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1 **Metabolic and performance effects of Yerba Mate on well-trained cyclists**

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10 Conceptualization: JLA; Analyzed plant material samples: JLA, IA, HW; Conducted  
11 clinical trials: JLA; Analyzed data: JLA, IA, HW, CC; Writing, original draft: JLA;  
12 Writing, review and editing JLA, IA, HW, CC; Supervision: JLA, CC; Have primary  
13 responsibility for the final content: JLA, CC. All authors have read the final version  
14 of the manuscript.

15

16 **Short title:** Effects of Yerba Mate on endurance athletes

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25

26 **ABSTRACT**

27

28 **Introduction.** Yerba Mate (YM) is a South-American plant, rich in polyphenols,  
29 saponins and xanthines, of growing scientific interest due to its metabolic effects. YM  
30 has been shown to increase fat utilization during exercise in untrained humans, but its  
31 effects on well-trained individuals during exercise are unknown. **Methods.** We  
32 characterized metabolic and physical performance effects of YM in eleven well-  
33 trained male cyclists. In a double-blind crossover design, participants ingested 5 g of  
34 YM or placebo (PL; maltodextrin) daily for 5 days, and 1 h prior to experimental  
35 trials. **Results.** Ergometer-based tests included a submaximal step-test (SST) at 30-  
36 80% of  $\dot{V}O_{2max}$  (6 x 5 min stages), followed by a **cycloergometer-based time-trial test**  
37 **to complete mechanical work (~30min, TT; n=9).** Before and during tests, blood and  
38 respiratory gas samples were collected. YM increased resting plasma adrenaline  
39 concentration ( $P=0.002$ ), and fat utilization by 23% at 30-50%  $\dot{V}O_{2max}$  vs PL (**Effect**  
40 **sizes Glass'  $\Delta$  [ES] $\pm$ 95%CI,  $0.8\pm 0.55$ ) correlating strongly with post-SST plasma**  
41 **[glycerol] ( $r=0.758$ ).** **Treatment effects on** rates of perceived exertion, heart rate and  
42 gross efficiency were **unclear** during SST. Respiratory exchange ratio during TT  
43 indicated carbohydrate-dependence and did not differ between treatments (PL,  
44  $0.95\pm 0.03$ [SD]; YM,  $0.95\pm 0.02$ ). TT performance showed a small (**ES,  $0.38\pm 0.33$ )**  
45 **but significant ( $P=0.0278$ ) improvement with YM (PL,  $30.1\pm 1.8$ [SD]; YM,  $29.4\pm 1.4$**   
46 **min;  $2.2\%\pm 2$ [95%CI]) with average increase of **7W** power-output (**ES= $0.2\pm 0.19$ ;**  
47  **$P=0.0418$ ;  $2.3\%\pm 2$ [95%CI]) and  $2.8\% \dot{V}O_2$  ( $P=0.019$ ). Pacing displayed lower power-**  
48 **output after 30% of total TT workload in PL vs YM. **Conclusion.** YM increased fat**  
49 **utilization during submaximal exercise and improved TT performance, but****

50 performance-enhancement effect was unrelated to **measures of substrate metabolism**  
51 **during maximal exercise.**

52

53 Key words: *Ilex paraguariensis*, cycling, endurance performance, ergogenic aids,  
54 metabolism

55

## 56 **INTRODUCTION.**

57

58 *Ilex paraguariensis* is a South American plant of growing scientific interest  
59 worldwide, consumed in beverages by millions on a daily basis. Yerba Mate (YM), as  
60 named colloquially, has been used since pre-Columbian times ritually and for its  
61 alleged medicinal properties by the Guaraní ethnic group. YM is rich in phenolics  
62 (chlorogenic acid and other caffeoyl derivatives) with high antioxidant potency,  
63 saponins and xanthines (caffeine and theobromine) (1). Biomedical research on YM is  
64 scarce when compared to coffee and tea (1), but several reports support the role of  
65 YM as a modulator of metabolism. For instance, YM has been reported to be anti-  
66 obesogenic (2), a regulator of Akt and AMPK signaling pathways in different tissues  
67 (3, 4), cardio-protective (4), hypocholesterolemic (5), able to increase thermogenesis  
68 (6) and to shift substrate utilization during exercise towards higher fat oxidation (7,  
69 8). Some of these effects position YM as a potential exercise enhancer (9) and  
70 ergogenic aid. However, studies to date have only been conducted in rodents and unfit  
71 or unhealthy humans. Hence, whether YM can have an effect in an already optimized  
72 metabolic machinery of well-trained endurance athletes, is yet unknown.

73 Specifically, recent reports of YM increasing fat utilization during exercise of  
74 different intensities and durations (7, 8), and its potential neurological effects (10, 11),

75 suggest YM as a suitable supplement to improve endurance performance. Enhancing  
76 metabolic flexibility could be an important effect of YM to optimize the use of  
77 endogenous fuel: i.e. to increase the use of fat during low-intensity exercise and spare  
78 the limited carbohydrate stores for performance-determining high-intensity bouts (12,  
79 13). Additionally, independent of the metabolic effects, a neuro-modulatory effect  
80 through synergism between the phenolics and xanthines could drive central nervous  
81 system stimulation (10, 11). YM has additionally been reported to have analgesic and  
82 anxiolytic effects in rodents (14), which could also be a factor resulting in positive  
83 performance outcomes.

84 Some of the metabolic effects of YM are comparable to those of other well-studied  
85 plants also with a high content of phenolics, such as green tea and coffee (15), but the  
86 phytochemical profile of YM is unique. Green tea and YM share, among others,  
87 compounds such as caffeine, but different from green tea YM contains a large  
88 quantity of caffeoyl derivatives (chlorogenic acids) and saponins with different  
89 moieties (5). Some of the metabolic effects of phenolics have been attributed to their  
90 down-regulation of the catecholamine-O-transferase (COMT), but markers of effects  
91 on this enzyme have not been shown in humans (15). Importantly, YM has been  
92 reported to lack (-) epigallocatechin-3-gallate (EGCG) and other catechins, allegedly  
93 the main compounds for increasing fat oxidation in green tea (15). Therefore, it is  
94 possible that the phytochemicals unique to YM, or the effects resulting from their  
95 interactions, are the direct key for its metabolic effects.

96 The aim of the current study was to test the effect of YM ingestion daily for 5 days  
97 and 1 hour prior to tests to assess substrate utilization during submaximal exercise and  
98 performance during a short (~30 min) simulated cycling time-trial in well-trained  
99 cyclists. The dose of YM would be such as to contain a low (non-ergogenic) amount

100 of caffeine. Our hypotheses were that YM would increase fat utilization during  
101 submaximal exercise and improve time-trial performance.

