

# Spiral arms in the protoplanetary disc HD100453 detected with ALMA: evidence for binary–disc interaction and a vertical temperature gradient

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## ABSTRACT

Scattered light high-resolution imaging of the protoplanetary disc orbiting HD100453 shows two symmetric spiral arms, possibly launched by an external stellar companion. In this paper, we present new, sensitive high-resolution ( $\sim 30$  mas) Band 7 ALMA observations of this source. This is the first source where we find counterparts in the sub-mm continuum to both scattered light spirals. The CO  $J = 3-2$  emission line also shows two spiral arms; in this case, they can be traced over a more extended radial range, indicating that the southern spiral arm connects to the companion position. This is clear evidence that the companion is responsible for launching the spirals. The pitch angle of the submillimetre continuum spirals ( $\sim 6^\circ$ ) is lower than the one in scattered light ( $\sim 16^\circ$ ). We show that hydrodynamical simulations of binary–disc interaction can account for the difference in pitch angle only if one takes into account that the mid-plane is colder than the upper layers of the disc, as expected for the case of externally irradiated discs.

**Key words:** accretion, accretion discs – hydrodynamics – protoplanetary discs – circumstellar matter – submillimetre: planetary systems.

## 1 INTRODUCTION

Thanks to new, high-resolution instruments (e.g. SPHERE/VLT, GPI/Gemini, ALMA), we can now study protoplanetary discs at unprecedented detail. The recent findings of these telescopes show that, when discs are imaged at high spatial resolutions of a few astronomical units (au), they all show conspicuous substructure, such as rings (ALMA Partnership et al. 2015; Clarke et al. 2018; Huang et al. 2018b; Long et al. 2018), crescents (Casassus et al. 2013; van der Marel et al. 2013), and spirals (e.g. Garufi et al. 2013; Christiaens et al. 2014; Benisty et al. 2015, 2017; Pérez et al. 2016; Stolker et al. 2016a; Huang et al. 2018c). In this paper, we focus on the latter. The well-studied observed spirals (e.g. MWC758, HD135344B, and HD100453) have similar morphologies: two symmetric arms, shifted in azimuth by approximately  $180^\circ$ , at distances of tens of au from the star. In most cases, there is also a gap/cavity inwards of the spirals.

It is tempting to interpret the observed spiral arms as due to the presence of young planets lurking in these discs: through their gravitational influence, planets perturb their natal discs (Goldreich & Tremaine 1979) and excite spiral arms in discs. If the planet interpretation is correct, the spiral arms could be used as planet signposts, allowing us to study the young exoplanetary population. However, often the spiral morphology as predicted by models corresponds to a single spiral arm (Ogilvie & Lubow 2002), in contrast to most observations that show two symmetric arms. This led Juhász et al. (2015) to argue, using numerical simulations of planets orbiting *inwards* of the spirals, that the observed spirals cannot be produced by planets. More recently, Dong et al. (2015) and Zhu et al. (2015) highlighted that the spiral morphology is in fact compatible with the presence of planets, provided that they are massive and orbiting *outwards* of the spirals (i.e. at greater distances from the star), as under these two conditions the number of spiral arms increases to two (see Bae & Zhu 2018, for a recent, more comprehensive survey of the parameter space).

However, the planet interpretation is not unique. A natural, alternative explanation is the self-gravity of the disc (e.g. Rice

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et al. 2003; Cossins, Lodato & Testi 2010); although this typically produces many spiral arms in the disc (Cossins, Lodato & Clarke 2009), with the exact number depending on the Toomre  $Q$  parameter (Toomre 1964), there are regions of the parameter space (namely for massive discs) where only two are produced (Hall et al. 2016). Self-gravity is, for example, a good explanation for the spirals observed in Elias 2-27 (Pérez et al. 2016), as shown by Meru et al. (2017); see also Hall et al. (2018) and Forgan, Ilee & Meru (2018). It is also possible that the finite telescope resolution detects only two spirals, even if more are present (Dipierro et al. 2014). Moreover, even if the disc is only close to the self-gravitating regime, the presence of a planet might tip the balance and trigger self-gravitating spiral arms (Pohl et al. 2015). While most discs would require uncomfortably high masses to explain the spiral morphology by self-gravity, this is nevertheless a possibility that cannot be excluded. There are also other alternative explanations for spiral arms, such as, for example, the finite light traveltime from the star (Kama, Pinilla & Heays 2016) or the presence of shadows (Montesinos et al. 2016).

Initially, all the known spiral arms had been observed only in scattered light. While an intriguing finding, scattered light observations only trace the surface layers, preventing us from confirming whether the spiral arms extend all the way to the mid-plane or if they are features only in the atmosphere of the disc. More recently [after the initial findings, e.g. Tang et al. (2012) and Christiaens et al. (2014), of spiral structures in molecular tracers coming from the upper layers], ALMA has observed spirals in protoplanetary discs in the continuum emission originating in the mid-plane, for example around the T Tauri stars Elias 2-27 (Pérez et al. 2016), IM Lup, and WaOph 6 (Huang et al. 2018c), around the massive star G17.64+0.16 (Maud et al. 2019), and around the intermediate-mass star MWC 758 (Boehler et al. 2018; Dong et al. 2018). The latter source is known to show prominent spiral arms in scattered light, in principle allowing a multiwavelength comparison of the spiral morphology; however, the comparison is not straightforward because the sub-mm image only shows one spiral arm, while the scattered light image shows two.

Juhász & Rosotti (2018) have shown that a multiwavelength comparison is particularly interesting because the two wavelengths trace different layers of the disc: the submillimetre continuum emission originates from the mid-plane while the scattered light probes the disc upper layers. The upper layers are generally hotter<sup>1</sup> in passively heated discs (e.g. Calvet et al. 1991), which leads (as expected theoretically and shown by hydrodynamic simulations) to higher pitch angles of the planetary spirals in scattered light compared to the sub-mm (see Lee & Gu 2015, for a general study of wave propagation in a thermally stratified disc). As well as a probe of the disc vertical thermal structure, this difference is also a test of the planetary hypothesis. While a quantitative study similar to Juhász & Rosotti (2018) has not been performed for spirals produced by gravitational instability, in this case the disc mid-plane is heated by shocks that tend to erase or invert the temperature difference with the upper layers (e.g. Boss 2002). Therefore, in this case, no significant difference in pitch angle between observational tracers is expected.

In this context, HD100453 represents a unique system to study. Scattered light imaging has shown that the system presents two symmetric spiral arms (Wagner et al. 2015; Benisty et al. 2017). However, in contrast to all other discs with spirals, in this case the central star

has a known stellar companion. HD100453A has a spectral type A9V (Dominik et al. 2003) and a mass of  $1.5 M_{\odot} \pm 0.15$  (Fairlamb et al. 2015), while the companion is an M dwarf companion with a mass of  $\sim 0.2 M_{\odot}$ . The projected separation is 1.05 arcsec (Chen et al. 2006; Collins et al. 2009). The companion has been confirmed to be comoving, and more recently the orbital parameters of the binary have been constrained (Wagner et al. 2018) using direct imaging observations at different epochs. Hydrodynamical simulations support the hypothesis that the companion launches spirals with properties compatible with those observed (Dong et al. 2016). Recently, this picture has been challenged by the ALMA Band 6 observations of van der Plas et al. (2019), which show an extended disc in CO emission around the primary, extending almost up to the companion location, seemingly in contradiction with the standard picture of disc truncation by companions (Artymowicz & Lubow 1994). In addition, the data did not show a clear sub-mm counterpart to the scattered light spirals. Motivated by these findings, the authors proposed that the companion might be on an inclined orbit and not responsible for launching the spirals, arguing instead for the shadow origin (Montesinos et al. 2016).

Given these controversies, HD100453 is a unique laboratory to test models of spiral launching mechanisms and compare models of binary–disc interaction with observations. In this paper, we present new high-resolution ( $\sim 30$  mas) ALMA observations of the source, showing that the scattered light spirals have in fact clear sub-mm counterparts both in the continuum and gas CO emission. We thus confirm that the spirals are actual structures in the disc surface density and not only in the surface layers of the disc; because one of the two spiral arms detected in CO emission points to the location of the companion, we also confirm that the companion is responsible for launching the spirals. We then use these observations to test the hypothesis of Juhász & Rosotti (2018) that the spiral pitch angle should depend on the tracer.

The paper is structured as follows. We first present the observational results in Section 2. We then present the results of a simple geometrical model in Section 4 to prove that the different observed spiral pitch angles between ALMA and SPHERE do not result from a projection effect. We show in Section 5 that hydrodynamical simulations of planet–disc interaction can account for the observed difference in pitch angles. We discuss the limitations of our models, possible alternative scenarios, and the importance of our results in the context of planet–disc interaction and the substructure observed in other discs in Section 6. We finally draw our conclusions in Section 7.

