

Lipschitz stable determination of polyhedral conductivity inclusions from local boundary measurements

Aspri Andrea¹, Beretta Elena², Francini Elisa³, and Vessella Sergio³

¹Department of Mathematics, Università degli Studi di Pavia

²Department of Mathematics, NYU Abu Dhabi

³Department of Mathematics and Computer Science, Università degli Studi di Firenze

Abstract

We consider the problem of determining a polyhedral conductivity inclusion embedded in a homogeneous isotropic medium from boundary measurements. We prove global Lipschitz stability for the polyhedral inclusion from the local Dirichlet-to-Neumann map extending in a highly nontrivial way the results obtained in [20] and [18] in the two-dimensional case to the three-dimensional setting.

1 Introduction

In this paper we analyze the nonlinear inverse problem of determining a polyhedron embedded in a three-dimensional homogeneous isotropic conducting body from boundary measurements. More precisely, we consider the conductivity equation

$$\operatorname{div}(\gamma_D \nabla u) = 0 \text{ in } \Omega \subset \mathbb{R}^3, \quad (1.1)$$

where

$$\gamma_D = 1 + (k - 1)\chi_D,$$

with D a polyhedral inclusion strictly contained in a bounded domain Ω , and $k \neq 1$ is a given, positive constant.

This class of conductivity inclusions appears in applications, like for example in geophysics exploration, where the medium (Earth) under inspection contains heterogeneities in the form of rough bounded subregions (for example subsurface salt or limestone bodies) with different conductivity properties [42].

We establish a Lipschitz stability estimate for the Hausdorff distance of polyhedral conductivity inclusions in terms of the local Dirichlet-to-Neumann (DtN) map, and, as a byproduct, a uniqueness result which is new in this general setting. An analogous, though less general result was obtained in [16] in the case of the Helmholtz equation. We would like to point out that in principle it should be possible to recover in a Lipschitz stable way both the polyhedral inclusion and the constant conductivity from boundary data but in order to reduce the technical complexity of the proof we decided to treat the case where the conductivity is fixed.

Lipschitz stability estimates are of key importance in practical applications. In fact, they provide a useful framework for optimization when using iterative methods, see for example [22, 3] so that the recovery of polyhedral interfaces becomes a shape optimization problem, see [19, 41] for the reconstruction of polygonal and polyhedral inclusions.

There is a wide literature on Lipschitz stability for the inverse conductivity problem when unknown coefficients depend on finitely many parameters and infinitely many measurements are available, see for

example [13], [17],[6], [7], [27], [25] and [20, 18] while in the case of finitely many measurements we refer to [5, 14] and to the more recent work [3, 1, 28, 29].

To our knowledge uniqueness and stability for general polyhedral conductivity inclusions from finitely many measurements are an open issue. Unique determination from one suitably chosen measurement has been proved in [15] restricting to the class of convex polyhedra. Logarithmic stability from one measurement has been derived in [37] in the two-dimensional case for polygonal conductivity inclusions and in [38] some preliminary results are obtained for the determination of a class of smooth two-dimensional inclusions.

Also, we would like to mention that the results obtained recently in [1] in an abstract setting and where Lipschitz continuity from finitely many measurements has been proved if the unknown belongs to a suitable finite dimensional nonlinear manifold seem not to include the case of polygonal and polyhedral conductivity inclusions.

On the other hand, in several applications, like the geophysical one, many measurements are at disposal on some part of the boundary, justifying the use of the local Dirichlet-to-Neumann map [21].

We would like to emphasize that the result we obtain is not at all a straightforward extension of the two-dimensional results obtained previously in [20] and [18] since it requires to deal with the more complex three-dimensional geometric setting. In fact, our main result relies on some preliminary rather technical but crucial geometric properties on admissible polyhedra $D \in \mathfrak{D}$ satisfying minimal a priori assumptions of Lipschitz type. In particular, for two polyhedra in \mathfrak{D} we are able to compare the Hausdorff distance of their boundaries and a modified distance defined in Section 3, Definition 3.3. These properties are then used to derive a first rough stability estimate of logarithmic type relating the Hausdorff distance between the boundaries of the polyhedra and the corresponding DtN maps. The stability estimate is obtained along the lines proposed in [8] and [9]: computing the difference of the local DtN along a pair of singular solutions for the conductivity operator with singularities $y, z \in \mathbb{R}^3 \setminus \Omega$ close to $\partial\Omega$ exploiting unique continuation and regularity properties of this function, denoted by $S(y, z)$, and finally coupling upper and lower bounds of $S(y, z)$.

Furthermore, as in [18], a crucial step to establish our Lipschitz stability is to prove smoothness of the local DtN map and to establish a lower bound of the directional derivative of the local DtN map. We construct an ad-hoc Lipschitz vector field, use a distributed representation formula of the derivative, derived in [19], and integrate by parts far from edges and vertices taking advantage of regularity properties of solutions to (1.1) close to smooth interfaces and avoiding the complex singular behaviour solutions to (1.1) exhibit close to vertices and edges. Finally, collecting the results of Sections 4 and 5 in Section 6 we prove our main result.

It would be interesting to extend the results of stability to the more general geometric configuration where the reference domain Ω is in the form of an inhomogeneous layered medium. This kind of geometrical setting originates from applications, for example, in geophysical exploration, where the medium under inspection (for example the Earth) is layered and contains heterogeneities in the form of rough bounded sub-regions with different conductivity properties, [26]. Moreover, the theoretical results in this paper contain the building blocks towards successful numerical reconstruction procedures based on, for example, shape derivative and level set techniques, as in [4, 24, 32, 33, 34, 35].

The plan of the paper is the following: In Section 2, we list the main a priori assumptions on the reference medium, the admissible polyhedral inclusions $D \in \mathfrak{D}$, the conductivity parameter and the data and state our main result, Theorem 2.5. In Section 3, we collect and prove the main geometric properties on polyhedra belonging to the class \mathfrak{D} that are crucial to derive our main stability result. In Theorem 4.5 of Section 4, we derive a first rough logarithmic stability estimate. In Section 5, we analyse the differentiability properties of the local DtN map, establish a formula for the directional derivative, prove its continuity and derive a lower bound (Proposition 5.5). Finally, in Section 6, collecting the results of Section 4 and 5, we prove our main stability result (Theorem 2.5). The appendix collects some technical proofs.

Notation

We begin by setting notation that we will use throughout and recalling some of the needed definitions. Given $P \in \mathbb{R}^3$, and $R > 0$, we denote by $B_R(P)$ the ball of center P and radius R , that is

$$B_R(P) := \{x \in \mathbb{R}^3 : |x - P| < R\}, \quad (1.2)$$

and by $B'_R(P)$ a disc centered at P with radius R , contained in a specific plane, which will be specified each time. We omit P when the center of the ball is in the origin.

We utilize standard notation for inner products, that is $x \cdot y = \sum_i x_i y_i$. Given A and B bounded sets in \mathbb{R}^3 , we recall that

$$\text{dist}(x, A) = \inf\{|x - a| : a \in A\}, \quad \text{and} \quad \text{dist}(A, B) = \inf\{|a - b| : a \in A, b \in B\}, \quad (1.3)$$

and we define the Hausdorff distance between two bounded and closed sets C and D in \mathbb{R}^3 as

$$d_H(C, D) = \max \left\{ \max_{x \in C} \text{dist}(x, D), \max_{x \in D} \text{dist}(x, C) \right\}. \quad (1.4)$$

With $\text{Int}(C)$ we denote the set of interior points of C . Given two closed simply connected and bounded flat surfaces F_1 and F_2 contained in \mathbb{R}^3 , and assuming that $F_1 \cap F_2 =: \sigma$, where σ is a segment and such that $\sigma \neq \emptyset$, then we denote by $\text{Int}_{\mathbb{R}^2}(F_1)$ and $\text{Int}_{\mathbb{R}}(\sigma)$ the interior of the set relative to the plane and the line that contain F_1 and σ , respectively.

2 Assumptions and main result

Let us start setting up the definition of a polyhedron, the notation for faces and vertices of the polyhedron and the a-priori assumptions that are needed in order to derive our main result.

Definition 2.1. *A closed subset $D \subset \mathbb{R}^3$ is a polyhedron if:*

$$D \text{ is homeomorphic to a ball in } \mathbb{R}^3; \quad (2.1)$$

the boundary ∂D is given by

$$\partial D = \bigcup_{j=1}^H F_j^D \quad (2.2)$$

where each F_j^D is a closed simply connected plane polygon (that is called a face of D) and

$$\text{Int}_{\mathbb{R}^2}(F_i^D) \cap \text{Int}_{\mathbb{R}^2}(F_j^D) = \emptyset \text{ for } i \neq j. \quad (2.3)$$

For $i \neq j$, $\sigma_{ij}^D = F_i^D \cap F_j^D$ is called an edge of D if $\text{Int}_{\mathbb{R}}(\sigma_{ij}^D) \neq \emptyset$. The non empty intersection of two edges is called a vertex V^D of D .

2.1 Assumptions on the polyhedral inclusion and on the reference medium

We consider a class of non degenerate polyhedra: let

$$r_0, \quad R_0, \quad \theta_0, \quad M_0$$

be given positive numbers such that $\theta_0 \in (0, \pi/2)$ and $r_0 < R_0$.

Let $\Omega \subset \mathbb{R}^3$ be a bounded domain such that

$$\text{diam}(\Omega) \leq R_0, \quad (2.4)$$

where $\text{diam}(\Omega)$ denotes the diameter of Ω .

We say that a polyhedron $D \subset \Omega$ is in $\mathfrak{D} = \mathfrak{D}(r_0, R_0, \theta_0, M_0)$ if the following assumptions hold.

Strict Inclusion:

$$\text{dist}(D, \partial\Omega) \geq r_0. \quad (2.5)$$

Dihedral angle non-degeneracy: at each edge of D the angle between the intersecting faces has width α such that

$$\alpha \in (\theta_0, \pi - \theta_0) \cup (\pi + \theta_0, 2\pi - \theta_0). \quad (2.6)$$

Face non-degeneracy: for any polygonal face F^D there exists $x_0 \in F^D$ such that

$$B'_{r_0}(x_0) \subset F^D, \quad (2.7)$$

where $B'_{r_0}(x_0)$ is contained in the plane containing F^D .

Edge non-degeneracy: for each edge σ_{ij}^D of D

$$\text{length}(\sigma_{ij}^D) \geq r_0. \quad (2.8)$$

Face angle non-degeneracy: each internal angle β of each face F^D satisfies

$$\beta \in (\theta_0, \pi - \theta_0) \cup (\pi + \theta_0, 2\pi - \theta_0). \quad (2.9)$$

Lipschitz regularity

$$\Omega \setminus D \text{ is connected and has Lipschitz boundary with constants } r_0 \text{ and } M_0, \quad (2.10)$$

that is: for every $P \in \partial(\Omega \setminus D)$ there is a rigid transformation of coordinates under which $P \equiv 0$ and

$$(\Omega \setminus D) \cap R_{M_0, r_0} = \{(x_1, x_2, x_3) : \Psi(x_1, x_2) < x_3\}$$

where

$$R_{M_0, r_0} = [-r_0, r_0]^2 \times [-2M_0r_0, 2M_0r_0]$$

and $\Psi : [-r_0, r_0]^2 \rightarrow \mathbb{R}$ is such that $\Psi(0, 0) = 0$ and

$$|\Psi(x_1, x_2) - \Psi(x'_1, x'_2)| \leq M_0 \sqrt{(x_1 - x'_1)^2 + (x_2 - x'_2)^2},$$

for every $x_1, x_2, x'_1, x'_2 \in [-r_0, r_0]$.

Remark 2.2. *The number of vertices V^D , edges σ_{ij}^D and faces F_j^D of a polyhedron in \mathfrak{D} is bounded from above by a constant N_0 depending only on r_0, R_0 , and M_0 .*

Remark 2.3. *Recall that (2.10) is not implied by the previous assumptions. Figure 1 shows a polyhedron satisfying (2.6) – (2.9) but not (2.10) at P .*

Remark 2.4. *Some of the previous assumptions are technical and instrumental to derive some of the proofs. It might be possible, in principle, that using other techniques these assumptions can be relaxed.*

Let

$$\gamma_D := 1 + (k - 1)\chi_D, \quad (2.11)$$

where χ_D is the characteristic function of $D \in \mathfrak{D}$, and k is a positive constant such that

$$\min(k, |k - 1|) \geq \kappa_0. \quad (2.12)$$

Finally let us state the assumptions on the part of the boundary on which we measure our data. Let Σ be an open portion of $\partial\Omega$ with size at least r_0 , i.e. we assume there exists at least one point $P_\Sigma \in \Sigma$ such that

$$\text{dist}(P_\Sigma, \partial\Omega \setminus \Sigma) \geq r_0. \quad (2.13)$$

In the sequel, we will refer to the set of parameters

$$r_0, \quad R_0, \quad \theta_0, \quad M_0, \quad \kappa_0$$

as the *a priori data*.

