

1 **BDDC PRECONDITIONERS FOR VIRTUAL ELEMENT**
2 **APPROXIMATIONS OF THE THREE-DIMENSIONAL STOKES**
3 **EQUATIONS***

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6 **Abstract.** The Virtual Element Method (VEM) is a novel family of numerical methods for
7 approximating partial differential equations on general polygonal or polyhedral computational grids.
8 This work aims to propose a Balancing Domain Decomposition by Constraints (BDDC) preconditioner
9 that allows using the conjugate gradient method to compute the solution of the saddle-point
10 linear systems arising from the VEM discretization of the three-dimensional Stokes equations.
11 We prove the scalability and quasi-optimality of the algorithm and confirm the theoretical findings
12 with parallel computations. Numerical results with adaptively generated coarse spaces confirm
13 the method's robustness in the presence of large jumps in the viscosity and with high-order VEM
14 discretizations.

15 **Key words.** Virtual element method, Divergence free discretization, Saddle-point linear system,
16 Domain decomposition preconditioner

17 **AMS subject classifications.** 68Q25, 68R10, 68U05

18 **1. Introduction.** The Virtual Element Method (VEM, [10]) is a recent technology
19 for the numerical approximation of Partial Differential Equations (PDEs) which
20 can deal with computational grids of very general polygonal/polyhedral shape. Effective
21 VEM discretizations have been developed for several PDEs; the interested reader
22 should consult the recent special issue [9] and the book [5] for further details. Regarding
23 computational fluid dynamics, divergence-free VEM discretizations of the Stokes
24 and Navier-Stokes equations have been proposed in [12, 13, 11].

25 Due to the arbitrary shape of polytopal elements, the linear systems arising from
26 VEM discretizations of PDEs are generally worse conditioned than in case of Finite
27 Element Methods (FEM). Some recent studies have proposed multigrid and domain
28 decomposition preconditioners for scalar elliptic equations in primal form: see [6, 7]
29 for a multigrid preconditioner, [14, 15, 29, 30] for Balancing Domain Decomposition
30 by Constraints (BDDC) and Dual-Primal Finite Element Tearing and Interconnecting
31 (FETI-DP) preconditioners, and [20, 21] for Overlapping Additive Schwarz preconditioners.
32

33 A few works have investigated the efficient solution of VEM approximations for
34 saddle point problems. In [24, 23] parallel block algebraic multigrid preconditioners
35 have been proposed for three-dimensional VEM approximations of elliptic, Stokes,
36 and Maxwell equations in mixed form. BDDC preconditioners for three-dimensional
37 scalar elliptic equations in mixed form have been constructed and analyzed in [25].
38 BDDC methods for two-dimensional VEM discretizations of the Stokes equations have
39 been studied in [17].

40 The present study aims to construct, analyze and numerically validate a BDDC
41 preconditioner for three-dimensional divergence-free VEM discretizations of the Stokes

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42 equations. The novelty with respect to our previous work [17] is the extension of both
 43 analysis and implementation to the three-dimensional case, the inclusion of deluxe
 44 scaling functions to average the dual unknowns after local solves, the development of
 45 an adaptive technique to enrich the coarse space, and the parallel implementation of
 46 the algorithm. From the theoretical point of view, we prove the scalability and quasi-
 47 optimality of the method in the case of a homogeneous fluid with constant viscosity.
 48 We validate the theoretical estimates with several parallel numerical tests, and we
 49 provide numerical evidence for the robustness of the preconditioner with respect to
 50 the degree of approximation and the shape of the polyhedral elements. Finally, we
 51 compare the proposed algorithm with other parallel solvers in terms of computational
 52 efficiency, and we confirm the robustness of our approach on a challenging multi-sinker
 53 test case with heterogeneous viscosity [35].

54 **2. Continuous problem: the Stokes equations.** We follow the standard
 55 notation for the Sobolev spaces as in [1]. Moreover we recall the differential operators:
 56 the vector Laplacian Δ , the divergence div , the gradient ∇ and the strain tensor
 57 $\varepsilon_{ij}(\mathbf{u}) := \frac{1}{2}(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i})$.
 58 We denote with \mathcal{O} a generic geometrical entity (element, face, edge) having diameter
 59 $h_{\mathcal{O}}$ and we introduce for any \mathcal{O} and $n \in \mathbb{N}$ the spaces:
 60 • $\mathbb{P}_n(\mathcal{O})$ the set of polynomials on \mathcal{O} of degree $\leq n$ (with $\mathbb{P}_{-1}(\mathcal{O}) := \{0\}$),
 61 • $\widehat{\mathbb{P}}_{n \setminus m}(\mathcal{O}) := \mathbb{P}_n(\mathcal{O}) \setminus \mathbb{P}_m(\mathcal{O})$ for $n > m$, denotes the space of polynomials in
 62 $\mathbb{P}_n(\mathcal{O})$ with monomials of degree strictly greater than m .
 63 Let $\Omega \subseteq \mathbb{R}^3$ be a bounded Lipschitz domain, with $\Gamma = \partial\Omega$, and consider the stationary
 64 Stokes problem on Ω with homogeneous Dirichlet boundary conditions:

$$65 \quad (2.1) \quad \begin{cases} \text{Find } (\mathbf{u}, p) \text{ such that} \\ -\nu \Delta \mathbf{u} - \nabla p = \mathbf{f} & \text{in } \Omega \\ \text{div } \mathbf{u} = 0 & \text{in } \Omega \\ \mathbf{u} = 0 & \text{on } \Gamma, \end{cases}$$

66 where \mathbf{u} and p are respectively the velocity and the pressure fields, $\mathbf{f} \in [H^{-1}(\Omega)]^3$
 67 represents the external force and $\nu \in \mathbb{R}, \nu > 0$ is the viscosity, assumed constant for
 68 the purposes of our analysis. Numerical evidence for the robustness of the algorithm
 69 in the presence of highly heterogeneous viscosity is provided in Section 8.

70 Let us consider the spaces:

$$71 \quad (2.2) \quad \mathbf{V} := [H_0^1(\Omega)]^3, \quad Q := L_0^2(\Omega) = \left\{ q \in L^2(\Omega) \text{ s.t. } \int_{\Omega} q \, d\Omega = 0 \right\}.$$

72 Let the bilinear forms $a(\cdot, \cdot) : \mathbf{V} \times \mathbf{V} \rightarrow \mathbb{R}$ and $b : \mathbf{V} \times Q \rightarrow \mathbb{R}$ be defined as:

$$74 \quad (2.3) \quad a(\mathbf{u}, \mathbf{v}) := \int_{\Omega} \nu \varepsilon(\mathbf{u}) : \varepsilon(\mathbf{v}) \, d\Omega \quad \text{for all } \mathbf{u}, \mathbf{v} \in \mathbf{V}$$

75

$$76 \quad (2.4) \quad b(\mathbf{v}, q) := \int_{\Omega} \text{div } \mathbf{v} \, q \, d\Omega \quad \text{for all } \mathbf{v} \in \mathbf{V}, q \in Q.$$

77 Then a standard variational formulation of problem (2.1) reads:

$$78 \quad (2.5) \quad \begin{cases} \text{find } (\mathbf{u}, p) \in \mathbf{V} \times Q \text{ such that} \\ a(\mathbf{u}, \mathbf{v}) + b(\mathbf{v}, p) = (\mathbf{f}, \mathbf{v}) & \text{for all } \mathbf{v} \in \mathbf{V}, \\ b(\mathbf{u}, q) = 0 & \text{for all } q \in Q, \end{cases}$$

79 where

$$80 \quad (\mathbf{f}, \mathbf{v}) := \int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, d\Omega.$$

81
82 We refer to [18] for the mathematical analysis of this problem.

83 We recall here two lemmas (see [31] Section 2) that we will need in Section 4: the
84 first one states the equivalence between the Stokes and elasticity bilinear forms and
85 their H^1 seminorms, while the second one is a Korn-type inequality.

86 LEMMA 2.1. *There exists a constant $c > 0$ such that:*

$$87 \quad c \|\nabla \mathbf{u}\|_{[L^2(\Omega)]} \leq \|\varepsilon(\mathbf{u})\|_{[L^2(\Omega)]} \leq \|\nabla \mathbf{u}\|_{[L^2(\Omega)]} \quad \forall \mathbf{u} \in [H^1(\Omega)]^3, \mathbf{u} \perp \ker(\varepsilon),$$

88 where $\ker(\varepsilon)$ is the space of the rigid body modes of the elasticity problem.

89 LEMMA 2.2. *Let $\Omega \subset \mathbb{R}^3$ be a Lipschitz domain of diameter H and $\Sigma \subset \partial\Omega$ be
90 an open subset with positive surface measure. There exists a positive constant C ,
91 independent of H such that:*

$$92 \quad \inf_{\mathbf{r} \in \ker(\varepsilon)} \|\mathbf{u} - \mathbf{r}\|_{L^2(\Omega)}^2 \leq CH |\mathbf{u}|_{E(\Sigma)} \quad \forall \mathbf{u} \in [H^{1/2}(\Sigma)]^3,$$

93 where $|\mathbf{u}|_{E(\Sigma)} := \inf_{\mathbf{v} \in [H^1(\Omega)]^3, \mathbf{v}|_{\Sigma} = \mathbf{u}} \|\varepsilon(\mathbf{v})\|_{L^2(\Omega)}$.

94
95 **3. Virtual element discretization.** We present here the discretization of prob-
96 lem (2.1), based on the virtual element space introduced in Section 3 of [11], that is
97 designed to solve a Stokes-like problem element-wise.

98 **Mesh construction.** Let $\{\mathcal{T}_h\}_h$ be a sequence of decompositions of Ω into
99 general shape-regular polyhedral elements K (in the sense of Definition 2.1 of [15])
100 with a mesh size:

$$101 \quad h := \sup_{K \in \mathcal{T}_h} h_K,$$

102 where h_K is the diameter of K .

103 We suppose that, for all h , each element $K \in \mathcal{T}_h$ satisfies the following assump-
104 tions:

- 105 • **(A1)** K is star-shaped with respect to a ball B_K of radius $\geq \gamma h_K$,
- 106 • **(A2)** every face f of K is star-shaped with respect to a disk B_f of ra-
107 dius $\geq \gamma h_K$,
- 108 • **(A3)** every edge e of K satisfies $h_e \geq \gamma h_K$,

109 where γ is a uniform positive constant. These hypotheses could be weakened as in [10],
110 for example, by assuming that every K is a union of a finite (and uniformly bounded)
111 number of star-shaped domains, each satisfying **(A1)**.

112 We denote with N_K, N_V, N_f and N_e the total number of polyhedra, vertices, faces,
113 and edges of the decomposition \mathcal{T}_h , respectively.

114 Since we are interested in estimates with a dependence on the number and size of the
115 subdomains and polyhedral elements, we will denote with the symbol \lesssim a bound up to
116 a generic positive constant that is independent of the previous quantities, but which
117 may depend on Ω , on the polynomial order k and the constant γ of Assumptions
118 **(A1) – (A3)**.

