

# **REVIEW ARTICLE** OPEN Salivary biomarkers: novel noninvasive tools to diagnose chronic inflammation

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Several chronic disorders including type 2 diabetes (T2D), obesity, heart disease and cancer are preceded by a state of chronic lowgrade inflammation. Biomarkers for the early assessment of chronic disorders encompass acute phase proteins (APP), cytokines and chemokines, pro-inflammatory enzymes, lipids and oxidative stress mediators. These substances enter saliva through the blood flow and, in some cases, there is a close relation between their salivary and serum concentration. Saliva can be easily collected and stored with non-invasive and cost-saving procedures, and it is emerging the concept to use it for the detection of inflammatory biomarkers. To this purpose, the present review aims to discuss the advantages and challenges of using standard and cutting-edge techniques to discover salivary biomarkers which may be used in diagnosis/therapy of several chronic diseases with inflammatory consequences with the pursuit to possibly replace conventional paths with detectable soluble mediators in saliva. Specifically, the review describes the procedures used for saliva collection, the standard approaches for the measurement of salivary biomarkers and the novel methodological strategies such as biosensors to improve the quality of care for chronically affected patients.

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# INTRODUCTION

Inflammation is a process that the host enacts to defend itself against toxins, bacteria, viruses, tissue damage, metabolic stress by recruiting immune and non-immune cells. Thus, in a physiological context inflammation is protective and once the insult is eradicated several mechanisms intervene and lead to a process named "resolution of inflammation".<sup>1</sup> A prolonged inflammatory status may become chronic and pathological when the regulatory events which promote the resolution are lost.

Both acute and chronic inflammation share several phases: increased blood flow to the site of inflammation, higher capillary permeability to allow even larger molecules to cross the endothelium, recruitment of leukocytes from the capillaries to the surrounding tissue and finally the release of mediators by the latter, including cytokines, chemokines, markers of oxidative stress (as superoxide), enzymes (i.e. metalloprotease) and lipid mediators as prostaglandins and leukotrienes.<sup>2</sup>

The majority of chronic diseases are preceded by a chronic lowgrade inflammation. Hence, conceivable biomarkers for the early assessment of disorders encompass acute phase proteins (APP), cytokines and chemokines, pro-inflammatory enzymes, and oxidative stress mediators. Among the most common chronic diseases, heart disease, cancer, obesity, and type 2 diabetes (T2D) constitute the leading causes of disability and death in the United States.<sup>3,4</sup> Given the widespread manifestations, the number of hospitalization and the mortality rate, these conditions represent a huge socio-economic burden. Indeed, the affected subjects require ongoing medical attention from the first symptoms to the management of therapeutic options.

Therefore, the identification of forefront diagnostic tools is essential to establish preventive approaches and targeted pharmacological interventions with the purpose of minimizing the risks, distress and ultimately the costs of chronic diseases. In this context, the possibility to exploit salivary biomarkers as tool to detect systemic disorders may constitute an intriguing opportunity to implement the strategies to diagnose and follow-up patients affected by chronic disorders, limiting the risks related to more invasive procedures.

Saliva is an exocrine secretion of the salivary glands mainly composed of water (99%), but it also contains electrolytes, proteins, lipids, and enzymes. Contaminants such as bacteria, epithelial cells, gingival crevicular fluid and food debris are also detectable in saliva.<sup>5</sup> Proteins and other substances enter saliva through the blood flow and in some case, there is a close relation between their salivary and serum concentration. Indeed, salivary glands are highly vascularized and there is an exchange of compounds which pass by passive diffusion or active transport from blood to saliva and *viceversa*. To this regard, recent studies have reported the diagnostic utility of saliva to detect cardiovascular diseases (CVD), systemic and local inflammation, endocrinological and metabolic disorders.<sup>6</sup> Salivary lipids are mostly secreted by the major salivary glands, but some lipids like cholesterol and some fatty acids (FAs) diffuse

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directly from serum into saliva.<sup>7</sup> Therefore, the use of saliva as an alternative diagnostic tool is advisable since its collection is non-invasive and possibly stress-free. In addition, no differences were found between men and women in salivary composition although the literature is still contradictory.<sup>6,8–11</sup>

Furthermore, a large amount of saliva can be easily collected and stored with non-invasive and cost-saving procedures.<sup>12,13</sup> Therefore, the opportunity to detect and quantify biomarkers in salivary samples becomes highly attractive for research, clinical, and unobtrusive proactive healthcare applications, with the purpose to early diagnose chronic diseases and to allow a continuous disease monitoring.

To this purpose, the present review aims to discuss the advantages and challenges of using cutting-edge techniques to discover salivary biomarkers which may be used in diagnosis/ therapy of several chronic diseases with inflammatory consequences, with the pursuit to possibly replace conventional paths with detectable soluble mediators in saliva.

# MODULATORS OF THE INFLAMMATORY STATUS

The inflammatory milieu is firstly affected by the triggering event which in turn may determine the recruitment of different numbers and types of immune cells and, accordingly, a diversified release of proinflammatory mediators. However, several factors can cause dynamic alterations in serum biomarkers of inflammation including age, presence of obesity, gender, diet, smoking, genetics, drug consumption and gut microbiota.

#### Age

Aging is featured by an increase in serum levels of cytokines and acute phase proteins (APPs) due to a low-grade inflammatory status which may be induced by increased visceral adiposity, declined function of sex hormones, genetics, neurodegenerative disorders as Alzheimer's disease (AD) or cardiovascular complications. Interleukin-6 (IL-6) levels are increased in elderly subjects to the point that it has been dubbed a cytokine for gerontologists.<sup>14</sup> Similarly, it has been reported that circulating tumor necrosis factor alpha (TNF $\alpha$ ), IL-1 as well as C-reactive protein (CRP),  $\alpha$ 1-acid glycoprotein and fibrinogen increase with age.<sup>15</sup> In healthy, elderly populations, high circulating levels of TNF $\alpha$  and IL-6 predict mortality, regardless of comorbidities, whereas in cohorts of frail, older individuals, these cytokines may represent risk factors for atherosclerosis, T2D, AD, thromboembolic complications, and are associated with sarcopenia and muscle loss.<sup>16,17</sup>

