

1 **Natural fractures and their attributes in organic-rich shales: Insights from the**
2 **Paleozoic Wufeng-Longmaxi Formation, southeastern Sichuan Basin.**

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17
18 **Abstract:**

19 Fractures in organic-rich shale affect the evolution of permeability and control
20 shale gas preservation. We characterize fracture attributes in the Qiyue-Huaying Fold-
21 Thrust belt in the southeastern Sichuan Basin, revealing the distribution, origin and
22 factors controlling fracture localization through investigation of cores, image logs, and
23 thin section petrography. We found that the deformation intensity, organic matter
24 content and lithology are the major factors for controlling fracture occurrence and
25 location in the Wufeng-Longmaxi deep shale. The major fracture pattern in the Fuling
26 Block is characterized by abundant inclined shear fractures, bed-parallel shear fractures,
27 and bed-normal extension fractures, while bed-parallel veins prevail in the Luzhou
28 Block. In general, fracture density and size in the Fuling Block are larger than those in
29 the Luzhou Block. The competent layers (siliceous shale with high TOC) have the

30 highest fracture density, and noticeably, organic matter content controls bed-parallel
31 vein localization. Based on the distribution of fractures in two blocks, we suggest that
32 the dominant origin of fractures in organic-rich shale gradually changes from tectonic
33 events to fluid pressure changes due to organic maturation (organic events), from the
34 Fuling Block to the Luzhou Block.

35

36 **Keywords:** Natural fractures; Origin; Organic-rich shale; O₃w-S₁l Formation; Sichuan
37 Basin

38

39 **1 Introduction**

40 An organic-rich shale usually has low host rock permeability. Consequently open
41 fractures are, together with mineral filled fractures, important geological features that
42 can have a dramatic impact on the mechanical strength and flow performance of
43 hydrocarbon reservoirs in shale ([Engelder et al., 2009](#); [Cobbold et al., 2013](#); [Gale et al.,](#)
44 [2014](#); [Zeng et al., 2016](#); [Zanella et al., 2021](#)). Additionally, pre-existing fractures can
45 modify hydraulic fracture growth, influencing the success of engineering operations.
46 The orientation of fractures and internal structures of mineral deposits in fractures
47 (textures and inclusions) can reveal information about palaeo-stress fields, deformation
48 kinematics, fracture timing, and fluid pressure ([Bons et al., 2012](#)). Therefore,
49 characterizing the fracture attributes in deep shale is crucial to better understand brittle
50 deformation process and permeability evolution within these potential reservoirs. On
51 the other hand, fracture attributes can provide constraints to calibrate numerical models

52 for the exploration and production of unconventional resources (Romero-Sarmiento et
53 al., 2013; Li et al., 2023). Knowledge of the attributes and origins of natural fractures
54 is thus of practical as well as scientific interest.

55 Formation mechanisms and factors controlling various fracture attributes have
56 been widely discussed. Among these concerns is the appreciation that several
57 mechanisms may act independently or in combination to cause fractures such as
58 diagenesis (Meng et al., 2021), hydrocarbon generation, and tectonic events (structural
59 deformation) (Bons et al., 2012; Wilkins et al., 2014; Zanella et al., 2015; Zeng et al.,
60 2016; Caswell and Milliken, 2017; English and Laubach, 2017).

61 Previous studies have reported that in shale the dominant location of fractures
62 mainly depends on the Young's modulus and Poisson's ratio or brittleness (e.g.
63 lithology), as well as the stratigraphic contrast between beds (Engelder and Peacock,
64 2001; Peacock and Mann, 2005; Zeng et al., 2013; Ilgen et al., 2017; Peng et al., 2020).

65 For organic-rich shale, fluid overpressure has been regarded as a major opening
66 force for extensive fracturing and an effective driving force for oil and gas migration
67 (Hunt, 1990; Hantschel and Kauerauf, 2009; Fall et al., 2012). Previous studies have
68 reported a correlation between the formation of bed-parallel veins and overpressure
69 during hydrocarbon generation (Cobbold et al., 2013). Therefore, many datasets
70 document a positive correlation between TOC and BPV localizations (Larmier et al.,
71 2021). However, this correlation is not always universal, such as TOC seem have a low
72 correlation between BPV locations (Weger et al., 2019). This is interpreted that weak
73 interfaces provided by abrupt lithology changes can control the BPV location (Larmier

74 [et al., 2021](#)).

75 These considerations show that to understand the distribution of fractures, the
76 effects of structural position and rock type need to be accounted for. Moreover, since
77 uplift history may also play a role in fracture development ([English and Laubach, 2017](#))
78 studies that focus on fractures at depth have the advantage of minimizing the effect of
79 this variable. Here, we use core to investigate fractures in an organic shale that is found
80 in different structural blocks in an active tectonic setting.

81 The Sichuan Basin is a major shale gas production area in China ([Ma and Xie,](#)
82 [2018; Ma et al., 2020](#)). Fractures have garnered considerable attention for their effects
83 on the storage and migration of natural gas in the Upper Ordovician Wufeng Formation-
84 Lower Silurian Longmaxi Formation (439~444 Ma) ([Zeng et al., 2013, 2021; Xu et al.,](#)
85 [2021; Tian et al., 2022; Ma et al., 2023](#)). The current exploration in the southeastern
86 Sichuan Basin shows that with a similar hydrocarbon generation potential and burial
87 history, there is significant heterogeneity in gas reserves and production ([Zou et al.,](#)
88 [2015; Ma et al., 2020](#)). Previous studies have shown that the fold/fracture deformation
89 process related to uplift since Yanshanian has affected the leakage of shale gas ([Liu et](#)
90 [al., 2021; Ma et al., 2021; Feng et al., 2022](#)). Physical simulations combined with apatite
91 fission track show that deformation intensity decreases from the basin margin to the
92 interior in southeastern Sichuan Basin ([Li et al., 2020; Feng et al., 2022](#)). This implies
93 that regional variation in deformation intensity and associated fluid pressure may lead
94 to different fracture attributes in different blocks.

95 Because it is impossible to detect or adequately characterize fractures using

96 geophysical data, fracture characterization of deep (2000 m ~ 5000 m) core is important
97 to better understand the brittle structure in shale (Table 1). However, few published
98 studies exist on natural fracture attributes in the Wufeng-Longmaxi Shale (Xu et al.,
99 2020; Li et al., 2024), although fractures are common.

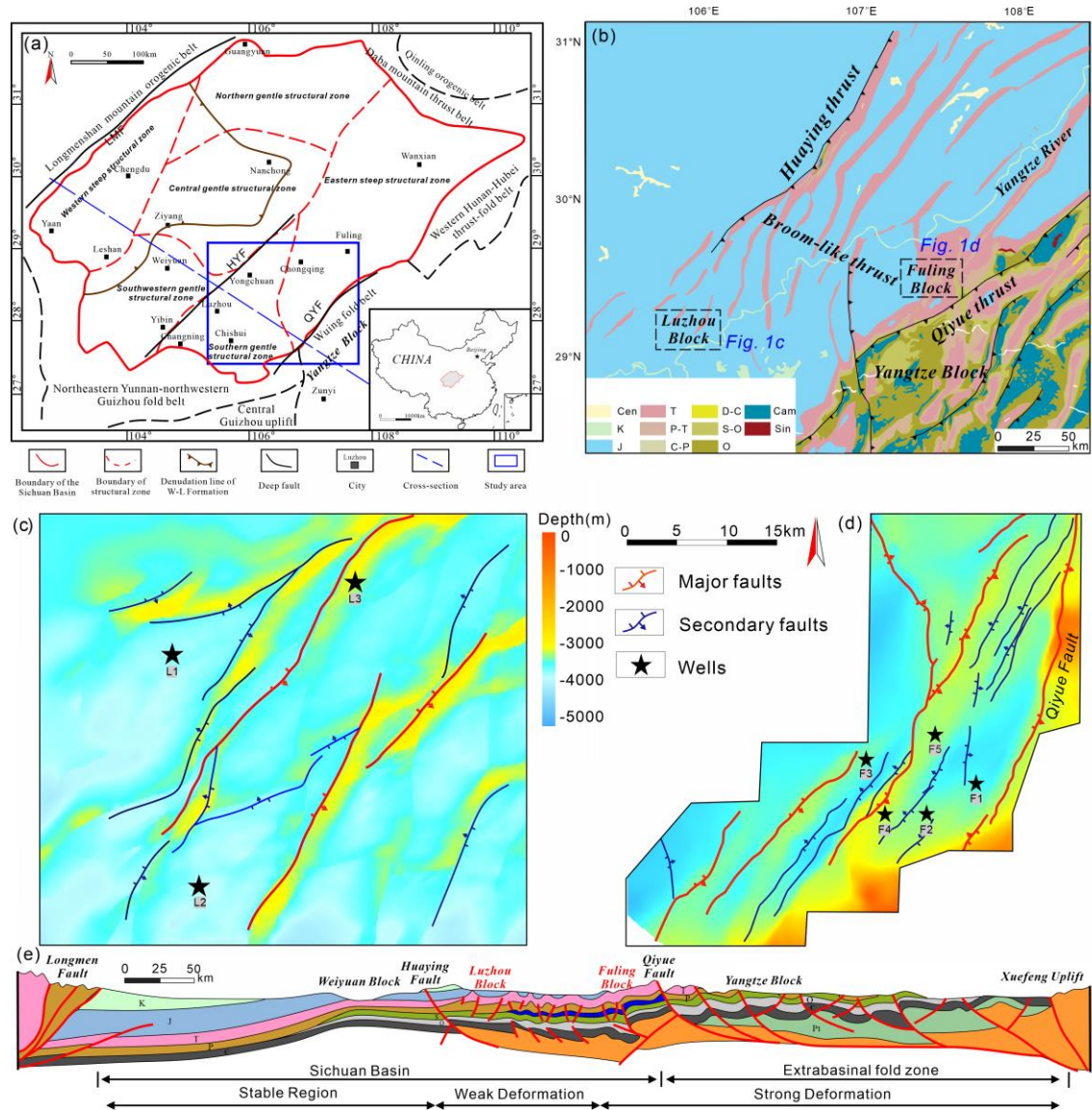
100 In this study, we investigate the attributes and distribution of fractures in organic-
101 rich shale in the southeastern Sichuan Basin (Fig. 1). The main purposes of this survey
102 were: 1) to constrain the factors controlling fracture occurrence in organic-rich shale,
103 2) to define the fracture attributes in deep shale, in order to determine the heterogeneous
104 distribution of fractures in different structural blocks and to assess the causes of this
105 variability. For this organic-rich shale, we show that the cause of fractures shifts
106 spatially across structural blocks from tectonic events to fluid pressure changes due to
107 organic maturation (organic events).