119 Before introducing the discrete velocity and pressure spaces, we define some suitable
120 projection operators that will be directly computable with the degrees of freedom
121 (dofs). For any $n \in \mathbb{N}$ and each geometric entity \mathcal{O} (element or face), we introduce
122 the following polynomial projections:
123

124 • the L^2 -projection $\Pi_n^{0,\mathcal{O}} : L^2(\mathcal{O}) \rightarrow \mathbb{P}_n(\mathcal{O})$, defined for any $v \in L^2(\mathcal{O})$ by:

$$125 \quad (3.1) \quad \int_{\mathcal{O}} q_n(v - \Pi_n^{0,\mathcal{O}}v) \, d\mathcal{O} = 0 \quad \text{for all } q_n \in \mathbb{P}_n(\mathcal{O}),$$

126 with obvious extension for vector functions $\Pi_n^{0,\mathcal{O}} : [L^2(\mathcal{O})]^3 \rightarrow [\mathbb{P}_n(\mathcal{O})]^3$ and
127 tensor functions $\Pi_n^{0,\mathcal{O}} : [L^2(\mathcal{O})]^{3 \times 3} \rightarrow [\mathbb{P}_n(\mathcal{O})]^{3 \times 3}$,

128 • the H^1 -seminorm projection $\Pi_n^{\nabla,\mathcal{O}} : H^1(\mathcal{O}) \rightarrow \mathbb{P}_n(\mathcal{O})$, defined for any $v \in$
129 $H^1(\mathcal{O})$ by:

$$130 \quad (3.2) \quad \begin{cases} \int_{\mathcal{O}} \nabla q_n \cdot \nabla(v - \Pi_n^{\nabla,\mathcal{O}}v) \, d\mathcal{O} = 0 & \text{for all } q_n \in \mathbb{P}_n(\mathcal{O}), \\ \int_{\partial\mathcal{O}} (v - \Pi_n^{\nabla,\mathcal{O}}v) \, d\sigma = 0, \end{cases}$$

131 with obvious extension for vector functions $\Pi_n^{\nabla,\mathcal{O}} : [H^1(\mathcal{O})]^3 \rightarrow [\mathbb{P}_n(\mathcal{O})]^3$.

132 **Pressure space.** We start by constructing the discrete space Q_h . This is a
133 natural extension of the two-dimensional space [12] and, following [11], we define:

$$134 \quad (3.3) \quad Q_h^K := \mathbb{P}_{k-1}(K),$$

136 therefore the corresponding dofs are chosen defining for each $q \in Q_h^K$ the following
137 linear operator:

138 • **D_Q**: the moments up to order $k - 1$ of q :

$$139 \quad \int_K qp_{k-1} \, dK \quad \text{for any } p_{k-1} \in \mathbb{P}_{k-1}(K).$$

141 The global space is given by:

$$142 \quad (3.4) \quad Q_h := \{q \in L^2(\Omega) \text{ s.t. } q|_K \in Q_h^K \text{ for all } K \in \mathcal{T}_h\}.$$

144 **Velocity space.** The space \mathbf{V}_h , as defined in [11], is the three-dimensional
145 extension of the two-dimensional velocity space introduced in [12], where the extensive
146 use of the enhancement technique [2] is needed to achieve the computability of suitable
147 polynomial projection operators. We start by considering each face f of a polyhedral
148 element K , then we define:

$$149 \quad (3.5) \quad \begin{aligned} \widehat{\mathbb{B}}_k(f) := \{v \in H^1(f) \text{ s.t. (i) } v|_{\partial f} \in C^0(\partial f), v|_e \in \mathbb{P}_k(e) \text{ for all } e \in \partial f, \\ \text{(ii) } \Delta_f v \in \mathbb{P}_{k+1}(f), \\ \text{(iii) } (v - \Pi_k^{\nabla,f}v, \widehat{p}_{k+1})_f = 0 \text{ for all } \widehat{p}_{k+1} \in \widehat{\mathbb{P}}_{k+1 \setminus k-2}(f)\} \end{aligned}$$

150 and the boundary space:

$$151 \quad (3.6) \quad \widehat{\mathbb{B}}_k(\partial K) := \{v \in C^0(\partial K) \text{ s.t. } v|_f \in \widehat{\mathbb{B}}_k(f) \text{ for any } f \in \partial K\}.$$

152 Then on the polyhedron K we first define the virtual element space:

$$153 \quad (3.7) \quad \begin{aligned} \widetilde{\mathbf{V}}_h^K := \{\mathbf{v} \in [H^1(K)]^3 \text{ s.t. (i) } \mathbf{v}|_{\partial K} \in [\widehat{\mathbb{B}}_k(\partial K)]^3, \\ \text{(ii) } \Delta \mathbf{v} + \nabla s \in \mathbf{x} \wedge [\mathbb{P}_{k-1}(K)]^3 \text{ for some } s \in L_0^2(K), \\ \text{(iii) } \operatorname{div} \mathbf{v} \in \mathbb{P}_{k-1}(K)\}, \end{aligned}$$

154 being $\mathbf{x} = (x_1, x_2, x_3)$ the independent variables, and \wedge the cross product. The
 155 velocity space is then defined as:

$$(3.8) \quad \mathbf{V}_h^K := \{ \mathbf{v} \in \widetilde{\mathbf{V}}_h^K \text{ s.t. } (\mathbf{v} - \Pi_k^{\nabla, K} \mathbf{v}, \mathbf{x} \wedge \widehat{\mathbf{p}}_{k-1})_K = 0 \\ \forall \widehat{\mathbf{p}}_{k-1} \in [\widehat{\mathbb{P}}_{k-1 \setminus k-3}(K)]^3 \}.$$

157

158 *Remark 3.1.* The "super-enhanced" constraints (iii) in (3.5) and in (3.8) are nec-
 159 essary to achieve the computability of the polynomial projection operators $\Pi_{k+1}^{0,f}$ and
 160 $\Pi_k^{0,K}$ (see Proposition 5.1 in [11]).

161 *Remark 3.2.* Note that the approximation property is guaranteed by the fact that
 162 the spaces \mathbf{V}_h^K and Q_h^K contain $[\mathbb{P}_k(K)]^3$ and $\mathbb{P}_{k-1}(K)$, respectively.

163 Given $\mathbf{v} \in \mathbf{V}_h^K$, the dofs of the local velocity space \mathbf{V}_h^K are defined by means of the
 164 following set of linear operators:

- 165 • $\mathbf{D}_{\mathbf{V}}^1$: the values of \mathbf{v} at the vertices of K ;
- 166 • $\mathbf{D}_{\mathbf{V}}^2$: the values of \mathbf{v} at $k-1$ distinct points of every edge e of K ;
- 167 • $\mathbf{D}_{\mathbf{V}}^3$: the face moments of \mathbf{v} (split into normal and tangential components):

$$(3.9) \quad \int_f (\mathbf{v} \cdot \mathbf{n}_K^f) p_{k-2} \, df, \quad \int_f \mathbf{v}_\tau \cdot \mathbf{p}_{k-2} \, df,$$

168 for all $p_{k-2} \in \mathbb{P}_{k-2}(f)$ and $\mathbf{p}_{k-2} \in [\mathbb{P}_{k-2}(f)]^2$, where \mathbf{n}_K^f is the normal vector
 170 associated to the face f and \mathbf{v}_τ is the 2D vector field defined on ∂K , s.t. on
 171 each face $f \in \partial K$:

$$\mathbf{v}_\tau := \mathbf{v} - (\mathbf{v} \cdot \mathbf{n}_K^f) \mathbf{n}_K^f;$$

- 172
- 173
- 174 • $\mathbf{D}_{\mathbf{V}}^4$: the volume moments of \mathbf{v} :

$$(3.10) \quad \int_K \mathbf{v} \cdot (\mathbf{x} \wedge \mathbf{p}_{k-3}) \, dK \quad \text{for all } \mathbf{p}_{k-3} \in [\mathbb{P}_{k-3}(K)]^3;$$

- 175
- 176 • $\mathbf{D}_{\mathbf{V}}^5$: the volume moments of $\operatorname{div} \mathbf{v}$:

$$(3.11) \quad \int_K \operatorname{div} \mathbf{v} \widehat{p}_{k-1} \, dK \quad \text{for all } \widehat{p}_{k-1} \in \widehat{\mathbb{P}}_{k-1 \setminus 0}(K).$$

178 The global space \mathbf{V}_h is obtained by gluing the local spaces:

$$(3.12) \quad \mathbf{V}_h := \{ \mathbf{v} \in [H^1(\Omega)]^3 \text{ s.t. } \mathbf{v}|_K \in \mathbf{V}_h^K \text{ for all } K \in \mathcal{T}_h \}.$$

180 **3.1. Discrete bilinear forms and load term approximation.** We first de-
 181 compose the bilinear forms $a(\cdot, \cdot)$ and $b(\cdot, \cdot)$, and the load term \mathbf{f} defined in (2.5) into
 182 local contributions:

$$(3.13) \quad a(\mathbf{u}, \mathbf{v}) := \sum_{K \in \mathcal{T}_h} a^K(\mathbf{u}, \mathbf{v}), \quad b(\mathbf{v}, p) := \sum_{K \in \mathcal{T}_h} b^K(\mathbf{v}, p), \quad (\mathbf{f}, \mathbf{v}) := \sum_{K \in \mathcal{T}_h} (\mathbf{f}, \mathbf{v})_K,$$

183 for all $\mathbf{u}, \mathbf{v} \in [H^1(\Omega)]^3$ and $p \in Q_h$.

184

185 We note that we do not need any approximation for the divergence bilinear form
 186 since we can compute exactly $b(\mathbf{v}_h, q_h)$ for all $\mathbf{v}_h \in \mathbf{V}_h$ and $q_h \in Q_h$ directly from the
 187 $\mathbf{D}_{\mathbf{V}}^1, \mathbf{D}_{\mathbf{V}}^2$ and $\mathbf{D}_{\mathbf{V}}^5$.

189 Instead, the bilinear form $a(\cdot, \cdot)$ is not directly computable from the dofs when
190 both entries are "virtual". Following [11], we define the approximation:

$$191 \quad (3.14) \quad a_h^K(\mathbf{u}, \mathbf{v}) := \int_K (\Pi_{k-1}^{0,K} \varepsilon(\mathbf{u})) : (\Pi_{k-1}^{0,K} \varepsilon(\mathbf{v})) \, dK + S^K((I - \Pi_k^{\nabla, K})\mathbf{u}, (I - \Pi_k^{\nabla, K})\mathbf{v}),$$

192 for all $\mathbf{u}, \mathbf{v} \in \mathbf{V}_h^K$, where:

$$193 \quad \Pi_{k-1}^{0,K} \varepsilon(\mathbf{u}) = \frac{\Pi_{k-1}^{0,K} \nabla \mathbf{u} + (\Pi_{k-1}^{0,K} \nabla \mathbf{u})^T}{2}.$$

195 The approximate bilinear form (3.14) is obtained as the sum of two contributions,
196 the first term known as the consistency part and the second term known as the
197 stabilization part, where $S^P : \mathbf{V}_h^K \times \mathbf{V}_h^K \rightarrow \mathbb{R}$ is a suitable symmetric bilinear form
198 that has to scale like the H^1 -seminorm.

199 *Remark 3.3.* For the numerical experiments in Section 8, we use the D -recipe
200 stabilization introduced in Section 6 of [11].