Higher levels of IL-18, a linked IL-1 pro-inflammatory cytokine, IL-2, IL-17 and IL-12 have been found in elderly, associated with CVD, stroke, type 1 diabetes, AD and osteoarthritis.<sup>18-21</sup>

Finally, IL-17 and IL-8 (CXCL8) promote inflammation, recruitment and activation of neutrophils and increased levels have been found in autoimmune diseases such as systemic lupus erythematosus, inflammatory bowel disease and psoriasis.<sup>22</sup> Moreover, lipopolysaccharide (LPS)-stimulation of leukocytes from elderly individuals induces IL-8 release and the latter has been also suggested as a possible longevity factor in centenarians.<sup>23</sup>

## Adiposity

Obesity is associated with low-grade chronic inflammation. Visceral fat produces several proinflammatory cytokines (TNF $\alpha$ , IL-1, IL-6) and chemokines (MCP-1) and it has been described that also ectopic sites of adipose tissue such as those localized in liver, heart or muscle may contribute to the release of pro-inflammatory mediators also independently of body fatness.<sup>24</sup>

Elevated CRP levels were found in overweight (body mass index [BMI], 25–29.9 kg·m<sup>-2</sup>) and obese (BMI,  $\geq$ 30 kg·m<sup>-2</sup>) individuals compared to normal weight ones and regardless of age.<sup>25</sup> Moreover, it has been suggested that TNF $\alpha$  pathway could be involved in the regulation of circulating leptin, whose levels are

elevated in obese subjects.<sup>26</sup> Conversely, it has been described a tendency for reduced adiponectin levels in obese subjects possibly mediated by  $TNF\alpha$ .<sup>27</sup>

The state of adiposity is closely correlated with physical inactivity, which leads to an increase in visceral fat. It has been demonstrated that aerobic exercise leads to reduced circulating IL-6 and CRP, as shown in a study on 2 120 Finnish participants where the levels of the latter were positively associated with obesity indices and inversely related to physical activity.<sup>28</sup> Finally, in a large intervention study CRP concentration diminished by 41% in subjects who performed physical activity compared to inactive ones.

#### Gender

Clinical findings indicate that inflammatory responses differ across sexes, although data are still conflicting. In the CoLaus study which assessed the determinants of cytokines and APPs levels in a Caucasian population made of 2 884 men and 3 201 women, male gender was independently and positively related to IL-6 and TNFa levels whereas for IL-1 and CRP no associations were found.<sup>29</sup>

In another small study, which enrolled 15 healthy women and 20 healthy men, higher concentrations of IL-12, IL-1 $\beta$ , and TNF $\alpha$  and lower levels of IL-2 were found in males compared to women.<sup>30</sup> Differences in lipid mediator levels have been observed between males and females in multiple diseases, such as prostaglandins (PGs) in T2D,<sup>31</sup> linoleic acid-derived lipid mediators in chronic obstructive pulmonary disease,<sup>32</sup> docosahexaenoic acid (DHA) in AD,<sup>33</sup> and lipoxin A4 (LXA4) in metabolic syndrome.<sup>34</sup>

## Smoking

Several studies have assessed a close relationship between smoking and chronic inflammation. Cigarettes contain oxidative molecules (superoxide, hydrogen peroxide, nitrogen oxides) which drive oxidative stress thus leading to an inflammatory response, several toxins with immunomodulatory effects and also trace amounts of microbial cell components, including bacterial LPS.<sup>35</sup>

It has been definitively established that IL-1 $\beta$ , IL-6, CRP, and fibrinogen are sensitive biomarkers for cigarette smoke-induced inflammation.<sup>36</sup> In addition, it has been shown that the imbalance between oxidants and antioxidants resulting from exposure to tobacco smoke leads to oxidative stress, increased mucosal inflammation, enhanced release of IL-8, IL-6, and TNF $\alpha$  and to the recruitment of macrophages and neutrophils.<sup>37</sup>

Concerning lipid biomarkers related to smoking status, arachidonic acid (AA) derived lipoxygenase (LOX)-metabolites, which are potent pro-inflammatory mediators leading to tissue destruction in periodontal inflammation, <sup>38</sup> are significantly increased in smokers vs. non-smokers. In addition, 8-iso-prostaglandin  $F_{2\alpha}$  (8-iso-PGF<sub>2\alpha</sub>) excretion exhibits dose-dependent increments in individuals who smoke cigarettes or consume alcohol.<sup>39,40</sup> In contrast, 6-oxo-prostaglandin  $F_{1a}$  (PGF<sub>1a</sub>), prostaglandin  $I_2$  (PGI<sub>2</sub>) and prostaglandin  $F_{2a}$  (PGF<sub>2a</sub>) were significantly decreased in smokers vs. non-smokers. There are contradictory results in prostaglandin  $E_2$  (PGE<sub>2</sub>) synthetic rate, cigarette smoking and bone loss.<sup>41,42</sup>

#### Epigenetics and genetics

Emerging evidence suggests that epigenetics (DNA methylation, histone acetylation/deacetylation and microRNA (miRNA) expression) may contribute to the pathophysiology of inflammatory processes.<sup>43</sup> Epigenome-wide association studies (EWAS) have reported several epigenetic changes related to serum inflammatory markers suggesting a global hypomethylation of the genome during inflammation.<sup>44</sup> Indeed, miR-126, miR-132, miR-146, miR-155, and miR-221 have recently emerged as important transcriptional regulators of TNF $\alpha$ , IL-8, MCP-1, IL-6, and adhesion molecules.<sup>45</sup>

Epigenetic changes may also affect the risk of chronic inflammatory diseases, including obesity, T2D, CVD, cancer, and

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neurological disorders. Prats-Puig et al. reported that 15 specific circulating miRNAs were significantly deregulated in prepubertal obesity, including a downregulation of miR-221 and miR-28-3p and an upregulation of miR-486, miR-142-3p, miR-130b, and miR-423-5p in plasma.<sup>46</sup> It has been demonstrated that the inhibition of miR-153 prevents hyperglycemia in *db/db* mice, thus suggesting that it may be a promising therapeutic target for the treatment of inflammation-associated diabetes.<sup>47</sup> Jiang and colleagues demonstrated that steatotic hepatocyte-derived extracellular vesicles (EVs) promote endothelial inflammation and facilitate atherogenesis by miR-1 delivery, KLF4 suppression and NF-KB activation by exploiting apolipoprotein E (ApoE)-deficient mice.<sup>48</sup> Finally, several miRNAs regulate the expression of genes involved in AD-related oxidative stress<sup>49</sup> and their levels may be influenced by transcription factors, among which NF-kB thus modulating inflammation and cancer.<sup>5</sup>

