

Hematopoietic stem cell transplantation in thalassemia major and sickle cell disease: indications and management recommendations from an international expert panel

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ABSTRACT

Thalassemia major and sickle cell disease are the two most widely disseminated hereditary hemoglobinopathies in the world. The outlook for affected individuals has improved in recent years due to advances in medical management in the prevention and treatment of complications. However, hematopoietic stem cell transplantation is still the only available curative option. The use of hematopoietic stem cell transplantation has been increasing, and outcomes today have substantially improved compared with the past three decades. Current experience world-wide is that more than 90% of patients now survive hematopoietic stem cell transplantation and disease-free survival is around 80%. However, only a few controlled trials have been reported, and decisions on patient selection for hematopoietic stem cell transplantation and transplant management remain principally dependent on data from retrospective analyses and on the clinical experience of the transplant centers. This consensus document from the European Blood and Marrow Transplantation Inborn Error Working Party and the Paediatric Diseases Working Party aims to report new data and provide consensus-based recommendations on indications for hematopoietic stem cell transplantation and transplant management.

Introduction

Thalassemia major (TM) originated in Mediterranean, Middle Eastern, and Asian regions, and sickle cell disease (SCD) originated from throughout central Africa. However, because of migration, both diseases now occur globally and represent a growing health problem in many countries.¹ Despite the remarkable improvements in medical therapy for hemoglobinopathies,^{2,3} hematopoietic stem cell transplantation (HSCT) still remains the only available curative approach. Although both TM and SCD are hemoglobinopathies, they are two distinct diseases requiring different approaches to HSCT, based on their different clinical features and course of disease. While transfusion dependency for TM is a priori an indication for HSCT, the indications for HSCT

in SCD are less clearly defined because of the variability of the disease course. The literature shows there is a wide experience in transplantation for TM, whereas only a few hundred transplants have been performed for SCD.^{4,5} There are a number of possible reasons for this difference, including a lack of consensus about the indications and time point for HSCT in SCD, and the low chance of identifying an unrelated donor for SCD patients.^{6,7} Table 1 shows the principal differences between TM and SCD from the transplant perspective.

So far, only a few prospective clinical trials have been reported in these diseases, and the decision to perform HSCT and the details of transplant management remain principally dependent on data derived from predominantly retrospective investigations and on the clinical expertise of

the different transplant centers.⁸ For these reasons, the EBMT Paediatric Diseases Working Party and Inborn Error Working Party recognized the importance of establishing common guidelines. In October 2011, a Consensus Committee was convened with the aim of reviewing the literature and creating a consensus document addressing the current treatment strategies for TM and SCD. This paper summarizes the results of this review and the panel's recommendations on indications and the approach to HSCT in these disorders.

Methodology

The committee panel was made up of experts jointly selected by the European Blood and Marrow Transplantation (EBMT) Inborn Error Working Party and the Paediatric Diseases Working Party. It was composed of 20 members, with recognized clinical and scientific experience in HSCT and /or medical management of TM and SCD. Members came from 18 institutions in 8 countries.

Pertinent published literature was identified from a search using the terms "TRANSPLANTATION AND THALASSAEMIA" or "SICKLE CELL DISEASE" using the National Library of Medicine PubMed database. In addition, abstracts from recent international hematology and annual stem cell transplant meetings (ASH, EBMT, and CIBMTR), meetings of the EBMT Inborn Errors and Paediatric Disease working parties and other educational meetings were also reviewed for relevance, supplemented by unpublished data from HSCT centers in Europe. The consensus meeting was prepared in the six months preceding the meeting by two working groups for TM (chaired by EA) and for SCD (chaired by SM). The two working groups assessed and weighted evidence using the GRADe approach for the two diseases separately from the abovementioned sources.⁹ Each member of the respective working group received a draft statement on the different topics, and checked and revised the suggested recommendations. During the final joint working group meeting, the

recommendation statements were discussed and approved. Subsequently, a draft manuscript was sent to all committee members and was finally accepted by all of them. Any industrial influence on the process of consensus development was avoided.

