A frame-theoretic perspective on Esakia duality

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Abstract. We introduce the category of Heyting frames, those coherent frames L in which the compact elements form a Heyting subalgebra of L, and show that it is equivalent to the category of Heyting algebras and dually equivalent to the category of Esakia spaces. This provides a frame-theoretic perspective on Esakia duality for Heyting algebras. We also generalize these results to the setting of Brouwerian algebras and Brouwerian semilattices by introducing the corresponding categories of Brouwerian frames and extending the above equivalences and dual equivalences. This provides a frame-theoretic perspective on generalized Esakia duality for Brouwerian algebras and Brouwerian semilattices.

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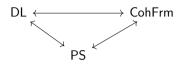
Keywords. Heyting algebra, Esakia duality, coherent frame, algebraic frame, Brouwerian algebra, Brouwerian semilattice.

1. Introduction

In the early 1970s, two important duality theorems were established, by Priestley [22, 23] for bounded distributive lattices and by Esakia [11] for Heyting algebras. In both cases, the dual structures were special compact ordered spaces, which became known as Priestley spaces and Esakia spaces, respectively. As was shown by Cornish [9], Priestley duality is closely related to Stone duality for bounded distributive lattices [26]. Since Stone duals are spectral spaces, this opens the door for a frame-theoretic approach to Priestley duality. Indeed, the category DL of bounded distributive lattices is equivalent to the category CohFrm of coherent frames, which are exactly the frames of open subsets of spectral spaces [16, p. 65]. By [9], the category of spectral spaces is isomorphic to the category PS of Priestley spaces. We

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thus obtain the following diagram connecting distributive lattices, coherent frames, and Priestley spaces. The arrow between DL and CohFrm represents an equivalence, the other two dual equivalences, and the diagram commutes up to natural isomorphism (see Section 2 for details).



Since Esakia duality is a restricted version of Priestley duality, it is natural to provide a frame-theoretic approach to it that is similar to the above approach to Priestley duality. For this purpose, we introduce a subclass of coherent frames, which we term Heyting frames or simply H-frames. To justify the definition, we recall that a frame L is coherent if the set K(L) of compact elements of L is a bounded sublattice of L that join-generates L. Since every frame is a Heyting algebra, we define L to be an H-frame if in addition K(L) is a Heyting subalgebra of L. We show that the above correspondence between bounded distributive lattices, coherent frames, and Priestley spaces restricts to the same correspondence between Heyting algebras, H-frames, and Esakia spaces.

There are various natural morphisms $\alpha: L \to M$ to consider between two H-frames. If we consider coherent frame homomorphisms (those frame homomorphisms that send compact elements to compact elements), the resulting category is a full subcategory of CohFrm. But such morphisms don't take into account Heyting implication. Thus, we also consider those coherent frame homomorphisms that preserve implication on K(L) as well as those that preserve implication on the entire L. In addition, we consider complete lattice homomorphisms as well as complete Heyting homomorphisms. This results in various categories of H-frames. For each we describe the corresponding categories of Esakia spaces and Heyting algebras. This yields the desired frame-theoretic approach to Esakia duality, with various natural morphisms at play.

We also provide a frame-theoretic approach to generalized Esakia duality for Brouwerian algebras and Brouwerian semilattices. We recall that Brouwerian algebras generalize Heyting algebras by dropping the bottom element from the signature, and that Brouwerian semilattices are a further generalization that also drop the join from the signature (see, e.g., [17, 18]). The dual spaces of Brouwerian algebras are pointed Esakia spaces [5], and those of Brouwerian semilattices are pointed generalized Esakia spaces [2].

In order to extend the notion of an H-frame, instead of coherent frames we work with algebraic and arithmetic frames (also known as M-frames). For compact elements of an algebraic frame L to form a Brouwerian semilattice, we need to work with the dual order on K(L). We thus define an algebraic frame L to be a Brouwerian frame provided the dual $K(L)^d$ is a Brouwerian semilattice. Since implication on $K(L)^d$ becomes co-implication on K(L), it no longer makes sense to talk about $K(L)^d$ being a Brouwerian sub-semilattice of L since co-implication may not exist on L.

We show that Brouwerian frames play the same role in generalized Esakia duality for Brouwerian semilattices as H-frames play in Esakia duality, and that a similar role is played by Brouwerian arithmetic frames for Brouwerian algebras and pointed Esakia spaces. We conclude the article by discussing how the results about H-frames are related to the corresponding results about Brouwerian frames.

2. Preliminaries

We start by briefly describing Priestley duality [22, 23]. Let X be a poset. For $S \subseteq X$, we let

$$\uparrow S = \{ x \in X : s \le x \text{ for some } s \in S \}$$

and

$$\downarrow S = \{ x \in X : x \le s \text{ for some } s \in S \}.$$

If $S = \{s\}$ is a singleton, we simply write $\uparrow s$ and $\downarrow s$. We call S an upset if $\uparrow S = S$ and a downset if $\downarrow S = S$. A Priestley space is a poset X equipped with a compact topology such that the Priestley separation axiom holds: If $x \not\leq y$, then there is a clopen upset U such that $x \in U$ and $y \notin U$. A Priestley morphism is a continuous order-preserving map. Let PS be the category of Priestley spaces and Priestley morphisms. Let also DL be the category of bounded distributive lattices and bounded lattice homomorphisms.

Theorem 2.1 (Priestley duality). DL is dually equivalent to PS.

The contravariant functors establishing Priestley duality are constructed as follows. For a Priestley space X, let $\mathsf{ClopUp}(X)$ be the bounded distributive lattice of clopen upsets of X. The contravariant functor $\mathsf{ClopUp}: \mathsf{PS} \to \mathsf{DL}$ sends $X \in \mathsf{PS}$ to $\mathsf{ClopUp}(X)$ and a PS -morphism $f: X \to Y$ to the bounded lattice homomorphism $f^{-1}: \mathsf{ClopUp}(Y) \to \mathsf{ClopUp}(X)$. For $A \in \mathsf{DL}$ let X_A be the set of prime filters of A ordered by inclusion and equipped with the topology whose basis is $\{\varphi(a) \setminus \varphi(b) : a, b \in A\}$, where $\varphi: A \to \varphi(X_A)$ is the Stone map $\varphi(a) = \{x \in X_A : a \in x\}$. Then X_A is a Priestley space and the contravariant functor $\mathsf{pf}: \mathsf{DL} \to \mathsf{PS}$ sends $A \in \mathsf{DL}$ to X_A and a DL-morphism $h: A \to B$ to $h^{-1}: X_B \to X_A$.

Esakia duality [11] is a restricted version of Priestley duality. We recall that an *Esakia space* is a Priestley space X in which $\downarrow U$ is clopen for each clopen U. An *Esakia morphism* is a continuous map $f: X \to Y$ satisfying $\downarrow f^{-1}(y) = f^{-1}(\downarrow y)$ for each $y \in Y$. Maps $f: X \to Y$ between posets satisfying the latter condition are often called *p*-morphisms or bounded morphisms.

Let ES be the category of Esakia spaces and Esakia morphisms. We also recall that a *Heyting algebra* is a bounded distributive lattice A such that \land has a residual \rightarrow satisfying $a \land c \leq b$ iff $c \leq a \rightarrow b$ for all $a, b, c \in A$. The operation \rightarrow is often referred to as *implication*. Let HA be the category of Heyting algebras and Heyting algebra homomorphisms.

Theorem 2.2 (Esakia duality). HA is dually equivalent to ES.

Note that HA is a non-full subcategory of DL, ES is a non-full subcategory of PS, and Esakia duality is established by restricting the contravariant functors pf and ClopUp establishing Priestley duality.

The following lemma is well known in Esakia duality and will be used subsequently (see, e.g., [10, Theorems 4.2 and 4.3]).

Lemma 2.3.

- (1) A Priestley space X is an Esakia space iff $\mathsf{ClopUp}(X)$ is a Heyting algebra, in which case the implication of $U, V \in \mathsf{ClopUp}(X)$ is calculated by $U \to V = X \setminus \downarrow (U \setminus V) = \{x \in X : \uparrow x \cap U \subseteq V\}.$
- (2) Let X, Y be Esakia spaces and $f: X \to Y$ a PS-morphism. The following conditions are equivalent:
 - (a) f is an ES-morphism.
 - (b) $f^{-1}(\downarrow U) = \downarrow f^{-1}(U)$ for each clopen subset U of Y.
 - (c) f^{-1} is a Heyting homomorphism.