Genetic polymorphisms, especially in genes encoding molecules of the host defense system, such as cytokines, influence susceptibility to chronic inflammation. The -174 G/C genetic variant in the promoter region of IL-6 gene has been related to reduced gene expression and circulating levels of IL-6 and it has been described an association between this polymorphism, AD and also coronary artery disease (CAD).<sup>51,52</sup> The G > A nucleotide substitution at position 308 in the TNF $\alpha$  promoter directly affects TNF $\alpha$  expression and has been associated with several inflammatory conditions as liver disease, primary sclerosing cholangitis, biliary cirrhosis, Crohn's disease, rheumatoid arthritis and CAD.<sup>53</sup> Finally, the 1082 G > A *IL-10* and -308G > A *TNF\alpha* variations have been associated with lower circulating levels of the antiinflammatory IL-10 and with higher serum TNF $\alpha$  in 72 centenarians compared to controls, respectively.<sup>54</sup>

# METHODOLOGICAL APPROACHES FOR THE DETECTION OF SALIVARY BIOMARKERS

#### Methods for saliva sampling and collection

Saliva has largely been disregarded in the past due to the presence of several food contaminants in its biochemical profile, as well as paucity of demonstration of correlations between salivary and blood markers.<sup>55</sup> However, several studies have recently highlighted how saliva well correlates with blood markers, thus representing a promising alternative for noninvasive diagnostics,<sup>56</sup> as it is the case with glucose<sup>57</sup> and cortisol.<sup>58</sup> Among others, IL-6, an inflammatory marker, also shows a significant correlation between blood and saliva<sup>59</sup> (Table 1).

Although the procedures for saliva collection and storage have been already described in other reviews,<sup>60,61</sup> it is important to contextualize these methods in the field of inflammatory markers, delineating best practices for a correct collection, handling, and biobanking. Moreover, although saliva receives increasing consideration as a potential sample for target diseases,<sup>62</sup> it is still warranted a comparison with the current diagnostic methodologies to evaluate inflammatory markers in blood.

The gold standard method of saliva collection is "passive drool", that consists in saliva accumulation in the mouth and then let it flow through specific straws that collect the pooled saliva for a predetermined amount of time.<sup>61</sup> Saliva can be easily sampled by either health professionals or people without previous medical training.<sup>63,64</sup> Moreover, there are numerous standardized protocols for oral sampling, and saliva collection can be easily automated by means of wearable modules.<sup>65,66</sup> Nevertheless, it must be remarked that saliva is a complex mixture of fluids from distinct microenvironments, such as gingival crevices,<sup>67</sup> which may present a particular microbial population that often differs from that of other oropharyngeal regions.<sup>68</sup>

Other collection procedures include spitting, chewing, and swab-based methods. Saliva collected through spitting only

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involves specific salivary glands such as the submandibular and minor salivary ones and it is thus less preferred, whereas chewing, usually performed through the use of wax, allows the collection of stimulated saliva mainly from parotid glands. The latter is currently the recommended medium to measure the concentration of CRP in saliva,<sup>69</sup> while as concern the other inflammatory markers, unstimulated saliva remains the most preferred fluid. Lastly, swab-based methods have been largely used in SARS COVID-19 tests and different studies report how this method can be particularly suited to perform salivary analysis in children.<sup>70</sup> However, it has also been demonstrated how immunoassay tests showed a high deviation in the concentration of salivary markers due to the use of cotton-based swabs<sup>71</sup> (Figs. 1–2).

Standard approaches for the detection of salivary biomarkers The assays that are currently used for salivary analysis of inflammatory markers require the samples to be sent to centralized laboratories, while there are only few examples of commercial products that allow for point of care salivary diagnostics. Costs and time to response are the two parameters mainly taken into consideration in evaluating these procedures.

The most widespread immunoassay, possibly representing the gold standard for many examinations is the enzyme linked immunosorbent assays (ELISA), which allows the detection of target antigens through the use of plates functionalized with antibodies. ELISA kits are available for the detection of several targets, including inflammatory markers such as cytokines,<sup>72</sup> both in centralized laboratories and on the market (i.e. Salimetrics). A panel of biomarkers can be screened by using multiplexed technologies where their concentration is simultaneously evaluated, providing more comprehensive results and faster lead times.

Since ELISA is used for both blood and saliva tests, it is of utmost importance to compare results coming from a novel tool with it. Studies which describe the use of sensors and innovative devices for salivary screening, do not always report a validation against standardized procedures, <sup>66,73,74</sup> thus limiting the applicability of the obtained results for clinical trials.

## Novel perspectives to analyze salivary biomarkers

To overcome salivary sample complexity, many researchers have investigated protocols to separate non-biomarker proteins and carbohydrates from raw saliva, thereby yielding pre-treated testing material that could be easier to analyze.<sup>75–77</sup> This strategy has been nonetheless pursued due to the issue of viscosity, that could hinder the application of microfluidic arrays on raw untreated saliva. However, even if this treatment may minimize the effect of potential interferents, it might also compromise the concentration of target biomarkers<sup>78</sup> as mucin which is an inflammatory biomarkers for periodontitis<sup>79</sup> and matrix metalloproteinases,<sup>80</sup> which can be lost from the sample upon membrane filtration procedures. Moreover, the removal of biomarkers from the sample poses a major hindrance if the molecules to be detected form complexes with other macromolecules and precipitate, thus decreasing their final concentrations in pretreated samples.<sup>81</sup> The opportunity to employ untreated or undiluted saliva is highly sought after by scientists worldwide, and great attention has been given to the development of highly selective and sensible biosensing platforms.

