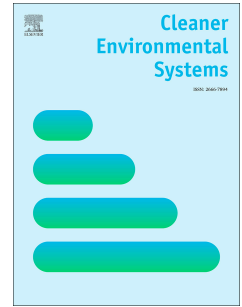


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Efficiency analysis of watermelon under plastic film mulching systems

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Abstract:

Iran is the main watermelon producer in the world after China and Turkey. However, there is no practical information on which region, tillage and cultivation systems can achieve the most efficient watermelon production system in Iran. This study was conducted to assess the most efficient ways of watermelon production in three agroecosystems (cold, moderate, warm) of Iran using Data Envelopment Analysis. The data were collected from two tillage systems (reduced and conventional) and two groups of watermelon cultivations (conventional system and plastic film mulching system). Accordingly, twelve watermelon production systems were under the study including all inputs and outputs. Finally, an Analytical Hierarchy Process model was used to determine the best region and the best combination of cultivation and tillage systems for watermelon production. The technical and pure technical efficiencies of watermelon production systems were respectively 89% to 100%. The Analytical Hierarchy Process model determined that the most efficient watermelon production systems were from combination of plastic film mulching system and reduced tillage in cold and moderate agroecosystem which reduced water and diesel fuel consumption by 500 to 2500 m³/ha followed by fertilizers by 100 to 150 kg/ha while efficiencies are enhanced by 20% to 32%.

32 Keywords: technical efficiency, low input production, Analytical Hierarchy Process.

33

34 **1. Introduction**

35 Different inputs such as fertilizers, pesticides, fuel, water and machinery are used in
36 agriculture and all have some related carbon footprints. Nitrogen fertilizers are the most
37 important direct source of GHG emissions, accounting for around 30% in agricultural fields [1].
38 Fuel use in different farm operations is also another main source of GHG emissions.
39 Unreasonable application of inputs into agriculture leads to higher economic costs and higher
40 GHG emissions which in turn will cause a warmer Earth, and unwanted irreversible climate
41 changes [2].

42 An efficient production means that all inputs are used properly with minimum waste. In this
43 regard, an efficient food production system is essential for sustainable agriculture and to meet the
44 requirements of growing population in the world. The efficiency criterion is a potentially rich
45 concept, with a wide range of interpretations, from the ratio of output/ inputs to Pareto
46 efficiency. It forms the bedrock of policy, planning and business approaches to sustainable
47 development and generally defined as the ratio of outputs to inputs [3].

48 Water saving cultivation systems such as plastic film mulching (PFM) are popular in Iran
49 especially in cold areas. Indeed, Iranian farmers consider the effect of PFM on the improvement
50 of soil temperature more than its effect on the soil moisture conditions [4]. Generally, farmers
51 believe that watermelon production under PFM is better than conventional cultivation. Lovell [5]
52 defines the efficiency of a production unit in terms of a comparison between observed and
53 optimal values of its output and input. The DEA is a linear programming-based efficiency
54 evaluation method which considers each DMU (Decision Making Unit; *i.e.* watermelon farmers

55 in this study) separately [6]. This technique aims to measure how efficiently a DMU uses the
56 resources available to generate a set of outputs [7]. In addition, the efficiency can be assessed by
57 including several inputs and outputs while the unit of data is not necessarily similar [8]. The
58 efficiencies estimated by using DEA are relative, not absolute (*i.e.* relative to the best performing
59 DMU) [9]. These factors, besides focusing on individual observations, make the DEA more
60 useful compared to some parametric approaches like regression [10].

61 The DMU's efficiency can be measured with regard to inputs (input-oriented), outputs
62 (output-oriented) or both inputs and outputs in the DEA models. Input-oriented models, for
63 instance, determine the efficiency in such a way that the levels of inputs are minimized while the
64 levels of outputs are maintained constant [11]. However, it seems that the reduction in an input
65 and gaining a fixed level of an output is possible in firms; *i.e.* banks, factories or industrial
66 conditions. Since the agricultural conditions are not as stationary as a firm or an industrial
67 factory, the reduction in inputs may change the levels of outputs. A large number of agricultural
68 studies have mainly focused on technical, pure technical and scale efficiencies while very few
69 studied other types of efficiencies [12-14].

70 Watermelon is an economically important crop worldwide and is among the top five
71 consumed fresh fruits with a planting area of about 3.5 million hectares in the world
72 (<http://www.fao.org/>). The variation in inputs and yield is high among agroecosystems even with
73 similar soil and weather conditions from one farm to another in Iran. Farmers use different types
74 of tillage and cultivation systems. The combined effects of these factors should be analyzed
75 correctly with suitable models to guide farmers toward efficient production.

76 To answer this challenge, in this study, the DEA modeling was employed to measure the
77 efficiency of watermelon production. The main contribution of the first step is to show how
78 watermelon production can be produced with lower burdens on the environment by using

79 optimum inputs considering combination of agroecosystems, cultivation and tillage systems in
80 the framework of 12 treatments.

81 Since different efficiency scores were obtained for each treatment in the present study, an
82 important goal was to understand which treatment is the best choice for the watermelon
83 production. AHP is combined with DEA to estimate, for instance, the weights of different
84 objectives for decision makers or to rank DMUs [15]. Indeed, AHP which needs the “ordinal”
85 pair-wise comparisons of attributes [16], is applied in this stage of study to rank the 12
86 treatments. In the second step, the analytical hierarchy process (AHP) was employed to rank the
87 treatments based on all efficiency scores to determine the best treatment for watermelon
88 production. This step was essential to reveal the best decision for watermelon production.

89

90 **2. Methodology**

91 This study was undertaken to evaluate the optimum range of inputs for watermelon
92 production in the farming year 2021 in three agroecosystems of Fars province, Southwest Iran.
93 The agroecosystems are Abadeh (cold), Marvdasht (moderate) and Jahrom (warm) and have
94 distinctive climatic characteristics. Fars province was chosen since it is the top watermelon
95 producer in the country including 15000 ha of watermelon [17].

96 The province is located within $27^{\circ} 03'$ and $31^{\circ} 40'$ north latitude and $50^{\circ} 36'$ and $55^{\circ} 35'$ east
97 longitude. The selected agroecosystem in this study is known as the best watermelon producing
98 area of the province. Marvdasht agroecosystem has a moderate temperature in summer ranging
99 from 15 to 37 degrees centigrade. Due to this suitable weather and the existence of medium to
100 high irrigation water and fertile soil, the agroecosystem has the highest average yield in the
101 country. However, the mean annual precipitation of this agroecosystem is around 300-500

102 mm/year. Abadeh agroecosystem of the province is not warm with temperature ranging from 15
 103 to 37 degrees centigrade in summer with mean annual precipitation of 500-700 mm/year. Jahrom
 104 is located in the warm agroecosystem of the province and the mean, minimum and maximum
 105 temperatures of the agroecosystem are 20.8°C, 11°C and 44°C, respectively. The mean annual
 106 precipitation of Fars province is around 400-600 mm/year, but in the warm climate of Jahrom
 107 (south of Fars) it reaches up to 100 mm/year.

108 Watermelon growing farmers were chosen from these three agroecosystems as: Marvdasht
 109 (G1), Abadeh (G2), Jahrom (G3). Two tillage systems were considered as: conventional (T1) and
 110 reduced tillage (T2) systems, and two cultivation systems as: conventional (S1) and plastic film
 111 mulching (S2)(Fig. 1). The machinery used in conventional tillage system are: moldboard plow +
 112 disk harrow + row crop planter; the machinery used in reduced tillage system are: chisel plow
 113 combined with roller + row crop planter. The working depth of tilling machines in both the
 114 systems is around 30 cm.