108

109 **2 Geological setting**

110 The Sichuan Basin is a large petroliferous basin in the South China block (Fig. 1a)
111 containing abundant shale gas resources in the Wufeng-Longmaxi formation (Zou et al.,
112 2021), which has undergone multi-stage subsidence, uplift, and exhumation since the
113 Proterozoic. The Sichuan basin is dominated by three stages of basin evolution: (a) a
114 marine carbonate platform from the Ediacaran to Middle Triassic, (b) a foreland basin
115 with fold deformation from the Late Triassic to Late Cretaceous, and (c) subsequent
116 exhumation uplift and structural adjustment from the late Cretaceous to the Quaternary
117 (Deng et al., 2016; Liu et al., 2021).

118 Our focus is the southeast of the Sichuan Basin, and the deformation that
119 propagated from the Yangtze block into the southeast Sichuan Basin through a flat-
120 ramp-flat structure (He et al., 2018; Gu et al., 2021), with a boundary at the Qiyue thrust
121 (Fig. 1a and b). A remarkable structural feature is the multiple reverse fault groups with
122 different trends. The strike of the major fault rotated from northeast to north-south and
123 formed a large, broom-like thrust belt (Fig. 1b). Since the Paleozoic, the southeast
124 Sichuan Basin has undergone several tectonic events during Yanshanian to Himalayan
125 Orogeny (Liu et al., 2021), expressed by at least two phases of deformation from Late
126 Cretaceous to Oligocene. The first one is characterized by the NE-striking reverse faults
127 formed during the Yanshanian Orogeny (Fig. 1c). A second nearly E-W compressional
128 stage is visible with nearly SN-striking reverse faults formed during the Himalayan
129 Orogeny (Fig. 1d) (Cao et al., 2023).

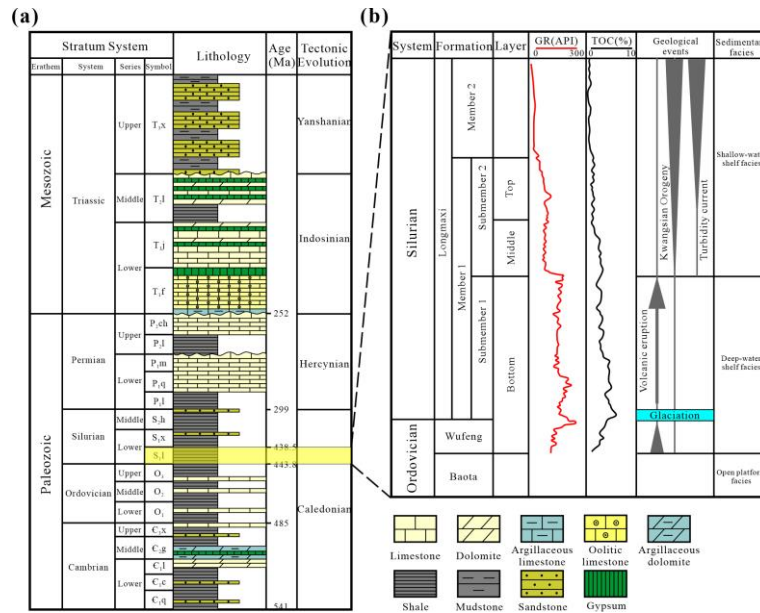


130

131 **Fig. 1.** Geology of the Qiyue-Huaying Fault-Thrust belt. (a) Location of the study area
 132 (the blue rectangle) and tectonic units of the Sichuan Basin modified from (Dai et al.,
 133 2014). HYF-Huaying fault, QYF-Qiyue fault.

134 (b) Geological map of the Sichuan Basin with the Upper Ordovician Wufeng Formation-Lower Silurian Longmaxi Formation
 135 (O₃w-S₁l) outcrops along the Qiyue-Huaying Fold-Thrust belt. Only major thrust fault
 136 distributions are shown. (c) Location of wells in Luzhou Block. (d) Location of wells
 137 in Fuling Block.

138 (e) Simplified cross section across the Sichuan Basin from NW to SE,
 139 including the Longmen orogenic belt in the NW, Xuefeng uplift domain in the SE, the
 140 western and central Sichuan Basin, and the southeastern Sichuan Basin which is
 141 bounded by the Huaying fault and Qiyue fault.



142

143 **Fig. 2.** Simplified stratigraphic column for the southeastern Sichuan Basin (a) and the
 144 sequence of geological events (b) in the Wufeng-Longmaxi Formation, modified from
 145 (Huang et al., 2023).

146

147 The shales studied belong to Upper Ordovician Wufeng (O_{3w}) and the First
 148 Member of the Longmaxi (S_{1l}) Formations (439~444 Ma) (Fig. 2a). During the
 149 deposition of the O_{3w}-S_{1l} Formation, the study area was in a large bay environment
 150 with low energy and hypoxia. (Huang et al., 2018; Zhang et al., 2018), and the total
 151 thickness of the targeted layers is from 80 to 150 m. A previous study reported that four
 152 geological events control the deposit of the O_{3w}-S_{1l} Formation, including glaciation,
 153 volcanic eruptions, the Kwanghsian Orogeny, and turbidity currents (Huang et al., 2023)
 154 (Fig. 2b), which results in the obvious three-part subdivision of the target layer (Fig.
 155 2b). The bottom interval of O_{3w}-S_{1l} Formation is mainly black carbonaceous and
 156 siliceous shale, containing massive siliceous biological fossils like radiolarians and
 157 siliceous sponges. The middle interval is mainly gray-black and black silty shale. The

158 siliceous components here are mainly terrestrial silts with rare biological fossils. The
159 top interval is mainly gray and dark gray clay shale, while siliceous content is low (<
160 50%) (Guo, 2019). From the bottom to the top, the targeted layers generally transit from
161 deep-water shelf facies to shallow water shelf facies, displaying lighter color,
162 decreasing TOC, fewer siliceous minerals, and increasing clay content (Tuo et al., 2016;
163 Hao et al., 2021).

164 In general, the burial history of the target layer is characterized by early subsidence
165 and late uplift. According to basin modeling (Gao et al., 2019), rapid subsidence during
166 the Triassic and Jurassic with a maximum burial (about 7000 m) during the Cretaceous
167 was followed by significant uplift, erosion, and deformation during the Yanshanian-
168 Himalayan orogeny (after Late Cretaceous) (Ge et al., 2016; Li et al., 2020). The main
169 phase of oil generation began in the Triassic, before maximum burial in the Late
170 Cretaceous, and peaked during the Early Cretaceous (Shangbin et al., 2017). During the
171 Late Cretaceous, the depth to the bottom of the O₃w-S₁l Formation was nearly 7000 m,
172 which led to black shale becoming overmature ($R_o > 2.5\%$) (Liu et al., 2016). The
173 study area experienced continuous uplift from the Later Yanshanian to Himalayan. At
174 present, the burial depths of the O₃w-S₁l Formation mainly range from 2500 m to 4500
175 m, with more shallowly buried rocks near the basin margin. All rocks are in the late
176 stage of oil cracking.

