



Short Communication

Detection of NDM-1/5 and OXA-48 co-producing extensively drug-resistant hypervirulent *Klebsiella pneumoniae* in Northern Italy



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ABSTRACT

Objectives: Using a hybrid long-read sequencing approach, we aimed to fully characterise four extensively-drug resistant (XDR) hypervirulent *Klebsiella pneumoniae* isolates, one of which represented the first strain isolated in Italy co-expressing NDM-1/5 and OXA-48 carbapenemases.

Methods: Whole-genome sequencing was performed using Illumina and Oxford Nanopore Technology platforms. An assembly pipeline was used to recover the structures both of the chromosome and plasmids.

Results: Multilocus sequence typing (MLST) showed that these strains belonged to high-risk sequence types (STs) not commonly circulating in Italy (ST383, ST147 and ST15). The hybrid sequencing approach allowed to characterise three multidrug resistance plasmids, which demonstrated high homology with previously sequenced plasmids, that were simultaneously detected in one ST383 strain carrying, respectively, *bla*_{NDM-1}, *bla*_{NDM-5} and *bla*_{OXA-48}.

Conclusion: This is the first report in Italy of new hypervirulent XDR *K. pneumoniae* clones characterised by co-production of OXA-48, NDM-1 and NDM-5. The discovery of new high-risk clones harbouring multiple mobile elements is a growing problem that poses a great challenge for public health.

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1. Introduction

Extensively drug-resistant (XDR) *Klebsiella pneumoniae* is globally recognised as a major cause of difficult-to-treat healthcare-acquired infections [1,2]. Highly transmissible and conjugable plasmids play a key role in the antimicrobial resistance emergency worldwide, enabling the horizontal transfer of acquired antibiotic resistance genes [3]. Recently, routine molecular epidemiological surveillance has been focused on chromosome analysis, even

though plasmids are the main means for antimicrobial resistance genes to spread [2].

In Northern Italy, local epidemiology highlights that the main mechanism of carbapenem resistance is the plasmid-borne KPC-2/3 variant, and its carriage is associated with major clones involved in outbreaks, such as sequence types ST258/512, ST307 and ST101 [4].

None the less, carbapenem resistance has been described worldwide in other sequence types such as ST11, ST15, ST147 and ST383 [5–7]. These clones have been reported as causes of hospital outbreaks [8] and the main identified mechanism of carbapenem resistance is the presence of plasmid-mediated carbapenemases, especially metallo- β -lactamase [5]. Therefore, determination of virulence and resistance factors associated with the mobilome in new emerging clones is essential for infection prevention and control and antimicrobial stewardship protocols in all hospital wards.

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Table 1
Minimum inhibitory concentrations (MICs) of the four isolates, and MIC breakpoints according to EUCAST [10].

| Antibiotic | MIC breakpoint (mg/L) | | MIC (mg/L) | | | |
|-------------------------------|-----------------------|-----|------------|---------|---------|---------|
| | S ≤ | R > | KP45-19 | KP48-19 | KP50-19 | KP52-19 |
| Amikacin | 8 | 8 | >16 | >16 | >16 | >16 |
| Cefepime | 1 | 4 | >32 | >32 | >32 | >32 |
| Cefotaxime | 1 | 2 | >4 | >4 | >4 | >4 |
| Ceftazidime | 1 | 2 | >128 | >128 | >128 | >128 |
| Ciprofloxacin | 0.25 | 0.5 | >2 | >2 | >2 | >2 |
| Colistin | 2 | 2 | <0.5 | <0.5 | <0.5 | <0.5 |
| Gentamicin | 2 | 2 | >4 | >4 | >4 | >4 |
| Imipenem | 2 | 4 | 8 | >16 | >16 | >16 |
| Meropenem | 2 | 8 | 16 | >64 | >64 | >64 |
| Piperacillin/tazobactam | 8 | 8 | >128 | >128 | >128 | >128 |
| Tigecycline | NA | NA | 2 | 0.5 | 1 | 1 |
| Trimethoprim/sulfamethoxazole | 2 | 4 | >320 | >320 | >320 | 1 |
| Ceftolozane/tazobactam | 2 | 2 | >256 | >256 | >256 | >256 |
| Ceftazidime/avibactam | 8 | 8 | >256 | >256 | >256 | >16 |

EUCAST, European Committee on Antimicrobial Susceptibility Testing; S, susceptible; R, resistant; NA, not available.

