size with the PCR products generated by 5'-RACE were sequenced. We obtained three different results:

- clones 8-11: the correct canonical WNT10B sequence
- clones 1-4 and 31: characterized by the presence of 21bp at the beginning of WNT10B transcript
- clones 18-27: characterized by an Intron Retention IVS1 region of 77nt and by a stop of cDNA after the IVS1 sequence with the absence of exon 1 (*clones 18 and 27 were sequenced using universal primer M13 Fw and M13 Rw, and analyzed using BLAST and ASAPII (<http://blast.ncbi.nlm.nih.gov>; <http://www.bioinformatics.ucla.edu/ASAP2>)*)

The molecular evaluation by 5'-RACE PCR of WNT10B transcript evidenced the presence of a non-physiological transcript variant, termed WNT10B<sup>IVS1</sup>, characterized by the absence of exon 1 and partial retention of 77 nucleotides of intervening sequence 1 (see **Figure 11**). In order to characterize the WNT10B<sup>IVS1</sup> region, we performed in silico analysis using free software as ASPIC (<http://www.caspur.it/ASPIC/>) and ESEFinder (<http://exon.cshl.edu/ESE/>). The in silico analysis, using our region as a "query", demonstrate that the Exon2-IVS1 splicing junction is correct, and that the end of the WNT10BIVS1 region corresponds with the end of the transcript. It's interesting to note that the ATG site, is localized in the WNT10B exon 2, suggesting that this alteration doesn't involve the protein expression. Then, the nature of the WNT10B<sup>IVS1</sup> transcript remain unclear, but it will be the object for the future perspectives.

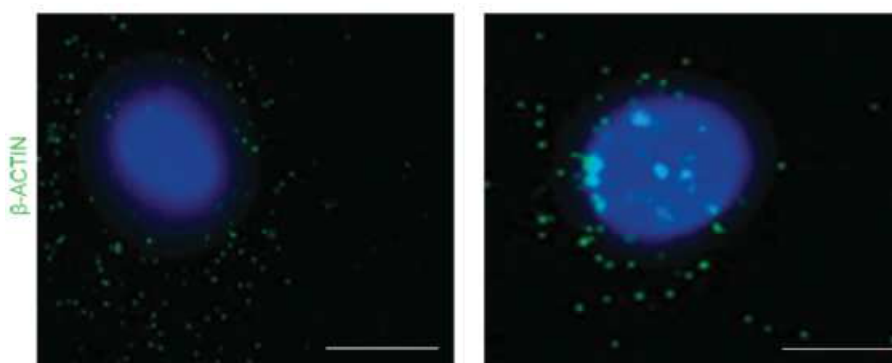
**Figure 11 - Scheme of WNT10B and WNT10B<sup>IVS1</sup> variant transcript**



### 3.1.2. WNT10B and WNT10B<sup>IVS1</sup> expression in AML cell line and patients samples

In order to define the distribution and localization of WNT10B<sup>IVS1</sup>, we performed the mRNA *in situ* detection on AML46 spotted and fixed cells, and on bone marrow biopsies. In our previous work [221], we demonstrated through establishment of a primary AC133+ AML cell culture (A46) that leukemia cells synthesize and secrete WNT ligands, increasing the levels of dephosphorylated  $\beta$ -catenin *in vivo*. Besides, the results of our experiments indicate that AC133 is expressed on AML-LSC in the A46 primary cells, suggesting that regeneration-associated Wnt expression signature is enriched in primary human AML LSC-containing fraction. Considering this background data, we performed the mRNA *in situ* detection on A46 cells, derived from AML46 patient (see **Figure 12**).

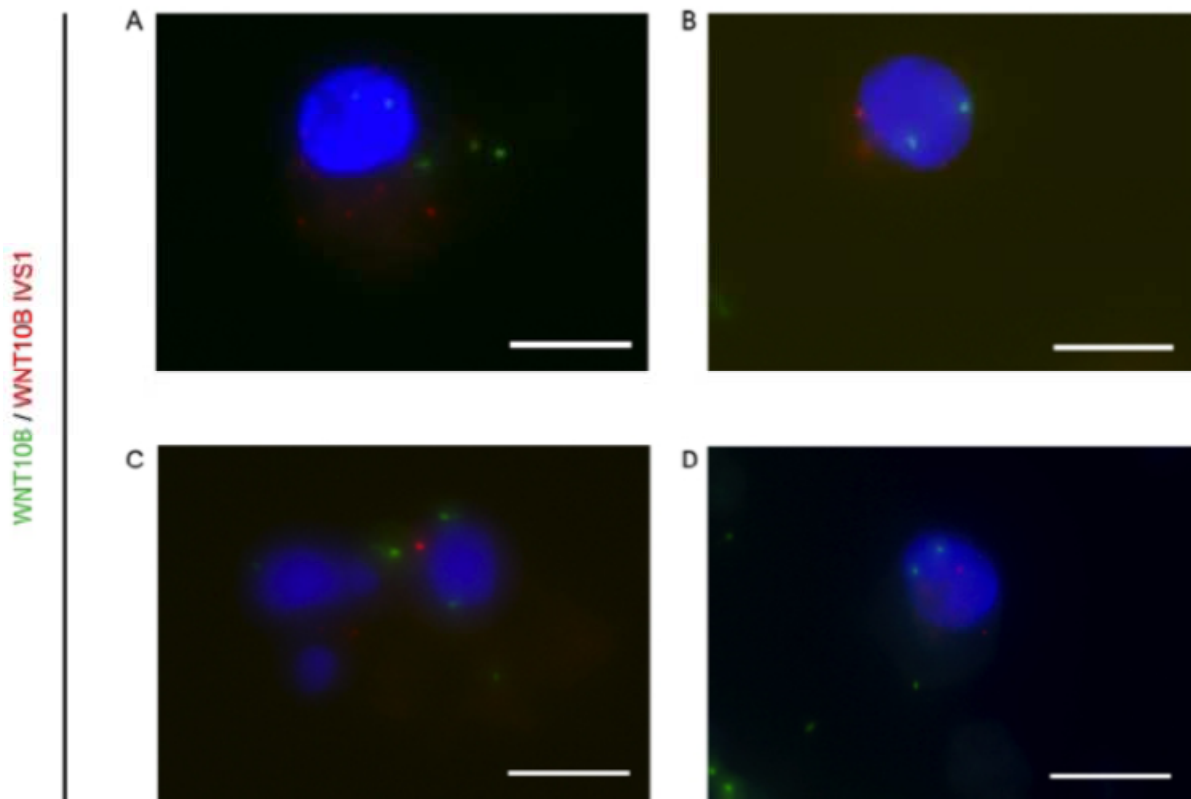
**Figure 12 –  $\beta$ -actin mRNA *in situ* detection on AML46 cells**



$\beta$ -actin RCPs are shown in green. Nuclei are shown in blue. Scale bar 10  $\mu$ m.

In order to define the ratio between WNT10B and WNT10B<sup>IVS1</sup>, we set up the double detection *in situ* for both molecules. Using one common LNA primer for retrotranscription, we detected WNT10B and WNT10B<sup>IVS1</sup> through two specific padlock probe (see **Figure 13**).

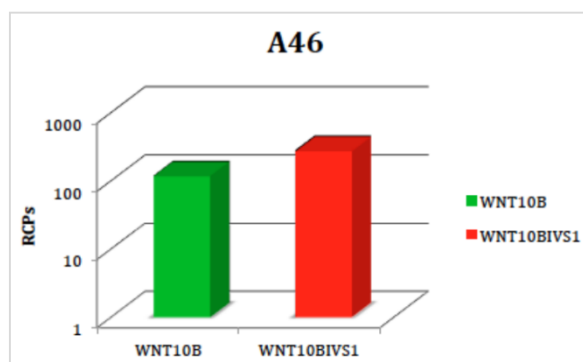
**Figure 13 - WNT10B and WNT10B<sup>IVS1</sup> detection *in situ* on AML46 cells**



*mRNA in situ* detection of WNT10B and WNT10B<sup>IVS1</sup> molecules on A46 cells. There are represented four fields (A,B,C,D). Cell nuclei are shown in blue. WNT10B RCPs are shown in green and WNT10B<sup>IVS1</sup> are shown in red. Scale bare 10  $\mu$ m.

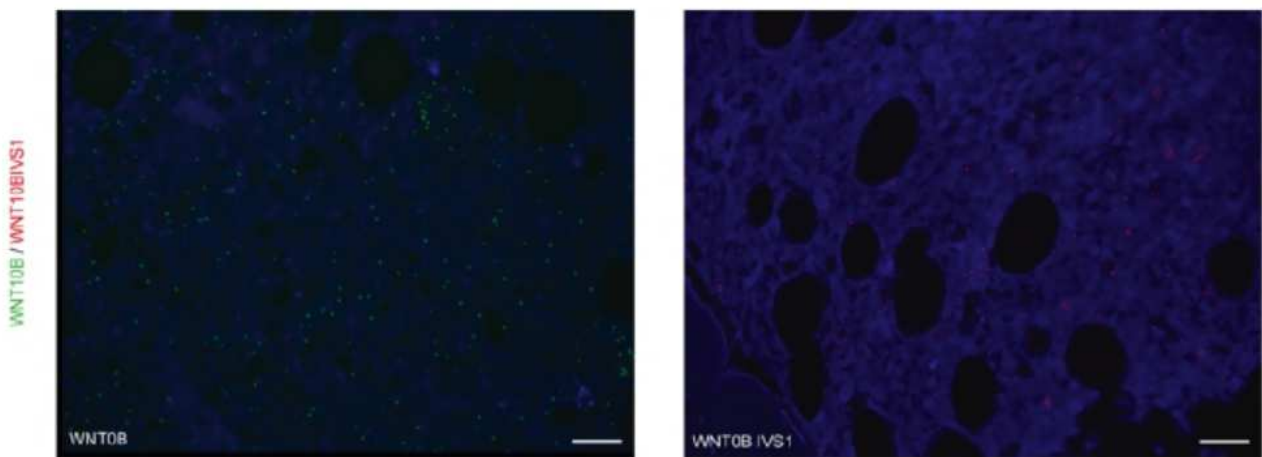
It is of notice that RCPs counting of WNT10B and WNT10B<sup>IVS1</sup> showed a ratio close to 1, suggesting a balance expression of this two expressed isoforms of transcript (see **Figure 14**).