201 The load term is approximated by taking:

$$202 \quad (3.15) \quad (\mathbf{f}_h, \mathbf{v})_K := \int_K \Pi_k^{0,K} f \cdot \mathbf{v} \, dK.$$

203 Finally, the global forms are obtained by simply gluing elements' contributions:

$$204 \quad (3.16) \quad a_h(\mathbf{u}, \mathbf{v}) := \sum_{K \in \mathcal{T}_h} a_h^K(\mathbf{u}, \mathbf{v}), \quad (\mathbf{f}_h, \mathbf{v}) := \sum_{K \in \mathcal{T}_h} (\mathbf{f}_h, \mathbf{v})_K,$$

205 for all $\mathbf{u}, \mathbf{v} \in \mathbf{V}_h$.

206 **3.2. Discrete problem.** Using the discrete spaces (3.12) and (3.4) and the
207 discrete linear and bilinear forms previously introduced, the discrete Stokes problem
208 reads as follows:

$$209 \quad (3.17) \quad \begin{cases} \text{find } (\mathbf{u}_h, p_h) \in \mathbf{V}_{h,0} \times Q_{h,0} \text{ such that} \\ a_h(\mathbf{u}_h, \mathbf{v}_h) + b(\mathbf{v}_h, p_h) = (\mathbf{f}_h, \mathbf{v}_h) & \text{for all } \mathbf{v}_h \in \mathbf{V}_{h,0}, \\ b(\mathbf{u}_h, q_h) = 0 & \text{for all } q_h \in Q_{h,0}, \end{cases}$$

210 where $\mathbf{V}_{h,0} := \mathbf{V}_h \cap [H_0^1(\Omega)]^3$ and $Q_{h,0} := Q_h \cap L_0^2(\Omega)$.

211 Combining the arguments in [12], [13] and [19], it is possible to show that the virtual
212 space \mathbf{V}_h has an optimal interpolation operator (see Lemma 5.2) and that the pair
213 (\mathbf{V}_h, Q_h) is inf-sup stable with $\beta_h > 0$.

214 We have the following existence and convergence theorem that extends the analogous
215 result for the two-dimensional case ([12]).

216 **THEOREM 3.4.** *Under the Assumptions (A1)–(A3), let $(\mathbf{u}, p) \in [H_0^1(\Omega)] \times L_0^2(\Omega)$
217 be the solution of the problem (2.1) and $(\mathbf{u}_h, p_h) \in \mathbf{V}_{h,0} \times Q_{h,0}$ be the unique solution of
218 the problem (3.17). Assuming moreover $\mathbf{u}, \mathbf{f} \in [H^{s+1}(\Omega)]^3$ and $p \in H^s(\Omega)$, $0 < s \leq k$,
219 then:*

$$220 \quad (3.18) \quad \begin{aligned} \|\mathbf{u} - \mathbf{u}_h\|_1 &\lesssim h^s \mathcal{F}(\mathbf{u}, \nu) + h^{s+2} \mathcal{H}(\mathbf{f}, \nu), \\ \|p - p_h\|_0 &\lesssim h^s |p|_s + h^s \mathcal{K}(\mathbf{u}, \nu) + h^{s+2} |\mathbf{f}|_{s+1}, \end{aligned}$$

221 for suitable functions $\mathcal{F}, \mathcal{H}, \mathcal{K}$ independent of h .

222 *Remark 3.5.* Since the error of the velocity in (3.18) does not depend on the
 223 pressure, one can design a reduced scheme with a smaller number of dofs as for the
 224 two-dimensional case (Section 5.3 in [11]).

225 The discrete variational problem can be written as:

$$226 \quad (3.19) \quad \begin{bmatrix} A & B^T \\ B & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u} \\ p \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ 0 \end{bmatrix},$$

227 where the matrices A and B are associated with the discrete bilinear forms $a_h(\cdot, \cdot)$ and
 228 $b_h(\cdot, \cdot)$. In the remainder of the paper, we omit the underscore h since we will always
 229 refer to the finite-dimensional space. We also write $\widehat{\mathbf{V}} \times Q$ instead of $\widehat{\mathbf{V}}_{h,0} \times Q_{h,0}$,
 230 only for the sake of simplifying the notation.

231 **4. BDDC preconditioner.** BDDC preconditioners [26] belong to the class of
 232 non-overlapping domain decomposition methods and they can be regarded as an evo-
 233 lution of Balancing Neumann Neumann preconditioners [27, 34]. They have been
 234 extensively applied to solve linear systems that arise from finite element discretiza-
 235 tions of PDEs (see e.g. [31, 32, 33, 37]), and recently they have also been extended
 236 to VEM discretizations [14, 15, 17, 29, 30]. Here, we apply them to solve the saddle
 237 point linear system arising from the previous VEM discretization of three-dimensional
 238 Stokes equations. We first briefly resume the procedure to decompose the space of
 239 functions and to construct the preconditioner. The interested reader can obtain fur-
 240 ther details in [17].

241 **4.1. Domain decomposition and saddle point problem.** We decompose \mathcal{T}_h
 242 into N non-overlapping subdomains Ω_i with characteristic size H_i :

$$243 \quad (4.1) \quad \bar{\mathcal{T}}_h = \bigcup_{i=1}^N \bar{\Omega}_i, \quad \Gamma = \bigcup_{i \neq j} \partial\Omega_i \cap \partial\Omega_j,$$

244 where each Ω_i is union of different polyhedra of the tassellation \mathcal{T}_h and Γ is the
 245 interface (skeleton) among the subdomains.

246 We assume that the decomposition is shape-regular in the sense of [15]:

247 There exist a constant $\gamma^* > 0$ and $N^* > 0$ such that the subdomain decomposition
 248 satisfies the following properties:

- 249 • it is geometrically conforming, that is, for all i , if a vertex, edge, or face of
 250 Ω_i is contained in $\partial\Omega_i \cap \partial\Omega_j$, it is also, respectively, a vertex, edge, or face of
 251 Ω_j ;
- 252 • the subdomains Ω_i are shape regular of diameter H_i with constants $\gamma_{\Omega_i} > \gamma^*$
 253 and $N_{K,\Omega_i} < N^*$;
- 254 • the decomposition is quasi-uniform: there exists an H such that for all i we
 255 have $H_i \simeq H$.

256 We will refer to the edges and faces of the subdomains Ω_i as macro edges and macro
 257 faces. We let \mathcal{E}_H , and \mathcal{F}_H denote, respectively, the set of macro edges E and of macro
 258 faces F of the subdomain decomposition interior to Ω , and \mathcal{F}_H^i and \mathcal{E}_H^i denote the set
 259 of, respectively, macro faces and macro edges of the subdomain Ω^i .

260

261 We split the velocity components' dofs into boundary and interior parts. In
 262 particular, all the dofs \mathbf{D}_V^4 and \mathbf{D}_V^5 are classified as interior dofs, while the \mathbf{D}_V^1 , \mathbf{D}_V^2
 263 and \mathbf{D}_V^3 are split into dofs that belongs to a single subdomain Ω_i (internal) or that

264 belong to more than a single subdomain (boundary). We decompose the discrete
265 velocity and pressure space $\widehat{\mathbf{V}}$ and Q into:

$$266 \quad (4.2) \quad \widehat{\mathbf{V}} = \mathbf{V}_I \bigoplus \widehat{\mathbf{V}}_\Gamma, \quad Q = Q_I \bigoplus Q_0,$$

267 with $Q_0 := \prod_{i=1}^N \{q \in \Omega_i | q \text{ is constant in } \Omega_i\}$. $\widehat{\mathbf{V}}_\Gamma$ is the continuous space of the
268 traces on Γ of functions in $\widehat{\mathbf{V}}$, \mathbf{V}_I and Q_I are direct sums of subdomain interior
269 velocity spaces $\mathbf{V}_I^{(i)}$, and subdomain interior pressure spaces. We also define the
270 space of interface velocity variables of the subdomain Ω_i by $\mathbf{V}_\Gamma^{(i)}$, and the associated
271 product space by $\mathbf{V}_\Gamma = \prod_{i=1}^N \mathbf{V}_\Gamma^{(i)}$ of discontinuous functions across the interface.
272 The global saddle-point problem (3.19) can then be written as: find $(\mathbf{u}_I, p_I, \mathbf{u}_\Gamma, p_0) \in$
273 $(\mathbf{V}_I, Q_I, \widehat{\mathbf{V}}_\Gamma, Q_0)$, such that:

$$274 \quad (4.3) \quad \begin{bmatrix} A_{II} & B_{II}^T & \widehat{A}_{\Gamma I}^T & 0 \\ B_{II} & 0 & \widehat{B}_{I\Gamma} & 0 \\ \widehat{A}_{\Gamma I} & \widehat{B}_{I\Gamma}^T & \widehat{A}_{\Gamma\Gamma} & \widehat{B}_{0\Gamma}^T \\ 0 & 0 & \widehat{B}_{0\Gamma}^T & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_I \\ p_I \\ \mathbf{u}_\Gamma \\ p_0 \end{bmatrix} = \begin{bmatrix} \mathbf{f}_I \\ 0 \\ \mathbf{f}_\Gamma \\ 0 \end{bmatrix}.$$

275 The blocks related to the continuous interface velocity are assembled from the corre-
276 sponding subdomain submatrices, and the right-hand side vector \mathbf{f}_I consists of sub-
277 domain vectors $\mathbf{f}_I^{(i)}$, and \mathbf{f}_Γ is assembled from the subdomain components $\mathbf{f}_\Gamma^{(i)}$; we
278 denote the spaces of the right-hand side vectors \mathbf{f}_I and \mathbf{f}_Γ by \mathbf{F}_I and \mathbf{F}_Γ respectively.

279 We proceed to eliminate, by static condensation, the independent subdomain
280 variables (\mathbf{u}_I, p_I) solving independent Dirichlet problems:

$$281 \quad (4.4) \quad \begin{bmatrix} A_{II} & B_{II}^T \\ B_{II} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_I \\ p_I \end{bmatrix} + \begin{bmatrix} \widehat{A}_{\Gamma I}^T & 0 \\ \widehat{B}_{I\Gamma} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_\Gamma \\ p_0 \end{bmatrix} = \begin{bmatrix} \mathbf{f}_I \\ 0 \end{bmatrix},$$

282 and obtain the global interface saddle point problem:

$$283 \quad (4.5) \quad \widehat{\mathbf{S}} \widehat{\mathbf{u}} = \begin{bmatrix} \widehat{\mathbf{S}}_\Gamma & \widehat{B}_{0\Gamma}^T \\ \widehat{B}_{0\Gamma} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_\Gamma \\ p_0 \end{bmatrix} = \begin{bmatrix} \mathbf{g}_\Gamma \\ 0 \end{bmatrix} = \widehat{\mathbf{g}},$$

284 where the right-hand side $\widehat{\mathbf{g}} \in \mathbf{F}_\Gamma \times F_0$ is given by

$$285 \quad (4.6) \quad \widehat{\mathbf{g}} = \sum_{i=1}^N R_\Gamma^{(i)T} \left\{ \begin{bmatrix} \mathbf{f}_\Gamma^{(i)} \\ 0 \end{bmatrix} - \begin{bmatrix} A_{\Gamma I}^{(i)} & B_{I\Gamma}^{(i)T} \\ 0 & 0 \end{bmatrix} \begin{bmatrix} A_{II}^{(i)} & B_{II}^{(i)T} \\ B_{II}^{(i)} & 0 \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{f}_I^{(i)} \\ 0 \end{bmatrix} \right\}.$$

287 $R_\Gamma^{(i)} : \widehat{\mathbf{V}}_\Gamma \rightarrow \mathbf{V}_\Gamma^{(i)}$ is the operator which maps functions in the continuous interface
288 velocity space $\widehat{\mathbf{V}}_\Gamma$ to their subdomain components in the space $\mathbf{V}_\Gamma^{(i)}$. We denote the
289 direct sum of the $R_\Gamma^{(i)}$ with R_Γ .