102

103 **METHODS.**

104

105 *Yerba Mate samples.* To determine dosage and due to variability of phytochemical  
106 content in plants (16), four lots of YM (leaves mixed with stems) of different origin  
107 (Taragüi, Regular Blend, Las Marias, Argentina; La Merced, de Campo, Las Marias,  
108 Argentina; Rosamonte, Regular blend, Diez hermanos, Argentina; Guayaquí,  
109 Biodynamic, Argentina; **Supplementary Tables 1 and 2**) were screened for xanthine  
110 and total phenol content as well as radical scavenging activity. Based on lower  
111 relative caffeine content and higher phenol content and scavenging activity, La  
112 Merced, de Campo (lot number L 24515 09:10 A 3370), was selected as treatment and  
113 for further analysis of xanthine and phenol content (Table 1). **The caloric content of**  
114 **YM doses was estimated to be negligible (<4 kcal).**

115

116 *Subjects.* Eleven well-trained competitive male endurance cyclists/triathletes were  
117 recruited. The subjects' age, body mass (BM), maximal oxygen uptake ( $\dot{V}O_{2max}$ ), peak  
118 aerobic power output (PAPO) and regular training load were  $30 \pm 3$  yr,  $75 \pm 7$  kg,  $71$   
119  $\pm 6$  ml/kg/min,  $403 \pm 32$  W,  $11 \pm 2$  h/week, respectively. Prior to giving their written  
120 consent, all subjects were informed of the nature of the study and possible risks  
121 involved.

122

123 *Pretesting: Incremental cycling test.* Approximately 2 weeks prior to commencing  
124 their first experimental trial, subjects underwent an incremental cycling test to  
125 exhaustion on an electronically braked cycle ergometer (Lode Excalibur Sport,  
126 Groningen, The Netherlands) for determination of PAPO and  $\dot{V}O_{2max}$  as previously  
127 described (17). During this test, subjects breathed through a two-way, low resistance

128 non-rebreathing valve (Hans Rudolph Inc., Kansas City, USA) and mouthpiece  
129 attached to a calibrated Oxycon Pro metabolic system (Jaeger, Hochberg, Germany)  
130 interfaced to a computer that calculated the instantaneous rates of O<sub>2</sub> consumption  
131 ( $\dot{V}O_2$ ), CO<sub>2</sub> production ( $\dot{V}CO_2$ ), and the respiratory exchange ratio (RER). Before  
132 each test, analyzers were calibrated with commercially available gasses of known and  
133 certified O<sub>2</sub> and CO<sub>2</sub> content.  $\dot{V}O_{2max}$  was defined as the highest uptake a subject  
134 attained during any 30 s of the test, while PAPO was calculated from the last  
135 completed work rate plus the fraction of time spent in the final non-completed work  
136 rate (17). All exercise sessions were conducted under standardized laboratory  
137 conditions of temperature and humidity, and subjects were fan-cooled (Sealey  
138 HVSF30, Suffolk, UK) throughout all tests.

139

140 *Study overview.* An overview of the experimental protocol is shown in **Figure 1**. The  
141 study was evaluated by the Regional Ethics Committee of Norway in accordance to  
142 the Norwegian Act on Medical and Health Research, and allowed for implementation.  
143 Briefly, each subject completed two experimental trials in a double-blind, placebo  
144 controlled, randomized, counterbalanced crossover design. Five days prior to each  
145 trial day, subjects ingested per day 5 g of yerba mate (YM) or placebo (PL;  
146 maltodextrin). Two days prior to each trial physical activity was controlled, and food  
147 for the day prior to each trial was provided. On each trial day, subjects reported to the  
148 laboratory fasted at ~7.00 AM and an 18 G catheter (BD, NJ, USA) was inserted into  
149 the antecubital vein of one arm to allow for serial blood sampling. Immediately after  
150 baseline blood sampling, subjects ingested YM or PL capsules, 1 h before starting a  
151 10 minute warm-up (7 minutes at 70% of  $\dot{V}O_{2max}$ , followed by 3 min ramp-down to  
152 30% of  $\dot{V}O_{2max}$ ) prior to a submaximal step-test (SST). Following SST subjects



153 recovered for 5 min and completed a laboratory based cycling time-trial (TT). The  
154 washout period between trials was 1-2 weeks (18, 19).

155

156 *Submaximal step-test (SST)*. During this test, participants were instructed to keep  
157 cadence constant at 85 rpm while completing consecutive 5 min long stages of  
158 cycling at power outputs to elicit 30, 40, 50, 60, 70 and 80% of  $\dot{V}O_{2max}$ . Throughout  
159 SST the respiratory parameters were measured and the last minute of each stage  
160 analyzed. RPE was assessed at the end of each stage.

161

162 *Time-Trial*. Simulated laboratory cycling TTs were undertaken with the ergometer set  
163 in a cadence-dependent power output (linear) mode for participants to take ~30 min to  
164 complete a set amount of mechanical work. A custom-determined alpha value was  
165 assigned to each individual based on the preferred cadence during baseline testing and  
166 the total workload to be completed. Based on previous experiments (20) and extensive  
167 pilot testing, we determined that subjects would average ~80% of PAPO for 30 min  
168 TTs. Accordingly, the mechanical work to be completed for each individual was  
169 determined as:

170

171 
$$\text{TT Mechanical Work (joules)} = 0.8 \times \text{PAPO (W)} \times 1800 \text{ s}$$

172

173 During TTs, subjects were blinded for time, power output and  $\dot{V}O_2/\dot{V}CO_2$  but  
174 provided with cadence and total amount of mechanical work to be completed (as a  
175 percentage of total as well as continuous real-time kJ countdown to zero).

176 Subjects were instructed to complete TTs as fast as possible, as they would do on a  
177 race. No encouragement was provided during TTs and neutral verbal feedback was

178 provided at specific time-points. All tests were conducted by the same researcher  
179 (JLA). No music was played during trials and participants had no cues of time  
180 elapsed. Participants were tested one at a time on separate days. To assess blinding,  
181 immediately after the last trial participants were asked to match first and second  
182 experimental trial with 'YM', 'PL' or 'I don't know'.

183 Respiratory gases were measured 4 times for 3 min at the start of TTs: Start, 1/3  
184 and 2/3 of total workload and during the last ~70 kJ of work. RPE was assessed at the  
185 end of each measurement. An overall weighed average for the four TT measuring  
186 points was obtained. The weight of each measure was determined based on duration  
187 and timing of each sampling point and total duration of the trial, i.e. first and last  
188 measures were considered to be only representative of the time during which samples  
189 were obtained whereas samples 2 and 3 were considered to be representative of the  
190 times where respiratory air was not collected.

191

192 *Exercise control.* In the 48 h prior to each trial subjects abstained from any vigorous  
193 physical activity, but allowed to exercise at  $<70\%$   $HR_{max}$  for a maximum of 2 and 1 h,  
194 2 and 1 days respectively before each trial. The training completed before the first  
195 trial was kept on a training log and repeated before the second trial.

