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# Relationship between D-dimers and dead-space on disease severity and mortality in COVID-19 acute respiratory distress syndrome: A retrospective observational cohort study

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#### ABSTRACT

*Background:* Despite its diagnostic and prognostic importance, physiologic dead space fraction is not included in the current ARDS definition or severity classification. ARDS caused by COVID-19 (C-ARDS) is characterized by increased physiologic dead space fraction and hypoxemia. Our aim was to investigate the relationship between dead space indices, markers of inflammation, immunothrombosis, severity and intensive care unit (ICU) mortality.

*Results:* Retrospective data including demographics, gas exchange, ventilatory parameters, and respiratory mechanics in the first 24 h of invasive ventilation. Plasma concentrations of D-dimers and ferritin were not significantly different across C-ARDS severity categories. Weak relationships were found between D-dimers and VR ( $r = 0.07$ ,  $p = 0.13$ ), P<sub>ET</sub>CO<sub>2</sub>/PaCO<sub>2</sub> ( $r = -0.1$ ,  $p = 0.02$ ), or estimated dead space fraction ( $r = 0.019$ ,  $p =$ 0.68). Age, PaO<sub>2</sub>/FiO<sub>2</sub>, pH, P<sub>ET</sub>CO<sub>2</sub>/PaCO<sub>2</sub> and ferritin, were independently associated with ICU mortality. We found no association between D-dimers or ferritin and any dead-space indices adjusting for PaO<sub>2</sub>/FiO<sub>2</sub>, days of ventilation, tidal volume, and respiratory system compliance.

*Conclusions:* We report no association between dead space and inflammatory markers in mechanically ventilated patients with C-ARDS. Our results support theories suggesting that multiple mechanisms, in addition to immunothrombosis, play a role in the pathophysiology of respiratory failure and degree of dead space in C-ARDS.

# **1. Background**

Acute respiratory distress syndrome (ARDS) is characterized physiologically by a decrease in lung volumes and an increase in venous admixture and physiologic dead space fraction. Together this results in hypoxaemia and impaired carbon dioxide (CO<sub>2</sub>) elimination [\[1](#page-4-0)]. ARDS severity is defined on the basis of  $PaO<sub>2</sub>/FiO<sub>2</sub>$  ratio [[1](#page-4-0)] - an index that correlates with the amount of non-aerated lung and with mortality [[1](#page-4-0),[2](#page-4-0)]. Physiologic dead space fraction is an important index of overall lung function [\[3\]](#page-4-0) that is strongly associated with more severe disease and

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*Abbreviations:* ARDS, acute respiratory distress syndrome; CO2, carbon dioxide; PaO2, arterial oxygen partial pressure; FiO2, inspired oxygen fraction; COVID-19, coronavirus disease 19; C-ARDS, ARDS caused by COVID-19; PaCO<sub>2</sub>, arterial carbon dioxide partial pressure; PvCO<sub>2</sub>, venous carbon dioxide partial pressure; ICU, intensive care unit; RT-PCR, reverse transcriptase polymerase chain reaction; APACHE II, acute physiology and chronic health evaluation II; SAPS II, simplified acute physiology score II; VE, minute ventilation; Vt, tidal volume; P<sub>E</sub>TCO<sub>2</sub>, end-tidal CO<sub>2</sub>; BMI, body mass index; PEEP, positive end-expiratory pressure; SOFA, sequential organ failure assestment; VR, ventilatory ratio; PBW, predicted body weight..

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<span id="page-1-0"></span>lower survival in invasively ventilated patients with ARDS [[4-6](#page-4-0)]. Despite its diagnostic and prognostic importance, physiologic dead space fraction is not included in the current ARDS definition or severity classification. Moreover, it is not routinely measured or reported in ARDS trials.