We recall (see, e.g., [21, p. 10]) that a *frame* is a complete lattice L satisfying the infinite distributive law $a \land \bigvee S = \bigvee \{a \land s : s \in S\}$ for each $S \subseteq L$. An element $a \in L$ is *compact* if $a \leq \bigvee S$ implies $a \leq \bigvee T$ for some finite $T \subseteq S$. Let K(L) be the set of compact elements of L. Then K(L) is a join-subsemilattice of L. We say that L is *algebraic* if K(L) is join-dense in L (that is, each element of L is a join of compact elements), and that L is *coherent* if L is algebraic and in addition K(L) is a bounded sublattice of L.

A map $\alpha \colon L \to M$ between frames is a *frame homomorphism* if α preserves finite meets and arbitrary joins. Let AlgFrm be the category of algebraic frames and frame homomorphisms that preserve compact elements (that is, $a \in K(L)$ implies $\alpha(a) \in K(M)$). Let also CohFrm be the full subcategory of AlgFrm consisting of coherent frames.

Theorem 2.4. [16, p. 65] DL is equivalent to CohFrm.

The functors establishing this equivalence are described as follows. The functor $\mathscr{J} : \mathsf{DL} \to \mathsf{CohFrm}$ sends $A \in \mathsf{DL}$ to the frame $\mathscr{J}(A)$ of ideals of A, and a DL-morphism $h \colon A \to B$ to the CohFrm -morphism $h^* \colon \mathscr{J}(A) \to \mathscr{J}(B)$ given by $h^*(I) = \downarrow h[I]$. The functor $\mathscr{K} \colon \mathsf{CohFrm} \to \mathsf{DL}$ sends $L \in \mathsf{CohFrm}$ to its bounded sublattice K(L) and a CohFrm -morphism $\alpha \colon L \to M$ to its restriction to K(L).

Since frames are precisely complete Heyting algebras (see, e.g., [12, p. 12]), the ideal frame of $A \in \mathsf{DL}$ is a Heyting algebra. We will use the following description of implication on $\mathscr{J}(A)$.

Lemma 2.5. Let $A \in DL$. Then implication on $\mathcal{J}(A)$ is calculated by the following formula

 $I \to J = \{a \in A : \downarrow a \cap I \subseteq J\} = \{a \in A : a \land b \in J \ \forall b \in I\}.$

Proof. Since the second equality is straightforward, we only verify the first equality. Set $E = \{a \in A : \downarrow a \cap I \subseteq J\}$. Since $A \in \mathsf{DL}$, it is easy to see that

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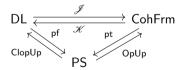
 $E \in \mathscr{J}(A)$. We show that $E = I \to J$. For this we must show that E is the largest ideal of A satisfying $I \cap E \subseteq J$. To see that $I \cap E \subseteq J$, let $a \in I \cap E$. Then $a \in I$ and $\downarrow a \cap I \subseteq J$, so $a \in J$. Therefore, $I \cap E \subseteq J$. To see that E is the largest such, let $N \in \mathscr{J}(A)$ with $I \cap N \subseteq J$. Suppose that $a \in N$. Then $\downarrow a \cap I \subseteq N \cap I \subseteq J$, so $a \in E$. Thus, $N \subseteq E$, and hence $I \to J = E$. \Box

Since DL is equivalent to CohFrm and dually equivalent to PS, we obtain that CohFrm is dually equivalent to PS. The contravariant functors establishing this dual equivalence can be constructed as follows. Let $X \in \mathsf{PS}$. Since the frame of ideals of $\mathsf{ClopUp}(X)$ is isomorphic to the frame $\mathsf{OpUp}(X)$ of open upsets of X (see, e.g., [24, p. 54] or [1, p. 385]), the contravariant functor OpUp : $\mathsf{PS} \to \mathsf{CohFrm}$ sends $X \in \mathsf{PS}$ to $\mathsf{OpUp}(X) \in \mathsf{CohFrm}$ and a PS morphism $f: X \to Y$ to the CohFrm -morphism $f^{-1}: \mathsf{OpUp}(Y) \to \mathsf{OpUp}(X)$.

To describe the contravariant functor $pt: \operatorname{CohFrm} \to \mathsf{PS}$, we recall (see, e.g., [21, p. 13]) that a *point* of a frame *L* is a completely prime filter *P* (that is, *P* is a filter such that $\bigvee S \in P$ implies $S \cap P \neq \emptyset$). Let pt(L) be the set of points of *L*. For each $a \in L$ let $\zeta(a) = \{P \in pt(L) : a \in P\}$. It is well known (see, e.g., [21, p. 15]) that $\tau = \{\zeta(a) : a \in L\}$ is a topology on pt(L). Let $L \in \operatorname{CohFrm}$. Then $(pt(L), \tau)$ is a spectral space (see, e.g., [16, p. 65]), and $(pt(L), \pi, \subseteq)$ is the corresponding Priestley space, where π is the patch topology of τ (see, e.g., [9]). The functor $pt: \operatorname{CohFrm} \to \mathsf{PS}$ sends $L \in \operatorname{CohFrm}$ to the Priestley space $(pt(L), \pi, \subseteq)$, and a CohFrm -morphism $\alpha: L \to M$ to $\alpha^{-1}: pt(M) \to pt(L)$.

For $L \in \mathsf{CohFrm}$ the Priestley spaces $(\mathsf{pt}(L), \pi, \subseteq)$ and $\mathsf{pf}(K(L))$ of prime filters of K(L) are isomorphic in PS. This isomorphism is obtained by sending each point P of L to $P \cap K(L)$ and each prime filter F of K(L)to its upset $\uparrow F$ in L. We thus obtain the following well-known result, which provides a frame-theoretic perspective on Priestley duality.

Theorem 2.6. There is an equivalence of categories between DL and CohFrm, a dual equivalence between CohFrm and PS, and the following diagram commutes up to natural isomorphism.



Since Esakia duality is a restricted version of Priestley duality, it is natural to restrict Theorem 2.6 to the category HA of Heyting algebras. Then PS restricts to ES. In the next section we will introduce the category HFrm of H-frames and show that it plays the same role for HA and ES as CohFrm plays for DL and PS.

3. Heyting frames

Definition 3.1. We call a coherent frame L a *Heyting frame* or simply an *H*-frame if K(L) is a Heyting subalgebra of L.

We point out that in the definition above, it is sufficient to assume that L is algebraic since K(L) being a Heyting subalgebra implies that K(L) is a bounded sublattice of L.

Theorem 3.2. Let L be a coherent frame. Then L is an H-frame iff the bounded sublattice K(L) of L is a Heyting algebra.

Proof. The left-to-right implication is obvious. For the right-to-left implication, let \rightarrow be the implication on L. Since the bounded sublattice K(L) is a Heyting algebra, it has an implication \rightarrow' . It is sufficient to show that $a \rightarrow b = a \rightarrow' b$ for each $a, b \in K(L)$. Because $a \wedge (a \rightarrow' b) \leq b$, we have $a \rightarrow' b \leq a \rightarrow b$. To see the reverse inequality, since L is coherent, it is algebraic, and so $a \rightarrow b = \bigvee\{k \in K(L) : k \leq a \rightarrow b\}$. Let $k \in K(L)$ with $k \leq a \rightarrow b$. Because $a \wedge (a \rightarrow b) \leq b$, we have $a \wedge k \leq b$, so $k \leq a \rightarrow' b$. Thus, $a \rightarrow b \leq a \rightarrow' b$, and hence equality holds. Consequently, L is an H-frame. \Box

Since each $A \in \mathsf{DL}$ is isomorphic to $K(\mathscr{J}(A))$, the following is an immediate consequence of Theorem 3.2.

Corollary 3.3. Let $A \in DL$. Then A is a Heyting algebra iff $\mathcal{J}(A)$ is an *H*-frame.

We next give a dual characterization of H-frames.

Theorem 3.4.

- (1) If $X \in \mathsf{PS}$, then X is an Esakia space iff $\mathsf{OpUp}(X)$ is an H-frame.
- (2) If $L \in \mathsf{CohFrm}$, then L is an H-frame iff $\mathsf{pt}(L)$ is an Esakia space.

Proof. (1) Let $X \in \mathsf{PS}$. By Lemma 2.3(1), X is an Esakia space iff $\mathsf{ClopUp}(X)$ is a Heyting algebra. Since $\mathsf{ClopUp}(X)$ is the set of compact elements of the coherent frame $\mathsf{OpUp}(X)$, it follows from Theorem 3.2 that X is an Esakia space iff $\mathsf{OpUp}(X)$ is an H-frame.

