

1 **ADVERSE EFFECTS OF PLANT FOOD SUPPLEMENTS AND BOTANICAL**
2 **PREPARATIONS: A SYSTEMATIC REVIEW WITH CRITICAL EVALUATION OF**
3 **CAUSALITY**

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27

28 **SUMMARY**

29 Aims: The object of this review was to collect available data on 1) adverse effects observed in humans from the
30 intake of plant food supplements (PFS) or botanical preparations; 2) the misidentification of poisonous plants;
31 3) interactions between PFS/botanicals and conventional drugs or nutrients.

32 Methods: PubMed/MEDLINE and Embase were searched from database inception to June 2014, using the terms
33 “adverse effect/s”, “poisoning/s”, “plant food supplement/s”, “misidentification/s”, and “interaction/s” in
34 combination with the relevant plant name. All papers were critically evaluated according to the WHO
35 Guidelines for causality assessment.

36 Results: Data were obtained for 66 plants that are common ingredients of PFS; of the 488 papers selected, 398
37 (81.6%) dealt with adverse effects directly associated with the botanical and 89 (18.2%) concerned interactions
38 with conventional drugs. Only 1 case was associated with misidentification. Adverse effects were reported for
39 39 out of the 66 botanical substances searched. Of the total references, 86.5% were associated with 14 plants,
40 including *Glycine max*/soybean (19.3%), *Glycyrrhiza glabra*/liquorice (12.5%), *Ginkgo biloba*/ginkgo and
41 *Camellia sinensis*/green tea (both 8.6%).

42 Conclusions: Considering the length of time examined and the number of plants included in the review, it is
43 remarkable that: 1) the adverse effects due to botanical ingredients were relatively infrequent, if assessed for
44 causality; 2) the number of severe clinical reactions was very limited, but some fatal cases have been described.

45 Data presented in this review were assessed for quality in order to make the results maximally useful for
46 clinicians in identifying or excluding deleterious effects of botanicals.

47

48 INTRODUCTION

49 The use of food supplements is growing in both Europe and USA [1]. Food supplements can contain vitamins,
50 minerals, botanicals, amino acids, enzymes and many other ingredients, and are marketed in a variety of forms:
51 tablets, capsules and powders, as well as drops, beverages and energy bars.

52 Food supplements are products intended to complement the normal diet; as they are foods and not drugs, they
53 must not be claimed to be diagnostic, preventative or therapeutic. The wide diffusion of food supplements
54 containing botanicals (plant food supplements, PFS) has far exceeded the availability of scientific information
55 on their benefits, adverse effects and drug interactions. The information on benefits may be partially covered by
56 the "tradition of use", but it is more difficult to evaluate possible adverse clinical effects due to plant properties,
57 plant misidentification or interaction with pharmaceutical drugs or nutrients.

58 The first difficulty in this assessment is related to the discrimination of plant food supplements from traditional
59 herbal products, because the same ingredient/product could be sold in different countries in one or the other
60 category, and the relevant international legislation is not harmonised. Even in the European Union there are
61 some differences in regulatory approaches [2].

62 Several papers have considered the adverse effects associated with botanicals, and in some cases reviewed data
63 in a specific clinical area. A 5-year toxicological study published by Shaw et al. (1997) showed that among 1297
64 symptomatic enquiries associated with botanicals (both food supplements and traditional remedies), there was a
65 possible or confirmed association in 785 cases [3]. Some cases reported hepatotoxicity following the use of
66 Chinese herbal medicine for skin disorders, allergic reactions to royal jelly and propolis and heavy metal
67 poisoning caused by remedies from the Indian subcontinent. The conclusion by Shaw et al. was that although
68 the overall risk to public health appeared to be low, certain groups of traditional remedies/food supplements
69 could be associated with a number of potentially serious adverse effects.

70 Valli and Giardina in 2002 [4] reviewed the adverse cardiovascular events due to herbal preparations, while
71 Pitter et al. in 2005 [5] considered food supplements aimed at body weight reduction, and reported adverse
72 events including hepatic injury and death after the use of some herbal food supplements. For herbal *Ephedra*
73 and ephedrine-containing food supplements (now banned in most countries, including European Union and
74 USA) an increased risk of psychiatric, autonomic or gastrointestinal adverse events and heart palpitations have
75 been reported.

76 A retrospective study performed by the Poison Center Surveillance Project evaluating dietary supplement-
77 related calls to the centre in 2006 showed that: 1) most supplement-related adverse events were minor; 2) of 275

78 calls, two-thirds were rated as probably or possibly related to supplement use; 3) sympathomimetic toxicity was
79 most common, with caffeine-containing products accounting for 47%, and products containing *Yohimbe* spp.
80 accounting for 18% of supplement-related symptomatic cases; 4) drug–herb interaction was suspected in some
81 cases [6].

82 The European Project PlantLIBRA (Plant Food Supplements: Levels of Intake, Benefit and Risk Assessment,
83 Project n. 245199 – www.plantlibra.eu) aims to foster the safe use of food supplements containing plants or
84 botanical preparations by increasing science-based decision-making by regulators, researchers and food chain
85 operators. The aim of this systematic review was to summarise, and critically assess for causality, the published
86 data on: 1) adverse effects related to PFS/botanical ingredients, 2) the misidentification of poisonous plants, and
87 3) the interactions of PFS/botanicals with pharmaceutical drugs or nutrients.

88

89

90 **MATERIALS AND METHODS**

91 **Botanical ingredients**

92 The plants included in this review were derived from a consensus among partners reached after numerous
93 meetings in the framework of the PlantLIBRA EU project and mainly represent
94 those most commonly used in PFS. The 66 plants included in the search are listed in **Table 1**.

95

96 **Literature Search**

97 Two of the most important scientific databases of references and abstracts on life sciences and biomedical topics,
98 PubMed/MEDLINE and Embase, were systematically searched to create the present work. The following search
99 strategy and selection criteria were used: data were collected from database inception to June 2014, with the
100 terms “adverse effect/s”, “poisoning/s”, “plant food supplement/s”, “misidentification/s”, and “interaction/s” in
101 combination with the relevant plant name.