115 Accordingly, 12 groups of farmers were arranged as treatments in the experiments (*i.e.*
 116 3×2×2). The nested factorial design was applied in this study to assess the individual (G, S and
 117 T) and bivariate effects (G×S, G×T, S×T and G×S×T) of factors on efficiency scores. Using
 118 ANOVA, the means were compared by the Duncan post-hoc test. All the statistical analyses of
 119 variances were made by SPSS 21.

120 The desired sample size of farmers was calculated by Equation 1 [18]:

$$121 \quad n = \frac{(N \times Z^2 \times p \times q)}{(N \times d^2 + Z^2 \times p \times q)} \quad (1)$$

122
 123 Where: n is the required sample size; N is the number of holdings in target population; Z is
 124 the reliability coefficient (1.96 which represents the 95% reliability); p is equal to 0.5; q is equal

125 to 0.5; d is the precision ($\bar{x}-\bar{X}$) which is equal to 0.05 in this study. Accordingly, 300 farmers
 126 were selected from a total of 1326 watermelon growing farmers as following:

$$127 \quad n = \frac{(1326 \times 1.96^2 \times 0.5 \times 0.5)}{(1326 \times 0.05^2 + 1.96^2 \times 0.5 \times 0.5)} \approx 300$$

128

129 [Insert Fig. 1]

130 **2.1. DEA technique**

131 To assess the efficiency of each watermelon farmer, the DEA technique was applied. Four
 132 models were employed to evaluate technical, pure technical, scale, slack based, mix and super
 133 efficiencies using the DEA Excel Solver professional 4.1 (SAITECH, Inc., USA). The
 134 efficiencies are explained in sections 2.1.1 and 2.1.2.

135 The DEA was used by three and two inputs and outputs, respectively. In DEA model
 136 watermelon yield was considered as good output and carbon emission as bad output. It means
 137 that lower carbon emission and higher watermelon yield increase the efficiency of farmers. The
 138 inputs of DEA models were: fertilizers (F), seed and chemicals (SC) and water and diesel fuel
 139 (WF), while the combined inputs were used with the same unit. Human power was not
 140 considered as an input in DEA models since all the agroecosystems used similar range of human
 141 power. The input-oriented models were employed in this study.

142

143 **2.1.1. Technical, Pure technical and scale efficiencies**

144 Technical efficiency is the ability of conversion inputs to outputs [19]. This efficiency is the
 145 ratio of sum of weighted outputs to the sum of weighted inputs in a fractional form [20]. This

146 fractional ratio was developed in a linear form using linear programming (LP) which called
 147 CCR¹ model as follows [21]:

$$148 \quad \text{Max: } \theta = u_1 y_{1i} + u_2 y_{2i} + \dots + u_r y_{ri} \quad (2)$$

$$149 \quad \text{Subject to: } V_1 X_{1i} + V_2 X_{2i} + \dots + V_S X_{Si} = 1 \quad (3)$$

$$150 \quad u_1 y_{1j} + u_2 y_{2j} + \dots + u_r y_{rj} \leq V_1 X_{1j} + V_2 X_{2j} + \dots + V_S X_{Sj} \quad (4)$$

$$151 \quad u_1, u_2, \dots, u_r \geq 0 \quad (5)$$

$$152 \quad V_1, V_2, \dots, V_S \geq 0, \text{ and } (i \text{ and } j = 1, 2, \dots, K) \quad (6)$$

153

154 where ‘ θ ’ is the technical efficiency, ‘ i ’ and ‘ j ’ are the i^{th} and j^{th} DMUs, ‘ x ’ and ‘ y ’ are the
 155 input and output, and ‘ v ’ and ‘ u ’ are the input and output weights, respectively, ‘ s ’ is the number
 156 of inputs ($s = 1, 2, \dots, m$) and ‘ r ’ is the number of outputs ($r = 1, 2, \dots, n$).

157 Technical efficiency includes pure and scale efficiencies. The BCC² model measures the pure
 158 technical efficiency [22]. This efficiency has also been called management efficiency [13, 23,
 159 24] which shows the share of DMU’s management on the technical efficiency. The BCC model
 160 can be expressed by Dual Linear Program (DLP) as [22]:

$$161 \quad \text{Max: } Z = u y_i - u_i \quad (7)$$

$$162 \quad \text{Subject to: } V X_i = 1 \quad (8)$$

$$163 \quad -v X + u Y - u_o e \leq 0 \quad (9)$$

$$164 \quad v \geq 0, u \geq 0 \quad (10)$$

165

166 Where z and u_o are scalar and free in sign, ‘ i ’ and ‘ j ’ are the i^{th} and j^{th} DMUs, ‘ x ’ and ‘ y ’ are
 167 the input and output, and ‘ v ’ and ‘ u ’ are the input and output weights.

¹ . CCR: Charnes, Cooper and Rhodes

² . BCC: Banker, Charnes and Cooper.

168 The efficiency is calculated in CCR with the assumption that return to scale is constant while
 169 return to scale is assumed to be variable in the BCC. Generally, the CCR efficiency does not
 170 exceed BCC efficiency [20]. Both the CCR and BCC models were applied in input-oriented
 171 forms since the aim was to reduce the level of inputs in the watermelon production. Another part
 172 of technical efficiency depends on the DMU's working conditions which is called scale
 173 efficiency. This efficiency represents the effect of conditions on the DMU's efficiency and is
 174 defined as follows [25]:

175

$$176 \text{ Scale efficiency} = \frac{\text{Technical efficiency}}{\text{Pure technical efficiency}} \quad (11)$$

177

178 The working conditions for economic firms (such as banks) are considered as the firm size,
 179 the number of clerks and so on [26]. It is proposed that the conditions in agriculture can be
 180 divided into two groups. The first group of conditions is under farmers' control and changeable;
 181 *i.e.* the size of farms, the farming technology including farm machines and irrigation system etc.
 182 The second group of conditions is uncontrollable; *i.e.* the weather, soil texture and structure and
 183 so on.

184

185 **1.1.2. SBM, mix and super efficiencies**

186 The model SBM³ considers both inputs and outputs to measure the DMUs' efficiencies. This
 187 would be beneficial since the decrease and increase respectively in inputs and outputs are
 188 achieved simultaneously.

³ . SBM: Slack Based Measure.

189 The SBM is introduced to evaluate the efficiency based on the slack values [27]. The SBM
 190 efficiency score is less than CCR efficiency score, and CCR inefficient DMU never becomes
 191 SBM efficient. The SBM model is formulated as follows [28]:

$$192 \text{ Max: } \sum_{i=1}^m S_{i^-} + \sum_{r=1}^s S_{r^+} \quad (12)$$

$$193 \text{ Subject to: } \sum_{j=1}^n \lambda_j x_{ij} + S_{i^-} = x_{io} \quad i = 1, 2, \dots, m; \quad (13)$$

$$194 \sum_{j=1}^n \lambda_j y_{rj} - S_{r^+} = y_{ro} \quad r = 1, 2, \dots, s; \quad (14)$$

$$195 \lambda_j, S_{i^-}, S_{r^+} \geq 0 \quad (15)$$

196

197 Where S_{i^-} and S_{r^+} are the excess and shortfall in inputs and outputs, respectively. Various
 198 SBM models have been developed so far, although there may be some differences between the
 199 analytical methods used [27].