After the 2019 NDM-1 epidemic in Tuscany [9], our laboratory implemented a new mobilome surveillance protocol to better understand the epidemiology related to plasmid diffusion. This programme allowed to identify and analyse four XDR *K. pneumoniae* strains with peculiar characteristics of antimicrobial resistance and virulence.

2. Methods

Four strains of non-KPC-producing XDR *K. pneumoniae* were isolated at the end of 2019 from four different patients who were admitted to our hospital due to orthopaedic disease. All patients were colonised as identified by rectal swab by the isolated strains. Two patients developed sepsis consequent to infection of an implanted orthopaedic prosthesis, and one patient suffered from catheter-related bloodstream infection. The four strains (named KP45-19, KP48-19, KP50-19 and KP52-19) were susceptible only to colistin and tigecycline by antimicrobial susceptibility testing. Minimum inhibitory concentrations (MICs) (Table 1) were determined using VITEK®2 AST-GN67 (bioMérieux SA, Marcy-l'Étoile, France) and were interpreted following European Committee on Antimicrobial Susceptibility Testing (EUCAST) recommendations [10]. The patient harbouring KP45-19 recovered from the infection after 8 weeks of treatment with tigecycline and fosfomycin; the patient infected by KP48-19 was treated for 4 days with ceftazidime/avibactam, aztreonam and tigecycline before being transferred to another hospital; the patient harbouring KP52-19 was treated with ceftazidime/avibactam, aztreonam and tigecycline for 8 weeks and asked to be discharged before complete recovery; and finally, the last patient was colonised on the rectal swab by KP50-19, but as no infection was reported no treatment was required during hospitalisation.

All four isolates tested positive by immunochromatographic test (NG-Test® CARBA 5; Hardy Diagnostics, Santa Maria, CA, USA) for the co-production of a New Delhi metallo-β-lactamase (NDM) and OXA-48-like carbapenemases and displayed a hypermucoviscous phenotype by positive string test (defined as the presence of a viscous filament >5 mm from the agar plate). Therefore, the isolated strains could be defined as hypervirulent as they presented both clinical and phenotypical (hypermucoviscous phenotype) characteristics associated with hypervirulence [11] as well as genotypic characteristics whose in-depth analysis will be presented in the results section.

The isolates underwent whole-genome sequencing using an Illumina MiniSeq platform (Illumina Inc., San Diego, CA, USA) with paired-end Nextera XT library preparation following the manu-

Table 2
Accession numbers of Illumina and Oxford Nanopore Technology (ONT) sequences.

| Isolate | Accession Illumina | Accession ONT |
|---------|--------------------|---------------|
| KP45-19 | SAMN23803868 | SAMN23805233 |
| KP52-19 | SAMN23803869 | SAMN23805235 |
| KP48-19 | SAMN23803870 | SAMN23805236 |
| KP50-19 | SAMN23803871 | SAMN23805234 |

All of the samples have been uploaded on NCBI SRA, project no. [PRJNA787449](https://www.ncbi.nlm.nih.gov/sra/PRJNA787449).

facturer's instructions. Long-read sequencing was performed using a MinION Mk1B platform (Oxford Nanopore Technologies, Oxford, UK) with a FLO-MIN106 R9.4.1 flow cell and using Rapid Barcoding Kit (SQK-RBK004) for library preparation. To obtain the best possible assembly consensus, a hybrid assembly approach was chosen. The hybrid assembly pipeline consists of generating long-read assemblies using Flye; polishing with Racon and Medaka; ten Minimap-Racon iterations for short-read polishing; and ten iterations of Bowtie2-Pilon for accurate base calling. Annotation of the plasmids was done using Prokka software tool with a tailor-made plasmid database, and the resulting output was visualised using Circos software. Basecalling was performed using Guppy software v.3.3.3 from fast5 files. Demultiplexing and trimming was performed using Porechop v.0.2.4. Fastq files are available in the NCBI database as reported in Table 2.