**Figure 14 - RCPs counting of WNT10B and WNT10B<sup>IVS1</sup> on A46 cell line**



In order to observe and evaluate the localization and distribution of WNT10B<sup>IVS1</sup> in the AML bone marrow context, we performed the mRNA *in situ* detection of WNT10B and WNT10B<sup>IVS1</sup> mRNA molecules. We planned the experiments using only one LNA primer, mapped to WNT10B exon3 and two Padlock Probes: the WNT10B mRNA was detected by a Padlock probe designed on the exon 1, while WNT10B<sup>IVS1</sup> was detected with a Padlock probe designed on IVS1-exon2 junction (see Figure 15).

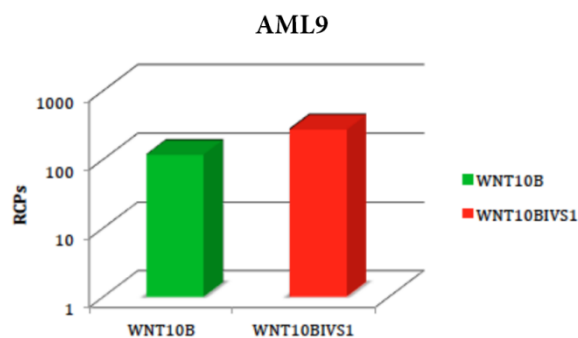
**Figure 15 - WNT10B and WNT10B<sup>IVS1</sup> detection *in situ* on bone marrow biopsy**



*mRNA in situ* detection of WNT10B and WNT10BIVS1 molecules on AML9 bone marrow biopsy. WNT10B RCPs are shown in green, WNT10BIVS1 RCPs are shown in red. Cell nuclei are shown in blue. Scale bare 20  $\mu$ m.

Comparing the WNT10BIVS1 with the WNT10B mRNA distribution, we can note that the ratio results equal to 0.8 (see **Figure 16**), suggesting that there is a bsalance between WNT10B and WNT10B<sup>IVS1</sup> expression.

**Figure 16 - RCPs counting of WNT10B and WNT10B<sup>IVS1</sup> on AML9 bone marrow biopsy**



### 3.2. Droplet Digital™ PCR analysis

Absolute quantification of mRNA levels of WNT10B and related WNT10B<sup>IVS1</sup> transcript variant were obtained as described by Droplet Digital™ PCR on mononucleated cells derived from the study cohort of 125 AML patients. Results are reported in **Table 12**.

**Table 12 - mRNA levels of WNT10B and WNT10B<sup>IVS1</sup> transcript variant by Droplet Digital™ PCR**

Code	WNT10B	WNT10B <sup>IVS1</sup>	Code	WNT10B	WNT10B <sup>IVS1</sup>	Code	WNT10B	WNT10B <sup>IVS1</sup>	Code	WNT10B	WNT10B <sup>IVS1</sup>
1	100000	96450	41	100000	98700	209	8940	28	250	6530	9610
2	7343	6234	42	9567	6532	210	6650	14	256	100000	50
3	6174	5678	43	9878	6123	211	8350	61	257	18300	9871
4	3043	1204	44	9981	60	212	8680	64	258	6220	9815
5	5822	4768	46	100000	100000	213	5170	72	260	10400	9126
6	5253	3349	48	9998	9538	214	7880	39	262	10300	10100
9	100000	100000	49	100000	99878	215	8920	33	264	8765	5349
10	7368	6523	50	9912	8139	216	100000	73	265	5790	10329
11	6642	6123	51	100000	100000	217	10500	32	269	8630	11231
12	7833	7655	52	9569	9324	218	10400	0	270	100000	11197
13	4639	2234	53	9876	9123	219	10500	0	272	8100	22
14	9733	9756	54	9878	3767	220	100000	45	273	6040	34
16	7696	7645	55	3192	3120	221	100000	36	276	100000	69
17	8733	8567	57	8213	5987	222	5860	32	279	13127	33
18	8343	8276	59	100000	98567	223	6990	65	TR1	123	32
19	5342	5304	60	100000	86549	224	7900	19	TR2	35	3
20	9838	9815	61	9992	4590	225	9740	7324	TR3	49	8
21	100000	100000	62	9438	8976	226	100000	100000	TR4	10	4
22	9547	9519	63	100000	97899	228	5250	4591	TR5	33	12
23	8345	8234	64	100000	30	229	6110	4456	TR6	28	21
24	100000	100000	65	56789	44356	230	5880	5789	TR7	14	9
25	9745	9679	67	100000	100000	232	9180	5182	APL1	100000	23
27	8345	8128	68	100000	98000	233	9090	8871	APL2	7720	33
28	100000	100000	200	14120	43	235	6000	16	APL3	8650	56
30	9378	9246	201	9378	10	237	5910	5643	APL4	6230	67
32	9415	9158	202	11560	65	239	5450	10	APL5	6980	31
33	7645	6529	203	11890	28	240	9820	73	APL6	9520	29
34	6989	6192	204	12416	57	241	17650	123	APL7	9430	25
35	9878	89	205	12789	36	242	5820	221	APL8	9123	18
37	9987	93	206	12983	18	243	10800	27			
38	9993	5399	207	10500	40	245	7620	7768			
39	9345	5911	208	776740	35	248	100000	7491			



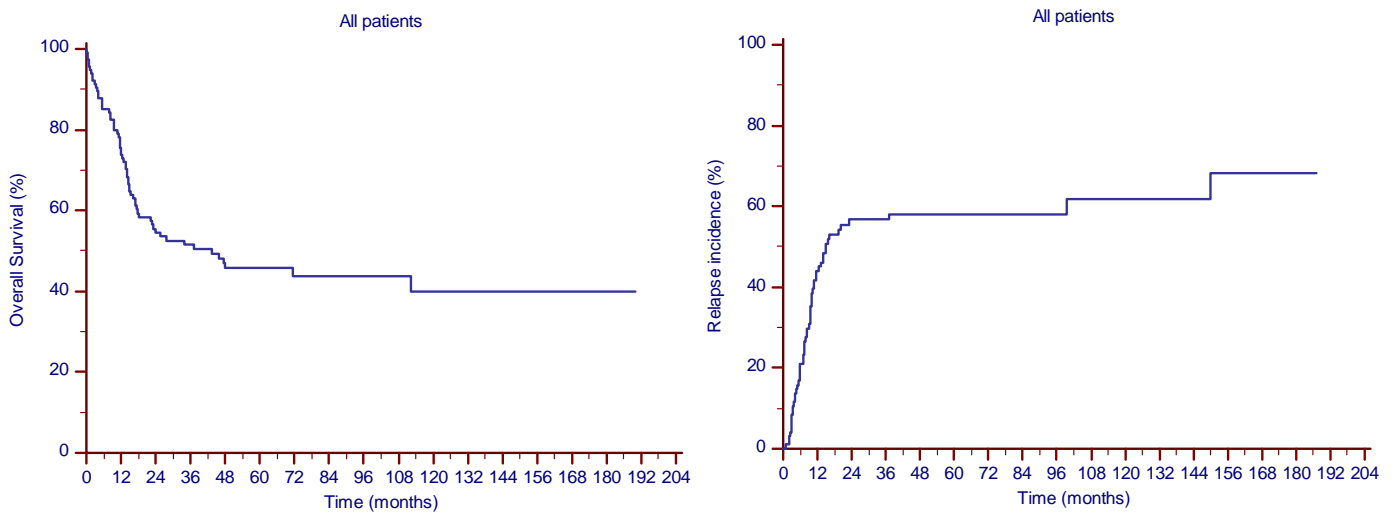
### 3.3. Statistical analysis on study population

#### 3.3.1. Treatment course and outcome

Treatment schedule and outcome data were available for 116 out of 125 patients. Those patients were assessed for response. CR was obtained from 97 out of 116 (83.6%) patients. Primary refractory diseases and 5 infectious complication during post-chemotherapy aplasia accounted for the 19 patients who did not achieve CR.

The median follow-up time was 65.5 months based on the reverse Kaplan-Meier method. The estimated 5-year OS and RI resulted 45.8% and 58.1% respectively, with 37 patients alive in CR1 and 16 patients alive in second or subsequent CR (see **Figure 17**).

**Figure 17 - Kaplan-Meier plots showing OS and RI of AML patients**



### 3.3.2. Outcome per risk classification

Patients were then divided on the basis of the three main classification system, formerly according to Medical Research Council (MRC), European LeukemiaNet (ELN), and National Comprehensive Cancer Network (NCCN) scoring system, respectively (see **Tables 6-8**). Numerical distribution of patients in the distinct risk groups belonging to the different classifications is showed in **Table 13**.