196 *Dietary control.* A custom-made pre-packaged diet containing 45 kcal/kg BM in the  
197 form of 8, 0.8 and 1.5 g/kg of high glycaemic index carbohydrates (CHO), fat and  
198 protein respectively was provided to each participant for the 24 h prior to each trial.  
199 Participants were instructed to ingest a portion of their diet (snack) containing 0.9  
200 g/kg BM CHO prior to sleeping ~9 h before experimental trials to eliminate the  
201 chances of hypoglycaemia during experimental trials, which were undertaken in the  
202 fasted state. A participant's checklist of food items was handed back to the researcher

203 served also as control for dietary compliance. On trial days, *ad libitum* water intake  
204 was measured and recorded from the moment of ingestion of the capsules until the  
205 end of TT.

206

207 *Capsules preparation & delivery.* For each dose dark gelatin capsules (12 units, size  
208 00) were filled with 5 g of YM brought to fine powder in a grinder (MKM6003,  
209 Bosch, Germany) or with maltodextrin. All capsules were tasteless and treatments  
210 visually undistinguishable from each other (tested in pilot tests prior to study).  
211 Participants were indicated to have capsules together with lunch. A reminder was set  
212 on participants' phone with a dedicated app (Medisafe, Medisafe Inc.) and/or on-line  
213 calendar which also allowed the main researcher to track compliance with capsule  
214 ingestion schedule.

215

216 *Blood sampling.* Blood samples were collected in 6 mL EDTA tubes (BD, NJ, USA)  
217 upon insertion of catheter (baseline), prior to SST, after SST, every 1/3 of TT and  
218 immediately after TT. Following each blood sample and at regular 20-30 min  
219 intervals, catheters were flushed with 5 mL saline solution (0.9 % NaCl g/L) to  
220 maintain patency in the cannula. Immediately upon collection, samples were spun at  
221 3000 rpm for 10 min at 4 °C. The resultant plasma was aliquoted and stored at -80 °C  
222 for later analyses.

223

224 *Gastrointestinal distress questionnaire.* A gastrointestinal distress questionnaire as  
225 detailed previously (21) was used to assess any potential negative symptoms of the  
226 treatment. The questionnaire was completed by participants prior to capsule ingestion,  
227 prior to SST and after TTs.

228 *Calculations of substrate utilization, MFAO, FAT<sub>max</sub> and gross efficiency during SST:*

229 For all calculations the data of last minute of each stage were used. Whole-body rates

230 of CHO and fat oxidation (g/min) were determined for each steady-state gas

231 measurement point from  $\dot{V}CO_2$  and  $\dot{V}O_2$  values using the non-protein RER calculation

232 (22). Gross efficiency was calculated as reported by Moseley (23). For each SST, a

233 third-degree polynomial line of best fit was incorporated using fat oxidation (g/min)

234 as a function of measured intensity (% of  $\dot{V}O_{2max}$ ) including origin (0,0) according to

235 previously described methods (24). The turning point (local maximum) of the curve

236 was used to determine Maximal Fat Oxidation (MFAO, g/min) and FAT<sub>max</sub> (the

237 intensity at which MFAO is elicited).

238

239

240 *Analytical techniques*

241

242 *Plasma.* FFA concentrations were measured using a non-esterified fatty acid assay kit

243 (NEFA-HR (2)), Wako Pure Chemical Industries, Ltd, Osaka, Japan). Glycerol was

244 analyzed using a kit coupling enzyme assay involving glycerol kinase and glycerol

245 phosphate oxidase (MAK117, Sigma-Aldrich, St. Louis, USA). Lactate was analyzed

246 using a YSI 1500 SPORT (Yellow Springs Instruments, Yellow Springs, USA).

247 Glucose was analyzed using a Biosen C-Line (EKF Diagnostics, Magdeburg,

248 Germany). Plasma adrenaline was analyzed using an ELISA kit (EIA-4306, DRG

249 Instruments, Marburg, Germany). Caffeine and paraxanthine were analyzed by

250 validated LC-MS/MS methodology described elsewhere (25). Briefly, the LC-MS/MS

251 system was composed of a Shimadzu (Shimadzu Scientific Instruments, Columbia,

252 USA) LC20AD system and an ABSciex triple quadrupole mass spectrometer

253 equipped with a Waters Xbridge C18 column (2.1 x 100 mm, 3.5  $\mu$ m). MilliQ water  
254 (0.1% formic acid) and acetonitrile (0.1% formic acid) were used as mobile phases.  
255 Total runtime was 9 minutes. Column oven was operated at 10 °C and 30 °C,  
256 respectively. The MS parameters were as follows: CUR 16, CAD 8, IS 5500, temp  
257 575 °C, GS1 60, GS2 50. The mass spectrometer was operated in positive mode with  
258 electro spray ionization and multiple reaction monitoring (MRM). Data collection and  
259 analysis were handled by Analyst Software 1.5.1 (Applied Biosystems).

260 Seven concentrations of each analyte were prepared as calibration standards in blank  
261 plasma and 4 different concentrations were prepared as quality controls. Limit of  
262 quantitation (LOQ) was based on the lowest concentration of the linear calibration  
263 curve that gave an acceptable accuracy and precision (+ 20%). Linear range and LOQ  
264 are given in ng/ml; Compound (linear range, LOQ); Caffeine (5-500, 5), Paraxanthine  
265 (5-250, 5).

266

267 *YM extract preparation for phytochemical analysis.* Dry YM plant material was  
268 grinded to a powder (< 1 mm) and extracted on an Accelerated Solvent Extraction  
269 system (ASE 350, Dionex, Sunnyvale, CA, USA). Diatomaceous earth (Dionex) was  
270 mixed with 3.5 g of plant material and loaded in 100 ml steel cartridges. The  
271 cartridges were fitted on to the system and exhaustive extraction was performed with  
272 two cycles of 100% methanol followed by two cycles of 50% methanol at 60 °C  
273 followed by two cycles of 50% methanol at 100 °C. Preheating time was 5-7 min,  
274 static extraction per cycle 5 min and the extraction was carried out under a pressure of  
275 1500 PSI (10 MPa). The extraction was performed three times. The extracts from  
276 each cell were combined and diluted to 250 mL with methanol. The diluted extract  
277 was used for xanthine determination. For quantification of total phenol content, DPPH

278 scavenging activity and extraction yield, 150 mL of the diluted extract was taken to  
279 dryness in a rotary evaporator.