More recently, several reports document that ARDS caused by COVID-19 (C-ARDS) is characterized by increased physiologic dead space fraction [[7](#page-4-0)] and hypoxemia. Yet the latter does not always correlate with the amount of non-aerated lung and therefore respiratory system compliance [\[8\]](#page-4-0). One possible explanation for this lack of correlation is that, in C-ARDS, the primary injury occurs at capillary endothelial level [\[9\]](#page-4-0). This leads to decreased perfusion of normally aerated lung (dead space) due to vasoconstriction and microvascular thrombosis also known as immunothrombosis  $[10,11]$  $[10,11]$  $[10,11]$ . In addition, the increased perfusion of non-aerated lung due to blood flow diversion and neoangiogenesis [\[12](#page-4-0)] in non-ventilated lung tissue (leading to venous admixture) increases the arterial tension of carbon dioxide  $(PaCO<sub>2</sub>)$ [[12\]](#page-4-0). Therefore, based, on the Riley's model of gas exchange [[13\]](#page-4-0)  $PaCO<sub>2</sub>$ (and calculated dead space) depends on the amount of non-aerated lung tissue and on the tension of  $CO<sub>2</sub>$  in the mixed venous blood (PvCO<sub>2</sub>).

The evidence of decreased perfusion of ventilated lung regions in the absence of thrombosis comes from small studies [[10,14\]](#page-4-0) with ventilation/perfusion mismatch resulting from dysregulated vascular tone. The more prevalent mechanism leading to increase in ventilation/perfusion ratio is vascular thrombosis. In C-ARDS, the extent of immunothrombosis and the plasma levels of markers such as D-Dimers and ferritin, have been associated with increased disease severity and mortality  $[15,16]$  $[15,16]$  $[15,16]$ . However, the relationship between immunmothrombosis of pulmonary vessels and indices of dead space on mortality has yet to be demonstrated or quantified.

Therefore, our aim was to investigate the relationship of dead space indices and inflammatory markers (ferritin and D-dimers) on C-ARDS severity and intensive care unit (ICU) mortality in a large cohort of patients receiving mechanical ventilation for C-ARDS.

#### **2. Methods**

## *2.1. Study population*

We included consecutive adults (*>*18 years) with C-ARDS from two centres (San Paolo Milan - Italy; Guy's & St Thomas' Hospital NHS Foundation Trust, London, UK) from March 2020 to March 2021. All patients fulfilled the Berlin ARDS definition [[1\]](#page-4-0) and C-ARDS, with documented COVID-19 positive reverse transcriptase polymerase chain reaction (RT-PCR) results from an upper airway swab or bronchoalveolar lavage. This retrospective study was registered at GSTT in UK as a service evaluation (number 10796) with a waiver of consent for the use of anonymized retrospective data accrued though provision of routine clinical services. In Italy, the study was approved by local ethical committee (Comitato Etico Interaziendale Milano Area *A*1, n. 628). All procedures were performed in accordance with the ethical standards of the responsible committee on human experimentation and with the Helsinki Declaration of 1975. Data were anonymised locally before entry into the central database.

# *2.2. Measurements*

We collected data retrospectively from electronic patient records, including demographics, Acute Physiology and Chronic Health Evaluation (APACHE) II and Simplified Acute Physiology Score (SAPS) II severity scores, gas exchange, ventilatory parameters, and respiratory mechanics. We documented the worst value of these parameters in the first 24 h of invasive ventilation. We calculated  $PaO<sub>2</sub>/FiO<sub>2</sub>$  ratio, minute volume (VE), tidal volume (V<sub>T</sub>) per predicted body weight, ventilatory ratio [[17\]](#page-4-0), corrected minute volumes [\[18](#page-4-0)] and estimated dead space [[19\]](#page-4-0). From raw data we calculated driving pressure (plateau pressure minus PEEP, or tidal volume divided by compliance of the respiratory

system) [[20\]](#page-4-0), respiratory system compliance and mechanical power [[21\]](#page-4-0) and end-tidalCo<sub>2</sub>/PaCO<sub>2</sub> ratio ( $P_{ET}CO_2/PaCO_2$ ). To calculate mechanical power, we used the simplified formula described by Becher et al [[22\]](#page-4-0), given the heterogeneity of ventilation modes and limited availability of inspiratory time data. We collected parameters required for APACHE II and SAPS II scores, and documented days of invasive ventilation and ICU mortality.