**Table 13 – Distribution of patients belonging to different risk classifications**

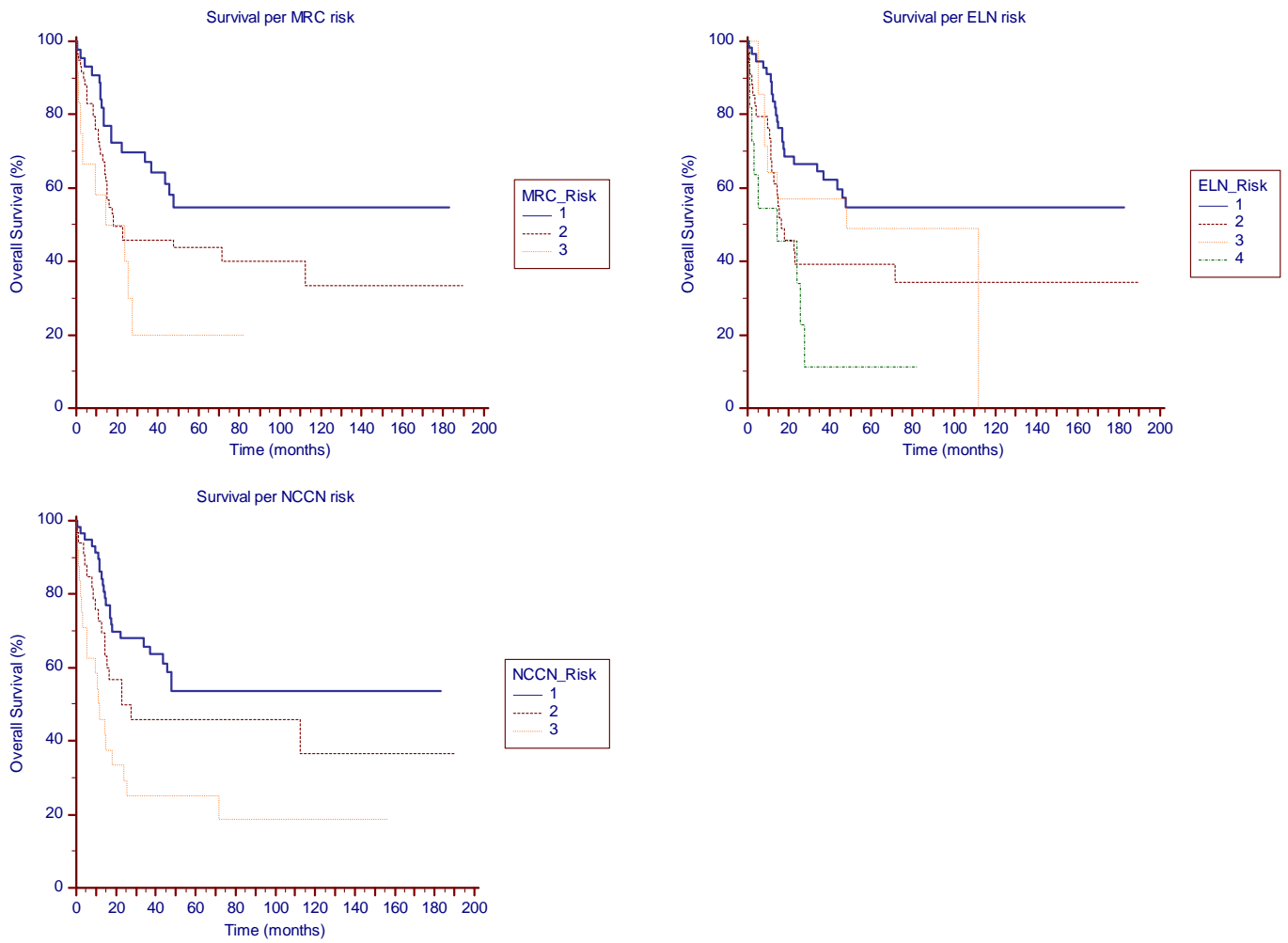
<i>Patients, no.</i>	<b>MRC</b>	<b>ELN</b>	<b>NCCN</b>
<b>Favorable</b>	44	56	58
<b>Intermediate</b>	59	<i>INT-1:</i> 34 <i>INT-2:</i> 14	33
<b>Adverse</b>	13	12	25

Our study population demonstrated to be a representative sample of leukemic patients described in literature, and all three classification system adopted have proved to be able to distinguish patients at different outcomes with a statistically significant way (see **Table 14** and **Figure 18**).

**Table 14 – Outcome data per distinct risk classifications and risk groups**

<i>Median survival (months)</i>	<b>MRC</b>	<b>ELN</b>	<b>NCCN</b>
<b>Favorable</b>	NR	NR	NR
<b>Intermediate</b>	17.97	<i>INT-1:</i> 16.23 <i>INT-2:</i> 47.87	22.97
<b>Adverse</b>	14.97	14.57	11.80
<i>5-years OS (%)</i>			
<b>Favorable</b>	54.9	54.7	53.6
<b>Intermediate</b>	43.7	<i>INT-1:</i> 39.3 <i>INT-2:</i> 49.0	45.9
<b>Adverse</b>	20.0	11.4	25.0
<i>Significance (p)</i>	<b>0.0314</b>	<b>0.0133</b>	<b>0.0010</b>

**Figure 18 - Kaplan-Meier plots showing OS per risk classification**

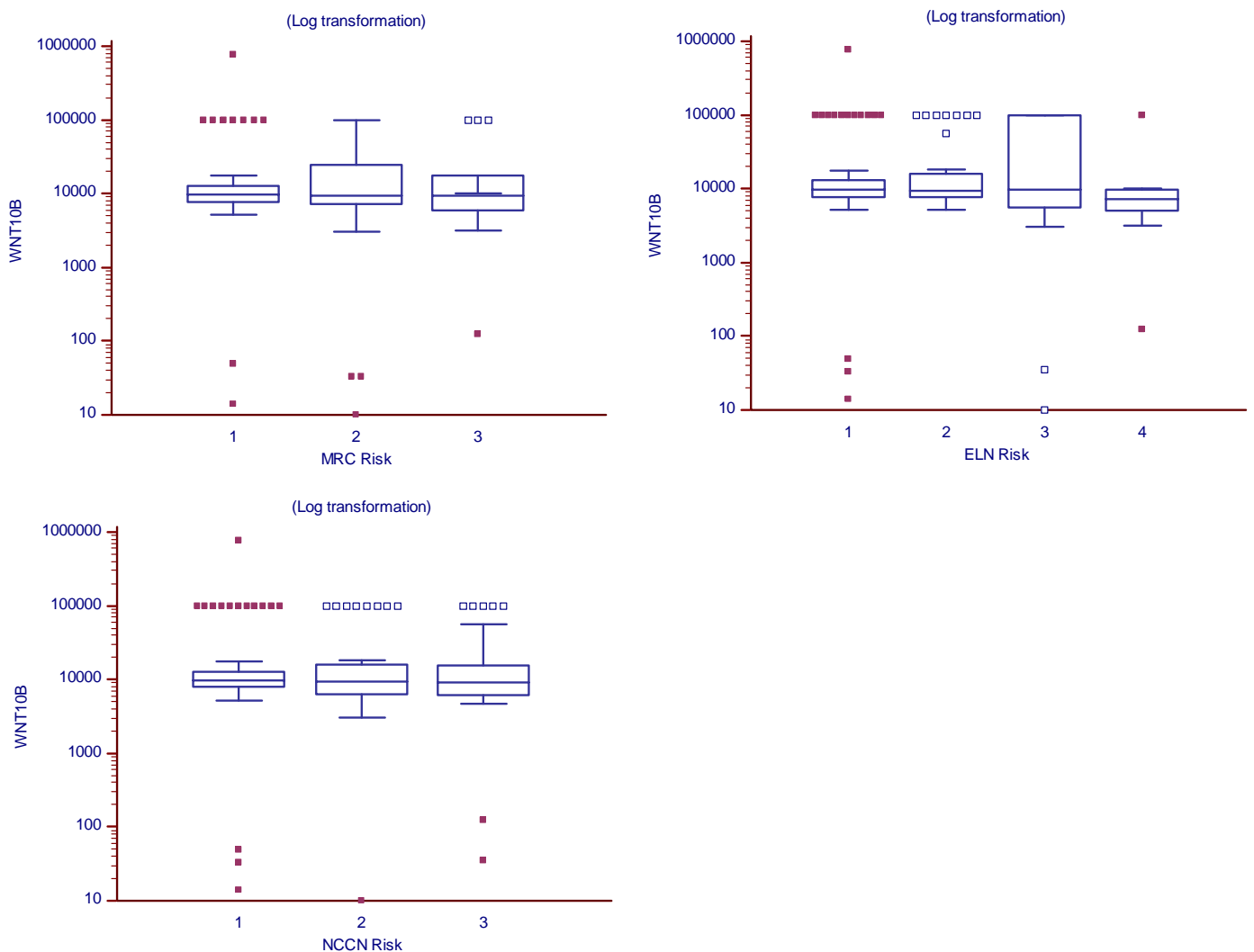


### 3.3.3. Differences in distribution of WNT10B and WNT10BIVS1 per risk classification

#### WNT10B transcript levels

WNT10B was highly expressed in every risk group according to the three different classification systems, and statistical analysis did not show significant difference in distribution of its transcript levels ( $p$  0.6395,  $p$  0.4295, and  $p$  0.1786, respectively; Kruskal-Wallis test) (see **Figure 19**). These results were confirmed by comparative Mann-Whitney U-test.