(2) Let $L \in \mathsf{CohFrm}$. Dualizing Lemma 2.3(1), we have that $A \in \mathsf{DL}$ is a Heyting algebra iff $\mathsf{pf}(A)$ is an Esakia space. Thus, K(L) is a Heyting algebra iff $\mathsf{pf}(K(L))$ is an Esakia space. Since $\mathsf{pt}(L)$ is order-homeomorphic to $\mathsf{pf}(K(L))$ (see the paragraph before Theorem 2.6), we conclude by Theorem 3.2 that L is an H-frame iff $\mathsf{pt}(L)$ is an Esakia space. \Box

We thus obtain a one-to-one correspondence between Heyting algebras, H-frames, and Esakia spaces. We next consider various morphisms between H-frames.

Definition 3.5.

- (1) Let HFrm⁻ be the category of H-frames and coherent frame homomorphisms.
- (2) Let HFrm be the category of H-frames and coherent frame homomorphisms which restrict to HA-morphisms on compact elements.
- (3) Let HFrm⁺ be the category of H-frames and coherent frame homomorphisms preserving implication.

- (4) Let HFrm^{*} be the category of H-frames and complete lattice homomorphisms preserving compact elements.
- (5) Let HFrm[†] be the category of H-frames and complete Heyting homomorphisms preserving compact elements.

We point out that $\mathsf{HFrm}^{\dagger} = \mathsf{HFrm}^{+} \cap \mathsf{HFrm}^{*}$. We clearly have the inclusions of categories shown in Figure 1.

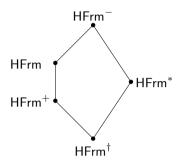


FIGURE 1. Inclusion of wide subcategories of HFrm⁻

Since all five categories have same objects, each inclusion is a wide inclusion. That each inclusion in the diagram is proper, and that HFrm^* is incomparable with HFrm and HFrm^+ will follow from a similar result for the corresponding categories of Esakia spaces (see Example 3.10). To introduce these categories, we recall (see, e.g., [14, p. 94]) that a constructible subset of a topological space is a finite union of sets of the form $U \setminus V$ where U, Vare open sets. We will be interested in constructible subsets of the spectral topology of an Esakia space, or more generally of a Priestley space, which motivates the following definition.

Definition 3.6. Let X be a Priestley space. We call a subset E of X an *U*-constructible subset of X if E is a constructible subset in the spectral topology of open upsets of X.

Definition 3.7.

- (1) Let ES⁻ be the category of Esakia spaces and Priestley morphisms.
- (2) Let ES be the category of Esakia spaces and Esakia morphisms.
- (3) Let ES^+ be the category of Esakia spaces and Priestley morphisms f satisfying $f^{-1}(\downarrow \mathsf{cl} E) = \downarrow \mathsf{cl} f^{-1}(E)$ for each U-constructible subset E.
- (4) Let ES^* be the category of Esakia spaces and Priestley morphisms f satisfying $f^{-1}(\downarrow \mathsf{cl} D) = \downarrow \mathsf{cl} f^{-1}(D)$ for each downset D.
- (5) Let ES^{\dagger} be the category of Esakia spaces and maps that are both ES^{+} and ES^{*} -morphisms.

Remark 3.8.

(1) As with the categories of H-frames, we have that $\mathsf{ES}^{\dagger} = \mathsf{ES}^{+} \cap \mathsf{ES}^{*}$.

(2) Since \downarrow , cl, and f^{-1} preserve finite unions, in the definition of ES^+ we may assume that $E = U \setminus V$ with U, V open upsets.

We thus obtain the wide inclusions of categories of Esakia spaces shown in Figure 2. The only inclusion that is not obvious is that ES^+ is a wide subcategory of ES . We prove this in Lemma 3.9.

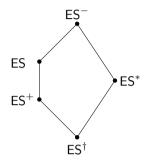


FIGURE 2. Inclusion of wide subcategories of ES⁻

Lemma 3.9. ES^+ is a wide subcategory of ES .

Proof. Let $f: X \to Y$ be an ES^+ -morphism. To see that f is an ES -morphism, by Lemma 2.3(2) it suffices to show that $f^{-1}(\downarrow U) = \downarrow f^{-1}(U)$ for each clopen subset U of Y. Since $\{C \setminus D : C, D \in \mathsf{ClopUp}(Y)\}$ is a basis for the topology on Y and U is clopen, it is a finite union of $U_i \setminus V_i$ with $U_i, V_i \in \mathsf{ClopUp}(Y)$. Therefore, U is U-constructible, and hence

$$f^{-1}(\downarrow U) = f^{-1}(\downarrow \mathsf{cl} U) = \downarrow \mathsf{cl} f^{-1}(U) = \downarrow f^{-1}(U)$$

since f is an ES^+ -morphism.

In the next example we show that each inclusion in Figure 2 is proper, and that ES^* is incomparable with ES and ES^+ . The duality results we obtain later in the section will then imply that the same holds for the corresponding categories of H-frames.

Example 3.10.

(1) Let $X_1 = \{x, y\}$ be the two-element chain where x < y. We view X_1 as an Esakia space with the discrete topology. Let $f: X_1 \to X_1$ be defined by f(x) = f(y) = x. Then f is order-preserving. Therefore, $f^{-1}(D)$ is a downset for each downset D of X_1 . Since the topology on X_1 is discrete, this implies that f is an ES*-morphism. However, f is not an ES-morphism because $f^{-1}(\downarrow y) = X_1$ but $\downarrow f^{-1}(y) = \emptyset$. Therefore, ES* is not a subcategory of ES. Since ES⁺ is a wide subcategory of ES and ES* is a wide subcategory of ES⁻, it follows that ES* is not a subcategory of ES⁺ and ES is a proper wide subcategory of ES⁻.

(2) Let E and O be the sets of even and odd natural numbers. We set X₂ = N∪{∞_E, ∞_O} to be the two-point compactification of the discrete space N, where ∞_E is the limit point of E and ∞_O the limit point of O. We also set X₃ = N ∪ {∞} to be the one-point compactification of N. Clearly X₂ and X₃ are Stone spaces, and hence they are Esakia spaces (where the order in each is the identity). Define f: X₂ → X₃ by f(n) = n for each n ∈ N and f(∞_E) = f(∞_O) = ∞. Since f is continuous and the orders are trivial, f is obviously an ES-morphism. On the other hand,

$$f^{-1}(\downarrow \mathsf{cl} E) = f^{-1}(\mathsf{cl} E) = f^{-1}(E \cup \{\infty\}) = E \cup \{\infty_E, \infty_O\}$$

while $\downarrow clf^{-1}(E) = cl(E) = E \cup \{\infty_E\}$. Therefore, since E is E-constructible, f is not an ES⁺-morphism. Thus, ES⁺ is a proper wide subcategory of ES.

(3) Let $X_4 = \mathbb{N} \cup \{\infty\}$ be the one-point compactification of the discrete space \mathbb{N} . Define \leq on X_4 by $u \leq v$ iff u = v or $v = \infty$. It is easy to verify that X_4 is an Esakia space. Recalling X_1 from (1), define $f: X_1 \to X_4$ by f(x) = 0 and $f(y) = \infty$. It is elementary to see that f is an ESmorphism. Clearly \mathbb{N} is a downset of X_4 and $\downarrow cl \mathbb{N} = X_4$. Therefore, $f^{-1}(\downarrow cl \mathbb{N}) = X_1$. But $f^{-1}(\mathbb{N}) = \{x\}$, and so $\downarrow cl f^{-1}(\mathbb{N}) = \{x\}$. Thus, fis not an ES*-morphism. Consequently, ES and ES* are incomparable. Since ES is a wide subcategory of ES⁻, it follows that ES* is a proper wide subcategory of ES⁻.

We next show that f is an ES^+ -morphism. Let $W = U \setminus V$ where U, V are open upsets of X_2 . If $\infty \in W$, then $\downarrow \mathsf{cl}W = X_2$, so $f^{-1}(\downarrow \mathsf{cl}W) = X_1$. Also, $y \in f^{-1}(W)$, so $\downarrow \mathsf{cl}f^{-1}(W) = X_1$. If $\infty \notin W$, then W must be a finite downset. Therefore, $f^{-1}(\downarrow \mathsf{cl}W)$ is $\{x\}$ or \emptyset depending on whether $0 \in W$. Similarly, $\downarrow \mathsf{cl}f^{-1}(W)$ is $\{x\}$ or \emptyset , so f is an ES^+ -morphism by Remark 3.8(2). Thus, ES^+ and ES^* are also incomparable. Consequently, ES^\dagger is a proper wide subcategory of both ES^* and ES^+ .