# *2.3. Statistical analysis*

We report categorical data as counts and percentages, continuous data as median and interquartile ranges (IQR) or 95% confidence intervals. We examined data distribution of continuous variables using Shapiro-Wilk tests. For non-parametric comparisons we used Mann-Whitney U or Kruskal Wallis tests. Differences in paired measurements were examined with Wilcoxon signed rank tests. Between-group differences of categorical data was examined using Pearson's  $\chi^2$  test. To evaluate the association between two variables we used Pearson's or Spearman correlation. We constructed multivariable linear regression models of variables related to indices of dead-space and a logistic regression model for mortality using variables that demonstrated significance in univariable logistic regression. Linear regression models were used to describe the relationship between continuous variables including relationship with BMI. A *P* value of 0.05 was considered significant. All analyses were performed using STATA (StataCorp, Texas, USA) v.17.0.

# **3. Results**

## *3.1. Patient characteristics*

We included data from 557 invasively mechanically ventilated patients with C-ARDS. Patients were enrolled in the study after a median [IQR] of 1 [0–9] days from admission to ICU. We report patient

#### **Table 1**





**BMI** denotes body mass index, SOFA the Sequential Organ Failure Assessment score, PWB predicted body weight, FiO2 the fraction of inspired oxygen, PEEP positive end expiratory pressure, PaCO2 the partial pressure of arterial carbon dioxide, PaO2 the partial pressure of arterial oxygen,  $P_{ET}CO2$  the end tidal partial pressure of carbon dioxide.

<span id="page-2-0"></span>characteristics, baseline ventilation and gas exchange in [Table 1.](#page-1-0) Most were male (70%), had moderate (52%) or severe (40%) C-ARDS (PaO $_2/$ FiO2 ratio at clinical PEEP [\[1\]](#page-4-0)); 37% were obese (body mass index (BMI) >30 Kg/m<sup>2</sup>). SOFA score and BMI increased across C-ARDS severity categories. Patients in all three C-ARDS severity groups had almost identical respiratory system compliance despite statistically significant differences in  $PaO<sub>2</sub>/FiO<sub>2</sub>$  ratio and dead space indices.

## *3.2. Characteristics based on C-ARDS severity*

## *3.2.1. Ventilation settings*

Patients were ventilated in pressure-controlled (84%) or volumecontrolled modes (16%). At baseline, ventilation was applied using traditional lung protective ventilation criteria with median [IQR]  $V<sub>T</sub>$  of 7 [6.0–7.9] ml/Kg predicted body weight, driving pressure of 14 [10–17] cmH<sub>2</sub>O, and mechanical power of 15.1 [11.4–19.8] J/min.

 $V_T$ , VE and driving pressure were similar among all C-ARDS severity categories. Mechanical power increased from 11.6 [9.4–16.1] J/min in mild C-ARDS, to 16.1 [12.2–22.1] J/min in severe C-ARDS, (*p <* 0.001), mainly due to increasing respiratory frequency and PEEP across C-ARDS severity ([Table 3](#page-3-0)).

We found a statistically significant relationship between increasing BMI and clinician set PEEP ( $r = 0.27$ ;  $p < 0.0001$ ) and an inverse relationship to PaO<sub>2</sub>/FiO<sub>2</sub> ratio ( $r = -0.16$ ; p < 0.0001). Increasing BMI also was associated with worse physiological dead space and ventilatory ratio (VR) (*r* = 0.15; p *<* 0.0001).

## *3.2.2. Dead space indices*

Eighty-five percent of patients had a VR *>*1; and 89.4% had a PETCO2/PaCO2 ratio *<* 1 indicating increased dead space is highly prevalent in C-ARDS. Despite similar PaCO<sub>2</sub>, all dead space indices worsened as C-ARDS severity increased [\(Table 3\)](#page-3-0). Specifically, VR and VE-corrected increased. VR increased from a median (IQR) of 1.32 (1.08–1.65) in mild C-ARDS to 1.46 (1.16–1.88) in severe C-ARDS (*p* = 0.013) VE-corrected increased from 8.2 L/min (6.6–10.1) in mild C-

#### **Table 2**

Demographics by Outcome (ICU Mortality).