177

178 **3 Methodology and data**

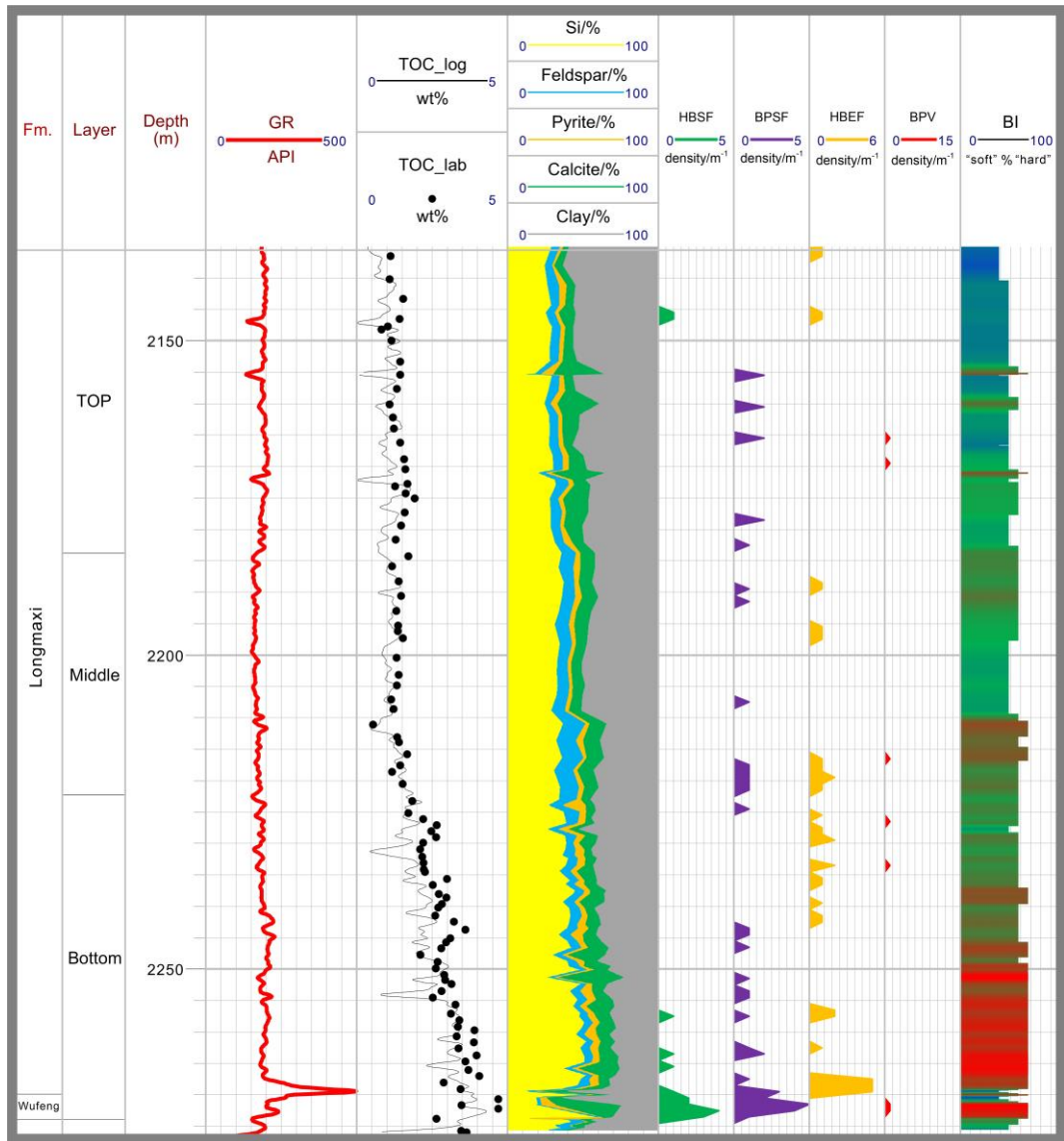
179 *3.1 Mineralogy and organic matter measurements*

180 Our study is based on cores from eight vertical wells located in the Qiyue-Huaying

181 Fold-Thrust belt in the southeastern Sichuan Basin. Core lengths range from 94.6 to
182 169.7 m and total length of 966.2 m (Table 1). Five wells are in the strong deformation
183 area near the edge of the basin (Fuling Block), and three wells are in the weak
184 deformation area near the basin interior (Luzhou Block) (Fig. 1b). To find potential
185 links between the fractures and host-rock petrophysical properties, for eight cores,
186 Rock-Eval analysis and X-ray diffraction (1-m spacing) were used to measure TOC
187 (TOC_lab) and quantitative mineralogy (Weger et al., 2019; Ravier et al., 2020; Larmier
188 et al., 2021). Results are expressed as a discrete dataset related to depth (Fig. 3, Fig. 4).
189 A total of 840 XRD measurements and Rock-Eval analyses were made in this study
190 (Table 1). Furthermore, to constrain the relationship between fracture locations and
191 TOC value, continuous TOC values (TOC_log) quantified by resistivity and porosity
192 logs are compared with fracture locations.

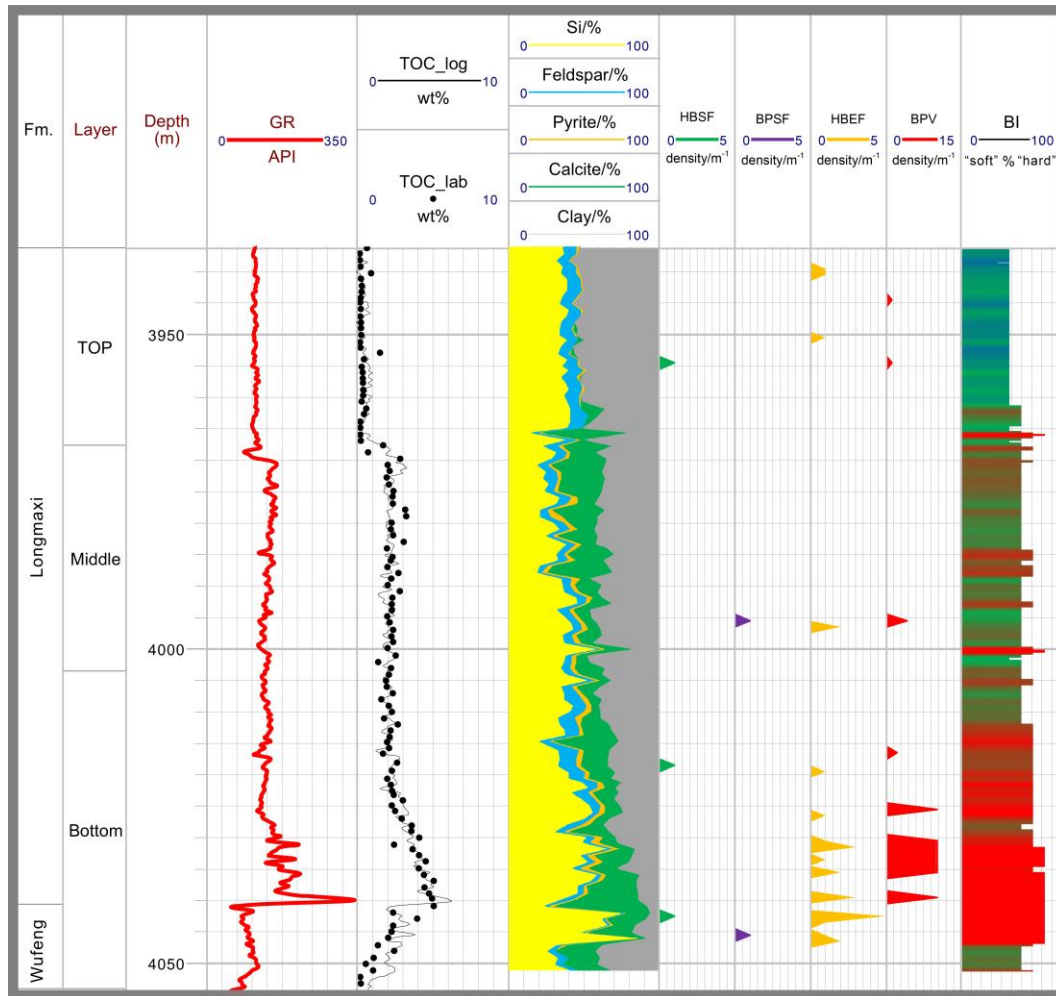
193 **Table 1.** Summary of information about survey wells in the study area.

Location	Well	Samples	Core length [m]	Depth range [m]	Ro [%]	TOC [%]	Pore fluid factor (λ)
Luzhou	L1	106	121	3921.4-4042.4	2.8	Min-Max Avg- 1.61	0.18-4.97 0.90
	L2	118	103.3	3950.7-4054	2.8	Min-Max Avg- 1.88	0.14-5.04 0.84
	L3	163	169.7	3296.2-3465.9	2.8	Min-Max Avg- 1.56	0.11-6.62 0.81
	F1	84	99	4562-4661	2.8	Min-Max Avg- 2.33	0.24-5.55 0.52
	F2	129	121	3486.3-3607.3	2.8	Min-Max Avg- 2.05	0.11-7.42 0.48
	F3	107	115.3	3395.5-3510.8	2.8	Min-Max Avg- 2.01	0.19-4.69 0.41
Fuling	F4	101	142.3	2134-2276.3	2.8	Min-Max Avg- 2.45	0.31-6.81 0.50
	F5	32	94.6	2804-2898.6	2.8	Min-Max Avg- 2.38	0.33-4.81 0.41



194

195 **Fig. 3.** Simplified stratigraphy and fracture distribution of well F4 in the Fuling Block
 196 including the GR (gamma ray from log), the discrete TOC values (TOC_lab) measured
 197 by Rock-Eval analysis, the continuous TOC values calculated by log curve, calculated
 198 by XRD data, the density (m^{-1}) of four types of fractures, and brittleness. BI-brittleness
 199 index. High values of brittleness are in red, low values in blue, intermediate values are
 200 in green.



201

202 **Fig. 4.** Simplified stratigraphy and fracture distribution of well L2 in the Luzhou Block
 203 including the GR (gamma ray from log), the discrete TOC values (TOC_lab) measured
 204 by Rock-Eval analysis, the continuous TOC values calculated by log curve, calculated
 205 by XRD data, the density (m^{-1}) of four types of fractures, and brittleness. BI-brittleness
 206 index. High values of brittleness are in red, low values in blue, intermediate values are
 207 in green.