280

281 *Quantitative analysis of xanthines in plant extract.* One milliliter of 0.525 µg/µl 8-  
282 chlorotheophylline 98% (Sigma-Aldrich, MO USA) as internal standard was added to  
283 5 ml of the diluted extract (26), dried on a rotary evaporator and subsequently  
284 dissolved in 10 ml of mobile phase before filtering into HPLC vials (PTFT 0.22 µm).  
285 Five microliters of the filtrate were analyzed by HPLC (LaChrom Elite, Hitachi,  
286 Tokyo, Japan) equipped with a reverse phase C18 column (Atlantis T3, 3 µM, 150 x  
287 4.6 mm, Waters, Ireland), and an L-2455 diode array detector. Elution was performed  
288 using isocratic eluent, acetonitrile/0.1% formic acid in distilled water (1:9 v/v) (HPLC  
289 grade, Sigma-Aldrich) (26). The flow rate was 1.0 mL/min. The absorbance was  
290 recorded at 272 nm and the separation was carried out at 25 °C. The average value of  
291 three parallels was used for the amount calculation. Calculation of xanthine amount  
292 was based on a linear regression model with internal standard. The calibration curve  
293 was obtained using caffeine ReagentPlus® 99% (Sigma-Aldrich) and theobromine ≥  
294 98.5% (Sigma Life science, MO, USA). Stock solution of caffeine (1.95 µg/µl in  
295 methanol) and theobromine (0.53 µg/µl in 50% methanol) were used for a calibration  
296 in the of range 0.01-0.12 µg/µl. The calculated amount of caffeine and theobromine  
297 were expressed as % (w/w). Theophylline was not detected in the plant material and  
298 standard curves therefore not obtained.

299

300 *Total phenolic content.* Dried extract was dissolved in DMSO (5 and 2.5 mg/ml) in  
301 triplicates. A linear calibration curve of gallic acid (≥97.5 %, Sigma-Aldrich) was  
302 obtained in the range 0.3-2.5 mg/ml. The experiment was performed according to

303 Singleton et al. (27). Briefly, 40  $\mu$ l test solution was mixed with 3160  $\mu$ l distilled  
304 water (MilliQ) and added 200  $\mu$ l Folin-Ciocalteu reagent (Merck, Darmstadt,  
305 Germany). After 5 minutes, 600  $\mu$ l 20% Na<sub>2</sub>CO<sub>3</sub> solution was added and incubated in  
306 the dark at room temperature for 2 hours. The absorbance was measured at 765 nm on  
307 a Biochrom Libra S32 PC UV/Vis spectrophotometer (Biochrom Ltd., Cambridge,  
308 UK).

309 *DPPH radical-scavenging.* Reaction with the DPPH radical was carried out as  
310 previously described (28). Briefly, the dried extract (0.05 ml, in DMSO) was mixed  
311 with a solution of DPPH (Sigma-Aldrich) in methanol ( $A_{517} = 1.0$ ; 2.95 ml) and the  
312 UV absorbance at 517 nm was measured for 5 min. Samples were assayed in triplicate  
313 and result given as the effective concentration to give 50% scavenging of the DPPH  
314 radical ( $EC_{50} \pm SD$ ). Quercetin (Sigma-Aldrich) was used as a positive control.

315

316 *Statistical analysis.* Data were analyzed using two-way repeated-measures ANOVA  
317 with Student–Newman–Keuls post hoc analysis to correct for the family-wise error  
318 during multiple post-hoc tests (Sigmaplot for Windows; Version 13). Grouped data  
319 were analyzed using paired t-tests and performance data were analyzed using Glass'  $\Delta$   
320 effect-sizes (ES) with an on-line available tool  
321 ([sportsci.org/resource/stats/xcrossover.xls](http://sportsci.org/resource/stats/xcrossover.xls)) following guidelines outlined by Hopkins  
322 (29). Linear regressions were calculated using the least-square method. All data are  
323 presented as mean  $\pm$  standard deviation (SD), **except for ES -and elsewhere specified-**  
324 **data are reported as  $\pm$  95% CI.** The level of statistical significance was set at  $P < 0.05$ .

325

326 **RESULTS.**

327

328 *Plant material.* Details of plant material are reported in **Table 1**. Based on a 7.9%  
329 water content, the total content per 5 g dose was 52 mg of caffeine (relative to  
330 participants' BM:  $0.70 \pm 0.06$  mg/kg) and 456 mg of total phenolics (relative to  
331 participants' BM  $6.1 \pm 0.56$  mg/kg). Absence of catechins in the material was  
332 confirmed with HPLC-DAD and proton nuclear magnetic resonance analysis.

333

334 *Participants.* Two participants were unable to execute maximal-performance efforts,  
335 one on YM and one on PL trial day due to individual incident and to a technical issue,  
336 respectively. Because of participants' reported incapacity for best-performance, large  
337 performance variation (in excess of 11%) and being outliers following Chauvenet's  
338 criterion, these were excluded from TT analysis prior to breaking the blinding code  
339 and performance data are reported for  $n = 9$ . The SST data for these individuals were  
340 unaffected and therefore kept for the analysis.

341

342 *Nutrition.* All subjects complied with dietary requirements. Total water ingested on  
343 trial days was  $948 \pm 401$  and  $894 \pm 249$  g in PL and YM respectively ( $P = 0.56$ ).

344

345 *Performance test.* There was a small (ES =  $0.38 \pm 0.33$ ) but significant ( $P = 0.028$ )  
346 performance improvement with YM in time to complete TT from  $30.1 \pm 1.8$  to  $29.4 \pm$   
347  $1.4$  min (delta  $0.40 \pm 0.45$  sec), and a concomitant small but significant  $1.7 \pm 2.1\%$   
348 increase in average power as percentage of PAPO (ES =  $0.36 \pm 0.33$ ;  $P = 0.035$ )  
349 (**Figure 2, A**) and a  $2.3 \pm 2.6\%$  increase in absolute power (ES =  $0.2 \pm 0.19$ ;  $P =$   
350  $0.042$ ). Individual performance difference as average percentage of PAPO ranged



351 from -1.8 to 6.4% (**Figure 2, B**). Analysis of pacing (**Figure 2, C**) indicates that there  
352 were no differences between treatments in power output until 30% of the total  
353 completed workload, from which point the power output in YM was significantly  
354 higher ( $P < 0.05$ ) consistently until the end of the TTs. There were no differences in  
355 RPE between treatments (**Figure 2, D**).

356

357 *Respiratory results.*

358

359 *SST*. There was a main effect of treatment ( $P = 0.05$ ) and intensity ( $P < 0.001$ ) on fat  
360 oxidation. Fat oxidation was increased in YM compared to placebo at 30, 40 and 50%  
361 of  $\dot{V}O_{2max}$  ( $P = 0.008$ ) by 0.10, 0.11 and 0.09 g/min respectively, representing an  
362 average 23% higher fat oxidation over this range (**Figure 3, A**). Fat oxidation tended  
363 to be higher in YM ( $P = 0.071$ ) at 60% of  $\dot{V}O_{2max}$ . Accordingly, CHO oxidation was  
364 higher in PL ( $P = 0.01$ ) over the same range of intensities. MFAO ( $0.67 \pm 0.1$  g/min  
365 YM,  $0.60 \pm 0.16$  g/min PL) and  $FAT_{max}$  ( $55 \pm 3\% \dot{V}O_{2max}$  YM,  $55 \pm 3\% \dot{V}O_{2max}$  PL)  
366 were not significantly different, but there was a trend ( $P = 0.1$ ) for higher MFAO in  
367 YM. Despite the difference in substrate utilization, there were no differences in  
368 efficiency at any workload (**Figure 3, B**).