Thalassemia major

HSCT in children and adolescents

Thirty years have elapsed since the first HSCT was performed for patients with TM, and allogeneic transplantation in TM is now accepted as standard clinical practice.¹⁰ In the 1980s and early 1990s, more than 1000 TM patients were transplanted at the transplant center in Pesaro, Italy. They reported a 20-year probability of thalassemia-free survival of 73% in 900 consecutive unselected patients transplanted from an HLA-identical sibling donor.¹¹ Subsequently, several centers worldwide have started their own transplant programs.¹²⁻²⁹ Recent results show that, with modern transplantation approaches, and careful patient selection, even better results could be obtained (Table 2). At the same time, however, survival without transplantation of TM patients has improved as the result both of a better understanding of the pathophysiology of iron overload and improvements in the medical therapy of TM; survival into the fourth or fifth decade of life is now possible for well-treated patients.³⁰ Moreover, the first successful use of the long-awaited gene therapy approach has recently been reported, and the possibility that in a not so remote future gene therapy will become available for TM patients must also be included in the evaluation.³¹ However, the availability of optimal conventional medical therapy and the prospect of gene therapy are both limited to industrialized countries with longstanding experience where only a minority of TM patients live. Thus, at present, transplantation remains the only available curative approach for TM, but its role needs to be carefully evaluated, particularly in a global setting.

Table 1. Major differences between thalassemia major (TM) and sickle cell disease (SCD) on HSCT perspective.

	Thalassemia	Sickle cell disease
Prognostic criteria for disease severity	Homogenous pattern for β thalassemia major	Wide genetic variability; inconsistent development of complications
Currently accepted indication for allogeneic HSCT	Transfusion dependency. For patients with an HLA identical sibling donor or well-matched related or unrelated donor: as soon as possible to avoid transfusion associated complications	Patient with matched sibling donor and complication requiring treatment with hydroxurea or transfusion
Total number of HSCT reported	> 3000 patients transplanted	500-600 patients transplanted
Risk factors for transplant-related complications	Age, organ dysfunction due to iron overload	Age, history of cerebral events
Alternative effective medical therapy	Life-long transfusion with chelation	Hydroxyurea: not curative, but ameliorates some complications. Chronic transfusion and chelation therapy.
Key issue for transplant outcome	Control of iron overload and related tissue damage	Cure from chronic inflammation and prevention of future SCD-related organ damage
Conditioning regimen	Needs to ablate an expanded bone marrow	Reduced intensity regimens seem to induce stable chimerism and full donor erythropoiesis
Possibility for gene therapy	First successful case reported. Phase I clinical trial ready to start	No successful case reported. Phase I clinical trial ready to start

Table 2. Recent reports on matched sibling donor HSCT in children and adults with thalassaemia major.