200 The SBM includes technical and mix efficiencies. The mix inefficiency appears when only
 201 some (but not all) inputs (or outputs) are identified as displaying efficient behavior. In other
 202 words, mix efficiency shows the best combination of inputs. The mix efficiency is:

203

$$204 \text{ Mix efficiency} = \frac{SBM}{\text{Technical efficiency}} = \frac{SBM}{\text{Pure technical efficiency} \times \text{Scale efficiency}} \quad (16)$$

205

206 Super efficiency allows the efficiency scores to be more than 100% to rank the efficient
 207 DMUs. However, this efficiency was used in this study to determine the best farmers which were
 208 called “excellent farmers”. The super efficiency model is formulated as follows [20, 29]:

209

$$210 \text{ Min: } \theta^{super} \quad (17)$$

$$211 \quad \text{Subject to: } \sum_{j=1, j \neq 0}^n \lambda_j x_{ij} \leq \theta^{super} x_{io} \quad i = 1, 2, \dots, m; \quad (18)$$

$$212 \quad \sum_{j=1, j \neq 0}^n \lambda_j y_{rj} \geq y_{ro} \quad r = 1, 2, \dots, s; \quad (19)$$

$$213 \quad \lambda_j, j \neq 0 \quad (20)$$

214

215 Where ‘ θ^{super} ’ is the super efficiency. It was expected that sustainability and efficiency scores
 216 would remarkably be enhanced by following excellent farmers since such the farmers produce
 217 the highest level of outputs using the lowest level of inputs.

218

219 2.2. AHP

220 The AHP was employed to determine the most secure system for watermelon production.
 221 Since the basic of AHP can be found in many books and previous studies, it is not repeated here.
 222 The ranking of production systems, as the main objective of this study, stays in the first level or
 223 main goal in the AHP model (Fig. 2). The twelve treatments were considered as the alternatives.
 224 The ‘efficiency models’ was put on a hierarchical structure as the criteria:

225 *Efficiency criteria:*

226 SC1: Technical efficiency (TE);

227 SC 2: Pure technical efficiency (PTE);

228 SC 3: Scale efficiency;

229 SC 4: Slack based measure efficiency (SBM);

230 SC5: Mix efficiency;

231 SC6: Super efficiency.

232

233 [Insert Fig. 2]

234

235 17 experts in agriculture, environment and economy were asked to make the pairwise
236 comparisons between the efficiency sub-criteria to obtain the weights. All the inconsistency
237 indices were lower than 0.1 which was important to avoid inconsistent pairwise comparisons
238 [30]. The judgment of each expert was entered into the AHP model and the average of the final
239 weights was considered as the main weight. The Expert Choice software (version 11, Expert
240 Choice Inc.) was used to calculate the weight of each criterion and finally the ranks of
241 alternatives; *i.e.* treatments.

242

243 3. Results and discussion

244 3.1. Inputs and output of watermelon production systems

245 The data show that the highest watermelon yield is produced in treatment G1.S2.T2 with
246 20.94 ton/ha (Table 1). The yield is higher in PFM farms (S2) and conservation tillage system
247 (T2) by around 1.2 ton/ha in each agroecosystem. Water use is also lower in PFM farms by
248 around 3300 m³/ha (around 560 kg CO₂) due mainly to water saving under plastic films.
249 Although higher seed is used in agroecosystem 3, lower yield is produced in this agroecosystem
250 which would lead to lower efficiency in this agroecosystem. Fewer farm machines are used in T2
251 system, especially at soil tilling stages, which leads to around 35 L/ha lower diesel fuel
252 consumption and 177 kg/ha lower carbon emission in conservation tillage systems.

253

254

[Insert Table 1]

255 Chisel plow is the common equipment used in T2 systems which works deeper than usual
256 tillage equipment like moldboard plow. Using flood irrigation systems combined with chisel
257 plow would lead to higher water consumption and lower yield since most irrigation water
258 infiltrated into deeper soil layers. Large amounts of fertilizers are currently used and wasted in

259 Iranian farms since most farmers use various types of fertilizers without conducting soil
260 sampling. This problem is annoying particularly in small farms using conventional cultivation
261 system.

262 Many studies evaluated the amounts of inputs and outputs of different crops while there are
263 few studies on the inputs and outputs of watermelon production. A study revealed that the
264 highest energy inputs for watermelon production in Hamedan province of Iran were nitrogen,
265 water and diesel fuel of around 61.6%, 20% and 8.6%, respectively [31]. Another study in
266 Hamedan province of Iran showed that energy consumption of owners and non-owners of farm
267 machinery was 67674.24 MJ/ha and 68788.37 MJ/ha, respectively [32]. Rostami et al., (2018)
268 stated that watermelon production needed 79601.66 MJ/ha and 78163.86 MJ/ha under custom
269 tillage and conservation tillage application, respectively [33]. A study in Guilan province of Iran
270 showed that 40228.98 MJ/ha energy is consumed in watermelon production with the highest
271 energy input of nitrogen fertilizer and diesel fuel of around 69.6% and 8.6%, respectively [34].

272 A study in Turkey evaluated that fertilizer and diesel fuel are the main inputs for watermelon
273 production. The consumption of nitrogen fertilizer in Turkey is close to that of Iran at around 60
274 kg/ha, while Turkish watermelon growing farmers consumed around 100 L/ha diesel fuel, 40
275 L/ha more than that of Iranian farmers [35]. Nitrogen, electricity and diesel fuel are consumed
276 more than other inputs in watermelon production in northeast of Iran [36]. A study in India
277 stated that the cost of seed, manure and fertilizer and irrigation water were more than other
278 inputs for watermelon production [37]. The Nigerian farmers consume around 25 kg/ha fertilizer
279 to obtain around 650 kg/ha of watermelon [38].

280

281 **3.2. Efficiency analysis using DEA**

282 The results of the DEA models show that the averages of technical efficiencies are almost
283 high, ranging from 89% to 97% (Table 2). The highest technical efficiency belongs to treatment
284 G1.S2.T2 with 97% and the lowest come from treatments G1.S1.T1 and G1.S1.T2 with 89%.

285 In spite of the close average technical efficiencies in the treatments, the percentages of
286 efficient farmers (100% efficiency) are much different between the treatments. Treatments
287 G1.S2.T2 and G3.S1.T2 contain the highest and the lowest percentage of efficient farmers with
288 86.62% and 28.57%, respectively. Since the model CCR includes both the BCC and scale
289 efficiencies, technical inefficiency is due to farmers' management (pure technical inefficiency)
290 or agricultural conditions (scale inefficiency). Hence, the BCC and scale efficiencies should be
291 analyzed carefully to find the reasons of farmers' technical inefficiencies.

292 [Insert table 2]
293

294 The output of model BCC shows that 97% to 99% of farmers are highly pure technical
295 efficient (Table 2). High pure technical efficiency displays two aspects: the first is that the
296 farmers are able to manage their farms properly, and the second is that the large portions of
297 technical inefficiencies are because of scale inefficiencies rather than pure technical
298 inefficiencies. In other words, it can be said that farmers' technical efficiencies are affected by
299 agricultural conditions (scale efficiency) not their management.

300 The scale inefficiency in each agroecosystem come from some variables such as farm size,
301 cultivation type, irrigation technology, farm machinery and tillage systems. The other variables
302 such as type of seed, chemical and fertilizer would affect the scale efficiency, but they are
303 ignored in this study since similar chemicals, fertilizers and seed variety are used in the study
304 area. Although some comments are not supported by data given in Tables 1-6, the comments are
305 given based on the local authors' experiences. The averages of scale efficiencies are rather

306 higher in T2 compared to T1 (G1.S1.T1 versus G1.S1.T2 and G1.S2.T1 versus G1.S2.T2) and
307 S2 compared to S1 (G1.S1.T1 versus G1.S2.T1 and G1.S1.T2 versus G1.S2.T2). Statistically,
308 Table 3 confirms that scale efficiency is significantly affected by agroecosystems (G) and
309 cultivation systems (S) at $P < 0.01$ and $P < 0.001$ significance levels, respectively. Although the
310 effect of tillage (T) is not statistically significant, it is near to 0.05 probability level by 0.065. It is
311 clear that scale efficiencies must be different between the agroecosystems since the
312 agroecosystems have different soil and weather characteristics which is not under farmers'
313 control. However, the cultivation and tillage system are controllable variables which can be
314 changed in the favor of higher efficiency.