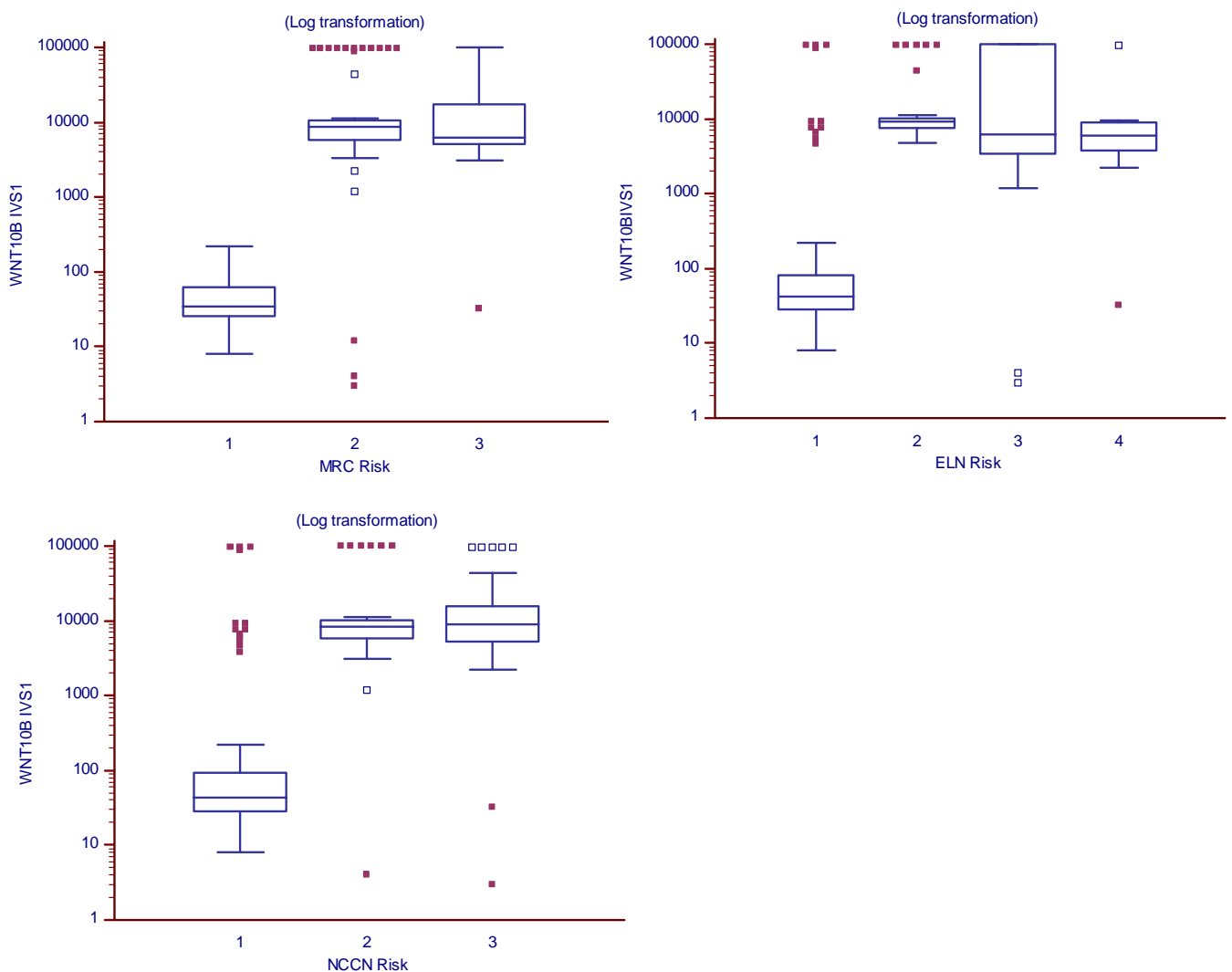
**Figure 19 - Box-plot distribution of WNT10B transcript per risk classification**



## WNT10B<sup>IVS1</sup> transcript levels

WNT10B<sup>IVS1</sup> transcript levels showed a statistical significant difference between the specific risk classes ( $p < 0.0001$ ; Kruskal-Wallis test). Comparative Mann-Whitney U-test showed high expressed transcript levels in intermediate or adverse-risk patients, while WNT10B<sup>IVS1</sup> showed a significant lacking of mRNA expression in patients classified as with favorable-risk prognosis ( $p < 0.001$  for all three classification system). No statistical significance in WNT10B<sup>IVS1</sup> mRNA levels was found between intermediate and adverse-risk groups (MRC Int vs Adv:  $p = 0.4864$ ; ELN: Int-1 vs Int-2  $p = 0.1500$ ; ELN Int-1/2 vs Adv:  $p = 0.6963$ ; NCCN Int vs Adv:  $p = 0.9940$ ) (see **Figure 20**).

**Figure 20 - Box-plot distribution of WNT10B<sup>IVS1</sup> transcript per risk classification**

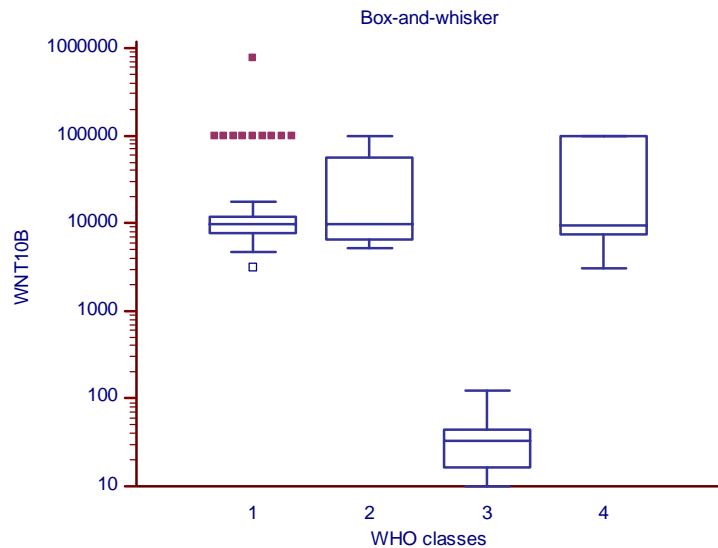


Given the significant difference in the expression of WNT10B<sup>IVS1</sup> values in favorable-risk patients [namely, t(8;21), inv(16)/t(16;16), t(15;17)], we went to analyze patients subdivided by WHO classification.

### 3.3.4. Differences in distribution of WNT10B and WNT10BIVS1 per WHO classification

WNT10B transcript levels showed a statistical significant difference between the distinct WHO classes ( $p$  0.0002; Kruskal-Wallis test). WNT10B mRNA was highly expressed in *de novo* AML (ie, AML with recurrent genetic abnormalities or AML not otherwise specified) and AML with myelodysplasia-related features, while we showed a significant lacking of mRNA expression in patients with therapy-related disease ( $p$  <0.002, Mann-Whitney U-test). No statistical significance in WNT10B transcript levels was found between patients with recurrent genetic abnormalities, myelodysplasia-related features or AML not otherwise specified (see **Figure 21**).

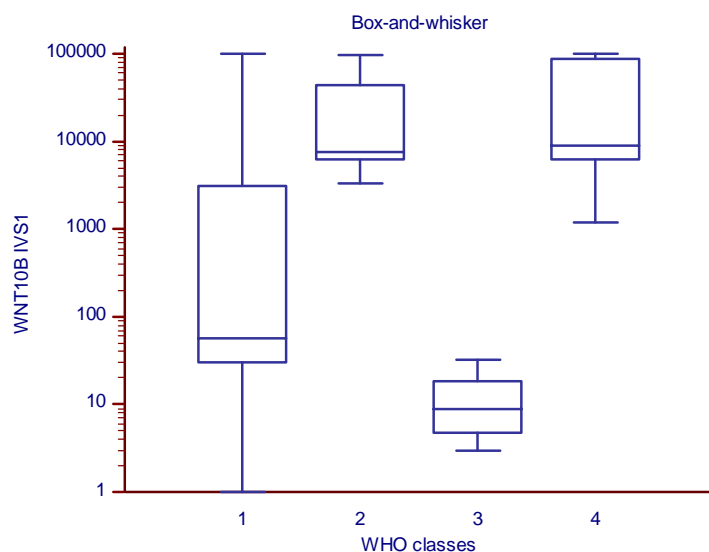
**Figure 21 - Box-plot distribution of WNT10B transcript per WHO classes**



Legend for WHO classes: **1.** AML with recurrent genetic abnormalities; **2.** AML with myelodysplasia-related features; **3.** therapy related AML; **4.** AML, not otherwise specified. Mann-Whitney U-test: 3 vs others:  $p$  < 0.002; 1 vs 2:  $p$  0.9594; 1 vs 4:  $p$  0.8801; 2 vs 4:  $p$  0.9088.

Statistical analysis showed a significant difference in WNT10<sup>IVS1</sup> distribution ( $p$  <0.0001; Kruskal-Wallis test). Comparative U-test showed the presence of the WNT10B<sup>IVS1</sup> transcript in AML with myelodysplasia-related features and AML not otherwise specified groups, while we recorded absence of WNT10B<sup>IVS1</sup> mRNA in the therapy-related group ( $p$  <0.0005). Patients affected by AML with recurrent genetic abnormalities (see **Table 3** for details) showed a more heterogeneous distribution in WNT10B<sup>IVS1</sup> transcript levels, and they included both patients at high or absent mRNA expression. No statistical differences were found between patients with MDS-related features or AML not otherwise (see **Figure 22**).

