

spirometry results. We still have so much to learn, and our understanding of respiratory epidemiology will continue to benefit immensely from the upkeep of cohorts such as TAHS. ■

Author disclosures are available with the text of this article at www.atsjournals.org.

William Checkley, M.D., Ph.D.
Division of Pulmonary and Critical Care
Johns Hopkins University
Baltimore, Maryland

John R. Hurst, M.B. Ch.B., Ph.D.
UCL Respiratory
University College London
London, United Kingdom

Robert A. Wise, M.D.
Division of Pulmonary and Critical Care
Johns Hopkins University
Baltimore, Maryland

ORCID IDs: 0000-0003-1106-8812 (W.C.); 0000-0002-7246-6040 (J.R.H.); 0000-0002-8353-2349 (R.A.W.).

References

1. Frost WH. The age selection of mortality from tuberculosis in successive decades. *Am J Hyg* 1939;30:91–92.
2. Mannino DM. Fifty years of progress in the epidemiology of chronic obstructive pulmonary disease: a review of National Heart, Lung, and Blood Institute-sponsored studies. *Chronic Obstr Pulm Dis (Miami)* 2019;6:350–358.
3. Checkley W, Pollard SL, Siddharthan T, Babu GR, Thakur M, Miele CH, et al. Managing threats to respiratory health in urban slums. *Lancet Respir Med* 2016;4:852–854.
4. Lange P, Celli B, Agustí A, Boje Jensen G, Divo M, Faner R, et al. Lung-function trajectories leading to chronic obstructive pulmonary disease. *N Engl J Med* 2015;373:111–122.
5. Matheson MC, Abramson MJ, Allen K, Benke G, Burgess JA, Dowty JG, et al.; TAHS investigator group. Cohort profile: the Tasmanian Longitudinal Health Study (TAHS). *Int J Epidemiol* 2017;46:407–408.
6. Gibson HB, Silverstone H, Gandevia B, Hall GJ. Respiratory disorders in seven-year-old children in Tasmania. Aims, methods and administration of the survey. *Med J Aust* 1969;2:201–205.
7. Tan DJ, Lodge CJ, Walters EH, Bui DS, Pham J, Lowe AJ, et al. Can we use lung function thresholds and respiratory symptoms to identify pre-COPD? A prospective, population-based cohort study. *Am J Respir Crit Care Med* 2024;209:1431–1440.
8. Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, et al.; ERS Global Lung Function Initiative. Multi-ethnic reference values for spirometry for the 3–95-yr age range: the Global Lung Function 2012 equations. *Eur Respir J* 2012;40:1324–1343.
9. Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS; GOLD Scientific Committee. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO Global Initiative for Chronic Obstructive Lung Disease (GOLD) Workshop summary. *Am J Respir Crit Care Med* 2001;163:1256–1276.
10. Vestbo J, Lange P. Can GOLD stage 0 provide information of prognostic value in chronic obstructive pulmonary disease? *Am J Respir Crit Care Med* 2002;166:329–332.
11. Han MK, Agustí A, Celli BR, Criner GJ, Halpin DMG, Roche N, et al. From GOLD 0 to pre-COPD. *Am J Respir Crit Care Med* 2021;203:414–423.
12. Diab N, Gershon AS, Sin DD, Tan WC, Bourbeau J, Boulet LP, et al. Underdiagnosis and overdiagnosis of chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2018;198:1130–1139.

Copyright © 2024 by the American Thoracic Society



Alveolar Collapse as a Threat to Mechanically Ventilated Lungs

Alveolar collapse is a hallmark of acute respiratory distress syndrome (ARDS), with multiple causative mechanisms (1). First, the initial inflammation triggers extravasation of proteinaceous exudate and recruitment of inflammatory cells with occupation of the alveolar airspace; second, inflammatory edema increases lung weight, which compresses the alveoli in the gravitationally dependent regions; third,

reabsorption atelectasis due to high inspired oxygen fraction develops in hypo- or nonventilated lung regions (e.g., in the presence of bronchial occlusion due to secretions or airway closure); and fourth, reduced surfactant activity facilitates a loss of lung aeration (2).

From a clinical perspective, the extent of alveolar collapse has long been recognized as a key feature of ARDS severity. Bilateral infiltrates on chest X-ray have been included in the clinical definition of ARDS since its very first version (3). The number of quadrants involved on chest X-ray was part of the 1988 lung injury score (4), and its prognostic value has recently been confirmed (5). Later, quantitative analysis of chest computed tomography scan confirmed that higher lung weight (and higher recruitability) is associated with worse outcome (1).