315 [Insert table 3]
316

317 The highest scale efficiencies in each agroecosystem are obtained when the reduced tillage
318 (T2) is employed in PFM farms (S2); *i.e.* the treatments G1.S2.T2 (98%), G2.S2.T2 (97%) and
319 G3.S2.T2 (95%). Consequently, the highest technical efficiencies are also obtained from these
320 three treatments by 97%, 96% and 93%, respectively. The obvious difference between
321 conventional and PFM farms may be related to irrigation systems since tube system is more used
322 in PFM farms while furrow irrigation is mainly employed in conventional farms. Some previous
323 studies confirm that PFM farming achieve higher yield and efficiency [39].

324 The distinct difference between T1 and T2 is due to the farm preparation machines in addition
325 to the higher stubble and consequent hard furrow irrigation in T2. T2 leads to higher efficiency
326 in PFM farms mainly due to modern irrigation technology, better soil temperature and humidity
327 since similar tractors and equipment are used in S1 and S2 farms.

328 Adversely, T2 in S1, which furrow irrigation is commonly used, results in a lower efficiency
329 (the treatments G1.S1.T2, G2.S1.T2 and G3.S1.T2) which implies the importance of soil and
330 water management [40].

331 The efficiency scores are reduced by around 10% to 20% in the model SBM compared to the
332 CCR. As discussed, the SBM includes the results of both technical and mix efficiencies which
333 display that the SBM inefficiency contains pure technical, scale and mix inefficiencies. The
334 ranges of mix efficiencies show that inefficiencies in the SBM are due mainly to mix, not
335 technical inefficiencies. The mix inefficiency explains that mixes of inputs are not properly
336 applied or some (but not all) inputs or outputs are identified as exhibiting inefficient behavior.
337 Following the result of SBM is practically essential to reach higher mix efficiencies, since the
338 model suggests all necessary decreases and increases in inputs and outputs. The excesses and
339 shortfalls respectively in inputs and outputs are shown in Fig. 3 which guides inefficient farmers
340 to improve their efficiency up to 100%. The “excesses in inputs” shows which inputs are
341 consumed extravagantly. The ‘shortfall in output’ means that the yield should be increased
342 according to the inputs.

343 Fig. 4 shows that the highest reduction should be applied on water and diesel fuel (WF) by
344 500 to 2500 m³/ha followed by fertilizers (F) by 100 to 150 kg/ha. This result is similar to a
345 study in West of Iran where fertilizer and water are over-utilized in watermelon production [32].
346 Another study in North of Iran shows that nitrogen and fuel are used extravagantly [33].

347 Additionally, the yield should be increased by 3.2 to 4.6 ton/ha in all treatments which imply
348 higher income and consequent economic sustainability. Although the averages are discussed
349 here, the DEA help inefficient farmers one by one to increase their efficiencies. The detailed
350 results of the SBM model in Table 4 show that the inefficient farmer 37 should follow the
351 efficient farmer 28 to be an efficient one. It clearly guides the inefficient farmer to decrease F,

352 CS and WF by 50 kg/ha, 4 kg/ha and 2400 m³/ha, respectively to reach the farmer 28. These
353 reduction lead to less GHG emissions accordingly.

354 [Insert Fig. 3]

355 [Insert Fig. 4]

356 The result of super efficiency determines the excellent farmers who have the highest outputs
357 with the lowest inputs. The super efficient farmers prove that highly efficient watermelon
358 production is possible. Despite high averages of super efficiencies in different treatments, only
359 10-30% of farmers are super efficient. Hence, noticeable enhancements in efficiency scores of
360 watermelon production are expected if inefficient farmers follow the super efficient ones
361 properly.

362 [Insert table 4]

363

364 **3.2.1. Efficiency analysis based on tillage systems (T)**

365 Efficiency scores based on tillage systems in Table 4 show that T2 leads to higher technical
366 and scale efficiencies by 1% to 5% compared to T1 (G1-T2, G2-T2 and G3.T2 versus G1.T1,
367 G2-T1 and G3.T1, respectively). The highest technical and scale efficient farmers are located in
368 the treatment G1 and T2 with 36.99% and 39.73%, respectively. The higher average of technical
369 and scale efficiencies of T2, in addition to higher efficient farmers, both display that the reduced
370 tillage system is a more secure technology for the watermelon production. The frequencies of
371 farmers show that all the technical, pure technical and scale efficiencies are above 50%.
372 However, higher percentages of T1 farmers are pure technical efficient by around 6% to 9%
373 which indicates that farmers cannot manage the reduced tillage system as well as the
374 conventional. As the last section shows, the PFM farmers (S2) have higher technical and scale
375 efficiencies. As Table 4 shows, the farmer 79 should follow the farmer 26 to be efficient by

376 decreasing CS and WF by 4.44 kg/ha and 4514.65 m³/ha, respectively. The detailed analysis also
377 shows that in T1 and T2 groups, a higher percentage of PFM farmers, by 60% to 70%, are
378 determined as efficient particularly when T2 is applied. Therefore, it can be again confirmed that
379 T2 is a suitable tillage system for the agroecosystem especially when farmers use plastic film to
380 mulch watermelons.

381 The model SBM reveals that higher SBM scores are obtained from T2 in G1 and G2. Both the
382 models SBM and super efficiency indicate higher percentages of efficient farmers in T2. To
383 practically increase the efficiency, Fig. 4 shows that T1 needs higher reduction on water and fuel
384 (WF) and fertilizers (F) in G1 and G2 (treatments G1-T1 and G2-T1 versus G1-T2 and G2-T2,
385 respectively).

386

387 **3.2.2. Efficiency analysis based on cultivation systems (S)**

388 The result of DEA models explains that PFM farms lead to higher technical, scale, SBM, mix
389 and super efficiency scores by around 2% to 14% (G1-S1 to G3-S2 in Table 5). Pure technical
390 efficiencies show that farmers have almost similar managements in conventional and PFM
391 farms. Despite this, higher scale efficiencies are obtained in PFM farms of 3% to 7%. Hence, this
392 suggests that watermelon production is more beneficial when PFM is applied.

393

[Insert table 5]

394

395 The SBM scores are higher in PFM farms. Since both cultivation systems have similar pure
396 technical efficiencies, it is clear that the SBM inefficiency is due, mainly, to scale and mix
397 inefficiencies. To enhance the efficiencies based on the model SBM, Fig. 5 shows that necessary
398 reduction in water and diesel fuel (WF) consumption is higher in conventional cultivation system
399 (S1) by around 100-3400 m³/ha.

400 As discussed, the PFM farmers use more modern irrigation systems, especially tube, which
401 leads to lower water consumption. Watermelon yield is expected to increase by around 2.5-4.8
402 ton/ha (Fig. 5). Detail assessments revealed that the high cost of modern irrigation systems
403 inhibits some farmers from using them. Based on the agricultural experts' idea, low interest
404 government loans may be an effective way to support farmers to use modern irrigation systems.

405 The averages of super efficiencies indicate that higher percentages of excellent farmers are in
406 PFM farms. Despite this, only 15% to 40% of farmers are super efficient which display that high
407 numbers of inefficient farmers in both groups (S1 and S2) have to follow the efficient ones if
408 reaching to higher efficiency is desired.

409 [Insert Fig. 5]

411 3.3. Most efficient system to produce watermelon

412 As described, the efficiency scores (Table 6) were entered into the AHP model based on the
413 weights from experts' pair-wise comparisons. The weights of 'efficiency' criteria including TE,
414 PTE, scale, SBM, mix and super efficiencies are 0.143, 0.163, 0.152, 0.152, 0.275 and 0.116,
415 respectively.

416 The results show that the most secure watermelon production system is the combination of
417 G1-S2-T2 (Fig. 6). It means that the best combination of region, cultivation and tillage system
418 for watermelon production is using conservation tillage system with mulching by plastic film in
419 region 1.