102

103 **Causality assessment**

104 The assessment of reports on adverse reactions to PFS and/or their botanical ingredients was performed
105 according to the WHO Causality Assessment Criteria as described in **Table 2** [7].

106

107 **Online Supplementary Data**

108 The number of papers collected during the project is very high, so that we cite only 150 papers but we offer the
109 whole list of papers classified according to the WHO Causality Assessment Criteria as Online Supplementary
110 Data.

111

112

113

114 **RESULTS AND DISCUSSION**

115 The summary of data collected from the literature and assessed according to the WHO criteria of causality is
116 reported in **Table 3**. Reports of adverse effects were found for 39 out of 66 botanical ingredients searched,
117 representing 59% of all the plants included in the database search. Of the 492 papers collected, 402 (81.7%)
118 described cases due to adverse effects directly associated with the botanical and 89 (18.1%) to interactions with
119 conventional drugs. Only 1 case was associated with a misidentification of the ingredient *Passiflora incarnata*
120 [8].

121 Most events (426, or 86.6%) were associated with 14 botanical ingredients; the number of papers for each of
122 them ranged between 13 and 95.

123

124 **Adverse effects due to the botanical as such or as an ingredient of PFS**

125 The distribution of adverse effects was different in relation to the plant considered; **Table 4** lists the number of
126 papers regarding specific adverse effects associated with the botanicals searched and the relative causality
127 according to the WHO classification. Since the use of a rechallenge is rare or even ethically unacceptable, the
128 class "Certain" and "Probable/likely" are considered together as "Certain/probable association".

129 For the 14 most documented plants, the total number of papers was 343, but during the evaluation the causality
130 was considered uncertain/unclassifiable in 61 of them; 41.4% of all the papers were associated with only two
131 botanicals: *Glycine max* (91) and *Glycyrrhiza glabra* (51).

132

133 **Adverse effects due to interaction with nutrients or conventional drugs**

134 **Table 5** illustrates the papers regarding the interaction of PFS/botanicals with food, beverages or conventional
135 drugs; assessment of causality is also reported. Of the 83 papers, 38.6% was associated with *Citrus aurantium*
136 (18) and *Ginkgo biloba* (14).

137

138 **Form responsible for adverse effects**

139 **Table 6** lists the part of plant used and the commercial form (botanical as such, PFS, food) associated with the
140 adverse effects described. In some cases, the description of product was limited and was carefully considered in
141 causality assessment.

142

143 **Case reports and side effects associated with PFS and botanical ingredients: a review of the**

144 **top 14**

145 As reported above, even though 39 plants (among those searched) were associated with adverse effects, only
146 those reported as causal in at least 10 papers (total number in Table 3) were considered in this review. As a
147 consequence, details will be reported for only 14 plants, listed according to the alphabetical order of the Latin
148 name.

149

150 ***Camellia sinensis* (L.) Kuntze (green tea)**

151 Numerous papers (34) have been collected on adverse effects related to *C. sinensis* (L.) Kuntze; 29 of them were
152 considered sufficiently documented for causality assessment. Side effects were associated with derivatives from
153 green tea leaves and involved mainly acute hepatotoxicity. Patients showed clinical symptoms with different
154 severity, ranging from a mild increase of serum aminotransferase levels to fulminant hepatitis requiring liver
155 transplantation [9-12].

156 The types of preparation responsible for the adverse effects, with different degrees of relationship, were plant
157 food supplements based on green tea extracts; among them:

- 158 • hydroalcoholic extract [13-15];
159 • ethanolic extract [10,12,16];
160 • aqueous extract of green tea, consumed as tea or in capsules [9, 11, 17].

161 Supplements were used principally for body weight control and in one case for reducing hair loss. For tea
162 infusion, the daily intake was from 2/3 cups up to some litres. Generally, the time of onset of the reactions
163 ranged from 5 days to 2 years of daily consumption. Most cases were classified as "certain/probable" or
164 "possible" when other factors could contribute to the adverse effect such as age, concomitant pathological

165 conditions, several ingredients present in the preparation. Moreover, since the substance involved in the adverse
166 effect was not always identified, an adulteration or contamination could not be excluded. For example, in two
167 papers, the hepatotoxicity due to two Chinese herbal supplements containing tea was attributed to the presence
168 of N-nitroso phenfluramine [18-19].

169 Adverse effects of *C. sinensis* seem to be modulated by various factors, and in particular by the chemical
170 composition and the type of herbal preparations. In fact, all preparations differ in their chemical composition: 1)
171 powdered leaves contain all the tea active components; 2) infusions and aqueous extracts contain mostly
172 hydrophilic compounds; and 3) hydroalcoholic extracts contain both hydrophilic and lipophilic components.

173 The components most frequently indicated as responsible for hepatotoxicity are catechins and their gallic esters.

174 In particular, the role of EGCG (EpiGalloCatechin-3-Gallate) seems predominant, as shown also in
175 experimental *in vitro* and *in vivo* assays (20); this conclusion could also be supported by its high concentration
176 in green tea extracts [21]. The association seems further confirmed by the lack of known adverse effects to
177 fermented tea (black tea), where the content of EGCG is significantly reduced.

178 Interaction between green tea and conventional drugs was recognised in 9 papers; 3 of them with
179 certain/probable and 6 with possible causality. Most interactions were with statins, where an increase in plasma
180 concentration and a worsening of the related side effects, such as rhabdomyolysis, were observed [21-22]. Green
181 tea was also responsible for interfering with a certain number of drugs such as warfarin, with inhibition of
182 activity due to the presence of vitamin K in tea [23], or acetaminophen, with exacerbation of the hepatotoxicity
183 [24], and other natural compounds such as lutein [21], usnic acid and guggulsterones [25] or *Cassia angustifolia*
184 extract [26]. In the papers reporting interaction, aqueous and hydroalcoholic extract were the most usual forms
185 involved.