## 2 OBSERVATIONS

HD100453 was observed with the Atacama Large Millimetre/submillimetre Array (ALMA) on the 2017 November 24 and 25 (Project ID 2017.1.01424.S; PI: A. Juhász). Our target was observed with 40 antennas with baselines ranging from 92 to 8547 m, and the total on-source integration time was 1 h 46 min. Formally, the maximum recoverable scale with this antenna configuration is 0.6 arcsec. Given that this is slightly smaller than the companion separation, in principle we might be missing information on the largest spatial scales. We will discuss this concern in the following sections. The correlator was set up to use four spectral windows in Band 7, centred on 345.795 99, 343.810 092, 331.809 74, and 333.809 798 GHz, respectively. The first spectral window, centred on 345.795 99 GHz, was set to Frequency Division Mode with a channel spacing of 488.281 kHz, corresponding to a  $0.84 \text{ km s}^{-1}$  velocity resolution after Hanning smoothing, to observe the CO  $J = 3-2$  line. The remaining three spectral windows were set to Time Division Mode to observe the continuum. All the four spectral windows had

<sup>1</sup>These constraints come from dust radiative transfer, while the spiral pitch angles depend on the gas temperature. A confirmation of different pitch angles in the two tracers is therefore also a confirmation of good thermal coupling between gas and dust.

a bandwidth of 1.875 GHz. To calibrate the visibilities, we used the ALMA pipeline and the Common Astronomy Software Applications (CASA, version 5.1.1; McMullin et al. 2007). Since self-calibration of both continuum and gas data did not significantly improve the images, we will base our analysis on the non-self-calibrated data.

The calibrated visibilities were imaged using the `clean` task in CASA. For what concerns the continuum, we used Briggs weighting with a robust parameter value of 0.5, achieving a resolution of  $0.036 \text{ arcsec} \times 0.031 \text{ arcsec}$  and a beam position angle of  $-38.36^\circ$ . The rms noise level in the continuum was  $22 \mu\text{Jy}$  per beam. While imaging the CO emission at this resolution still recovers the emission from the disc, a clear detection of the southern spiral up to the companion position (see later) requires to sacrifice some spatial resolution in exchange for surface brightness sensitivity. Therefore, in all the plots shown in this paper for the CO emission, we used a robust parameter of 2 (corresponding to natural weighting); in this case, the spatial resolution was  $0.054 \text{ arcsec} \times 0.052 \text{ arcsec}$  with a beam position angle of  $83^\circ$ . The rms noise level was  $0.95 \text{ mJy}$  per beam in a single channel.

### 3 RESULTS

#### 3.1 Continuum

The observed continuum image is presented in the left-hand panel of Fig. 1. We measure a total continuum flux in a circular aperture encompassing the extent of the ring of  $510 \text{ mJy}$ . The image shows a ring of emission between  $0.15$  and  $0.51 \text{ arcsec}$ , an inner cavity inwards of about  $0.15 \text{ arcsec}$ , and emission at the centre of the disc. This emission is likely unresolved (a Gaussian fitting gives an unconvolved size smaller than  $1/3$  of the beam), and it has a flux of  $\sim 1.6 \text{ mJy}$ . While the surface brightness distribution along the ring is not azimuthally symmetric, there is no obvious counterpart to the shadows observed in scattered light. The surface brightness peaks at a position angle of about  $40^\circ$ , while it shows a dip at position angles of around  $-10^\circ$  and  $170^\circ$ .

To further investigate the nature of the asymmetry, we applied a high-pass filter to the image, convolving it with an appropriate filter kernel. We chose an inverse Gaussian filter kernel, which we defined in the Fourier space as

$$K(\nu) = 1.0 - \exp\left(-\frac{\nu^2}{2\sigma_\nu^2}\right), \quad (1)$$

where  $\nu$  is the spatial frequency and  $\sigma$  is the width of the filter that we took to be  $0.2 \text{ arcsec}^{-1}$ . The filtered image is shown in the right-hand panel of Fig. 1. With the large-scale emission removed, the high-pass filtered image reveals two spiral arms. The S1 arm extends from  $\text{PA} = \sim 0^\circ$  to  $\sim 200^\circ$ , while the S2 arm extends from  $\text{PA} = \sim 160^\circ$  to  $\sim 360^\circ$ .

#### 3.2 CO $J = 3-2$

The left-hand panel of Fig. 2 presents the CO  $J = 3-2$  integrated intensity map, while the right-hand panel of Fig. 2 presents the peak intensity (8th moment) map. Both the maps show disc-like emission out to about  $0.33 \text{ arcsec}$  from the centre of the disc, without a visible hole or central depression; while there is a lack of emission at the centre of the disc in the peak brightness map, this is merely a consequence of the Keplerian shear and finite spatial resolution (see e.g. discussion in Huang et al. 2018a). Interestingly, the images also reveal two large-scale spirals, S3 and S4, extending from the outer edge of the disc at  $0.33 \text{ arcsec}$  to about  $0.6 \text{ arcsec}$  in radial distance

from the centre of the disc. The southern spiral points to the position of the companion, lending support to the hypothesis that the spirals are launched by the companion (Dong et al. 2016).

To better highlight the connection between the spirals in CO and the spirals in the continuum, in Fig. 3 we overlay the continuum image on top of the CO peak intensity map. The spirals in CO start approximately at the position where the spiral arms in the continuum end. The alignment of the spirals in CO and continuum tentatively suggests that S1 and S3 are two parts of the same spiral density wave, and so are S2 and S4.

In Fig. 4, we present the projected velocity (1st moment) map.<sup>2</sup> The map shows Keplerian rotation with the north-western part of the disc moving away from the observer, and the south-eastern part of the disc rotating towards the observer. Qualitatively, the Keplerian velocity pattern is retained along the spirals S3 and S4, confirming that these spiral arms are indeed part of the disc and bound to HD100453. As already noted by Wagner et al. (2018) and van der Plas et al. (2019), since the south side of the disc is blueshifted and the morphology of the scattered light emission shows that it is the near-side (see the discussion in Benisty et al. 2017), the disc rotates counterclockwise in the plane of the sky. Therefore, the spirals are trailing and compatible with the companion origin.

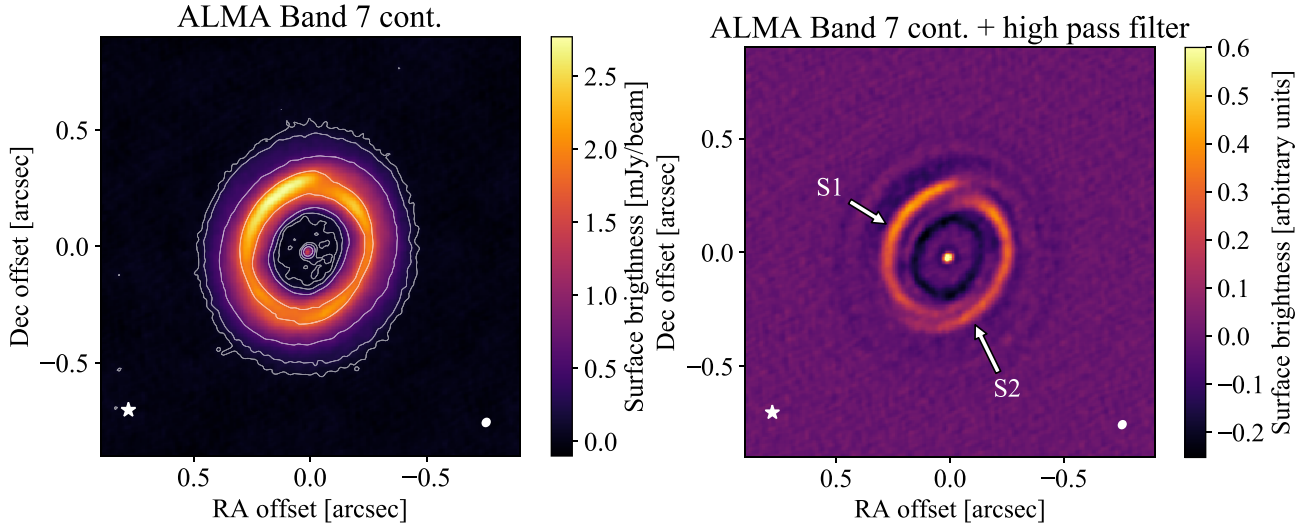
#### 3.3 Comparison with scattered light observations

Juhász & Rosotti (2018) suggested that the pitch angle of spiral density waves in protoplanetary discs with vertical thermal stratification depends on the vertical temperature profile. Therefore, the pitch angle of spirals in passively irradiated discs, with positive vertical temperature gradient, will be the lowest in the disc mid-plane and the highest in the disc atmosphere. To study this effect in HD100453, we present in Fig. 5 a comparison between the ALMA Band 7 continuum image, probing the disc mid-plane, and the SPHERE R' image from Benisty et al. (2017), probing the disc atmosphere. The images have been aligned assuming that the unresolved point source in the ALMA image traces the location of the star. The emission in the SPHERE image is slightly offset to the north-east compared to the ALMA image. This is because the emission in the ALMA image is tracing a planar surface, while the scattered light is originating in a conical surface above the disc mid-plane. At face value, the spirals in the near-infrared have a significantly higher pitch angle compared to the spirals in the submillimetre continuum; we will elaborate further on this difference in the next section.