In order to increase the specificity and sensibility of analytical strategies to determine inflammatory biomarkers, several researchers have highlighted the possibility of using surface-modified substrates as biorecognition elements.<sup>82,83</sup> In this regard, several materials (carbon-or gold-based materials) have been discussed as promising alternatives for biosensor development.<sup>84</sup> The benefits of carbon-based materials in biosensing are numerous, owing to many allotropic forms bearing highly conductive sp2 lattices,<sup>85</sup> as well as offering adequate stability for the anchoring of coordination complexes and construction of

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Biomarkers		Serum concentration	Saliva concentration
Cytokines/chemokines	ΤΝϜα	60–90 pg⋅mL <sup>-1</sup>	20–45 pg·mL <sup>−1</sup>
	IL-6	$0-44 \mathrm{pg}\cdot\mathrm{mL}^{-1}$	$0.5-34 \text{ pg} \cdot \text{mL}^{-1}$
	ΙL-1α	$31.4 \text{ pg} \text{mL}^{-1}$	361 pg⋅mL <sup>-1</sup>
	IL-1β	$0.5-20 \text{ pg·mL}^{-1}$	$40.5-494 \text{ pg·mL}^{-1}$
	IL-2	$21 \text{ pg} \cdot \text{mL}^{-1}$	$4.3-10.3 \text{ pg·mL}^{-1}$
	IL-4	$10-20 \text{ ng} \text{L}^{-1}$	$15-25 \text{ ng} \text{L}^{-1}$
	IL-5	$2.6-13 \text{ pg·mL}^{-1}$	$0-3.5 \text{ pg} \cdot \text{mL}^{-1}$
	IL-7	$6.5 \text{ pg} \cdot \text{mL}^{-1}$	8.3 pg⋅mL <sup>-1</sup>
	IL-8	$6.8-39 \text{ pg}\cdot\text{mL}^{-1}$	150–400 pg⋅mL <sup>-1</sup>
	IL-10	$0.5-2.9 \mathrm{pg}\cdot\mathrm{mL}^{-1}$	$0.5-5.1 \text{ pg·mL}^{-1}$
	IL12p70	$2-10.5 \text{ pg·mL}^{-1}$	$19.2 \text{ pg} \cdot \text{mL}^{-1}$
	IL-13	$17 \text{ pg·mL}^{-1}$	$0.7 \text{ pg} \cdot \text{mL}^{-1}$
	IL-15	65.5–170 ng·L <sup>-1</sup>	$0-8.7 \mathrm{pg}\cdot\mathrm{mL}^{-1}$
	IL-17a	$15-40 \text{ pg} \cdot \text{mL}^{-1}$	$5-10 \mathrm{pg} \mathrm{mL}^{-1}$
	IFNγ	$20-42 \text{ pg·mL}^{-1}$	$28 \text{ pg·mL}^{-1}$
	CCL2 (MCP1)	$6-70 \mathrm{pg} \mathrm{mL}^{-1}$	125 pg⋅mL <sup>-1</sup>
	CCL3 (MIP1α)	$5.2 \mathrm{pg \cdot mL^{-1}}$	$2.3 \text{ pg} \cdot \text{mL}^{-1}$
	eotaxin (CCL11)	$35-50 \text{ pg} \cdot \text{mL}^{-1}$	5.2–6.2 pg⋅mL <sup>-1</sup>
	TGFβ	$1.8-26 \text{ ng} \cdot \text{mL}^{-1}$	5.4–30 ng·mL <sup><math>-1</math></sup>
Proinflammatory enzymes	MMP8	$5.7-19 \text{ ng} \cdot \text{mL}^{-1}$	2.5–309 pg⋅mL <sup>-1</sup>
	MMP9	$215-608 \text{ ng} \text{mL}^{-1}$	50–100 ng·mL <sup>−1</sup>
	TIMP1	$305-342 \text{ g}\cdot\text{L}^{-1}$	1.5–3 pg⋅mL <sup>-1</sup>
	TIMP2	$100-200 \text{ ng} \text{mL}^{-1}$	$2-3.5 \text{ ng} \text{mL}^{-1}$
	Carboxyterminal telopeptide of type I collagen (ICTP)	$3.5-4.5 \text{ ng} \cdot \text{mL}^{-1}$	15.2 ng⋅mL <sup>-1</sup>
Antioxidant markers	8-Hydroxy-2'-deoxyguanosine (8-OHdG)	$121-200 \text{ ng} \cdot \text{L}^{-1}$	6.5–7.5 ng per 1 mg albumin
	Malondialdehyde (MDA)	0-29-0.98 mmol·L <sup>-1</sup>	0.85–4.31 mmol·L <sup>-1</sup>
	Uric acid	0.5−1.5 mg·dL <sup>−1</sup>	2.8–4 mg per 1 mg albumin
	Glutatione peroxidase (GPX)	196–477 U·L <sup>-1</sup>	17–39 U per 1 mg albumin
	TAC (total antioxidant capacity)	(1.92 $\pm$ 0.34) mmol trolox equivper L	1.1–1.5 nnol per 1 mg albumi
	Superoxide dismutase (SOD)	0.78–1.48 U·mL <sup>−1</sup>	0.6–1.53 U·mL <sup>−1</sup>
	Glutathione (GSH)	1.91–4.41 μmol·L <sup>-1</sup>	1.1 (0.1–3.3) μmol·L <sup>-1</sup>
	Mieloperossidase (MPO)	30–40 ng·mL <sup>−1</sup>	(0.40 $\pm$ 0.16) $\mu$ mol·L <sup>-1</sup>
	4-hydroxynonenal (4-HNE)	0.5–2 μg⋅mL <sup>−1</sup>	0–0.15 μg⋅mL <sup>−1</sup>
Acute phase proteins	C-reactive protein (CRP)	$0.1-10 \mathrm{mg}\cdot\mathrm{L}^{-1}$	0–472 pg·mL <sup>−1</sup>
	Serum amyloid A (SAA)	$15-35 \mathrm{mg}\cdot\mathrm{L}^{-1}$	3.1–423 U⋅mL <sup>-1</sup>
	Haptoglobin (Hp)	50–220 mg∙dL <sup>-1</sup>	451–1 457 μg·L <sup>–1</sup>
	C3	$1-3 \text{ mg} \cdot \text{L}^{-1}$	$0-2 \text{ mg} \cdot \text{mL}^{-1}$
	Alpha1antitrypsin (AAT)	$0.9-1.75 \mathrm{g}\cdot\mathrm{L}^{-1}$	2–2 271 ng⋅mL <sup>−1</sup>
	IL-1ra	$350-700 \text{ ng}\cdot\text{L}^{-1}$	3 700 pg⋅mL <sup>-1</sup>
	Ferritin	10–250 ng·mL <sup>−1</sup>	147–191 mg⋅L <sup>−1</sup>
	Cortisol	5–23 mg·dL <sup>-1</sup>	$3-19 \mathrm{mg}\cdot\mathrm{L}^{-1}$
	Lipopolysaccaride (LPS)	0.1–10 mg·mL <sup>−1</sup>	4.2–10.1 mg·mL <sup>−1</sup>
Adipokines	Leptin	10 ng⋅mL <sup>-1</sup>	$21-42 \mathrm{pg}\cdot\mathrm{mL}^{-1}$
	Adiponectin	0-5-30 μg⋅mL <sup>−1</sup>	$29 \mathrm{mg}\cdot\mathrm{dL}^{-1}$