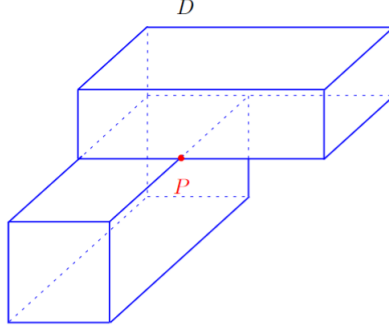


Figure 1: An example of a polyhedron satisfying (2.6) – (2.9) but not (2.10) at P . We refer the reader to [23, Example 3.7] for a detailed explanation, by using the uniform cone property, of the fact that D is not a Lipschitz domain.

2.2 The local Dirichlet to Neumann map

We define

$$H_{co}^{\frac{1}{2}}(\Sigma) := \left\{ \varphi \in H^{\frac{1}{2}}(\partial\Omega) : \text{supp } \varphi \subset \Sigma \right\},$$

and with $H_{co}^{-\frac{1}{2}}(\Sigma)$ its topological dual.

Given $f \in H_{co}^{\frac{1}{2}}(\Sigma)$, we consider the boundary value problem

$$\begin{cases} \text{div}(\gamma_D \nabla u) = 0 & \text{in } \Omega \\ u = f & \text{on } \partial\Omega. \end{cases} \quad (2.14)$$

Let us denote by $\Lambda_{\gamma_D}^{\Sigma}$ the local DtN map, that is the map

$$\begin{aligned} \Lambda_{\gamma_D}^{\Sigma} : H_{co}^{\frac{1}{2}}(\Sigma) &\rightarrow H_{co}^{-\frac{1}{2}}(\Sigma) \\ f &\rightarrow \frac{\partial u}{\partial \nu} \Big|_{\Sigma} \end{aligned} \quad (2.15)$$

where $u \in H^1(\Omega)$ is the solution to (2.14), and ν is the outer unit normal vector to $\partial\Omega$. The norm of the local DtN map in the space of linear operators $\mathcal{L}\left(H_{co}^{\frac{1}{2}}(\Sigma), H_{co}^{-\frac{1}{2}}(\Sigma)\right)$ is defined by

$$\|\Lambda_{\gamma_D}^{\Sigma}\|_{\star} := \sup \left\{ \|\Lambda_{\gamma_D}^{\Sigma} \varphi\|_{H_{co}^{-\frac{1}{2}}(\Sigma)} / \|\varphi\|_{H_{co}^{\frac{1}{2}}(\Sigma)} : \varphi \neq 0 \right\}.$$

As in [10] the DtN map can be defined as the operator characterized by

$$\langle \Lambda_{\gamma_D}^{\Sigma} f, \phi \rangle = \int_{\Omega} \gamma_D \nabla u \cdot \nabla v \, dx,$$

for all $\phi, f \in H_{co}^{\frac{1}{2}}(\Sigma)$, where $u \in H^1(\Omega)$ is the solution to (2.14), and v is any $H^1(\Omega)$ -function such that $v|_{\Sigma} = \phi$.

2.3 The main result

Recalling the definition of the Hausdorff distance, see (1.4), we state here our main Lipschitz stability result:

Theorem 2.5. *Let Ω be a bounded domain with Lipschitz boundary satisfying (2.4), let D_0 and $D_1 \in \mathfrak{D}$ (that is they satisfy assumptions (2.5)-(2.10)), let k satisfy (2.12) and let Σ be an open portion of $\partial\Omega$ satisfying (2.13). Then, there exists C depending only on the a priori data such that*

$$d_H(\partial D_0, \partial D_1) \leq C \left\| \Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma \right\|_*, \quad (2.16)$$

where

$$\gamma_{D_i} = 1 + (k-1)\chi_{D_i} \quad \text{for } i = 0, 1.$$

The proof of Theorem 2.5 is postponed at Section 6, after proving some intermediate results based essentially on the following steps and strategy:

1. Prove that it always exists a suitable tubular neighborhood connecting any point on $\partial\Omega$ to a special interior point of the face of one of the two polyhedra D_0 or D_1 , without crossing $D_0 \cup D_1$. To this aim, we introduce a specific distance (called “modified distance”) (see Definition 3.3) and exploit the connection between the modified and the Hausdorff distances (see Proposition 3.4).
2. The results of the previous point allow us to establish a rough (logarithmic) stability estimate of the Hausdorff distance between D_0 and D_1 in terms of the difference between the corresponding DtN map, see Theorem 4.5. This is obtained by propagating the smallness of data from Σ along the tubular neighborhood.
3. The logarithmic stability estimate implies that if two DtN maps are close enough, the two polyhedra have the same number of vertices, faces and edges, see Proposition 3.9. When this happens, it is possible to define a regular vector field that transforms D_0 into D_1 . We also prove smoothness of the local DtN map and establish a lower bound of its derivative with respect to the movement of the polyhedron
4. The regularity of the DtN map and the lower bound allow us to improve the stability estimates and to get Theorem 2.5.

3 Some useful geometric results on polyhedra

In this section we collect some geometric results on polyhedra in the class \mathfrak{D} . We first establish the relation between the Hausdorff distance of two polyhedra in \mathfrak{D} and the Hausdorff distance of their boundaries, see Proposition 3.2. Afterwards, we consider a modified distance between two polyhedra (see Definition 3.3) that was introduced in [2, 9] and establish an upper bound of the Hausdorff distance of the boundaries of two polyhedra in terms of their modified distance, see Proposition 3.4. This last property together with the main result of this section, that is Proposition 3.8, will be crucial in Section 4 to establish our first logarithmic stability estimate.

Proposition 3.8 here corresponds to Lemma 4.2 in [9] where it is stated under the assumption of inclusions with $C^{1,\alpha}$ boundaries; this regularity assumption allows to show that the union of two such inclusions has Lipschitz boundary. Unfortunately, this is not the case for polyhedra in \mathfrak{D} . For this reason, in order to prove Proposition 3.8, we have to rely on a fine result from [39] stating that if two polyhedra in \mathfrak{D} are close enough, in some neighborhood of some special point in the interior of one of the faces, the boundaries of the two polyhedra are relative graphs of affine functions (see Proposition 3.6).

The last key geometric result, contained in Proposition 3.9, states that if two polyhedra in \mathfrak{D} are close enough, then they have the same number of vertices, edges and faces.

3.1 Metric results

In this subsection we use some results from [39]. For this, we observe that our class of polyhedra \mathfrak{D} is a subset of the class of polyhedra $\mathcal{A}_{p,0}(h)$ (defined in [39]) for some $h > 0$ depending only on the a priori data.

Let us set some useful notation. Given $P \in \mathbb{R}^3$, a direction $\nu \in \mathbb{R}^3$, $l > 0$ and $\vartheta \in (0, \pi/2)$, we denote by

$$\mathcal{C}(P, \nu, l, \vartheta) = \{x \in \mathbb{R}^3 : (x - P) \cdot \nu \geq |x - \bar{x}| \cos \vartheta, |x - P| \leq l\} \quad (3.1)$$

the closed cone with vertex P , axis ν , width ϑ , and apothem l .

Remark 3.1. *By assumption (2.10), for each $P \in \partial(\Omega \setminus D)$ there exist a direction ν , a positive l and $\vartheta \in (0, \pi/2)$ depending only on the a priori data, such that*

$$\mathcal{C}(P, \nu, l, \vartheta) \subset \overline{(\Omega \setminus D)}$$

and, if $P \in \partial D$

$$\mathcal{C}(P, -\nu, l, \vartheta) \subset D.$$

The proposition below (that corresponds to Proposition 2.4 in [39] to which we refer for the proof) establishes the equivalence in \mathfrak{D} between $d_H(D_0, D_1)$ and $d_H(\partial D_0, \partial D_1)$.

Proposition 3.2. *Let D_0 and $D_1 \in \mathfrak{D}$, then there is a positive constant $C_1 > 1$ depending on the a priori data only such that*

$$C_1^{-1} d_H(\partial D_0, \partial D_1) \leq d_H(D_0, D_1) \leq C_1 d_H(\partial D_0, \partial D_1). \quad (3.2)$$

For D_0 and $D_1 \in \mathfrak{D}$, let \mathcal{G} be the connected component of $\Omega \setminus (D_0 \cup D_1)$ which contains $\partial\Omega$, and let

$$\Omega_{\mathcal{G}} = \Omega \setminus \mathcal{G}. \quad (3.3)$$

Since the value of $d_H(\partial D_0, \partial D_1)$ can be attained at some point of $\partial D_0 \cup \partial D_1$ that is not necessarily on $\partial\Omega_{\mathcal{G}}$ (see, for example, the configuration in Figure 2) and, hence, cannot be reached from $\partial\Omega$ without crossing $\partial D_0 \cup \partial D_1$, we introduce a modified distance as was defined in [9].

Definition 3.3.

$$d_{\mu}(D_0, D_1) = \max \left\{ \max_{x \in \partial D_0 \cap \partial\Omega_{\mathcal{G}}} \text{dist}(x, D_1), \max_{x \in \partial D_1 \cap \partial\Omega_{\mathcal{G}}} \text{dist}(x, D_0) \right\}. \quad (3.4)$$

We point out that this is not a metric because, in general, the triangle inequality doesn't hold. It is straightforward to show, see [9], that

$$d_{\mu}(D_0, D_1) \leq d_H(\partial D_0, \partial D_1). \quad (3.5)$$

In general, d_{μ} does not bound from above the Hausdorff measure, but, in the class \mathfrak{D} the following result that will be crucial for deriving the stability estimates in Section 4, holds:

Proposition 3.4. *There is a constant $C_2 > 1$ depending only on the a priori data, such that, for $D_0, D_1 \in \mathfrak{D}$*

$$d_H(\partial D_0, \partial D_1) \leq C_2 d_{\mu}(D_0, D_1).$$

In order to prove Proposition 3.4 we need the following preliminary result:

Lemma 3.5. *Let $D \in \mathfrak{D}$. Then, for every $P \in \partial D$ there exists a curve \mathfrak{c} in $\Omega \setminus D$ connecting P to $\partial\Omega$ such that*

$$|z - P| \leq C_2 \text{dist}(z, D), \quad \forall z \in \mathfrak{c},$$

where $C_2 > 1$ depends only on the a priori data.

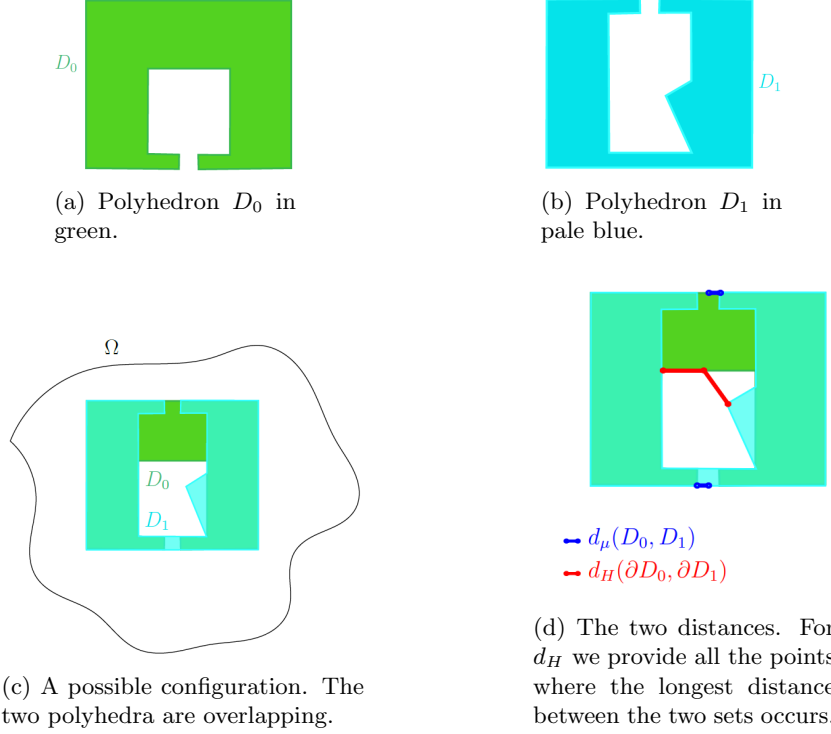


Figure 2: A 2D-section of a possible geometrical setting. Note that Figure 2d represents the case when the value of $d_H(\partial D_0, \partial D_1)$ is attained at some point that is not on $\partial\Omega_G$.

This lemma corresponds to Proposition 3.3 in [8] and to Lemma 4.1 in [9] for $C^{1,\alpha}$ inclusions. Here, we prove it for $D \in \mathfrak{D}$.