We next introduce the corresponding categories of Heyting algebras. Let HA^- be the full subcategory of DL consisting of Heyting algebras. The following is an immediate consequence of the equivalence of DL and CohFrm, the dual equivalence of CohFrm and PS, and Theorems 3.2 and 3.4.

Theorem 3.11. HFrm⁻ is equivalent to HA⁻ and dually equivalent to ES⁻.

We next establish that HFrm is equivalent to HA and hence dually equivalent to ES. For this we require the following lemma. We recall that for $A \in \mathsf{DL}$, compact elements of $\mathscr{J}(A)$ are precisely the principal ideals $\downarrow a$ for $a \in A$. We also recall that for a DL-morphism $h: A \to B$, the frame homomorphism $h^*: \mathscr{J}(A) \to \mathscr{J}(B)$ is given by $h^*(I) = \downarrow h[I]$.

Lemma 3.12. Let $h: A \to B$ be a DL-morphism between Heyting algebras. Then h is a Heyting homomorphism iff h^* preserves implication of compact elements. *Proof.* Let $a, b \in A$. Since A is a Heyting algebra, by Lemma 2.5,

$$\downarrow a \to \downarrow b = \{ x \in A : \downarrow x \cap \downarrow a \subseteq \downarrow b \} = \{ x \in A : \downarrow (x \land a) \subseteq \downarrow b \}$$
$$= \{ x \in A : x \land a \le b \} = \downarrow (a \to b).$$

Since h is order-preserving, $h[\downarrow x] \subseteq \downarrow h(x)$, and hence $\downarrow h[\downarrow x] = \downarrow h(x)$ for each $x \in A$. Therefore, using $\downarrow a \to \downarrow b = \downarrow (a \to b)$, we have

$$h^*({\downarrow}a \to {\downarrow}b) = h^*{\downarrow}(a \to b) = {\downarrow}h[{\downarrow}(a \to b)] = {\downarrow}h(a \to b).$$

On the other hand, a similar calculation gives

$$h^*(\downarrow a) \to h^*(\downarrow b) = \downarrow h[\downarrow a] \to \downarrow h[\downarrow b] = \downarrow h(a) \to \downarrow h(b) = \downarrow (h(a) \to h(b)).$$

Therefore,

Thus, h is a Heyting homomorphism iff h^* preserves implication of compact elements.

It follows from Lemma 2.3(2) that if f is a Priestley morphism between Esakia spaces, then f is an Esakia morphism iff f^{-1} preserves implication on clopen upsets. We use this fact together with Lemma 3.12 to show that the equivalence and dual equivalence of Theorem 3.11 restrict to yield Theorem 3.14. For this we note the following.

Remark 3.13. If $F: \mathscr{C} \to \mathscr{D}$ is part of an equivalence or dual equivalence and \mathscr{C}' (resp. \mathscr{D}') is a subcategory of \mathscr{C} (resp. \mathscr{D}), then to see that F restricts to part of an equivalence or dual equivalence between \mathscr{C}' and \mathscr{D}' , it suffices to verify the following three conditions.

- (1) If $A \in \mathscr{C}$, then $A \in \mathscr{C}'$ iff $F(A) \in \mathscr{D}'$.
- (2) If f is a morphism in \mathscr{C} , then f is a morphism in \mathscr{C}' iff F(f) is a morphism in \mathscr{D}' .
- (3) Isomorphisms in \mathscr{C} between objects in \mathscr{C}' are isomorphisms in \mathscr{C}' , and the same for \mathscr{D} and \mathscr{D}' .

This remark will also be used to prove Theorems 3.16 and 3.21 and Corollaries 3.18, 3.24 and 3.26 (as well as in Section 4).

Theorem 3.14. HFrm is equivalent to HA and dually equivalent to ES.

Proof. We first show that HFrm is equivalent to HA. It is obvious that Condition (1) of Remark 3.13 is satisfied since HFrm is a wide subcategory of HFrm⁻ and HA is a wide subcategory of HA⁻. Lemma 3.12 shows that a DL-morphism h between Heyting algebras is a HA-morphism iff h^* is a HFrm-morphism. Therefore, Condition (2) is satisfied. That Condition (3) is also satisfied follows from the fact that isomorphisms in all categories of frames and Heyting algebras that we consider are poset isomorphisms. Thus, the equivalence of Theorem 3.11 restricts to an equivalence between HA and HFrm.

Next we show that ES is dually equivalent to HFrm. Again, Condition (1) is obvious. Lemma 2.3(2) implies that a PS-morphism f between Esakia spaces is an ES-morphism iff f^{-1} : $\mathsf{ClopUp}(Y) \to \mathsf{ClopUp}(X)$ is a HAmorphism, which is equivalent to f^{-1} : $\mathsf{OpUp}(Y) \to \mathsf{OpUp}(X)$ being a HFrmmorphism. Therefore, Condition (2) is satisfied. That Condition (3) is also satisfied follows from the fact that isomorphisms in all categories of Priestley and Esakia spaces that we consider are order-homeomorphisms (that is, poset isomorphisms and homeomorphisms). Thus, the duality of Theorem 3.11 between ES^- and HFrm^- restricts to a duality between ES and HFrm . \Box

It is natural to work not only with those frame homomorphisms that preserve implication on compact elements, but also with those that are HAmorphisms. This results in a restricted version of Esakia duality, which we describe next. For this we recall (see, e.g., [12, p. 16]) that if X is a topological space, then implication in the frame of open subsets of X is given by

$$U \to V = X \setminus \mathsf{cl}(U \setminus V).$$

If X is a Priestley space, then $\mathsf{OpUp}(X)$ is exactly the frame of open sets of the corresponding spectral topology. Since the closure in the spectral topology is $\downarrow \mathsf{cl}$ (see, e.g., [1, Lemma 6.5(1)]), implication in $\mathsf{OpUp}(X)$ is given by

$$U \to V = X \setminus \downarrow \mathsf{cl}(U \setminus V). \tag{b}$$

Lemma 3.15. Let $f: X \to Y$ be a Priestley morphism between Esakia spaces. Then $f^{-1}: \mathsf{OpUp}(Y) \to \mathsf{OpUp}(X)$ is a HA-morphism iff $f^{-1} \downarrow \mathsf{cl}E = \downarrow \mathsf{cl}f^{-1}E$ for each U-constructible subset E of Y.

Proof. Let U, V be open upsets of Y. By (\flat) ,

$$f^{-1}(U \to V) = f^{-1}(Y \setminus \mathsf{lcl}(U \setminus V)) = X \setminus f^{-1} \mathsf{lcl}(U \setminus V)$$

and

$$f^{-1}(U) \to f^{-1}(V) = X \setminus \downarrow \mathsf{cl}(f^{-1}(U) \setminus f^{-1}(V)) = X \setminus \downarrow \mathsf{cl}f^{-1}(U \setminus V).$$

Therefore, f^{-1} preserves implication iff $f^{-1} \downarrow \mathsf{cl} E = \downarrow \mathsf{cl} f^{-1} E$ for each $E = U \setminus V$ with $U, V \in \mathsf{OpUp}(Y)$. By Remark 3.8(2), f^{-1} is a HA-morphism iff $f^{-1} \downarrow \mathsf{cl} E = \downarrow \mathsf{cl} f^{-1} E$ for each U-constructible subset E of Y. \Box

Theorem 3.16. $HFrm^+$ is dually equivalent to ES^+ .

Proof. Lemma 3.15 shows that a Priestley morphism $f: X \to Y$ is an ES^+ -morphism iff $f^{-1}: \mathsf{OpUp}(Y) \to \mathsf{OpUp}(X)$ is a HFrm^+ -morphism. Therefore, the duality between HFrm^- and ES^- restricts to a duality between HFrm^+ and ES^+ .

Definition 3.17. Let HA^+ be the wide subcategory of HA^- whose morphisms $h: A \to B$ have the property that $h^*: \mathscr{J}(A) \to \mathscr{J}(B)$ is a HA-morphism.

It follows from Lemma 3.12 that HA^+ is a wide subcategory of HA. The following is then an immediate consequence of Theorems 3.14 and 3.16.

Corollary 3.18. $HFrm^+$ is equivalent to HA^+ and dually equivalent to ES^+ .