208

209 3.2 Drill observations and fracture measurement

210 Structures of interest in the cores are fractures (opening-mode fractures that are
 211 open or mineral filled, in other words, joints and veins) that are visible to the eye, rather
 212 than micro-fractures and faults. The observations were limited to small-scale structures
 213 by full core size of 100cm/10cm/10cm archived in boxes. Attributes measured on image

214 logs, cores, and thin sections include the following: (1) fracture type, orientation, and
215 depth on cores; (2) fracture strike measured by image log; (3) heights of inclined shear
216 fractures and bed-normal extension fractures in cores. Most of the truncated fractures
217 are inclined shear fractures in cores. Note that even though truncated, minimum heights
218 can be measured. Also documented are (4) cement texture; (5) the fracture density (m⁻¹).
219

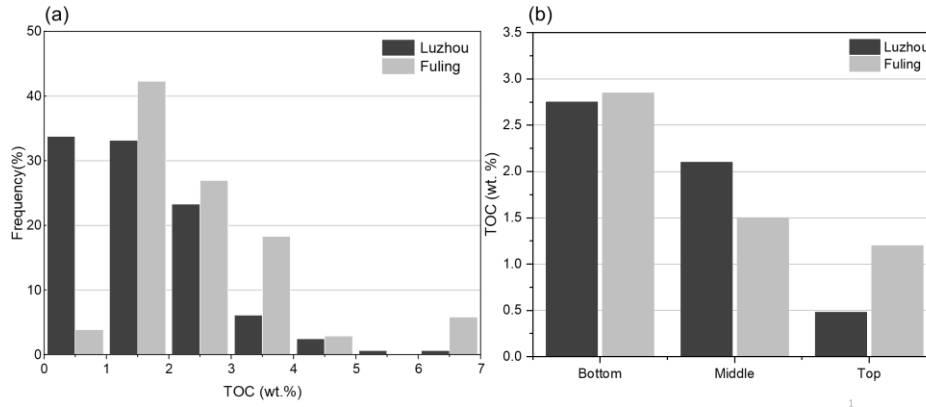
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221 **4 Results**

222 *4.1 TOC and mineralogy*

223 The O₃w-S₁l Formation is dominated by type I kerogen and represents a narrow
224 range from 2.8% to 3.0 % Ro in study area (Dai et al., 2014). The TOC of the Luzhou
225 Block ranges from 0.14% to 5.04 %, with an average of 1.88% (Fig. 5a). The TOC of
226 the Fuling Block ranges from 0.31% to 6.81 %, with an average of 2.45 %. Generally,
227 the TOC content shows wide variations between these three intervals, with a general
228 order of Top < Middle < Bottom (Fig. 5b). The TOC at the bottom interval is almost
229 more than 3 %, and the TOC shows a decreasing trend in the vertical direction and is
230 the lowest at the top interval (Fig 3, Fig 4).

231



232
 233 **Fig. 5.** Comparison of the total organic carbon between two blocks. (a) The distribution
 234 frequency of TOC. (b) The average TOC of three intervals of the O₃w-S₁l Formation.

235

236 The main components of shale are quartz and clay minerals, accounting for about
 237 80% (Fig. 6a), followed by carbonate minerals (such as calcite and dolomite) and
 238 feldspar, while pyrite is only present in small quantities. A ternary diagram of
 239 mineralogy (Lazar et al., 2015) shows that most of the shale samples can be classified
 240 into three main groups, namely siliceous lithofacies (si), argillaceous-siliceous mixed
 241 lithofacies (ar-si and si-ar) and argillaceous lithofacies (ar) (Fig. 7a). The top interval
 242 of the Wufeng-Longmaxi Formation is mainly composed of argillaceous shale, while
 243 the middle and bottom interval are dominated by argillaceous-siliceous mixed shale (ar-
 244 si and si-ar) and siliceous shale, respectively (Fig. 7b). Overall, the quartz content
 245 increases with increasing depth, while the clay content decreases (Fig. 3, Fig. 4). Thus,
 246 these three intervals were further divided into organic-poor argillaceous lithofacies,
 247 organic-containing argillaceous-siliceous mixed lithofacies, and organic-rich siliceous
 248 lithofacies, based on the mineral composition and TOC content.

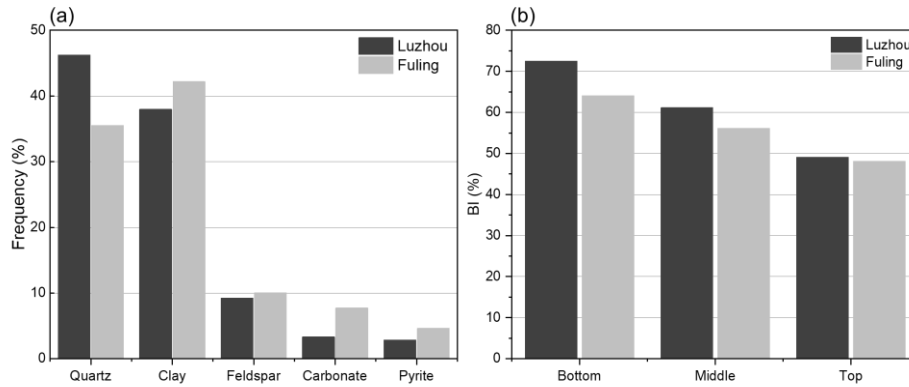
249 Rock brittleness is the tendency of rock brittlely failing when it is subjected to

250 stress. Brittleness has a strong effect on the occurrence of fractures. In our study, the
251 content of brittle minerals (quartz, feldspar, pyrite, and carbonate minerals) is used to
252 calculate a brittleness index (BI) (Pei et al., 2016).

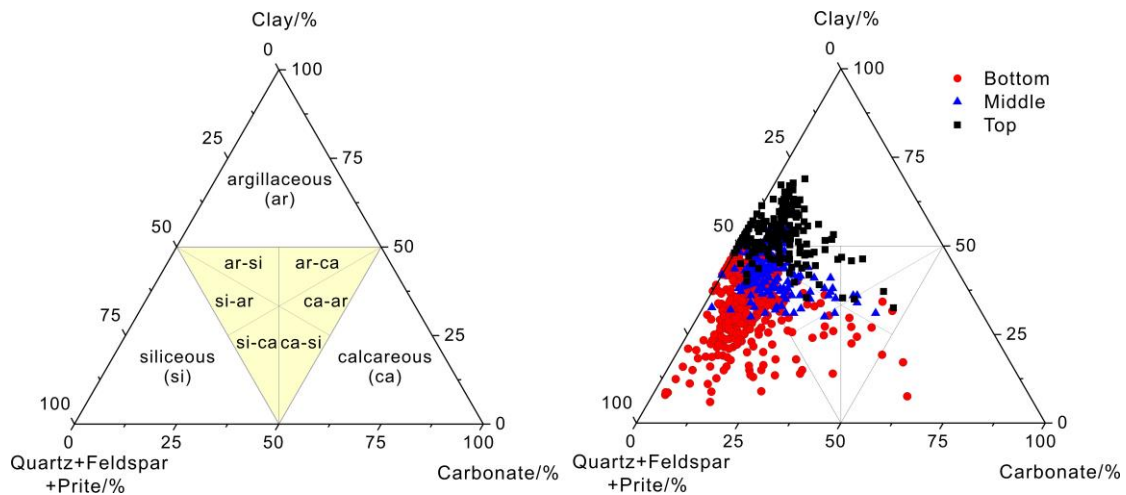
253 The brittleness index of the Luzhou Block ranges from 41 % to 87 %, 63 % on
254 average; The brittleness index of the Fuling Block ranges from 33 % to 78 %, 58 % on
255 average. Overall, the brittleness index in the Luzhou Block is slightly higher than that
256 in the Fuling Block. Note that the brittleness index gradually decreases from the bottom
257 interval to the top interval (Fig. 3, Fig. 4, Fig. 6b) and the content of quartz at the bottom
258 interval can exceed 75 %, which is related to extensive siliceous fossils. This variation
259 can be interpreted as the biological quartz gradually becoming sparse upward, where
260 terrigenous inflow deposits tend to be dominant (Huang et al., 2023). In the bottom
261 interval, volcanic and glacial events contributed to high productivity and anoxic water,
262 resulting in organic-rich shale with abundant k-bentonite and pyrite layers (Huang et
263 al., 2023).

264 Generally, the frequent volcanic events and deep-water shelf with low terrigenous
265 supplied organic matter and siliceous skeletons to the bottom interval of the O₃w-S₁l
266 Formation sediments (Huang et al., 2023). However, subsequent sediments (middle and
267 top interval) with low organic matter are the result of the rapid sedimentary rate and
268 elevated terrigenous materials (detrital quartz and clay minerals) related to Kwangsian
269 Orogeny.

270



271
 272 **Fig. 6.** Comparison of mineralogy between the two blocks. (a) The proportion of
 273 different types of minerals. (b) The brittleness index of three intervals of the O₃w-S₁l
 274 Formation.