Proof of Lemma 3.5. By Assumption (2.10) we can apply Lemma 5.5 in [11], hence, there exists a positive number a depending only on the Lipschitz constant M_0 such that the set

$$E_t^D = \{x \in \overline{\Omega} \setminus D : \text{dist}(x, \partial D) > t\} \quad (3.6)$$

is connected for $t \leq ar_0$.

Let $P \in \partial D$; by Remark 3.1, there exists a cone $\mathcal{C}(P, \nu, l, \vartheta) \subset \overline{(\Omega \setminus D)}$. By easy calculations we can see that, by choosing

$$\tau_0 = \frac{l}{1 + \sin \vartheta},$$

the point $y_{\tau_0} = P + \tau_0 \nu$ satisfies $\text{dist}(y_{\tau_0}, \partial \mathcal{C}(P, \nu, l, \vartheta)) = \tau_0 \sin \vartheta$. Let us now take $t_0 = \min \{ar_0, \tau_0\}$. Since $t_0 \leq \tau_0$, we have

$$\text{dist}(y_{t_0}, \Omega \setminus D) \geq \text{dist}(y_{t_0}, \partial \mathcal{C}(P, \nu, l, \vartheta)) \geq t_0 \sin \vartheta,$$

hence $y_{t_0} \in E_{t_0 \sin \vartheta}^D$. Since $t_0 \sin \vartheta < ar_0$, then $E_{t_0 \sin \vartheta}^D$ is connected.

Let \mathfrak{c}' be a curve in $E_{t_0 \sin \vartheta}^D$ that connects y_{t_0} to $\partial\Omega$ and let $\mathfrak{c} = \mathfrak{c}' \cup [y_{t_0}, P]$, where $[y_{t_0}, P]$ is the line segment from P to y_{t_0} .

If $z \in \mathfrak{c}'$, then $\text{dist}(z, \partial D) \geq t_0 \sin \vartheta$, hence

$$|z - P| \leq \text{diam}(\Omega) \leq R_0 \leq \frac{R_0}{t_0 \sin \vartheta} \text{dist}(z, \partial D).$$

If $z \in [y_{t_0}, P]$, then $\text{dist}(z, \partial D) \geq |z - P| \sin \vartheta$. In both cases

$$|z - P| \leq \max \left\{ \frac{R_0}{t_0 \sin \vartheta}, \frac{1}{\sin \vartheta} \right\}, \quad \forall z \in \mathfrak{c}.$$

□

We are now ready to prove Proposition 3.4.

Proof of Proposition 3.4. Let $P \in \partial D_0$, we have two different cases

- (i) $P \in \partial D_0 \cap \partial \Omega_{\mathcal{G}}$;
- (ii) $P \in \partial D_0 \setminus \partial \Omega_{\mathcal{G}}$.

In case (i) we have that $P \notin \text{Int}(D_1)$, hence

$$\text{dist}(P, \partial D_1) = \text{dist}(P, D_1) \leq d_{\mu}(D_0, D_1).$$

In case (ii) we have $P \in \text{Int}(\Omega_{\mathcal{G}})$. By Lemma 3.5, let \mathfrak{c} be a curve such that

$$\mathfrak{c} \subset \Omega \setminus D_0$$

connects P to $\partial \Omega$ and

$$|z - P| \leq C_2 \text{dist}(z, D_0), \quad \forall z \in \mathfrak{c}. \quad (3.7)$$

Since $P \in \text{Int}(\Omega_{\mathcal{G}})$, \mathfrak{c} intersects $\partial \Omega_{\mathcal{G}}$ and, since

$$(\mathfrak{c} \cap \partial D_0) \setminus \{P\} = \emptyset,$$

then

$$(\mathfrak{c} \cap \Omega_{\mathcal{G}}) \cap \partial D_1 \neq \emptyset.$$

Let $\bar{z} \in \mathfrak{c} \cap \partial \Omega_{\mathcal{G}} \cap \partial D_1$. We have

$$\text{dist}(\bar{z}, D_0) \leq \sup_{x \in \partial D_1 \cap \partial \Omega_{\mathcal{G}}} \text{dist}(x, D_0) \leq d_{\mu}(D_0, D_1)$$

and, by (3.7), we have

$$\frac{1}{C_2} |\bar{z} - P| \leq \text{dist}(\bar{z}, D_0) \leq d_{\mu}(D_0, D_1). \quad (3.8)$$

Since $\bar{z} \in \partial D_1$ and by (3.7)

$$\text{dist}(P, \partial D_1) \leq |\bar{z} - P|, \quad (3.9)$$

hence, from (3.8) and (3.9) we have

$$\text{dist}(P, \partial D_1) \leq C_2 d_{\mu}(D_0, D_1), \quad \forall P \in \partial D_0, \quad (3.10)$$

and, by symmetry,

$$\text{dist}(Q, \partial D_0) \leq C_2 d_{\mu}(D_0, D_1), \quad \forall Q \in \partial D_1. \quad (3.11)$$

Inequalities (3.10) and (3.11) imply that

$$d_H(\partial D_0, \partial D_1) \leq C_2 d_{\mu}(D_0, D_1).$$

□

3.2 A useful geometric construction

The aim of this subsection (see Proposition 3.8) is the construction of a special tubular set contained in \mathcal{G} that connects a special point on $\partial\Omega_{\mathcal{G}}$ to any point on $\partial\Omega$ (and particularly any point on Σ) and has a fixed positive distance from the rest of the boundaries of the two polyhedra. In this set we will be able to propagate the information on the DtN map up the the boundary of $\partial\Omega_{\mathcal{G}}$.

In order to construct this tubular set, we need some information on the position of the boundaries of the two polyhedra when they are sufficiently close. Proposition 3.6 below, that is the adaptation to our setting of Proposition 6.2 in [39], states that, in a neighborhood of some point, the boundaries of the two polyhedra are relative graphs of affine functions that are not too close (see (3.12)).

Proposition 3.6. *There exist positive constants $k_1 \leq \bar{k}_0 \leq k_0$, K , K_1 and L_1 depending only on the a priori data, such that, if $D_0, D_1 \in \mathfrak{D}$ and*

$$d_H(D_0, D_1) \leq k_0 r_0,$$

then there exist $P_0 \in \partial D_0$ and $P_1 \in \partial D_1$ such that the following conditions are satisfied. Up to a rigid transformation $P_0 = (0, 0, 0)$, $P_1 = (0, 0, a_1)$ and

$$\partial D_0 \cap B_{\bar{k}_0 r_0} = \{(x_1, x_2, x_3) \in B_{\bar{k}_0 r_0} : x_3 = \Phi_0(x_1, x_2)\},$$

$$\partial D_1 \cap B_{\bar{k}_0 r_0} = \{(x_1, x_2, x_3) \in B_{\bar{k}_0 r_0} : x_3 = \Phi_1(x_1, x_2)\},$$

where Φ_0 and Φ_1 are Lipschitz functions with Lipschitz constant bounded by L_1 and such that $\Phi_0(0, 0) = 0$ and $\Phi_1(0, 0) = a_1$.

Furthermore, on $B'_{k_1 r_0} = \{(x_1, x_2) \in \mathbb{R}^2 : x_1^2 + x_2^2 \leq k_1^2 r_0^2\}$ we have

$$\Phi_0(x_1, x_2) = l_1^0 x_1 + l_2^0 x_2, \quad \Phi_1(x_1, x_2) = l_1^1 x_1 + l_2^1 x_2 \quad \forall (x_1, x_2) \in B'_{k_1 r_0}$$

$$S_0 = \{(x_1, x_2, \Phi_0(x_1, x_2)) : (x_1, x_2) \in B'_{k_1 r_0}\} \subset \partial D_0,$$

$$S_1 = \{(x_1, x_2, \Phi_1(x_1, x_2)) : (x_1, x_2) \in B'_{k_1 r_0}\} \subset \partial D_1,$$

$$(l_1^0 - l_1^1)^2 + (l_2^0 - l_2^1)^2 \leq \left(\frac{K d_H(D_0, D_1)}{r_0} \right)^2,$$

$$|a_1| \leq K d_H(D_0, D_1),$$

and

$$|\Phi_0(x_1, x_2) - \Phi_1(x_1, x_2)| \geq K_1 (d_H(D_0, D_1))^3, \quad \forall (x_1, x_2) \in B'_{k_1 r_0}. \quad (3.12)$$

Remark 3.7. *Notice that k_0 can be chosen such that D_0 and D_1 are on the same side with respect to S_0 and S_1 .*

We call D_0 the polyhedron for which the point $P_0 \in \partial\Omega_{\mathcal{G}}$.

Let us now introduce the description of a tubular neighborhood of a curve as was introduced in [12, 9]. Let $P \in \partial\Omega_{\mathcal{G}}$ and let ν be a unit direction such that the line segment $[P, P + d\nu]$ is contained in \mathcal{G} for some $d > 0$. Let \bar{P} be a point on $\partial\Omega$, consider a curve \mathfrak{c} joining \bar{P} to $P + d\nu$ and define, for some $R \in (0, d)$

$$V_R(\mathfrak{c}) = \bigcup_{Q \in \mathfrak{c}} B_R(Q) \cup \mathcal{C} \left(P, \nu, \frac{d^2 - R^2}{d}, \arcsin \frac{R}{d} \right)$$

where \mathcal{C} is the cone defined in (3.1).

In the next proposition, we show that such a set $V_R(\mathfrak{c})$ can be constructed in \mathcal{G} , see, for example, Figure 3.

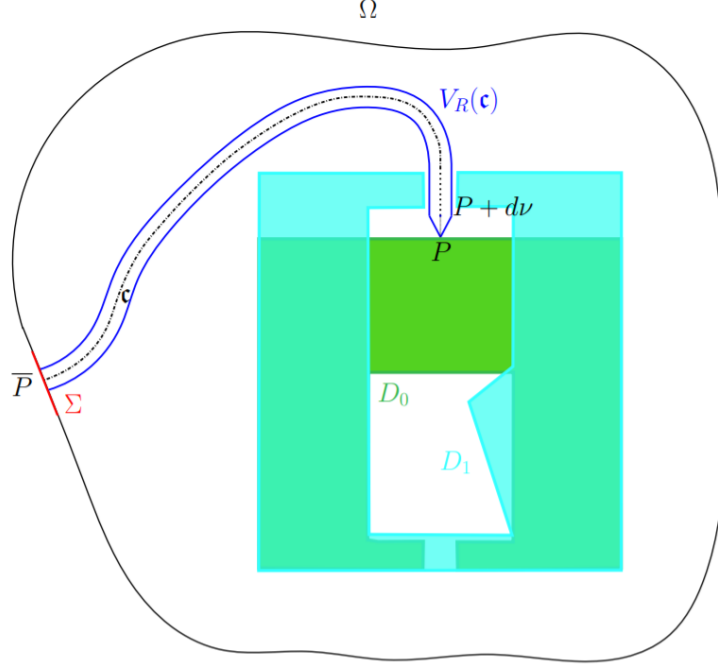


Figure 3: A 2D-section of a possible configuration with the representation of $V_R(\mathbf{c})$ and \mathbf{c} connecting \bar{P} to $P + d\nu$.

Proposition 3.8. *If $D_0, D_1 \in \mathfrak{D}$, there exist constants C_3, d, R (with $R < d$) and R_1 depending only on the a priori data and there is a point $P \in \partial D_0 \cap \partial \Omega_G$ such that*

$$C_3 d_\mu^3(D_0, D_1) \leq \text{dist}(P, D_1), \quad (3.13)$$

$$\text{dist}(P, \{\sigma_{ij}^{D_0}\}_{i \neq j}) \geq R_1, \quad (3.14)$$

and such that, given any point $\bar{P} \in \partial \Omega$ there is a curve \mathbf{c} joining \bar{P} to $P + d\nu$, where ν is the unit outer normal to ∂D_0 , such that

$$V_R(\mathbf{c}) \subset \bar{\mathcal{G}}. \quad (3.15)$$

Proof. Let us denote by $d_\mu = d_\mu(D_0, D_1)$ and let

$$d_1 = \frac{r_0}{2C_1 C_2} \min \{k_1, a\}.$$

We distinguish two cases.

Case 1: $d_\mu \geq d_1$.

Note that, by Lemma 5.5 in [11], the sets $E_t^{D_0}$ and $E_t^{D_1}$ (defined as in (3.6)) are connected for $t \leq d_1 \leq ar_0$.

Let \tilde{P} be a point on $\partial D_0 \cap \partial \Omega_G$ be the point that satisfies

$$d_\mu(D_0, D_1) = \text{dist}(\tilde{P}, D_1).$$

Let P be a point in the face containing \tilde{P} (or in one of the faces containing \tilde{P}) such that

$$\text{dist}(P, \{\sigma_{ij}^{D_0}\}_{i \neq j}) \geq \frac{d_1}{4} \sin\left(\frac{\theta_0}{2}\right)$$

and

$$\text{dist}(P, D_1) \geq \frac{d_1}{2}. \quad (3.16)$$

Consider the outer cone to D_0 at P (see Remark 3.1). Since P is internal to a face, the direction ν can be chosen orthogonal to ∂D_0 .