It is well known that a continuous map $f: X \to Y$ between topological spaces is open iff f^{-1} commutes with the closure operator for all subsets of Y (see, e.g., [25, pp. 99–100]). Since the closure in the spectral topology of a Priestley space is \downarrow cl, the condition of Lemma 3.15 says that f^{-1} commutes with the spectral closure operator on U-constructible subsets of Y. We give an example showing that such a map may not be open in the spectral topologies of X and Y.

Example 3.19. Let $X = \{*\}$ be a one-point space and X_4 the one-point compactification considered in Example 3.10(3). Define $f: X \to X_4$ by $f(*) = \infty$. Then f is an ES-morphism and is not an open map since ∞ is not an isolated point of the spectral topology on X_4 . On the other hand, observe that X is discrete and open upsets of X_4 are clopen. Therefore, each U-constructible subset E of X_4 is clopen. Thus, by Lemma 2.3(2),

$${\downarrow} {\rm cl} f^{-1}(E) = {\downarrow} f^{-1}(E) = f^{-1}({\downarrow} E) = f^{-1}({\downarrow} {\rm cl} E).$$

Consequently, f satisfies the condition of Lemma 3.15.

We next turn our attention to homomorphisms that preserve arbitrary meets.

Lemma 3.20. Let $f: X \to Y$ be a Priestley morphism between Priestley spaces. Then $f^{-1}: \operatorname{OpUp}(Y) \to \operatorname{OpUp}(X)$ preserves arbitrary meets iff $f^{-1} \downarrow clD = \downarrow clf^{-1}D$ for each downset D of Y.

Proof. The frame homomorphism f^{-1} preserves arbitrary meets iff for each family $\{U_{\alpha}\}$ of open upsets of Y, we have

$$f^{-1}\left(\bigwedge U_{\alpha}\right) = \bigwedge f^{-1}(U_{\alpha}).$$

We recall that the largest upset contained in a set $E \subseteq Y$ is $\Box E = Y \setminus \downarrow (Y \setminus E)$, where we use the box notation as it is common in modal logic (see, e.g., [8]). Since in every Priestley space the downset of a closed set is closed (see, e.g., [24, Proposition 2.6]), if E is open, then $\Box E$ is open. Therefore, $\bigwedge U_{\alpha} = \Box$ (int $\bigcap U_{\alpha}$). Thus,

$$f^{-1}\left(\bigwedge U_{\alpha}\right) = f^{-1}\left(\Box \operatorname{int} \bigcap U_{\alpha}\right)$$

and

$$\bigwedge f^{-1}(U_{\alpha}) = \Box \operatorname{int} \bigcap f^{-1}(U_{\alpha}) = \Box \operatorname{int} f^{-1}\left(\bigcap U_{\alpha}\right).$$

Since in every Priestley space the downset of a point is closed, upsets are precisely intersections of open upsets. Thus, f^{-1} preserves meets iff

$$f^{-1}(\Box \operatorname{int} U) = \Box \operatorname{int} f^{-1}(U)$$

for each upset U of Y. This happens iff

$$f^{-1}(Y \setminus \downarrow \mathsf{cl}(Y \setminus U)) = X \setminus \downarrow \mathsf{cl}(X \setminus f^{-1}(U)),$$

which happens iff

$$f^{-1}\downarrow \mathsf{cl}(Y \setminus U) = \downarrow \mathsf{cl}(X \setminus f^{-1}(U)) = \downarrow \mathsf{cl}f^{-1}(Y \setminus U).$$

Since each downset D of Y is of the form $Y \setminus U$ for some upset U of Y, the result follows.

Theorem 3.21. HFrm^{*} is dually equivalent to ES^{*}.

Proof. It follows from Lemma 3.20 that a Priestley morphism $f: X \to Y$ between Esakia spaces is an ES^* -morphism iff $f^{-1}: \mathsf{OpUp}(Y) \to \mathsf{OpUp}(X)$ is a HFrm^* -morphism. Therefore, the duality between HFrm^- and ES^- restricts to a duality between HFrm^* and ES^* .

Remark 3.22. It follows from Example 3.10(3) and Theorem 3.21 that HFrm^+ and HFrm^* are incomparable. The situation changes when we restrict to subfit H-frames, where we recall (see, e.g., [21, p. 73]) that a frame L is *subfit* if whenever $a, b \in L$ with $a \not\leq b$, there is $c \in L$ with $a \lor c = 1$ and $b \lor c \neq 1$. Indeed, if L, M are H-frames and L is subfit, then it follows from [21, Proposition V.1.8] that each HFrm^* -morphism $\alpha \colon L \to M$ is a HFrm^+ morphism.

Definition 3.23. Let HA^* be the wide subcategory of HA^- whose morphisms $h: A \to B$ in addition satisfy the property that $h^*: \mathscr{J}(A) \to \mathscr{J}(B)$ is a complete lattice homomorphism.

The following is an immediate consequence of Theorems 3.11 and 3.21.

Corollary 3.24. HFrm^{*} is equivalent to HA^{*} and dually equivalent to ES^{*}.

Definition 3.25. Let $HA^{\dagger} = HA^{+} \cap HA^{*}$.

Putting Corollaries 3.18 and 3.24 together yields the following.

Corollary 3.26. HFrm^{\dagger} is equivalent to HA^{\dagger} and dually equivalent to ES^{\dagger} .

The diagram in Figure 3 depicts equivalences and dual equivalences involving various categories of Heyting algebras, H-frames, and Esakia spaces considered in this section. Unlabeled arrows represent equivalences and those labeled with d dual equivalences.

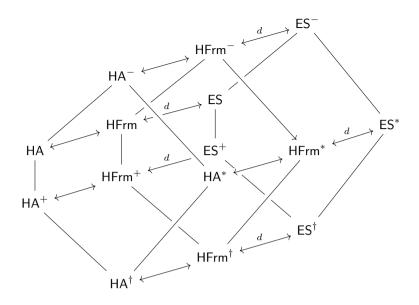


FIGURE 3. Equivalences and dual equivalences

4. Brouwerian frames

In this final section we show how to generalize the results of the previous section to Brouwerian semilattices and Brouwerian algebras. These structures have gone by different names in the literature (see, e.g., [25, 20, 7]). We follow [17, 18] for terminology.

We recall that a *meet-semilattice* is a poset A in which all finite meets exist. In particular, A has a top, but A may not have a bottom. A meetsemilattice is *distributive* if $a \wedge b \leq c$ implies that there exist $a' \geq a$ and $b' \geq b$ such that $a' \wedge b' = c$. A *meet-semilattice homomorphism* is a map between meet-semilattices that preserves finite meets (including top). Let DMS be the category of distributive meet-semilattices and meet-semilattice homomorphisms.

Definition 4.1. Let A be a meet-semilattice.

- (1) [18] We call A a Brouwerian semilattice if it has an implication operation \rightarrow satisfying $c \leq a \rightarrow b$ iff $a \wedge c \leq b$ for all $a, b, c \in A$. Let BrwMS be the category of Brouwerian semilattices and meet-semilattice homomorphisms that preserve implication.
- (2) [17] We call a Brouwerian semilattice A a Brouwerian algebra if in addition A is a lattice. Let BrwA be the category of Brouwerian algebras and Brouwerian semilattice homomorphisms that in addition preserve \vee .

Note that BrwA is a non-full subcategory of BrwMS. Also, each Brouwerian semilattice is a distributive meet-semilattice. Thus, BrwMS is a non-full subcategory of DMS.

We first generalize Esakia duality to Brouwerian algebras. For this we need to work with pointed Esakia spaces.

Definition 4.2. A *pointed Esakia space* is a pair (X, m) where X is an Esakia space and m is the unique maximum of X. Let PES be the category of pointed Esakia spaces and Esakia morphisms.

We note that if (X, m) and (Y, n) are pointed Esakia spaces and $f: X \to Y$ is an Esakia morphism, then f(m) = n. Esakia duality generalizes to Brouwerian algebras as follows.

Theorem 4.3. [5, Theorem 3.2] BrwA is dually equivalent to PES.

Remark 4.4. The contravariant functors establishing Esakia duality need slight modification. Namely, with a pointed Esakia space (X, m) we associate the Brouwerian algebra of *nonempty* clopen upsets of X (equivalently, those clopen upsets of X that contain m). Also, with a Brouwerian algebra A we associate the pointed Esakia space (X, m) where X is the set of prime filters of A together with A, and A serves as the unique maximum m of X. The action of the functors on morphisms is the same as in Esakia duality.