290 $\widehat{\mathbf{S}}_\Gamma$ is assembled from the subdomain Stokes Schur complements $S_\Gamma^{(i)}$, which are defined
291 by: given $\mathbf{w}_\Gamma^{(i)} \in \mathbf{V}_\Gamma^{(i)}$, determine $S_\Gamma^{(i)} \mathbf{w}_\Gamma^{(i)} \in \mathbf{F}_\Gamma^{(i)}$ such that

$$292 \quad (4.7) \quad \begin{bmatrix} A_{II}^{(i)} & B_{II}^{(i)T} & A_{\Gamma I}^{(i)T} \\ B_{II}^{(i)} & 0 & B_{I\Gamma}^{(i)} \\ A_{\Gamma I}^{(i)} & B_{I\Gamma}^{(i)T} & A_{\Gamma\Gamma}^{(i)} \end{bmatrix} \begin{bmatrix} \mathbf{w}_I^{(i)} \\ q_I^{(i)} \\ \mathbf{w}_\Gamma^{(i)} \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ 0 \\ S_\Gamma^{(i)} \mathbf{w}_\Gamma^{(i)} \end{bmatrix},$$

293 these Schur complements are symmetric and positive definite (Lemma 5.1 in [17]).
 294 Denoting by S_Γ the direct sum of the $S_\Gamma^{(i)}$, then \widehat{S}_Γ is given by

$$295 \quad (4.8) \quad \widehat{S}_\Gamma = R_\Gamma^T S_\Gamma R_\Gamma = \sum_{i=1}^N R_\Gamma^{(i)T} S_\Gamma^{(i)} R_\Gamma^{(i)}.$$

296

297 *Remark 4.1.* The BDDC preconditioner that we will introduce in the next Sec-
 298 tion for problem (4.5), makes the operator of the preconditioned problem symmetric
 299 and positive definite on the so-called "benign space", so we will be able to use the
 300 preconditioned conjugate gradient (CG) method to accelerate the solution.

301 **4.2. Construction of the preconditioner and convergence rate.** Follow-
 302 ing the standard BDDC framework for FEM [33], we mainly need to introduce two
 303 ingredients to handle this type of algorithm:

- 304 • $\widetilde{\mathbf{V}}_\Gamma = \widehat{\mathbf{V}}_\Pi \oplus \mathbf{V}_\Delta = \widehat{\mathbf{V}}_\Pi \oplus (\prod_{i=1}^N \mathbf{V}_\Delta^{(i)})$, that it is a partially assembled inter-
 305 face velocity space where, $\widehat{\mathbf{V}}_\Pi$ is the continuous coarse-level primal velocity
 306 space and \mathbf{V}_Δ is the complementary discontinuous one;
- 307 • an average operator $E_D = \widetilde{R} \widetilde{R}_D^T$, which maps $\widetilde{\mathbf{V}}_\Gamma \times Q_0$, with generally dis-
 308 continuous interface velocities, to elements with continuous interface velocities in
 309 the same space. Here, \widetilde{R} is defined by simply extending the interface restric-
 310 tion operator $\widetilde{R}_\Gamma : \widehat{\mathbf{V}}_\Gamma \rightarrow \widetilde{\mathbf{V}}_\Gamma$ to the space of piecewise constant pressures.
 311 $\widetilde{R}_{D,\Gamma}$ is its scaled version for which it holds $\widetilde{R}^T \widetilde{R}_{D,\Gamma} = I$.

312 The preconditioner for solving the global saddle-point problem (4.5) is then:

$$313 \quad (4.9) \quad M^{-1} = \widetilde{R}_D^T \widetilde{S}^{-1} \widetilde{R}_D,$$

314 where \widetilde{S} is the Schur complement system that arises using the partially assembled
 315 velocity interface functions. The action of this preconditioner can be split as a sum
 316 of a coarse saddle point problem defined on the interface and local problems on each
 317 subdomain.

318 *Remark 4.2.* As in the VEM two-dimensional case and in the FEM framework,
 319 the preconditioned problem is symmetric and positive definite on the so-called "benign
 320 space" $\widehat{\mathbf{V}}_{\Gamma,B} \times Q_0$ and $\widetilde{\mathbf{V}}_{\Gamma,B} \times Q_0$, where:

$$321 \quad \widehat{\mathbf{V}}_{\Gamma,B} = \{\mathbf{v}_\Gamma \in \widehat{\mathbf{V}}_\Gamma | \widehat{B}_{0\Gamma} \mathbf{v}_\Gamma = 0\} \quad \text{and} \quad \widetilde{\mathbf{V}}_{\Gamma,B} = \{\mathbf{v}_\Gamma \in \widetilde{\mathbf{V}}_\Gamma | \widetilde{B}_{0\Gamma} \mathbf{v}_\Gamma = 0\}.$$

322 This is a crucial observation, and, to ensure that the iterates of the preconditioned
 323 iterative method remain in this subspace, it is necessary that a no-net-flux condition
 324 (Assumption 1) holds:

326 ASSUMPTION 1. For any $\mathbf{v}_\Delta \in \mathbf{V}_\Delta$, $\int_{\partial\Omega_i} \mathbf{v}_\Delta^{(i)} \cdot \mathbf{n} = 0$ and $\int_{\partial\Omega_i} (E_D \mathbf{v}_\Delta)^{(i)} \cdot \mathbf{n} = 0$,
 327 where \mathbf{n} is the outward normal of $\partial\Omega_i$. We can equivalently write $B_{0\Delta}^{(i)} \mathbf{v}_\Delta^{(i)} = 0$ and
 328 $B_{0\Delta}^{(i)} (E_D \mathbf{v}_\Delta)^{(i)} = 0$.

329 The convergence rate of the preconditioned conjugate gradient method with a BDDC
 330 preconditioner is instead characterized by the stability of the norm of the average
 331 operator E_D :

332 ASSUMPTION 2. There exists a positive constant C , which is independent of H ,
 333 h , and the number of subdomains, such that

$$334 \quad |\widetilde{R}_\Gamma (E_{D,\Gamma} \mathbf{v}_\Gamma)|_{E(\Gamma)} \leq C \left(1 + \log \left(\frac{H}{h} \right) \right) |\widetilde{R}_\Gamma \mathbf{v}_\Gamma|_{E(\Gamma)}, \quad \forall \mathbf{v}_\Gamma \in \widetilde{\mathbf{V}}_\Gamma.$$

335

336 where $\bar{R}_\Gamma : \widehat{\mathbf{V}}_\Gamma \rightarrow \mathbf{V}_\Gamma$ maps a velocity function from the partially assembled space
 337 into the product one and we equipped the space $\mathbf{V}_\Gamma^{(i)}$ with the seminorm intro-
 338 duced in Lemma 2.2, and consequently the space \mathbf{V}_Γ with the seminorm $|\mathbf{v}_\Gamma|_{E(\Gamma)}^2 =$
 339 $\sum_{i=1}^N |\mathbf{v}_\Gamma^{(i)}|_{E(\Gamma_i)}^2$.
 340 Assumptions 1 and 2 are satisfied only for suitable choices of the primal dofs as we
 341 will show in Section 5. Combining them we have the quasi-optimal estimate [17]:

342 **THEOREM 4.3.** *Let Assumptions 1 and 2 hold. Then, the preconditioned operator*
 343 *$M^{-1}\widehat{S}$ is symmetric positive definite with respect to the bilinear form $\langle \cdot, \cdot \rangle_{\widehat{S}}$ on the*
 344 *benign space $\widehat{\mathbf{V}}_{\Gamma,B} \times Q_0$. Its minimum eigenvalue is 1 and its maximum eigenvalue*
 345 *is bounded by*

$$346 \quad (4.10) \quad C \frac{1}{\beta_h^2} \left(1 + \log \left(\frac{H}{h} \right) \right)^2.$$

348 Here, C is a constant independent of H , h , and the number of subdomains, and β_h is
 349 the discrete inf-sup stability constant.

350 **5. Some auxiliary Lemmas.** In this section, we define some seminorms and
 351 we recall some auxiliary lemmas that we need in the following section to satisfy the
 352 Assumption 1 and 2.

353 Firstly, we introduce the $|\cdot|_{S_\Gamma^{(i)}}$ and $|\cdot|_{S_\Gamma}$ seminorms defined by

$$354 \quad (5.1) \quad |\mathbf{v}_\Gamma^{(i)}|_{S_\Gamma^{(i)}}^2 = \mathbf{v}_\Gamma^{(i)T} S_\Gamma^{(i)} \mathbf{v}_\Gamma^{(i)}, \quad |\mathbf{v}_\Gamma|_{S_\Gamma}^2 = \mathbf{v}_\Gamma^T S_\Gamma \mathbf{v}_\Gamma = \sum_{i=1}^N |\mathbf{v}_\Gamma^{(i)}|_{S_\Gamma^{(i)}}^2,$$

356 and a seminorm on the space $\widehat{\mathbf{V}}_\Gamma$:

$$357 \quad |\mathbf{v}_\Gamma|_{\widehat{S}_\Gamma}^2 = \mathbf{v}_\Gamma^T \bar{R}_\Gamma^T S_\Gamma \bar{R}_\Gamma \mathbf{v}_\Gamma = |\mathbf{v}_\Gamma|_{S_\Gamma}^2, \quad \forall \mathbf{v}_\Gamma \in \widehat{\mathbf{V}}_\Gamma.$$

359 We recall Lemma 5.2 in [17], that gives us the equivalence of the seminorm just
 360 introduced and the one defined in Lemma 2.2:

361 **LEMMA 5.1.** *There exist positive constant c_1 and c_2 , independent of H , h and the*
 362 *shape of subdomains, such that*

$$363 \quad c_1 \beta_h^2 |\mathbf{v}_\Gamma|_{S_\Gamma}^2 \leq |\mathbf{v}_\Gamma|_{E(\Gamma)}^2 \leq c_2 |\mathbf{v}_\Gamma|_{S_\Gamma}^2 \quad \forall \mathbf{v}_\Gamma \in \mathbf{V}_\Gamma,$$

364 where β_h is the discrete inf-sup stability constant.

365 We need an optimal VEM interpolant designed for a Stokes problem (for the proof see
 366 the technical report of the present paper published in [16]) and a Riesz Basis Property,
 367 that gives us the equivalence between the $L^2(f)$ norm of a function in $\widehat{\mathbb{B}}_k(f)$ and the
 368 euclidean norm of the vector of its dofs, see Lemma 5.2 and 5.3, respectively.