369 *TT*. Oxygen uptake was higher ( $P = 0.018$ ) in YM ( $84.1 \pm 3.4 \% \dot{V}O_{2max}$ ) vs PL ( $81.8$   
370  $\pm 4.3 \% \dot{V}O_{2max}$ ) (**Figure 3, C**), but there were no differences between treatments in  
371 RER during TTs (PL,  $0.95 \pm 0.03$ , YM,  $0.95 \pm 0.02$ ) (**Figure 3, D**), average HR (PL  $168$   
372  $\pm 10$ , YM  $169 \pm 8$  BPM) or maximal HR (PL  $179 \pm 10$ , YM  $182 \pm 9$  BPM) during  
373 TTs.

374

375 *SST HR & RPE.* There were no differences in heart rate and RPE between treatments  
376 during SST (**Table 2**).

377

378 *Blinding assessment.* Two out of nine participants correctly identified the trial in  
379 which they were under the YM treatment. The remaining seven individuals were  
380 unable to match the capsules ingested to PL or YM treatment.

381

382 *Gastrointestinal distress questionnaire.* There were no differences between time-  
383 points or treatments in any of the items of the gastrointestinal distress questionnaire.

384

385 *Plasma metabolites.* Details of plasma metabolites are outlined in **Table 3**.

386

387 *Lactate and Glucose.* Lactate showed a trend ( $P = 0.07$ ) for main treatment effects  
388 and a clear main effect of time ( $P < 0.001$ ) with a treatment x time interaction ( $P =$   
389  $0.029$ ). Lactate was significantly higher in YM compared to PL at 2/3 ( $P = 0.018$ ) and  
390 3/3 of TT ( $P = 0.001$ ). Glucose showed a main effect for time ( $P < 0.001$ ) but no main  
391 effect for treatment or interactions. Glucose was significantly higher in YM pre-SST  
392 compared to PL ( $P = 0.029$ ) and raised above resting values in YM during 2/3 TT ( $P$   
393  $= 0.029$ ) and in both groups at 3/3 TT ( $P < 0.001$ ).

394

395 *FFA and Glycerol.* FFA showed main effects for time ( $P = 0.03$ ) and treatment x time  
396 interactions ( $P = 0.002$ ). FFA were lower in YM compared to PL pre-SST ( $P = 0.002$ )  
397 and lower in pre-SST in PL compared to resting values ( $P = 0.04$ ). Glycerol showed  
398 main effect of time ( $P < 0.001$ ) and treatment x time interactions ( $P = 0.04$ ). At post-  
399 SST ( $P = 0.046$ ) and 1/3 TT ( $P = 0.033$ ) glycerol was higher in YM vs PL. A large

400 correlation ( $r = 0.59$ ,  $P = 0.0057$ ;  $\mu\text{M FFA ox/kg/min} = 0.012 (\pm 95\% \text{CI}, 0.008) \times$   
401  $[\text{FFA}] + 10.65 (\pm 95\% \text{CI}, 4.83)$ ) was observed between the pre-SST plasma FFA and  
402 Fat oxidation during SST and a very large correlation ( $r = 0.76$ ,  $P < 0.001$ ;  $\mu\text{M FFA}$   
403  $\text{ox/kg/min} = 0.086 (\pm 95\% \text{CI}, 0.037) \times [\text{Glycerol}] + 6.63 (\pm 95\% \text{CI}, 4.83)$ ) between  
404 post-SST plasma glycerol and fat oxidation during SST.

405

406 *Caffeine, Paraxanthine and Adrenaline.* Caffeine showed main effects for treatment,  
407 time and time x treatment interactions ( $P < 0.001$ ). Caffeine concentration was higher  
408 in YM vs PL at all time-points ( $P < 0.001$ ). Only in YM there was a marked increase  
409 above resting values Pre-SST ( $P < 0.001$ ) and remained elevated throughout the rest  
410 of the trial. Adrenaline concentration was higher in YM vs PL at baseline ( $P = 0.049$ ),  
411 pre-SST ( $P = 0.002$ ), and at 3/3 TT ( $P = 0.002$ ). In both treatments adrenaline  
412 increased compared to baseline at all time-points after post-SST ( $P < 0.001$ ).

413

414

## 415 **DISCUSSION.**

416

417 The main findings of this study were that supplementing well-trained cyclists with 5 g  
418 of YM daily for 5 days and 1 h before experimental trials resulted in: 1) a 23%  
419 increase in fat oxidation, on average, compared to placebo during cycling at  
420 intensities between 30-50%  $\dot{V}O_{2\text{max}}$ , and 2) a small but significant performance  
421 improvement in a ~30 min time-trial compared to placebo. During submaximal  
422 exercise we could detect clear metabolic differences between treatments on substrate  
423 oxidation, plasma FFA and glycerol, but no differences in gross efficiency. During the  
424 performance test there was a clear carbohydrate dependence in both groups with no

425 differences between treatments, indicating that the performance improvements in YM  
426 were due to factors other than a shift in substrate utilization. Additionally, we report  
427 for the first time increased plasma adrenaline in humans in response to a supplement  
428 low in caffeine and rich in phenolics.

429 The current study represents the first scientific investigation, to our knowledge, to  
430 assess the effects of Yerba Mate on metabolism during submaximal exercise and  
431 time-trial performance in well-trained cyclists. Previous studies assessing the  
432 metabolic effect of YM during exercise were limited to a single pre-exercise dose of  $\leq$   
433 2 g in untrained population and included no dietary control, assessment of  
434 performance or plasma markers of fat metabolism, focusing mainly on respiratory  
435 measures to assess substrate utilization (7, 8). These studies show YM increased fat  
436 oxidation by 24% during a step-test and at intensities below 70%  $\dot{V}O_{2\text{peak}}$  (7) and by  
437  $\sim$ 18% during 30 min continuous exercise at 37% of  $\dot{V}O_{2\text{peak}}$  (8) compared to placebo  
438 treatments. Despite the differences in population and experimental protocols, our  
439 findings of 23% increase in fat utilization during submaximal exercise are in line with  
440 what has been reported previously. Moreover, it is also possible that effects of YM on  
441 increased fat utilization at higher intensities during SST (or indeed at  $FAT_{\text{max}}$ ) were  
442 not detected because of a small RER over-estimation due to  $CO_2$  contribution from  
443 body  $HCO_3^-$  stores at higher intensities (13, 30). Nonetheless, we provide further  
444 insights supporting the use of YM as a new aid to manipulate substrate utilization  
445 during submaximal exercise in addition to further novel insights in its potential effect  
446 on metabolic regulation.