420 Close consideration of Fig. 6 reveals that the focus should be on the T2 and S2 (first two
421 choices) while PFM (S2) is more important even when the farmers use T1 (compare the first and
422 the second choices versus the third and the fourth ones).

423 [Insert table 6]

424

425 The higher ranks of the third and the fourth treatments versus the fifth and the sixth treatments
426 indicate that the watermelon production by conventional tillage system in PFM farms; *i.e.* S2-T1
427 is more suitable than the conservation tillage in conventional farms; *i.e.* S1-T2. Since the four
428 last ranks are related to G3, it is strongly suggested that some suitable crops should be introduced
429 to farmers to be planted as the substitute for watermelon.

430 [Insert Fig. 6]

431 **4. Conclusion**

432 Four DEA models were used in the study to assess the efficiency of watermelon production.
433 Plastic film mulching (S2) and reduced tillage system (T2) led to higher scale efficiencies.
434 However, finding the optimum farm size for watermelon production is recommended for future
435 studies. When reduced tillage was used in PFM farms (*i.e.* T2 in S2), the efficiency, particularly
436 scale efficiency, was enhanced. Accordingly, it is suggested that employing plastic film
437 technologies would result in higher scale and consequently technical efficiencies. Water and fuel
438 (WF) and fertilizers (F) were used extravagantly by the highest excess of 500 to 2500 m³/ha and
439 50 to 150 kg/ha, respectively according to the SBM results. The inefficient use of water, fuel and
440 fertilizers by watermelon farmers can be explained, at least in part, by the Iranian government's
441 policies of subsidizing farm input. These subsidies were meant to boost the outputs whereas the
442 results from the present study showed that they are often ineffective, causing a waste of
443 resources. The outcome of the AHP model showed that the best treatment for the watermelon
444 production was G1-S2-T2, *i.e.* using reduced tillage system with PFM in agroecosystem 1. The
445 four first ranks of AHP analysis displayed that the focus should be on the production in PFM
446 farms accompanied with reduced tillage system.

447 Agriculture is responsible for a remarkable environmental impact, Nevertheless, there is still
448 room for improvement. However, the possibility to identify solutions able to increase the
449 efficiency and/or reduce the environmental impact of agricultural systems relies on the analysis
450 of the actual situation for the different production systems. An advantage of the current study is
451 that the result of the research offers substantial insight into the empirical assessment of the
452 efficiency at farm level, which is essential for policy making. Indeed, policy makers may need
453 farm-level indicators for a variety of reasons, including the evaluation of competing green claims
454 from the farming community and the identification of the need for new policies to mitigate the
455 environmental impacts of agricultural policies [41]. Another advantage of this study is that
456 different DEA models were considered to evaluate efficiencies from different aspects. However,
457 efficiency analysis of several years may open new windows for policy makers which is
458 recommended for future studies.

459 Our article, therefore, shows that efficiency scores constitute a first step in the design of
460 policy measures aimed at enhancing efficiency at the farm and local farming level. In addition, it
461 is suggested that the combined effects of tillage systems and cultivation systems on the
462 sustainability and efficiency should be investigated in detail in future studies.

463 There are some uncontrolled inputs in agriculture such as weather, soil conditions, etc. One
464 shortfall of this study was that these inputs were not considered in the efficiency analyses which
465 can be used in DEA models as “uncontrolled inputs” in future studies. Another shortfall of the
466 study is that the inputs of the DEA models could have been energy data. The energy use for
467 plastic production can be considered in the analyses. This idea is suggested for future studies.

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595 **Table 1: Inputs and yield of watermelon farms**

Item	Treatments ^a											
	G1_S1_T1	G1_S1_T2	G1_S2_T1	G1_S2_T2	G2_S1_T1	G2_S1_T2	G2_S2_T1	G2_S2_T2	G3_S1_T1	G3_S1_T2	G3_S2_T1	G3_S2_T2
Diesel fuel (L/ha)	104.77	65.92	102.38	63.41	110.24	72.67	107.73	68.31	116.03	76.06	114.75	68.85
Nitrogen (kg/ha)	254.47	241.05	257.5	227.86	261.1	269.39	269.29	237.86	200.71	253.57	212.14	243.57
phosphate, potash and micro fertilizers (kg/ha)	88.99	81.18	98.95	91.9	74.49	72.5	75.3	87.23	52.39	58.2	58.23	64.4
chemical pesticides (kg/ha)	3.98	3.82	3.16	3.91	3.78	4.57	3.87	4.43	3.84	4.1	3.27	3.98
Water (1000 m³/ha)	7.78	8.76	6.69	6.59	7.73	9.01	6.76	6.76	8.1	9.81	8.62	9.11
Seed (kg/ha)	9.43	9.53	8.91	9.74	9.19	10.14	9.8	9.4	10.8	11.23	10.46	11.03
Yield (ton/ha)	19.03	19.54	20.22	20.94	18.14	19.2	20.13	20.77	14.23	15.75	14.88	16.16

596 ^aG1, G2 and G3 are moderate, cold and warm agroecosystems, respectively; T1 and T2 are conventional and reduced tillage systems,
597 respectively; S1 and S2 are conventional cultivation and plastic film mulching, respectively.

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Table 2: The mean of farmers' efficiency scores according to each treatment

		Treatments											
		G1_S1_T1	G1_S1_T2	G1_S2_T1	G1_S2_T2	G2_S1_T1	G2_S1_T2	G2_S2_T1	G2_S2_T2	G3_S1_T1	G3_S1_T2	G3_S2_T1	G3_S2_T2
No. of farmers		55	22	26	20	34	20	20	20	20	20	20	20
CCR model (technical efficiency)													
	Efficient	18	6	13	12	17	7	11	9	8	6	8	7
	> 80%	27	10	11	7	14	12	9	10	9	13	10	13
Inefficient	50-80%	10	5	2	1	3	1	0	1	3	1	2	0
	< 50%	0	1	0	0	0	0	0	0	0	0	0	0
	Mean (%)	89	89	94	97	93	93	96	96	91	92	91	93
BCC model (pure technical efficiency)													
	Efficient	36	10	18	14	21	13	15	14	15	15	16	15
	> 80%	19	12	8	6	13	7	5	6	5	5	4	5
Inefficient	50-80%	0	0	0	0	0	0	0	0	0	0	0	0
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0
	Mean (%)	98	97	98	98	99	99	99	99	99	99	99	98
SE (scale efficiency)													
	Efficient	18	8	14	13	20	8	12	10	8	6	8	8
	> 80%	27	12	11	7	12	11	8	9	10	13	10	12
Inefficient	50-80%	9	2	1	0	2	1	0	1	2	1	2	0
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0
	Mean (%)	90	92	95	98	95	94	96	97	92	93	92	95
SBM (slack based model)													
	Efficient	15	3	11	9	10	4	8	5	5	2	6	6
	> 80%	10	4	5	7	11	6	4	9	7	5	7	4
Inefficient	50-80%	30	14	10	4	13	10	8	6	7	12	7	10
	< 50%	0	1	0	0	1	0	0	0	1	1	0	0
	Mean (%)	79	73	85	91	82	82	83	84	82	75	80	82
Mix Efficiency													
	Efficient	15	3	11	9	10	5	8	5	5	2	6	6
	> 80%	28	9	9	11	20	10	6	11	13	10	8	8
Inefficient	50-80%	12	10	6	0	4	5	6	4	2	8	6	6
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0
	Mean (%)	88	81	91	94	88	87	86	88	89	81	87	87
Super Efficiency													
	Super efficient	10	3	6	6	6	2	3	3	3	1	2	3
	Efficient	9	4	6	7	8	6	5	5	6	3	5	3
	> 80%	27	12	10	7	19	12	9	11	8	11	11	14
Inefficient	50-80%	8	3	4	0	1	0	3	1	3	5	2	0
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0
	Mean (%)	92	90	93	96	96	93	93	94	93	89	93	95

611 ^a G1, G2 and G3 are moderate, cold and warm agroecosystems, respectively; T1 and T2 are conventional and reduced tillage systems,
612 respectively; S1 and S2 are conventional cultivation and plastic film mulching, respectively.