Author and Reference	N. of patients	Patient cohort/ Pesaro risk category	Overall survival	Thalassemia free survival	Treatment related mortality	Comments
Galambun <i>et al.</i> ¹²	108	Children all categories of risk	15 years 86.8%	15 years 69.4%	15 years 12%	96 sibling donor Regimen: Bu-Cy ±ATG
Yesilipek <i>et al.</i> ¹³	245	Children: Low: 41 Intermediate: 130 High: 63	1 year 85%	1 year 68%	1 year 7.75%	88 BM, 137 PB, 20 CB Regimen: Bu-Cy
Li <i>et al.</i> ¹⁴	82	Children all risk categories	3 years 91%	3 years 87%	3 years 8%	52 MUD, 30 sibling Regimen Bu-Cy-Thiotepa, Fludarabine.
Choudhary <i>et al.</i> ¹⁵	28	Children: Intermediate risk: 7 High risk: 21	78.5%	71.4%	21.4%	Regimen: Treosulfan- Thiotepa-Fludarabine.
Bernardo <i>et al.</i> ¹⁶	60	Low: 27 Intermediate: 17, High: 4 Adults: 12	5 years 93%	5 years 84%	7%	20 sibling donor, 40 MUD. Regimen Treosulfan - Thiotepa - Fludarabine
Sabloff <i>et al.</i> ¹⁷	179	Low: 2% Intermediate: 42% High: 36%	5 years: Intermediate risk: 91% High risk: 64%	5 years Intermediate risk: 88% High risk: 62%	Intermediate risk: 5/75 High risk 23/64	Bu-Cy + ATG in 77, Bu-Cy in 102
Ghavamzadeh <i>et al.</i> ¹⁸	183	Children Low and intermediate	2 years PBSCs 83% BM 89%	2 years PBSCs 76% BM 76%	1 year PBSC 14% BM: 9%	87 PBSC , 96 BM Regimen: Bu-Cy
Iravani <i>et al.</i> ¹⁹	52	Children high risk: 52	4.1 years 80%	4.1 years 65%	4.1 years 7/52	32 BM, 20 PBSC Regimen: Bu-Cy
Irfan <i>et al.</i> ²⁰	56	Children Low: 20 Intermediate : 20 High: 16	5 years BM: 73% PBSCs: 65%	5 years BM: 67% PBSCs: 55%	100 days: 10/56	29 BM, 27 PBSCs Lower risks: Bu-Cy High risk: Hydroxyurea- Azathioprine-Fludarabine- Bu-Cy
Locatelli <i>et al.</i> ²¹	259	Median age 8 years (range 1-24) Low: 86 Intermediate: 122 High: 51	6 years 95%	6 years 86%	4%	Multicentric retrospective registry study. Regimens: Bu-Cy, Bu-Cy_Fludarabine, Bu-Cy- Thiotepa ±ATG.
Ullah <i>et al.</i> ²²	48	Low: 31 Intermediate: 11 High: 6	6 years 79%	6 years 75%	20.8%	Regimen: Bu-Cy
Di Bartolomeo <i>et al.</i> ²³	115	All categories	20 years 89.2%	20 years 85.7%	1 year 8.7%	20 years Pescara experience. Regimen: Bu-Cy
Gaziev <i>et al.</i> ²⁴	107	High risk	12 years 66%	12 years 62%	37%	Regimen: Bu-Cy or Hydroxyurea-Azathioprine- Fludarabine-Bu-Cy
Lawson <i>et al.</i> ²⁵	55	Low: 17 Intermediate: 27 High: 11	8 years 94.5%	8 years 81.8%	5.4%	Regimen: Bu-Cy±Campath or Fludarabine
Gaziev <i>et al.</i> ²⁶	68	6 low risk 23 intermediate risk 39 high risk	3 years 91%	3 years 87%	100 days 3%	Intravenous Busulfan based regimen
Chiesa <i>et al.</i> ²⁷	53	high risk children	2 years 96%	2 years 88%	2 years 4%	Intravenous Busulfan dose-adjustment policy.
Hussein <i>et al.</i> ²⁸	44	Low risk: 7 Intermediate risk: 24 High risk: 13	5 years 97.8%	5 years 86.4%	1 patient died	High risk patients received reduced intensity conditioning and had higher thalassemia recurrence rate (23%)
Mathews <i>et al.</i> ²⁹	50	High risk	3 years 86.6%	3 years 77.8%	13%	Treosulfan based conditioning

OS: overall survival; TFS: thalassemia free survival; TRM: transplant related survival; NR: not reported; Bu: busulfan; Cy: cyclophosphamide; ATG: antithymocyte globulin; BM: bone marrow; PBSC: peripheral blood stem cells; CB: cord blood.

The Pesaro experience has clearly indicated that patient status at time of transplantation is the critical element predicting outcome. This was formalized with the identification and the adoption in the clinical practice of three risk factors and three risk classes.³² In the last decade, almost all transplant centers have followed this simple classification to predict the risks and benefits of HSCT in TM patients and perform HSCT in the first years of life before iron-related complications have developed.

In addition to this, transplantation techniques have improved and transplantation-related mortality (TRM) has fallen to 5% or even lower in young low-risk children transplanted from an HLA-matched sibling donor (MSD) (Table 2). In a large EBMT survey of 1061 cases of MSD-transplantation performed in the last decade, overall survival (OS) and disease-free survival (DFS) were 91±0.01 and 83±0.01 months, respectively. Moreover, in this report there was a significant age threshold of 14 years for optimal results (96% vs. 82% and 86% vs. 74% for OS and DFS, respectively).³³

The use of peripheral blood stem cell transplantation (PBSCT) from MSD instead of bone marrow (BM) has been proposed to prevent graft failure in TM. Four studies report this type of transplant in TM. Overall, 886 patients receiving PBSCT have been described with substantially the same conclusions: the procedure is feasible in high-risk patients, with one study showing some advantages of PBSCT, but three studies showed an increased risk of chronic graft-versus-host disease (GvHD).¹⁸⁻²⁰ Recently, the fourth study reported from a Chinese group demonstrated no difference in terms of rate of acute and chronic GvHD using a novel conditioning regimen.¹⁴