447 The metabolic markers assessed in plasma provide new clues on the causes behind  
448 changes in substrate utilization. We specifically assessed plasma FFA and glycerol  
449 because of their reliability as markers of lipolysis and their relationship to fat

450 oxidation (31). We found no effects of 5 days YM supplementation on resting blood  
451 FFA or glycerol and plasma FFA remained unchanged 1 h after YM ingestion.  
452 Unexpectedly, FFA decreased slightly in PL resulting also in a significant difference  
453 from YM at the same time-point. Blood glycerol also showed no differences between  
454 groups pre-SST, but instead it was higher in YM compared to placebo post-SST and  
455 at 1/3 TT, and proved to have a stronger association with fat oxidation than pre-SST  
456 plasma FFA.

457 Provided that fat metabolism is very sensitive to small increases in blood insulin  
458 via a decreasing lipolysis and plasma FFA oxidation (32), it should be considered that  
459 the insulin response to 5 g of maltodextrin in PL may have affected substrate  
460 utilization and partially explain the drop in plasma FFA pre-SST in PL. However,  
461 because this dose represents 1/5<sup>th</sup> of the minimal dose that has been previously shown  
462 to affect substrate utilization (33) and also likely to elicit a minimal insulin response  
463 (34), a suppression of fat oxidation seems unlikely. As shown by Achten et al., a dose  
464 of 75 g of glucose (13 times the amount we used) seems to be necessary to suppress  
465 fat oxidation by ~25% during an incremental test (35). Moreover, fat oxidation does  
466 not appear to be affected by changes in FFA in the range observed (31).

467 Instead, it is possible that the observed increase in lipolysis and fatty acid oxidation  
468 is a consequence of a catecholamine-mediated response. Indeed, we observed an  
469 increase in baseline and pre-SST adrenaline, which could be mediated by inhibition of  
470 the catecholamine-O-methyl-transferase (COMT) enzyme as a consequence of the  
471 content of xanthines, chlorogenic acid and other caffeoyl derivatives in mate (11).  
472 **Such** mechanism has been hypothesized in the past, but never observed in humans  
473 (15).

474 Taken together, these results suggest that circulating pre-SST FFA and lipolysis  
475 during SST were related to the rates of fat oxidation observed, but do not completely  
476 explain our results. Therefore, it is likely that other loci of control and mechanisms  
477 such as intermediary metabolism and substrate transport into metabolically active  
478 tissues are important to explain our findings. **These potential mechanisms** should be  
479 further evaluated in the future by direct assessment of muscle metabolism in response  
480 to YM *in vitro* and *in vivo*. Additionally, future studies assessing the effect of very  
481 low doses of CHO prior to exercise on fat oxidation will allow to establish with better  
482 precision the magnitude of the effect of YM on fat oxidation reported here and shed  
483 further light on the points of metabolic control. In the mean time, our results suggest  
484 that YM indeed increases fat oxidation during exercise at submaximal intensities. The  
485 enhanced fat oxidation observed during SST, however, does not seem to be a reason  
486 behind the performance improvement.

487 The cycling performance improvement and parameters assessed during TTs  
488 provide valuable insights on the physiological response to YM supplementation. Time  
489 trials were an average 40 sec faster in YM as a consequence of an average 2.3%  
490 increase in absolute power output. The magnitude of improvement observed is in line  
491 with a recent meta-analysis showing an average 1.9% increase in performance by  
492 short-term polyphenol supplementation (9). Substrate selection was unlikely a factor  
493 affecting performance as we show a clear carbohydrate dependence in both groups  
494 (Figure 3, D), which is in accordance to the metabolic demands of intense cycling  
495 time-trials: mild differences in plasma substrate availability are overridden and  
496 normalized by high intensity exercise (20). In relation to physiological and other  
497 metabolic variables, a higher power-output was concomitant to higher average  $\dot{V}O_2$  as  
498 well as higher plasma lactate and adrenaline by the end of TTs in YM. Pacing strategy

499 showed a higher power-output in YM during the last two-thirds of TTs, indicating  
500 increased tolerance to fatigue. There were no differences in other physiological  
501 parameters such as average or maximum heart-rate, RPE or gross efficiency  
502 (measured during the submaximal test). Some of these results, in particular the pacing  
503 strategy and increased power output with similar RPE, suggest a performance  
504 improvement such as those observed for the effect of caffeine (36), but caffeine on its  
505 own is an unlikely candidate for explaining our findings.

506 The low amounts of naturally occurring caffeine (52 mg, or ~0.7 mg/kg) provided  
507 to our participants with YM treatment, were deliberately intended to fall under an  
508 ergogenic dose (37). The caffeine content in the plant material was in fact critical to  
509 determine treatment dosage so as to provide the highest possible amounts of other  
510 phytochemicals together with a non-ergogenic amount of caffeine **provided** it is  
511 widely accepted that 3 mg/kg of caffeine represents an ergogenic dose for endurance  
512 sports, an amount that results in plasma caffeine concentration of 15-20  $\mu\text{M}$ . Under  
513 this threshold, caffeine is unlikely to have ergogenic effects (37). In the current study  
514 we observed a peak plasma caffeine of 2.3  $\mu\text{M}$ , which represents ~1/8 of the alleged  
515 minimum plasma concentration representative of an ergogenic dose. Accordingly, it  
516 has been shown that doses of caffeine of 1 (38), 1.5 (39) and even 2 (40) mg/kg are  
517 not ergogenic in endurance tests lasting ~30 min. Moreover, no difference in the rates  
518 of perceived exertion and heart-rate during the submaximal test is another strong  
519 indicator of the lack of a caffeine-mediated effect (41). Therefore, our findings match  
520 those of previous studies and allow us to conclude that caffeine was not ergogenic, at  
521 least not in the way that is commonly observed.

522 Instead, the ergogenic effects of YM could be explained through a synergism  
523 between chlorogenic acids and caffeine in stimulating the central nervous system

524 (CNS). The effect of phenolic compounds on brain-specific COMT could diminish  
525 the breakdown of dopamine and increase its bioavailability (10). As a consequence of  
526 the increased CNS dopamine levels, doses of caffeine that would normally not be  
527 ergogenic could have a potentiation effect (42) on the -already higher- dopamine  
528 levels, and result ergogenic during exhaustive exercise (10, 42).

529 Additionally, the ergogenic effects of YM could also be related to its effects on  
530 regulation of blood flow (4). YM has been associated to increased eNOS activation  
531 and consequent endothelial nitric oxide production and vaso-relaxation (4, 43). While  
532 the effect of YM on this pathway has been studied only in rodent cardiac muscle (4),  
533 eNOS is present in human skeletal muscle (43) and it has been proven to be activated  
534 by polyphenols (9). While these ideas remain speculative, they provide suitable  
535 explanations matching our observations to the shown *in vivo* physiological and  
536 metabolic effects of YM. In particular, the effect of polyphenols on COMT represents  
537 a suitable mechanism linking both the metabolic and performance effects through its  
538 effects on liver and brain COMT isoforms.