275
 276 **Fig. 7.** (a) Classification of shale lithofacies adapted from (Lazar et al., 2015); (b)

277 Ternary plots of the mineralogy of the shale in the Wufeng-Longmaxi Formation based
 278 on X-Ray Diffraction analysis; si– siliceous; ca–calcareous; ar–argillaceous;

279

280 4.2 Fracture Characterization

281 4.2.1 Fracture types and vein petrography

282 Based on the investigation of the core and thin sections, combined with
 283 fractography, four main types of fractures are identified, including the following:

284 (1) Inclined shear fractures (ISFs) are commonly non-strata-bounded and always

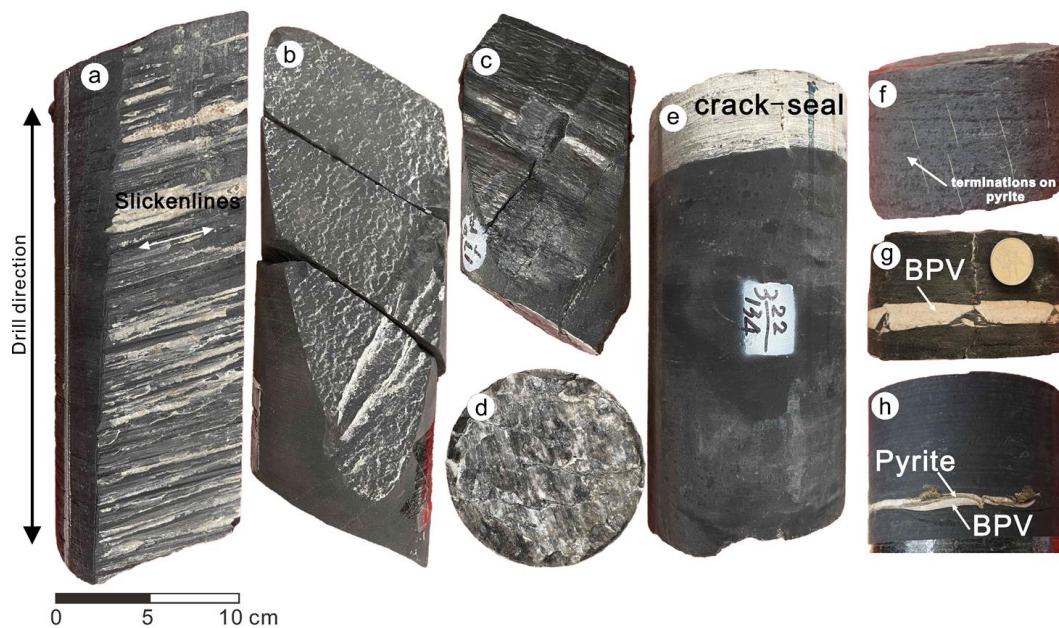
285 steeply dipping fractures (dip angle $> 70^\circ$) (Fig. 8a-c). The fracture surfaces are
286 decorated with glassy or striated calcite created by significant shear offset (Fig. 8a and
287 b), indicating its shear slip mechanism. These fractures are commonly sealed with
288 stretching calcite crystal and pyrite (Fig. 9a and b), indicating mixed failure mode. Due
289 to the limitation of core diameter (100cm/10cm/10cm), high angle to bedding shear
290 fracture lengths are censored. The height in core ranges from decimeters to meters.

291 (2) Bed-parallel shear fractures (BPSFs) are parallel or sub-parallel to bedding
292 planes. On the fracture surfaces there are slickensides decorated with scratches and
293 steps (Fig. 8d), indicating the mixed failure mode. Such fractures are frequently sealed
294 with fibrous calcite formed by crack-seal events (Fig. 8e, Fig. 9c). These structures have
295 inclusion bands of wall-rock fragments (Fig. 9c). Such textures in mineral-filled
296 fractures typically record evidence of many repeated cycles of shear fracturing and
297 cement precipitation (Ramsay, 1980; Laubach et al., 2004; Holland and Urai, 2010).

298 (3) Bed-normal extension fractures (BNEFs) are generally strata-bounded (Hooker
299 et al., 2013), and the fracture height is controlled by mechanical layering (Fig. 8f),
300 fracture terminations commonly occur at lithologic interfaces (Fig. 8f), suggesting a
301 difference in the strain response of lithologies or interfaces. Fracture terminations at
302 boundaries may be abrupt or tapering. The bed-normal extensional fractures are sealed
303 with blocky or elongated blocky calcite and quartz crystals (Fig. 9d), while some
304 fractures are partly mineralized by quartz crystals (Fig. 9e). Our observations suggest
305 that the bed-normal fractures opened in mode I in most cases.

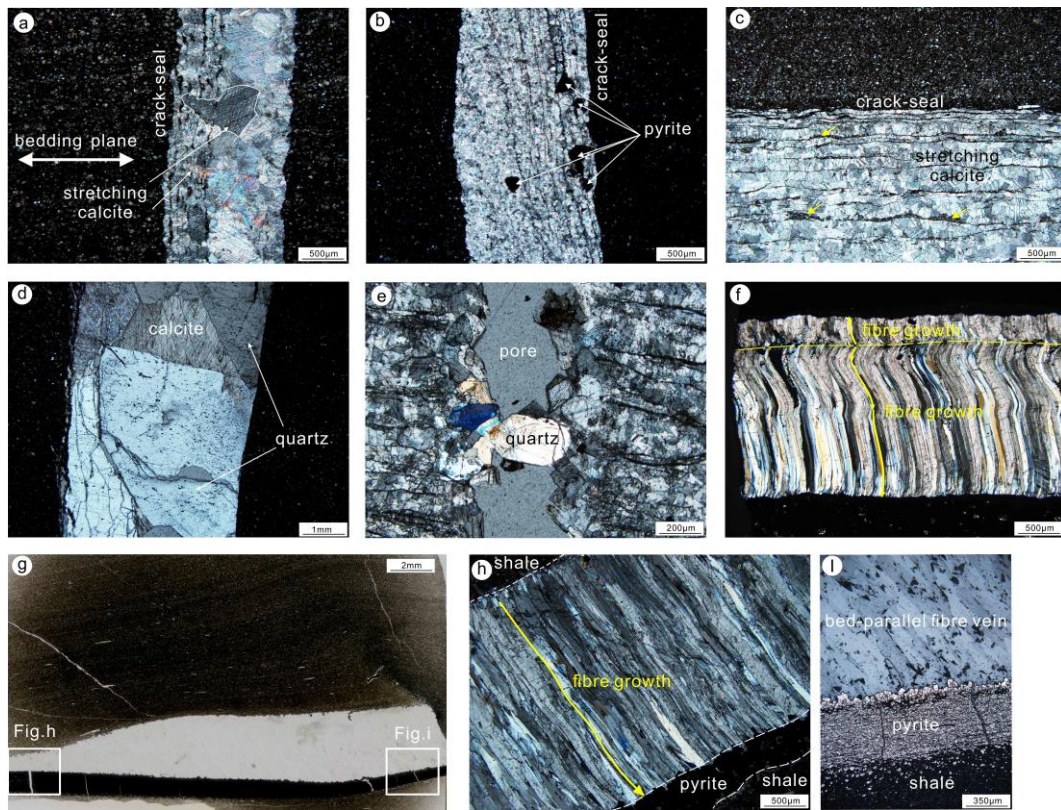
306 (4) Bed-parallel mineral filled fractures are generally mineralized by fibrous

307 calcite (calcite beef) in our study area (Fig. 8g and h), which is common for fractures
 308 in organic-rich shale of marine-carbonate origin (Cobbold et al., 2013; Gale et al., 2014).
 309 These fractures are widely referred to as bed-parallel veins (BPVs), and we adopt this
 310 terminology. Some BPVs show fibers perpendicular to the edges of the host rock,
 311 indicating that they are formed by a pure extensional opening. Some others show
 312 sigmoidal fibers (Fig. 9f) that record structural shortening during their growth
 313 (Rodrigues et al., 2009; Ukar et al., 2017). Not all bed-parallel veins crosscut the whole
 314 core, some of them are the same length as bed-parallel pyrite strips (Fig. 8h), in which
 315 fibers seem to have grown from one edge to another without a median zone (Fig. 9g-i).



316
 317 **Fig. 8.** Photography of the fractures in cores. (a-b) Inclined shear fracture (dip
 318 angle $>70^\circ$), the stepped calcite crystal slickensides along steep shear fractures face,
 319 representing mixed mode failure. (c) Inclined shear fracture, glossy slickensides along
 320 steep shear fractures face, may represent mode II failure. (d) Top projection of the
 321 sample-E. Bed-parallel shear fracture, stepped crystal-fiber slickensides along bedding
 322 plane, representing mixed mode failure. (e) Mineralized bed-parallel shear fracture
 323 exceeding 5 cm recorded multiple crack-seal events and represent mixed mode failure.

324 Note that bed-normal extension fracture cuts through bed-parallel shear fracture. (f)
 325 Bed-normal extension fractures, representing mode I failure and horizontal opening.
 326 Fractures terminate in thin pyrite layers. (g-h) Bed-parallel fibrous veins, representing
 327 mode I failure and vertical opening.
 328