3. Results

Klebsiella pneumoniae KP45-19 belonged to ST147, KL64 capsular type (*wzi* allele 64), KP48-19 belonged to ST15, KL112 capsular type (*wzi* allele 93), and the other two strains (KP50-19 and KP52-19) belonged to ST383, KL51 capsular type (*wzi* allele 298) as determined using multilocus sequence typing (MLST) and *wzi* allele databases.

We focused on plasmids carrying genes encoding carbapenemases as carbapenem resistance is the major issue related to the treatment of these pathogens. Using AMRFinderPlus, we characterised KP45-19 and KP48-19 as carrying *bla*_{OXA-48} and *bla*_{NDM-1} carbapenemases genes, KP50-19 as carrying *bla*_{NDM-5} and *bla*_{OXA-48} genes, and KP52-19 as carrying the three carbapenemases *bla*_{OXA-48}, *bla*_{NDM-5} and *bla*_{NDM-1} gene (Fig. 1).

Molecular analysis revealed no mutations in *pmrAB*, *phoPQ* or *mgrB* genes that may confer a reduced/non-susceptible phenotype to polymyxins.

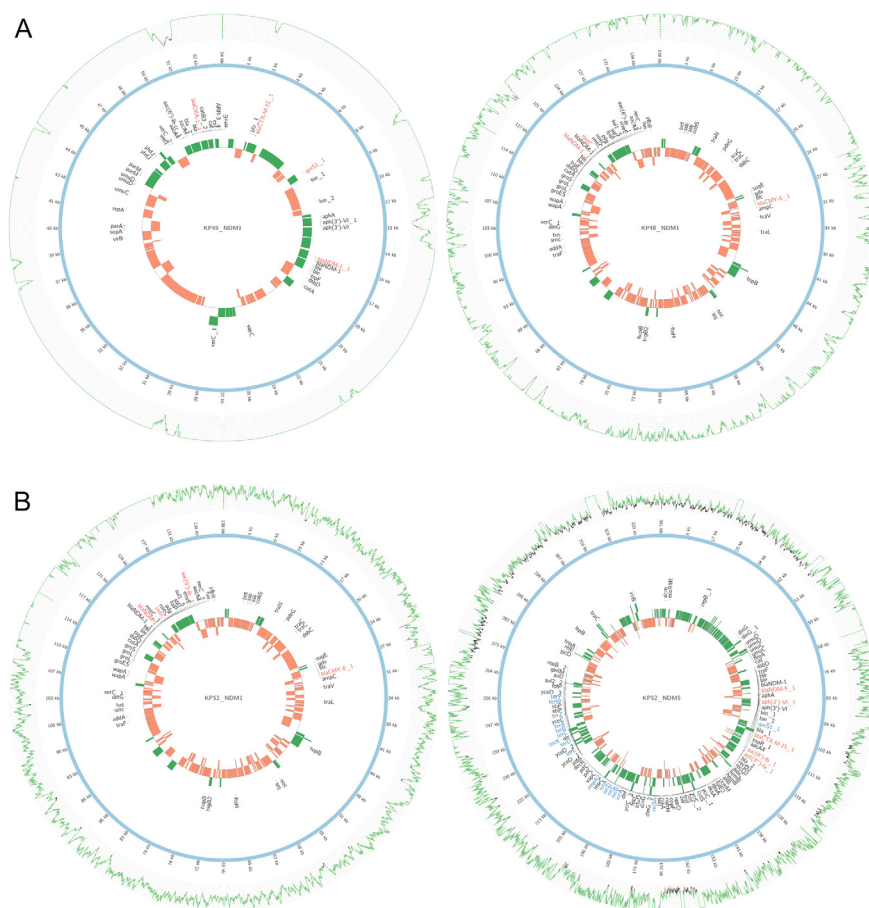


Fig. 1. (A,B) Genomic Circos plots of de novo assembled contigs for the KP45 and KP48 (A) and KP52 (B) plasmids, with annotated genes. Inward: coverage (green >30; black, >10; grey, <10; red, 0). Plasmid genomic co-ordinates (blue), annotated genes with name (red, antimicrobial resistance genes; blue, virulence genes) and annotated genes (green, forward; red, reverse).

The plasmids carrying the *bla*_{OXA-48} gene are very similar to previously sequenced plasmids (Table 3). These plasmids carry only *bla*_{OXA-48}, and no other virulence factors are present.

Plasmids carrying *bla*_{NDM-1} were identified in KP45-19, KP48-19 and KP52-19. KP45-19-NDM1 [IncFIB(pQil), 54 064 bp] has 100% homology to **NZ_CP021947.1** that was previously identified in a Syrian patient in a hospital in Rome [12]. KP48-19-NDM1 and KP52-19-NDM1 share the same plasmid: an IncC plasmid that shows 100% homology between each other and has >99% homology with the conjugative plasmid **CP022126.1** reported in Nevada (USA) in a patient who had a history of recent travel to India (Table 3).