In 2003, Locatelli *et al.* first reported the feasibility of using HLA-identical sibling cord blood (CB) for HSCT in TM.³⁴ This kind of allograft was associated with a decreased risk of both acute GvHD (aGvHD) and chronic GvHD (cGvHD) and of TRM, provided the CB unit had an adequate number of nucleated cells (i.e. > 3.5×10⁷/kg). A large, international study retrospectively comparing CB to BM recipients has recently been completed. Analyses showed that OS, DFS, acute and chronic GvHD were 95%, 88%, 20%, and 12%, respectively for BM recipients (n=389), and 96%, 81%, 10%, and 5%, respectively for CB recipients (n=70). In this cohort, the cell dose (median 3.9×10⁷/kg) did not influence outcome of patients given cord blood.²¹

Recommendations

- Young TM patients with an available HLA identical sibling should be offered HSCT as soon as possible before development of iron overload and iron-related tissue damage.
- Transplant-related risk factors should be evaluated according to the Pesaro risk score.
- HLA genotypical CB and BM are equally effective stem cell sources.
- PBSCT should be avoided because of the increased risk of cGVHD.

HSCT in adult patients

Experience of HSCT in adult patients remains very limited, with very few centers performing HSCT in patients over the age of 18 years, and with TRM being persistently around 25%.²⁴

The Pesaro experience demonstrated that constant control of iron overload is the main factor determining trans-

plant outcome.³⁵ As medical therapy of TM has improved substantially over the last years, and, therefore, nowadays adult patients are in a much better condition compared to those who underwent HSCT in the past, outcome after HSCT should also improve.^{36,37}

Recommendations

- HSCT in adults who have been well-chelated since infancy should be offered within controlled trials.
- Assessment of clinical condition according to the Pesaro risk score and adequate transfusions/chelation regimen are the major issues to be evaluated before deciding to perform HSCT.

Use of donors other than an HLA matched sibling

On average, 25-30% of patients have an available MSD. However, in China, because of the 'one-child' policy, the possibility of having an MSD will remain unchanged. In contrast, in countries with large families, the likelihood of finding an MSD could be as high as 60-70%.³⁸

So far, the experience of HSCT from HLA-disparate relatives is limited and the results are much inferior to those obtained with an HLA-identical sibling as donor.³⁹ Recently, important results have been published from a small series using related donors who were not MSD (11 pheno-identical and 5 1-antigen mismatched related donors) using a pre-conditioning phase with hydroxyurea, azathioprine and fludarabine and a conditioning regimen including busulfan, thiotepa, cyclophosphamide and rabbit ATG. In this series, TM-free survival was 94%.⁴⁰ The option of a haploidentical related donor has been explored in a limited series (n=22) of heterogeneous TM patients using a haploidentical related donor and a "megadose" of positively-selected CD34⁺ cells.⁴¹

Recommendations

- HSCT from an HLA-mismatched family member in TM should still be considered an experimental approach and has to be conducted in the context of well-designed controlled trials.
- HLA typing of the entire family is advisable. HSCT from an HLA-phenotypically-identical donor is an option to be performed in expert centers.

Matched unrelated donors

A number of studies have shown that unrelated-donor (UD)-HSCT can cure a large proportion of patients with TM, provided that the UD is selected using high-resolution molecular typing for both HLA class I and II molecules and according to stringent criteria of compatibility with the recipient (i.e. identity or single allelic disparity for the loci for HLA-A, B, C, DRB1, and DQB1 loci).⁴² Using this approach, a suitable donor can be found in approximately one-third of Caucasian patients with TM; a higher probability could be expected in China because of the relatively genetic homogeneity of the Chinese population.¹⁴ In addition, the risk of rejection can be reduced by selecting UD who do not have non-permissive mismatches at HLA-DPB1 locus in the host-versus-graft direction.⁴³

Recommendations

- If a well-matched UD is available, allogeneic HSCT is a suitable option for a child with life-long control of iron overload and absence of iron-related tissue complications.
- The UD must be selected using high-resolution molecular typing for both HLA class I and II loci, and according to stringent criteria of compatibility with the recipient.