369 **LEMMA 5.2.** *Let $\mathbf{v} \in [H^{1+s}(K)]^3$, $0 \leq s \leq 1$, there exist $\mathbf{v}_I \in \mathbf{V}_h(K)$ s.t.:*

$$370 \quad \|\mathbf{v} - \mathbf{v}_I\|_{0,K} + |\mathbf{v} - \mathbf{v}_I|_{1,K} \leq h^{1+s} |\mathbf{v}|_{1+s,\bar{K}}.$$

372 **LEMMA 5.3.** *Let be f a face of an element K . For all $\mathbf{v}_h \in [\widehat{\mathbb{B}}_k(f)]^3$ we have:*

$$373 \quad (5.2) \quad \int_f |\mathbf{v}_h|^2 \simeq h^2 \sum_{i \in \mathcal{X}} |\mathbf{D}_\mathbf{v}^i(\mathbf{v}_h)|^2,$$

375 where \mathcal{X} is the union of the set of dofs $\mathbf{D}_\mathbf{v}^1, \mathbf{D}_\mathbf{v}^2$ and $\mathbf{D}_\mathbf{v}^3$.

376 We do not provide the proof of this second Lemma because it is the natural vector
 377 extension of the ones in [15] and [22], with a slightly different choice for the dofs of
 378 the face velocity space. Moreover, it simply involves the Π_{k+1}^0 projection instead of
 379 Π_k^0 .

380 Finally we need to recall two lemmas that we need for estimating the contributions
 381 of the interface velocity functions defined on the edges and faces of the subdomains.
 382 The first one is the vectorial extension of the one in [15], and the second is a *face*
 383 *lemma*, see Lemma 5.4 and 5.5.

384 LEMMA 5.4. *Let be Ω_i a subdomain and let be F a face of Ω_i . Then for $\mathbf{v}_\Gamma \in \mathbf{V}_\Gamma|_F$
 385 we have*

$$386 \quad (5.3) \quad \|\mathbf{v}_\Gamma\|_{[L^2(\partial F)]^3} \lesssim \sqrt{1 + \log(H/h)} \|\mathbf{v}_\Gamma\|_{[H^{1/2}(F)]^3},$$

388 where the subscript $|F$ means that we are restricting the interface space \mathbf{V}_Γ on the
 389 face F .

390 LEMMA 5.5. *Let $\mathbf{v}_\Gamma \in \mathbf{V}_\Gamma^{(i)}$. Then, for all faces F of Ω_i it holds that $\theta_{\mathcal{F}_i} \mathbf{v}_\Gamma|_F \in$
 391 $[H_{00}^{1/2}(F)]^3$ and*

$$392 \quad (5.4) \quad \|\theta_{\mathcal{F}_i} \mathbf{v}_\Gamma\|_{[H_{00}^{1/2}(F)]^3}^2 \lesssim (1 + \log(H/h))^2 \|\mathbf{v}_\Gamma\|_{[H^{1/2}(F)]^3}^2,$$

394 where $[H_{00}^{1/2}(F)]^3$ is the space of functions \mathbf{v} whose extension by zero $\widehat{\mathbf{v}} \in [H^{1/2}(\mathbb{R}^2)]^3$
 395 and it is equipped with the norm $\|\mathbf{v}\|_{[H_{00}^{1/2}(F)]^3} = \|\widehat{\mathbf{v}}\|_{[H^{1/2}(\mathbb{R}^2)]^3}$.

396 The proof of this lemma follows the same procedure of the one in [15], where we use
 397 Lemma 5.2 to substitute the Scott-Zangh interpolation and Lemma 5.3 adapted in
 398 our framework.

399 **6. No-net flux condition and stability of the average operator.** In this
 400 section we provide a recipe to construct the coarse space in three dimensions. Fol-
 401 lowing [33], we recall that in three dimensions, the interface Γ of a subdomain Ω_i is
 402 constituted by faces \mathcal{F}_l shared by two subdomains, edges \mathcal{E}_k that are shared by more
 403 than two subdomains (the notation $\underline{\mathcal{E}}_k(\mathcal{F}_l)$ is to underline that the edge \mathcal{E}_k belongs
 404 to the boundary of face \mathcal{F}_l) and vertices V_j that are the endpoints of the edges. Now
 405 let be G any one of these geometrical entities and let be $\mathbf{v} \in \mathbf{V}$ a generic virtual
 406 function, we define the cut-off linear functional θ_G that maps a virtual function \mathbf{v} into
 407 another virtual function $\theta_G(\mathbf{v})$, that is equal to \mathbf{v} on all the dofs that belongs to G
 408 and 0 elsewhere, for simplicity we generally write $\theta_G \mathbf{v}$ instead of $\theta_G(\mathbf{v})$. We use the
 409 subscript \mathcal{F}_l to refer the dofs that live only in the interior of the face excluding the
 410 boundary face dofs. When a multi-subscript is present, like \mathcal{F}_{ij} , it means that the
 411 face is shared between the subdomains Ω_i and Ω_j .

412 Our proof will be for the standard cardinality scaling, where \widetilde{R}_D is obtained by mul-
 413 tiplying each row of \widetilde{R} that corresponds to a dual dof by $\delta^\dagger(x) := 1/\text{card}(I_x), \forall x \in \Gamma$,
 414 where I_x is the set of subdomains' indices that have the node x on their boundaries,
 415 and $\text{card}(\cdot)$ denotes the cardinality of the set. It is easy to extend it to a general
 416 scaling using the same techniques as in [37].

417 To satisfy Assumption 1, we first make all vertices primal, and then we require
 418 that, for any \mathbf{v}_Δ , the two quantities:

$$419 \quad (6.1) \quad \int_{\mathcal{F}_{ij}} \mathbf{v}_\Delta^{(i)} \cdot \mathbf{n}_{ij} = \int_{\mathcal{F}_{ij}} (\theta_{\mathcal{F}_{ij}} \mathbf{v}_\Delta^{(i)}) \cdot \mathbf{n}_{ij} + \sum_{\mathcal{E}_k \subset \mathcal{F}_{ij}} \int_{\mathcal{F}_{ij}} (\theta_{\mathcal{E}_k(\mathcal{F}_{ij})} \mathbf{v}_\Delta^{(i)}) \cdot \mathbf{n}_{ij}$$

420

421 and

$$\begin{aligned}
 & \int_{\mathcal{F}_{ij}} (E_D \mathbf{v})_{\Delta}^{(i)} \cdot \mathbf{n}_{ij} = \frac{1}{2} \int_{\mathcal{F}_{ij}} \theta_{\mathcal{F}_{ij}} (\mathbf{v}_{\Delta}^{(i)} + \mathbf{v}_{\Delta}^{(j)}) \cdot \mathbf{n}_{ij} \\
 & + \sum_{\mathcal{E}_k \subset \mathcal{F}_{ij}} \sum_{m \in \mathcal{N}_{\mathcal{E}_k}} \frac{1}{\text{card}(\mathcal{N}_{\mathcal{E}_k})} \int_{\mathcal{F}_{ij}} (\theta_{\mathcal{E}_k(\mathcal{F}_{ij})} \mathbf{v}_{\Delta}^{(m)}) \cdot \mathbf{n}_{ij}
 \end{aligned}
 \tag{6.2}$$

424 vanish, where $\mathcal{N}_{\mathcal{E}_k}$ is the set of all the subdomains that share the edge \mathcal{E}_k and \mathbf{n}_{ij}
 425 is the unit outward normal vector to the face \mathcal{F}_{ij} . To do so, we need that all the
 426 integrals of the right-hand side of (6.1) and (6.2) will vanish. This can be achieved
 427 by enforcing a primal constraint for each face \mathcal{F}_{ij} :

$$\int_{\mathcal{F}_{ij}} (\theta_{\mathcal{F}_{ij}} \mathbf{v}_{\Gamma}^{(i)}) \cdot \mathbf{n}_{ij} = \int_{\mathcal{F}_{ij}} (\theta_{\mathcal{F}_{ij}} \mathbf{v}_{\Gamma}^{(j)}) \cdot \mathbf{n}_{ij}
 \tag{6.3}$$

430 and a set of primal constraints requiring that for each edge \mathcal{E}_k , on each face \mathcal{F}_{ij} , the
 431 following quantity is the same for all $m \in \mathcal{N}_{\mathcal{E}_k}$:

$$\int_{\mathcal{F}_{ij}} (\theta_{\mathcal{E}_k(\mathcal{F}_{ij})} \mathbf{v}_{\Gamma}^{(m)}) \cdot \mathbf{n}_{ij}
 \tag{6.4}$$

434 To ensure constraint (6.3), we need one primal variable per face, while, to ensure
 435 constraint (6.4), we need as many primal variables as the number of faces which share
 436 the edge \mathcal{E}_k . We remark that in our VEM context, the quantities in (6.3) and (6.4) are
 437 directly computable from the dofs introduced in Section 3. For particular subdomain
 438 partitions, such as those with cubic subdomains and hexahedral elements, it might
 439 happen that some of the primal basis functions are linearly dependent; this situation
 440 is harmless in practice since we can perform a singular value decomposition of the
 441 basis dofs and obtain non-singular coarse operators.

442 To satisfy Assumption 2, we have to ensure that we have the right type of con-
 443 straints that can control the rigid body modes (at least six constraints: the three
 444 translations and the three rotations). Given the fact that the coefficients of the Stokes
 445 problem are all the same for each subdomain and that the vertices of the subdomains
 446 have been selected as primal constraints, we can prove that the second Assumption
 447 is satisfied if also all the faces of the interface Γ are *fully primal* in the sense of the
 448 following definition (see [31, def. 5.3]):

449 **DEFINITION 6.1.** *A face \mathcal{F}_{ij} is called fully primal if, in the space of primal con-*
 450 *straints over \mathcal{F}_{ij} , there exists a set f_m , $m = 1, \dots, 6$, of linear functionals on $\mathbf{V}_{\Gamma}^{(i)}$ with*
 451 *the following properties:*

- 452 • $|f_m(\mathbf{v}_{\Gamma}^{(i)})|^2 \leq CH^{-1}(1 + \log(H/h)) \|\mathbf{v}_{\Gamma}^{(i)}\|_{H^{1/2}(\mathcal{F}_{ij})}^2$;
- 453 • $f_m(\mathbf{r}_l) = \delta_{ml} \quad \forall m, l = 1, \dots, 6 \quad \mathbf{r}_l \in \ker(\varepsilon)$,

454 with $C > 0$ and $\mathbf{v}_{\Gamma}^{(i)} \in \mathbf{V}_{\Gamma}^{(i)}$.

455 We recall that, to satisfy Assumption 1, we have chosen as primal constraints some
 456 averages of the normal component of the velocity over the edges. In Section 7 of
 457 [33] (see also further details in [31]), it is shown that this choice of primal dofs is
 458 sufficient to guarantee a set of functionals that makes the face fully primal. It is
 459 essential to underline that in some particular cases, like triangular or rectangular
 460 faces, some of these constraints can be linearly dependent and it is necessary to
 461 introduce some extra edge average in the tangential direction. This condition can

462 be verified numerically because the selection of a set of linearly independent set of
 463 constraints can be computed using a QR factorization and selecting six functionals
 464 that are robustly independent.

465 We are ready to state the lemma related to the stability of the average operator:

466 LEMMA 6.2. *For all $\mathbf{v}_\Gamma \in \tilde{\mathbf{V}}_\Gamma$ holds that:*

$$467 \quad (6.5) \quad |E_D \mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}}^2 \lesssim C \frac{1}{\beta^2} \left(1 + \log \left(\frac{H}{h}\right)\right)^2 |\mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}}^2,$$

469 where C is a positive constant that is independent on h, H and β_h , but it can depend
 470 on the degree k of the virtual element discretization.