Recently, high-quality experimental evidence shed clearer light on the detrimental role played by alveolar collapse within mechanically ventilated lungs. In a study reported in this issue of the *Journal* (6), Sousa and colleagues (pp. 1441–1452) compared three positive end-expiratory pressure (PEEP) strategies, all clinically acceptable but associated with different extent of collapse, in a large animal model of ARDS. Using electrical impedance tomography (EIT) during a decremental PEEP trial, the authors measured the

Ⓐ This article is open access and distributed under the terms of the Creative Commons Attribution Non-Commercial No Derivatives License 4.0. For commercial usage and reprints, please e-mail Diane Gern (dgern@thoracic.org).

Supported by Ministero della Salute (Rome, Italy) (current research); Project “Hub Life Science–Diagnostica Avanzata, PNC-E3-2022-23683266–CUP: C43C22001630001/MI-0117,” Italian Ministry of Health (Rome, Italy) (Piano Nazionale Complementare Ecosistema Innovativo della Salute); and the Italian Ministry of Education and Research (Rome, Italy): Dipartimenti di Eccellenza Program 2023–2027, Department of Pathophysiology and Transplantation, University of Milan.

Originally Published in Press as DOI: 10.1164/rccm.202402-0326ED on March 28, 2024

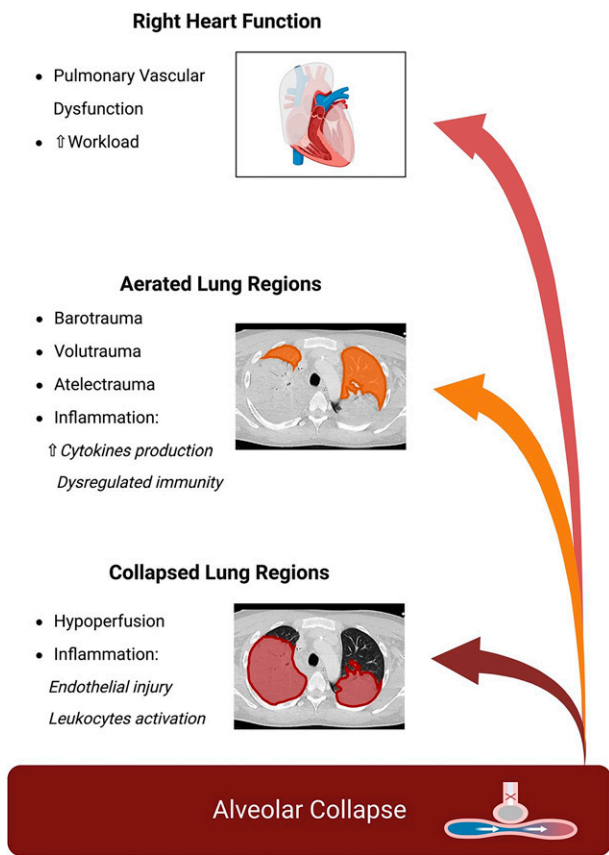


Figure 1. Physiological changes induced by alveolar collapse increase the risk of lung injury and right heart dysfunction.

percentage of lung units collapsed or overdistended at each degree of PEEP and randomized animals to minimal collapse ($\leq 3\%$ of lung units), minimal overdistension ($\leq 3\%$ of lung units), and the best compromise between the two (minimal difference between percentage of collapse and overdistension: crossing-point PEEP). Animals were then mechanically ventilated with the assigned PEEP and protective values of V_T for 12 hours, and detailed physiological measures were obtained at fixed time points. Animals ventilated with the lowest PEEP, obtaining minimal overdistension but also maximal collapse (about 25% of lung units) showed a surprisingly high mortality of 50%, probably because of right heart failure and cardiovascular collapse, compared with 100% survival in the other two groups. Additional differences at 12 hours, confirming worse lung protection in the group with low overdistension and high collapse, were lower compliance of the respiratory system, higher intrapulmonary shunt, lower $PaO_2:F_iO_2$ ratio, higher heterogeneity of histological injury, and more extravasation of proteins. Physiological measures performed by the authors during the experiment revealed mechanisms underlying worsening lung injury in the presence of larger alveolar collapse. Airway and transpulmonary driving pressure and end-inspiratory transpulmonary pressure were higher, suggesting more lung stress; end-expiratory transpulmonary pressure and compliance of the dependent lung region were lower, increasing the risk for atelectrauma; pulmonary shunt was higher, leading to higher risk of lung tissue hypoxia; and cardiac output, pulmonary arterial