Unrelated cord blood transplantation

The use of unrelated cord blood transplantation (UCB) as source of stem cells in TM has not been explored in well-designed clinical trials. Evaluation of reported results is hampered by differences in single center experience, conditioning regimens and accepted degree of HLA disparity. Recently, Jaing *et al.* reported results of UCBT in 35 TM patients. OS was found to be 88%, while DFS was 74%. The cumulative incidence of TRM was 11%. These remarkably good results are likely attributable to the high number of total nucleated cells/kg infused ($7.8 \times 10^7/\text{kg}$).⁴⁴ Combining data from 3 different registries, Ruggeri *et al.* found the outcome of UCBT in TM to be much less favorable; in 35 TM patients, an OS of 62% with a DFS of only 21% was reported. The cumulative incidence of graft failure was 52%.⁴⁵

Recommendations

- Unrelated cord blood transplantation must be performed in the context of well-controlled clinical trials in centers with specific UCBT programs.

Transplant management

Conditioning regimen

The biological aspects of allogeneic HSCT in TM are different from those for hematologic malignancies. There is no necessity to eradicate a malignant clone and the graft-versus-tumor effect is not required.¹¹ Moreover, TM patients have not received previous chemotherapy and their immunological system is not impaired. TM patients have a hypercellular and expanded marrow.⁴⁶ Additionally, in adolescent or adults undergoing HSCT, sensitization to red blood cell antigens may have occurred, possibly together with development of anti-HLA antibodies.⁴⁷ In these circumstances, the ideal conditioning regimen should be capable of eradicating the diseased marrow and be sufficiently immunosuppressive to permit a sustained engraftment. For many years, the preferred regimen included oral busulfan (Bu) at 14 mg/kg and cyclophosphamide (Cy) at 120-200 mg/kg. The addition of azathioprine, hydroxyurea and fludarabine to the BuCy regimen made an important contribution to improving the results in high-risk patients.⁴⁸ In the last decade, new conditioning regimens for TM patients have been introduced with improved results, such as intravenous Bu, or treosulfan associated with thiotepa and fludarabine.^{14,16} Particularly fludarabine has been included in the conditioning regimen by several groups in the last decade with low TRM and reduced rejection risk (Table 2).

To overcome the non-negligible TRM and morbidity, especially in high-risk or adult patients, reduced intensity conditioning (RIC) regimens have been tested.^{49,50} Although transplant-related toxicity was minimal, many patients showed only transient and incomplete engraftment, and most ultimately developed graft rejection, thus indicating that TM patients need a more intensive, myeloablative conditioning regimen (MAC).^{29,51} However, to avoid the well-known late effects of radiotherapy on the growing organism, and in particular the risk of secondary malignancies, irradiation should not be an option for non-malignant disorders.⁵²

A newer experience has shown that approximately 11% of transplanted patients develop long-term, stable mixed chimerism (MC) after HSCT.⁵⁵ This percentage is higher in patients given CB transplantation from an HLA-

identical relative.⁵⁴ MC patients, despite the limited engraftment (even if no higher than 20%), may achieve a functioning graft status characterized by a normal hemoglobin level, no red blood cell transfusion requirement, no increment in iron stores, and a limited degree of erythroid hyperplasia which is not of any clinical relevance.⁵⁵ Thus the disease can be adequately controlled without complete eradication of the pathological hematopoiesis. Another tool to prevent graft failure could be the systematic use of antithymocyte globulin (ATG) in addition to an MAC.¹²

Recommendations

- MAC without irradiation should always be used for standard transplantation. In case of BU-containing regimen, intravenous formulation should be used.
- Reduced toxicity regimens are under investigation and are to be used in the context of clinical trials.
- Any prospective attempt to induce stable MC should be considered experimental.

Graft-versus-host disease prophylaxis

The preferred GvHD prophylaxis in the majority of published studies of HSCT from matched sibling donors consisted of cyclosporine and methotrexate (4 doses intravenous (IV) on Days +1, +3, +6 and +11 post transplantation).⁴⁸ The addition of ATG to this regimen was successfully used both in HLA-identical sibling HSCT recipients and, especially, in those transplanted from an unrelated volunteer or an HLA-partially matched relative.^{56,57}

Recommendations

- The combination of cyclosporine and methotrexate represents the gold standard for GvHD prophylaxis for HSCT from MSD.
- Mono- or polyclonal antibodies like ATG or alemtuzumab could contribute to better prevent rejection and GVHD in the context of MSD HSCT and should be explored in prospective trials.
- These antibodies should be routinely used for GVHD prevention in non-sibling HSCT.