The point $P + \frac{d_1}{4}\nu$ belongs to $E_{D_1}^{\frac{d_1}{2}}$ and also to $E_{D_0}^{\frac{d_1}{4} \sin \vartheta}$ (with ϑ from Remark 3.1).

Then, given any point $\bar{P} \in \partial\Omega$ there is a curve \mathfrak{c} joining $P + \frac{d_1}{4}\nu$ to \bar{P} with distance bigger than $\frac{d_1}{4} \sin \vartheta$ from $\Omega_{\mathcal{G}}$.

Moreover we trivially have

$$d_\mu^3(D_0, D_1) \leq (\text{diam}(\Omega))^3 \leq R_0^3,$$

hence, by (3.16)

$$d_\mu^3(D_0, D_1) \leq R_0^3 \frac{2\text{dist}(P, D_1)}{d_1}$$

that gives (3.13).

Case 2: $d_\mu < d_1$

Since by Proposition 3.2 and Proposition 3.4

$$d_H(D_0, D_1) \leq C_1 C_2 d_\mu(D_0, D_1)$$

we have

$$d_H(D_0, D_1) \leq C_1 C_2 d_1 \leq k_0 r_0$$

so that the assumptions of Proposition 3.6 hold true.

Let now P_0 be the point in Proposition 3.6 and let ν_0 be the normal direction to S_0 (defined in Proposition 3.6). Notice that, due to (3.12) the cone of $\mathcal{C}(P_0, \nu_0, k_1 r_0, \pi/2)$ is contained in \mathcal{G} .

Let us take the point $P_0 + \frac{k_1 r_0}{2} \nu_0$ and notice that

$$\text{dist}\left(P_0 + \frac{k_1 r_0}{2} \nu_0, D_0\right) = \frac{k_1 r_0}{2}.$$

Let $t_0 = \min\{\frac{k_1 r_0}{2}, ar_0\}$ so that $E_{t_0}^{D_0}$ is connected.

Let \mathfrak{c} be a curve joining $P_0 + \frac{k_1 r_0}{2} \nu_0$ to a point $\bar{P} \in \partial\Omega$ and such that $\mathfrak{c} \subset E_{t_0}^{D_0}$. By choosing $d = \frac{k_1 r_0}{2}$ and $R = t_0/4$ the tubular set $V_R(\mathfrak{c})$ (starting from $P = P_0$) is contained in $\overline{\Omega} \setminus D_0$.

Now, since

$$d_H(D_0, D_1) \leq C_1 C_2 d_1 \leq \frac{t_0}{2}$$

the set $V_R(\mathfrak{c})$ is contained also in $\overline{\Omega} \setminus D_1$, and (3.15) follows.

Inequalities (3.13) and (3.14) (for $P = P_0$ and $R_1 = k_0 r_0$) are a straightforward consequence of (3.12), (3.5) and (3.2). \square

3.3 Estimating the distance between vertices of close polyhedra

We now state and prove the main result of the section: if two polyhedra in \mathfrak{D} are close enough, then they have the same number of vertices (and faces and edges).

Proposition 3.9. *There exist two positive constants δ_0 and C depending only on the a priori data, such that, if for some D_0 and D_1 in \mathfrak{D} ,*

$$d_H(\partial D_0, \partial D_1) \leq \delta_0,$$

then D_0 and D_1 have the same number N of vertices $\{V_i^{D_0}\}_{i=1}^N$ and $\{V_i^{D_1}\}_{i=1}^N$, respectively, which can be ordered in such a way that

$$\text{dist}(V_i^{D_0}, V_i^{D_1}) \leq Cd_H(\partial D_0, \partial D_1). \quad (3.17)$$

Moreover, for each edge or face in D_0 there is an edge or a face in D_1 with corresponding vertices.

Proof. The proof of Proposition 3.9 follows the same idea of the proof of Proposition 3.3 in [20] in the two dimensional setting. In that case we show that, if the Hausdorff distance between the boundaries is small enough, a vertex of one of the two polygons cannot be too far from vertices of the other polygon without violating the a priori assumptions.

For polyhedra the proof is more involved and it is divided in two steps: in the first step we show that the distance between an arbitrary vertex in D_0 from the edges of D_1 can be bounded by $Cd_H(\partial D_0, \partial D_1)$ where C depends only on the a priori data. The main idea to prove this consists in showing that a small neighborhood of a face of one polyhedron cannot contain a vertex of the second polyhedron since the length of edges and width of angles are bounded from below by the a priori data.

In the second step, we show that an arbitrary vertex of D_0 has distance smaller than $Cd_H(\partial D_0, \partial D_1)$ from a vertex in D_1 . This time the idea is that a small neighborhood of a pair of intersecting faces cannot contain a vertex that does not violate assumption (2.9). Since assumption (2.8) holds, if $d_H(\partial D_0, \partial D_1)$ is small enough there is a one to one correspondence between vertices of the two polyhedra.

For sake of brevity let us denote by

$$d_H = d_H(\partial D_0, \partial D_1), \quad (3.18)$$

and let

$$(\partial D_1)^{(d_H)} = \{x \in \mathbb{R}^3 : \text{dist}(x, \partial D_1) \leq d_H\}.$$

By definition of Hausdorff distance it follows that $\partial D_0 \subset (\partial D_1)^{(d_H)}$.

We can also assume that $(\Omega)_{d_H} \setminus (\partial D_1)^{(d_H)}$ is connected by [9, Lemma 5.5], where

$$(\Omega)_{d_H} = \{x \in \Omega : \text{dist}(x, \partial \Omega) > d_H\}.$$

Let us choose an arbitrary vertex in D_0 and let us denote it by $V_1^{D_0}$. Let $F_i^{D_1}$ be a face of D_1 such that

$$\text{dist}(V_1^{D_0}, F_i^{D_1}) \leq d_H$$

(notice that such a face exists because $V_1^{D_0} \in (\partial D_1)^{(d_H)}$).

Let us choose our coordinate system such that $V_1^{D_0} = (0, 0, 0)$, and $F_i^{D_1}$ lies on the plane $\{x_3 = -c\}$ for $0 \leq c \leq d_H$.

We now want to show that there exists a vertex (say $V_1^{D_1}$) of the polygon $F_i^{D_1}$ such that

$$\text{dist}(V_1^{D_0}, V_1^{D_1}) \leq Cd_H$$

where C depends only on the a priori assumptions.

First step. Let us show that there exists C_0 , depending only on the a priori data, such that, if d_H is small enough, then

$$\text{dist}((0, 0, -c), \partial F_i^{D_1}) \leq C_0 d_H \quad (3.19)$$

and, hence, since $0 \leq c \leq d_H$

$$\text{dist}(V_1^{D_0}, \partial F_i^{D_1}) \leq (C_0 + 1)d_H. \quad (3.20)$$

In order to prove (3.19), let us assume that

$$\text{dist}((0, 0, -c), \partial F_i^{D_1}) > C_0 d_H \quad (3.21)$$

and show that there is a constant C_0 such that (3.21) leads to a contradiction for sufficiently small d_H .

By assumption (2.9), the cones with basis $B'_{C_0 d_H}((0, 0, -c))$ and height $C_0 d_H \tan \theta_0$ do not intersect other faces of D_1 except $F_i^{D_1}$.

Let us take $C_0 > \frac{1+\cos \theta_0}{\sin \theta_0}$. It is easy to show that the ball centered at $V_1^{D_0}$ with radius $C_1 d_H$, where $C_1 = \frac{1}{2}(C_0 \sin \theta_0 - \cos \theta_0 - 1)$ does not intersect the set

$$\left\{x \in \mathbb{R}^3 : \text{dist}\left(x, \partial D_1 \setminus F_i^{D_1}\right) \leq d_H\right\}.$$

Let us now take d_H such that $C_1 d_H < r_0$. This implies that the edges of D_0 that contain $V_1^{D_0}$, that are contained in $(\partial D_1)^{(d_H)}$, by definition of the Hausdorff measure, intersect $\partial B_{C_1 d_H}(V_1^{D_0})$ at points that lie between the planes $\pi^+ = \{x_3 = 2d_H\}$ and $\pi^- = \{x_3 = -2d_H\}$ (as a matter of fact the region on the ball that can contain these intersections is smaller, but we choose this one to have a symmetric one).

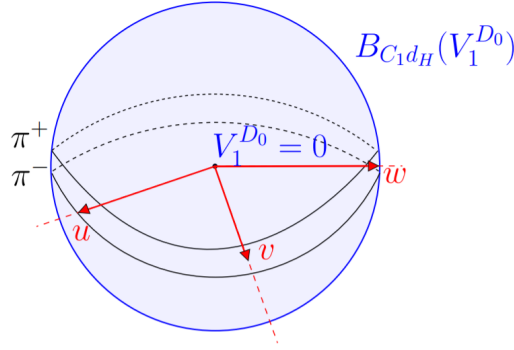


Figure 4: First step of the proof. Note that if the strip is too small then the vectors are not all contained in it.

Let $\sigma_{ij}^{D_0}$ be one of the edges of D_0 that contains $V_1^{D_0}$ and let us denote by v the position vector that represents the intersection of this edge with the sphere $B_{C_1 d_H}(V_1^{D_0})$.

Let u and w the position vectors with tips at the intersection of the edges of the faces of D_0 adjacent to $\sigma_{ij}^{D_0}$ with $\partial B_{C_1 d_H}(V_1^{D_0})$

We have that

$$\begin{aligned} |u| &= |v| = |w| = C_1 d_H, \\ |u_3|, |v_3|, |w_3| &\leq 2d_H. \end{aligned} \tag{3.22}$$

Let θ_{ij} denote the internal angle at the edge $\sigma_{ij}^{D_0}$. We now show that, if C_1 (and, hence, if C_0) is big enough and $C_1 d_H < r_0$, then

$$|\cos \theta_{ij}| > \cos \theta_0$$

in contradiction with assumption (2.9).

Let us consider the unit normal direction to the faces intersecting at $\sigma_{ij}^{D_0}$:

$$t = \frac{u \times v}{|u \times v|} \text{ and } \tau = \frac{v \times w}{|v \times w|}. \tag{3.23}$$

Notice that, by (3.22),

$$\begin{aligned} |u \times v|^2 &\leq (u_1^2 + u_2^2 + v_1^2 + v_2^2)(u_3^2 + v_3^2) + (u_1 v_2 - u_2 v_1)^2 \\ &\leq 16C_1^2 d_H^4 + t_3^2 |u \times v|^2, \end{aligned}$$

so that

$$(1 - t_3^2)|u \times v|^2 \leq 16C_1^2 d_H^4. \quad (3.24)$$

From assumption (2.6) and (3.22) we have

$$|u \times v|^2 = |u|^2|v|^2 |\sin \hat{u}v|^2 \geq (C_1 d_H)^4 \sin^2 \theta_0. \quad (3.25)$$

From (3.24) and (3.25),

$$1 - t_3^2 \leq \frac{16}{C_1^2 \sin^2 \theta_0},$$

hence

$$1 - |t_3| \leq \frac{16}{C_1^2 \sin^2 \theta_0} \quad (3.26)$$

and, in the same way,

$$1 - |\tau_3| \leq \frac{16}{C_1^2 \sin^2 \theta_0}. \quad (3.27)$$

Now, by (3.26) and (3.27),

$$|\cos \theta_{ij}| = |t \cdot \tau| \geq |t_3||\tau_3| - |t'| |\tau'| \quad (3.28)$$

$$= |t_3||\tau_3| - \sqrt{(1 - |t_3|^2)(1 - |\tau_3|^2)} \geq 1 - \frac{48}{C_1^2 \sin^2 \theta_0}. \quad (3.29)$$

For this reason, if

$$C_1^2 > \frac{48}{(1 - \cos \theta_0) \sin^2 \theta_0}, \quad (3.30)$$

we have that

$$|\cos \theta_{ij}| > \cos \theta_0$$

that contradicts (2.9).

So, let us take, for example

$$C_0 = 2 \left\{ \frac{8\sqrt{3}}{\sqrt{1 - \cos \theta_0} \sin^2 \theta_0} + \frac{1 + \cos \theta_0}{\sin \theta_0} \right\}.$$

With this choice, (3.30) holds, hence we have a contradiction for $d_H < \frac{r_0}{C_1}$. This implies that, for $d_H < \frac{r_0}{C_1}$, (3.19) and (3.20) hold.

Second step. Since (3.20) holds, there is an edge $\sigma_{ij}^{D_1}$ such that

$$\text{dist} \left(V_1^{D_0}, \sigma_{ij}^{D_1} \right) \leq (C_0 + 1)d_H.$$

Let $V_1^{D_1}$ and $V_2^{D_1}$ be the endpoints of $\sigma_{ij}^{D_1}$.