metalorganic frameworks that are capable of enhancing analytic signal acquisition.<sup>86</sup> Moreover, even though advanced carbon materials such as graphene may be expensive, the methods for their production are in constant development, and this resource is abundant in nature as opposed to precious metals. On the other hand, gold-based substrates offer simplicity and an easier function as their main benefit.<sup>87</sup> It is well reported that thiolate compounds show thermodynamic feasibility to spontaneously assemble

monolayers on gold substrates.<sup>88</sup> This phenomenon, named selfassembled monolayer formation has been extensively explored in the crafting of biosensing strategies to determine inflammatory biomarkers in saliva and other biological fluids, and can be easily performed on room temperature without the need of extreme conditions.<sup>89,90</sup>

Electrochemical,<sup>91</sup> optical<sup>92</sup> and acoustic transduction<sup>93</sup> represent the most diffuse signal transduction technologies used for

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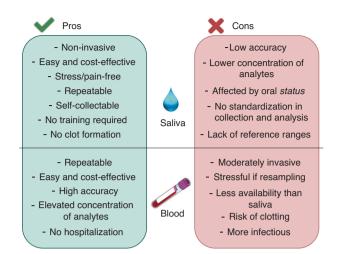


Fig. 1 Pros and Cons of the use of saliva or blood for the detection of clinically relevant analytes

the development of biosensors for the detection of salivary inflammatory biomarkers. Electrochemical technologies have as analytical principle the inherent electrical properties of matter, by measuring changes in electrical charge, current, potential and resistance.<sup>91</sup> Overall, this method has been the most used by researchers in the development of label-free biosensing platforms for oral applications, due to the versatility, low cost and high portability.<sup>91</sup> On the other hand, methods based on optical signal transduction rely on shifts in spectra absorption, reflection and refraction and they often employ spectrophotometry, spectro-scopy, colorimetry and surface-plasmon resonance.<sup>83</sup> These techniques offer high sensibility and selectivity, and similarly to electrochemical transduction, also allow the development of either label-free or sandwiched biosensing strategies. In regard to acoustic signal transduction, this approach is a recent trend in biosensing technologies, and has attracted much attention due to the possibility of refining the signal acquisition and attaining very low limits-of-detection.<sup>94</sup> This technology mostly bases on the dependence of frequency and dissipation of mechanical disturbances, which can be generated by piezoelectric materials serving as sensing substrates.<sup>94</sup> However, owing to its novelty and reliance on high frequencies, there are still limitations regarding its point-of-care application.<sup>9</sup>

In order to improve the specificity and the sensitivity of biosensors, molecularly imprinted polymer (MIP) technology is implemented onto the biomaterial surfaces. In MIP, specific functional monomers are polymerized in the presence of the target molecule, e.g., a biomarker. The target molecule is then removed, leaving a polymer matrix that has recognition cavities that are complementary to the target molecule in terms of size, shape, and functionality. In this way, MIP specifically rebinds the target molecule and reduces the effect of potential interferents on false positives and thus enhance safe data generation.<sup>95</sup> MIPs have been successfully applied not only for small molecules recognition but also for biomacromolecules, such as proteins.<sup>96</sup> MIPs are used in the design of MIPs-based biosensors, due to their higher stability, specificity, and reusability than biological receptors. However, industrial application of MIP-based biosensors is limited due to their lack of reproducible preparation and stability on the sensor substrate, as well as the limited slow diffusion of analyte into the cavities and binding sites (Fig. 3).

The rapid advances in nanotechnology, microelectronics, and Internet of Things enable the development of wearable biosensors that can be positioned in the oral cavity for the detection of salivary biomarkers.<sup>74</sup> Such biosensors communicate with computers/smartphones wirelessly and enables the online data

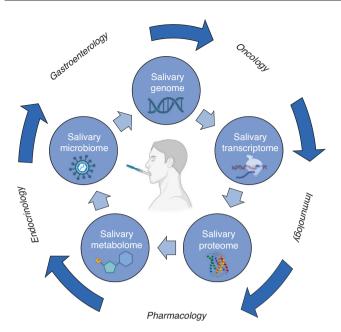


Fig. 2 Possible applications and clinical utility of saliva, as biological fluid for omics-based studies

analysis. Data transfer is generated through WiFi and BlueTooth Low Energy. For example, Kim et al. reported an integrated wireless mouthguard amperometric biosensor that enables the non-invasive monitoring of salivary uric acid levels.<sup>97</sup> Lee at al. described a wireless intraoral device capable of a real-time recording of sodium detection.<sup>98</sup> Mannoor et al. fabricated a graphene-based wireless biosensor for remote monitoring of respiration and bacteria detection in saliva.<sup>99</sup> Tseng at al. demontrated the wireless monitoring of oral cavity and food consumption by a radiofrequency-trilayer dielectric sensor<sup>100</sup> (Fig. 4).

Technological developments have provided a growing improvement in methods that may be exploited to measure disease biomarkers although the detection of the latter is challenging due to the high inter-individual variability. Therefore, machine learning models have been developed to identify signatures in multiple circulating biomarkers for specific diseases. Machine learning algorithms enable full automation and allow to analyze large datasets in a short period of time by reducing false positives that lead to incorrect diagnosis, and thus saving clinicians' time for data analysis. Currently, machine learning methods including Random Forests or Gradient Boosted Trees to deep learning have been increasingly applied to identify biomarkers from body fluids for non-invasive disease diagnosis.<sup>101–104</sup>

Despite the scientific and technological advances, an early and non-invasive biomarker detection is still limited by current biosensors. In particular, the co-detection of different biomarkers that characterize a specific disease, by a single biosensor, is still unripe. Therefore, multiplex technologies for advanced biosensor manufacturing and biomarker detection are needed to improve the quality of patient care and to reduce the costs through the early assessment and diagnosis of chronic diseases.