471 *Proof.* Let consider $\mathbf{v}_\Gamma \in \tilde{\mathbf{V}}_\Gamma$ and we define $\mathbf{w}_\Gamma := E_D \mathbf{v}_\Gamma$. We have:

$$473 \quad (6.6) \quad |\mathbf{w}_\Gamma|_{\tilde{\mathcal{S}}} = |\mathbf{w}_\Gamma - \mathbf{v}_\Gamma + \mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}} \leq |\mathbf{w}_\Gamma - \mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}} + |\mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}}.$$

474 Since all the vertices of the subdomains are primal, we can rewrite:

$$475 \quad (6.7) \quad |\mathbf{w}_\Gamma - \mathbf{v}_\Gamma|_{\tilde{\mathcal{S}}} = \sum_{i=1}^N |\mathbf{w}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(i)}|_{\mathcal{S}_\Gamma^{(i)}}$$

477 and for each subdomain Ω_i we can also use the split:

$$478 \quad (6.8) \quad \mathbf{w}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(i)} = \sum_{\mathcal{F}_{ij} \subset \partial\Omega_i} \theta_{\mathcal{F}_{ij}}(\mathbf{w}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(i)}) + \sum_{\mathcal{E}_k \subset \partial\Omega_i} \theta_{\mathcal{E}_k}(\mathbf{w}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(i)})$$

480 Recalling that a face \mathcal{F}_{ij} is shared by two subdomains i, j and using the explicit
 481 definition of E_D :

$$482 \quad \mathbf{w}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(i)} = \frac{1}{2}(\mathbf{v}_\Gamma^{(i)} + \mathbf{v}_\Gamma^{(j)}) - \mathbf{v}_\Gamma^{(i)} = \mathbf{v}_\Gamma^{(j)} - \mathbf{v}_\Gamma^{(i)}.$$

484 Starting from the face contributions, we write:

$$485 \quad (6.9) \quad \mathbf{v}_\Gamma^{(j)} - \mathbf{v}_\Gamma^{(i)} = \left(\mathbf{v}_\Gamma^{(j)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(j)}) \mathbf{r}_m \right) - \left(\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m \right)$$

487 where $\{\mathbf{r}_m\}$ for $m = 1, \dots, 6$ is the basis for the rigid body modes and the $f_m^{\mathcal{F}_{ij}}(\cdot)$ are
 488 the functionals that are equal for the faces i and j since the faces are fully primal.

489 For an arbitrary rigid body mode, $\mathbf{r}^{(i)} \in \mathbf{V}_\Gamma^{(i)}$ we write:

$$490 \quad (6.10) \quad \mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m = (\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}) - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}) \mathbf{r}_m$$

492 We can estimate the first term of the right-hand side using lemma 5.5:

$$493 \quad (6.11) \quad \|\theta_{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)})\|_{[H_{00}^{1/2}(\mathcal{F}_{ij})]^3} \lesssim (1 + \log(H/h)) \|\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}\|_{[H^{1/2}(\mathcal{F}_{ij})]^3}.$$

495 Then we consider the second term of (6.10), and we estimate it using two additional
 496 contributions, by lemma 8 in [31]:

$$497 \quad (6.12) \quad \|\theta_{\mathcal{F}_{ij}} \mathbf{r}_m^{(i)}\|_{[H_{00}^{1/2}(\mathcal{F}_{ij})]^3} \lesssim H(1 + \log(H/h))$$

499 and by the definition of fully primal face (6.1) and (5.4) we have:

$$500 \quad (6.13) \quad |f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)})| \lesssim \frac{1}{H} (1 + \log(H/h)) \|\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}\|_{[H^{1/2}(\mathcal{F}_{ij})]^3}.$$

502 Then combining the previous two estimates we have:

$$503 \quad (6.14) \quad \|\theta_{\mathcal{F}_{ij}} \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}) \mathbf{r}_m\|_{[H_0^{1/2}(\mathcal{F}_{ij})]^3} \lesssim (1 + \log(H/h)) \|\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}\|_{[H^{1/2}(\mathcal{F}_{ij})]^3}.$$

505 By triangular inequality and since $\mathbf{r}^{(i)}$ is an arbitrary rigid body mode, we can take
506 the minimum of over all the modes and by Lemma 2.2:

$$507 \quad (6.15) \quad \|\theta_{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m)\|_{[H_0^{1/2}(\mathcal{F}_{ij})]^3} \lesssim \|\theta_{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)})\|_{[H_0^{1/2}(\mathcal{F}_{ij})]^3} +$$

$$\|\theta_{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}) \mathbf{r}_m)\|_{[H_0^{1/2}(\mathcal{F}_{ij})]^3} \lesssim$$

$$508 (1 + \log(H/h)) \|\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}\|_{[H^{1/2}(\mathcal{F}_{ij})]^3} \lesssim (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(i)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}$$

509 We can repeat in the same way for the j th term and obtain:

$$510 \quad (6.16) \quad \|\theta_{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(j)})\|_{[H_0^{1/2}(\mathcal{F}_{ij})]^3} \lesssim (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(i)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}$$

$$511 \quad + (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(j)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}$$

512 Regarding the edge terms, we need to estimate contributions that depend on the
513 number of subdomains that share the edge. We propose the estimate for one of these
514 contributions since the others are treated similarly. We consider an edge $\mathcal{E}_k \subset \partial\mathcal{F}_{ij}$,
515 by the fact that all the faces are fully primal, we can reduce these terms to face
516 estimates, we write:

$$517 \quad (6.17) \quad \|\mathbf{v}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(j)}\|_{[L^2(\mathcal{E}_k)]^3}^2 \lesssim \|\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3}^2$$

$$518 \quad + \|\mathbf{v}_\Gamma^{(j)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(j)}) \mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3}^2$$

519 We proceed again considering an arbitrary rigid body mode $\mathbf{r}^{(i)} \in \mathbf{V}_\Gamma^{(i)}$. Using the
520 triangular inequality and (5.4):

$$521 \quad (6.18) \quad \|\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3}^2 \lesssim \|\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}\|_{[L^2(\mathcal{E}_k)]^3}^2 +$$

$$\left\| \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)}) \mathbf{r}_m \right\|_{[L^2(\mathcal{E}_k)]^3}^2 \lesssim (1 + \log(H/h)) \|\mathbf{v}_\Gamma^{(i)}\|_{[H^{1/2}(\mathcal{F}_{ij})]^3}^2 +$$

$$522 \quad \sum_{m=1}^6 |f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)} - \mathbf{r}^{(i)})|^2 \|\mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3}^2.$$

523 It can be proved that $\|\mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3} \lesssim H$ ([32]), and now using (6.13) and minimizing
524 again on all over the rigid body modes:

$$525 \quad (6.19) \quad \|\mathbf{v}_\Gamma^{(i)} - \sum_{m=1}^6 f_m^{\mathcal{F}_{ij}}(\mathbf{v}_\Gamma^{(i)}) \mathbf{r}_m\|_{[L^2(\mathcal{E}_k)]^3}^2 \lesssim (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(j)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}^2.$$

527 We have an analogous result for the j th term and obtain:

$$528 \quad (6.20) \quad \|\mathbf{v}_\Gamma^{(i)} - \mathbf{v}_\Gamma^{(j)}\|_{[L^2(\mathcal{E}_k)]^3}^2 \lesssim (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(i)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}^2 \\ 529 \quad \quad \quad + (1 + \log(H/h)) |\mathbf{v}_\Gamma^{(j)}|_{[H^{1/2}(\mathcal{F}_{ij})]^3}^2.$$

530 We conclude by Lemma 5.1, combining (6.16) and (6.20) by summing over the sub-
531 domains. \square

532 Assumption 2 is then obtained by combining Lemma 6.2 and 5.1.

533 **7. Adaptivity.** We recall here an adaptive technique to enrich the minimal primal
534 space \mathbf{V}_Γ [25, 36]. The idea is to solve generalized eigenvalue problems defined
535 on each subdomain face \mathcal{F} and edge \mathcal{E} and then construct an enriched primal space
536 such that the condition number of the preconditioned system will be bounded from
537 above by a selected $\nu_{tol} \in [1, \infty)$ times a constant independent on h, H and N . To
538 construct an adaptive coarse space, we need to settle in a deluxe scaling context [37].
539 For each face \mathcal{F} shared by two subdomains i, j , we consider the principal minors of
540 the subdomain matrices $S_T^{(k)}$ with $k = i, j$:

$$541 \quad (7.1) \quad S_{\mathcal{F}\mathcal{F}}^{(k)} := R_{\mathcal{F}}^{(k)} S_T^{(k)} R_{\mathcal{F}}^{(k)T},$$

542 where $R_{\mathcal{F}}^{(k)}$ maps $\mathbf{V}_\Gamma^{(k)}$ to the dofs located on F . Then we split the matrices as follows

$$543 \quad (7.2) \quad S_{\mathcal{F}\mathcal{F}}^{(k)} = \begin{bmatrix} S_{\mathcal{F}'\mathcal{F}'}^{(k)} & S_{\mathcal{F}'\mathcal{F}_\Delta}^{(k)} \\ S_{\mathcal{F}'\mathcal{F}_\Delta}^{(k)T} & S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(k)} \end{bmatrix}, \quad k = i, j$$

544 where \mathcal{F}_Δ is the dual set of the dofs associated to the face \mathcal{F} and $\mathcal{F}' := \Gamma_i \setminus \mathcal{F}_\Delta$. We
545 introduce the Schur complements:

$$546 \quad (7.3) \quad \tilde{S}_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(k)} = S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(k)} - S_{\mathcal{F}'\mathcal{F}_\Delta}^{(k)T} S_{\mathcal{F}'\mathcal{F}'}^{(k)-1} S_{\mathcal{F}'\mathcal{F}_\Delta}^{(k)}, \quad k = i, j.$$

547 and then we solve the following eigenvalue problems:

$$548 \quad (7.4) \quad \tilde{S}_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(i)} : \tilde{S}_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(j)} \psi = \nu S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(i)} : S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(j)} \psi$$

549 where $A : B = (A^{-1} + B^{-1})^{-1}$, finally we choose the element of the primal space as
550 $S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(i)} : S_{\mathcal{F}_\Delta\mathcal{F}_\Delta}^{(j)} \Psi$, where Ψ is the matrix formed column-wise by those eigenvectors
551 associated with eigenvalues smaller than a fixed tolerance $1/\nu_{tol}$.

552 Analogously we repeat the same process for any edge \mathcal{E} . Assuming that the edge
553 \mathcal{E} is shared by $\mathcal{N}_\mathcal{E}$ subdomains, we define as in (7.1),(7.2) and (7.3), for $k \in \mathcal{N}_\mathcal{E}$ the
554 matrices $S_{\mathcal{E}\mathcal{E}}^{(k)}$, $S_{\mathcal{E}\mathcal{E}}^{(k)}$ and $\tilde{S}_{\mathcal{E}_\Delta\mathcal{E}_\Delta}^{(k)}$. Then we solve the eigenvalues problem:

$$555 \quad \left(\sum_{i \in \mathcal{N}_\mathcal{E}} \tilde{S}_{\mathcal{E}_\Delta\mathcal{E}_\Delta}^{(i)-1} \right) \psi = \left(\sum_{i \in \mathcal{N}_\mathcal{E}} S_{\mathcal{E}_\Delta\mathcal{E}_\Delta}^{(i)-1} \right) \psi$$

556 and we select the elements of the primal space as $(\sum_{i \in \mathcal{N}_\varepsilon} \tilde{S}_{\varepsilon_\Delta \varepsilon_\Delta}^{(i)-1})\Psi$, where Ψ , again
 557 is the matrix formed column-wise by those eigenvectors associated with eigenvalues
 558 smaller than a fixed tolerance $1/\nu_{tol}$.