ARDS to 9.6 L/min (7.7 to 11.9) in severe C-ARDS( $p = 0.016$ ).  $P_{ET}CO_2$ / PaCO<sub>2</sub> ratio progressively decreased from a median (IQR) of 0.87 (0.79–0.98) in mild C-ARDS to 0.76 (0.64–0.86) in severe C-ARDS (*p <* 0.001) widening the PaCO<sub>2</sub>-P<sub>ET</sub>CO<sub>2</sub>difference ( $p < 0.001$ ) ([Table 3](#page-3-0)). P<sub>ET</sub>CO<sub>2</sub>/PaCO<sub>2</sub> was inversely associated with days of mechanical ventilation ( $p = 0.047$ ), i.e.,  $P_{ET}CO_2/PaCO_2$  decreased over time.

In our linear regression models, VR and  $P_{ET}CO_2/PaCO_2$  were significantly associated with  $PaO<sub>2</sub>/FiO<sub>2</sub>$  ratio and respiratory system compliance, although no relationship was found between  $PaO<sub>2</sub>/FiO<sub>2</sub>$ ratio and respiratory system compliance.

# *3.2.3. Dead space indices and markers of immunothrombosis*

Plasma concentrations of D-dimers and ferritin were not significantly different across C-ARDS severity categories. Only weak relationships were found between D-dimers and VE-corrected  $(r = 0.11, p = 0.012)$ , P<sub>ET</sub>CO<sub>2</sub>/PaCO<sub>2</sub> (*r* = −0.1, *p* = 0.02), VR (*r* = 0.07, *p* = 0.13), or estimated dead space fraction ( $r = 0.019$ ,  $p = 0.68$ ). Our multivariable linear regression model found no association between D-dimers or ferritin and any dead-space indices adjusting for  $PaO<sub>2</sub>/FiO<sub>2</sub>$ , days of ventilation,  $V_T$ , and respiratory system compliance.

# *3.3. Mortality*

The overall cohort ICU mortality was 33%, which increased across C-ARDS severity categories (mild  $= 18\%$ ; moderate  $= 30\%$ ; and severe  $=$ 41%,  $p = 0.004$ ). Non-survivors were older and had lower BMI (Table 2). Admission SOFA scores were similar between survivors and nonsurvivors. Despite similar baseline respiratory system compliance, non-survivors were ventilated with higher  $V_T$  (7.4 [6.1, 8.2] vs 6.8 [6.0, 7.8] ml/Kg PBW;  $p = 0.008$ ) and mechanical power. Non-survivors had worse oxygenation and worse dead space ventilation indices (Table 2). Of the 369 patients with ferritin levels measured at baseline, 39% had ferritin *>*1500 (μg/L). Median (IQR) ferritin was higher in non-survivors (1271 [718, 2607] vs 1008 [577, 1868]; *p* = 0.017). Of the 474 patients with D-dimers measured, 30% had D-dimers levels *>*4000 (μg/L). There



*BMI* denotes body mass index, SOFA the Sequential Organ Failure Assessment score, PWB predicted body weight, FiO2 the fraction of inspired oxygen, PEEP positive end expiratory pressure, PaCO2 the partial pressure of arterial carbon dioxide, PaO2 the partial pressure of arterial oxygen, PETCO2 the end tidal partial pressure of carbon dioxide.

<span id="page-3-0"></span>was no difference in D-dimers levels between survivors and nonsurvivors [\(Table 2\)](#page-2-0).

Multivariable logistic regression found that age,  $PaO<sub>2</sub>/FiO<sub>2</sub>$ , pH,  $P_{ET}CO_2/PaCO_2$  and ferritin, were independently associated with ICU mortality (Table 3). Other dead-space indices (estimated physiological dead-space, minute volume corrected and VR) were associated with mortality in univariable analysis but not once adjusted in a multivariable analysis.

## **4. Discussion**

## *4.1. Key findings*

This multicentre cohort study examined the relationship between dead space and inflammatory markers - generally seen as markers of immunothrombosis - in mechanically ventilated patients with C-ARDS; and further evaluated the correlations between these variables, and association with C-ARDS severity and ICU mortality.