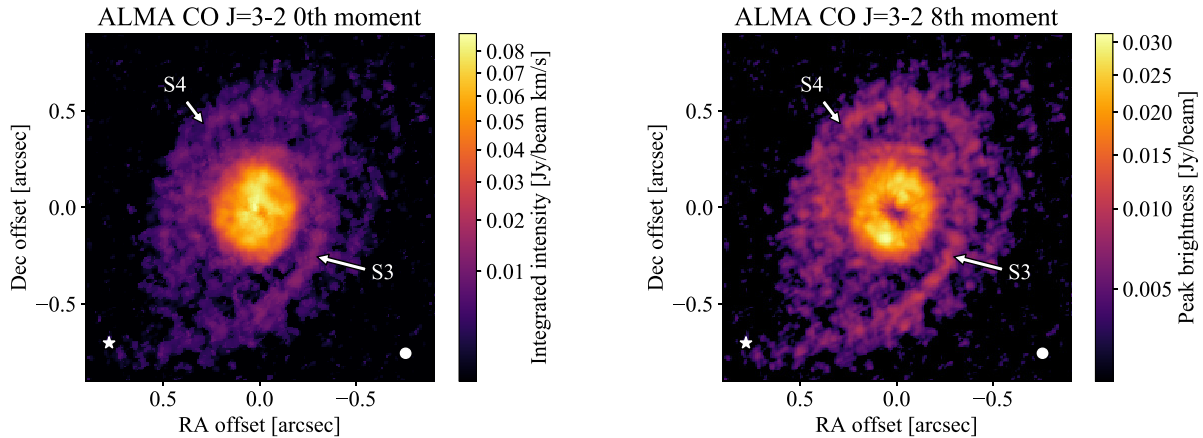
### 4 GEOMETRICAL MODELLING

As mentioned in Section 3.3, there is some offset between the ALMA and SPHERE images, because the emission is coming from different heights above the mid-plane. It is clear that any quantitative analysis needs to take these projection effects into account. It is particularly important to address the question of whether projection effects could account for a different *observed* spiral pitch angle, even if the *intrinsic* pitch angle is similar. To this end, in this section, we first use (Section 4.1) the CO velocity map to estimate the disc inclination and position angle. We then (Section 4.2) construct a simple geometrical model of the spirals to investigate the question mentioned above and then (Section 4.3) show the result of deprojecting the images in polar coordinates using the values of the disc inclination previously constrained.

<sup>2</sup>Computed using `bettermoments` (Teague & Foreman-Mackey 2018).



**Figure 1.** *Left:* ALMA Band 7 continuum image. The white ellipse in the bottom right corner shows the synthesized beam, while the white star marks the projected position of HD100453B. The contours show  $3\sigma$ ,  $9\sigma$ ,  $27\sigma$ , and  $81\sigma$  levels. *Right:* ALMA Band 7 continuum image after a high-pass filter has been applied in the image plane. Two spiral arms, marked S1 and S2 in the figure, are clearly visible in the filtered image.



**Figure 2.** *Left:* CO  $J = 3-2$  integrated intensity (0th moment) map. *Right:* CO  $J = 3-2$  peak intensity (8th moment) map. No gap or hole is detected in the gas, which extends inwards all the way to the innermost resolution element. Like in the continuum, two spirals, marked S3 and S4 in the figure, are very clearly visible in both the integrated intensity map and the peak intensity map, although at larger distances from the star (see also later Fig. 3). The southern spiral S3 points to the location of the companion.

#### 4.1 Disc inclination and position angle

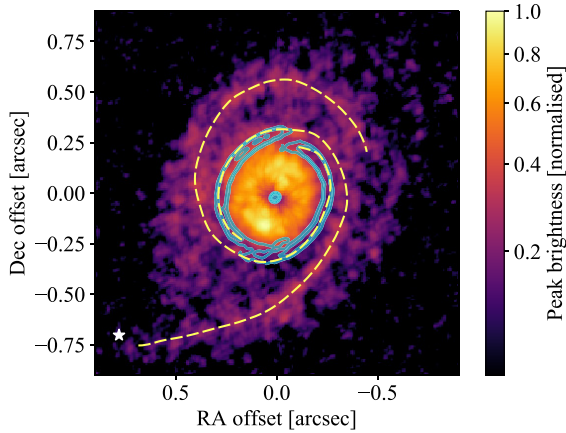
Previous studies (Wagner et al. 2015, 2018; Benisty et al. 2017; Long et al. 2017; van der Plas et al. 2019) found values of the disc inclination  $\sim 30^\circ$ – $40^\circ$ , but only Wagner et al. (2018) and van der Plas et al. (2019) derived these values from kinematical data rather than from the emission morphology. These two studies used data from the same project (although the former used only the low-resolution part of the data set) but reached slightly different conclusions, motivating the need to confirm the inclination value from our independent data set. In particular, van der Plas et al. (2019) reported the presence of a warp in the disc; they found that dividing the disc into two parts with different inclinations, with a separation radius of 38 au, provides a better fit to the data than a monolithic disc. They report a change of inclination between the two parts of the disc of  $5^\circ$ .

To study the disc inclination, we fitted the projected velocity map following the method in Teague et al. (2018) using the code

eddy.<sup>3</sup> We impose a Gaussian prior on the stellar mass of  $1.5 M_\odot \pm 0.15$  (Fairlamb et al. 2015), and we assume a distance of 103 pc (Gaia Collaboration 2016, 2018). The best-fitting value is  $35^\circ$  for the disc inclination and  $145^\circ$  for the position angle, which are in good agreement with previous investigations; the fit converges to a stellar mass of  $1.27 M_\odot$ . If we instead fix the stellar mass to  $1.5 M_\odot$ , the fit converges to a lower disc inclination of  $30^\circ$ . This shows that, without a precise knowledge of the stellar mass, it is not possible to constrain the disc inclination with a precision better than a few degrees.

Fig. 4 shows the residuals of the best-fitting model to the projected velocity map. The spiral arms S3 and S4 are still visible in the residuals, implying that either the motion along the spirals is not entirely Keplerian, or there is additional radial or vertical motions contributing to the line-of-sight velocity. Since the spirals dominate the residuals, a better description of the kinematical data would

<sup>3</sup><https://github.com/richteague/eddy>



**Figure 3.** Overlay of the ALMA B7 continuum image (blue contours) on the CO  $J = 3-2$  peak intensity (8th moment) map. The spirals in the continuum and in the CO seem to be well aligned (continuum S1 to CO S3 and continuum S2 to CO S4) as if they would be two parts of the same spirals. The yellow dashed lines are a visual guide to highlight this connection.

require including the spirals in the model, rather than employing azimuthally symmetrical models. For this reason, we do not attempt to fit the kinematics with models including a disc warp, as suggested by van der Plas et al. (2019). We also cannot exclude the presence of a warped inner disc at distances from the star smaller than our beam; such a disc has been invoked (Benisty et al. 2017; Min et al. 2017) to explain the shadows seen in the scattered light image (see Section 6.2).

The residual map shows further structures at small separations from the star, particularly in the north-west at  $\sim 0.2$  arcsec projected separation. Similar structures have been recently claimed (e.g. Casassus & Pérez 2019) to be evidence of planets embedded in discs. The quality of the current data, however, does not allow us to study further this hypothesis.

#### 4.2 Geometric toy model for the spiral arms

The purpose of this section is to establish whether projection effects, namely the fact that scattered light signal is coming from the upper layers of the disc (roughly a conical surface) rather than from the mid-plane, can cause a spurious difference in the spiral pitch angles between sub-mm and scattered light signal, as observed in the data. To investigate this possibility, we employ a simple geometric toy model, in which the 3D Cartesian coordinates of the spiral wake are given by

$$\begin{aligned} x &= r \cos[\phi(r)] \\ y &= r \sin[\phi(r)] \\ z &= z_p \left( \frac{r}{r_p} \right)^f, \end{aligned} \quad (2)$$

where  $r$  and  $\phi(r)$  are radial and azimuthal polar coordinates in the disc mid-plane, respectively,  $\phi(r)$  is given by the analytic wake equation

for spiral waves in the linear regime by Rafikov (2002):

$$\begin{aligned} \phi(r) &= \phi_p - \frac{\text{sgn}(r - r_p)}{h_p} \left( \frac{r}{r_p} \right)^{1+\beta} \\ &\times \left\{ \frac{1}{1+\beta} - \frac{1}{1-1.5+\beta} \left( \frac{r}{r_p} \right)^{-1.5} \right\} \\ &+ \frac{\text{sgn}(r - r_p)}{h_p} \left( \frac{1}{1+\beta} - \frac{1}{1-1.5+\beta} \right), \end{aligned} \quad (3)$$

$r_p$  is the position of the companion, and  $f$  is the flaring index. In equation (3),  $\beta = 0.5 - f$  is the power exponent of the radial distribution of the sound speed ( $c_s \propto r^{-\beta}$ ),  $h_p = H_p(r_p)/r_p$  is the aspect ratio of the disc at  $r_p$ , and  $\phi_p$  is the azimuthal coordinate of the planet. Images of the spiral wake at any orientation are computed by applying the appropriate rotations to the spiral coordinates. The position of the companion (separation of 1.05 arcsec, at PA = 132°) was taken from Wagner et al. (2015). The disc aspect ratio in equation (3) should not be confused with the height of the emission surface in scattered light; in this toy model, the aspect ratio in the mid-plane should be simply regarded as a free parameter, and we use a value of 0.215. We assumed a disc inclination of 33°, a position angle of 145°, and a flaring index of 0.04 [these parameters are close to the ones used by Benisty et al. (2017) to deproject the scattered light image following the methodology of Stolker et al. (2016b)]. We artificially produce an  $m = 2$  spiral by shifting the solution of the spiral wake equation by 180° in azimuth. We assumed that  $z_p = 0.22r_p$  to model the spirals coming from the disc surface and  $z_0 = 0$  for modelling the spirals in the disc mid-plane.