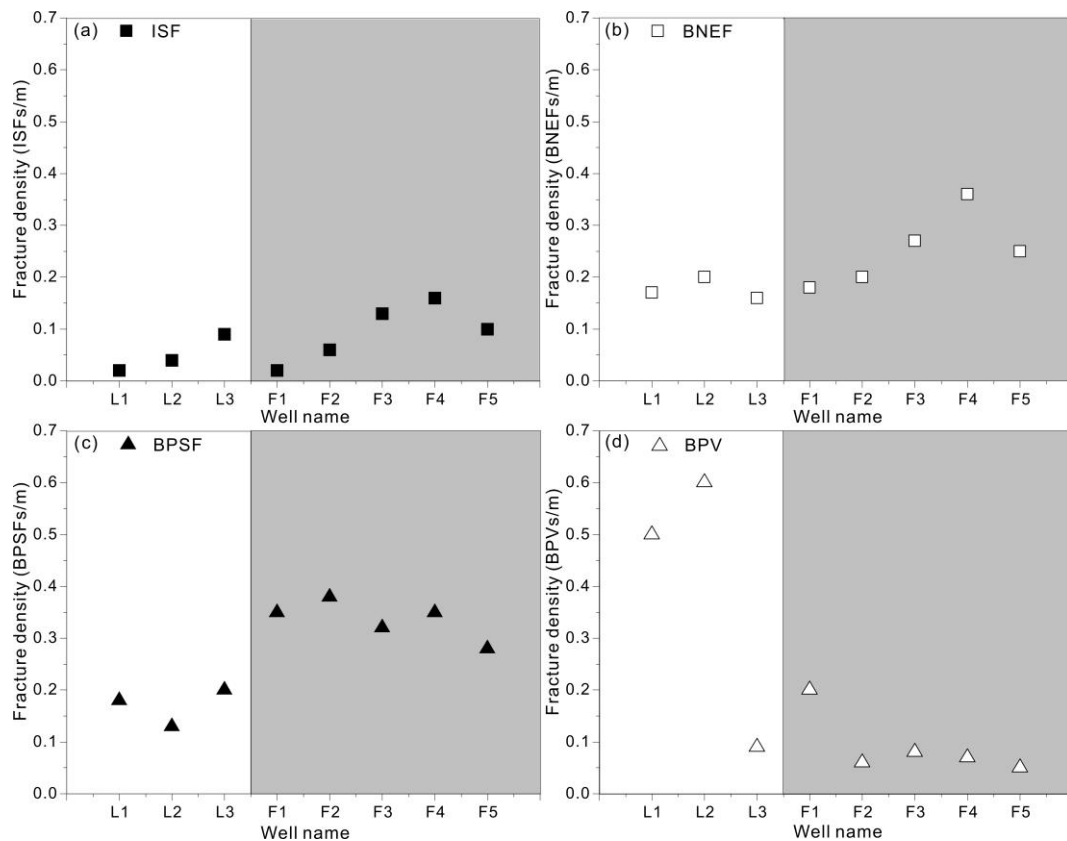
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 330 **Fig. 9.** Petrography of fractures. (a-b) Calcite with evidence of minor stretching
 331 episodes marked by fracture-wall-parallel inclusion bands as well as blocky minerals
 332 with jagged edges. Dispersed pyrite microcrystals occur locally in the veins. (c) Calcite
 333 with parallel inclusion bands, indicating vertical opening and about one dozen crack-
 334 seal events. The inclusion bands are fragments from wall-rock (yellow arrows) (d)
 335 Opening sealed with blocky calcite and quartz crystal. (e) Partly mineralized bed-
 336 normal tensile fractures cross pre-existing bed-parallel shear fracture. (f) Minor
 337 coarsening of the smooth fibres indicate the growth direction and can record the
 338 opening trajectory. (g-h) Fibrous vein where the fibres are seeded on a layer of pyrite,
 339 but not on a median zone. (i) The transmitted light photo of a fibrous vein seeded on a
 340 layer of pyrite.

4.3.2 Characterization of fracture attributes

The datasets (Fig. 10) show that the density of inclined shear fractures in the weak deformation area (Luzhou block near the basin interior) are relatively low, ranging from 0.02 m⁻¹ to 0.09 m⁻¹, with an average of 0.05 m⁻¹. The bed-parallel veins have the highest abundance, ranging from 0.09 m⁻¹ to 0.60 m⁻¹, with an average of 0.40 m⁻¹. The bed-normal extension fractures range from 0.16 m⁻¹ to 0.20 m⁻¹, with an average of 0.18 m⁻¹. The bed-parallel shear fractures range from 0.13 m⁻¹ to 0.20 m⁻¹, with an average of 0.17 m⁻¹.

Contrarily, the density of inclined shear fractures in strong deformation area (Fuling block near basin margin) are abundant, ranging from 0.02 m⁻¹ to 0.16 m⁻¹, with an average of 0.09 m⁻¹; The bed-parallel veins range from 0.05 m⁻¹ to 0.20 m⁻¹, with an average of 0.09 m⁻¹; The bed-normal extension fractures range from 0.18 m⁻¹ to 0.36 m⁻¹, with an average of 0.25 m⁻¹; The bed-parallel shear fractures range from 0.28 to 0.38, with an average of 0.34 m⁻¹.

In general, bed-parallel veins are abundant in the Luzhou block, while three other types of fractures are more developed in the Fuling block. Additionally, we notice that the fractures at the bottom interval of the O₃w-S₁l Formation have distinctly increased compared with the middle and top interval (Fig. 3, Fig. 4). This shows that the localization of fractures may be controlled by lithology. Fractures are more abundant in siliceous shale with high TOC in the Wufeng-Longmaxi Formation.



361

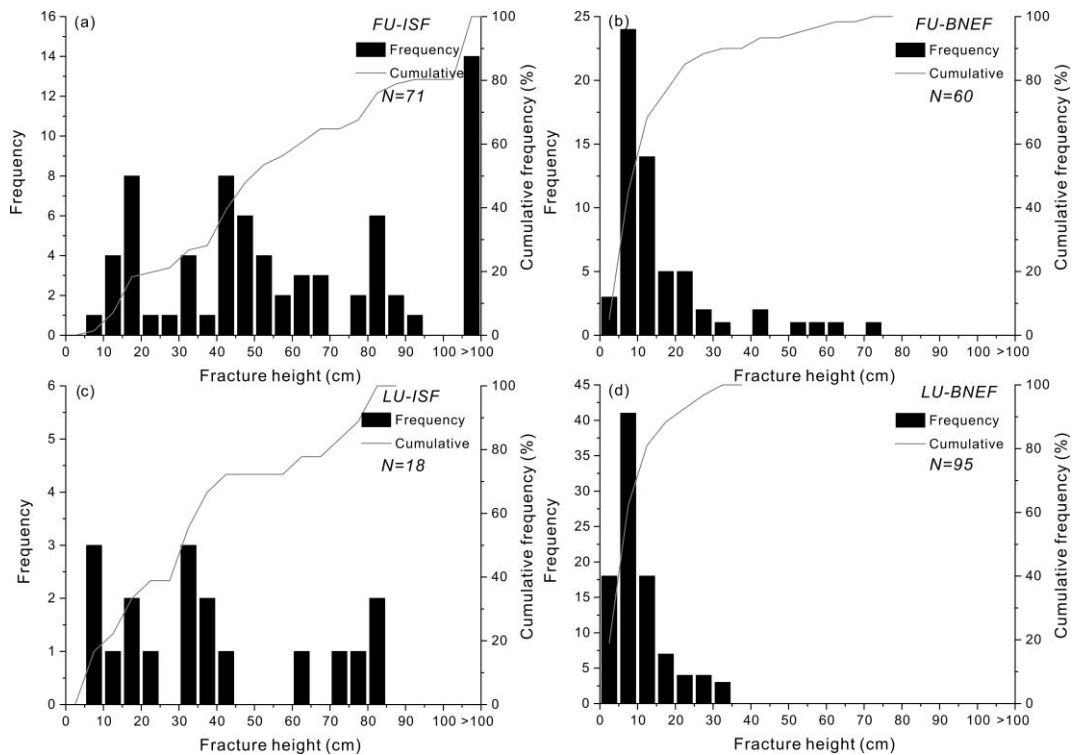
362 **Fig. 10.** Comparison of fracture density in eight cored deep wells. (a) The density of
 363 inclined shear fractures per well. (b) The density of bed-normal extension fractures per
 364 well. (c) The density of bed-parallel shear fractures per well. (d) The density of bed-
 365 parallel veins per well.

366

367 The data (Fig. 11, Fig. 12) suggest that the height and orientation of BNEFs and
 368 ISFs differ between the two blocks. For such fractures, the measured fracture heights
 369 of the Fuling Block are larger than that of the Luzhou Block (Fig. 11). The fracture
 370 pattern is characterized by large-scale and steeply dipping shear fractures in the Fuling
 371 Block (Fig. 11a and b), contrarily, small-scale fractures and abundant BPVs in the
 372 Luzhou Block (Fig. 10, Fig. 11c and d). Bed-normal extension fractures strike NWW,
 373 with subsidiary NNE-striking counterparts, trending perpendicular or parallel to the
 374 fold axis direction (Fig. 12a). Note that large-scale BNEFs are dominantly EW-striking

375 and occur in the Fuling Block (Fig. 12b). For inclined shear fractures, they are
 376 dominantly NNW-striking in the Luzhou Block (Fig. 12c), however, additional NWW-
 377 striking and NEE-striking in the Fuling Block (Fig. 12d).