186

187 ***Cimicifuga racemosa* (L.) Nutt (black cohosh)**

188 Papers related to *C. racemosa* described mainly specific adverse effects (19/23) and among them 14 were
189 classified as "certain/probable" and 5 "possible".

190 The cases described included:

191 1) hepatotoxicity [27-28], with cases of autoimmune hepatitis [29]

192 2) myopathy with severe asthenia and rhabdomyolysis [30];

193 3) reversible complete heart block with bradycardia [31];

194 4) cutaneous vasculitis [32] and cutaneous pseudolymphoma [33].

195 Adverse reactions were due to the chronic ingestion of *C. racemosa* extracts, as such or as an ingredient of PFS
196 (Table 6). In the case of hepatotoxicity, the event was quickly reversible after discontinuation, except in two
197 cases where liver transplant became necessary [27, 34], and in the case described by Lynch et al [27], the event
198 was fatal.

199 The possible interaction with conventional drugs is mainly based on *in vitro* tests, where the inhibition of
200 CYP3A4 activity was observed [35].

201

202 ***Cinnamomum verum* J. Prest (cinnamon)**

203 Adverse effects collected in the scientific literature for *C. verum* were mainly classified as events with
204 certain/probable causality (17/23 or 73.9%). Adverse effects were mainly localised in the oral cavity and were
205 due to the use of cinnamon-flavoured beverages, candies and chewing-gum. The most important adverse effects
206 were:

207 1) stomatitis with swelling and burning of lip, tongue and cheeks with a case of ulceration [36-37];

208 2) hyperkeratotic plaques covering most of the dorsal and lateral tongue and involving the buccal mucosa
209 [38];

210 3) allergic leukoplakia of oral mucosa [39], and contact allergy [40];

211 4) squamous cell carcinoma of the tongue [41].

212

213 Some contact dermatitis was experienced after consumption of cinnamon-flavoured food or solutions [42] or
214 PFS containing *C. verum* oil [43]. One case of intoxication was observed in a child [44]. No case of interaction
215 with nutrients or conventional drug was found.

216

217 ***Citrus aurantium* L. (bitter orange)**

218 Specific adverse effects (7) and interaction with conventional drugs (18) have been reported.

219 The most usual adverse reactions were in the cardiovascular system, including hypertension, tachycardia, and
220 ventricular extrasystoles [45].

221 Ischaemic colitis [46], allergic bronchospasm [47] and hepatitis with massive necrosis [45] were also reported.

222 Attempted weight loss was the most common reason for using PFS containing *C. aurantium*. In one case, the
223 subject used a decoction of leaves to treat a common cold [48]. An extract of ripe or unripe fruit (usually

224 unspecified) was the most usual form taken by consumers. The presence of stimulant amines, such as
225 synephrine and octopamine, in *C. aurantium* explains the numerous adverse cardiovascular effects. The
226 chemical structure of synephrine resembles that of the neurotransmitter adrenalin and of the alkaloid ephedrin,
227 so that it acts as a sympathomimetic substance [49].

228 When *C. aurantium* was used in combination with caffeine, ephedrine, yohimbine and phenylethylamine, but
229 also thyroxine, enhancement of the adverse effects was reported: stimulant cardiovascular effects, such as
230 tachycardia and hypertension [50], ventricular fibrillation [51], angina [52], acute myocardial infarction [53],
231 ischaemic stroke [54] and exercise-induced syncope [55].

232 Less frequently described were rhabdomyolysis [45], ischaemic colitis [56] and psychosis [57].

233 Cases of adverse effects to *C. aurantium* were mainly classified as “possible” due to the frequent presence of
234 accompanying conditions such as obesity, hypothyroidism, asthma, diabetes, hypertension, hyperlipidaemia,
235 alcoholism, drug abuse, depression, anxiety, nicotine use, and dehydration.

236

237 ***Echinacea purpurea* (L.) Moench (Eastern purple coneflower)**

238 The review selected a total of 20 papers reporting adverse effects due to *E. purpurea*. They were mainly
239 associated with ethanolic extracts of root and herb, but side reactions to aqueous extracts were also reported.

240 Causality was often (10/18) defined as “unclassifiable” because of the lack of clear information on the botanical
241 preparation, description of the adverse event, patient’s anamnesis or insufficient evidence of exposure. The lack
242 of data could be partially explained by the fact that many adverse effects were found in papers from regulatory
243 bodies (WHO, ADRAC, BfArM, FDA), where details on the specific *E. purpurea* preparation were not included.

244 Adverse reactions were associated with both allergy and hepatic or gastrointestinal effects. Allergic reactions
245 were mainly due to IgE-mediated hypersensitivity [58] and could be due to the known immuno-stimulating
246 properties of *E. purpurea*. *Echinacea* derivatives stimulate macrophage and enhance cytokine production,

247 which could be responsible for adverse consequences in humans [59]. Hepatotoxicity was described as an acute
248 event with features of cholestatic autoimmune hepatitis [60], and as a case of fatal liver necrosis [61]. Other

249 clinical manifestations probably associated with *E. purpurea* were: a case of erythema nodosum [62], diarrhoea,
250 vomiting, headache and drowsiness [63].

251 The possible interaction of *E. purpurea* with pharmaceutical drugs was considered by some authors. Gorski et al.
252 (2004) [64] considered that the observed induction of CYP3A4 activity explained the interaction of unspecified
253 root extracts with various medications, such as tolbutamide, midazolam (oral or intravenous administration),

254 dextromethorphan. Other interactions with albuterol, allopurinol, beclomethasone, dihydrocodeine,
255 roxithromycin were evaluated as unclassifiable because of the lack of clinical details [58].
256 In contrast, Gurley et al. (2004) [65] found there to be no significant effect of *E. purpurea* on cytochromes
257 CYP3A4, CYP1A2, CYP2E1 or CYP2D6 activity. The incongruence with the results of Gorski et al. (2004)
258 [64] is probably due to the different type of extracts used: Gorski et al. (2004) used the root extract (containing
259 more than 1% phenols as cichoric acid, chlorogenic acid and echinacoside), while Gurley et al. (2004) [65] used
260 the whole plant extract, containing principally cichoric acid.