539 In conclusion, YM increased fat oxidation at low exercise intensities (30-50%  
540  $\dot{V}O_{2max}$ ) and increased performance in a short (30 min) time-trial. While the  
541 performance improvement in the time-trials were likely due to factors other than  
542 increased fat utilization, enhanced fat oxidation by YM could potentially be of use for  
543 manipulating substrate use during training in conditions of low carbohydrate  
544 availability (44). The performance effect of YM should be addressed in more 'real  
545 life' racing conditions including pre- and during-exercise CHO-rich nutrition. In the  
546 meantime, our findings add to a growing body of information regarding the  
547 importance of phytochemicals for performance and we provide valuable new



548 physiological and metabolic insights to understand the mechanisms behind the  
549 response to YM.

550

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558 The results of the study are presented clearly, honestly, and without fabrication,  
559 falsification, or inappropriate data manipulation. The results of the present study do  
560 not constitute endorsement by ACSM.

561

562

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- 689
- 690

691 **FIGURE CAPTIONS**

692

693 **Figure 1.** Schematic overview of experimental trials. Participants ingested 5 g of  
694 Yerba Mate (YM) or Placebo (PL; maltodextrin) per day for 5 days prior to  
695 experimental trials. Exercise was controlled for 48 h prior to trials and diet was  
696 provided for 24 h prior to trials. On trial days venous blood samples were obtained at  
697 indicated time-points prior to and after ingestion of YM or PL. Submaximal step-test  
698 consisted of consecutive 5 min steps at 30, 40, 50, 60, 70 and 80% of  $\dot{V}O_{2max}$ . After 5  
699 min recovery, participants undertook a ~30 min time-trial to complete a pre-set  
700 amount of mechanical work. Expired gas samples were collected continuously during  
701 the submaximal step-tests and at indicated time-points during time-trials. BM, body  
702 mass; CHO, carbohydrates.

703

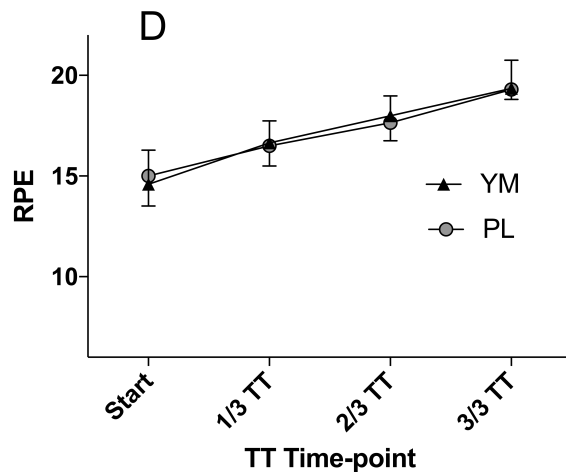
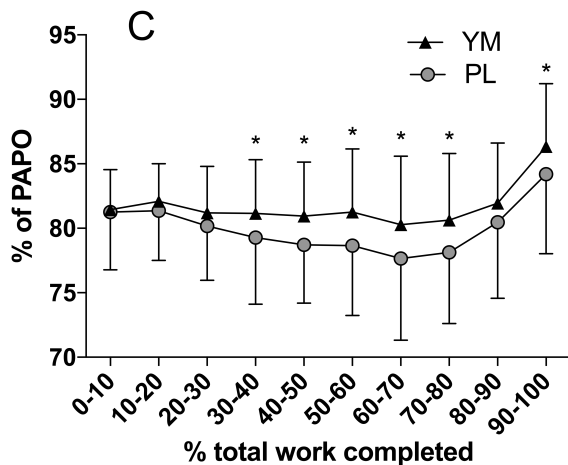
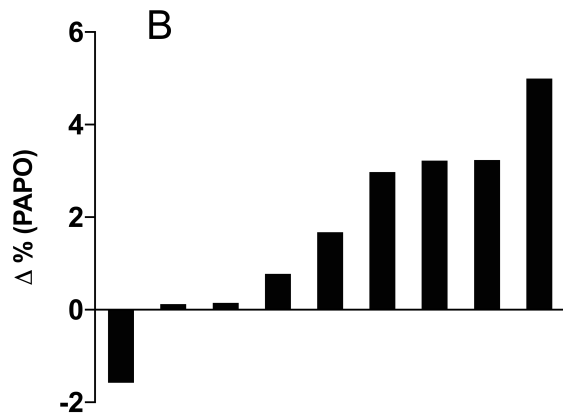
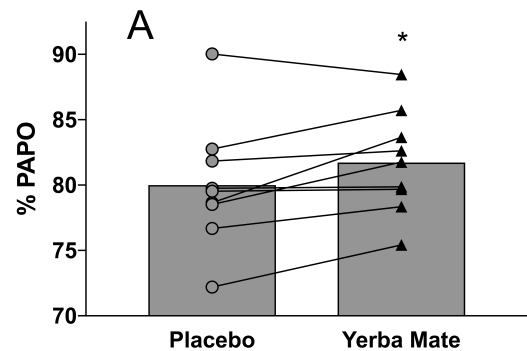
704 **Figure 2.** Performance time-trial test results showing average % PAPO (columns) and  
705 individual responses (dots and lines; A), individual differences in performance as  
706 delta % PAPO (B), pacing in both groups (C) and rates of perceived exertion at  
707 different time-points (D). Data in C and D are Means  $\pm$  SD. \* Significantly different  
708 from PL at same point ( $p < 0.05$ ). Data were analyzed using paired t-tests for pairwise  
709 comparisons and two-way repeated measures ANOVA with Student–Newman–Keuls  
710 post hoc analysis for multiple comparisons. PL, Placebo; PAPO, Peak Aerobic Power  
711 Output; RPE, Rate of Perceived Exertion (Borg); YM, Yerba Mate.