**Figure 22 - Box-plot distribution of WNT10B<sup>IVS1</sup> transcript per WHO classes**



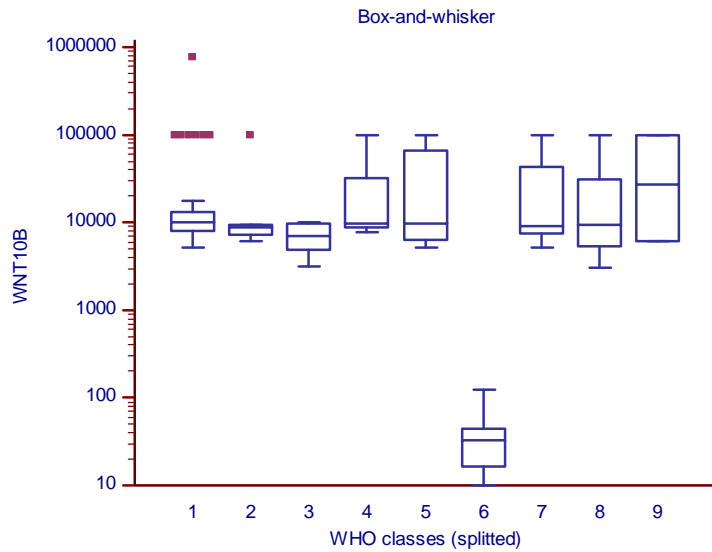
Legend for WHO classes: **1.** AML with recurrent genetic abnormalities; **2.** AML with myelodysplasia-related features; **3.** therapy related AML; **4.** AML, not otherwise specified. Mann-Whitney U-test: 3 vs others:  $p < 0.0005$ ; 1 vs others:  $p < 0.003$ ; 2 vs 4:  $p 0.5475$ .

Given this heterogeneous distribution in WNT10B<sup>IVS1</sup> transcript values in patients with recurrent genetic abnormalities, and since the previous analysis based on genetic risk classes recognized a significant lacking of mRNA expression in patients classified as with favorable-risk prognosis, we went to hive off patients in the following genetic profiles:

- |   |          |
|---|----------|
| 1. core-binding factor AML                            | (n = 40) |
| 2. acute promyelocytic leukemia                       | (n = 8)  |
| 3. AML with other recurrent cytogenetic abnormalities | (n = 7)  |
| 4. normal karyotype AML with NPM1 or CEBPA mutation   | (n = 16) |
| 5. AML with myelodysplasia-related features           | (n = 5)  |
| 6. therapy-related AML                                | (n = 7)  |
| 7. normal karyotype AML                               | (n = 32) |
| 8. AML with cytogenetic number abnormalities          | (n = 4)  |
| 9. AML with cytogenetic structure abnormalities       | (n = 6)  |

Analysis confirmed a significant difference in WNT10B transcript levels ( $p 0.0008$ ; Kruskal-Wallis test), and comparative Mann-Whitney U-test endorsed the presence of WNT10B mRNA in all groups of patients, with the exception of patients with therapy-related disease ( $p < 0.01$ ). No statistical significance in WNT10B levels was found between all the other groups (see **Figure 23**).

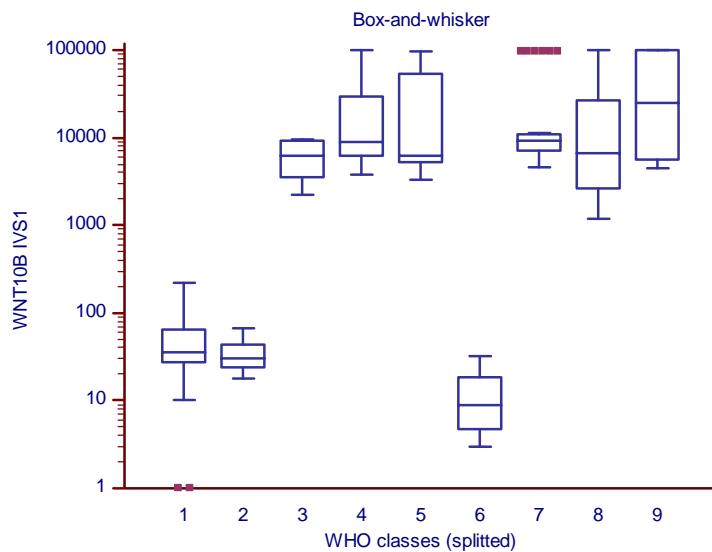
**Figure 23 - Box-plot distribution of WNT10B transcript per splitted WHO classes**



Legend for splitted WHO classes: **1.** core-binding factor AML; **2.** acute promyelocytic leukemia; **3.** AML with other recurrent cytogenetic abnormalities; **4.** AML with NPM1 or CEBPA mutation; **5.** AML with myelodysplasia-related features; **6.** therapy-related AML; **7.** normal karyotype AML; **8.** AML with cytogenetic number abnormalities; **9.** AML with cytogenetic structure abnormalities. Mann-Whitney U-test: 6 vs others:  $p < 0.01$ .

WNT10B<sup>IVS1</sup> transcript levels showed a statistical significant difference between the distinct genetic classes ( $p < 0.0001$ ; Kruskal-Wallis test). Comparative Mann-Whitney U-test showed that WNT10B<sup>IVS1</sup> mRNA was non-detectable in core-binding factor AML, APL, and therapy-related diseases, while it was highly expressed in all the remaining groups of patients ( $p < 0.01$ ) (see **Figure 24**).

**Figure 24 - Box-plot distribution of WNT10B<sup>IVS1</sup> transcript per splitted WHO classes**

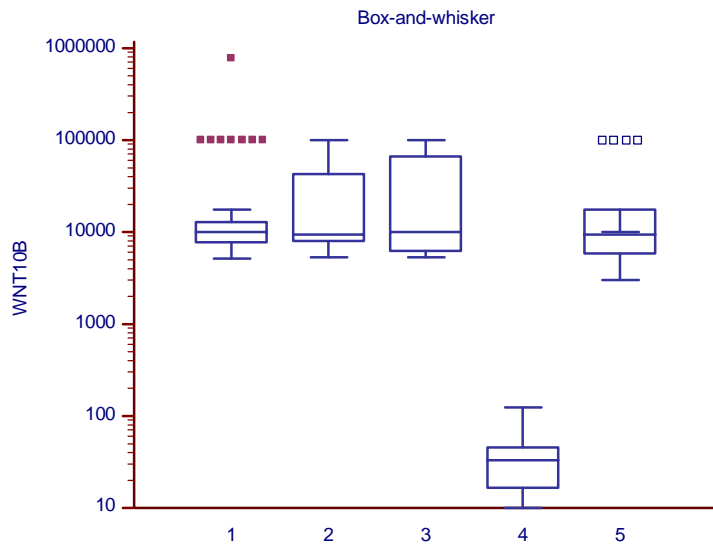


Legend for splitted WHO classes: **1.** core-binding factor AML; **2.** acute promyelocytic leukemia; **3.** AML with other recurrent cytogenetic abnormalities; **4.** AML with NPM1 or CEBPA mutation; **5.** AML with myelodysplasia-related features; **6.** therapy-related AML; **7.** normal karyotype AML; **8.** AML with cytogenetic number abnormalities; **9.** AML with cytogenetic structure abnormalities. Mann-Whitney U-test: see text for details.



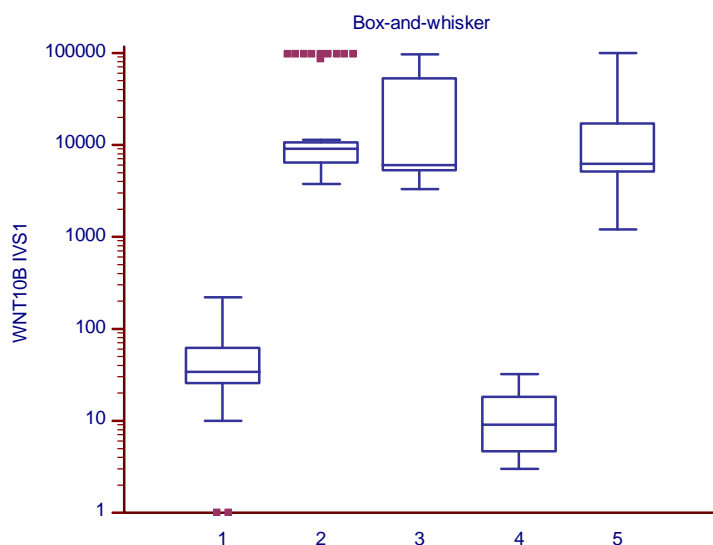
These results were confirmed by grouping patients with normal karyotype AML (regardless of the presence of mutations in the NPM1 gene / CEBPA, n = 48) or structural or number cytogenetic abnormalities (n = 17) (see **Figure 25** and **Figure 26**).

**Figure 25 - Box-plot distribution of WNT10B transcript by grouping patients**



Legend: 1. core-binding factor AML or acute promyelocytic leukemia (n=48); 2. normal karyotype AML (n=48); 3. AML with myelodysplasia-related features (n=5); 4. therapy-related AML (n=7); 5. AML with cytogenetic abnormalities (n=17).

**Figure 26 - Box-plot distribution of WNT10B<sup>IVS1</sup> transcript by grouping patients**



Legend: 1. core-binding factor AML or acute promyelocytic leukemia (n=48); 2. normal karyotype AML (n=48); 3. AML with myelodysplasia-related features (n=5); 4. therapy-related AML (n=7); 5. AML with cytogenetic abnormalities (n=17).