559 We do not provide a proof of the following theorem, and we remand to [25] for
 560 further details:

561 **THEOREM 7.1.** *Let the dual space satisfy the no-net-flux condition given in (1)*
 562 *and let the average operator preserve subdomain normal fluxes as in (6.3) and (6.4).*
 563 *Then, $M^{-1}S$ is symmetric positive definite on the subspace $\hat{\mathbf{V}}_{\Gamma,B} \times Q_0$; the minimum*
 564 *eigenvalue is 1, and we can algebraically construct a primal space \mathbf{V}_Γ such that:*

$$565 \quad (7.5) \quad \kappa_2(M^{-1}S) \leq C\nu_{tol}, \quad \forall \nu_{tol} \in [1, \infty),$$

567 where C is independent of N, h , and H .

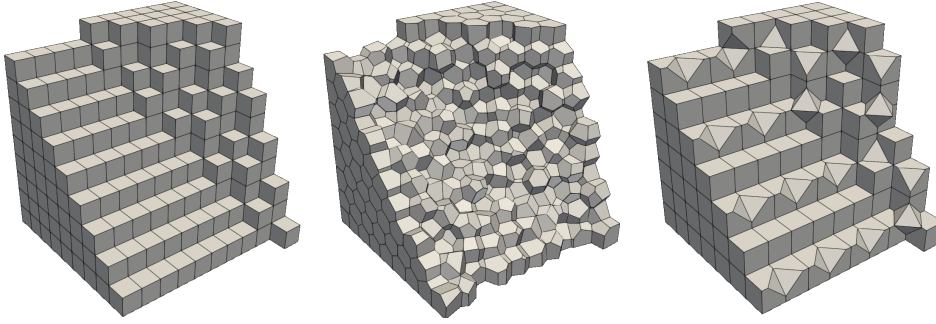


FIG. 1. Example of CUBE, OCTA and CVT mesh discretization.

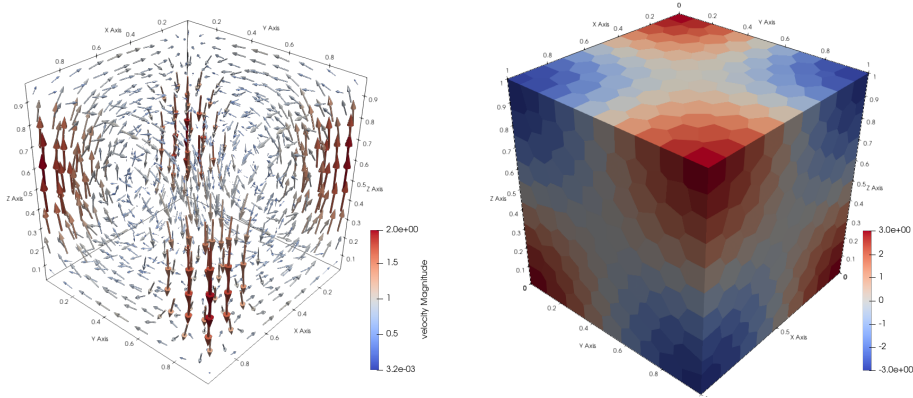


FIG. 2. 3D plot of the solution for the velocity and the pressure for our test case.

568 **8. Numerical Results.** In this Section, we report the numerical results to val-
 569 idate our theoretical estimates of the BDDC algorithm for solving the Stokes model
 570 problem (2.5). Unless otherwise stated, we solve a problem on the unit cube $[0, 1]^3$

procs	S^{sid}	T_{ass}	S_p	$\nu_{tol} = 2$				$\nu_{tol} = \infty$				
				N_{Π}	it	T_{sol}	S_p	N_{Π}	it	T_{sol}	S_p	
CUBE	4	1299		447	8	129		4	21	132		
	8	871	1.5	1145	9	46	2.8	21	31	62	2.1	
	16	418	3.1	2195	9	29	4.4	51	41	35	3.8	
	32	202	6.4	4577	9	12	10.8	125	55	16	8.3	
	64	105	12.4	7831	9	5	25.8	311	58	6	22.0	
	128	32	53	24.5	13411	9	4	32.3	643	61	5	26.4
	256	64	27	48.1	22723	9	5	25.8	1399	62	5	26.4
CVT	4	2456		661	9	754		15	38	711		
	8	1423	1.7	1655	10	334	2.3	130	41	248	2.9	
	16	816	3.0	3289	10	157	4.8	337	42	174	4.1	
	32	400	6.1	6641	10	61	12.4	905	46	66	10.8	
	64	216	11.4	10355	10	21	35.9	2326	33	21	33.9	
	128	32	126	19.5	17566	11	11	68.5	4398	32	9	79.0
	256	64	72	34.1	27429	11	9	83.8	10608	32	8	93.2
OCTA	4	3225		476	8	127		7	26	152		
	8	1637	2.0	1217	8	50	2.6	21	32	59	2.6	
	16	838	3.9	2299	8	30	4.3	51	41	36	4.2	
	32	434	7.4	4793	8	12	10.5	125	57	16	9.5	
	64	218	14.8	8063	8	5	24.0	311	64	7	21.7	
	128	32	116	27.8	14342	9	6	21.9	643	76	5	30.4
	256	64	56	57.6	26708	9	5	23.5	1676	70	7	21.7

TABLE 1

Test 1. Strong Scaling with $k = 2$. Number of elements for CUBE = 13824, CVT = 4000 and OCTA = 15552.

	nEl	nDofs	$\nu_{tol} = 2$			$\nu_{tol} = \infty$		
			N_{Π}	it	k_2	N_{Π}	it	k_2
CUBE	4096	124195	2861	9	1.59	125	44	44.67
	8000	239763	3644	9	1.62	152	50	54.53
	13824	408243	4577	9	1.57	125	54	63.98
	21952	643387	5257	9	1.64	152	58	72.37
	32768	954947	6141	9	1.57	125	62	80.63
CVT	125	8945	1192	12	2.29	633	22	7.7
	1000	76051	3666	10	2.01	801	32	16.09
	2000	154067	5018	10	1.93	829	40	28.35
	4000	311155	6700	10	1.92	836	46	37.42
	8000	626455	8890	10	1.90	833	56	53.10
OCTA	576	22035	1313	9	1.76	125	36	26.15
	4608	166179	3065	8	1.52	125	40	37.19
	9000	320763	4571	9	1.64	157	57	47.31
	15552	549939	4793	8	1.53	125	57	70.78
	30375	1065693	8513	10	1.85	474	57	46.62

TABLE 2

Test 2. Optimality test with respect to the mesh size, with $k = 2$ and procs = 32.

571 with a known analytical solution (Figure 2) whose vector velocity field has zero nor-
572 mal component on all the faces of the cube. The PDE we are solving has Neumann
573 boundary conditions on the top and the bottom faces, and homogeneous Dirichlet
574 boundary conditions on the others. The BDDC method is used as a preconditioner
575 for system (4.5), which is solved by the CG method with a stopping criterion of a
576 10^{-8} reduction of the l^2 -norm of the relative residual. We consider three types of
577 meshes: hexahedral (Cube), octahedral (Octa), and Voronoi (CVT), see Figure 1.
578 Our distributed memory implementation is based on the PETSc library [8]. We refer

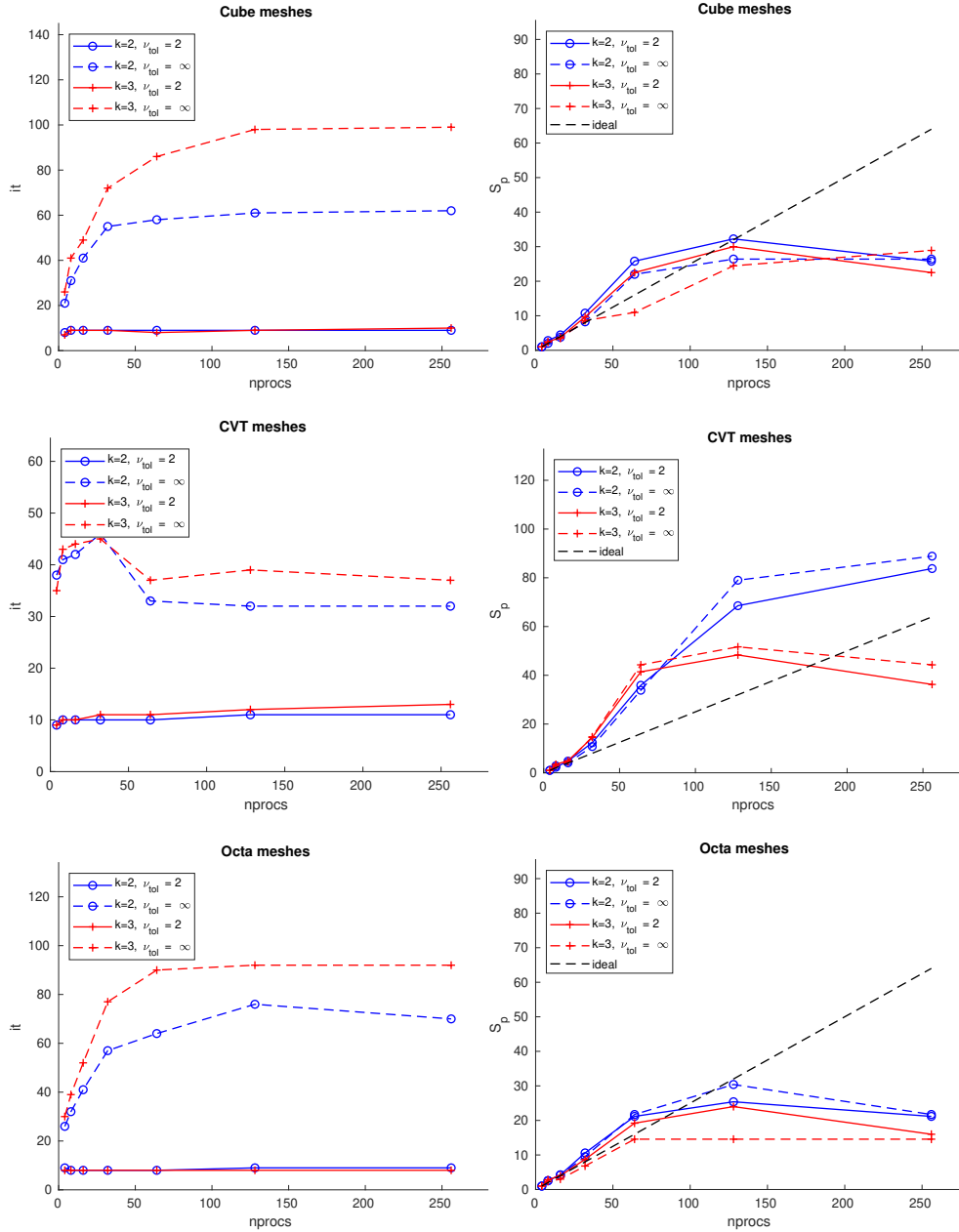


FIG. 3. Test 1: strong scaling. Iteration of the CG (left) and parallel speedup (right) with the BDDC preconditioners for the two different primal spaces for different meshes and degrees of VEM discretizations.