The *bla*_{NDM-5} gene was detected only in the ST383 isolates. This gene is carried, respectively, in two large hybrid plasmids. KP50-19-NDM5 and KP52-19-NDM5, both IncFIB/IncHI1B, are two large (>350 kb) hybrid plasmids that carry many antimicrobial resistance and virulence genes (Table 3). They both display >99.9% homology with **NZ_CP034201.1** identified in a patient in Southeast England [5]. KP52-19-NDM5 lacks two transposable islands, one of ~9 kb and one of ~24 kb (Table 3).

ST147/KP45 and ST15/KP48 isolates carry more virulence genes than the ST383/KP50-52 clones. The main difference is correlated to the strain genetic background. All investigated isolates harboured *mrkABCDEF*, *iucABCD* and *iutA* and, in addition, KP45-19 and KP48-19 carry yersiniabactin markers (*irp* and *ybt*) siderophores and *fyuA*, and only KP48-19 carries *kfuA*.

All of the strains carry the major virulence gene *rmpA/rmpA2* that encodes for capsule upregulation and regulates the hy-

permucoviscous phenotype. In KP48-19 and KP45-19, *rmpA* and *rmpA2* genes were located on a large virulence plasmid [KP45-pVir-IncFIB(Mar)/IncHIB, 340 204 bp, >99.9% homology with **NZ_CP040726.1**, 339 117 bp]. In KP50-19 and KP52-19 the *rmpA* gene is located on the *bla*_{NDM-5}-carrying plasmid with many other virulence genes (Table 3).

Other virulence determinants, such as OmpK35 loss, OmpK36, AcrAB efflux pump, ABC iron transporter, putative allantoin permease and periplasmic iron-binding protein were identified (Table 3).

4. Discussion

Despite the presence of multiple conjugative plasmids carrying virulence and resistance genes, some mobile elements are large (>250 kb) and therefore these are supposed to be considered non-transferable [13]. This paradigm is slowly changing and there is multiple evidence of carbapenem-resistant strains that acquire transposons or plasmids of virulence once considered non-conjugative [6,14,15]. It is worrisome to see how the facilitated process of conjugation [14,16,17] can generate large plasmids in different STs and how this can lead, in an evolutionary process, to new plasmids that have had a wide diffusion internationally (from Syria [12], to England [5], to Italy) in just a few years.