### 3.3.5. WNT-based classification of AMLs

Combining these results, it is possible to recognize three distinct WNT10B / WNT10B<sup>IVS1</sup> patterns:

- “double-positive”: WNT10B + / WNT10B<sup>IVS1</sup> +
- “single-positive”: WNT10B + / WNT10B<sup>IVS1</sup> -
- “double-negative”: WNT10B - / WNT10B<sup>IVS1</sup> -

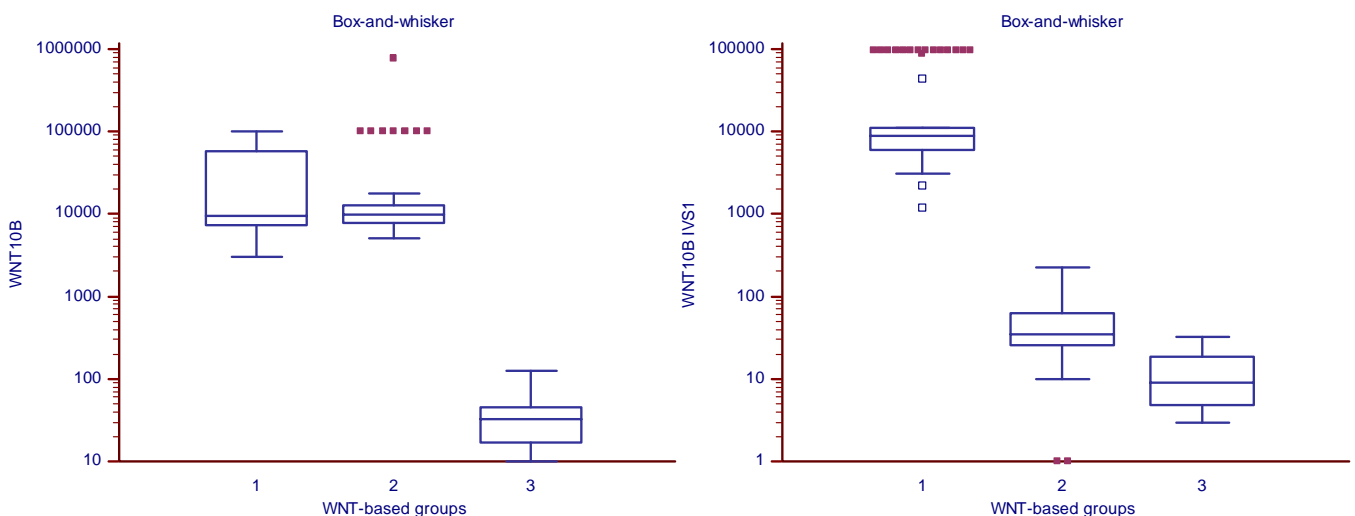
Our analysis showed that all therapy-related AMLs fall in the first “double-negative” group, favorable-risk patients [namely, t(15;17), t(8;21), inv(16)/t(16;16)] are grouped in the “single-positive” group, whereas all the other AML-patients (AML with other recurrent genetic abnormalities, AML with MDS-related features, AML not otherwise specified) presented the WNT10B<sup>IVS1</sup> allele variant and are part of the “double-positive” group (see **Table 15**).

**Table 15 - WNT-based classification of AML-patients**

Patient class	WNT arrangement	Termed
Therapy-related AMLs	WNT10B - / WNT10B <sup>IVS1</sup> -	“double-negative”
Favorable-risk AMLs	WNT10B + / WNT10B <sup>IVS1</sup> -	“single-positive”
All other AMLs	WNT10B + / WNT10B <sup>IVS1</sup> +	“double-positive”

Statistical analysis confirmed a significant difference for both WNT10B and WNT10<sup>IVS1</sup> transcript levels using this WNT-based classification ( $p < 0.0001$ ; Kruskal-Wallis test). Comparative Mann-Whitney U-test endorsed expression of WNT10B in patients with *de novo* AML, while confirmed a significant lacking of mRNA expression in therapy-related diseases ( $p < 0.0001$ ). Similarly, WNT10B<sup>IVS1</sup> mRNA was highly expressed in AML patients, with the exception of favorable-risk or therapy-related ( $p < 0.001$ ) (**Figure 27**).

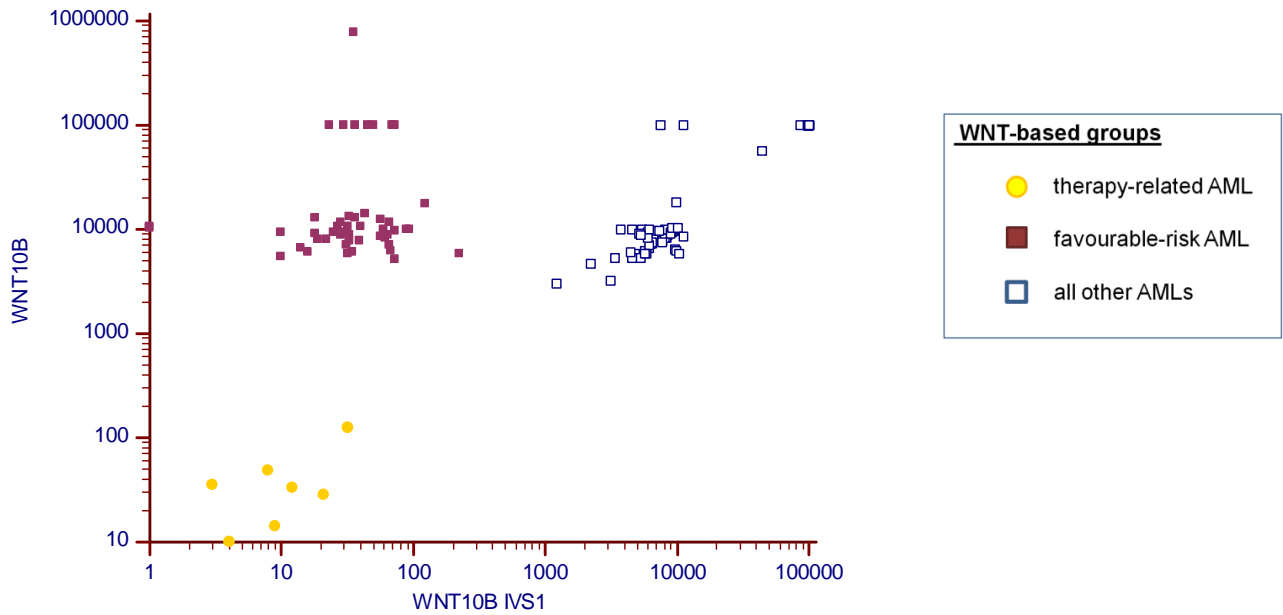
**Figure 27 - Box-plot distribution of WNT10B and WNT10B<sup>IVS1</sup> transcript per WNT-based classes**



Legend for WNT-based groups: **1.** other AMLs; **2.** core-binding factor AML; **3.** therapy related AML. Mann-Whitney U-test: see text for details.

Scatter analysis confirmed the presence of these three distinct classes of patients based on WNT10B / WNT10B<sup>IVS1</sup> arrangement (see **Figure 28**).

**Figure 28** – Scatter diagram of WNT10B and WNT10B<sup>IVS1</sup> transcript values

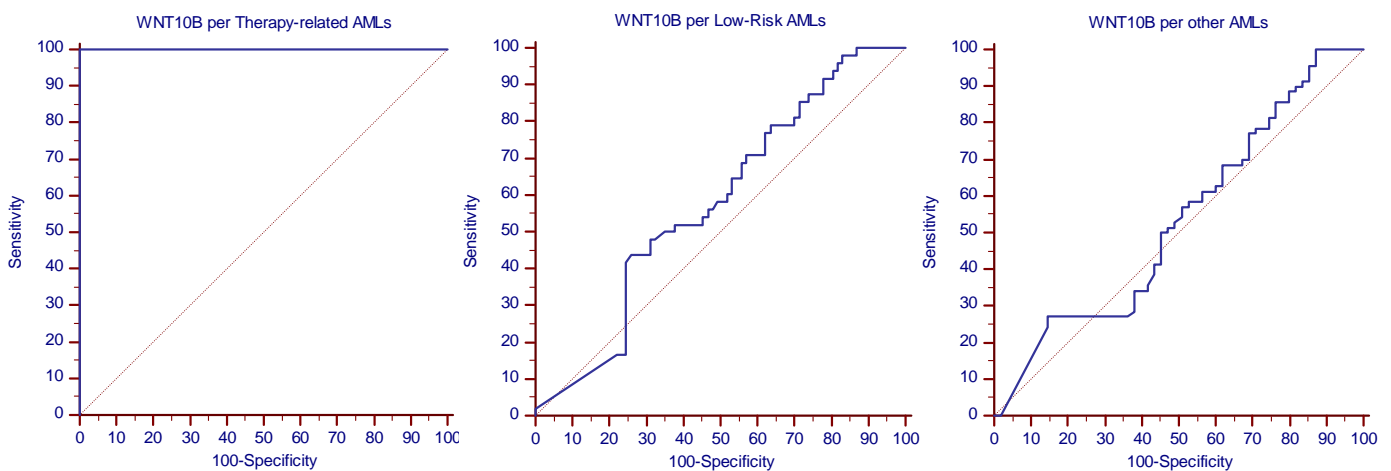


### 3.3.6. Receiver operating characteristic (ROC) curve analysis

We performed a receiver operating characteristic (ROC) curve analysis of WNT10B and WNT10B<sup>IVS1</sup> levels towards those three groups (ie, therapy-related AML, CBF-AML, other AML) in search of possible cut-off values.