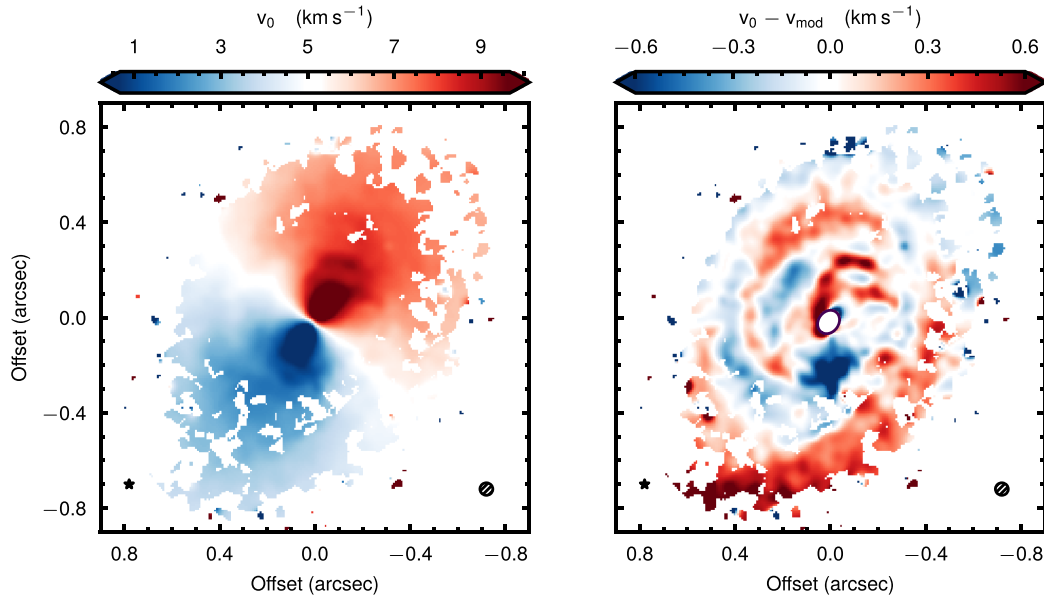
The resulting image is presented in the top panel of Fig. 6. The figure shows that there is an offset between the spiral in the mid-plane and the spiral coming from the upper layer, which is reminiscent of the difference seen in observations between the ALMA and SPHERE data (see Fig. 5). The offset is maximum along the disc minor axis. In this model, there is no *real* difference in pitch angle between the mid-plane and the surface, and any *apparent* difference is purely due to projection. While the differences in the apparent pitch angle are small, they do, however, exist. For example, in the north-east, the surface spiral has a slightly higher apparent pitch angle. Note, however, how this reverses in the south-west, where the mid-plane spiral has a higher apparent pitch angle. This is different from what we see in the data, where both the spirals in scattered light have a higher apparent pitch angle.

Producing a difference in pitch angle, which is always in the same direction, requires changing the intrinsic pitch angle of the spiral. This is shown in the bottom panel of Fig. 6, where we assumed a temperature difference of a factor of 2.5 between the disc mid-plane and the surface layer. In this case, the surface spiral has always a higher apparent pitch angle than the mid-plane spiral.

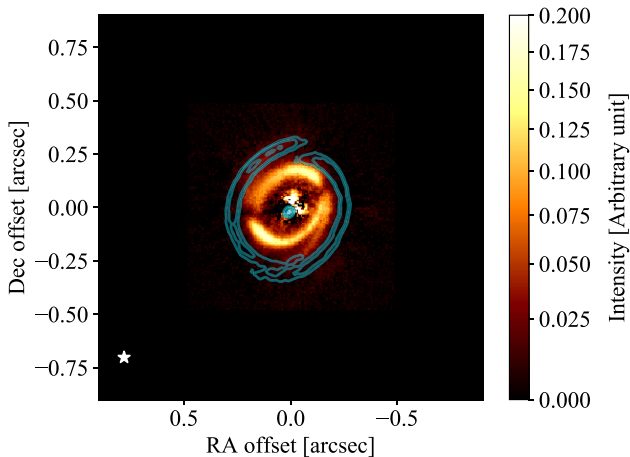
We conclude that the difference in pitch angles between the SPHERE and ALMA data cannot be explained as a projection effect, and it requires an intrinsic difference in pitch angle to be explained.

#### 4.3 Deprojected images

We now use the geometrical parameters of the disc constrained from the modelling in Section 4.1 to deproject the images and measure more quantitatively the pitch angles of the spirals. When deprojecting the scattered light imaging, we also take into account the fact that the emission comes from a conical surface, in the same way as done by Benisty et al. (2017) (see Stolker et al. 2016b, for details on



**Figure 4.** *Left:* CO  $J = 3-2$  projected velocity (1st moment) map. *Right:* residuals of the fit to the projected velocity map.



**Figure 5.** Overlay of the ALMA B7 continuum image (blue contours) on the SPHERE  $R'$  image from Benisty et al. (2017). The spiral arms in scattered light emission have a larger pitch angle than those in the sub-mm continuum.

the method employed), while we assume a razor-thin disc for the sub-mm continuum.

We show the results of this exercise in the top row of Fig. 7, confirming already visually that the pitch angle in scattered light is significantly higher. To measure the pitch angle more quantitatively, we trace the position of the spiral by looking at each azimuthal angle for the radial location corresponding to the maximum in emission (inside an appropriate range to avoid picking up the bright rim). We then fit these locations with an Archimedean spiral, i.e. with equation  $R = a\phi + b$ , where the free parameters are  $a$  and  $b$ , and  $a$  is related to the spiral pitch angle  $\mu$  as  $\tan \mu = a/R$ . Given the limited radial range of the continuum spirals, we do not attempt to distinguish between an Archimedean (in which the pitch angle varies with radius) and a logarithmic (constant pitch angle) spiral model. To assign uncertainties to the pitch angle, we

assign a standard deviation of the radial position corresponding to the projected beam size; the beam size is also used to set the angular spacing between the tracing points, since points closer than the beam are correlated.

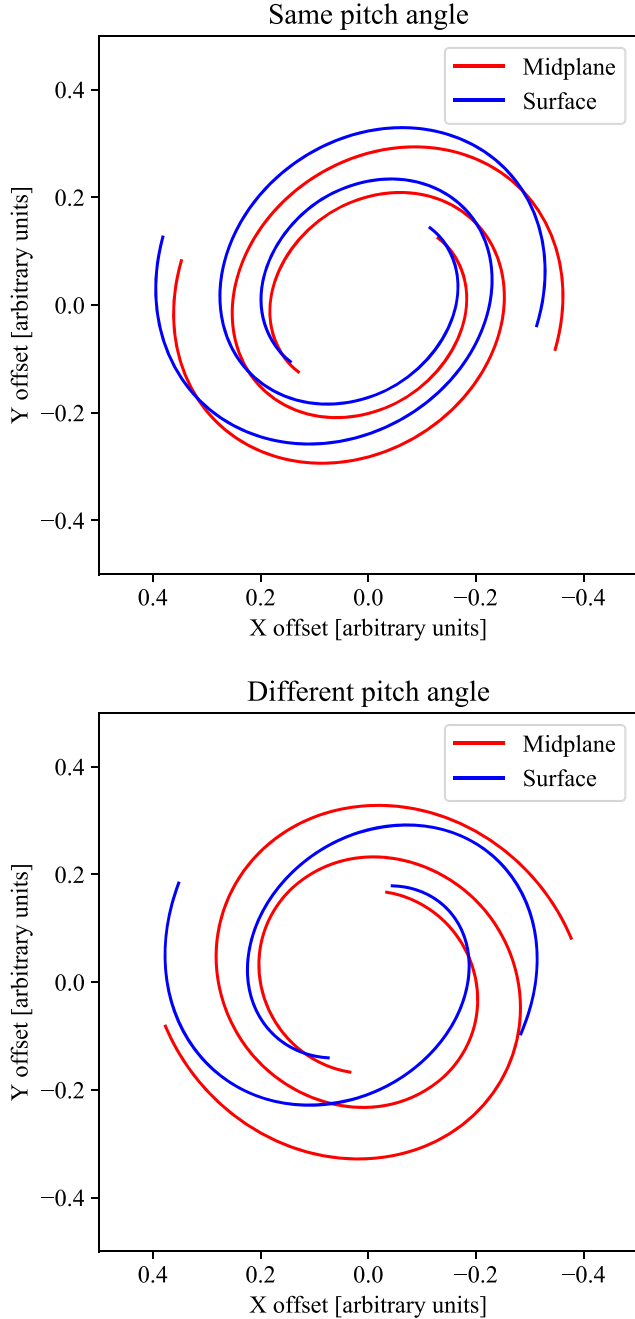
For scattered light, we obtain an angle of  $14 \pm 2^\circ$  for the eastern spiral and  $18 \pm 3^\circ$  for the western spiral. For the sub-mm continuum, we obtain instead a value of  $4.8 \pm 0.8^\circ$  for the eastern spiral S1 and  $6.6 \pm 1.5^\circ$  for the western spiral S2 (these values are evaluated at a projected radius of 0.26 arcsec, corresponding to the mid-point of the radial range covered by the spirals). This confirms that the scattered light spirals have a higher pitch angle than the ones in the sub-mm continuum.