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Table 3: Statistical analysis of efficiency scores from DEA models

DEA models	Source of variance ^a						
	G	S	T	G×S	G×T	S×T	G×S×T
CCR model (technical efficiency)	0.003**	0.000***	0.150 ^{ns}	0.015*	0.583 ^{ns}	0.509 ^{ns}	0.725 ^{ns}
BCC model (pure technical efficiency)	0.000***	0.390 ^{ns}	0.377 ^{ns}	0.280 ^{ns}	0.063 ^{ns}	0.957 ^{ns}	0.076 ^{ns}
SE (scale efficiency)	0.009**	0.000***	0.065 ^{ns}	0.025*	0.223 ^{ns}	0.482 ^{ns}	0.940 ^{ns}
SBM (slack based model)	0.111 ^{ns}	0.000***	0.443 ^{ns}	0.002**	0.707 ^{ns}	0.007**	0.268 ^{ns}
Mix efficiency	0.168 ^{ns}	0.001**	0.038*	0.001**	0.158 ^{ns}	0.000***	0.145 ^{ns}
Super efficiency	0.146 ^{ns}	0.248 ^{ns}	0.553 ^{ns}	0.104 ^{ns}	0.646 ^{ns}	0.091 ^{ns}	0.607 ^{ns}

618 G1, G2 and G3 are moderate, cold and warm agroecosystems, respectively; T1 and T2 are conventional and reduced tillage systems, respectively;
619 S1 and S2 are conventional cultivation and plastic film mulching, respectively.

620 ^a, The numbers are P values.

621 *, ** and ***: Show significant difference at 0.05, 0.01 and 0.001 probability level, respectively.
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Table 4. Guiding the inefficient farmers 37 and 79 how to be efficient based on the SBM results

DMU No.	DMU Name	Input Slacks		Output Slacks	
		(I) F	(I) CS	(I) WF	(O) Yield
28 (efficient)	1-2-1	0.000	0.000	0.000	0.000
37 (inefficient)	1-2-1	50.000	4.000	2400.026	6.200
26 (efficient)	2-1-1	0.000	0.000	0.000	0.000
79 (inefficient)	2-1-2	0.000	4.445	4514.656	3.455

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Table 5: The mean of farmers' efficiency scores according to tillage systems (T) and cultivation systems (S)

Model	Item	Treatments												
		Tillage systems (T)						Cultivation systems (S)						
		G1_T1	G1_T2	G2_T1	G2_T2	G3_T1	G3_T2	G1_S1	G1_S2	G2_S1	G2_S2	G3_S1	G3_S2	
No. of farmers		81	42	55	41	41	41	77	46	55	41	41	41	
CCR model (TE)	Efficient	21	16	18	13	10	9	12	16	16	14	8	11	
	> 80%	41	19	30	25	22	29	39	27	31	26	24	22	
	Inefficient	50-80%	19	7	7	3	9	3	26	3	8	1	9	8
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0	
Mean (%)		88	91	91	93	88	91	85	92	91	94	88	90	
BCC model (PTE)	Efficient	48	22	27	22	28	28	40	27	28	23	27	28	
	> 80%	33	20	28	19	13	13	37	19	27	18	14	13	
	Inefficient	50-80%	0	0	0	0	0	0	0	0	0	0	0	
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0	
Mean (%)		98	97	98	98	98	98	97	97	98	98	99	98	
SE	Efficient	22	17	19	15	10	10	13	19	17	16	9	12	
	> 80%	44	21	31	24	24	28	46	27	33	24	25	22	
	Inefficient	50-80%	15	4	5	2	7	3	18	0	5	1	7	7
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0	
Mean (%)		89	93	93	95	89	92	88	94	92	96	89	91	
SBM	Efficient	17	9	12	8	6	5	8	13	11	8	4	8	
	> 80%	15	11	12	11	10	9	10	14	17	14	11	9	
	Inefficient	50-80%	45	21	30	20	21	25	50	19	26	19	24	25
	< 50%	4	1	1	2	4	2	9	0	1	0	2	0	
Mean (%)		76	79	78	79	76	77	69	83	80	81	75	77	
Mix Eff.	Efficient	17	9	12	8	6	5	8	13	11	8	4	8	
	> 80%	39	23	27	18	23	22	33	23	33	22	25	20	
	Inefficient	50-80%	25	10	16	15	11	14	36	10	11	11	12	13
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0	
Mean (%)		86	87	85	85	83	87	81	90	88	88	84	86	
Super Eff.	Super efficient	12	7	9	5	5	3	8	8	10	6	3	5	
	Efficient	11	10	8	7	6	5	10	12	9	7	4	5	
	> 80%	39	18	32	22	23	28	44	21	31	20	25	29	
	Inefficient	50-80%	19	7	6	7	7	5	15	5	5	8	9	2
	< 50%	0	0	0	0	0	0	0	0	0	0	0	0	
Mean (%)		89	93	92	91	91	90	90	93	93	94	89	90	

720 ^a G1, G2 and G3 are moderate, cold and warm agroecosystems, respectively; T1 and T2 are conventional and reduced tillage systems,
721 respectively; S1 and S2 are conventional cultivation and plastic film mulching, respectively.

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727 **Table 6: Efficiency scores used in the AHP model**

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Item*	Treatments											
	G1_S1_T1	G1_S1_T2	G1_S2_T1	G1_S2_T2	G2_S1_T1	G2_S1_T2	G2_S2_T1	G2_S2_T2	G3_S1_T1	G3_S1_T2	G3_S2_T1	G3_S2_T2
TE	0.89	0.89	0.94	0.97	0.93	0.93	0.96	0.96	0.91	0.92	0.91	0.93
PTE	0.98	0.97	0.98	0.98	0.99	0.99	0.99	0.99	0.99	0.99	0.99	0.98
SE	0.90	0.92	0.95	0.98	0.95	0.94	0.97	0.97	0.92	0.93	0.92	0.95
SBM	0.79	0.73	0.85	0.91	0.82	0.82	0.83	0.84	0.82	0.75	0.80	0.82
Mix	0.88	0.81	0.91	0.94	0.88	0.87	0.86	0.88	0.89	0.81	0.87	0.87
Su.E	0.92	0.90	0.93	0.96	0.96	0.93	0.93	0.94	0.93	0.89	0.93	0.95

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* G1, G2 and G3 are moderate, cold and warm agroecosystems, respectively; T1 and T2 are conventional and reduced tillage systems, respectively; S1 and S2 are conventional cultivation and plastic film mulching, respectively.

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*TE: Technical Efficiency; PTE: Pure Technical Efficiency; SE: Scale Efficiency; SBM: Slack Based Measure efficiency; Mix: Mix efficiency; Su.E: Super Efficiency.