We want to show that there is C_2 depending only on the a priori data, such that, for d_H small enough, either

$$\text{dist} \left(V_1^{D_0}, V_1^{D_1} \right) \leq C_2 d_H \text{ or } \text{dist} \left(V_1^{D_0}, V_2^{D_1} \right) \leq C_2 d_H.$$

Again, we proceed by contradiction and assume that

$$\text{dist} \left(V_1^{D_0}, V_1^{D_1} \right) > C_2 d_H \text{ and } \text{dist} \left(V_1^{D_0}, V_2^{D_1} \right) > C_2 d_H.$$

and get a contradiction with the a priori assumptions on \mathfrak{D} , see Figure 5.

Let $F_j^{D_1}$ be such that $F_i^{D_1} \cap F_j^{D_1} = \sigma_{ij}^{D_1}$.

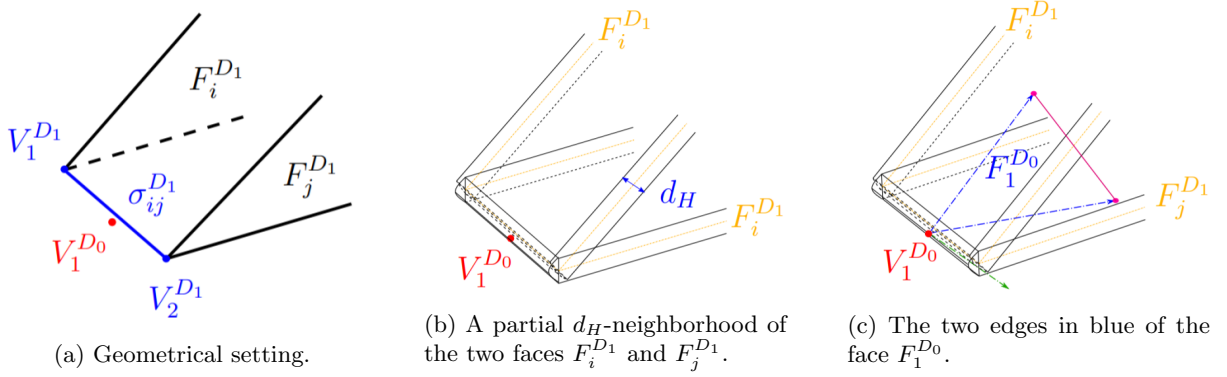


Figure 5: A sketch of the geometrical setting for the second step of the proof.

As in the first step, by elementary calculations, there is a ball centered at $V_1^{D_0}$ of radius $C_3 d_H$, where C_3 depends on the a priori data and on C_2 , such that

$$B_{C_3 d_H} \left(V_1^{D_0} \right) \cap \left\{ x \in \mathbb{R}^3 : \text{dist} \left(x, \partial D_1 \setminus \left(F_i^{D_1} \cup F_j^{D_1} \right) \right) \leq d_H \right\} = \emptyset.$$

This implies that the intersections of all the edges containing $V_1^{D_0}$ with such ball lie in a d_H -neighborhood of the two faces. From the first step, we know that, it is not possible to have all these intersections in the neighborhood of only one of the two faces. Hence, there is a face (say $F_1^{D_0}$) containing $V_1^{D_0}$ that has one edge in the neighborhood of $F_i^{D_1}$ and one in $F_j^{D_1}$. With calculations similar to the ones in the first step, that we omit for sake of shortness, it is possible to show that, for C_2 big enough, a part of the face $F_1^{D_0}$ does not belong to $(\partial D_1)^{(d_H)}$ contradicting the definition of the Hausdorff distance. \square

4 A first rough stability estimate

In this section we derive a rough stability estimate of polyhedral inclusions measured in the Hausdorff distance in terms of the operator norm of the partial DtN map. As shown in the previous section, this estimate is crucial to prove that the two polyhedra have the same vertices which can be ordered in such a way that they are close, see Proposition 3.9.

4.1 On some properties of the Green's function

Let us first recall Alessandrini's identity. Let u_0 and u_1 , with $\text{supp}(u_0|_{\partial\Omega}), \text{supp}(u_1|_{\partial\Omega}) \subset \Sigma$, be solutions of the equations

$$\text{div}(\gamma_{D_i} \nabla u_i) = 0, \quad i = 0, 1,$$

and $\Lambda_{\gamma_{D_i}}^\Sigma$ the corresponding local DtN maps, for $i = 0, 1$. Then, it holds

$$\langle (\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma) u_0|_\Sigma, u_1|_\Sigma \rangle = \int_\Omega (k-1) (\chi_{D_0} - \chi_{D_1}) \nabla u_0 \cdot \nabla u_1 \, dx, \quad (4.1)$$

where χ_{D_i} , for $i = 0, 1$, is the characteristic function of D_i .

As in [10, 11], we introduce an augmented domain Ω^\sharp , attaching to Ω an open set Ω_0 , in its exterior, whose boundary intersects $\partial\Omega$ on an open portion $\Sigma_0 \Subset \Sigma$ such that Σ_0 has size which is a fraction of r_0 . Let us choose Ω_0 in such a way that $\Omega^\sharp := \Omega \cup \Sigma_0 \cup \Omega_0$ has the following properties: there exist r_1, M_1 , depending only on r_0 , and M_0 such that

1. Ω^\sharp is open, connected with Lipschitz boundary with constants r_1, M_1 ;

2. there exists $P_0 \in \Omega_0$ such that

$$B_{2r_1}(P_0) \subset \Omega_0. \quad (4.2)$$

We extend the conductivity to be 1 in Ω_0 still denoting it with γ_D .

Let $\Gamma(x, y)$ be the fundamental solution of the Laplace operator, that is the function

$$\Gamma(x, y) = \frac{1}{4\pi} \frac{1}{|x - y|},$$

and with G^\sharp the Green's function, solution to

$$\begin{cases} \operatorname{div}(\gamma_D \nabla G^\sharp(\cdot, y)) = -\delta(\cdot - y) & \text{in } \Omega^\sharp \\ G^\sharp(\cdot, y) = 0 & \text{on } \partial\Omega^\sharp, \end{cases} \quad (4.3)$$

where $\delta(\cdot - y)$ is the Dirac distribution centered in y . Let us recall some properties of the Green function. For all $x, y \in \Omega^\sharp$, $x \neq y$, it holds

$$\begin{aligned} G^\sharp(x, y) &= G^\sharp(y, x) \\ 0 < G^\sharp(x, y) &\leq \frac{c}{|x - y|}, \end{aligned}$$

where c depends only on k , see [13]. Fix a point $y \in \Omega^\sharp \setminus D$ and let $0 < r_2 = \operatorname{dist}(y, \{\sigma_{ij}^D\}_{i \neq j} \cup \partial\Omega^\sharp)$, for $i, j = 1, \dots, H$. Then, there exists a constant $C > 1$ depending only on the a priori data such that $B_{r_2/C}(y)$ contains at most a portion of one face of the polyhedron D . Hence, in this case, the ball is divided into two zones with different conductivity coefficient (thanks to (2.11)), that is, for a suitable coordinate system, there exists $a \in [-\frac{r_2}{C}, \frac{r_2}{C}]$ such that

$$\widehat{\gamma}_D(x) = 1 + (k - 1)\chi_{\{x_3 > a\}}(x), \quad \forall x \in B_{r_2/C}(y). \quad (4.4)$$

We extend the coefficient $\widehat{\gamma}_D$ in \mathbb{R}^3 , that is, we define

$$\widehat{\gamma}_y(x) := 1 + (k - 1)\chi_{\{x_3 > a\}}(x), \quad \forall x \in \mathbb{R}^3,$$

where the same coordinate frame of (4.4) has been used. Denote by $\widehat{\Gamma}$ the biphasic fundamental solution of

$$\operatorname{div}(\widehat{\gamma}_y(\cdot) \nabla \widehat{\Gamma}(\cdot, y)) = -\delta(\cdot - y), \quad \text{in } \mathbb{R}^3.$$

We refer the reader to [13] for more details on the biphasic fundamental solution. In the following proposition, we recall other useful properties of the Green function that come from some of the results in [13, 17, 18].

Proposition 4.1. *For all $C_1 > 1$ there exists a constant $C > 0$ depending on the a priori data and C_1 such that, for all $y \in \Omega^\sharp \setminus D$ satisfying*

$$\operatorname{dist}(y, \{\sigma_{ij}^D\}_{i \neq j} \cup \partial\Omega^\sharp) \geq \frac{r_0}{C_1}, \quad i, j = 1, \dots, H,$$

it follows that

$$\|G^\sharp(\cdot, y) - \widehat{\Gamma}(\cdot, y)\|_{H^1(\Omega^\sharp)} \leq C, \quad (4.5)$$

and, for all $\varrho > 0$,

$$\|G^\sharp(\cdot, y)\|_{H^1(\Omega^\sharp \setminus B_\varrho(y))} \leq C\varrho^{-\frac{1}{2}}. \quad (4.6)$$

Let $P \in \partial D$. Without loss of generality, assume that P belongs to the i -th face F_i and that

$$\operatorname{dist}(P, \{\sigma_{ij}^D\}_{i \neq j}) \geq R_1,$$

and let $y_r = P + r\nu(P)$, $r > 0$, where $\nu(P)$ is outer unit normal vector in P to ∂D . Then, for all $r < \frac{R_1}{2}$, and $x \in D \cap B_{\frac{R_1}{2}}(P)$, we get that

$$|\nabla G^\sharp(x, y_r) - \nabla \widehat{\Gamma}(x, y_r)| \leq C, \quad (4.7)$$

where $\nabla \widehat{\Gamma} = \frac{2}{k+1} \nabla \Gamma(x, y_r)$.

4.2 Estimating an auxiliary function

Recalling (3.3), for all $y, z \in \mathcal{G}$, we consider

$$S(y, z) := (k-1) \int_{\Omega} (\chi_{D_0} - \chi_{D_1}) \nabla G_0^\sharp(x, y) \cdot \nabla G_1^\sharp(x, z) dx, \quad (4.8)$$

where G_i^\sharp , for $i = 0, 1$, are solutions to (4.3), where $\gamma_D = \gamma_{D_i}$. Note that

- for all $z \in \Omega^\sharp \setminus \Omega_{\mathcal{G}}$,

$$\Delta_y S(\cdot, z) = 0, \quad \text{in } \Omega^\sharp \setminus \Omega_{\mathcal{G}}; \quad (4.9)$$

- for all $y \in \Omega^\sharp \setminus \Omega_{\mathcal{G}}$,

$$\Delta_z S(y, \cdot) = 0, \quad \text{in } \Omega^\sharp \setminus \Omega_{\mathcal{G}}; \quad (4.10)$$

- for all $y, z \in \Omega_0$, the Green's functions G_0^\sharp and G_1^\sharp do not have singularities in Ω and by the regularity of G_0^\sharp, G_1^\sharp in $\Omega^\sharp \setminus \Omega_{\mathcal{G}}$,

$$G_0^\sharp(\cdot, y)|_{\partial\Omega}, G_1^\sharp(\cdot, z)|_{\partial\Omega} \in H_{co}^{\frac{1}{2}}(\Sigma),$$

that is, thanks to (4.6),

$$\|G_0^\sharp(\cdot, y)\|_{H_{co}^{\frac{1}{2}}(\Sigma)}, \|G_1^\sharp(\cdot, z)\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \leq C, \quad (4.11)$$

where C depends only on the a priori data. In fact, for example

$$\begin{aligned} \|G_0^\sharp(\cdot, y)\|_{H_{co}^{\frac{1}{2}}(\Sigma)} &\leq \|G_0^\sharp(\cdot, y)\|_{H^{\frac{1}{2}}(\partial\Omega)} \\ &\leq \|G_0^\sharp(\cdot, y)\|_{H^1(\Omega)} \leq \|G_0^\sharp(\cdot, y)\|_{H^1(\Omega^\sharp \setminus B_{r_1}(y))} \leq Cr_1^{-\frac{1}{2}}. \end{aligned} \quad (4.12)$$

Analogously for $G_1^\sharp(\cdot, z)$.

In order to prove stability estimates in terms of the Hausdorff distance of the inverse problem under investigation, we need first to establish upper and lower bounds for the function $S(y, z)$ defined in (4.8). These are contained in the next two propositions. To simplify the presentation, we assume, without loss of generality, that using a rigid transformation of coordinates the point P in Proposition 3.8 coincides with the origin, i.e. $P = O$, and the outer unit normal vector ν is equal to e_3 , where $e_3 = (0, 0, 1)$. Moreover, in accordance to Definition 2.1, we use the notation $\sigma_{ij}^{\Omega_{\mathcal{G}}}$, with $i \neq j$, to denote the edges of $\Omega_{\mathcal{G}}$.

The proofs of the following two propositions are in Appendix A.