We repeat the exercise also for the  $^{12}\text{CO}$  emission, which we show in Fig. 8. The measured pitch angles in this case are  $19 \pm 3^\circ$  for the eastern spiral and  $11 \pm 2^\circ$  for the western one. This latter value is intermediate between the scattered light and sub-mm cases, possibly suggesting that (at least on this side of the disc)  $^{12}\text{CO}$  comes from a deeper layer than scattered light, and vice versa on the other side. For  $r > 0.6$  arcsec, the southern spiral (which connects to the companion location) changes its pitch angle significantly as it approaches the companion, becoming almost radial. To show this, we have fitted separately the tracing points of this part of the spiral, obtaining a pitch angle of  $25 \pm 4^\circ$ . This is a natural result of the interaction with a companion (e.g. Ogilvie & Lubow 2002; Rafikov 2002) and strongly supports the scenario in which the companion is the origin of the spiral.

## 5 COMPARISON WITH HYDRO SIMULATIONS

### 5.1 Methods

In order to test the hypothesis that the spiral arms seen in the ALMA and SPHERE observations are launched by the stellar companion, we perform a suite of 3D hydrodynamical simulations and then post-process them with a radiative transfer code to generate mock



**Figure 6.** Geometric model demonstrating the effect of projection of spiral wakes in a plane (disc mid-plane, red lines) and on a conical surface (disc surface, blue lines). *Top:* If the disc is vertically isothermal, the spirals in the disc surface layer and in the disc mid-plane will only be shifted along the minor axis of the disc, but their opening angle will not change significantly (nor consistently: the change in the north-east is in the opposite direction than the change in the south-west). *Bottom:* If the disc has a positive vertical temperature gradient, the spirals in the surface layers will have a larger opening angle compared to the spirals in the disc mid-plane.

observations, which we then compare with the data. We detail this workflow below.

### 5.1.1 Hydrodynamics

The simulations shown in this paper have been run with the code FARGO3D<sup>4</sup> (Benítez-Llambay & Masset 2016), which is commonly used for protoplanetary disc applications. We employ a spherical grid with 250, 80, and 512 cells, covering the ranges [0.1, 0.6] of the binary separation, [1.22,  $\pi/2$ ], and [0,  $2\pi$ ] in the radial, polar and azimuthal directions, respectively. The companion is treated like a point mass at a radius  $r = 1$ , with a companion-to-star ratio  $M_2/M_1 = 0.17$ . We employ a locally isothermal equation of state, in which the sound speed  $c_s$  is a function of position only, and a physical viscosity using the Shakura & Sunyaev (1973) prescription with a value of  $\alpha = 10^{-3}$ . All the images shown are after 90 orbits at a radius of 30 au (roughly 13 orbits at the companion location). As shown by Dong et al. (2016), the spiral structure settles into a steady state after 10 companion orbits, and this time is therefore enough to investigate the spiral structure.

We run three simulations. In the first two (subsequently called ‘cold’ and ‘hot’), the isothermal  $c_s$  depends only on radius:  $c_s \propto r^{-1/4}$ , with the normalization set such that the disc aspect ratio at the location of the companion is 0.1 for the cold simulation and 0.2 for the hot simulation. In the third simulation (‘stratified’), we allow the temperature  $T$  to vary as a function of the vertical coordinate. To this end, we use the prescription commonly employed when fitting observations of Dartois, Dutrey & Guilloteau (2003) and subsequently updated by Rosenfeld et al. (2013):

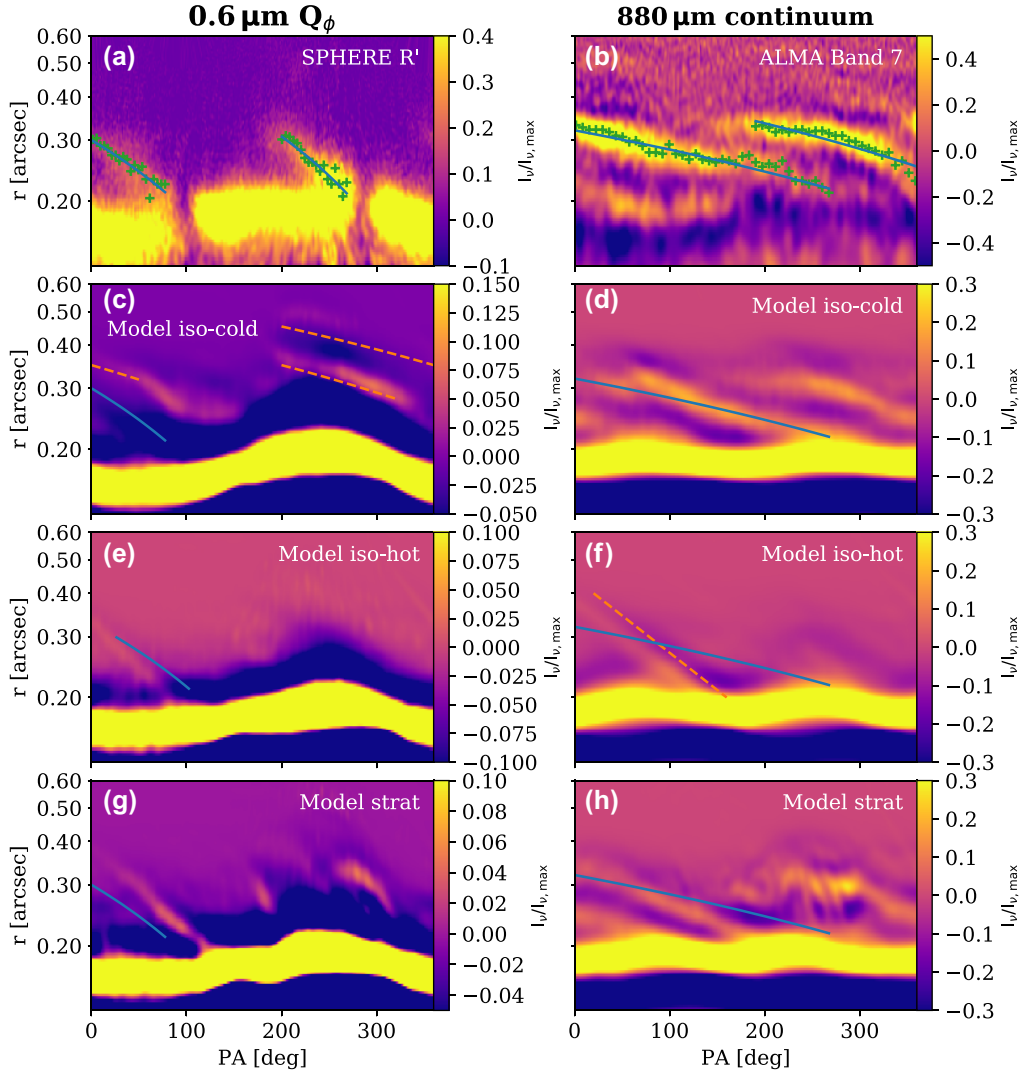
$$T(r, z) = \begin{cases} T_s + (T_m - T_s) \left[ \sin\left(\frac{\pi z}{2z_q}\right) \right]^4 & \text{if } z < z_q, \\ T_s & \text{if } z \geq z_q, \end{cases} \quad (4)$$

where  $T_m$  is the temperature in the mid-plane,  $T_s$  in the upper layers, and  $z_q$  is the height of the transition between cold mid-plane and hot upper layers. We choose the temperature in the mid-plane to be the same as in the cold case, while we assume that the temperature in the upper layers is 4 times the value in the mid-plane. Therefore, the temperature in the upper layers is the same as in the hot case. This value, as well as the disc aspect ratios, was chosen based on the radiative transfer model, tailored for this system, presented in Benisty et al. (2017), assuming a mean molecular weight of 2.35 to convert from temperature to sound speed. We also assume that  $z_q = 3H$ , where  $H$  is the disc scale height. The initial surface density  $\Sigma$  of the disc follows  $\Sigma \propto r^{-1} \exp[(r/0.4)^{-4}]$ , where the sharp exponential truncation is used to mimic the truncation by the companion. To assign the initial density at every point, we use the formal solution of hydrostatic equilibrium (valid for  $z/r \ll 1$ ) in the vertical direction:

$$\rho(z) = \rho_0 \frac{c_s^2(z=0)}{c_s^2(z)} \exp\left[-\int_0^z \frac{\Omega_K^2}{c_s^2(z')} z' dz'\right], \quad (5)$$

where  $\rho_0 = \Sigma/(\sqrt{2\pi}H)$  is the value in the mid-plane (because of the temperature gradient, the value of  $\rho_0$  should be renormalized taking into account the actual vertical density profile, but in practice the difference is very small and we do not take it into account; see e.g. Flock et al. 2013),  $\Omega_K$  is the Keplerian velocity, and for simplicity we have dropped the dependence on radius in the notation. We compute numerically the integral in equation (5) using the trapezoidal rule. Note that, if  $c_s$  does not depend on  $z$ , equation (5) gives the standard Gaussian solution. Once the density has been computed, we can solve the Euler equation in the radial (cylindrical) direction assuming steady state and in this way derive the gas azimuthal velocity, taking into account the pressure gradient correction. Retaining terms of

<sup>4</sup><http://fargo.in2p3.fr/>



**Figure 7.** Comparison between the data (top row) and the hydrodynamical models (rows 2–4). The images have been deprojected and high-pass filtered. The left column is for  $R'$  (SPHERE) and the right column is for  $850\ \mu\text{m}$  (ALMA). The blue lines across all the panels show the best-fitting spiral [note that we have slightly shifted it azimuthally in panel (e) so that it does not cover the spiral in the image], while the orange dashed line (when present) is a visual guide based on the simulated image when the simulation does not reproduce well the data. We do not plot the orange line when the simulated image reproduces well the observations. The stratified model is the only one capable of reproducing the pitch angle of the observed spiral at both wavelengths; instead the cold model only reproduces the ALMA data, while the hot model only reproduces the SPHERE data.

the order of  $(z/r)^2$ , in spherical coordinates the solution for the gas angular velocity reads

$$\Omega^2(R, z) = \Omega_K^2 \left( 1 - \frac{3z^2}{2r^2} \right) + \frac{1}{\rho(R, z)r} \left[ \frac{r}{R} \frac{\partial P}{\partial R} + \frac{1}{z(1+r^2/z^2)} \frac{\partial P}{\partial \theta} \right], \quad (6)$$

where we have used  $R$  for the spherical radius and  $r$  for the cylindrical radius. The partial derivatives of the pressure are evaluated on the computational grid consistently with the ZEUS (Stone & Norman 1992) algorithm (because the pressure is a zone-centred quantity, the derivatives are face centred, and we thus use averaging to evaluate them at the desired location).

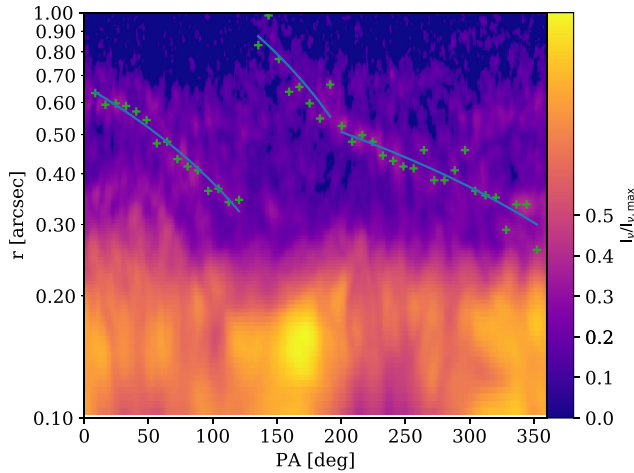
### 5.1.2 Radiative transfer

To investigate the observational appearance of the disc perturbed by the planet, we calculate images in scattered light and sub-mm using the 3D radiative transfer code RADMC-3D.<sup>5</sup> In the radiative transfer calculation, we use a 3D spherical mesh with  $N_r = 220$ ,  $N_\theta = 190$ , and  $N_\phi = 512$  grid points in the radial, poloidal, and azimuthal directions, respectively. The grid extent is  $[18, 72]$  au for the radial grid,  $[0, \pi/2]$  for the poloidal, and  $[0, 2\pi]$  for the azimuthal.

We directly use the values of the gas density from the hydrodynamical simulation in the radiative transfer grid, although note that the radiative transfer grid is more extended in the poloidal coordinate than the hydrodynamical one to properly take into account photon propagation in this region. We also remove the innermost cells in

<sup>5</sup><http://www.ita.uni-heidelberg.de/dullemond/software/radmc-3d/>





**Figure 8.** Peak brightness (moment 8) map of the  $^{12}\text{CO}$  emission in polar coordinates. Note how for  $r > 0.6$ , the southern spiral changes pitch angle and becomes almost radial.

the radial direction since they are affected by the boundary condition and they are not relevant to investigate the observational appearance of the spirals. We assume a gas-to-dust ratio of 100 to set the dust density. This assumption is robust for the small grains providing most of the opacity in the NIR scattered light, while, due to the larger stopping times (Stokes numbers), it is more questionable for the larger grains ( $\sim$  mm-sized) providing most of the opacity at sub-mm wavelengths. Note, however, that here we are more interested in the spiral morphology, rather in the amplitude of the spiral features. The amplitude of the spiral might be smaller in the large grains than in the gas, depending on their Stokes number; however, the grains respond to the spiral structure in the gas and it is therefore plausible that they produce the same morphology. We caution, however, that, to the best of our knowledge, in the literature there is no study focusing on the dependence of planetary spiral pitch angles with the Stokes number of the grains. We use 10 logarithmically spaced grain size bins between  $0.1 \mu\text{m}$  and  $1 \text{mm}$  and assume that the dust grain size distribution follows  $dN/da \propto a^{-3.5}$  (Mathis, Rumpl & Nordsieck 1977). To normalize the mass of the disc, we assume a total (gas) disc mass of  $7 \times 10^{-4} M_{\odot}$ , consistent with the values derived by Collins et al. (2009).

The mass absorption coefficients of the dust grains are calculated with Mie-theory using the optical constants of astronomical silicates (Weingartner & Draine 2001). The radiation field of the central star is modelled with blackbody emission and the star is assumed to have  $M_{\star} = 1.66 M_{\odot}$ ,  $T_{\text{eff}} = 7400 \text{K}$ , and  $R_{\star} = 1.73 R_{\odot}$ .

As a first step, we calculate the temperature of the dust with a thermal Monte Carlo simulation, then we calculate images at  $1.65$  and  $880 \mu\text{m}$  taking the disc inclination to be the one derived in Section 4.1. We use  $10^7$  photons both for the thermal Monte Carlo simulations and for the image calculations.

## 5.2 Results

We show in Fig. 7 a comparison between the data and the three hydrodynamical simulations we have run. Data and models in  $R'$  (SPHERE) are in the left column and at  $850 \mu\text{m}$  (ALMA) in the right column. We plot all the images in polar coordinates; the deprojection also takes into account the fact that the emission comes from a cone for the scattered light case (with the parameters of Section 4.2).

The images have also been enhanced by a high-pass filter (see Section 3.1). Note that the edge of the cavity in scattered light does not deproject into a circular ring because at certain position angles one can also see the bottom side of the disc.

It can be seen how reproducing the spiral in scattered light requires a high temperature: the cold model produces a spiral that is too closed (i.e. too low pitch angle) in comparison to the observations. On the other hand, the predictions for the hot and stratified model (these two models have the same temperature in the disc upper layers) are consistent with the data. A similar result has been found also by Dong et al. (2016), who also required a high disc temperature to reproduce the high opening angle of the spirals in the SPHERE image.

In the same way, reproducing the spiral in the sub-mm continuum requires a cold temperature: the hot model produces a spiral that is too open in comparison to the observations, while the cold and stratified models are successful in reproducing the observed pitch angle.