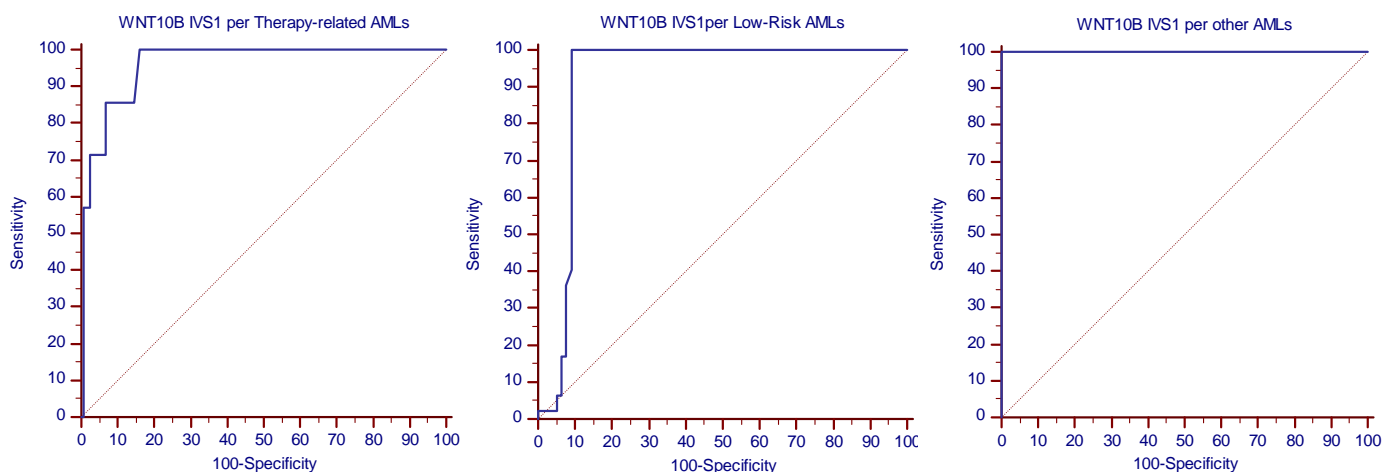
Canonical WNT10B analysis showed an optimal cut-point at 123 when test for therapy-related AMLs (AUC 1.0, sensitivity 100.0%, specificity 100.0%;  $p < 0.0001$ ), while no possible cut-off points were identified for favorable-risk (AUC 0.578,  $p$  0.1432) or other AML-patients (AUC 0.533,  $p$  0.5304) (see **Figure 29**).

**Figure 29**– ROC curve analysis for WNT10B transcript values



WNT10B<sup>IVS1</sup> analysis showed an optimal cut-point at 221 when test for favourable-risk AMLs (AUC 0.918, sensitivity 100.0%, specificity 90.91%;  $p < 0.0001$ ), while a WNT10B<sup>IVS1</sup> value lower then or equal to 32 is suggestive for therapy-related AMLs (AUC 0.96, sensitivity 100.0%, specificity 83.76;  $p$  0.0001). Similarly, a WNT10B<sup>IVS1</sup> value higher then 221 is indicative of non-CBF/APL or non-therapy-related AMLs (AUC 1.0, sensitivity 100.0%, specificity 100.0%;  $p$  0.0001) (see **Figure 30**).

**Figure 30** – ROC curve analysis for WNT10B<sup>IVS1</sup> transcript values

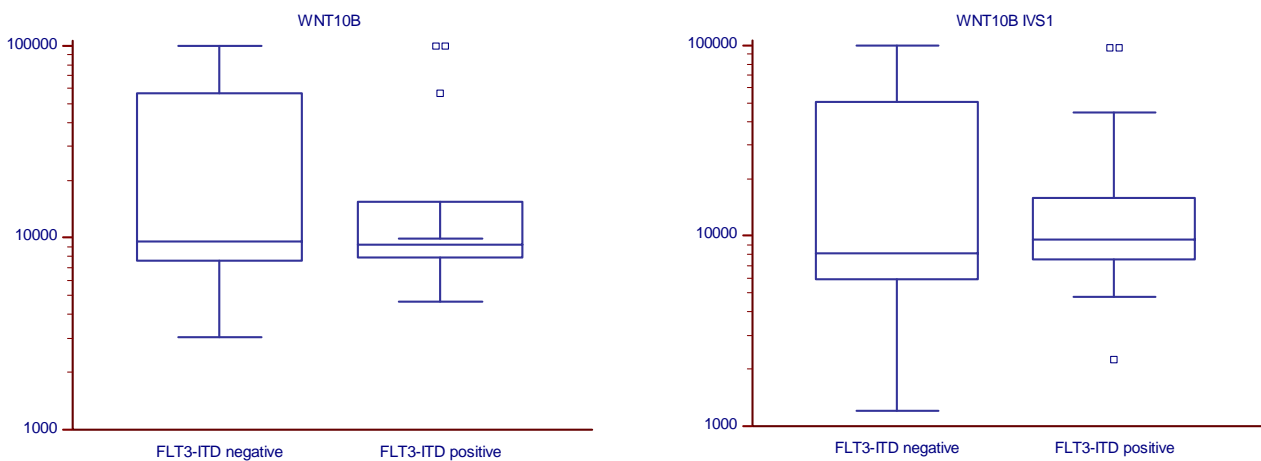


### 3.3.7. Analysis of molecular mutations influence on WNT levels

#### FLT3-ITD

Statistical analysis showed no significant difference for both WNT10B and WNT10<sup>IVS1</sup> transcript levels according to FLT3-ITD mutational status ( $p$  0.8818 and  $p$  0.1271, respectively; Kruskal-Wallis test). These results were confirmed by comparative Mann-Whitney U-test (see **Figure 31**). The same results were obtained when considering only the “double-positive” population, whereas sample size was too small to perform the test for FLT3-ITD in favorable-risk (“single-positive”) and therapy-related (“double-negative”) groups.

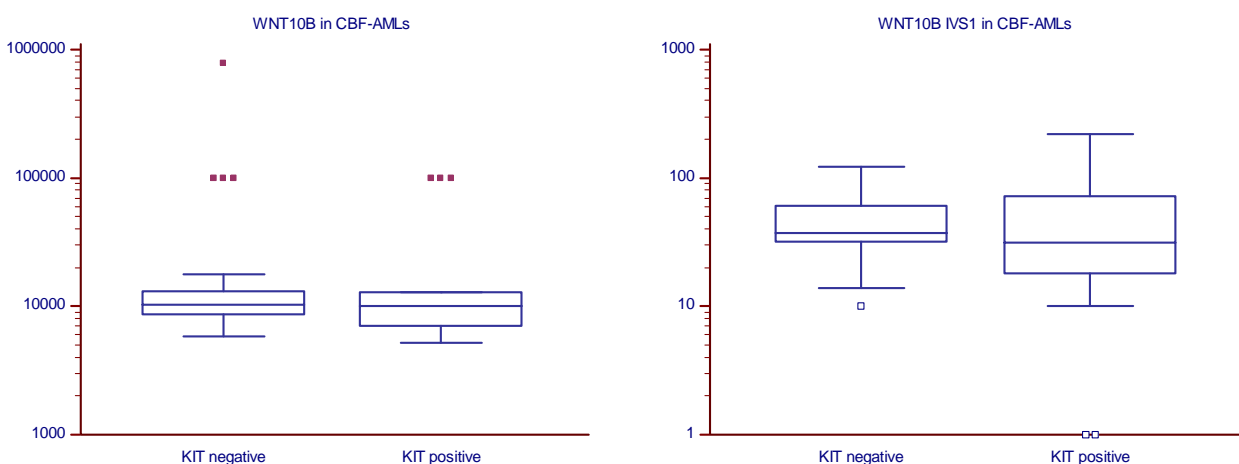
**Figure 31 – Box-plot distribution of WNT per FLT3-ITD**



#### KIT in CBF-AML

Similarly, we observed no significant difference for both WNT10B and WNT10<sup>IVS1</sup> transcript levels according to KIT mutational status in CBF-AML patients ( $p$  0.4561 and  $p$  0.4871, respectively; Kruskal-Wallis test). These results were confirmed by comparative Mann-Whitney U-test (see **Figure 32**).