These plasmids not only carry antimicrobial resistance genes but also virulence factors in strains not usually recognised as hypervirulent. Although ST15, ST147 and ST383 were considered non-hypervirulent types [11], these have been recognised as hypervirulent in the recent literature [7,16]. Failure to recognise the viru-

Table 3

Description of the genetic content of the carbapenemase-carrying plasmids for each isolate.

| Isolate | ST | Plasmid | Length (bp) | AMR genes | Virulence genes | Reference sequence ^a | Differences ^b |
|---------|-------|---|-------------|---|--|-----------------------------------|--|
| KP45-19 | ST147 | KP45; IncL/M(pOXA-48) | 63 675 | <i>bla</i> _{OXA-48} | NA | NZ_LR025091.1 , 63 589 bp | (Incomplete in N-terminus RelB antitoxin); 100% homology |
| KP48-19 | ST15 | KP45; IncFIB(pQil)_NDM-1 | 54 064 | <i>bla</i> _{CTX-M-15} , <i>bla</i> _{NDM-1} , <i>bla</i> _{OXA-1} , <i>qnrS1</i> | NA | NZ_CP021947.1 , 54 064 bp | 100% homology, 100% coverage |
| | | KP48; IncL/M(pOXA-48) | 63 589 | <i>bla</i> _{OXA-48} | NA | NZ_LR025091.1 , 63 589 bp | 100% homology, 100% coverage |
| KP50-19 | ST383 | KP48; IncA/C2_NDM-1 | 138 371 | <i>aac(6′)-Ib</i> , <i>bla</i> _{CMY-6} , <i>bla</i> _{NDM-1} , <i>rmtC1</i> | NA | CP022126.1 , 140 133 bp | TraN, IS6-like transposase, other transposase ~2000 bp, it is an insertion element |
| | | KP50; IncL_OXA48 | 72 606 | <i>bla</i> _{OXA-48} , <i>bla</i> _{CTX-M-14} , <i>aph(3′′)</i> , <i>aph(3′′)-Ib</i> , <i>aph(6)-Ic</i> | NA | NZ_CP034202 , 720 57 bp | Single IS1-like transposase insertion |
| KP52-19 | ST383 | KP50; IncFIB(pNDM-Mar)/IncHI1B(pNDM-MAR)_NDM5 | 376 576 | <i>aac(6′)-Ib</i> , <i>ant(3′′)-Ia</i> , <i>bla</i> _{CTX-M-15} , <i>bla</i> _{NDM-5} , <i>bla</i> _{TEM-1} , <i>sul1</i> | <i>iucA</i> , <i>iucB</i> , <i>iucC</i> , <i>iucD</i> , <i>iutA</i> , <i>qnrS</i> , <i>rmpA</i> , <i>rmpA2</i> , <i>terA</i> , <i>terB</i> , <i>terC</i> , <i>terD</i> , <i>terE</i> , <i>terW</i> , <i>terX</i> , <i>terY</i> , <i>terZ</i> | NZ_CP034201.1 , 372 826 bp | >99.99% homology (it acquired a IS6–transposase– <i>sul1</i> –IS6 island but the <i>sul2</i> island is lost; it acquired a group II intron reverse transcriptase/maturase) |
| | | KP52; IncA/C2_NDM-1 | 138 371 | <i>aac(6′)-Ib</i> , <i>bla</i> _{CMY-6} , <i>bla</i> _{NDM-1} , <i>rmtC1</i> | NA | CP022126.1 , 140 133 bp | 99.98% homology (loss of IS1-like transposase, replication protein RepC) |
| KP52-19 | ST383 | KP52; IncL_OXA48 | 73 124 | <i>bla</i> _{OXA-48} , <i>bla</i> _{CTX-M-14} , <i>aph(3′′)</i> , <i>aph(3′′)-Ib</i> , <i>aph(6)-Ic</i> | NA | NZ_CP034202, 72 057 bp | 99.98% homology (loss of IS1-like transposase, replication protein RepC) |
| | | KP52; IncFIB(pNDM-Mar)/IncHI1B(pNDM-MAR)_NDM5 | 341 944 | <i>aac(6′)-b</i> , <i>ant(3′′)-Ia</i> , <i>aph(3′′)-Ia</i> , <i>armA</i> , <i>bla</i> _{CTX-M-15} , <i>bla</i> _{NDM-5} , <i>bla</i> _{TEM-1} | <i>iucA</i> , <i>iucB</i> , <i>iucC</i> , <i>iucD</i> , <i>iutA</i> , <i>qnrS</i> , <i>rmpA</i> , <i>rmpA2</i> , <i>terA</i> , <i>terB</i> , <i>terC</i> , <i>terD</i> , <i>terE</i> , <i>terW</i> , <i>terX</i> , <i>terY</i> , <i>terZ</i> | NZ_CP034201.1 , 372 826 bp | TraN, IS6-like transposase, other transposase ~2000 bp, it is an insertion element >99.99% homology, missing two large transposable islands |