# SALIVARY BIOMARKERS: AN ATTRACTIVE WAY TO APPROACH SCREENING AND MONITORING

To date, soluble markers of chronic inflammation have been assessed in blood. However, recent findings have demonstrated that inflammatory mediators may be detectable also in saliva which has drawn a growing attention as biological fluid especially due to its stress-free and non-invasive collection.

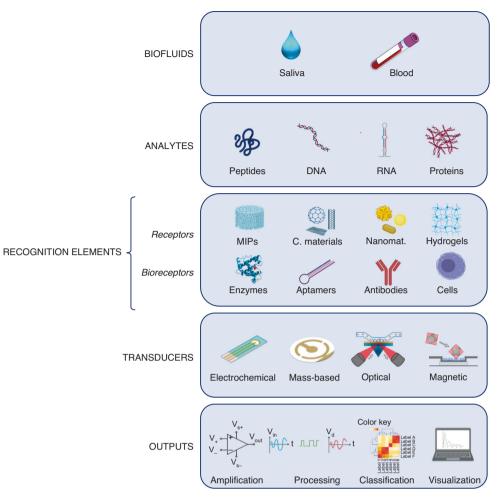


Fig. 3 Saliva and blood as biological fluids to exploit biosensors to detect peptides, DNA, RNA and proteins. Schematic representation of the components of biosensors and workflow

Acute phase proteins (APPs) as salivary biomarkers

Chronic subclinical inflammation and tissue injuries are associated with the secretion of acute phase proteins, such as haptoglobin (Hp), CRP, Alpha 1-antitrypsin (A1AT), fibrinogen and ferritin, mainly from the liver into the bloodstream.<sup>105</sup> Their concentrations progressively increase with the tissue damage and then, these substances passively diffuse through the porous capillaries, are actively transported into saliva or may also diffuse between acinar cells.<sup>106</sup> Some of them also directly derive from salivary glands.<sup>107</sup> Abnormal serum levels of APPs are reported in several disorders, among which myocardial infarction, T2D, insulin resistance (IR), inflammatory bowel disease, psoriasis, and cancer. In detail, APPs are stratified in positive and negative ones, according to their up- or downregulation during inflammation.<sup>108</sup>

Among the positively regulated, CRP has been indicated as a predictor of primary and secondary adverse cardiovascular events, participating in atherogenesis whereby mediating the recruitment of innate immunity and the activation of complement pathway.<sup>109</sup> Although it has a high molecular weight, CRP can enter into the oral cavity, through plasma exudates of systemic origin from gingival crevicular fluid (GCF).<sup>110,111</sup> It has been demonstrated that CRP levels in saliva moderately correlated with those in serum samples and with systemic inflammation, postulating this *via* as a useful strategy to assess various inflammatory conditions.<sup>112,113</sup> Indeed, CRP is synthetized only by the liver, and it is not produced locally in the mouth. Thus, its salivary levels may more accurately reflect the systemic inflammatory *status*, compared to cytokines and chemokines, that are modulated also by oral pathologies.<sup>114</sup>

For the same reason, fibrinogen concentrations assessed in saliva are representative of the blood protein content albeit with low levels and possible contaminations by ulcerated gingival epithe-lium.<sup>114</sup> However, it seems to own reliable clinical utility to detect tuberculosis and polycystic ovarian syndrome (PCOS).<sup>115,116</sup>

Another molecule that has been pointed out as possible salivary biomarker of subclinical inflammation is haptoglobin (Hp),<sup>117</sup> and it has been studied together with the cortisol hormone. Indeed, in stressful situations, the activation of the hypothalamic-pituitary-adrenal (HPA) axis is proven by the release of cortisol by adrenal cortex into the bloodstream within few minutes. A significant elevation of both Hp and cortisol has been identified in a model of experimentally induced systemic inflammation by an LPS challenge<sup>117</sup> and salivary and blood concentrations of the latter have been found to be strictly correlated.<sup>118,119</sup> Finally, the assessment of salivary A1AT levels may be used for monitoring effectiveness of oncological interventions.<sup>120</sup>

# Salivary biomarkers of inflammation

The feasibility to estimate the individual inflammatory *status* from saliva opens the route to unraveled approaches to the diagnosis and management of several inflammatory disorders and the possibility to non-invasively assess the response to acute stressors.<sup>121</sup> However, salivary measurements often show inconsistencies, mainly due to various methodological applications, handling technique and timing of collection. Moreover, the degree of translatability of blood-based inflammatory markers on saliva-based ones and the pathological range of concentration

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**Fig. 4** Summary of wearable intraoral biosensing platforms for non-invasive salivary analysis. Lactate biosensor on a mouthguard (**a**, on the left). Reproduced with permission from Kim et al..<sup>86</sup> Mouthguard with biosensor and integrated electronics for real-time uric acid detection (**a**, on the right). Reproduced with permission from Kim et al..<sup>86</sup> Mouthguard with screen printed electrodes for N-Carboxymethyl lysine detection (**b**). Reproduced with permission from Ciui et al..<sup>177</sup> Glucose biosensing telemetry system (**c**, on the left), reproduced with permission from Ciui et al..<sup>177</sup> Glucose biosensing telemetry system (**c**, on the left), reproduced with permission from Ciui et al..<sup>178</sup> and a hybrid flexible bioelectronic platform for sodium monitoring (**c**, on the right). Tooth mounted hydrogel radiofrequency biosensor (**d**, on the left), reproduced with permission from Tseng et al.<sup>179</sup> and graphene-based biosensor for pathogen's detection (**d**, on the right), reproduced with permission from Mannoor et al.<sup>99</sup>