We confirmed that increased dead space ventilation is highly prevalent in C-ARDS and worsens with disease severity. Similarly, elevated inflammatory markers are common and associated with increased ICU mortality. Importantly, we demonstrated no correlation between dead space indices and inflammatory markers when accounting for confounding factors influencing dead space. Our second major finding was that D-dimer and ferritin,  $P_{ET}CO_2/PaCO_2$ , and  $PaO_2/FiO_2$  were independent predictors of ICU mortality.

Our results further support data from other studies describing increased dead space ventilation in C-ARDS. Yet we found no relationship between dead space and inflammatory markers in contrast to a small number of reports describing a positive association between Ddimer and dead space [\[16](#page-4-0),[23,24\]](#page-4-0). The specific underlying mechanism for increased dead space ventilation – particularly the relationship with immunothrombosis - has yet to be fully established [\[8,25\]](#page-4-0). Pulmonary vascular endothelial dysfunction, micro thrombosis and angiogenesis resulting from immune and coagulation dysregulation remain the prevailing hypotheses [[12,26](#page-4-0)] supported by biochemical, pathological, and radiographical evidence. Furthermore, autopsy studies of those who have died from COVID-19 pneumonia in the first waves have described pulmonary vascular endothelial injury and microthrombi [\[12](#page-4-0),[27-29](#page-4-0)]. Imaging studies indicate areas of hypoperfusion in keeping with pulmonary micro and macro vascular thrombosis [\[10,11](#page-4-0),[16,30-35](#page-4-0)]. There is no clear biomarker of immunothrombosis and we hypothesized that ferritin and D-dimers, which are very elevated in COVID-19 pneumonia patients might be potential biomarkers in this patient group. However D-dimers are very high in all cases of ARDS and may reflect increased fibrinolysis within the lungs due to the local production of urokinase by pneumocytes in response to local fibrin deposition [\[36](#page-5-0)]. Our study shows there is no relationship between these inflammatory biomarkers and dead space.

Earlier studies demonstrate heterogeneity in the dead space surrogates used, and in all only a single surrogate was used to quantify dead space. In this study, we measured multiple dead space indices and used linear regression analysis to account for confounding factors specific to dead space. For example, an early case series of four patients receiving

# **Table 3**

Factors associated with ICU mortality.



PaO2/FiO2 the ratio of the partial pressure of arterial oxygen to the fraction of inspired oxygen,  $P_{ET}CO_2/PaCO_2$  the ratio of end tidal to arterial partial pressure of carbon dioxide.

mechanical ventilation for C-ARDS observed a simultaneous rise in dead space ventilation and D-dimer which occurred early-on in the ICU admission and preceding hypercapnia [[24\]](#page-4-0). Grasselli et al. reported a positive correlation between D-dimer and VR in 301 mechanicallyventilated patients with C-ARDS [[16\]](#page-4-0), whilst Bhatt et al. found Ddimer *>*2000 μg/dL was associated with increased dead space.

Our results add to the current hypothesis that increased dead space ventilation in C-ARDS results from multiple compounding pathophysiological mechanisms, the net effect of which is reduced perfusion to aerated lung tissue. Possible mechanisms include immunothrombosis, lung parenchymal damage and over-inflation resulting in capillary collapse similar to that observed in classical ARDS [\[6,](#page-4-0)[37-39](#page-5-0)]; and dysregulation in pulmonary vascular tone and loss of hypoxic vasoconstriction [\[8](#page-4-0),[40-42](#page-5-0)] or opening of anastomosis between pulmonary arteries and bronchial vasculature – which can increase in patients with COVID-19 disease. The creation of such anastomoses and the dilated bronchial microvasculature leads to potential right-to-left shunts, bypassing the alveolar-capillary network [\[43\]](#page-5-0). These changes can potentially explain why some indices of dead-space are more associated with oxygenation (also affected by bronchial shunts) than with compliance or inflammatory markers. Furthermore, COVID-19 is a systemic disorder with SARS-CoV-2 detected at multiple extrapulmonary sites including the kidneys, heart, liver, and brain [\[44-46\]](#page-5-0). Therefore, systemic extra-pulmonary inflammation is a plausible explanation for increased levels of inflammatory markers independent of the severity of physiological dead space.