Proposition 4.2. *Assume that*

$$\left\| \Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma \right\|_* \leq \varepsilon, \quad (4.13)$$

where $0 < \varepsilon < 1$. Under the notation of Proposition 3.8, let $Q = P + de_3$ and $\xi_h = P + he_3$, where $0 < h < d_1$ and

$$d_1 := d \left(1 - \frac{\sin \vartheta}{4} \right) \quad \text{and} \quad \vartheta = \arctan \left(\frac{R}{d} \right). \quad (4.14)$$

Then, there exists two suitable constants C_3 and C_4 depending on the a priori data such that

$$|S(\xi_h, \xi_h)| \leq \frac{C}{h} \varepsilon^{C_3 h^{C_4}} \quad (4.15)$$

where C depends on the a priori data.

Proposition 4.3. *Under the notation of Proposition 3.8, let $\xi_h = P + he_3$. There exist $0 < \bar{h} < \frac{1}{2}$ and $0 < \bar{C} < 1$ depending only on the a priori data such that*

$$|S(\xi_h, \xi_h)| \geq \frac{C}{h} \quad \forall h, 0 < h \leq \bar{h}\varrho, \quad (4.16)$$

where

$$\varrho = \min\{\text{dist}(P, D_1), \bar{C}r_0\} \quad (4.17)$$

and C depends on the a priori data.

Remark 4.4. *Note that $\varrho \leq \bar{C}r_0$ is needed in order to guarantee that a ball of center P and radius ϱ doesn't intersect edges and vertices of $\partial\Omega_G \setminus \partial\Omega$.*

4.3 Logarithmic stability estimates

Now, we use Proposition 4.2 and Proposition 4.3 to prove the following logarithmic stability estimate.

Theorem 4.5. *Let the assumptions of Section 2.1 apply. Let D_0, D_1 be two polyhedral inclusions in \mathfrak{D} . Let 1 and k be the conductivity coefficients of $\Omega \setminus D_i$ and D_i , for $i = 0, 1$, respectively. If, for some ε with $0 < \varepsilon < 1$,*

$$\left\| \Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma \right\|_* \leq \varepsilon,$$

then

$$d_H(\partial D_0, \partial D_1) \leq \tilde{\omega}(\varepsilon), \quad (4.18)$$

where $\tilde{\omega}(\varepsilon)$ is an increasing function in $[0, +\infty)$ such that

$$\tilde{\omega}(t) \leq C|\log t|^{-\zeta}, \quad \text{for all } 0 < t < 1,$$

where $C > 0$ and $\zeta, 0 < \zeta \leq 1$ are constants depending only on the a priori data.

Proof. By (4.15) and (4.16), we have

$$\frac{\tilde{C}}{h} \leq |S(\xi_h, \xi_h)| \leq \frac{\hat{C}}{h} \varepsilon^{C_3 h^{C_4}}, \quad \forall h, 0 < h \leq \bar{h}\varrho,$$

that is

$$C \leq \varepsilon^{C_3 h^{C_4}},$$

where C_3, C_4 are the constants in (4.15) and $0 < C < 1$. Since $0 < \varepsilon < 1$, from the last inequality we get

$$h \leq \tilde{C} \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{C_4}}, \quad \forall h, 0 < h \leq \bar{h}\varrho.$$

In particular, choosing $h = \bar{h}\varrho$, we find

$$\varrho \leq C \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{C_4}}.$$

From (4.17), we have to distinguish two cases.

Case 1: $\varrho = \text{dist}(P, D_1)$. In this case, by (3.13), we get

$$d_\mu^3(D_0, D_1) \leq \varrho \leq C \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{C_4}},$$

that is

$$d_\mu(D_0, D_1) \leq \varrho^{\frac{1}{3}} \leq C \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{3c_4}}.$$

Therefore, thanks to Proposition 3.4, we find

$$d_H(\partial D_0, \partial D_1) \leq C d_\mu(D_0, D_1) \leq \varrho^{\frac{1}{3}} \leq C \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{3c_4}}.$$

Case 2: $\varrho = \bar{C}r_0$. Then, we obtain the assertion of the theorem simply noticing that

$$d_H(\partial D_0, \partial D_1) \leq \text{diam}(\Omega) \leq Cr_0 \leq \frac{C}{\bar{C}} \left(\frac{1}{|\log \varepsilon|} \right)^{\frac{1}{c_4}},$$

where C depends on the a priori data only. □

5 On the regularity properties of the local DtN map

In this section we investigate the differentiability properties of the local DtN map. The first part of this section is devoted to the non trivial task of constructing a Lipschitz vector field \mathcal{U} from \mathbb{R}^3 to \mathbb{R}^3 mapping D_0 to D_1 which is piecewise affine in a neighborhood of ∂D_0 (Proposition 5.1) and to prove its main properties, see Proposition 5.2. Then in Proposition 5.3 and Proposition 5.4 we state the differentiability of the DtN map showing that its Gateaux derivative along the direction \mathcal{U} exists and is continuous. Furthermore, we derive a distributed formula for the Gateaux derivative and we use this representation to bound it from below (Proposition 5.5).

5.1 Construction of a Lipschitz vector field mapping D_0 to D_1

In this subsection we assume that

$$d_H(\partial D_0, \partial D_1) \leq \delta_0 \tag{5.1}$$

as in Proposition 3.9, hence it follows that the two polyhedra D_0 and D_1 have the same number of vertices such that

$$\text{dist}(V_i^{D_0}, V_i^{D_1}) \leq C d_H(\partial D_0, \partial D_1), \quad \text{for } i = 1, \dots, N.$$

For sake of shortness we again use the notation (3.18)

$$d_H = d_H(\partial D_0, \partial D_1)$$

Let $\mathcal{W} \subset \Omega$ be a tubular neighborhood of ∂D_0 with width $\frac{r_0}{4}$ so that

$$\text{dist}(\mathcal{W}, \partial \Omega) \geq \frac{r_0}{2}.$$

In the sequel, we denote by \mathcal{T}_0 the union of non overlapping isosceles triangles contained in the faces of D_0 with basis on the sides of the polyhedron and height

$$h_0 = \frac{r_0 \min\{1, \tan(\theta_0/2)\}}{2}. \tag{5.2}$$

The following result holds:

Proposition 5.1. *There exists a vector field $\mathcal{U} : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ with $\mathcal{U} \in W^{1,\infty}(\mathbb{R}^3)$ and satisfying the following properties*

$$\mathcal{U}(V_i^{D_0}) = V_i^{D_1} - V_i^{D_0}, \quad \forall i = 1, \dots, N, \quad (5.3)$$

$$\text{supp } \mathcal{U} \subset \overline{\mathcal{W}}, \quad (5.4)$$

$$\mathcal{U} \text{ continuous, piecewise affine on } \mathcal{T}_0, \quad (5.5)$$

$$|\mathcal{U}| + |D\mathcal{U}| \leq \tilde{C}d_H, \quad (5.6)$$

where $D\mathcal{U}$ denotes the Jacobian matrix of \mathcal{U} and \tilde{C} is a constant depending only on the a priori constants.

Proof. To construct the vector field \mathcal{U} satisfying (5.3) - (5.6) observe that by Kirszbraun's theorem [40, Theorem 1.31] it is always possible to extend a function $f : A \subset \mathbb{R}^3 \rightarrow \mathbb{R}^3$ which is Lipschitz continuous on an arbitrary subset A of \mathbb{R}^3 to a Lipschitz function $\bar{\mathcal{U}} : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ such that

$$\bar{\mathcal{U}}|_A = f,$$

and $\bar{\mathcal{U}}$ having the same Lipschitz constant L as f .

So, let us first construct the map f . We fix an arbitrary face F_j^0 of the polyhedron D_0 . Assume that F_j^0 has K sides. Then on each side $l_i, i = 1, \dots, K$, we construct isosceles triangles $\{T_i^0\}_{i=1}^K$, with basis $l_i, i = 1, \dots, K$ and height h_0 , as defined in (5.2), in such a way that all the triangles are strictly contained in F_j^0 , disjoint and mutually intersecting only at the common vertex of F_j^0 , see, for example, Figure 6. Thanks to the fact that $D_0, D_1 \in \mathcal{D}$ and hence satisfy the same apriori assumptions, we can repeat

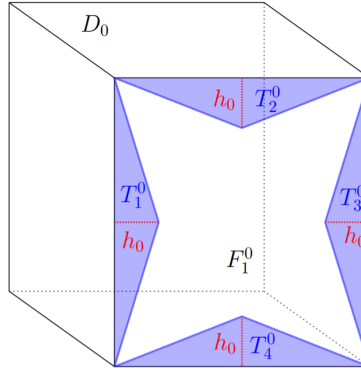


Figure 6: Sketch of the construction of isosceles triangles on the face F_1^0 in the specific case of a cube D_0 .

exactly the same construction of triangles on the corresponding face F_j^1 of D_1 . We then construct a continuous piecewise affine map Φ_j defined on the $\cup_{i=1}^K T_i^0$ as follows: it is affine on each triangle of the partition and satisfies $\Phi_j(V_l^{T_i^0}) = V_l^{T_i^1} - V_l^{T_i^0}$ for each $i = 1, \dots, K$ and $l = 1, 2, 3$. By (5.1) one has that

$$|V_l^{T_i^1} - V_l^{T_i^0}| \leq Cd_H \quad (5.7)$$

for each $i = 1, \dots, K$ and $l = 1, 2, 3$ and one can see that on $\cup_{i=1}^K T_i^0$ the map Φ_j satisfies

$$|\Phi_j(x)| \leq C_0 d_H, \quad |\Phi_j(x) - \Phi_j(y)| \leq C_1 d_H |x - y|, \quad \forall x, y \in \cup_{i=1}^K T_i^0 \quad (5.8)$$

where C_0 and C_1 depend only on the a-priori constants. Consider now the map f defined on the collection of triangles \mathcal{T}_0 as follows: for any $x \in \mathcal{T}_0 \cap F_j^0$ it satisfies $f(x) = \Phi_j(x)$. Clearly, f is Lipschitz continuous and satisfies (5.8) on \mathcal{T}_0 .

Applying now Kirszbraun's theorem for $A = \mathcal{T}_0$ there exists a Lipschitz map $\bar{\mathcal{U}}$ from \mathbb{R}^3 to \mathbb{R}^3 which is Lipschitz continuous and satisfies (5.8) for all $x, y \in \mathbb{R}^3$. Finally, by considering a real valued cut-off smooth function $\varphi : \mathbb{R}^3 \rightarrow \mathbb{R}$ such that $0 \leq \varphi \leq 1$, with compact support in \mathcal{W} and with $\varphi = 1$ in a tubular neighborhood of ∂D_0 of width $r_0/4$ and such that $|\nabla\varphi| \leq C$ with C depending only on the apriori data then it is straightforward to see that $\mathcal{U} = \varphi\bar{\mathcal{U}}$ satisfies the desired properties (5.3) - (5.6). \square

As a consequence of the previous construction we have the following

Proposition 5.2. *The map*

$$\Phi_t = I + t\mathcal{U} \quad t \in [0, 1]$$

has the following properties

$$\Phi_t \text{ is piecewise affine on } \partial D_0; \tag{5.9}$$

$$\Phi_t \in W^{1,\infty}(\Omega) \text{ is invertible}; \tag{5.10}$$

$$|D\Phi_t - I|, |D\Phi_t^{-1} - I| \leq ct d_H; \tag{5.11}$$

$$\Phi_t(\Omega \setminus D_0) \subset \Omega; \tag{5.12}$$

$$\left| \frac{d}{dt} \Phi_t \right|, \left| \frac{d}{dt} \Phi_t^{-1} \right| \leq C d_H; \tag{5.13}$$

$$\left| \frac{d}{dt} D\Phi_t \right|, \left| \frac{d}{dt} D\Phi_t^{-1} \right| \leq C d_H; \tag{5.14}$$

$$\left| \frac{d}{dt} D\Phi_t^{-1} + D\mathcal{U} \right| \leq C t d_H^2; \tag{5.15}$$

$$\left| \frac{d}{dt} (D\Phi_t^{-1})^T + D\mathcal{U}^T \right| \leq C t d_H^2, \tag{5.16}$$

where $D\Phi_t$, $D\Phi_t^{-1}$, and $D\mathcal{U}$ are the Jacobian matrices of Φ_t , Φ_t^{-1} , and \mathcal{U} , respectively and d_H is as in (3.18).