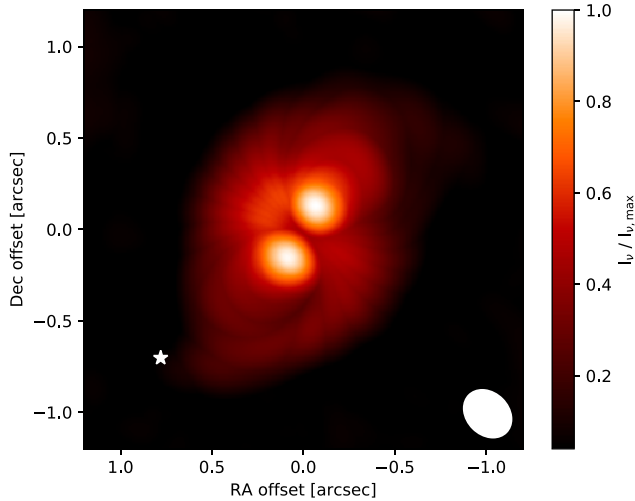
The comparison clearly shows that the stratified model is the only one capable of reproducing the pitch angles of the scattered light and sub-mm observations *at the same time*. This model has a realistic vertical temperature structure, which is commonly found in passively irradiated protoplanetary discs (Calvet et al. 1991; Chiang & Goldreich 1997; D’Alessio et al. 1998; Dullemond, Dominik & Natta 2001). Therefore, the data presented in this paper strongly support the theoretical prediction formulated by Juhász & Rosotti (2018) that the pitch angle of the spirals varies not only as a function of radius, but also as a function of height above the mid-plane due to the dependence of the pitch angle on the local sound speed. At the same time, the fact that we can correctly account for the observed spiral morphology lends further credence to the scenario in which the origin of the spiral arms is the nearby M dwarf companion, as originally proposed by Dong et al. (2016).

## 6 DISCUSSION

### 6.1 On the extent of the CO disc

In recently published (van der Plas et al. 2019) ALMA Band 6 observations, the CO disc is significantly more extended than in our observations: emission can be traced almost up to the location of the stellar companion ( $1.05 \text{arcsec}$  projected separation). This is puzzling since coplanar companions are supposed to truncate circumstellar discs at roughly one third of the separation (Artymowicz & Lubow 1994). This led van der Plas et al. (2019) to dispute that the companion is coplanar with the disc and suggest that its orbit might lie on another plane.

The resolution of the Band 6 data in  $^{12}\text{CO } J = 2-1$  is  $0.29 \times 0.23 \text{arcsec}$ , a factor of  $\sim 5$  lower than the  $^{12}\text{CO } J = 3-2$  Band 7 data we present in this paper. In the Band 7 data, as we have highlighted in Section 3.2, the CO disc extends only up to  $\sim 0.3 \text{arcsec}$ , which is in broad agreement with the expected truncation radius. Outside this radius, there is no full disc, but only the two spiral arms S3 and S4, raising the possibility that such structures were misinterpreted as an axisymmetric disc in the low-resolution data set. There are, however, two caveats about our data: At such a high resolution, the surface brightness sensitivity is significantly lower; in addition the maximum recoverable scale is  $0.6 \text{arcsec}$ , smaller than the separation of the companion (although note that in practice the requirement on the maximum recoverable scale is not so severe because the gas emission in each single channel comes



**Figure 9.** Peak intensity (8th moment) map computed from the data smoothed to the resolution of the Band 6 data (van der Plas et al. 2019). At low resolution, the spirals cannot be distinguished from the disc, and this creates the impression of a much larger disc, which extends almost up to the companion.

from only a portion of the disc). In principle, it is therefore possible that we are missing emission from an extended disc.

To study whether there is indeed an extended disc, we have lowered the resolution of our CO map, using the task `imsmooth` in CASA on the individual channel maps. We then recomputed the moment maps from the low-resolution channel maps. In this discussion, we consider only the moment 8 (peak intensity) map: the moment 0 map in van der Plas et al. (2019) is strongly centrally peaked and it is difficult to see the extended emission, while the moment 1 map does not contain any additional information regarding the extent of the disc.

We plot the result of this exercise in Fig. 9. This map is remarkably similar to the one obtained with the Band 6 data (van der Plas et al. 2019; see their fig. 2). While this exercise does not formally prove that we are not missing flux due to the long baselines, it does prove that, if we are missing some flux, the effect is too small to affect the morphology of the emission. Therefore, the two caveats we listed above do not affect our conclusion: the large extent of the CO disc is likely an artefact of the low resolution, which does not allow one to distinguish the spirals from the disc. Note how the southern spiral can still be seen in the low-resolution moment 8 map, as well as in the map of van der Plas et al. (2019); however, its identification would be dubious without the support of the high-resolution data we present in this paper.

Therefore, the higher resolution ALMA data we present in this paper are compatible with an orbit of the companion aligned with the plane of the disc and with the companion truncating the disc. The orbit could also be misaligned, but the ALMA data does not favour a specific scenario. Further hydrodynamical studies, which are beyond the scope of this paper, focusing on the truncation radius of the CO disc could provide further constraints regarding the orbit of the companion. We note that the analysis of the astrometry of the companion is indeed compatible with a broad range of values<sup>6</sup> for

<sup>6</sup>Although there is a shallow maximum at  $60^\circ$ , the posterior is essentially uniform between  $10^\circ$  and  $80^\circ$ .

the relative inclination between the disc and the companion orbit (see fig. 9d in van der Plas et al. 2019). Given that the existing astrometry goes as far back as 2003, following up the orbit of the companion for many years (probably at least a decade) will be needed to improve significantly the constraints on the inclination from astrometry.

## 6.2 On the origin of the spirals and model limitations

In the context of the current debate about the origin of observed spiral arms in protoplanetary discs, the data presented in this paper contain two pieces of evidence that strongly point to the companion as responsible for the spirals, at least for this object. The first one is the fact that the southern CO spiral points to the location of the companion. The second is the difference in pitch angles between mid-plane (ALMA continuum) and upper layers (NIR scattered light).

While the dynamical scenario is mainly successful, it should be noted that it does not fully account for the observed morphology of the spirals. In particular, the spirals in scattered light observations are symmetrical, while in the hydrodynamical simulations (see Fig. 7) we find that one spiral is stronger than the other. While this problem is particularly severe for the hot model, which does not reproduce the pitch angle of the continuum spiral, it is still present in the stratified model, the only one capable of reproducing at the same time the spiral pitch angles in the mid-plane and in the upper layers. This problem is also present in the hydro simulations presented by Wagner et al. (2018) (see their fig. 7). Reconciling this discrepancy might require considering a non-vanishing relative inclination between the companion orbit and the disc, while for simplicity the simulations presented in this paper have considered a non-inclined orbit. The grain scattering phase function is also another factor that might change the brightness of the spiral arms since it strongly determines the amount of light scattered along the line of sight.

In addition, the scattered light image also shows two dark spots in the central ring, which Benisty et al. (2017) interpreted as due to shadows cast by a misaligned inner disc, likely to be on spatial scales smaller than those we resolve in our observations. In the companion scenario, it remains unexplained why the scattered light shadows lie very close to where the scattered light spirals detach from the inner ring. To explain this coincidence, Montesinos et al. (2016) proposed that the shadows are actually the *cause* of the spirals and confirmed through hydrodynamical simulations that the lower pressure at the shadow locations produces a variable azimuthal acceleration that in time develops into spiral density waves. However, there are no strong, obvious sub-mm counterparts of the NIR shadows (see Appendix A), implying that in this source the shadows do not cause a significant temperature, and therefore pressure, drop in the mid-plane. Following the framework developed by Casassus et al. (2019), this can be explained as due to the effect of radiation smoothing temperature differences, implying that the material is optically thin to radiative diffusion (i.e. with respect to the Rosseland mean opacity). We cannot assess quantitatively whether this condition is verified because we do not have information on the grain size and therefore the Rosseland opacity; we note, however, that the sub-mm continuum emission is largely optically thin: the maximum brightness temperature across the image is 18 K, attained at 0.3 arcsec from the star in the north-east (from a simple estimate using the luminosity of the star, e.g. Dullemond et al. 2018, we would expect a temperature of 30 K at that location), but most of the emission is fainter than that (see the left-hand panel of Fig. 1). Therefore, it is plausible that the disc is optically thin to radiative diffusion as long as the Rosseland mean opacity is not much higher than the sub-mm opacity. In the scenario in which the shadows launch the spirals, there is also no reason why the CO spiral

should point to the location of the companion. Moreover, follow-up simulations including also dust dynamics (Cuello et al. 2019) indicated that there should be no observable sub-mm continuum spiral produced by this mechanism, in contrast with our data. Given that all these facts rule out launching by shadows as origin for the spirals, it is possible that the special shadow location is just a lucky coincidence. Future observations will be able to tell if the spirals rotate with the companion or with the shadows, though this test might require very long time spans due to the long orbital time-scale of the companion.

Another limitation of our modelling is that we have not studied the formation of a circum-secondary disc. In principle, we could expect that some of the material in the spiral arms should circularize around the secondary, forming another disc (see e.g. the simulations presented by van der Plas et al. 2019); however, there is no evidence for this in the CO emission. We speculate that this disc might accrete very rapidly and therefore be short-lived, possibly due to the effect of tidal truncation coupled with viscosity (Rosotti & Clarke 2018), but we note that this should be the subject of a future study.

Finally, the last limitation to highlight in our modelling is that we have assumed that the spirals in the ALMA continuum image trace the same morphology as the spirals in the mid-plane gas. While this is plausible, this is currently untested and has not been yet the subject of a dedicated study. Future work will establish under which conditions the assumption holds.