261

262 ***Ginkgo biloba* L. (ginkgo)**

263 The review of adverse effects associated with *Ginkgo biloba* produced 42 papers, 28 related to adverse effect to
264 the plant derivative as such and 14 reporting interaction with conventional drugs. Most of them (33) were
265 classified as events with certain/probable and possible causality. Leaves and seeds are the parts most usually
266 consumed, both as such (roasted or cooked seeds) and as extracts. The type of extract is normally undefined
267 apart from the study by Yagmur et al (2005) [66], where the product is specifically indicated (EGb761).

268 Adverse reactions are usually associated with haemorrhagic complications [67-68], with one case of a subdural
269 haematoma [69]. The activity is probably due to the antiplatelet activity of ginkgosides, and the Ginkgolide B
270 seems to be the main terpenoid responsible for such effects [69-70].

271 In some papers, other symptoms were identified: acute generalised exanthematous pustulosis [71], toxic
272 epidermal necrolysis [72], and ventricular arrhythmia [73] or convulsions [74].

273 An increased risk of bleeding complications was observed when *G. biloba* was taken concomitantly with other
274 conventional drugs acting on coagulation, such as acetyl salicylic acid [75-76], ibuprofen [77], and warfarin [78].

275 A subtherapeutic level of anticonvulsants (phenytoin and valproic acid), due to an induction of the cytochrome
276 CYP2C19 by ginkgo active compounds, was also observed in a case of fatal breakthrough seizure [79].

277

278 ***Glycine max* (L.) Merr (soybean)**

279 The review produced 95 papers reporting adverse effects associated with the consumption of *Glycine max*;
280 among these, only a few (4) documented an interaction with nutrients or drugs. In particular, a decreased
281 absorption of levothyroxine was attributed to the use of a food supplement containing soybean proteins [80],
282 while soy milk and seaweed ingestion was associated with serious thyroid dysfunction [81]. Moreover, foods
283 containing soybean and its isoflavones were responsible for bleeding when combined with oestradiol [82].

284 During a clinical trial studying the effect of soy isoflavones and melatonin in relieving menopausal symptoms,
285 one patient experienced tachycardia, weight gain, insomnia, drowsiness and headache [82].

286 The adverse effects due to *Glycine max* are mainly associated with the well-known allergenic potential of this
287 legume (30/91), which is used as an ingredient in several foods and preparations such as soy milk, paediatric
288 formulas, lecithin, etc [83-84]. Soybean is included in the list of major allergens requiring specific labelling, and
289 the proteins responsible for the allergic reactions have been widely studied (IUIS Allergen Nomenclature Sub-
290 Committee - <http://www.allergen.org/search.php?allergen=Glycine+max>) and identified [84].

291 The second most important group of side effects due to soybean derivatives is associated with the isoflavone
292 fraction [85-86]. *G. max* isoflavones are frequently contained in food supplements aimed at reducing
293 menopause-related symptoms and diseases. Side effects due to their pseudo-hormonal activity have been
294 observed both in females and males. In particular: precocious thelarche [87], uterine fibroids [88], ureteral
295 mullerian carcinosarcoma associated with endometriosis [89], gynaecomastia [90], hypogonadism and erectile
296 dysfunction [91], testicular cancer and reproductive disorders [85, 87].

297 Other case reports associated with *Glycine max*, with satisfactory demonstration of causality, follow:

- 298 1. gastro-intestinal adverse effects, including enterocolitis, vomiting, abdominal pain and diarrhoea,
299 gastric cancer and hepatitis [92-95];
- 300 2. thyroid dysfunction caused by the assumption of soybean "milk" containing high levels of iodine [96];
- 301 3. bladder cancer [97];
- 302 4. cases with different symptoms, such as hypophosphatemia in very-low-birth-weight infants, fatal
303 hypernatremia, migraine, hypochloremic alkalosis, transient methemoglobinemia [98-101].

304

305

306 ***Glycyrrhiza glabra* L. (liquorice)**

307 The review selected 60 papers reporting adverse reactions (specific reactions and interactions) after the
308 consumption of liquorice. Most of them were classified as certain/probable (44), and only three were deemed
309 "unlikely". The root is the plant part utilised; sweets, chewing gum, drinks and PFS are the most usual forms
310 consumed but data on the preparation is not always included in papers. Most adverse events had the same
311 symptomatic pattern, which is attributable to the biological activity of glycyrrhetic acid. Hypokalemia and
312 hypertension are the most frequent adverse events [102-103], which can be worsened by the concomitant use of

313 conventional drugs, such as bendrofluazide [104], hydrochlorothiazide [105], or other diuretics [106].
314 Interaction with oral contraceptives, with a similar clinical pattern (hypokalemia and water retention), has also
315 been reported [107]. In some cases, the clinical evolution was particularly severe with rhabdomyolysis [108],
316 hypokalemic paralysis [109], hypokalemic encephalopathy [110], and cardiac arrest [111].

317 The adverse effects are mainly due to the liquorice's active compound, glycyrrhetic acid, which inhibits the 11-
318 β hydroxysteroid dehydrogenase-type 2 (11 β -HSDH-2) enzyme that is present in the principal cells of the
319 cortical collecting duct. Since cortisol and aldosterone are similar steroid hormones, the enzyme is needed to
320 inactivate cortisol before it binds the aldosterone receptor inside principal cells. When 11 β -HSDH-2 is inhibited,
321 an aldosterone-like effect is promoted, which suppresses the renin-angiotensin-aldosterone axis and causes
322 volume expansion, hypertension, hypokalemia and metabolic alkalosis [112].