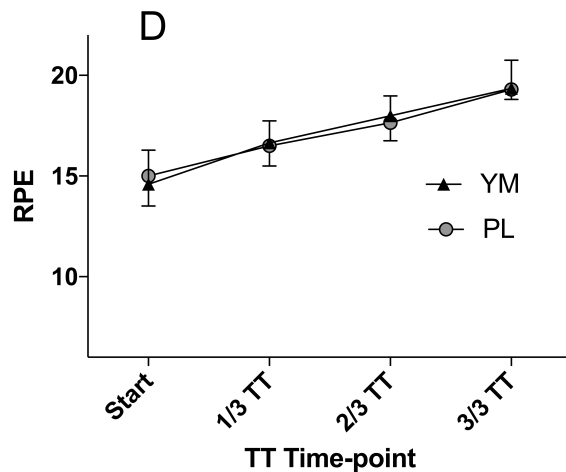
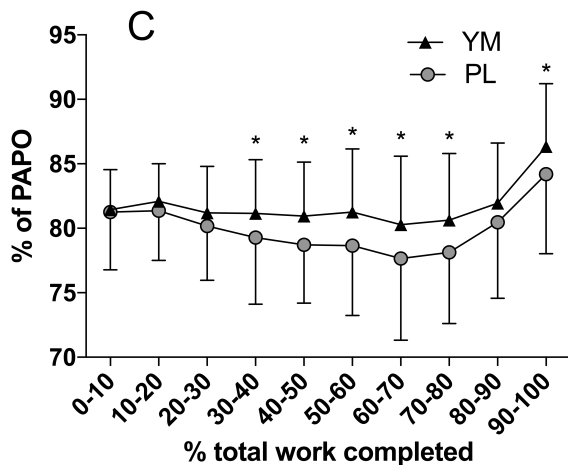
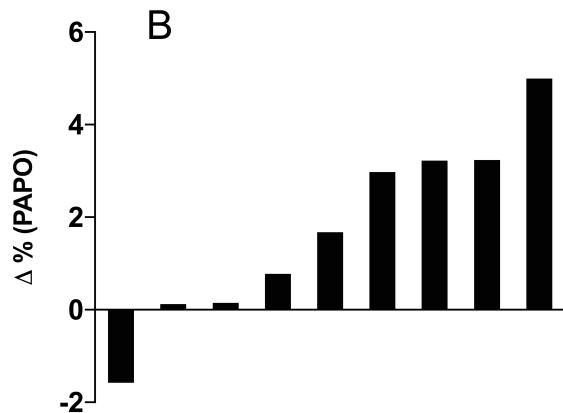
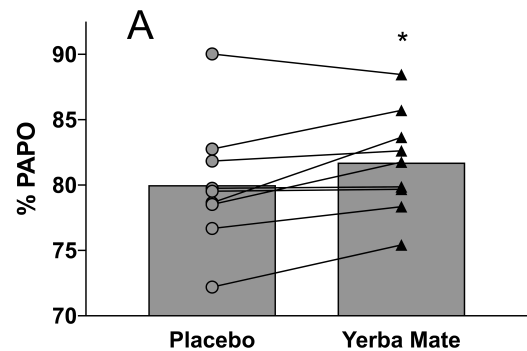
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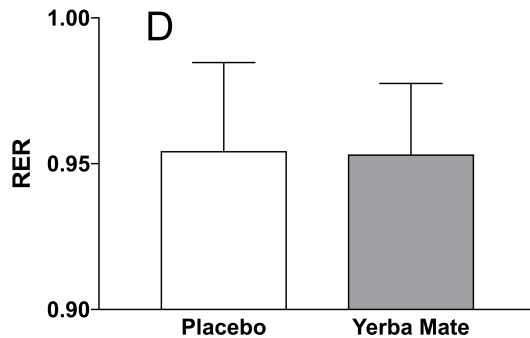
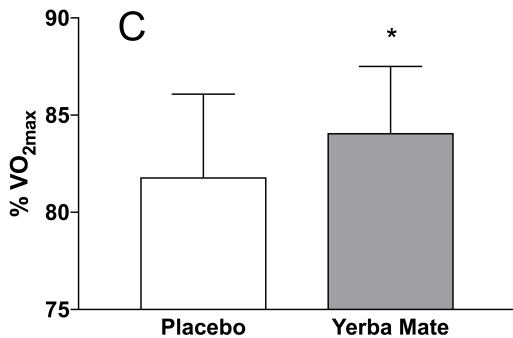
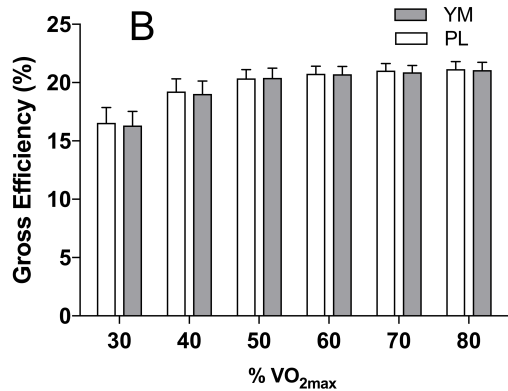
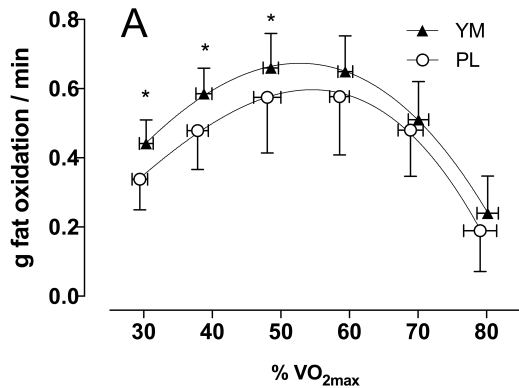
713 **Figure 3.** Respiratory responses during submaximal step test (SST; A and B) and  
714 during simulated Time Trials (TTs; C and D). Calculated fat utilization (A) and  
715 efficiency (B) during submaximal step-tests at power to elicit 30, 40, 50, 60, 70 and



716 80% of  $\dot{V}O_{2max}$ . TTs oxygen consumption as % of  $\dot{V}O_{2max}$  (A), respiratory exchange  
717 ratio (B) and heart rate (C). Data are Means  $\pm$  SD (n = 11, SST, n = TTs). \*  
718 Significantly different from PL at same point (p<0.05). Data were analyzed using  
719 two-way repeated measures ANOVA with Student–Newman–Keuls post hoc analysis.  
720 PL, Placebo; YM, Yerba Mate.







**Supplementary Table 1.** Phytochemical content of 4 brands of Yerba Mate, pre-screened for the study. Total phenols and flavonoids were determined in arbitrary units and are reported as percentage of average values across the 4 brands. Scavenging activity was determined with DPPH (2,2-diphenyl-1-picryl-hydrazyl-hydrate) free radical method and is reported as % scavenged. La Merced was selected as plant for the current study due to a higher sum of ratio between total phenols, flavonoids, scavenging activity and caffeine.

	Taragui	La Merced	Rosamonte	Guayaki
Total Phenols (% of average)	87	107	97	110
Flavonoids (% of average)	81	108	103	108
Scavenging activity (% scavenged)	72	81	72	84
Caffeine (% plant material)	0.93	1.12	1.09	1.14

**Supplementary Table 2.** Origin details of Yerba Mate brands utilised in this study.

Brand	Producer (Farm)	Variety	Country of Origin	Lot number
Taragui	Las Marias	Regular Blend	Argentina	L 21015 53234 12:04
La Merced	Las Marias	de campo	Argentina	L 24515 09:10 A 3370
Rosamonte	Diez Hermanos	Regular Blend	Argentina	9215 RP 18:20
Guayaquí	Guayaquí	Biodynamic	Argentina	Not provided