We next generalize Esakia duality to Brouwerian semilattices. A *pointed Priestley space* is a pair (X, m) where X is a Priestley space and m is the unique maximum of X. Let X_0 be a fixed subset of $X \setminus \{m\}$. We call a subset U of X admissible if $X_0 \setminus U$ is cofinal in $X \setminus U$ (that is, $X \setminus U \subseteq \downarrow (X_0 \setminus U)$). Let $\mathscr{A}(X)$ be the set of admissible clopen upsets of X. For $x \in X$ set $\mathscr{I}_x =$ $\{U \in \mathscr{A}(X) : x \notin U\}$.

Definition 4.5.

- (1) A pointed generalized Priestley space is a triple (X, X_0, m) such that
 - (a) (X, m) is a pointed Priestley space;
 - (b) X_0 is a cofinal dense subset of $X \setminus \{m\}$;
 - (c) $x \in X_0$ iff \mathscr{I}_x is nonempty and directed;
 - (d) $x \leq y$ iff $(\forall U \in \mathscr{A}(X))(x \in U \Rightarrow y \in U)$.
- (2) A pointed generalized Esakia space is a pointed generalized Priestley space in which $U, V \in \mathscr{A}(X)$ implies that $\downarrow (U \setminus V)$ is clopen.

Remark 4.6. Let (X, X_0, m) be a pointed generalized Esakia space. In analogy with [4, Definition 3.4], we call a subset E of X Esakia clopen, or simply Eclopen, if $E = \bigcup_{i=1}^{n} (U_i \setminus V_i)$ with each $U_i, V_i \in \mathscr{A}(X)$. It is easy to see from Definition 4.5(2) that $\downarrow E$ is clopen for each E-clopen E. However, not every clopen of X is E-clopen. Thus, a pointed generalized Esakia space may not be an Esakia space (see [4, Example 3.9]).

Let $R \subseteq X \times Y$ be a relation between sets X and Y. For $U \subseteq Y$ we follow the standard notation in modal logic and write $\Box_R U = \{x \in X : R[x] \subseteq U\}$ (note that \Box in the proof of Lemma 3.20 is \Box_{\leq}).

Definition 4.7.

- (1) Let X, Y be pointed generalized Priestley spaces. A generalized Priestley morphism is a relation $R \subseteq X \times Y$ satisfying (a) If $\pi R^{2}u$ then there is $U \in \mathcal{A}(Y)$ with $P[u] \in U$ and $u \notin U$:
 - (a) If $x \not R y$, then there is $U \in \mathscr{A}(Y)$ with $R[x] \subseteq U$ and $y \notin U$; (b) If $U \in \mathscr{A}(Y)$, then $\Box_R U \in \mathscr{A}(X)$.
- (2) Let PGPS be the category of pointed generalized Priestley spaces and generalized Priestley morphisms.
- (3) If X, Y are pointed generalized Esakia spaces, then a generalized Priestley morphism $R \subseteq X \times Y$ is a generalized Esakia morphism provided whenever $x \in X$ and $y \in Y_0$ with xRy, there is $z \in X_0$ with $x \leq z$ and $R[z] = \uparrow y$.
- (4) Let PGES be the category of pointed generalized Esakia spaces and generalized Esakia morphisms.

Remark 4.8. Composition in PGPS and PGES is not usual relation composition. Rather, for generalized Priestley (resp. Esakia) morphisms $R \subseteq X \times Y$ and $S \subseteq Y \times Z$, the composition $S * R \subseteq X \times Z$ is defined by

$$x(S * R)z \iff (\forall U \in \mathscr{A}(Z))(x \in \Box_R \Box_S U \Longrightarrow z \in U)$$

for all $x \in X$ and $z \in Z$ (see [3, p. 106]).

Clearly PGES is a non-full subcategory of PGPS, and Priestley and Esakia dualities are generalized to distributive and Brouwerian semilattices as follows.

Theorem 4.9.

- (1) [3, Theorem 6.9] DMS is dually equivalent to PGPS.
- (2) [4, Theorem 4.4] BrwMS is dually equivalent to PGES.

Remark 4.10. Theorem 4.9 is proved in [3, 4] for distributive and Brouwerian semilattices that are bounded. Because of this restriction, there is no need to work with pointed spaces. The need for pointed spaces arises when the bottom is not present. This is discussed in detail in [2] for distributive meet-semilattices, and a similar approach also works for Brouwerian semilattices.

Remark 4.11. The contravariant functor $\mathscr{A}: \mathsf{PGPS} \to \mathsf{DMS}$ sends $X \in \mathsf{GPS}$ to $\mathscr{A}(X)$ and a PGPS -morphism $R \subseteq X \times Y$ to $\Box_R : \mathscr{A}(Y) \to \mathscr{A}(X)$. To define the contravariant functor $\mathscr{X}: \mathsf{DMS} \to \mathsf{PGPS}$, let $A \in \mathsf{DMS}$. We recall that a filter F of A is *optimal* if from $a_1, \ldots, a_n \notin F$ and $\bigcap_{i=1}^n \uparrow a_i \subseteq \uparrow c$ it follows that $c \notin F$. Equivalently, if D is the distributive envelope of A(see, e.g., [3, Section 3]), then F is optimal iff $F = P \cap A$ for some prime filter P of D ([3, Proposition 4.8]). Let $\mathsf{Opt}(A)$ be the set of optimal filters of A and $\mathsf{Pr}(A)$ the set of prime filters of A. Then $\mathsf{Pr}(A) \subseteq \mathsf{Opt}(A)$. We set $X_A = \mathsf{Opt}(A) \cup \{A\}$, order it by inclusion, and topologize it by letting

$$\{\varphi(a): a \in A\} \cup \{\varphi(b)^c: b \in A\}$$

be a subbasis for the topology, where $\varphi(a) = \{x \in X_A : a \in x\}$. We point out that since $\varphi(a \wedge b) = \varphi(a) \cap \varphi(b)$ for each $a, b \in A$, the above subbasis generates the basis

$$\{\varphi(a) \cap \varphi(b_1)^c \cap \dots \cap \varphi(b_n)^c : a, b_1, \dots, b_n \in A\}.$$

However, unlike in the case of distributive lattices, we cannot replace the finite intersections $\varphi(b_1)^c \cap \cdots \cap \varphi(b_n)^c$ with one $\varphi(b)^c$ because A is not a lattice.

The functor $\mathscr{X} : \mathsf{DMS} \to \mathsf{PGPS}$ sends $A \in \mathsf{DMS}$ to the tuple $\mathscr{X}(A) := (X_A, \mathsf{Pr}(A), A) \in \mathsf{PGPS}$ and a DMS-morphism $h: A \to B$ to the relation $\mathscr{X}(h) = R_h \subseteq X_B \times X_A$ given by xR_hy if $h^{-1}(x) \subseteq y$. The functors \mathscr{A} and \mathscr{X} yield the dual equivalence between PGPS and DMS of Theorem 4.9(1), which further restricts to the dual equivalence of Theorem 4.9(2).

We next connect distributive and implicative semilattices with algebraic frames. Let A be a distributive meet-semilattice. Since A is not a lattice, instead of working with ideals of A, it is more convenient to work with filters of A. Let $\mathscr{F}(A)$ be the poset of filters of A ordered by inclusion. Then $\mathscr{F}(A)$ is an algebraic frame whose compact elements are the principal filters of A. But since $a \leq b$ iff $\uparrow a \supseteq \uparrow b$, we have that A is isomorphic to the order-dual $K(\mathscr{F}(A))^d$ of $K(\mathscr{F}(A))$.

If $h: A \to B$ is a meet-semilattice homomorphism, we can define the map $\mathscr{F}(h): \mathscr{F}(A) \to \mathscr{F}(B)$ by $\mathscr{F}(h)(F) = \uparrow h[F]$. Note that in general $\mathscr{F}(h)$ preserves arbitrary joins, but may not be a frame homomorphism. In order for $\mathscr{F}(h)$ to be a frame homomorphism, we need two additional conditions on h. We recall that a *prime filter* of a distributive meet-semilattice A is a meet-prime element of $\mathscr{F}(A)$, where an element $p \neq 1$ of a frame L is *meet-prime* if $a \land b \leq p$ implies $a \leq p$ or $b \leq p$ for all $a, b \in L$.