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Fig. 1. Plastic film mulching (PFM) and conventional watermelon cultivation

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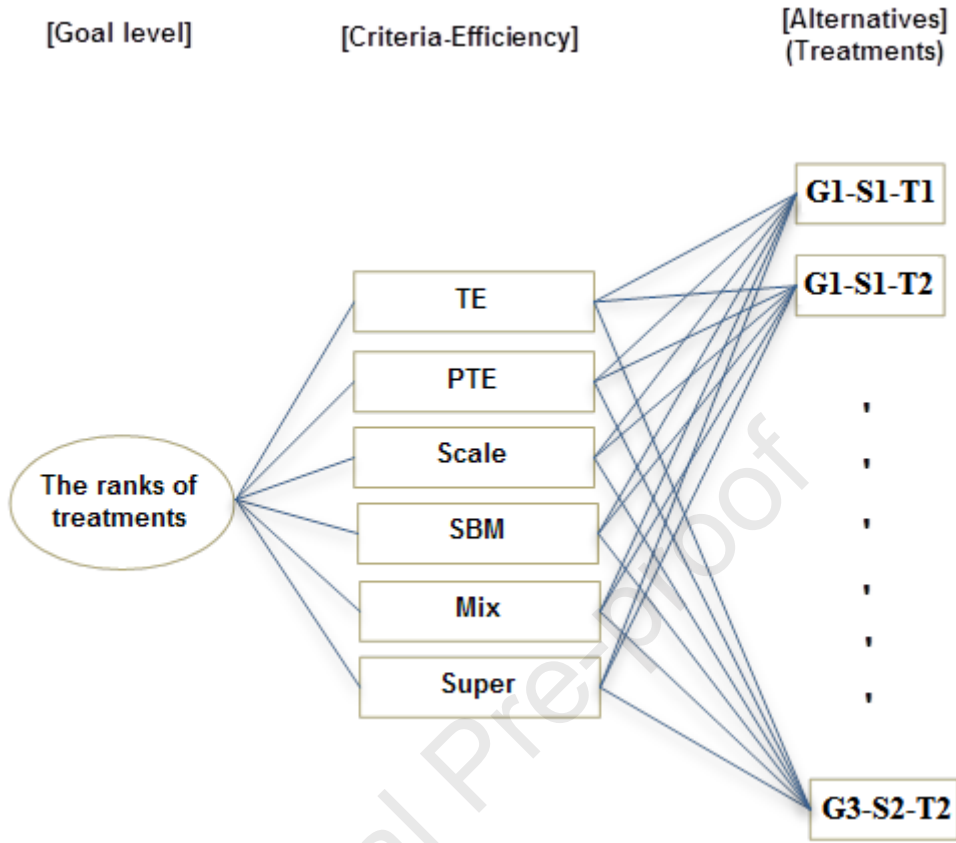
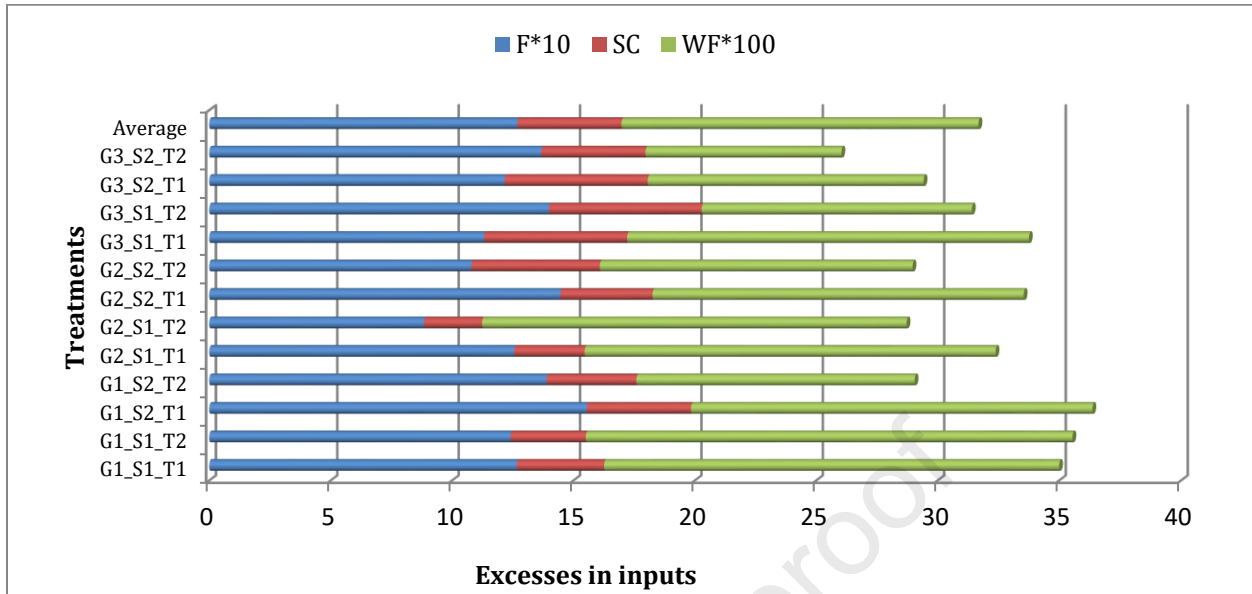


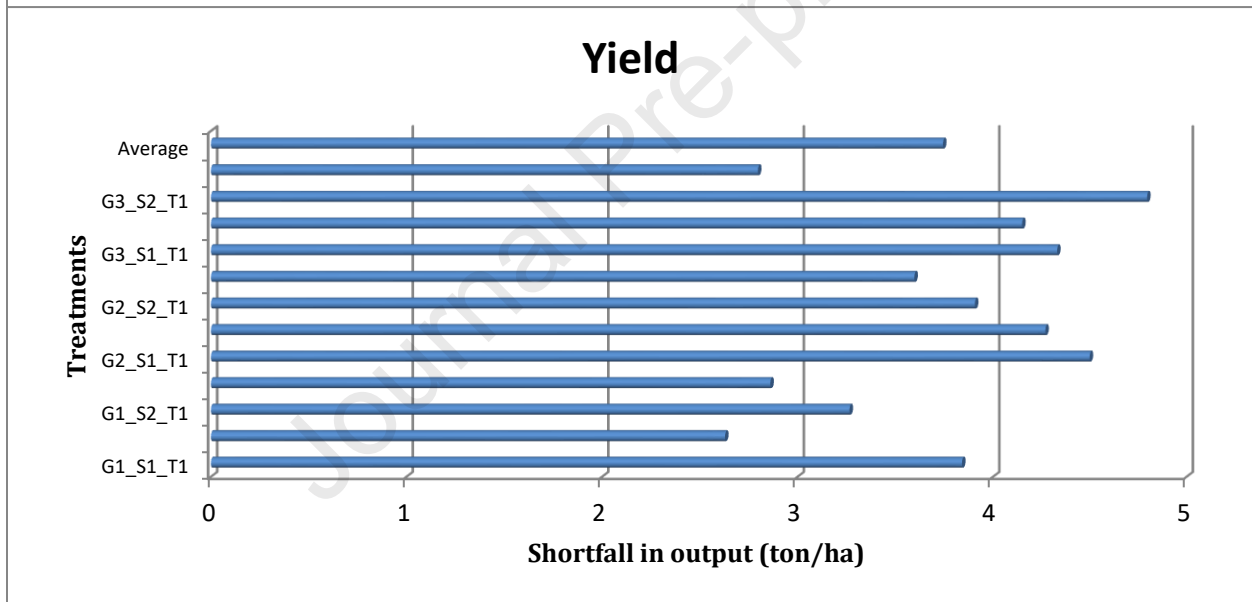
Fig. 2. AHP decision tree to determine the ranks of the treatments

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789 **Fig. 3. The average excesses in inputs and shortfall in yield.**

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* F: fertilizers (kg/ha); SC: seed and chemicals (kg/ha); WF: water and fuel (m³/ha); Yield: ton/ha