Sickle cell disease

Sickle cell disease (SCD) is associated with substantial morbidity, leading to both reduced quality of life and shortened life expectancy.⁵⁸⁻⁶³ Survival has improved significantly in the last two decades and 94% of children with SCD now survive until the age of 18 years thanks to better surveillance, pneumococcus vaccination, penicillin prophylaxis and treatment with hydroxyurea.^{64,65} However, mortality is still significant once patients reach adulthood.^{61,66}

SCD-associated morbidity and mortality in young adults is largely due to as yet unpreventable complications such as priapism, avascular necrosis, chronic pulmonary impairment, hypertension, stroke and recurrent veno-occlusive crises.^{58,67-70}

The only curative approach for SCD is HSCT. Historically, the indication for HSCT in SCD was mainly based on SCD-associated morbidity: the sicker the child, the stronger the indication.⁷¹ With the reduction in TRM in recent years, and with the increasing knowledge of the severity of complications in untreated patients, the accepted indications for HSCT have become less restrictive.^{72,73}

Matched sibling transplantation

In the last ten years, the outcome data of more than 200 patients who underwent HSCT from an HLA-matched sibling donor have been analyzed and published. OS was found to be around 95%.⁷²⁻⁷⁵ Bernaudin *et al.* reported 121 patients transplanted in France after 2000 with a DFS of 95% at three years.⁷⁶ Taking into account that: 1) overall survival has become equal or even better in patients who undergo HSCT compared to those on supportive treatment, and disease-free survival is over 95%; 2) disease-related morbidity and mortality are increasing with age, and event-free survival following HSCT is significantly better in patients transplanted before developing SCD-related organ damage; and 3) in children, TRM increases with age, the use of early HSCT is justified in children with any SCD-associated symptoms or event.^{5,64,77} Young adults who experience more severe disease as they get older also benefit from HSCT.⁷⁸ However, severe chronic GvHD has been described following HSCT in heavily-transfused end-stage adult SCD patients.⁷⁹

The main obstacle for HSCT in patients with SCD is the restricted donor availability. Overall, only 18% of patients with SCD have a healthy matched sibling donor, and the probability of finding a matched unrelated donor is extremely low.⁸⁰

It has been shown that the incidence of chronic GvHD following matched sibling HSCT for non-malignant diseases is significantly higher in patients receiving PBSC as compared to BM.^{81,82} This justifies the recommendation that BM be employed for transplanting SCD patients. Very promising results with a low rejection rate and a low risk of GvHD in patients with SCD transplanted with related UCB have been published by Locatelli *et al.*³⁴

Recommendations

- Young patients with symptomatic SCD who have an HLA-matched sibling donor should be transplanted as early as possible, preferably at pre-school age.
- Unmanipulated BM or UCB (whenever available) from matched sibling donors are the recommended stem cell source.

Alternative donor transplantation

Even if results of matched unrelated HSCT can be expected to be comparable to those obtained in TM, due to the lack of matched donors in the registries there are no firm data on outcome of HSCT from UD for SCD, and, therefore, the advantages and disadvantages of this option cannot be adequately addressed.

For many patients, however, a 4/6 or higher HLA-

matched unrelated CB unit can be found. In France, a large effort is being undertaken to set up a programme of 'tailored' CB banking in SCD-affected families. The CB units not HLA compatible with the affected sibling are cryopreserved so that to establish representation of the ethnic minority (patients of African descent) in the French CB banks (*M Cavazzana, personal communication, 2014*). So far, a total of 32 patients with SCD transplanted with an UCB unit have been published, 18 of them following an RIC regimen, with an overall survival of 91%, but a disease-free survival of only 50% because of rejection.^{7,45,83,84} The Sickle Cell Unrelated Donor Transplant Trial (SCURT trial) of the Blood and Marrow Transplant Clinical Trials Network (BMT CTN) was a phase II study on the toxicity and efficacy of UCB or BMT in children with severe SCD using RIC. Eight children with severe SCD underwent unrelated donor CB-transplantation following alemtuzumab, fludarabine, and melphalan. Three patients who engrafted had 100% donor cells by Day 100 which was sustained, while 5 patients had autologous hematopoietic recovery. Based upon this high incidence of graft rejection, enrolment into the CB arm of the SCURT trial was suspended. However, because this RIC has demonstrated a favorable safety profile, the trial remains open to enrolment for unrelated BMT.⁷ Another concern to be considered is the high incidence of chronic GvHD in the context of unrelated CBT.⁸⁵

Recently, promising results from haploidentical HSCT following an RIC regimen have been published.⁸⁶ However, for both unrelated CB and haploidentical HSCT, rejection remains a major obstacle in the context of RIC.