Proof. Property (5.9) follows immediately from the definition of \mathcal{U} . In order to prove (5.10), notice that

$$|D\Phi_t - I| = t|D\mathcal{U}| \leq t \frac{\tilde{C}_0 d_H}{r_0} \leq t \frac{\tilde{C}_0 \delta_0}{4r_0},$$

where the last inequality comes from the stability estimate (4.18). Now, by the equivalent Proposition 3.4 of [18] possibly taking δ_0 small enough so that

$$\frac{\tilde{C}_0 \delta_0}{4r_0} < \frac{1}{2},$$

it follows that $|D\Phi_t - I| \leq 1/2$ and Φ_t is invertible for all $t \in [0, 1]$. Moreover, by the Implicit Map Theorem it follows that $D\Phi_t^{-1}(y) = (D\Phi_t)^{-1}(\Phi_t^{-1}(y))$ and the analyticity in the parameter t of $(D\Phi_t)^{-1}$ gives

$$|D\Phi_t^{-1} - I| \leq \frac{\tilde{C}_0 t}{r_0} d_H.$$

By construction of Φ_t , it holds $\Phi_t(\Omega \setminus D_0) \subset \Omega$. Estimates (5.13) - (5.16) are a consequence of (5.6) and the analyticity of Φ_t^{-1} and $D\Phi_t^{-1}$ with respect to t . \square

5.2 On the differentiability properties of DtN map

In this subsection, we state some results concerning the existence of the Gateaux derivative of the local DtN map along the direction of the vector field \mathcal{U} (Proposition 5.3) and its continuity (Proposition 5.4). We do not provide the proofs of the two propositions since they can be obtained in the same way as in the two-dimensional case treated in Section 5 of [18].

Let $D_t = \Phi_t(D_0)$ and $\gamma_{D_t}(x) = \gamma_{D_0}(\Phi_t^{-1}(x))$. Given $f, g \in H_{co}^{\frac{1}{2}}(\Sigma)$, let u_t be the solution to (2.14) with $\gamma_D = \gamma_{D_t}$ and v_t the solution of the same equation satisfied by u_t but with Dirichlet boundary data g (see (2.14)).

We define

$$F(t, f, g) = \left\langle \Lambda_{\gamma_{D_t}}^\Sigma f|_{\Sigma}, g \right\rangle = \int_{\Omega} \gamma_{D_t} \nabla u_t \cdot \nabla v_t \, dx = \left\langle \frac{\partial u_t}{\partial n} \Big|_{\Sigma}, g \right\rangle$$

and

$$\begin{aligned} A(t) &= D\Phi_t^{-1}(D\Phi_t^{-1})^T \det(D\Phi_t) \\ \mathcal{A} &= A'(0) = \operatorname{div} \mathcal{U} I - (D\mathcal{U} + D\mathcal{U}^T). \end{aligned}$$

The following results hold.

Proposition 5.3. *$F(t, f, g)$ is differentiable for all $t_0 \in [0, 1]$ and*

$$F'(t_0, f, g) = - \int_{\Omega} \gamma_{D_{t_0}} \mathcal{A}_{t_0} \nabla u_{t_0} \cdot \nabla v_{t_0} \, dx$$

where

$$\mathcal{A}_{t_0} = \frac{d}{dt} \left((D\Phi_{t_0,t}^{-1}) (D\Phi_{t_0,t}^{-1})^T \det(D\Phi_{t_0,t}) \right) \Big|_{t=t_0}$$

and $\Phi_{t_0,t} = I + t\mathcal{U}_{t_0}$, and \mathcal{U}_{t_0} is a $W^{1,\infty}(\Omega)$ map satisfying the analogue properties as those introduced for \mathcal{U} with D_{t_0} instead of D_0 . In particular for $t = 0$

$$F'(0, f, g) = - \int_{\Omega} \gamma_{D_0} \mathcal{A} \nabla u_0 \cdot \nabla v_0 \, dx.$$

Proposition 5.4. *There exist constants $C, \beta_3 > 0$ depending only on the a priori data such that for all $t \in [0, 1]$*

$$|F'(t, f, g) - F'(0, f, g)| \leq C \|f\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g\|_{H_{co}^{\frac{1}{2}}(\Sigma)} t^{\beta_3} d_H^{1+\beta_3},$$

for d_H as in (3.18).

5.3 Lower bound of the derivative

We now establish a lower bound for the derivative of F at $t = 0$. More precisely, we prove the following

Proposition 5.5. *There exists a constant $m_0 > 0$, depending only on the a priori data such that*

$$\|F'(0)\|_* \geq m_0 d_H.$$

where

$$\|F'(0)\|_* = \sup \left\{ \frac{|F'(0, f, g)|}{\|f\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g\|_{H_{co}^{\frac{1}{2}}(\Sigma)}} : f, g \neq 0 \right\}$$

and d_H is given in (3.18).

Before proving the lower bound, we state the following lemma which is a special case of Proposition 1.6 in [36].

Lemma 5.6. *Let B_r be a ball of radius $r > 0$ centered at the origin, and let B_r^\pm be the upper and the lower half ball and let γ_1, γ_2 be two positive constants. Let $v \in H^1(B_r)$ be a solution to*

$$\operatorname{div} \left((\gamma_1 + (\gamma_2 - \gamma_1)\chi_{B_r^+}) \nabla v \right) = 0, \quad \text{in } B_r. \quad (5.17)$$

Then $v \in C^\infty(\overline{B_r^\pm})$ and for all $\delta > 0$ there exists a constant depending only on γ_1, γ_2 and δ such that

$$\|\nabla v\|_{L^\infty(B_{(1-\delta)r})} \leq C \|v\|_{L^2(B_r)}. \quad (5.18)$$

Proof of Proposition 5.5. We set

$$W = (V_1^{D_0} - V_1^{D_1}, V_2^{D_0} - V_2^{D_1}, \dots, V_N^{D_0} - V_N^{D_1}).$$

By Proposition 3.9 we have that

$$C^{-1} d_H \leq |W| \leq C d_H, \quad (5.19)$$

where C depends on the a priori data. We normalize by the length $|W|$ of the vector W by setting

$$\tilde{\mathcal{U}} = \frac{\mathcal{U}}{|W|}, \quad \tilde{\mathcal{A}} = \frac{\mathcal{A}}{|W|},$$

and

$$H(f, g) := - \int_{\Omega} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx,$$

so that $F'(0, f, g) = |W| H(f, g)$. Let $m_1 = \|H\|_*$ the operator norm so that

$$|H(f, g)| \leq m_1 \|f\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g\|_{H_{co}^{\frac{1}{2}}(\Sigma)}, \quad \forall f, g \in H_{co}^{\frac{1}{2}}(\Sigma).$$

In particular, we have

$$\|F'(0)\|_* = |W| \|H\|_*. \quad (5.20)$$

We divide the proof in three main steps.

Step 1. To start with, we choose special boundary values f^\sharp, g^\sharp by setting for $y, z \in \Omega^\sharp \setminus \overline{\Omega}$,

$$f^\sharp(\cdot) = G_0^\sharp(\cdot, y)|_{\partial\Omega}, \quad g^\sharp(\cdot) = G_0^\sharp(\cdot, z)|_{\partial\Omega}$$

where $G_0^\sharp(\cdot, y), G_0^\sharp(\cdot, z)$ are the Green's functions defined in (4.3) with conductivity γ_{D_0} and singularity at y and z respectively. With these choices, we consider the corresponding solutions $G_0^\sharp(x, y)$ and $G_0^\sharp(x, z)$ that we will still denote by u_0 and v_0 for the sake of brevity. Since $y, z \in \Omega_0 \setminus \overline{\Omega}$, $u_0, v_0 \in H^1(\Omega)$ and we can define

$$\Theta(y, z) := - \int_{\Omega} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx = - \int_{\Omega} \gamma_{D_0} \tilde{\mathcal{A}} \nabla G_0^\sharp(\cdot, y) \cdot \nabla G_0^\sharp(\cdot, z) \, dx.$$

First, observe that for $y, z \in B_{r_1}(P_0) \subset \Omega_0$, see (4.2),

$$\Theta(y, z) = H(f^\sharp, g^\sharp),$$

hence

$$|\Theta(y, z)| \leq m_1 \|f^\sharp\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g^\sharp\|_{H_{co}^{\frac{1}{2}}(\Sigma)}.$$

From (4.12), we have that $\|f^\sharp\|_{H_{co}^{\frac{1}{2}}(\Sigma)}, \|g^\sharp\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \leq C r_1^{-\frac{1}{2}}$. Hence,

$$|\Theta(y, z)| \leq \frac{C m_1}{r_1}, \quad \forall y, z \in B_{r_1}(P_0). \quad (5.21)$$

Step 2. As second step, we use the properties of $\Theta(y, z)$ to go from the distributed formula to the boundary formula on ∂D_0 , far from vertices and edges. To this purpose, we consider a tubular neighborhood of the edges $\{\sigma_{ij}^{D_0}\}$, with $i \neq j$, that is

$$\mathcal{B} = \bigcup_{\substack{i,j \\ i \neq j}} \left\{ x \in \Omega : \text{dist}(x, \sigma_{ij}^{D_0}) \leq \frac{r_0}{c_1} \right\},$$

where $c_1 > 1$ depends only on the a priori data, so that

$$(\Omega \setminus D_0) \setminus \mathcal{B} \text{ is connected}; \quad (5.22)$$

$$\text{dist}(\mathcal{B}, \partial\Omega) \geq \frac{r_0}{2}. \quad (5.23)$$

Let us write

$$\Theta(y, z) = - \int_{\Omega \setminus \mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx - \int_{\mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx.$$

In $\Omega \setminus D_0$ and in D_0 we have that $\tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 = -\text{div}(b)$, where

$$b = \left(\tilde{\mathcal{U}} \cdot \nabla u_0 \right) \nabla v_0 + \left(\tilde{\mathcal{U}} \cdot \nabla v_0 \right) \nabla u_0 - (\nabla u_0 \cdot \nabla v_0) \tilde{\mathcal{U}}.$$

Then, we can write

$$\int_{\Omega \setminus \mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx = - \int_{\Omega \setminus (D_0 \cup \mathcal{B})} \text{div}(b^e) \, dx - k \int_{D_0 \cup \mathcal{B}} \text{div}(b^i) \, dx,$$

where we have set $b^e = b|_{\Omega \setminus D_0}$ and $b^i = b|_{D_0}$. Let us now integrate by parts and denote by ν the outward unit normal vector to \mathcal{B} and to D_0 . Observing that by construction, $\text{supp } \tilde{\mathcal{U}} \subset \mathcal{W}$, it follows that $b = 0$ on $\partial\Omega$. Hence,

$$\int_{\Omega \setminus (D_0 \cup \mathcal{B})} \text{div}(b^e) \, dx = - \int_{\partial \mathcal{B} \cap (\Omega \setminus D_0)} b^e \cdot \nu \, d\sigma(x) - \int_{\partial D_0 \cap (\Omega \setminus \mathcal{B})} b^e \cdot \nu \, d\sigma(x), \quad (5.24)$$

and

$$\int_{D_0 \cup \mathcal{B}} \text{div}(b^i) \, dx = \int_{\partial D_0 \setminus \mathcal{B}} b^i \cdot \nu \, d\sigma(x) - \int_{\partial \mathcal{B} \cap D_0} b^i \cdot \nu \, d\sigma(x). \quad (5.25)$$

Then by (5.24) and (5.25), it follows

$$\int_{\Omega \setminus \mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx = \int_{\partial \mathcal{B}} \gamma_{D_0} b \cdot \nu \, d\sigma(x) - \int_{\partial D_0 \setminus \mathcal{B}} [\gamma_{D_0} b \cdot \nu] \, d\sigma(x),$$

where $[\cdot]$ denotes the jump along the surface ∂D_0 . By the transmission conditions satisfied by u_0 and v_0 across ∂D_0 and the fact that $\tilde{\mathcal{U}} \in W^{1,\infty}(\mathbb{R}^3)$ on $\partial D_0 \setminus \mathcal{B}$, we can write

$$[\gamma_{D_0} b \cdot \nu] = \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i,$$

where \mathcal{M} is the so-called polarization tensor, i.e., a 3×3 matrix with eigenvectors ν and ν^\perp and with eigenvalues k and 1. Hence, we can rewrite (5.21) in the form

$$\begin{aligned} \Theta(y, z) = & - \int_{\mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx - \int_{\partial \mathcal{B}} \gamma_{D_0} b \cdot \nu \, dx \\ & + \int_{\partial D_0 \setminus \mathcal{B}} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, dx. \end{aligned} \quad (5.26)$$

Step 3. We now use the properties of the function Θ to propagate the estimate (5.21) up to points that are close to the faces of D_0 but far from vertices and edges.

From formula (5.26), $\Theta(y, z)$ is well defined for $(y, z) \in \Omega^\# \setminus (D_0 \cup \mathcal{B})$ and recalling that $u_0(\cdot) = G_0^\#(\cdot, y)$, $v_0(\cdot) = G^\#(\cdot, z)$, we have

$$\operatorname{div}(\gamma_{D_0} \nabla \Theta) = 0, \quad \text{in } \Omega \setminus (D_0 \cup \mathcal{B})$$

both with respect to y and z , i.e.

$$\operatorname{div}_y(\gamma_{D_0} \nabla_y \Theta(\cdot, z)) = 0, \quad \text{and} \quad \operatorname{div}_z(\gamma_{D_0} \nabla_z \Theta(y, \cdot)) = 0, \quad \text{in } \Omega \setminus (D_0 \cup \mathcal{B}).$$

Let us now consider an arbitrary face F_j of D_0 and let us choose $P \in F_j \cap T$ as the incenter of a triangle T of $F_j \cap \mathcal{T}_0$, where $T \in \mathcal{T}_0$ and \mathcal{T}_0 is the partition of triangles defined at the beginning of the section.