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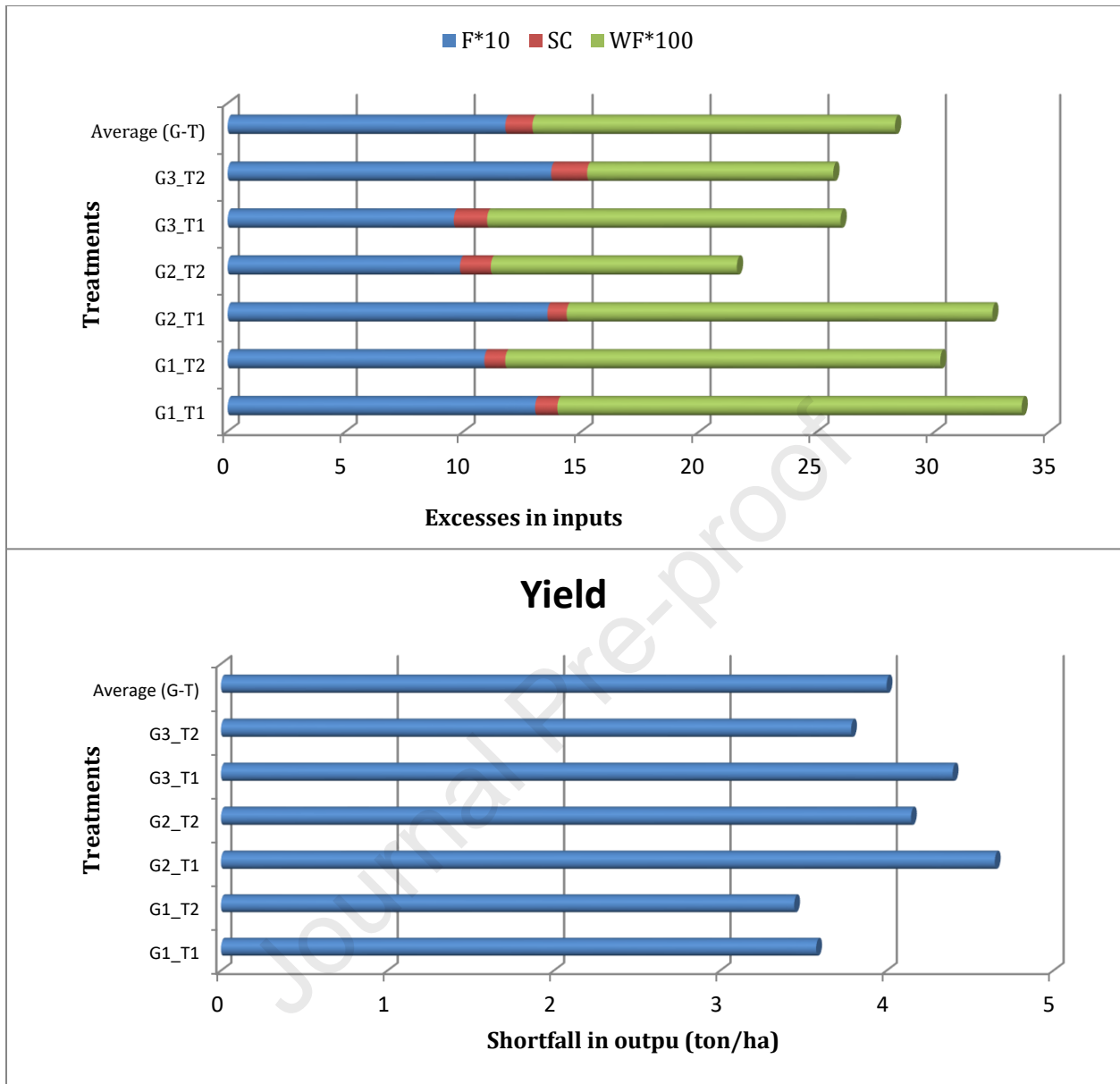
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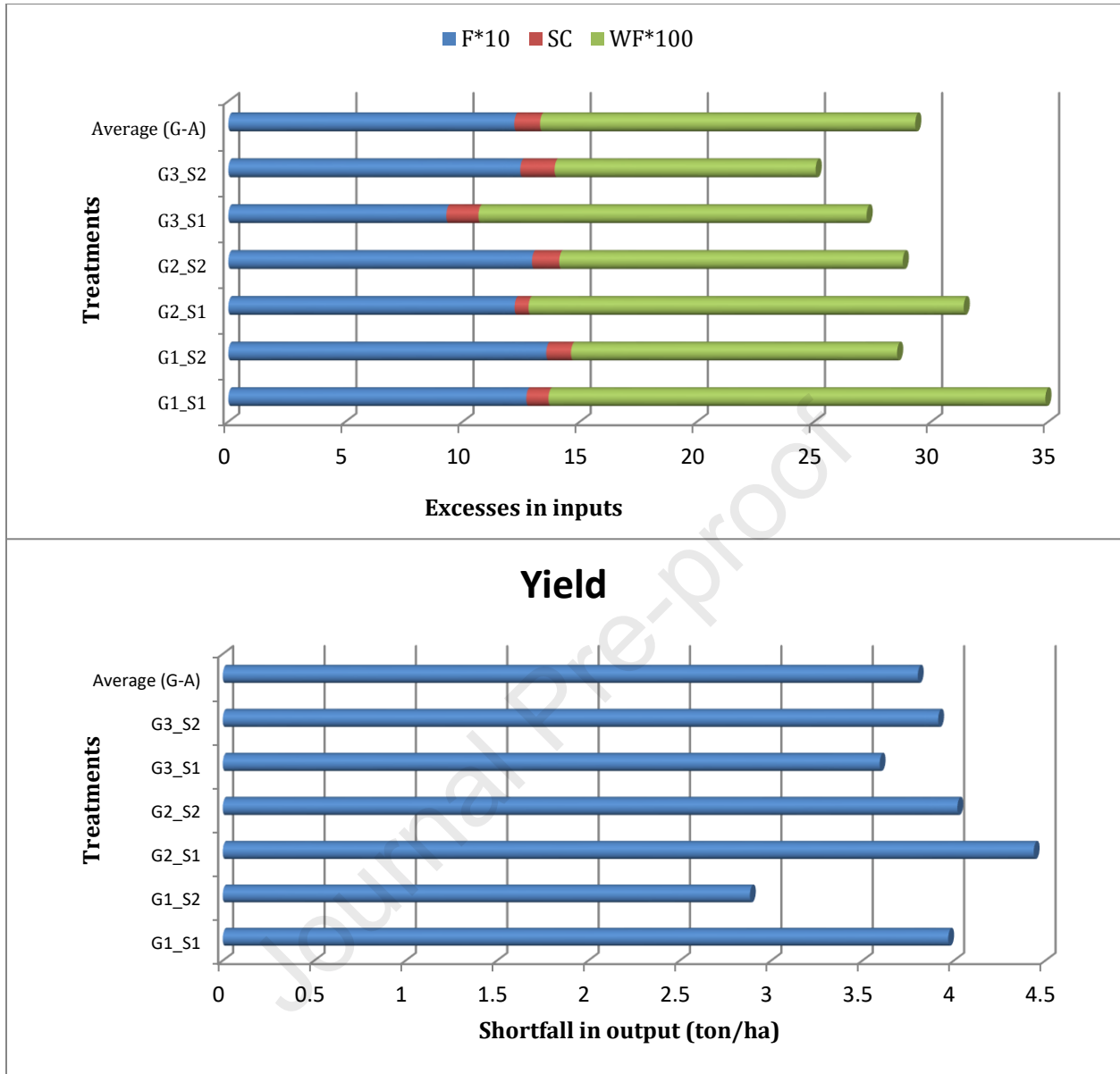
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Fig. 4. The average excesses in inputs and shortfall in yield with regard to region and tillage system (G-T)
 * F: fertilizers (kg/ha); SC: seed and chemicals (kg/ha); WF: water and fuel (m³/ha); Yield: ton/ha



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Fig. 5. The average excesses in inputs and shortfall in yield with regard to agroecosystems and cultivation systems (G-S).

* F: fertilizers (kg/ha); SC: seed and chemicals (kg/ha); WF: water and fuel (m³/ha); Yield: ton/ha

Overall Inconsistency = .01



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Fig. 6. The ranks of the treatments from AHP model

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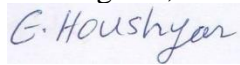
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Dear Editor,

16 Jan 2023

We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

Best regards,



Ehsan Houshyar

Journal Pre-proof