579 to [36] for the details related to the BDDC implementation in PETSc.

580 In our experiments, we compare two different choices of primal spaces, corre-
 581 sponding to tolerances $\nu_{tol} = 2$ and $\nu_{tol} = \infty$. The first one represents the adaptive
 582 coarse space built to keep the condition number under the fixed tolerance $\nu_{tol} = 2$.

	k	nDofs	$\nu_{tol} = 2$			$\nu_{tol} = \infty$		
			N_{Π}	it	k_2	N_{Π}	it	k_2
CUBE	2	16 787	1 273	9	1.81	125	34	23.86
	3	40 667	2 683	8	1.44	125	49	46.34
	4	76 387	4 495	8	1.49	125	64	80.67
CVT	2	8 945	1 192	12	2.29	633	22	7.7
	3	19 256	2 444	12	2.26	633	31	14.89
	4	33 487	4 604	14	3.02	633	46	28.86
OCTA	2	22 035	1 313	9	1.76	125	36	26.15
	3	52 251	2 847	8	1.46	125	55	53.66
	4	96 675	4 951	9	1.61	125	75	91.81

TABLE 3

Test 3. Optimality Test Increasing the polynomial degree k with $procs = 32$ and number of elements for CUBE = 512, CVT = 125 and OCTA = 576.

	nEl	k	nDofs	MUMPS		Block-Schur		Block-Mass		BDDC	
				T_{sol}	it	T_{sol}	it	T_{sol}	it	T_{sol}	
CUBE	32 768	2	954 947	297	705	110	1809	202	21	26	
	13 824	3	1 009 803	416	NC	NC	NC	NC	18	22	
	8 000	4	1 119 523	465	NC	NC	NC	NC	13	34	
CVT	8 000	2	627 455	913	568	134	1353	277	16	58	
	4 000	3	666 301	971	NC	NC	NC	NC	17	103	
	2 000	4	571 696	842	NC	NC	NC	NC	22	93	
OCTA	30 375	2	1 065 693	285	893	180	2591	381	21	60	
	15 552	3	1 322 571	355	NC	NC	NC	NC	19	73	
	9 000	4	1 436 523	548	NC	NC	NC	NC	34	97	

TABLE 4

Test 4. Solver Comparison. Performance comparison among different parallel solver with $procs = 64$.

583 The latter represents the minimal coarse spaces created as explained in Section 4 to
 584 satisfy Assumptions 1 and 2. We also compare the BDDC algorithms against our
 585 previous block-diagonal preconditioners [23], and the parallel direct solver MUMPS
 586 [3, 4]. We conclude by testing the robustness of our adaptive BDDC algorithm on a
 587 benchmark problem with variable viscosity. All the numerical tests presented in the
 588 following have been performed on the Linux cluster INDACO (www.indaco.unimi.it)
 589 of the University of Milan, constituted by 16 nodes, each carrying 2 INTEL XEON
 590 E5-2683V4 processors at 2.1 GHz, with 16 cores each.

591 In the tables we use the following notation: $procs$ = number of CPU cores,
 592 nEl = number of VEM elements, k = degree of VEM approximation, $nDofs$ = number
 593 of dofs, N_{Π} = number of primal constraints, it = iteration count (GMRES for Block-
 594 Schur and Mass, CG for BDDC), k_2 = conditioning number, T_{ass} = time to assemble
 595 the stiffness matrix and the right-hand side, T_{sol} = time to solve the interface saddle
 596 point problem and S^{id} = ideal speed up, S_p = parallel speed up.

597 **8.1. Test 1: strong scaling.** We first study the strong scalability of our solvers.
 598 We keep fixed the global number of dofs and the degree of the VEM approximation k ,
 599 while we increase the number of processors from 4 to 256. We consider a CUBE mesh
 600 with 408 243 dofs, a CVT mesh with 311 155 dofs and an OCTA mesh with 549 939
 601 dofs. Denoting by p the number of processors, the parallel speedup is defined as:

$$602 \quad S_p := \frac{\text{CPU time with 4 processors}}{\text{CPU time with } p \text{ processors}}.$$

603

$DR(\nu)$		$1e+2$		$1e+4$		$1e+6$		$k=2$					
n		it	k_2	it	k_2	it	k_2	ν_{tol}	N_{Π}	it	k_2	T_{sol}	
CUBE	1	19	7.7	20	7.6	19	7.7	CUBE	2	9876	17	4.9	7
	5	19	7.7	19	7.6	19	8.4		10	2657	30	11.7	6
	10	19	7.6	19	7.6	19	8.5		100	973	77	107.4	9
	20	19	7.4	19	7.7	19	6.1		∞	311	2003	1.70E+05	285
CVT	1	15	4.8	16	5.2	17	6.1	CVT	2	13054	15	4.0	25
	5	15	4.8	17	5.8	19	8.1		10	4123	34	14.1	24
	10	15	5.1	17	6.1	20	8.1		100	2547	78	74.6	31
	20	17	7.7	20	9.7	28	15.2		∞	2326	166	6.60E+02	48
OCTA	1	19	7.4	19	7.6	20	8.4	OCTA	2	10180	11	2.2	7
	5	18	7.2	19	7.6	19	8.4		10	2463	30	11.3	6
	10	18	6.8	20	8.8	19	8.9		100	897	66	59.5	9
	20	18	7.0	19	7.6	18	8.4		∞	311	1906	1.75E+05	287

TABLE 5

Test 5. Multi-sinker benchmark problem with $procs = 64$ and number of elements for CUBE = 13824, CVT = 4000 and OCTA = 15552. On the left a test increasing the coefficient ratio of the heterogeneity, on the right a test comparing different values for the ν_{tol} of the adaptive coarse space against the minimal one

604 In Table 1, we report the results related to the three polyhedral meshes with $k = 2$.
605 In Figure 3, we plot the number of iterations and the parallel speedup for the case
606 $k = 3$. We observe that the CPU time T_{ass} , needed to assemble the stiffness matrix
607 and the right-hand-side is scalable, with a speedup very close to the ideal ones. The
608 adaptive BDDC method ($\nu_{tol} = 2$) results algorithmically scalable since the number
609 of CG iterations remains bounded and the solution time decreases as the number of
610 the processors increases. The parallel speedup shows a superlinear rate and improves
611 only up to the point where communication times start to dominate, as usual in the
612 strong scaling tests of domain decomposition methods where local problems are solved
613 using direct factorizations. The differences between CUBE, OCTA and CVT meshes
614 are due to the different sparsity patterns of the local subdomain problems. Also, the
615 minimal coarse space results are scalable for the degree $k = 2$ and 3, with the same
616 behavior as the adaptive BDDC.

617 **8.2. Test 2: optimality test with respect to the mesh size.** We now
618 perform an optimality test with respect to the mesh size: we keep fixed the number
619 of processors at 32 and the degree of the VEM discretization $k = 2$, and we increase
620 the number of dofs by refining the mesh. The results are reported in Table 2. We
621 observe that the adaptive solver has an optimal behavior irrespective of the type
622 of polyhedral mesh considered since the number of iterations is independent of the
623 refinement level. The minimal coarse space shows a quasi-optimal behavior since
624 both the iteration count and the condition number exhibit a logarithmic growth as
625 predicted by Theorem 4.3. Similar results also occur for the cases $k = 3$ and 4.

626 **8.3. Test 3: optimality test with respect to the polynomial degree.** In
627 this test, we study the robustness of our preconditioners when increasing the polyno-
628 mial degree of the VEM discretization. The tests are performed by keeping fixed the
629 number of processors (32) and the number of elements. The results reported in Table
630 3 show that the adaptive BDDC algorithm is robust with respect to the polynomial
631 degree in all meshes. The BDDC solver with minimal coarse space instead exhibits
632 a slight increase of the condition number and iterations count when the degree k

633 increases.

634 **8.4. Test 4: solvers comparison.** In Table 4, we compare the performance of
 635 the CG method accelerated by the adaptive BDDC preconditioner against the direct
 636 solver MUMPS, the GMRES method accelerated by the Block-Schur preconditioner
 637 proposed in [24], and preconditioned GMRES by the Block-Mass preconditioner pro-
 638 posed in [28]. The Block-Schur preconditioner is of the form:

$$639 \quad B = \begin{bmatrix} \text{diag}(A)^{-1} & 0 \\ 0 & \tilde{S}^{-1} \end{bmatrix}$$

640 where $\tilde{S} = -B\text{diag}(A)^{-1}B^T$ is the approximate Schur complement of the system
 641 (3.19) and the inversion of this matrix is performed by MUMPS, while the latter
 642 substitutes the bottom right block with the mass matrix for the pressure. We can
 643 see that the adaptive BDDC is significantly faster than the other solvers for all the
 644 meshes considered and for the three different degrees of the VEM discretization. We
 645 also note that both the Schur complement based preconditioners are not robust for
 646 the degree $k = 3$ and 4, since the GMRES method does not converge (NC in the
 647 table).

648 **8.5. Test 5: Multi-sinker benchmark problem.** To consider a practical
 649 application, as in [35], we conclude by testing the robustness of our adaptive BDDC
 650 algorithm on a benchmark problem with heterogeneous viscosity. We perform a multi-
 651 sinker test problem with inclusions of equal size placed randomly in the unit cube
 652 domain so that they can overlap and intersect the interface among the subdomains.
 653 The viscosity coefficient $\nu(\mathbf{x})$ is defined in terms of a C^∞ indicator function $\chi_n(\mathbf{x}) \in$
 654 $[0, 1]$ that accumulates n sinkers via the product of modified Gaussian functions, see
 655 [35] for more details about these functions. In this way, the viscosity exhibits sharp
 656 gradients, and its dynamic ratio $DR(\nu) := \nu_{max}/\nu_{min}$ in our study can be up to six
 657 orders of magnitude. We fix the mesh element size and the number of processes at
 658 64, and we study the iteration count and condition number of the adaptive BDDC
 659 algorithm with $\nu_{tol} = 5$, varying the dynamic ratio $DR(\nu)$ from 1 to $1e + 6$, and the
 660 number of sinkers n from 1 to 20. The results reported in the left panel of Table 5,
 661 obtained on different polyhedral meshes and for a VEM discretization of degree $k = 2$,
 662 show the robustness of our adaptive preconditioner since the number of iterations and
 663 the condition number are independent of the number of sinkers and the viscosity ratio.
 664 We also report a test by varying the tolerance ν_{tol} to highlight the need for an adaptive
 665 coarse space in terms of computational timings.

666 **9. Conclusions.** We have analyzed BDDC preconditioners for the saddle-point
 667 linear system arising from a divergence free VEM discretization of the three-dimensional
 668 incompressible Stokes equations. The numerical tests have validated the convergence
 669 estimates, showing the scalability and quasi-optimality of the algorithm, under appro-
 670 priate choices of the coarse space. We have also investigated an adaptive technique to
 671 enrich the coarse space, based on deluxe scaling functions, that is more robust than
 672 the minimal coarse space with respect to the order of VEM approximation. We have
 673 also shown that the adaptive BDDC method outperforms in terms of CPU time other
 674 competitive solvers and that it is robust on a challenging multi-sinker test case.

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677

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