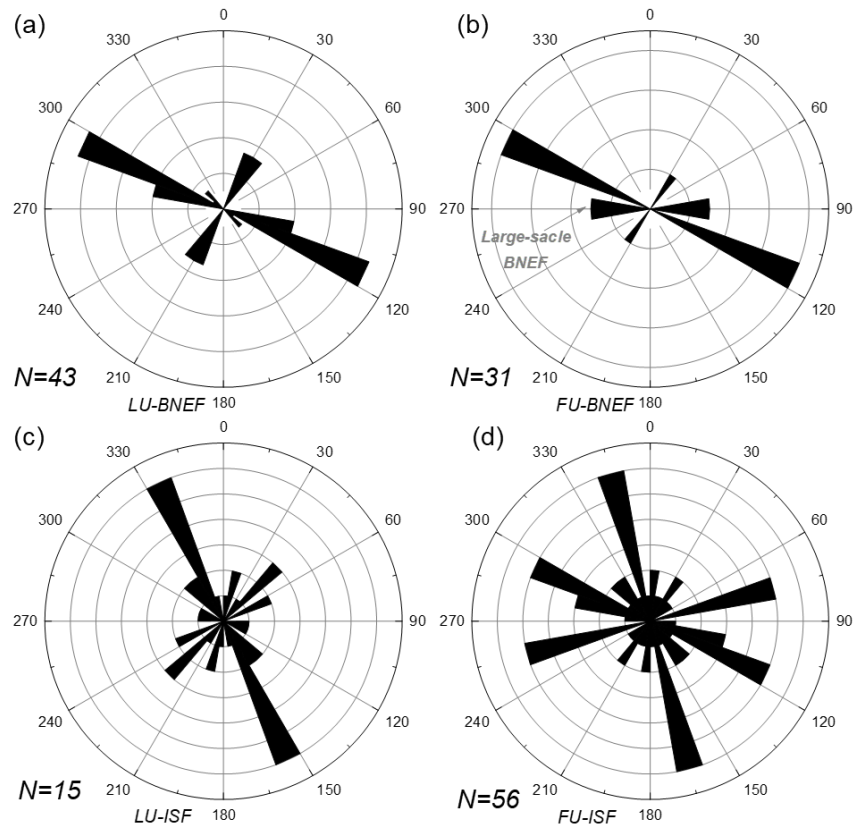
378



379

380 **Fig. 11.** Distribution of the number of fracture heights. (a-b) The number distribution
 381 of ISF (a) and BNEF (b) heights in the Fuling Block. Note that ISFs are commonly
 382 truncated on the core, and the fracture heights indicate the minimum heights, while the
 383 BNEF heights are the real heights. (c-d) The number distribution of ISF (c) and BNEF
 384 (d) heights in the Luzhou Block.

385



386

387 **Fig. 12.** Rose diagrams showing fracture strikes in the core. (a-b) The bed-normal
 388 extension fracture orientation. Note that EW-striking BNEFs in the Fuling Block are
 389 mainly large-scale fractures. (c-d) The inclined shear fracture orientation.

390

391 **5 Discussion**

392 *5.1 Host-rock control on fracture localization*

393 The influence of TOC and rock brittleness on the fracture density of shale is
 394 debated. In the Longmaxi and Nunitang Shale the TOC and rock brittleness show a
 395 positive correlation with the fracture abundance (Zeng et al., 2013; Xu et al., 2021;
 396 Zhang et al., 2023). Conversely, host-rock TOC seems not to be correlated with the
 397 fracture density (Gasparrini et al., 2021). This is interpreted to be related to vertical
 398 facies heterogeneity and locally specific carbonate diagenetic phases. The localization

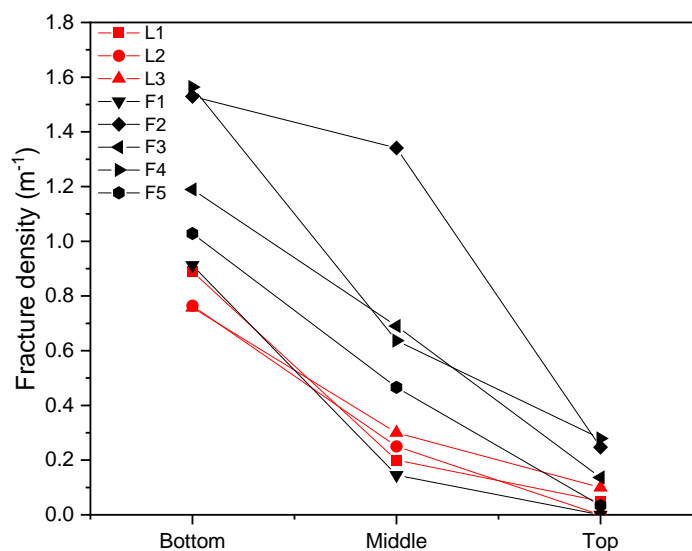
399 of fractures in organic-rich shale may be controlled by factors governing the mechanical
400 stratigraphy (Laubach et al., 2009). Factors include the composition of shale (e.g.
401 mineralogy, organic carbon content), and their sedimentary features (e.g. bedding
402 thickness, interface frequency, and mechanical contrast) (Hooker et al., 2013; Zeng et
403 al., 2016; Meng et al., 2018; Xu et al., 2021), which determine the mechanical
404 properties.

405 Rock brittleness is the key criterion for determining the most suitable intervals for
406 fracture advantage localization. The brittleness can be evaluated based on lithology
407 (Pei et al., 2016). The shale lithology exerts a first-order control on brittleness, and
408 therefore on shale fracturing. The content of hard minerals (such as quartz, feldspar,
409 carbonate, and pyrite) which have high the Young's modulus has been found to be
410 positively correlated with brittleness and fracture density of shale (Labani and Rezaee,
411 2015; Wang et al., 2017). Conversely, high clay content makes shale more ductile.
412 Therefore, the brittleness of shale can be predicted based on the volume percentage of
413 quartz, feldspar, carbonate content, and pyrite, by calculating its brittleness index based
414 on mixture rules (Pei et al., 2016).

415 The fracture densities of the three intervals were compared (Fig. 13). Due to the
416 potential relationship between organic matter content and BPV. Such fracture densities
417 incorporate only inclined shear fractures, bed-parallel shear fractures, and bed-normal
418 extension fractures combined together. The bottom interval has the highest fracture
419 abundance, ranging from 0.76 m^{-1} to 1.56 m^{-1} , with an average of 1.07 m^{-1} , while the
420 middle and top intervals are relatively barren in the same wells (Fig. 3, Fig. 4, Fig. 13).

421 Siliceous shales that have high brittleness and preferentially fracture under the
 422 stresses that may not deform other shales. Such layers may be considered competent
 423 since adjacent layers may remain undeformed or deform dustily (flow). The content of
 424 hard minerals determines the mechanical properties. From the bottom to the top
 425 interval, the lithology of the O₃w-S₁l Formation changes from siliceous shale to
 426 argillaceous shale. Overall, the content of hard minerals increases with increasing depth
 427 on cores, while the clay content decreases.

428 Moreover, the host-rock facies also control the interface frequency. The frequent
 429 volcanic events and anoxic water environment promoted the formation of extensive
 430 thin k-bentonite and pyrite layers in the bottom interval; The data (Fig. 3, Fig. 4)
 431 indicate that pyrite is more abundant at the bottom interval and exists in the form of
 432 thin laminae. Therefore, we can infer that the bottom of the O₃w-S₁l Formation has a
 433 strong degree of mechanical contrast across bedding, and this can promote the
 434 formation of small-scale and high-density bed-normal extension fractures, also provide
 435 many weak planes for bed-parallel sliding shear (Fig. 3, Fig. 4).



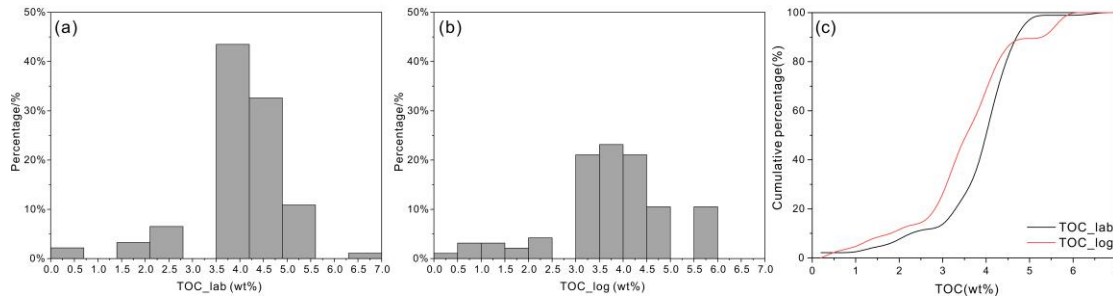
437 Fig. 13 shows the average fracture density of three intervals in the O₃W-S₁l
438 Formation. The fracture density includes inclined shear fractures, bed-parallel shear
439 fractures, and bed-normal extension fractures combined together.

440
441 Bed-parallel veins are the result of tensile fracturing and vertical dilation, with the
442 fluid pressure exceeding lithostatic pressure in the compressional regime. (Wang et al.,
443 2022). The formation mechanisms of overpressure include many processes, for
444 example, during exhumation, thermal expansion of fluids, a de-watering reaction, and
445 oil maturation (Tingay et al., 2009; Guo et al., 2016; Gao et al., 2019; Bons et al., 2022).
446 Previous studies reported that the association between bed-parallel cracking and
447 hydrocarbon generation in organic-rich shale may be common (Cobbold et al., 2013;
448 Wang et al., 2020).

449 Additionally, the TOC and the degree of maturity show a positive correlation with
450 the number of bed-parallel veins within a same sequence stratigraphy (Larmier et al.,
451 2021). Wang et al., 2022 confirmed that the paleo-pressure during the formation of bed-
452 parallel fractures exceeded the lithostatic pressure through the inclusion temperature
453 measurement and Raman technology. For organic-rich shale, oil maturation may be the
454 main mechanism to trigger the cracking of bedding planes. The elevated maturity of
455 organic matter causes the transformation of solid kerogen into liquid and gaseous
456 hydrocarbons. With the increase of fluid volume expansion and fluid pressure,
457 overpressure is finally generated in the low permeability shale.