323

324 ***Harpagophytum procumbens* (Burch) DC (Devil's claw)**

325 Case reports associated with this botanical mainly referred to the treatment of low back pain or arthrosis of hip
326 and knee. All studies were classified as probable/likely and were associated with derivatives of the tuber or the
327 whole plant (extracts or PFS, see Table 6). Acting as a COX-2 inhibitor, adverse effects associated with *H.*
328 *procumbens* preparations, which were predictable and dose-dependent, included mainly gastrointestinal
329 disorders [113]. Throbbing frontal headache, tinnitus, anorexia and loss of taste for food were described in one
330 patient by Grahame and Robinson in 1981 [114].

331

332 ***Hypericum perforatum* L. (St John's wort)**

333 Among the 10 papers describing adverse effects associated with *H. perforatum*, 4 (40%) were classified as
334 certain/probable and 6 as possible. The best described cases reported convulsions and confusion [115], manic
335 attack [116] and hypertension with [117] or without delirium [118]. Other authors described sexual dysfunction
336 [119], serotonin-syndrome-like symptoms with anxiety, hypertension, tachycardia and nausea [120] and finally,
337 a 5-fold increase of transaminases [121].

338 Several authors reported clinical cases of patients suffering from adverse effects due to an interaction between *H.*
339 *perforatum* and drugs. The events were considered certain/probable in 6 out of the 9 cases and possible in the
340 other 3. It has been shown that there may be clinically significant drug-drug interactions between *H. perforatum*
341 and substances metabolized through the CYP3A4 isozyme. Specifically, reductions in therapeutic efficacy at
342 standard doses of important CYP3A4 substrates may be observed [122].

343 When *H. perforatum* was used in combination with drugs, reduced bioavailability was shown for the following:
344 verapamil [123], glicazide, nifedipine, omeprazole, voriconazole, anticoagulant drugs such as phenprocoumon
345 and warfarin, statins such as atorvastatin and simvastatin [124], talinolol [125], digoxin [124, 126], nevirapine
346 [127], contraceptive drugs, cyclosporine, tacrolimus and theophylline [124, 126], loperamide together with
347 *Valeriana officinalis* [128]. Other authors described an interaction with selective serotonin re-uptake inhibitors
348 to give serotonin-syndrome [126]. In addition, long-term use of *H.perforatum* was considered responsible for
349 adrenergic desensitisation and decreased responsiveness to vasopressors, leading to a cardiovascular collapse in
350 a patient during anaesthesia [129]. Further interactions producing a decrease of bioavailability were suggested
351 by Hu et al. [126] with amitriptyline, alprazolam, midazolam, fexofenadine, imatinib, irinotecan, methadone,
352 indinavir, quazepam.

353

354 ***Panax ginseng* C.A. Meyer (ginseng)**

355 The adverse effects collected in the scientific literature for ginseng can be classified as specific effects in 11
356 cases and as an interaction with conventional drugs in 5.

357 Among the 11, one was classified as certain/probable and 6 as possible; the remaining 4 papers were not
358 sufficiently documented. The part of the plant utilised is the root and little information is normally included
359 about the method of preparation. The adverse reactions described were: stimulant effects, such as nervousness
360 and tremor, a maniacal episode in a patient with recurrent depressive illness [130], metrorrhagia [131], and
361 allergic reactions including generalised urticarial rash and difficulty in breathing [132].

362 Clinical events associated with co-administration of *P. ginseng* with conventional drugs included interaction
363 with: the anticoagulant drug warfarin [133], the antidepressant drugs phenelzine, [134] and clomipramine [135]
364 inducing manic symptoms, and the tyrosine-kinase inhibitor imatinib responsible for liver damage via an
365 interaction with the cytochrome CYP3A4 [136].

366

367 ***Valeriana officinalis* L. (valerian)**

368 Cases were classified as specific adverse effects in 6 out of 14 papers or interaction with nutrient and
369 conventional drugs in the remaining 8. Causality of the adverse effects was rarely documented, likewise the kind
370 of product used by the patient. The case classified as certain/probable reported hepatotoxicity [137], including a
371 fulminant hepatic failure [138].

372 Among the cases of interaction with conventional drugs, nutrients or food/beverages, only 4 cases were
373 considered sufficiently documented, they reported cases of:

- 374 1) hypotension due to an interaction with *Matricaria chamomilla* and *Melissa officinalis* [139];
- 375 2) hand tremor, dizziness and muscular fatigue due to co-administration with *Passiflora incarnata* and
376 lorazepam [140];
- 377 3) change of mental status due to a consumption together with alcohol and *Ginkgo biloba* [141];
- 378 4) hepatitis due to interaction with *Scutellaria lateriflor*, containing alkylating agents, glycoside and
379 volatile oils [142].

380 ***Vitex agnus castus* L. (vitex or 'chaste tree')**

381 Nineteen papers described adverse effects to *V. agnus castus*. Some of them were observed during clinical
382 studies performed during postmarketing surveillance. This is because in several European Countries *V. agnus*
383 *castus* is included among botanical ingredients used in traditional medicine (mainly Germany and Austria),
384 requiring marketing authorisation. All these products contain ethanolic extracts of the fruit of *V. agnus castus*,
385 and are used for premenstrual syndrome. Adverse effects reported vary widely; the most frequent and
386 documented clinical events are:

- 387 1) inter-menstrual bleeding or disorders [143-145];
- 388 2) gastrointestinal disorders with diarrhoea, persistent gastroenteritis and nausea [143, 145-146];
- 389 3) acneform facial inflammation [146];
- 390 4) headache [145];
- 391 5) weight gain 143, 145-146];
- 392 6) dizziness [143, 146];
- 393 7) allergic reactions with pruritus, erythema and gastrointestinal symptoms [144].

394 Other less frequent adverse effects were: arteriospasm and hepatitis [147].

395 Causality between plant intake and adverse effects was considered certain/probable in most cases (13/18),
396 because the adverse effects were registered during well controlled clinical studies, and the plant was often the
397 only "treatment" used.

398

399 ***Vitis vinifera* L. (grape)**

400 All papers collected for effects of this botanical (14) were classified as "certain/probable". Most of them can be

401 considered as allergic reactions, including: oral syndrome, urticaria, angioedema, hypotension and respiratory
402 distress, anaphylaxis and finally exercise-induced anaphylaxis [148-150]. The most important allergens from
403 grapevine are endochitinase A and B, a lipid transfer protein (LTP), and a thaumatin-like protein [149]. No
404 interaction with nutrients or conventional drugs has been described.