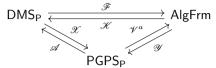
Definition 4.12. [2, Definition 5.15] Let $\mathsf{DMS}_{\mathsf{P}}$ be the category of distributive meet-semilattices and meet-semilattice homomorphisms $h: A \to B$ that in addition satisfy:

- (1) h preserves all existing finite joins.
- (2) $h^{-1}(P)$ is a prime filter of A for each prime filter P of B.

Meet-semilattice homomorphisms satisfying the above two conditions correspond to special functions between the corresponding generalized Priestley spaces:

Definition 4.13. Let $\mathsf{PGPS}_{\mathsf{P}}$ be the category of pointed generalized Priestley spaces and order-preserving maps $f: X \to Y$ satisfying

(1) $U \in \mathscr{A}(Y) \Longrightarrow f^{-1}(U) \in \mathscr{A}(X).$ (2) $f[X_0] \subseteq Y_0.$ **Theorem 4.14.** [2, Theorem 5.18] DMS_P is equivalent to AlgFrm, dually equivalent to PGPS_P, and the following diagram commutes up to natural isomorphism.



Remark 4.15. We briefly describe the functors in the above diagram.

- The contravariant functors \mathscr{X} and \mathscr{A} are defined on objects as in Remark 4.11. If $h: A \to B$ is a DMS_P-morphism, then $\mathscr{X}(h): X_B \to X_A$ is the PGPS_P-morphism given by $\mathscr{X}(h) = h^{-1}$; and if $f: X \to Y$ is a PGPS_P-morphism, then $\mathscr{A}(f): \mathscr{A}(Y) \to \mathscr{A}(X)$ is the DMS_P-morphism given by $\mathscr{A}(f) = f^{-1}$.
- The covariant functor $\mathscr{F} : \mathsf{DMS}_\mathsf{P} \to \mathsf{AlgFrm}$ sends $A \in \mathsf{DMS}_\mathsf{P}$ to the frame $\mathscr{F}(A)$ of filters of A and a DMS_P -morphism $h: A \to B$ to the AlgFrm -morphism $\mathscr{F}(h) : \mathscr{F}(A) \to \mathscr{F}(B)$ given by $\mathscr{F}(h)(F) = \uparrow h[F]$. The covariant functor \mathscr{K} sends $L \in \mathsf{AlgFrm}$ to $K(L)^d$ and an AlgFrm -morphism $\alpha \colon L \to M$ to its restriction to $K(L)^d$, where $K(L)^d$ is the order-dual of K(L). The functors \mathscr{F} and \mathscr{K} yield an equivalence of DMS_P and AlgFrm .
- To describe the contravariant functor $\mathscr{V}^a : \mathsf{PGPS}_\mathsf{P} \to \mathsf{AlgFrm}$, for X in PGPS_P let $\mathscr{V}^a(X)$ be the set of admissible closed upsets of X, ordered by reverse inclusion. Then \mathscr{V}^a sends $X \in \mathsf{PGPS}_\mathsf{P}$ to $\mathscr{V}^a(X)$ and a PGPS_P -morphism $f \colon X \to Y$ to $f^{-1} \colon \mathscr{V}^a(Y) \to \mathscr{V}^a(X)$. To describe the contravariant functor $\mathscr{Y} : \mathsf{AlgFrm} \to \mathsf{PGPS}_\mathsf{P}$, we recall (see, e.g., [13, p. 49]) that an element $p \neq 1$ in a frame L is *pseudoprime* if $a_1, \ldots, a_n \in L$ with $a_1 \land \cdots \land a_n \ll p$ imply that $a_i \leq p$ for some i, where \ll is the way below relation on L. Let PP(L) and P(L) be the sets of pseudoprime and meet-prime elements of L, respectively. We set $Y_L = PP(L) \cup \{1\}$, order it by the restriction of the order on L, and topologize it by the subbasis

$$\{\uparrow k \cap Y_L : k \in K(L)\} \cup \{(\uparrow l)^c \cap Y_L : l \in K(L)\}$$

Then the functor \mathscr{Y} sends $L \in \mathsf{AlgFrm}$ to $\mathscr{Y}(L) := (Y_L, P(L), 1)$. To describe the action of \mathscr{Y} on morphisms, let $\alpha \colon L \to M$ be an AlgFrm -morphism. Then it has the right adjoint $r \colon M \to L$ given by

$$r(b) = \bigvee \{a \in L : \alpha(a) \le b\},\$$

and \mathscr{Y} sends α to r. The functors \mathscr{V}^a and \mathscr{Y} yield a dual equivalence of PGPS_P and AlgFrm .

Remark 4.16. It follows from Theorem 4.14 that $\mathscr{X} \circ \mathscr{K}$ is naturally isomorphic to \mathscr{Y} . This implies that meet-prime elements of L correspond to prime filters of $K(L)^d$ and pseudoprime elements of L to optimal filters of $K(L)^d$. It is well known (see, e.g., [21, pp. 13-14]) that meet-prime elements of L correspond to points of L. On the other hand, pseudoprime elements correspond to what we term "pseudopoints" of L, which are defined as follows. A nonempty upset U of L is a *pseudopoint* if

- $\bigvee S \in U$ implies that $S \cap U \neq \emptyset$;
- $a_1, \ldots, a_n \in U$ and $a_1 \wedge \cdots \wedge a_n \ll b$ imply that $b \in U$.

Definition 4.17.

- (1) Let $BrwMS_P$ be the category of Brouwerian semilattices and BrwMS-morphisms that are also DMS_P -morphisms.
- (2) Let $BrwA_P$ be the full subcategory $BrwMS_P$ consisting of Brouwerian algebras.

We are ready to introduce the key notion of this section, that of a Brouwerian frame. These frames play the same role for Brouwerian semilattices as H-frames for Heyting algebras.

Definition 4.18.

- (1) We call an algebraic frame L a Brouwerian frame if $K(L)^d$ is a Brouwerian semilattice.
- (2) Let BrwFrm be the category whose objects are Brouwerian frames and whose morphisms are those AlgFrm-morphisms $\alpha: L \to M$ that satisfy $\alpha(a \to b) = \alpha(a) \to \alpha(b)$ for each $a, b \in K(L)$, where the two implications are calculated in $K(L)^d$ and $K(M)^d$, respectively.

Remark 4.19. We don't have an analogue of Definition 3.1 because due to turning the order around, implication becomes co-implication, which may not exist in frames.

Remark 4.20. Brouwerian frames arise naturally in universal algebra as the lattice of congruences of an algebra in a variety with equationally definable principal congruences [19].

Definition 4.21. Let $\mathsf{PGES}_{\mathsf{P}}$ be the category of pointed generalized Esakia spaces and $\mathsf{PGPS}_{\mathsf{P}}$ -morphisms $f: X \to Y$ satisfying: If $x \in X, y \in Y_0$, and $f(x) \leq y$, then there is $z \in X_0$ with $x \leq z$ and y = f(z).

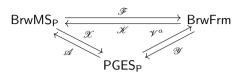
We show that the functors of Theorem 4.14 restrict to yield an equivalence between $BrwMS_P$ and BrwFrm and a dual equivalence between BrwFrmand $PGES_P$. For this we require the following lemma, which follows from [3, 4]. Slight care is needed since these papers only consider the bounded case, while we do not assume the existence of a bottom. Nonetheless, the relevant proofs carry over to our more general setting.

Lemma 4.22.

- (1) Let X be a pointed generalized Priestley space. Then X is a pointed generalized Esakia space iff $\mathscr{A}(X)$ is a Brouwerian semilattice.
- (2) Let X and Y be pointed generalized Esakia spaces and $f: X \to Y$ a PGPS_P-morphism. Then f is a PGES_P-morphism iff $f^{-1}(U \to V) = f^{-1}(U) \to f^{-1}(V)$ for each $U, V \in \mathscr{A}(Y)$.

Proof. (1) follows from [4, Proposition 3.7] and [3, Theorem 5.13] and (2) follows from [4, Propositions 4.1, 4.3, Lemma 4.8]. \Box

Theorem 4.23. There is an equivalence of categories between $BrwMS_P$ and BrwFrm, a dual equivalence between BrwFrm and $PGES_P$, and the following diagram commutes up to natural isomorphism, where the functors are the restrictions of the corresponding functors of Theorem 4.14.