**Figure 32 – Box-plot distribution of WNT per KIT mutation in CBF-AML patients**



## **4. DISCUSSION**

The deceptively homogeneous, undifferentiated morphology of the leukemic blasts is now known to mask a heterogeneous collection of cells that recapitulate the hierarchy of precursor cells that characterize the normal process of blood-cell differentiation. According to recent evidences, the leukemia-initiating cell (LIC) properties occur in a self-renewing non-hematopoietic stem cell (HSC) progenitor cell population, preceded by the expansion of a pre-leukemic long-term hematopoietic stem cell (LT-HSC). The WNT/ $\beta$ -catenin pathway has been show to play a critical role in the regulation of cell proliferation, differentiation, and apoptosis of different malignant entities. Recently, Wnt/ $\beta$ -catenin pathway requirement for LIC development in AML has emerged in mouse model, but the molecular function responsible for the pre-leukemic LT-HSC expansion and the acquisition of self-renewal ability in acute myeloide leukemia (AML) remained poorly defined. Furthermore, recent studies revealed aberrant WNT signaling in AML cells that is independent from the occurrence of AML-associated fusion proteins or mutations in tyrosine kinase receptors. The previous results obtained by our research team using gene expression microarrays and pathway analysis, provided direct evidence that the WNT/ $\beta$ -catenin signaling is diffusely activated in the AC133<sup>+</sup> AML population, with a specific transcriptional signature involving over-expression of the WNT pathway agonists and down-modulation of the major antagonists. Analysis of freshly fractionated cells from AML patients showed that active WNT signaling was predominant in the population highly enriched for the AC133 marker. Notably, *WNT2B*, *WNT6*, *WNT10A*, and *WNT10B*, known to promote hematopoietic tissue regeneration, are the WNT mediators specifically upregulated in the AC133<sup>+</sup> AML cells. Taking these results into consideration, we focused on the characterization of a regenerative function associated to WNT pathway induction. The term “regeneration” has been used to define the physiological phenomena of reconstitution from damage due to injury or disease. Attention was placed on WNT10B, a well-known hematopoietic stem cell regenerative-associated molecule, which was the only one to be expressed by all AML patients. We applied the new *in situ* technique through the use of padlock probes and Rolling Circle Amplification (RCA) for detection and genotyping of individual mRNA molecules in cells and tissues. The mRNA *in situ* detection, performed on AML bone marrow sections, allowed to detect and visualize the WNT10B mRNA molecules at their exact location. We showed a dramatic increase of WNT10B expression and protein release within the microenvironment in the large majority of samples from AML patients recruited into the study. Hematopoietic regenerative-associated WNT ligand WNT10B was expressed at mRNA and protein levels on both leukemic blasts and stromal-like cells, as well as in interstitial spaces, suggesting its secretion and release in the bone marrow microenvironment and indicating a possible autocrine/paracrine mechanism. Conversely, the double immunostaining for WNT10B and dephosphorylated  $\beta$ -catenin (ABC) evidenced that the activation of WNT signaling was restricted only to a smaller subpopulation of cells. In order to better characterize the LICs, we performed a series of direct immunolabeling on AML bone marrow biopsies. The AC133 immunostaining revealed islands of highly positive AC133<sup>bright</sup> cells amid AC133<sup>dim</sup> or negative tumor blasts. These AC133<sup>bright</sup> represented only 8-10% of total marrow cells, and showed a small diameter of the nuclei with an increased nuclear/cytoplasmatic ratio. Activation of WNT signaling marked by expression of the dephosphorylated  $\beta$ -catenin was restricted to this AC133<sup>bright</sup> leukemic cell population. The reasons for these differences are unclear, but it is possible that  $\beta$ -catenin activation by WNTs requires the expression of specific Fzd receptors, conferring a responsive phenotype restricted to the rare AC133<sup>bright</sup> population of cells. These firsts results implicate that regeneration-associated WNT signaling affects responsive AC133<sup>bright</sup> cells whose renewal is promoted by WNT pathway activity.

Focusing our attention on the major locus associated to the regenerative function, we performed a 5'-Rapid Amplification of cDNA Ends (5'-RACE) analysis on WNT10B mRNA, evidencing the presence of a non-physiological transcript variant, termed WNT10B<sup>IVS1</sup>. This alternative transcript is characterized by the absence of exon 1 and partial retention of 77 nucleotides of intervening sequence 1, and it does not seem to affect the production of the correct protein, since the start site of translation is localized on exon 2. In order to determine the mRNA levels of WNT10B and related WNT10B<sup>IVS1</sup> transcript variant and to analyze the clinical relevance of WNT10B / WNT10B<sup>IVS1</sup> expression, we carried out the gene expression analysis by Droplet Digital™ PCR on mononucleated cells derived from 125 AML patients. The study population demonstrated to be a representative sample of leukemic patients described in literature, in term of clinical characteristics and outcomes. We demonstrated that canonical WNT10B was highly expressed in every risk group according to the three main scoring system, formerly the Medical Research Council (MRC), European LeukemiaNet (ELN), and National Comprehensive Cancer Network (NCCN), and we demonstrated high expressed WNT10B<sup>IVS1</sup> transcript levels in intermediate or adverse-risk patients. Conversely, we recorded a significant lacking of WNT10B<sup>IVS1</sup> mRNA expression in patients classified as with favorable prognosis, namely comprising patients with core-binding factor (CBF) AML [t(8;21) or inv(16)/t(16;16)] or acute promyelocytic leukemia (APL). Given the significant difference in the expression of WNT10B<sup>IVS1</sup> values in favorable-risk patients, we analyzed patients subdivided by World Health Organization (WHO) classification. Actually, AML is classified using the WHO classification system based upon a combination of morphology, immunophenotype, genetics, and clinical features. There are four main groups of AML recognized in the 2008 WHO classification (AML with recurrent genetic abnormalities, AML with myelodysplasia-related features, therapy-related AML, AML not otherwise specified), and in the first class are included patients with favorable-risk disease (i.e., CBF-AML and APL). Applying analysis on WHO-based groups, we demonstrated that WNT10B mRNA was highly expressed in all *de novo* AML (ie, AML with recurrent genetic abnormalities and AML not otherwise specified) and AML with myelodysplasia-related features. Conversely, we showed a significant lacking of mRNA expression in patients with therapy-related disease. Non-physiological WNT10B<sup>IVS1</sup> variant resulted homogenously expressed in AML with myelodysplasia-related features and AML not otherwise specified, while we recorded absence of WNT10B<sup>IVS1</sup> mRNA in the therapy-related group. Interestingly, patients affected by AML with WHO-based recurrent genetic abnormalities showed a more heterogeneous distribution in WNT10B<sup>IVS1</sup> transcript levels, including both patients at high or absent mRNA expression. Given this heterogeneous distribution of WNT10B<sup>IVS1</sup> values, and since we recognized a significant lacking of WNT10B<sup>IVS1</sup> mRNA expression in patients classified as with favorable-risk prognosis, we went to hive off patients in nine specific genetic profiles: CBF-AML, APL, AML with other recurrent cytogenetic abnormalities, AML with NPM1 or CEBPA mutation, AML with myelodysplasia-related features, therapy-related AML, normal karyotype AML, AML with cytogenetic number abnormalities, and AML with cytogenetic structure abnormalities. Analysis for canonical WNT10B confirmed elevated mRNA levels in all groups of AML patients, with the exception of patients with therapy-related disease. Conversely, WNT10B<sup>IVS1</sup> mRNA was non-detectable in CBF-AML, APL, and therapy-related diseases, while it was highly expressed in all the remaining groups of patients. The same results were confirmed by grouping patients with *de novo* normal karyotype AML and structural or number cytogenetic abnormalities. Furthermore, we demonstrated the independence of WNT transcript levels from other concomitant molecular mutations, as if to indicate that



WNT represents an early molecular event, independent from the acquisition by the leukemic population of secondary additional events.

Combining these expression results, it was possible to recognize three distinct WNT10B / WNT10B<sup>IVS1</sup> patterns, termed “double-positive” (WNT10B+ / WNT10B<sup>IVS1</sup>+), “single-positive” (WNT10B+ / WNT10B<sup>IVS1</sup>-), and “double-negative” (WNT10B- / WNT10B<sup>IVS1</sup>-). Our analysis showed that all therapy-related AMLs were characterized by non-detectable levels of both WNT10B and WNT10B<sup>IVS1</sup> mRNA (“double-negative”), while patients classified as with favorable-risk prognosis presented WNT10B mRNA at high levels and were negative for the variant WNT10B<sup>IVS1</sup> transcript (“single-positive”). Notably, two patients presenting with CBF-AML inv(16)(p13q22) and one patient with normal karyotype AML with NPM1 mutation were clinically considered as to have a therapy-related AML because of a prior exposure to cytotoxic agents with an adequate latency period. Analysis for WNT10B / WNT10B<sup>IVS1</sup> succeeded in recognize these therapy-related cases, confirming the lacking of both WNT transcript. Interestingly, all other patients with *de novo* or MDS-related AML expressed canonical WNT10B at high levels and are particularly characterized by the expression of the non-physiological WNT10B<sup>IVS1</sup> allele variant.

In the last few years, it has become more widely appreciated that multiple genetic lesions, including not only microscopically detectable chromosomal rearrangements or numerical abnormalities but also point gene mutations, cooperate to establish the leukemic process and influence its morphologic and clinical characteristics. Although rearrangements of genes that encode transcription factors may lead to impaired maturation of one or more myeloid lineages, mutations of other genes (such as *FLT3*, *JAK2*, *RAS*, or *KIT*) that encode proteins involved in signal transduction pathways may be required for the proliferation and survival of the neoplastic clone. The discovery of the importance of gene mutations in leukemogenesis has also paved the way for the genetic characterization of many cases of cytogenetically normal AML. Although these leukemias with normal kayotype are actually categorized as a unique entity, it is important to realize that additional genetic abnormalities may coexist and explain their different biology and clinical behavior, including response to therapy and survival. One major challenge is how to incorporate genetic aberrations into a classification scheme of AML capable of defining homogeneous, biologically relevant, and mutually exclusive entities based not only on the prognostic value of a genetic abnormality, but on morphologic, clinical, phenotypic, and unique biologic properties.

The results presented here provided a compelling evidence that regeneration-associated WNT signaling exceeds the homeostatic range in the majority of human AML cases. These newly discovered genetic abnormalities WNT10B / WNT10B<sup>IVS1</sup> seem to be associated with clinical, morphologic, and phenotypic features that allow identification of specific leukemic entity. Canonical WNT10B resulted expressed in all *de novo* AML patients here examined, representing the gene with the highest expression in leukemic patients among all the genes actually known. Furthermore, we found a non-physiological WNT10B<sup>IVS1</sup> variant highly expressed in all non-favorable risk *de novo* AML, which may represent a possible marker for this setting of patients. Besides, WHO classification stated that in CBF-AML and APL the genetic abnormality is sufficient for the diagnosis of AML regardless of the blast percentage in peripheral blood or bone marrow. Here, we presented a distinct molecular signature capable of distinguish with extremely high accuracy these favorable-risk patients from all the other *de novo* AML patients, using a non-time consuming and inexpensive test.

Similarly, we demonstrated a specific molecular profile capable to recognize therapy-related disease, even in those setting in which cytogenetic or molecular analysis may be misleading.

These findings, if confirmed in a larger population of patients, may help in refine diagnostic or prognostic criteria for previously described neoplasms, and to introduce newly recognized disease entities possibly characterized by distinct causative pathogenic mechanisms.

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