405

406 4. CONCLUSIONS

407

408 At the first step in searching databases for adverse effects to the 66 botanical ingredients considered (Table 1),
409 some thousands of papers were considered. With the application of WHO assessment criteria (Table 2), the
410 number of papers with sufficient evidence of a causal relationship was reduced to 492 for 39 plants (see Table
411 3). No paper describing significant adverse effects was found for the remaining 27 plants. Fourteen plants were
412 the most frequently cited, and among them two were responsible for 32% of the adverse effects reported:

413 1. *Glycine max* (soybean) was considered in 95 papers, where its role in allergic reactions and hormonal-
414 like activity was demonstrated. Both effects are well known; in fact soybean is included in the list of
415 major food allergens and the hormonal activity of phytoestrogens is the reason that it is used in
416 menopause.

417 2. *Glycyrrhiza glabra* (liquorice) was usually responsible for hypokalemia and hypertension due to its
418 content of glycyrrhetic acid. The hypertensive potential of liquorice and its interaction with
419 conventional drugs are also quite well known in clinical practice.

420 Generally speaking, we could conclude that:

421 1. cases of adverse effects to botanicals are numerous, in term of citations by scientific literature or
422 phytovigilance centres, but an assessment according to the WHO criteria indicates that the number of
423 those with adequate evidence for a causal relationship is significantly less,

424 2. given the long period of time considered and the number of plants included in the review, the
425 occurrence of adverse effects of botanical ingredients is relatively low,

426 3. the number of severe clinical reactions is very limited, but some fatal cases have been described,

427 4. it is important to recognise that an underestimation is also possible, for different reasons: a) the

428 consumer usually considers botanicals as safe products and does not report their use if they are

429 admitted to hospital or emergency service, b) since they use PFS at their own discretion, consumers

430 could avoid informing the family doctor, fearing a reprimand, c) data collected by poison centres are
431 published only in a relatively few cases.

432

433 Despite these apparently reassuring findings, we still consider it important to direct the attention of clinicians to
434 the possibility of rare but severe adverse effects from botanical preparations or ingredients of food supplements
435 or traditional medicines. For example, the severe hepatotoxicity of *Camellia sinensis* (green tea) was unknown
436 before the product Hexolise, containing a hydroalcoholic extract was marketed and which was found to be
437 responsible for a number of cases of acute hepatitis in France and Belgium [13, 17]. Although very rare
438 (considering the large number of green tea consumers in the world), the severity of these reactions needs
439 information and vigilance.

440 Similarly *Citrus aurantium*, which contains adrenergic amines, must be considered a potential risk both for
441 athletes and the general population, taking into consideration the possible abuse as a substitute for the products
442 containing *Ephedra*, now banned.

443 Data presented in this review were assessed for quality, in order to be of maximum value for clinicians and the
444 clinical management of affected patients.

445

446

447 **DECLARATION OF INTEREST**

448 All authors have completed the Unified Competing Interest form at http://www.icmje.org/coi_disclosure.pdf
449 (available on request from the corresponding author) and declare no support from any organization for the
450 submitted work, no financial relationships with any organizations that might have an interest in the submitted
451 work in the previous 3 years and no other relationships or activities that could appear to have influenced the
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457

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Table 1 - Plants included in the review

<i>Abies alba</i> Mill.	<i>Cynara scolymus</i> L.	<i>Ocinum basilicum</i> L.
<i>Aesculus hippocastanum</i> L.	<i>Echinacea pallida</i> Nutt.	<i>Olea europaea</i> L.
<i>Aloe ferox</i> Mill.	<i>Echinacea purpurea</i> (L.) Moench	<i>Panax ginseng</i> C.A. Meyer
<i>Artemisia abrotanum</i> L.	<i>Epimedium brevicornum</i> Maxim/sagittatum	<i>Passiflora incarnata</i> L.
<i>Artemisia dracunculus</i> L.	<i>Eschscholzia californica</i> Cham.	<i>Pelargonium sidoides</i> DC
<i>Borago officinalis</i> L.	<i>Foeniculum vulgare</i> Mill.	<i>Peumus boldus</i> Molina
<i>Boswellia serrata</i> Roxb. ex Colebr.	<i>Ginkgo biloba</i> L.	<i>Pimpinella anisum</i> L.
<i>Calendula officinalis</i> L.	<i>Glycine max</i> (L.) Merr.	<i>Plantago lanceolata</i> L.
<i>Camellia sinensis</i> (L.) Kuntze	<i>Glycyrrhiza glabra</i> L.	<i>Plantago ovata</i> Forssk
<i>Carica papaya</i> L.	<i>Grindelia robusta</i> Nutt.	<i>Pseudowintera colorata</i> (Raoul) Dandy
<i>Carum carvi</i> L.	<i>Harpagophytum procumbens</i> (Burch.) DC	<i>Rhamnus purshiana</i> DC
<i>Cassia angustifolia</i> Vahl/ <i>Cassia senna</i> L.	<i>Helichrysum italicum</i> (Roth) Don	<i>Salvia hispanica/columariae</i> L.
<i>Cassia obtusifolia</i> L./ <i>Cassia tora</i> L	<i>Heliotropium</i> spp.	<i>Serenoa repens</i> (W Baltram) Small.
<i>Chrysanthemum balsamita</i> (L) Baill	<i>Hibiscus sabdariffa</i> L.	<i>Serenoa serrulata</i> Hook f.
<i>Cichorium intybus</i> L.	<i>Hippophae rhamnoides</i> L.	<i>Silybum marianum</i> (L.) Gaertn.
<i>Cimicifuga racemosa</i> (L.) Nutt.	<i>Humulus lupulus</i> L.	<i>Taraxacum officinale</i> Wiggers
<i>Cinnamomum verum</i> J. Presl (<i>Cinnamomum zeylanicum</i>)	<i>Hypericum perforatum</i> L.	<i>Thymus serpyllum</i> L.
<i>Citrus aurantium</i> L.	<i>Lavandula angustifolia</i> Mill.	<i>Trifolium pratense</i> L.
<i>Citrus limon</i> (L) Burm.	<i>Lycium barbarum</i> L.	<i>Vaccinium myrtillus</i> L.
<i>Citrus sinensis</i> L.	<i>Matricaria recutita</i> L.	<i>Valeriana officinalis</i> L.
<i>Crataegus monogyna</i> Jacq.	<i>Melissa officinalis</i> L.	<i>Vitex agnus castus</i> L.
<i>Cuminum cyminum</i> L.	<i>Myrtus communis</i> L.	<i>Vitis vinifera</i> L.