458 We found that the vertical heterogeneous distribution of BPV seems to be related
459 to the organic carbon content, and the location of BPV is significantly concentrated in

460 the high TOC interval, similar to the observations of [Wilkins et al \(2014\)](#) for the
 461 Marcellus shale in the Appalachian Basin, USA. The BPV location from the eight wells
 462 are compared with TOC indicator parameters ([Fig. 14a and b](#)) from the same intervals
 463 as available, including laboratory-measured TOC (TOC_lab) and calculated well-log
 464 TOC (TOC_log). The datasets ([Fig. 14c](#)) show that more than 70% of BPV occur in the
 465 interval with TOC greater than 3.5 %, in agreement with the observations and
 466 distribution in the Vaca Muerta Formation core in Argentina ([Larmier et al., 2021](#)),
 467 revealing the important association between the BPV and total organic carbon content.
 468 Although the sampling interval (1-m spacing) of TOC_lab will cause scattering, the
 469 cumulative curves of the two datasets are similar ([Fig. 14c](#)), so we speculate that the
 470 high TOC layers control the location of BPV in the study area.



471

472 **Fig. 14.** The relationship between the TOC and the location of bed-parallel veins.
 473 (a-b) The TOC values of the location of bed-parallel veins. TOC_lab represents the
 474 discrete TOC measured in the laboratory and TOC_log represents the continuous TOC
 475 calculated from the well-log curve. (c) Cumulative curve of TOC values of the
 476 location of bed-parallel veins.

477

478 5.2 Heterogeneous distribution of fractures

479 Our investigation shows different fracture patterns in two blocks. Several solutions

480 can explain such differences in fracture distribution inside the Qiyue-Huaying Fold-
481 Thrust belt. The first solution is that extensive shear fractures mainly result from strong
482 deformation intensity. The deformation in the Qiyue-Huaying Fold-Thrust belt may
483 develop in a progressively forward propagation from the Xuefeng uplift domain to the
484 Huaying fault (Fig. 1). Consequently, total strain, fracture density, and the scale of
485 resulting structures increase from distal foreland positions towards the internal zones
486 of mountain belts. The apatite fission track data provide direct evidence and suggest
487 that the initial uplift time of the Luzhou Block is late, about 40~50 Ma, while the Fuling
488 Block is about 60~75 Ma (He et al., 2018; Li et al., 2020), therefore, the observed
489 appearance corresponds to the main structural styles in both areas, with the Fuling
490 Block exhibiting narrow and steep anticlines, while the Luzhou Block exhibits wide
491 and gentle synclines (Fig. 1c and d). Shear fractures are formed under elevated
492 differential stress during tectonic events, contrarily, extension fractures prefer to form
493 under low differential stress; extension fractures may form during uplift as a result of
494 thermal and elastic contraction (Engelder 1993). Generally, the strong deformation
495 induced extensive opening-mode large-scale fractures related to folds in the Fuling
496 Block, compared to the Luzhou Block.

497 There are more abundant partly sealed bed-normal fractures in the Fuling block,
498 with retained porosity continuously open to present. Burial depth might explain the
499 abundant barren fractures in the Fuling Block since barren or partly sealed fractures
500 mainly occur in shallow (cool) environments with minimal effects of synkinematic
501 cementation or chemically assisted cracking (Hooker et al., 2023). Additionally, high-

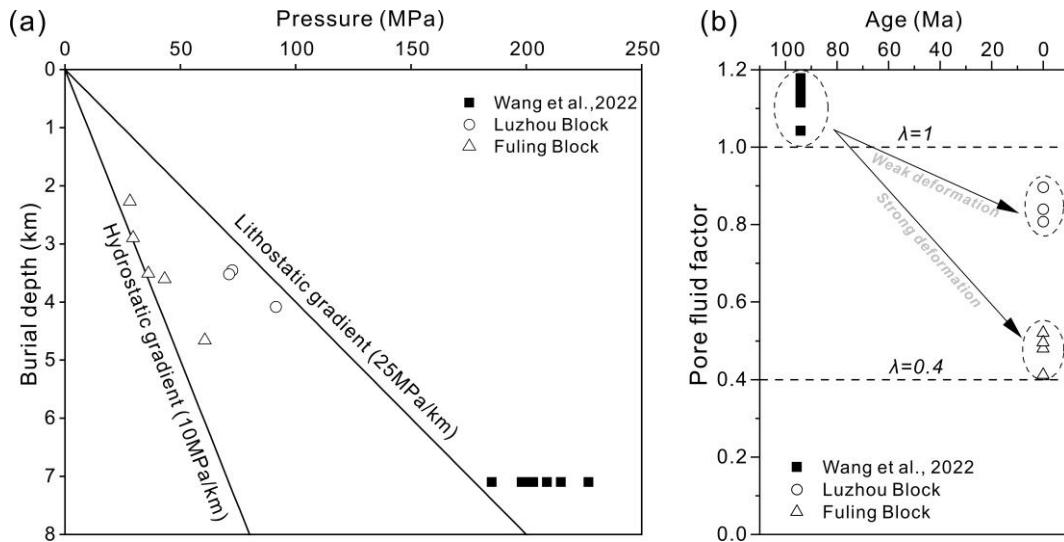
502 intensity tectonic events during uplift may also reactivate the pre-existing mineralized
503 fractures with low cohesion, further improving fracture porosity. The widespread
504 reactivation and opening of fracture set syn- or post-date the gas generation can provide
505 conduits of gas leakage.

506 There is a difference in the development of BPV between the two blocks. The
507 oblique fibers calcite crystal and inclusions recorded in BPV confirm that they formed
508 at the maximum burial depth with fluid pressure ($>175\text{MPa}$) exceeding lithostatic
509 pressure (Wang et al., 2022; Tang et al., 2024) (Fig. 15a), followed by an uplift stage.
510 We collected the present fluid pressure data at the bottom interval of the O₃W-S₁1
511 Formation (Table 1 and Fig. 15b), and found that the pore fluid factors (λ) in the strong
512 deformation (Fuling Block) area near the basin margin range from 0.41 to 0.52, which
513 indicate that abundant fractures occurred during the late Yanshan-Himalayan period,
514 and accompanied by overpressure leakage. The pore fluid factors of the weak
515 deformation area (Luzhou Block) range from 0.81 to 0.90, indicating that it has retained
516 the overpressure since the Cretaceous.

517 Strong compression in uplift stage caused a sharp decrease in fluid overpressure
518 in the Fuling Block (Gao et al., 2019). Such low fluid overpressure cannot exceed the
519 overburden pressure, resulting in the inability to induce bed-parallel fracturing of shale.
520 However, relatively low compression occurred in the Luzhou Block, resulting in fluid
521 overpressure retention since the Cretaceous (Cui et al., 2023). Therefore, the reduction
522 of BPV in the strong deformation zone can be explained as the result of overpressure
523 leakage in our study area. Generally, we speculate that the dominant origin of fractures

524 changes from tectonic events to fluid pressure changes due to organic maturation
 525 (organic events), from the Fuling Block to the Luzhou Block.

526



527

528 **Fig. 15.** The present fluid pressure and paleo-pressure plotted against burial
 529 depth. (a) The solid black rectangles from (Wang et al., 2022) represent the calculated
 530 trapping pressures in maximum burial depth during the Cretaceous, hollow circles and
 531 triangles corresponding to the present pore fluid factors of the Luzhou and Fuling
 532 Block. (b) The dashed line represents the pore fluid factor, i.e. the ratio of pore fluid
 533 pressure and vertical stress. The present pore fluid pressures are measured from well
 534 tests and drilling operations.

535

536 The multi-stage structural superposition can affect fracture strikes. SN-striking
 537 regional faults occur in the Fuling Block and cut through the NE-striking regional faults,
 538 revealing that this area has experienced at least two stages of tectonic movements since
 539 the Late Jurassic: (1) the SE compression in Late Cretaceous (Yanshanian orogeny)
 540 formed the NE-striking thrust structure; (2) the EW compression formed the SN-
 541 striking regional faults during the Himalayan orogeny. However, only NE-striking

542 regional faults occur in the Luzhou Block, indicating that fracture strikes in this area
543 were mainly affected by the Yanshanian orogeny. Therefore, the development of EW-
544 striking BNEFs, NEE-striking ISFs, and NWW-striking ISFs in the Fuling Block (Fig.
545 12) can be explained as the result of regional EW compression during the Himalayan
546 orogeny. In general, the analysis of fracture attributes and their difference is helpful in
547 evaluating the evolution of permeability and shale gas preservation.

548

549 **6 Conclusions**

550 Fracture investigation of eight cored deep wells, combined with TOC and
551 mineralogy analysis inside the Qiyue-Huaying Fold-Thrust belt reveals how
552 stratigraphy governs fracture occurrence and attributes. Our investigation suggests the
553 following conclusions:

554 1. Four types of fractures have been identified, including bed-normal extension
555 fracture, inclined shear fracture, bed-parallel shear fracture, and bed-parallel mineral
556 filled fracture (bed-parallel vein). The fracture pattern in the Fuling Block is
557 characterized by steeply dipping opening-mode fractures and shear fractures (small
558 faults), whereas the Luzhou Block is mainly characterized by abundant bed-parallel
559 veins.

560 2. Host-rock facies chiefly controlled fracture occurrence. Fractures are
561 preferentially localized in competent layers (siliceous shale with high TOC).
562 Noteworthy, BPVs are systematically located in areas where shale layers have the
563 highest TOC content (more than 70% of BPV is distributed in shale with $\text{TOC} > 3.5$

564 wt %), inferring a first-order link between the TOC and BPV distribution.

565 3. The dominant origin of fractures in organic-rich shale gradually changes from
566 tectonic events to fluid pressure changes due to organic maturation (organic events),
567 from the Fuling Block to the Luzhou Block.

568 4. There are differences inside the Qiyue-Huaying fold-thrust belt in terms of
569 deformation intensity, burial depth, fluid pressure, and multi-directional stress
570 superposition. These differences determine the type and attributes of fractures in the
571 two blocks.

572

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576

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