Recommendations

- SCT from unrelated BM or CB donors should only be considered in the presence of at least one of the indications suggested by Walters *et al.*,⁷¹ and should be performed only in the context of controlled trials in experienced centers (Table 3).

Transplant management

Conditioning regimen

The most widely used myeloablative conditioning regimen for patients with SCD consists of busulfan 14-16 mg/kg and cyclophosphamide 200 mg/kg +/- ATG.^{34,73-75} Growth does not seem to be impaired following myeloablative conditioning as long as it is not performed near or during the adolescent growth spurt. However, the risks of infertility and secondary neoplasm are a major concern.^{34,74,87} Cryopreservation of sperm and ovarian tissue, respectively, has been proposed.^{88,89} HSCT following an

Table 3. Indication for allogeneic HSCT suggested by Walters *et al.*

Stroke or central nervous system event lasting longer than 24 h, acute chest syndrome with recurrent hospitalizations or previous exchange transfusions
Recurrent vaso-occlusive pain (more than 2 episodes per year over several years) or recurrent priapism
Impaired neuropsychological function with abnormal cerebral MRI scan
Stage I or II sickle lung disease
Sickle nephropathy (moderate or severe proteinuria or a glomerular filtration rate 30 to 50% of the predicted normal value)
Bilateral proliferative retinopathy with major visual impairment in at least one eye
Osteonecrosis of multiple joints
Red-cell alloimmunization during long-term transfusion therapy

RIC regimen is currently being evaluated in several centers. Small patient series using mainly fludarabine-based regimens have shown promising results with an OS of 100% and a DFS of 95%.^{78,90,91} The use of either alemtuzumab (Campath-1H) or ATG in the context of RIC seems to be indispensable for stable engraftment.⁹² In Austria, a cohort of 8 patients underwent matched sibling HSCT following conditioning with fludarabine, melphalan, thiotepa and ATG or Campath-1H with a disease-free survival of 100% and stable engraftment.⁹³

Recommendations

- The gold standard for conditioning in patients with SCD is busulfan, cyclophosphamide and ATG.
- RIC regimen should be explored in and confirmed by prospective trials.

Graft-versus-host disease prophylaxis

A survey on patient perception of HSCT with RIC performed by Chakrabarti *et al.* showed that chronic GvHD was considered unacceptable for 80% of patients with SCD.⁹⁴ With the use of ATG, cyclosporine A and MTX an incidence of over grade II aGvHD between 11% and 44% and an incidence of cGvHD between 6% and 44% has been reported.^{34,72,74} Two small series have been published on the combination of RIC and alemtuzumab and no aGvHD or cGvHD was reported.^{78,90} The use of alem-

tuzumab in the context of RIC seems, in effect, to be associated with a lower incidence of acute and chronic GvHD, but at the price of an increased incidence of viral complications.⁹⁵

Recommendations

- The use of ATG and post-transplantation cyclosporine A plus MTX is the gold standard for patients with SCD following myeloablative conditioning.

Follow-up evaluation and chimerism testing for TM and SCD

A standardized pre-transplantation assessment based on existing standards for TM and SCD care, and individualized re-assessment post transplantation, are a prerequisite for objective evaluation of the impact of HSCT in terms of improvement or reversal of pre-transplantation morbidity.

All TM and SCD-specific conditions must be addressed and treated if necessary (e.g. iron overload in TM and vascular impairment in SCD). As demonstrated, persistent MC does not impact DFS and OS either in TM or in SCD; however, chimerism should be regularly checked in both diseases after HSCT.^{34,75,78,90}

Recommendations

- Post-transplantation evaluation and care should be undertaken in cooperation with hematologists experienced in TM or SCD.

Table 4. Recommendation summary for HSCT in TM.