for each salivary biomarker remain to be defined. Indeed, the impact of the fluctuations, due to local inflammatory milieu, oral mucosal immunity, and interruptions in gingival integrity on the content of these mediators in saliva needs to be taken into account. Therefore, standard procedures of collection and preservation are largely recommended for the utilization of saliva as a reliable diagnostic medium.<sup>59</sup> Nevertheless, it has been established that a broad variety of interleukins (IL-1β, IL-2, IL-4, IL-6, IL-10 etc.), TNFa and pro-inflammatory enzymes, involved in matrix remodeling such as metalloproteinases and their inhibitors, may be quantified both in saliva and serum samples. For example, IL-1B, a cytokine released from macrophages and non-immune cells in the context of inflammation, participates to innate immune response, whereby promoting the secretion of IL-6 and TNFa. The comparison between the circulating and salivary levels of these three cytokines has been widely explored,<sup>122–125</sup> showing overall modest reliability of saliva and low consistency. The only one marker that may more precisely reflects blood distribution is IL- 6.<sup>126,127</sup> Indeed, a significant correlation between plasma and saliva has been reported in patients affected by IBD and oral lichen planus (OLP).<sup>56,126</sup> Furthermore, IL-8 and MMP-8 were found to be increased in patients with head and neck squamous cell cancer or bowel diseases and in those suffering of diabetes or who underwent cardiac surgery, respectively.<sup>128</sup> In detail, a population study across 441 adults described that diabetic patients have a three times higher ratio of MMP-8/TIMP-1 and twice as high concentration of MMP-8, as a consequence of the elevated inflammatory *status* in these patients.<sup>128</sup> According to this notion, MMP-8 has been found to be up-regulated in patients with elevated risk of CVD.<sup>128,129</sup>

Among salivary biomarkers, miRNAs seem very promising, both for the early diagnosis and for understanding the pathogenesis of some diseases (e.g., oral cancer, salivary glands cancer, neurological or psychiatric deficiencies).<sup>130</sup> Moreover, it has been demonstrated that salivary transcriptome is very abundant, consisting of thousands of mRNAs and miRNAs.<sup>131,132</sup>

In saliva samples of patients with oral squamous cell carcinoma (OSCC), miRNA-125a and miR-200a were significantly decreased and miR-31 was over-expressed. Aberrant methylation and atypical expression were observed for miR-200c/miR-141 and miR-375/miR-200a, respectively.<sup>133</sup> In addition, promising biomarkers were represented by miR-768-3p and miR-574 for salivary gland inflammation and by miR-5100 for Sjögren's syndrome.<sup>134,135</sup> Other miRNAs whose expression is deregulated in saliva include miR-101 in Crohn's disease and miR-21, miR-31, miR-142-3p/5p in ulcerative colitis.<sup>136</sup> Evidence exists that salivary miR-940 and miR-3679-5p are reliable markers for pancreatic cancer whereas miR140-5p and miR301a are attractive molecules for the salivary diagnosis of gastric cancer.<sup>137</sup>

In addition to the more traditional inflammatory biomarkers, salivary levels of lipid mediators can also be used for diagnostic and prognostic purposes despite their investigation has been given little scientific attention and remains poorly understood.<sup>138</sup> A study of serum and saliva lipid profile levels in about 100 healthy subjects showed that there is a reasonable correlation between their concentration of total cholesterol and triglycerides.<sup>139</sup> The measurement of short-chain fatty acids (SCFAs) in saliva, produced by causal bacteria, may be an indicator of the inflammation degree closely related to the onset and progression of periodontal disease.<sup>140,141</sup> Elevated salivary leukotriene  $B_4$  (LTB<sub>4</sub>) and PGE<sub>2</sub> are correlated with arterial stiffness.<sup>142</sup> Asthmatic patients exhibit elevated Cys-leukotriens (LTs) levels in saliva.<sup>143</sup> Elevated salivary levels of PGE<sub>2</sub> were found to be correlated with gingivitis<sup>144,145</sup> and periodontitis.<sup>146</sup> In chronic periodontitis patients, salivary LTB<sub>4</sub> levels were correlated with the severity of alveolar bone loss.<sup>147</sup> Regarding the primary Sjögren's syndrome (SS), Slomiany et al. demonstrated a general increase in total salivary lipid count in SS patients as well as an increased proportion of glycolipids, phospholipids and some neutral lipids.<sup>148</sup> Another study analyzing eicosanoids reported an increase in PGE<sub>2</sub> and thromboxane  $B_2$  (TXB<sub>2</sub>) in SS patients when compared to healthy controls.<sup>149</sup> Fineide et al. revealed several significant differences in the lipidomic profiles of saliva in human patients suffering from SS compared to healthy controls showing increasing levels of sphingomyelins and diacylglycerophosphocholines and decreasing levels of diacylglycerols and ceramides in unstimulated saliva from SS patients.<sup>1</sup>

#### Salivary biomarkers of oxidative stress

Persistent inflammation and blunted antioxidant capacities resulted in the exaggerate generation of free radicals which propagate injuries, precipitating cell death. The release of these harmful radicals, along with reactive oxygen and nitrogen species (ROS/RNS) boost the activation of signaling molecules and transcription factors, that may be particularly useful to pinpoint the disorders. In detail, free radicals are responsible for the oxidation of cellular components, such as membrane lipids, proteins, and nucleic acids, contributing to mitochondrial dysfunction, antioxidant systems impairment, ageing and chronic diseases.<sup>151–153</sup> Products derived from lipid peroxidation, protein oxidation and DNA damage can be directly assessed in saliva, possibly paving the way to diagnose systemic disorders associated with oxidative stress, by using this mean.<sup>152,154,15</sup> Nonetheless, local oral status and oral cavity-related pathologies (i.e., periodontitis and dental caries) may also modulate the redox balance of saliva, interfering with its widespread routine clinical use.<sup>1</sup>

A broad number of studies indicate that an imbalance in oxidant/antioxidant mediators may exert a crucial role in the pathogenesis and progression of metabolic syndrome, T2D and CVD.<sup>157</sup> However, the majority of the research is focused on tissue and blood distribution of these indicators, and less is known regarding their impact on saliva composition. A preclinical study in

insulin resistant rats compared salivary antioxidants and oxidative stress products to those in plasma samples, exploring their diagnostic utility. The authors showed an impairment in antioxidant barriers and in ROS scavengers both in plasma and in saliva, proven by the reduction in superoxide dismutase, ascorbic acid and glutathione (GSH) levels in IR mice.<sup>158</sup> These alterations were paralleled by strengthened lipid/protein oxidation and advanced glycation end products in both biological fluids, showing an elevated coefficient of correlation between the two.