ST, sequence type; AMR, antimicrobial resistance; NA, not applicable.

^a The reference sequence column represents the closest match using MASH (<https://ccb-microbe.cs.uni-saarland.de/plsdb/>).^b Homology and coverage were determined using the BLAST+ algorithm (<https://blast.ncbi.nlm.nih.gov/>).

lence and resistance factors could lead to an underestimation of the dangerous circulation of these strains.

Regarding the identified plasmids, IncL/M OXA-48 have been recognised to be very stable, highly conserved, with a high conjugation rate and are associated with global dissemination/epidemic [13]. These plasmids have very efficient conjugation not only among high-risk *Klebsiella* clones but also between different species [13]. New Delhi metallo-β-lactamases are usually not linked to a specific plasmid as the NDM-carrying element (integron or transposon) is highly mobile and can be integrated in many plasmids of different Inc groups [18]. This translates to date to a non-highly epidemic plasmid clonality, as expected in new evolving clones. The high recombination rate of these plasmids in association with high mobility of the NDM genetic element poses a serious challenge in hospital settings, where selective pressure may lead to the spreading of an epidemic, more stable, hybrid resistance/virulence, plasmid–clone lineage.

Due to the fitness and genetic cost, usually only one mechanism of resistance to carbapenems is carried by a single clone. Despite this, it has already been described that multiple carbapenemases may emerge in *K. pneumoniae* strains [19]. Recent studies also demonstrated the co-existence of NDM and other resistance genes in different pathogens, e.g. NDM-1 and OXA-232 in *Acinetobacter* spp., NDM-7 and OXA-48 in *Escherichia coli* and *K. pneumoniae*, and NDM-1 and VIM-1 in *K. pneumoniae* [20]. It has been speculated that some strains may be more ‘tolerant’ to multiple plasmid car-

riage than others. This translates to ‘middle host’ emerging clones capable of causing outbreaks and transferring highly mobile elements to other, more successful, clones [18].

The co-existence of OXA-48 in association with NDM-1 and NDM-5, identified in KP52-19, on three different plasmids in a single strain has, to the best of our knowledge, not been previously described. This may be a ‘missing link’ to the rise and evolution of non-KPC carbapenemases in the era of the ‘new’ KPC selective inhibitors as first-line treatment for carbapenem-resistant *K. pneumoniae*. Short-read sequencing assembly was not resolute in the identification of the two NDM genes, as the *bla*_{NDM} island is identical in both plasmids. Erroneous assembly of short reads is still an issue for this technology [21]. Only using a hybrid approach with long-read sequencing were we able to obtain the full structure and carriage of the NDM-carrying plasmids.

The discovery of new high-risk clones harbouring multiple mobile elements is a growing problem that poses a great challenge. Surveillance of the spread of virulence/resistance plasmids in new emerging clonal types of *K. pneumoniae* is of absolute importance especially in highly epidemic regions where the main mechanism of carbapenem resistance is linked to KPC enzyme production.

Conflict of Interest

None declared.

Funding

None.

Ethical approval

Not required.

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