Proof. We show that \mathscr{F} and \mathscr{K} restrict to give an equivalence between BrwMS_P and BrwFrm. If $A \in \mathsf{DMS}_P$, then since $A \cong K(\mathscr{F}(A))^d$, we see that $\mathscr{F}(A) \in \mathsf{BrwFrm}$ iff $A \in \mathsf{BrwMS}_P$. Next, let $h: A \to B$ be a DMS_P morphism between Brouwerian semilattices. Then h is a BrwMS_P -morphism iff it preserves implication, which happens iff $\mathscr{F}(h)$ is a BrwFrm -morphism, again by the isomorphisms $A \cong K(\mathscr{F}(A))^d$ and $B \cong K(\mathscr{F}(B))^d$. Finally, DMS_P -isomorphisms between Brouwerian semilattices are clearly BrwMS_P isomorphisms. Similarly, AlgFrm-isomorphisms between Brouwerian frames are BrwFrm -isomorphisms. Thus, \mathscr{F} and \mathscr{K} restrict to an equivalence between BrwMS_P and BrwFrm by Remark 3.13.

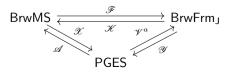
We next show that $\mathscr{V}^{a}, \mathscr{Y}$ restrict to give a dual equivalence between $\mathsf{PGES}_{\mathsf{P}}$ and BrwFrm . Let $X \in \mathsf{PGPS}_{\mathsf{P}}$. By Lemma 4.22(1), $X \in \mathsf{PGES}_{\mathsf{P}}$ iff $\mathscr{A}(X) \in \mathsf{BrwMS}_{\mathsf{P}}$. By [2, Lemma 4.4], $\mathscr{V}^{a}(X)$ is an algebraic frame with $K(\mathscr{V}^{a}(X))^{d} = \mathscr{A}(X)$. Hence, $\mathscr{A}(X) \in \mathsf{BrwMS}_{\mathsf{P}}$ iff $\mathscr{V}^{a}(X) \in \mathsf{BrwFrm}$. Thus, $X \in \mathsf{PGES}_{\mathsf{P}}$ iff $\mathscr{V}^{a}(X) \in \mathsf{BrwFrm}$. Let $f: X \to Y$ be a $\mathsf{PGPS}_{\mathsf{P}}$ morphism between pointed generalized Esakia spaces. Since $K(\mathscr{V}^{a}(Y))^{d} = \mathscr{A}(Y)$, Lemma 4.22(2) shows that f is a $\mathsf{PGES}_{\mathsf{P}}$ -morphism iff

$$f^{-1}(U \to V) = f^{-1}(U) \to f^{-1}(V)$$

for each $U, V \in K(\mathscr{V}^{a}(Y))$. Therefore, f is a PGES_P-morphism iff f^{-1} is a BrwFrm-morphism. It is also clear that PGPS_P-isomorphisms between pointed generalized Esakia spaces are PGES_P-isomorphisms. Thus, \mathscr{V}^{a} and \mathscr{Y} restrict to an equivalence between PGES_P and BrwFrm.

In view of Theorem 4.14, it follows that the diagram commutes up to natural isomorphism. $\hfill \Box$

Remark 4.24. If in Theorem 4.23 we replace $\mathsf{BrwMS}_{\mathsf{P}}$ with BrwMS and $\mathsf{PGES}_{\mathsf{P}}$ with PGES , then we need to weaken the notion of a BrwFrm -morphism $\alpha: L \to M$ by dropping the conditions that α preserves finite meets. If we denote the resulting category of Brouwerian frames by $\mathsf{BrwFrm}_{\mathsf{J}}$ (where J stands for join-preserving), then we obtain the following version of the diagram in Theorem 4.23 which commutes up to natural isomorphism:



The proof is an appropriate modification of the proof of Theorem 4.23 along the lines of [2, Theorem 4.30].

Remark 4.25. The other categories of H-frames considered in Section 3 also have natural generalizations to the setting of Brouwerian frames. The equivalences and dual equivalences of Section 3 then generalize to involve the corresponding categories of Brouwerian frames.

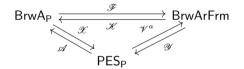
We next study those Brouwerian frames that correspond to Brouwerian algebras. Recall (see, e.g., [13, p. 117]) that an algebraic frame L is *arithmetic* if $a, b \in K(L)$ implies $a \wedge b \in K(L)$. Arithmetic frames are also known as M-frames (see, e.g., [15, 6]).

Definition 4.26. Let BrwArFrm be the full subcategory of BrwFrm whose objects are Brouwerian arithmetic frames.

Definition 4.27. Let $\mathsf{PES}_{\mathsf{P}}$ be the wide subcategory of PES whose morphisms $f: (X, m) \to (Y, n)$ satisfy $f^{-1}(\{n\}) = \{m\}$.

Remark 4.28. For a pointed Esakia space (X, m), if we let $X_0 = X \setminus \{m\}$, then (X, X_0, m) is a pointed generalized Esakia space. Thus, we can view $\mathsf{PES}_{\mathsf{P}}$ as a full subcategory of $\mathsf{PGES}_{\mathsf{P}}$.

Theorem 4.29. The functors of Theorem 4.23 restrict to yield that $BrwA_P$ is equivalent to BrwArFrm and dually equivalent to PES_P . Consequently, the following diagram commutes up to natural isomorphism.



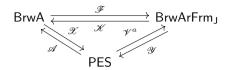
Proof. Let $L \in \mathsf{BrwArFrm}$. We prove that $\mathscr{Y}(L) \in \mathsf{PESp}$. For this it suffices to show that $PP(L) \subseteq P(L)$. Let $p \in PP(L)$ and $a, b \in L$ with $a, b \not\leq p$. Since L is an algebraic frame, there are $k, l \in K(L)$ with $k \leq a, l \leq b$, and $k, l \not\leq p$. Because L is arithmetic, $k \wedge l \in K(L)$. If $a \wedge b \leq p$, then $k \wedge l \ll p$. Since $p \in PP(L)$, either $k \leq p$ or $l \leq p$. The obtained contradiction shows that $a \wedge b \not\leq p$, and hence $p \in P(L)$.

Next let $X \in \mathsf{PES}_{\mathsf{P}}$. Then each nonempty closed upset is admissible, and so if $U, V \in \mathscr{A}(X)$, then $U \cup V \in \mathscr{A}(X)$. Since $\mathscr{A}(X) = K(\mathscr{V}^a(X))^d$, this shows that $\mathscr{V}^a(X)$ is arithmetic, and hence $\mathscr{V}^a(X) \in \mathsf{BrwArFrm}$.

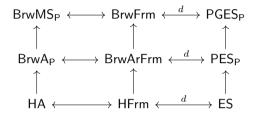
It is also elementary to see that if $L \in \mathsf{BrwFrm}$, then $L \in \mathsf{BrwArFrm}$ iff $\mathscr{K}(L) \in \mathsf{BrwA_P}$. Thus, since $\mathsf{BrwA_P}$, $\mathsf{BrwArFrm}$, and $\mathsf{PES_P}$ are full subcategories of $\mathsf{BrwMS_P}$, BrwFrm , and $\mathsf{PGES_P}$, the result follows from Theorem 4.23.

Remark 4.30. As in Remark 4.24, if we replace BrwA_P with BrwA and PES_P with PES, then we have to replace BrwArFrm with BrwArFrm_J to obtain the

following diagram of equivalences and dual equivalences that commutes up to natural isomorphism.



Combining Theorems 3.14, 4.23 and 4.29, we arrive at the following diagram which commutes up to natural isomorphism.



The horizontal arrows represent equivalences or dual equivalences when the label d is present. The vertical arrows represent full subcategories, except HFrm is not really a subcategory of BrwArFrm (see below). The equivalences and dual equivalences of the middle row are restrictions of the equivalences and dual equivalences of the top row. The situation with the bottom row is slightly different in that if $L \in \text{HFrm}$, then we work with K(L) rather than $K(L)^d$. Similarly, if $X \in \text{ES}$, we work with the open upsets of X rather than the closed upsets (ordered by reverse inclusion).

If instead of HFrm we worked with the category whose objects are Brouwerian arithmetic frames in which $K(L)^d$ is a Heyting algebra and whose morphisms are BrwArFrm-morphisms, then we would obtain a category that is a full subcategory of BrwArFrm and is equivalent to HFrm. In other words, if instead of working with the frames of open upsets of Esakia spaces we worked with the frames of closed upsets (ordered by reverse inclusion), then we would obtain a category that is equivalent to a full subcategory of BrwArFrm that is equivalent to HFrm.

Data Availability

Data sharing not applicable to this article as no datasets were generated or analysed during the current study.

Declarations

Conflict of interest

The authors declare that they have no conflict of interest.

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