### 6.3 Comparison with other discs with spirals

To the best of our knowledge, this is the first source where there are *two* sub-mm continuum counterparts to spirals observed in scattered light. The fact that spirals are seen in all tracers confirms that they are real perturbations in surface density, in this case launched by the stellar companion. For what concerns other sources, most other discs showing spirals in scattered light, when they have been imaged in sub-mm continuum (e.g. Kraus et al. 2017; Cazzoletti et al. 2018), show structures like vortices and crescents rather than spirals. MWC758 (Dong et al. 2018) is notable because, on top of vortices, also shows a spiral in the sub-mm continuum. Note, however, that only one spiral arm is visible, while the scattered light signal (Grady et al. 2013; Benisty et al. 2015) shows two arms and is very similar in morphology to HD100453. Recent hydrodynamic simulations (Baruteau et al. 2019) suggest that the morphology of these objects with vortices could be explained by two massive planets rather than a stellar companion. These planets trigger vortices trapping the large mm grains seen in the sub-mm, possibly explaining the reason for the different morphology between sub-mm and scattered light. The simulations did not target specifically reproducing the single spiral arm observed in MWC758, although there is some hint that reproducing it is sensitive to the amount of small grains.

On the other hand, there is now a small sample of sources with detected spirals in sub-mm continuum. Some of them are in known stellar multiple systems (Kurtovic et al. 2018); in this case, it is likely that the stellar companion is responsible for the spirals. Elias 2-27 (Pérez et al. 2016) is instead a good candidate for an origin due to gravitational instability (Meru et al. 2017; Hall et al. 2018), though the possibility of an external companion has not been completely ruled out. In the other two cases (Huang et al. 2018c), the launching mechanism has not been clearly identified. Among all of these, IM Lup is the only one with published observations in scattered light (Avenhaus et al. 2018). It is important to note that in the single case of IM Lup the scattered light image, while showing azimuthally symmetric structure, does not show any sign of a spiral.

Summarizing, it is clear that the morphology can vary significantly from source to source, especially when combining multiwavelength data (sub-mm and scattered light) in the limited cases in which this is possible. This richness in morphology probably points to different formation mechanisms operating in discs, rather than a single universal process.

### 6.4 What is causing the inner cavity?

In this paper, we focused on the two prominent spiral arms. However, as already discussed the source is a known transition disc, with a very well-defined ring at 0.2 arcsec from the star. It is clear that this structure cannot be due to the external companion, and another process must be invoked. There is a large literature (see Espaillat et al. 2014; Ercolano & Pascucci 2017, for reviews of the topic) about the mechanisms causing transition discs, and here we only briefly summarize them. The leading interpretation is planet–disc interaction (e.g. Rice et al. 2006; Pinilla, Benisty & Birnstiel 2012), which would require postulating the presence of a planet causing the ring. The planet mass should be higher than the canonical ‘pebble isolation mass’ (Lambrechts, Johansen & Morbidelli 2014; Rosotti et al. 2016) to produce a ring in the sub-mm continuum. Depending on the value of the disc viscosity (e.g. Bitsch et al. 2018; Zhang et al. 2018), this could be possible with a sub-Jupiter mass planet, well below the existing detection limits of direct imaging.

According to the predictions (see their figs 6 and 8) of Facchini et al. (2018), the putative planet cannot be more massive than Jupiter, or it would produce a detectable gap in  $^{12}\text{CO}$ , in contrast with our observations. In the case of PDS 70 (Keppler et al. 2019), the directly imaged companion produces a clear gap in  $^{12}\text{CO}$ , likely indicating that the putative planet in HD100453 must have a lower mass. van der Plas et al. (2019) recently suggested that this putative planet is also responsible for misaligning the inner disc (which produces the shadows in scattered light), following the suggestion of Owen & Lai (2017) that this can happen due to a secular resonance between the nodal precession of the inner disc and the precession of the putative companion. Given the constraints on the planet mass, it is unclear whether this is indeed possible since the mechanism requires masses of at least  $0.01 M_{\odot}$ . It could be that an additional companion at smaller spatial scales (or a different mechanism from planets) is required to explain the misaligned inner disc.

The presence of CO emission well inside the continuum ring tends to rule out photoevaporation (e.g. Owen, Ercolano & Clarke 2011) as a possible formation mechanism of the ring. On the other hand, the lack of detected accretion on to the star (Collins et al. 2009) could mean that we are observing this source at a particular moment in time while the hole opened by photoevaporation is still expanding and the inner disc has not completely dissipated, possibly reconciling a photoevaporative origin with these observations.

## 7 CONCLUSIONS

In this paper, we have presented high-resolution (0.03 arcsec) continuum and  $^{12}\text{CO } J = 3-2$  maps of the protoplanetary disc around HD100453. Our main results are as follows:

- (i) The source shows two, almost symmetrical spiral arms both in the continuum and in the CO emission. The continuum spirals have a relatively narrow radial range (0.2–0.35 arcsec), while the gas spirals start from outside the continuum spirals (0.3 arcsec) and extend for much further (up to 1 arcsec).

(ii) The southern gas spiral connects to the companion location, implying that the spirals are the result of the tidal interaction between the disc and the companion.

(iii) The intrinsic pitch angle of the spirals in the continuum ( $6^\circ$ ) is significantly lower than in the SPHERE scattered light images ( $19^\circ$ ). This confirms the theoretical prediction of Juhász & Rosotti (2018) and can be explained as due to the different temperatures between the cold disc mid-plane and the hot upper layers. This difference also further reinforces the hypothesis that the spiral pattern is due to the interaction with the companion.

(iv) Through 3D hydrodynamical simulations with a stratified thermal structure, we show that the difference in pitch angles between sub-mm and scattered light can be accounted for quantitatively. Although two spirals are present in the simulation, they are not symmetrical as in the observations (particularly for the scattered light case), an issue that was already present in the simulations of Wagner et al. (2018). Solving this discrepancy will require exploring a possible misalignment between the disc and the companion orbit, as well as exploring the grain scattering phase function.

(v) The high spatial resolution of our data allows us to conclude that the CO disc extends only up to 0.3 arcsec, which is roughly one third of the separation from the companion. Outside this radius, there is no emission from a disc but only two spiral arms. This solves the apparent discrepancy between the companion location and the disc truncation radius reported by previous, low-resolution observations (van der Plas et al. 2019). It also implies that the orbit of the companion is compatible (though this is not necessarily the case) with lying in the same plane as the disc.

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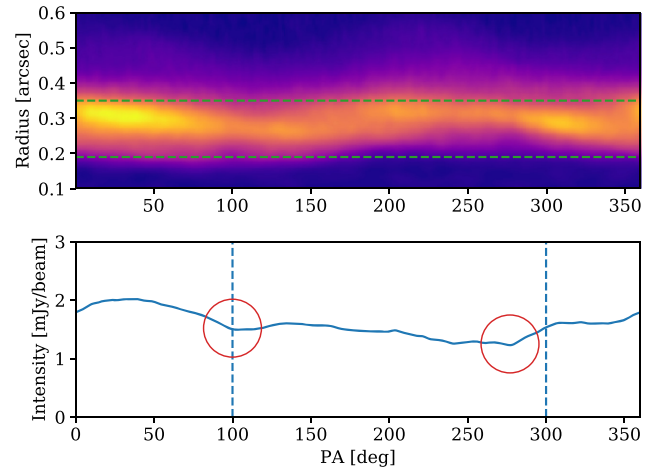
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## APPENDIX: SUB-MM COUNTERPARTS OF THE SCATTERED LIGHT SHADOWS

In this section, we study whether there are sub-mm counterparts of the shadows observed in the NIR scattered light, likely caused

by a misaligned inner disc (Benisty et al. 2017; Min et al. 2017). Fig. A1 shows in the top panel the sub-mm continuum image after deprojection. In contrast to Fig. 7, here we have not made use of the high-pass filter, which enhances details on small scales and therefore would not allow us to estimate the amplitude of the shadows. The bottom panel shows the azimuthal profile of the image, averaged between the two radii indicated by the dashed green lines in the top panel.

The scattered light shadows are located at position angles  $100^\circ$  and  $300^\circ$ ; we mark these locations with the vertical dashed lines in the bottom panel. The image and the azimuthal profile show a possible hint of a counter-part for the shadow at  $\text{PA} = 100^\circ$ , though the amplitude of this feature is quite small (less than 10 per cent). There is no such feature at  $\text{PA} = 300^\circ$ , although we could tentatively identify a candidate at slightly smaller PA. We mark these two features with red circles. Regardless of whether these two features are or are not the counterparts of the scattered light shadows, their low amplitude clearly shows that the shadows do not correspond to significant temperature drops.



**Figure A1.** Top panel: sub-mm continuum image after deprojection (no high-pass filtering has been applied). The dashed horizontal lines show the interval used for radial averaging. Bottom panel: azimuthal profile of the emission. The dashed vertical lines mark the position of the shadows in the scattered light image, while the circles mark the possible candidates for the sub-mm shadows counterparts. Regardless of whether these candidates are genuine, the image shows that the scattered light shadows do not correspond to significant temperature drops. We do not plot errors bars in this plot because we find that, due to large variation along radius in each azimuthal bin, the standard deviation in each bin depends very sensitively on the exact radial range used for averaging.

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