The assessment of salivary redox biomarkers seems to be applicable also for diagnosis and monitoring of obesity,<sup>159,160</sup> diabetes,<sup>161</sup> hypertensive disorders,<sup>162</sup> chronic kidney disease,<sup>163</sup> heart failure, 164,165 neurodegenerative diseases 166 and cancer,<sup>167,168</sup> in which molecules and enzymes with antioxidant properties are pathologically depleted in saliva, whereas oxidative and nitrosative by-products are favored. For instance, it has been demonstrated that salivary oxidative biomarkers, among which 4-hydroxynonenal (4-HNE) and 8-isoprostanes (8-isoP), advanced oxidation protein products (AOPP) and protein carbonyl groups (PC), 8-hydroxy-D-guanosine (8-OHdG), derived from lipoperoxidation of cell membranes, protein oxidation and DNA aberrancies respectively, were increased in 47 subjects with morbid obesity compared to controls (BMI < 25 kg m<sup>-2</sup>) and that bariatric surgery reduced their salivary concentrations.<sup>160</sup> Similar findings have been reported by Zalewska and colleagues, which yielded an enhanced total oxidative status accompanied by reduced glutathione levels in saliva and in plasma from 40 young obese subjects.<sup>159</sup> Other important markers of oxidative damage are mitochondrial DNA mutations, which has been evaluated in blood and gingival tissues.<sup>15</sup>

Higher levels of glutathione peroxidase (GSHPx), an antioxidant enzyme, and malondialdehyde (MDA), a biomarker for lipid peroxidation, were observed in the saliva of patients that had periodontitis and that were smokers compared to the non-smoking control group.<sup>169,170</sup> Therefore, increased levels of GSHPx and MDA can indicate increased lipid peroxidation in patients with periodontal disease which is further elevated by smoking.<sup>169,170</sup> The results of Wolfram et al.<sup>171</sup> and Morrow et al.<sup>172</sup> indicate that salivary lipid isoprostanes (IPs) can reliably assess the degree of oxidative stress. In detail, elevated salivary 8-iso-PGF<sub>2a</sub> levels were determined by oxidative damage associated with the extent of periodontal disease and significantly aggravated by concomitant tobacco use. Likewise, the levels of salivary  $PGE_2$ ,  $PGF_{2\alpha}$  and prostaglandin D<sub>2</sub> (PGD<sub>2</sub>) have been successfully used as biomarkers for chronic inflammatory processes and to assess the degree of oxidative stress caused by smoking and periodontitis. The results of Huang et al. demonstrate that a local redox alteration contributes significantly to periodontitis through the modulation of fatty acid metabolism in response to inflammation and oxidative stress.<sup>17</sup>

In addition, smoking induces specific structural alterations in the lipid A-derived 3-hydroxy (OH) fatty acid profile in saliva of individuals with chronic periodontitis that are consistent with an altered oral microflora.<sup>174</sup> The most prominent shifts in smokers, compared to non-smokers, occurred in the short, straight-chain pro-inflammatory lipid A fatty acids, 3-OH C12, C13, and C14.

Furthermore, the concentration of salivary redox biomarkers progressively increases according to the disease progression, mirroring their presence at the serum level.<sup>175,176</sup> For instance, the salivary content of 8-OHdG, MDA, and PC was significantly higher in patients affected by CAD compared to healthy individuals, supporting the paramount role of lipid oxidative damage in the etiology of CVD. Even more, in these subjects, MDA levels were associated with serum high sensitivity CRP (hsCRP) and with plasma fibrinogen, that are strong predictors of cardiovascular events.<sup>176</sup>

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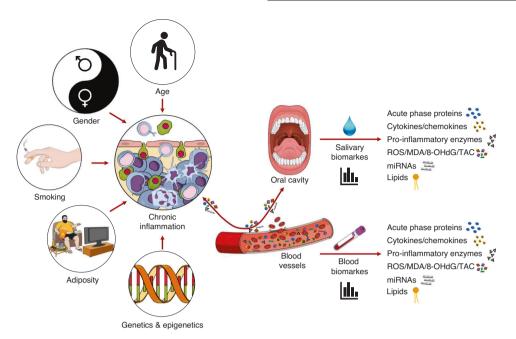


Fig. 5 Schematic illustration of possible modifiers of chronic inflammation, analytes enchanged between blood and oral cavity, and dosable compounds in biological fluids

# CONCLUSIONS

The measurement of soluble mediators outlines the entire therapeutic route from diagnosis to therapy and follow-up. In detail, a large series of acute phase proteins, cytokines and chemokines, lipids, pro-inflammatory enzymes, and oxidative stress indicators have been pointed out as trustworthy biomarkers in biological fluids. Since chronic disorders, among which cardiovascular failure, obesity, diabetes, and cancer, have been progressively spread in the last century, there is an urgent need to identify novel diagnostic strategies to tailor the management of patients and to stage the diseases. In this regard, ever increasing number of studies have suggested that salivary biomarkers modulation will be an innovative and minimally invasive option in the care of chronically affected patients. Hence, addressing the efforts to the research of peculiar salivary molecules will provide clinicians an unprecedented opportunity to soften the painful path of the disease.

Furthermore, technological advances enable the implementation of wearable biosensors in the oral cavity that automates the detection and quantification of biomarkers in saliva. That allows early, non-invasive and unobtrusive diagnosis, continuous monitoring of chronic disease and early and continuous communication with physicians and thus improve the quality of patient care while reducing the cost of care.

The possibility to assign a specific spectrum of candidate molecules and detecting salivary technologies to discriminate each stage of chronic disorders and to formulate panels of salivary mediators as suitable molecular biomarkers to be combined with the demographic, genetic and anthropometric features of patients, might represent a novel tool to improve the diagnosis and more accurately evaluate the prognosis (Fig. 5).

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#### AUTHOR CONTRIBUTIONS

The authors' responsibilities were as follows: P.D. and M.Me manuscript drafting and figures preparation; S.C. manuscript drafting and figures preparation; R.G. figures

preparation, D.V.T., N.S.K., D.G., and M.D.F. critical revision of the manuscript. G.T. study funding, supervision and has primary responsibility for final content. All authors read and approved the final manuscript.

#### **ADDITIONAL INFORMATION**

Competing interests: The authors declare no competing interests.

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