Indication	Transfusion dependency
Time of transplantation:	With HLA-identical sibling: as soon as possible
Stem cell source from MSD:	Bone marrow, cord blood
HSCT in adult TM patients:	If sufficient chelation was performed; within controlled clinical trials only
HSCT from HLA-mismatched family members:	Within controlled clinical trials only
HSCT from phenotypically identical family members:	In TM-experienced HSCT-centers only
HSCT from unrelated donors	Only from allelic matched donors; in patients without iron-related tissue damage
HSCT with unrelated cord blood	Within controlled clinical trials only, in expert centers for CBT
Conditioning regimen:	Standard: myeloablative without irradiation Reduced: within controlled clinical trials only
GVHD-prophylaxis for MSD-HSCT	Standard: CSA + MTX; antibodies (ATG, alemtuzumab) as rejection- and GVHD-prophylaxis: within controlled clinical trials
GVHD-prophylaxis for non MSD-HSCT:	CSA, MTX + antibodies

MSD: HLA-identical sibling donor; HSCT: hematopoietic stem cell transplantation; CBT: cord blood transplantation; GVHD: graft-versus-host disease; CSA: cyclosporine A; MTX: methotrexate; ATG: anti-thymocyte globulin.

Table 5. Recommendation summary for HSCT in SCD.

Indication for MSD-HSCT	Symptomatic SCD
Time of transplantation:	With HLA-identical sibling: as soon as possible
Stem cell source from MSD:	Bone marrow, cord blood
Indication for HSCT from unrelated BM or CB donors:	At least one criteria according Walters <i>et al.</i> (Table 3) Within controlled clinical trials only
Conditioning regimen:	Standard: IV Busulfan, cyclophosphamide, ATG Reduced: within controlled clinical trials only
GvHD-prophylaxis for MSD-HSCT	Standard: CSA + MTX; antibodies (ATG, alemtuzumab) as rejection- and GvHD-prophylaxis
Post-transplant evaluation:	In cooperation of hematologists and transplant experts

MSD: HLA-identical sibling donor; HSCT: hematopoietic stem cell transplantation; GvHD: graft-versus-host disease; CSA: cyclosporine A; MTX: methotrexate; ATG: anti thymo globuline.

Cost and cost effectiveness for TM and SCD patients

The care of TM, both by transplantation and medical therapy, has dramatically improved during recent decades. However, this improvement has been limited to patients living in industrialized countries, while the large majority of patients are born and live in other, non-industrialized countries.⁹⁶ TM care is a complex, multidisciplinary and expensive tool requiring dedicated and experienced units. From a global health perspective, in some countries, TM represents an enormous burden of care. For example, in Cyprus it was calculated in the 1970s that in 40 years 80,000 units of blood would be needed annually to transfuse the country's TM patients and the overall cost would exceed the total national health budget.⁹⁷ An Italian study investigated the cost/benefit estimation from a societal perspective and quantified tariffs, expenses and net income in 2006 for TM patients. The mean costs were € 1242/patient/month, 55.5% attributed to iron chelation therapy and 33.2% attributable to transfusions.⁹⁸ Moreover, proper medical therapy for TM requires advanced technologies such as cardiac or hepatic MRI.^{30,99} With the combined cost of blood transfusions, chelation and management of complications, the requirements for optimal thalassemia care clearly exceed the health resources available in most non-industrialized countries.

For SCD, it has been calculated that 50,000 patients in the USA need more than 100,000 hospital admissions per year with associated costs of approximately US\$ 10,000 US/SCD patient/year.¹⁰⁰ Kauf *et al.* calculated that the average lifetime cost of care was US\$460,151/SCD patient. These data compare to the costs of HSCT from sibling donors for non-malignant diseases of US\$ 112,000-150,000 US, which would translate into US\$ 1900 US/expected life year in case of HSCT in infancy.¹⁰¹ In conclusion, for both TM and SCD, HSCT is cost-effective in comparison to life-long supportive therapy.

Conclusion

Hematopoietic stem cell transplantation currently remains the only available curative treatment for hemoglobinopathies. In contrast with some recommendations, our group strongly suggests early transplantation for TM and SCD if a suitable donor is available and if the patient can be treated in an experienced transplantation center. The development of improved supportive care, including transfusion services, chelation therapy and prevention of infectious complications, does not modify this position. However, much more uncertainty applies to the complex challenge of where to place the curative, but potentially lethal, HSCT treatment as an alternative to a medical, non-curative therapy in adults and patients with advanced disease. Transplantation outcomes today are much improved compared with the 1980s and 1990s, with more than 90% of patients surviving transplantation and more than 80% of them being disease-free after having been treated in a number of different centers worldwide, including centers outside industrialized countries. If in the future gene therapy could provide a cure, it needs to demonstrate at least equivalent results in terms of cost/benefit ratio with HSCT, which is today a widely applied, standard practice for the cure of hemoglobinopathies.

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