pressure, right ventricular transmural pressure, and pulmonary pressure gradient were higher, increasing right heart workload and risk of dysfunction. The study by Sousa and colleagues surely has several limitations (e.g., lack of a power analysis to compare mortality among groups, novel unvalidated methods to select the different degrees of PEEP, lack of direct quantification of key physiological mechanisms such as atelectrauma) and conflicting results (e.g., no difference in lung histology scores and wet-to-dry ratios; similar concentrations of biomarkers despite extensive assessment in lung tissue, BAL, and blood) but has the unique and fascinating feature of classical experimental research of coupling solid midterm clinical outcomes with longitudinal monitoring of relevant physiological mechanisms (7).

This work adds to other recent experimental research suggesting a detrimental role for alveolar collapse in mechanically ventilated lungs (8, 9). Zeng and colleagues (8) collapsed the entire left lung in healthy sheep using a bronchial blocker and unilateral thoracotomy, while mechanically ventilating the right lung for 8 hours with and without exposure to intravenous LPS, and assessed physiological changes during the experiment and pulmonary transcriptomics at the end of it. The authors described physiological changes induced by alveolar collapse like those described by Sousa and colleagues (6): lower compliance, worse oxygenation, and higher pulmonary arterial pressure. At the end of the experiment, collapse induced transcriptomic changes indicative of dysregulated pulmonary immunity and alveolar–capillary barrier. Exposure to LPS exacerbated lung injury in atelectatic tissue and enhanced the immune response, particularly leukocyte-related processes, more in the collapsed lung regions (8). We also performed a study in healthy pigs excluding the left lung from mechanical ventilation for 24 hours to induce regional collapse, albeit without thoracotomy. The collapsed lung showed worse lung histology score and higher concentrations of inflammatory cytokines and biomarkers of endothelial injury in the regional BAL fluid. We also confirmed higher lung stress and worse pulmonary hemodynamics as pathophysiological mechanisms, together with novel data on the detrimental role of hypoperfusion of collapsed lung regions (potentially inducing tissue ischemia and endothelial injury) measured using EIT (9). Figure 1 schematizes all the relevant pathophysiological alterations induced by alveolar collapse potentially worsening lung injury and right heart dysfunction.

The key role of alveolar collapse for the progression of ARDS and worse clinical outcomes has also been indirectly confirmed by lower mortality associated with the use of higher PEEP (10) and prone positioning (11) in patients with more severe hypoxemia (who should have more collapse). However, a more recent study of PEEP strategies aimed at maximizing the re-aeration of collapsed alveoli showed worse mortality compared with lower PEEP, likely because of excessive risk of overdistension (12). Thus, a bedside method to identify personalized PEEP balancing reversal of collapse with risk of overdistension would be a welcome addition to treatment of patients with ARDS. The last merit of the study of Sousa and colleagues (6) is to underline the potential of EIT as a bedside, radiation-free, repeatable method to assess overdistension and collapse (13, 14), allowing the selection of personalized PEEP settings even in more difficult conditions, such as during extracorporeal membrane oxygenation (difficult to transport) (15) and in spontaneously breathing patients (difficult to use traditional methods based on mechanics) (16).

Taken together, these data suggest that alveolar collapse is a fundamental component of ARDS severity. In clinical practice, we could aim at measuring the extent of collapse, monitoring its detrimental pathophysiological consequences on the lungs and the right heart, and performing early personalized interventions to mitigate these consequences. We should also remember that in caring for our patients, “better” does not always coincide with “more” but, more frequently, with aiming at a thoughtful balance. ■

Author disclosures are available with the text of this article at www.atsjournals.org.

Elena Spinelli, M.D.
Department of Anesthesia, Critical Care and Emergency
IRCCS Foundation “Ca’ Granda”, “Maggiore Policlinico” Hospital
Milan, Italy

Tommaso Mauri, M.D.
Department of Anesthesia, Critical Care and Emergency
IRCCS Foundation “Ca’ Granda”, “Maggiore Policlinico” Hospital
Milan, Italy

and
Department of Pathophysiology and Transplantation
University of Milan
Milan, Italy

ORCID ID: 0000-0002-2709-8162 (T.M.).