Table 2 - Causality categories according to WHO [7]

Causality classification	Details
Certain	a clinical event, including laboratory test abnormality, occurring in a plausible time relationship to drug administration, and which cannot be explained by concurrent disease or other drugs or chemicals. The response to withdrawal of the drugs (dechallenge) should be clinically plausible. The event must be definitive pharmacologically or phenomenologically, using a satisfactory rechallenge procedure if necessary
Probably/Likely	a clinical event, including laboratory test abnormality, with a reasonable time sequence to administration of the drug, unlikely to be attributed to concurrent disease or other drugs or chemicals, and which follows a clinically reasonable response on withdrawal (dechallenge). Rechallenge information is not required to fulfil this definition
Possible	a clinical event, including laboratory test abnormality, with a reasonable time sequence to administrations of the drug, but which could also be explained by concurrent disease or other drugs or chemicals. Information on drug withdrawal may be lacking or unclear
Unlikely	a clinical event, including laboratory test abnormality, with a temporal relationship to drug administration which makes a causal relationship improbable, and in which other drugs, chemicals or underlying disease provide plausible explanations
Conditional/ Unclassified	a clinical event, including laboratory test abnormality, reported as an adverse reaction, about which more data is essential for a proper assessment, or the additional data is under examination
Unassessable/ unclassifiable	a report suggesting an adverse reaction which cannot be judged because information is insufficient or contradictory, and which cannot be supplemented or verified

Table 3 - Number of scientific papers describing adverse effects to botanicals/PFSs, including misidentification and interaction with nutrient or conventional drugs

Plant by scientific name (common name)	Number of references to adverse effects as such	Number of references to misidentification	Number of references to interactions	Total references
<i>Glycine max</i> (L.) Merr (soybean)	91	0	4	95
<i>Glycyrrhiza glabra</i> L. (licorice)	51	0	9	60
<i>Camellia sinensis</i> (L.) Kuntze (tea)	34	0	9	43
<i>Ginkgo biloba</i> L. (ginkgo/Maideinhair tree))	28	0	14	42
<i>Citrus aurantium</i> L. (bitter orange)	7	0	18	25
<i>Cinnamomum verum</i> J.Prest (<i>C. zeylanicum</i>) (cinnamon)	23	0	0	23
<i>Cimicifuga racemosa</i> (L.) Nutt (black cohosh)	19	0	4	23
<i>Echinacea purpurea</i> (L.) Moench (Eastern purple coneflower)	18	0	2	20
<i>Vitex agnus castus</i> L. (vitex/chaste tree)	18	0	1	19
<i>Hypericum perforatum</i> L. (St John's wort)	10	0	9	19
<i>Panax ginseng</i> C.A. Meyer (ginseng)	11	0	5	16
<i>Valeriana officinalis</i> L. (valerian)	6	0	8	14
<i>Vitis vinifera</i> L. (grape)	14	0	0	14
<i>Harpagophytum procumbens</i> (Burch) DC (Devil's claw)	13	0	0	13
<i>Boswellia serrata</i> Roxb (Indian frankincense)	9	0	0	9
<i>Serenoa repens</i> (W Baltram) Small (saw palmetto)	6	0	0	6
<i>Citrus sinensis</i> (L.) Osbeck (sweet orange)	5	0	0	5
<i>Taraxacum officinale</i> Weber (dandelion)	5	0	0	5
<i>Aesculus hippocastanum</i> L. (horse chestnut)	2	0	2	4
<i>Cassia angustifolia</i> Mill/ <i>Cassia senna</i> L. (senna)	4	0	0	4

<i>Aloe ferox</i> Mill. (bitter aloe)	3	0	0	3
<i>Melissa officinalis</i> L. (lemon balm)	3	0	0	3
<i>Passiflora incarnata</i> L. (Passion flower)	1	1	1	3
<i>Peumus boldus</i> Molina (boldo)	1	0	2	3
<i>Cassia obtusifolia</i> L./ <i>Cassia tora</i> L. (sickle senna/Java bean)	2	0	0	2
<i>Foeniculum vulgare</i> Mill (fennel)	2	0	0	2
<i>Matricaria recutita</i> L. (chamomile)	1	0	1	2
<i>Ocimum basilicum</i> L. (sweet basil)	2	0	0	2
<i>Olea europea</i> L. (olive)	2	0	0	2
<i>Silybum marianum</i> (L.) Gaertn (milk thistle)	2	0	0	2
<i>Borago officinalis</i> L. (Borage)	1	0	0	1
<i>Crataegus monogyna</i> Jacq. (hawthorn)	1	0	0	1
<i>Cynara scolymus</i> L. (globe artichoke)	1	0	0	1
<i>Echinacea pallida</i> Nutt (pale purple coneflower)	1	0	0	1
<i>Pelargonium sidoides</i> DC (Umckaloab)	1	0	0	1
<i>Pimpinella anisum</i> L. (anise)	1	0	0	1
<i>Plantago lanceolata</i> L. (ribwort plantain)	1	0	0	1
<i>Rhamnus purshiana</i> DC (cascara sagrada)	1	0	0	1
<i>Trifolium pratense</i> L. (red clover)	1	0	0	1
TOTAL	402	1	89	492