#### *4.1.1. Clinical implications*

Our results add to a considerable evidence base describing an association between dead space ventilation, disease severity and mortality in C- ARDS [[25,](#page-4-0)[35,37,38,47](#page-5-0)] but do not support the role of inflammation as the main determinant of physiological dead space.

Severe COVID-19 has increased risk of thrombotic complications resulting in research directed at establishing optimal preventative strategies [\[48,49](#page-5-0)]. Current guidelines advise standard weight-adjusted thromboprophylaxis with low molecular weight heparin in ICU patients with C-ARDS and escalation to treatment-dose only in cases of established thromboembolic disease [[50\]](#page-5-0).

## *4.1.2. Strengths and limitations*

This study is one the first to evaluate the relationship between dead space ventilation and inflammatory markers in C-ARDS using multiple dead space indices addressing existing heterogeneity in dead space measurements across previous studies. We also used linear regression analysis to account for confounding factors. The main strength of this study was the international, multicentre methodology recruiting patients over an extensive study period, increasing external validity. However, our study has limitations. First, our linear regression model did not account for all factors that may influence dead space ventilation in C-ARDS. An additional model including variables that influence levels of inflammatory markers could have improved data validity. Second, data collection and analysis were retrospective, leading to missing biochemical and radiological data, and no data on anticoagulation or renin and angiotensin data – as not collected in routine clinical practice. Inclusion of computerised tomography (CT) scans and data on anticoagulation would have facilitated discussion about the role of thrombosis in the aetiology of increased dead space ventilation in C-ARDS.

## **5. Conclusions**

In this international, multicentre, cohort study we report no association between dead space and inflammatory markers in mechanically ventilated patients with C- ARDS. Our results support theories suggesting that multiple mechanisms, in addition to immunothrombosis, play a role in the pathophysiology of respiratory failure and degree of dead space in C-ARDS. Further research is necessary to elucidate the specific <span id="page-4-0"></span>pathogenesis of increased dead space in C-ARDS to facilitate the development of targeted therapies.

# **Ethics approval and consent to participate**

This retrospective study was registered at GSTT in UK as a service evaluation (number 10796) with a waiver of consent for the use of anonymized retrospective data accrued though provision of routine clinical services. In Italy, the study was approved by local ethical committee (Comitato Etico Interaziendale Milano Area *A*1, n. 628). All procedures were performed in accordance with the ethical standards of the responsible committee on human experimentation and with the Helsinki Declaration of 1975.

# **Consent for publication**

Not applicable;

# **Availability of data and material**

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request;

# **Funding**

Not applicable;

## **Author's contribution**

L.C., L.G. and D.C. conceptualization and writing the first draft of the manuscript; B.S., S.W., M.O., N.B., A.R., M.B., P.C., F.R., B.H. and L.R. retrieved the data; M.B. performed the analysis; L.C., M.B., F.R., L.C. and D.C. revised the initial version of the manuscript.

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The authors have no financial relationship to disclose in relation to the writing of this manuscript.

# **CRediT authorship contribution statement**

**Luigi Camporota:** Conceptualization, Supervision, Writing – original draft. **Barnaby Sanderson:** Formal analysis, Investigation, Methodology, Software, Visualization. **Stephanie Worrall:** Data curation, Methodology, Writing – review & editing. **Marlies Ostermann:** Data curation, Methodology, Writing – review & editing. **Nicholas A. Barrett:** Data curation, Methodology, Writing – review & editing. **Andrew Retter:** Data curation, Methodology, Writing – review & editing. **Mattia Busana:** Data curation, Methodology, Writing – review & editing. **Patrick Collins:** Data curation, Methodology, Writing – review & editing. **Federica Romitti:** Data curation, Methodology, Writing – review & editing. **Beverley J. Hunt:** Data curation, Methodology, Writing – review & editing. **Louise Rose:** Data curation, Methodology, Writing – review & editing. **Luciano Gattinoni:** Methodology, Supervision, Writing – review & editing. **Davide Chiumello:** Methodology, Supervision, Writing – original draft.

# **Declaration of Competing Interest**

The authors declare no conflicts of interest in writing this manuscript.

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