References

- Gattinoni L, Caironi P, Cressoni M, Chiumello D, Ranieri VM, Quintel M, *et al*. Lung recruitment in patients with the acute respiratory distress syndrome. *N Engl J Med* 2006;354:1775–1786.
- Bos LDJ, Ware LB. Acute respiratory distress syndrome: causes, pathophysiology, and phenotypes. *Lancet* 2022;400:1145–1156.
- Bernard GR, Artigas A, Brigham KL, Carlet J, Falke K, Hudson L, *et al*. The American-European Consensus Conference on ARDS: definitions, mechanisms, relevant outcomes, and clinical trial coordination. *Am J Respir Crit Care Med* 1994;149:818–824.
- Murray JF, Matthay MA, Luce JM, Flick MR. An expanded definition of the adult respiratory distress syndrome. *Am Rev Respir Dis* 1988;138:720–723.
- Pham T, Pesenti A, Bellani G, Rubenfeld G, Fan E, Bugedo G, *et al*; LUNG SAFE Investigators and the European Society of Intensive Care Medicine Trials Group. Outcome of acute hypoxaemic respiratory failure: insights from the LUNG SAFE Study. *Eur Respir J* 2021;57:2003317.
- Sousa MLA, Katira BH, Bouch S, Hsing V, Engelberts D, Amato M, *et al*. Limiting overdistention or collapse when mechanically ventilating injured lungs: a randomized study in a porcine model. *Am J Respir Crit Care Med* 2024;209:1441–1452.
- Webb HH, Tierney DF. Experimental pulmonary edema due to intermittent positive pressure ventilation with high inflation pressures: protection by positive end-expiratory pressure. *Am Rev Respir Dis* 1974;110:556–565.
- Zeng C, Motta-Ribeiro GC, Hinoshita T, Lessa MA, Winkler T, Grogg K, *et al*. Lung atelectasis promotes immune and barrier dysfunction as revealed by transcriptome sequencing in female sheep. *Anesthesiology* 2020;133:1060–1076.
- Spinelli E, Damia A, Damarco F, Gregori B, Occhipinti F, Busani Z, *et al*. Pathophysiological profile of non-ventilated lung injury in healthy female pigs undergoing mechanical ventilation. *Commun Med (Lond)* 2024;4:18.
- Briel M, Meade M, Mercat A, Brower RG, Talmor D, Walter SD, *et al*. Higher vs lower positive end-expiratory pressure in patients with acute lung injury and acute respiratory distress syndrome: systematic review and meta-analysis. *JAMA* 2010;303:865–873.
- Guérin C, Reignier J, Richard JC, Beuret P, Gacouin A, Boulain T, *et al*; PROSEVA Study Group. Prone positioning in severe acute respiratory distress syndrome. *N Engl J Med* 2013;368:2159–2168.
- Writing Group for the Alveolar Recruitment for Acute Respiratory Distress Syndrome Trial (ART) Investigators; Cavalcanti AB, Suzumura ÉA, Laranjeira LN, Paisani DM, Damiani LP, Guimarães HP, *et al*. Effect of lung recruitment and titrated positive end-expiratory pressure (PEEP) vs low PEEP on mortality in patients with acute respiratory distress syndrome: a randomized clinical trial. *JAMA* 2017;318:1335–1345.
- Franchiseau G, Jonkman AH, Piquilloud L, Yoshida T, Costa E, Rozé H, *et al*; Pleural Pressure Working Group (PLUG). Electrical impedance tomography to monitor hypoxemic respiratory failure. *Am J Respir Crit Care Med* 2024;209:670–682.
- Jonkman AH, Alcalá GC, Pavlovsky B, Roca O, Spadaro S, Scaramuzza G, *et al*; Pleural Pressure Working Group (PLUG). Lung Recruitment Assessed by Electrical Impedance Tomography (RECRUIT): a multicenter study of COVID-19 acute respiratory distress syndrome. *Am J Respir Crit Care Med* 2023;208:25–38.
- Franchiseau G, Bréchet N, Lebreton G, Hekimian G, Nieszkowska A, Trouillet JL, *et al*. Bedside contribution of electrical impedance tomography to setting positive end-expiratory pressure for extracorporeal membrane oxygenation-treated patients with severe acute respiratory distress syndrome. *Am J Respir Crit Care Med* 2017;196:447–457.
- Slobod D, Leali M, Spinelli E, Grieco DL, Spadaro S, Mauri T. Integrating electrical impedance tomography and transpulmonary pressure monitoring to personalize PEEP in hypoxemic patients undergoing pressure support ventilation. *Crit Care* 2022;26:314.

Copyright © 2024 by the American Thoracic Society