Table 4 - Number of papers describing specific adverse effects to the botanicals considered and their ranking by causality*

<i>Plant by scientific name</i> (common name)	Total number of papers describing side effects	Papers reporting certain/probable association	Papers reporting possible association	Papers showing unlikely/unassessable association
<i>Glycine max (L.) Merr</i> (soybean)	91	58	11	22
<i>Glycyrrhiza glabra L.</i> (licorice)	51	38	11	2
<i>Camellia sinensis (L.) Kuntze</i> (tea)	34	15	14	5
<i>Ginkgo biloba L.</i> (ginkgo/Maideinhair tree)	28	19	4	5
<i>Cinnamomum verum J Presl (zeylanicum)</i> (cinnamon)	23	17	2	4
<i>Vitex agnus castus L.</i> (vitex/chaste tree)	18	13	1	4
<i>Echinacea purpurea (L.) Moench</i> (Eastern purple coneflower)	18	8	0	10
<i>Cimicifuga racemosa (L.) Nutt</i> (black cohosh)	19	14	5	0
<i>Vitis vinifera L.</i> (grape)	14	14	0	0
<i>Harpagophytum procumbens DC</i> (Devil's claw)	13	13	0	0
<i>Hypericum perforatum L.</i> (St John's wort)	10	4	6	0
<i>Panax ginseng C.A. Meyer</i> (ginseng)	11	1	6	4
<i>Citrus aurantium L.</i> (bitter orange)	7	5	0	2
<i>Valeriana officinalis L.</i> (valerian)	6	1	2	3
TOTAL	343	220	62	61

*Because of the high number of citations, the whole list of papers is organized for plant and causality in the Online Supplementary Data

Table 5 - Number of papers reporting interactions between the botanicals considered and nutrients, food or conventional drugs with ranking by causality*

<i>Plant by scientific name</i> (common name)	Total number of papers describing interactions	Papers reporting certain/probable association	Papers reporting possible association	Papers showing unlikely/unassessable association
<i>Citrus aurantium</i> L. (bitter orange)	18	6	11	1
<i>Ginkgo biloba</i> L. (ginkgo/Maideinhair tree)	14	7	3	4
<i>Glycyrrhiza glabra</i> L. (licorice)	9	6	2	1
<i>Camellia sinensis</i> (L.) Kuntze (tea)	9	3	6	0
<i>Hypericum perforatum</i> L. (St John's wort)	9	6	3	0
<i>Valeriana officinalis</i> L. (valerian)	8	0	4	4
<i>Glycine max</i> (L.) Merr (soybean)	4	1	2	1
<i>Cimicifuga racemosa</i> (L.) Nutt (black cohosh)	4	0	4	0
<i>Panax ginseng</i> C.A. Meyer (ginseng)	5	1	4	0
<i>Echinacea purpurea</i> (L.) Moench (Eastern purple coneflower)	2	1	1	0
<i>Vitex agnus castus</i> L. (vitex/chaste tree)	1	0	0	1
TOTAL	83	31	40	12

*Because of the high number of citations, the whole list of papers is organized for plant and causality in the Online Supplementary Data

Table 6 - Form used by consumers experiencing adverse effects

Plant by scientific name (common name)	Botanical part used (when specified)	Food and beverages (functional, flavoured etc.)	PFS (type)	Other
<i>Camellia sinensis</i> (L.) Kuntze (tea)	Leaves	Tea (high quantity)	Capsules containing micronized leaf powder or different extracts	Acqueous, ethanolic, hydroalcoholic extracts
<i>Cimicifuga racemosa</i> (L.) Nutt (black cohosh)	Rizhoma	-	Capsules containing 6 plants including <i>C. racemosa</i>	Standardized unspecified extract
<i>Cinnamomum verum</i> J Presl (<i>zeylanicum</i>) (cinnamon)	Bark	Flavoured candies and foods; sweet vermouth and coffee	PFS (containing oil)	Oil, chewing-gum, toothpaste, mounthrinse
<i>Citrus aurantium</i> L. (bitter orange)	Ripe and unripe fruit Fruit rind	-	-	Unspecified extracts, decoction
<i>Echinacea purpurea</i> (L.) Moench (Eastern purple coneflower)	Root or coneflower	Juice	Juice combined with other ingredients	Hydroalcoholic, acquous or unspecified extracts
<i>Ginkgo biloba</i> L. (ginkgo/Maideinhair tree)	Leaves, seeds	Roasted ginkgo seeds, microwave cooked seeds	PFS containing extracts	Extracts, ginkgolide mixtures
<i>Glycine max</i> (L.) Merr (soybean)	Seeds	Soybean protein based formula, soybean "milk", Miso (fermented soybean), Tofu, Baloney (sausage)	Supplements containing soybean isoflavones	Lecithins, soybean protein concentrates, soybean granules, soybean flour
<i>Glycyrrhiza glabra</i> L. (licorice)	Root	Licorice rope and candies, juices, drinks, Pontefract cake	PFS tablets, "herbal tonic"	Chewing-gum, decoction, concentrated juice
<i>Harpagophytum procumbens</i> DC (Devil's claw)	Tuber, root tuber, secondary tuber, whole plant	-	Capsules containing extract from whole plant	Acqueous extract, ethanol extract, powder from root or secondary tubers

<i>Hypericum perforatum</i> L. (St John's wort)	Flowering herb	-	Tablets, unspecified preparations, including an extract enriched in hyperforin	Unspecified extracts
<i>Panax ginseng</i> C.A. Meyer (ginseng)	Root	Candies and teas	Ginseng syrup	Dry root, extracts (from standardized to unspecified), chewing-gum
<i>Valeriana officinalis</i> L. (valerian)	Root	-	Infusions	Raw root material
<i>Vitex agnus castus</i> L. (vitex/chaste tree)	Fruit	-	-	Ethanollic/acquous extracts
<i>Vitis vinifera</i> L. (grape)	Fruit and leaves	Fresh and dry fruit, Juices	-	Acquous extract, hydroalcoholic extract; unspecified skin extract