Consider then a ball centered at P and radius $\frac{r_0}{2C_1}$ with $C_1 = \max\left(c_1, \frac{1 + \sqrt{1 + \tan^2(\theta_0/2)}}{\min(1, \tan(\theta_0/2))}\right)$. Then by the a priori assumptions on D_0 , $B_{\frac{r_0}{2C_1}}(P)$ is such that it intersects ∂D_0 only on the face F_j , $B_{\frac{r_0}{2C_1}}(P) \cap F_j$ is strictly contained in T , and $\operatorname{dist}(P, \mathcal{B}) \geq \frac{r_0}{2C_1}$.

Let $\tilde{\mathcal{C}}$ be a simple curve adjoining $P + \frac{r_0}{2C_1}\nu(P)$ with the point $\tilde{P}_0 \in B_{\frac{r_0}{2C_1}}(P_0) \subset \Omega_0$ such that $\tilde{\mathcal{C}} \subset \Omega^\# \setminus D_0$ and $\operatorname{dist}(\tilde{\mathcal{C}}, D_0) \leq \frac{r_0}{2C_1}$. Let

$$\tilde{\mathcal{C}}' = \tilde{\mathcal{C}} \cup \left\{ P + t\nu(P), t \in \left[0, \frac{r_0}{2C_1}\right] \right\}$$

and

$$\begin{aligned} \mathcal{K} &= \left\{ x \in (\Omega^\# \setminus D_0) : \operatorname{dist}(x, \tilde{\mathcal{C}}') < \frac{r_0}{4C_1} \right\} \\ \mathcal{K}' &= \left\{ x \in (\Omega^\# \setminus D_0) : \operatorname{dist}(x, \tilde{\mathcal{C}}') < \frac{r_0}{8C_1} \right\}. \end{aligned}$$

Then the function Θ solves in \mathcal{K} the equations

$$\operatorname{div}_y(\gamma_{D_0} \nabla_y \Theta(\cdot, z)) = 0, \quad \text{and} \quad \operatorname{div}_z(\gamma_{D_0} \nabla_z \Theta(y, \cdot)) = 0.$$

Let us start to estimate $\Theta(y, z)$ for $y, z \in \mathcal{K}$ using (5.26). Since $\operatorname{dist}(\mathcal{K}, \mathcal{B}) \geq \frac{r_0}{4C_1}$, we have that

$$\|\nabla u_0\|_{L^2(\mathcal{B})} \leq \|G_0^\#(\cdot, y)\|_{L^2\left(\Omega^\# \setminus B_{\frac{r_0}{4C_1}}(y)\right)} \leq C,$$

and analogously

$$\|\nabla v_0\|_{L^2(\mathcal{B})} \leq \|G_0^\#(\cdot, z)\|_{L^2\left(\Omega^\# \setminus B_{\frac{r_0}{4C_1}}(z)\right)} \leq C,$$

Hence, we have that

$$\left| \int_{\mathcal{B}} \gamma_{D_0} \nabla u_0 \cdot \nabla v_0 \, dx \right| \leq C \|\nabla u_0\|_{L^2(\mathcal{B})} \|\nabla v_0\|_{L^2(\mathcal{B})} \leq C. \quad (5.27)$$

Let us now estimate the second integral on the right-hand side of (5.26). For, we consider a neighborhood of $\partial \mathcal{B}$, that is

$$\mathfrak{M} = \left\{ x : \operatorname{dist}(x, \partial \mathcal{B}) \leq \frac{r_0}{8C_1} \right\} \quad (5.28)$$

and

$$\mathfrak{M}' = \left\{ x : \operatorname{dist}(x, \partial \mathcal{B}) \leq \frac{r_0}{16C_1} \right\}. \quad (5.29)$$

Since u_0 and v_0 are variational solutions of equation (5.17) in \mathfrak{M} , we apply the estimate (5.18), getting

$$\|\nabla u_0\|_{L^\infty(\mathfrak{M}')} , \quad \|\nabla v_0\|_{L^\infty(\mathfrak{M}')} \leq C,$$

where C depends only on the a priori constants. Hence,

$$\left| \int_{\partial \mathcal{B}} \gamma_{D_0} b \cdot \nu \, d\sigma(x) \right| \leq C. \quad (5.30)$$

For a similar reason, for points on $\partial D_0 \setminus \left(\mathcal{B} \cup B_{\frac{r_0}{2C_1}}(P) \right)$, we can bound

$$\left| \int_{\partial D_0 \setminus \left(\mathcal{B} \cup B_{\frac{r_0}{2C_1}}(P) \right)} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, dx \right| \leq C \quad (5.31)$$

since $y, z \in \mathcal{K}$. Finally, let us bound

$$\int_{\partial D_0 \cap B_{\frac{r_0}{2C_1}}(P)} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, dx. \quad (5.32)$$

Notice that if y, z are at positive fixed distance from $\partial D_0 \cap B_{\frac{r_0}{2C_1}}(P)$, then we can use again (5.18) to estimate (5.32). On the other hand, for points y, z close to $\partial D_0 \cap B_{\frac{r_0}{2C_1}}(P)$, we can use (4.5) and the explicit formula of the fundamental solution to get

$$\left| \int_{\partial D_0 \cap B_{\frac{r_0}{2C_1}}(P)} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, dx \right| \leq C (\bar{d}_y \bar{d}_z)^{-1}, \quad (5.33)$$

where $\bar{d}_y = \text{dist}(y, D_0)$, $\bar{d}_z = \text{dist}(z, D_0)$. Collecting all previous estimates (5.27), (5.30), (5.31) and (5.32) we end up with the following bound

$$|\Theta(y, z)| \leq C (\bar{d}_y \bar{d}_z)^{-1}, \quad \forall y, z \in \mathcal{K}. \quad (5.34)$$

Let us set consider the following subsets of the walkway \mathcal{K}

$$\begin{aligned} \mathcal{K}^\diamond &= \left\{ x \in \mathcal{K} : \text{dist}(x, D_0) \geq \frac{r_0}{32C_1} \right\} \\ \mathcal{K}_0^\diamond &= \left\{ x \in \mathcal{K} : \text{dist}(x, D_0) \geq \frac{r_0}{16C_1} \right\}. \end{aligned}$$

Then by (5.34) and the definition of \mathcal{K}^\diamond , the following bound holds

$$|\Theta(y, z)| \leq C, \quad \forall (y, z) \in \mathcal{K}^\diamond.$$

Hence, thanks to (5.21), proceeding as in [11, Theorem 5.1], we can show that

$$\|\Theta(\cdot, z)\|_{L^\infty(B_{R_1}(\bar{Q}))} \leq C m_1^\delta, \quad \forall z \in \Omega_0$$

where $\bar{Q} = P + \frac{r_0}{4C_1} \nu(P)$, $R_1 = \frac{r_0}{8C_1}$ and $\delta \in (0, 1)$. Similarly, we derive

$$\|\Theta(y, \cdot)\|_{L^\infty(B_{R_1}(\bar{Q}))} \leq C m_1^{\delta^2}, \quad \forall y \in B_{R_1}(\bar{Q}). \quad (5.35)$$

We now apply the three spheres inequality for harmonic functions to $\Theta(\cdot, z)$ in the balls

$$B_{\bar{R}_1}(\bar{Q}) \subset B_{\bar{R}_2}(\bar{Q}) \subset B_{\bar{R}_3}(\bar{Q}),$$

for

$$\bar{R}_1 = \frac{R_1}{2}, \quad \bar{R}_2 = \frac{r_0}{4C_1} - \frac{r}{2}, \quad \bar{R}_3 = \frac{r_0}{4C_1} - \frac{r}{4},$$

with r to be chosen. We have for $z \in B_{\bar{R}_1}(\bar{Q})$ and $\vartheta_r = \frac{\log\left(\frac{\bar{R}_3}{\bar{R}_2}\right)}{\log\left(\frac{\bar{R}_3}{\bar{R}_1}\right)}$ that

$$\|\Theta(\cdot, z)\|_{L^\infty(B_{\bar{R}_2}(\bar{Q}))} \leq \|\Theta(\cdot, z)\|_{L^\infty(B_{\bar{R}_1}(\bar{Q}))}^{\vartheta_r} \|\Theta(\cdot, z)\|_{L^\infty(B_{\bar{R}_3}(\bar{Q}))}^{1-\vartheta_r},$$

and from (5.35) and (5.34) we find

$$\|\Theta(\cdot, z)\|_{L^\infty(B_{\bar{R}_2}(\bar{Q}))} \leq \left(\frac{1}{r}\right)^{1-\vartheta_r} m_2^{\vartheta_r}$$

where

$$m_2 = C m_1^{\delta^2}. \quad (5.36)$$

Hence

$$|\Theta(y_r, z)| \leq C \left(\frac{1}{r}\right)^{1-\vartheta_r} m_2^{\vartheta_r} \leq \frac{m_2^{\vartheta_r}}{r}, \quad \forall z \in B_{\bar{R}_1}(\bar{Q}).$$

We now consider $\Theta(y_r, \cdot)$ in the same disks getting

$$|\Theta(y_r, y_r)| \leq C \left(\frac{1}{r^2}\right)^{1-\vartheta_r} \left(\frac{1}{r}\right)^{\vartheta_r} m_2^{\vartheta_r} \leq \frac{m_2^{\vartheta_r}}{r^2}.$$

Hence

$$|\Theta(y_r, y_r)| \leq C \frac{m_2^{\vartheta_r}}{r^2}. \quad (5.37)$$

Step 4. We now want to estimate $\Theta(y_r, y_r)$ from below. We start from

$$\begin{aligned} \Theta(y_r, y_r) &= - \int_{\mathcal{B}} \gamma_{D_0} \tilde{\mathcal{A}} \nabla u_0 \cdot \nabla v_0 \, dx - \int_{\partial \mathcal{B}} \gamma_{D_0} b \cdot \nu \, d\sigma(x) \\ &\quad + \int_{\partial D_0 \setminus \left(\mathcal{B} \cup B_{\frac{r_0}{2C_1}}(P)\right)} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, d\sigma(x) \\ &\quad + \int_{\partial D_0 \cap B_{\frac{r_0}{2C_1}}(P)} \tilde{\mathcal{U}} \cdot \nu (k-1) \mathcal{M} \nabla u_0^i \cdot \nabla v_0^i \, d\sigma(x) := I_1 + I_2 + I_3 + I_4. \end{aligned}$$

From estimates (5.27), (5.30), and (5.31) we get

$$|I_i| \leq C, \quad i = 1, 2, 3,$$

where C depends only on the a priori data. To evaluate I_4 from below, we use (4.5) and add and subtract $(\tilde{\mathcal{U}} \cdot \nu)(P)$ in the integral. A straightforward computation then give for $r \leq \frac{r_0}{16C_1}$,

$$|I_4| \geq C \frac{|(\tilde{\mathcal{U}} \cdot \nu)(P)|}{r^2} - \frac{C}{r}.$$

Hence,

$$|\Theta(y_r, y_r)| \geq C \frac{|(\tilde{\mathcal{U}} \cdot \nu)(P)|}{r^2} - \frac{C}{r},$$

and by (5.37) we finally get

$$|(\tilde{\mathcal{U}} \cdot \nu)(P)| \leq C(m_2^{\vartheta_r} + r).$$

If $m_2 \leq e^{-(16)^4}$, i.e. (see (5.36))

$$m_1 \leq \left(\frac{e^{-(16)^4}}{C} \right)^{\frac{1}{\delta^2}} = \frac{1}{C_1} \quad (5.38)$$

where C_1 depends only on the a priori data, we can pick up

$$r = \bar{r} = \frac{r_0}{C_1} |\log m_2|^{-\frac{1}{4}}$$

getting

$$|(\tilde{\mathcal{U}} \cdot \nu)(P)| \leq C |\log m_2|^{-\frac{1}{4}}$$

and recalling the definition of m_2 , we find

$$|(\tilde{\mathcal{U}} \cdot \nu)(P)| \leq C \omega_0(m_1) \quad (5.39)$$

where $\omega_0(t)$ is an increasing concave function such that $\lim_{t \rightarrow 0} \omega_0(t) = 0$. Note that with a similar procedure the estimate (5.39) can be obtained for each point in a neighborhood of P in the triangle T containing P . Since $\tilde{\mathcal{U}}$ is affine on the triangle T the estimate holds also on the corresponding edge $\sigma_{ij}^{D_0}$ and at the adjoining vertices. We can repeat this argument for each side of the face F_j . Hence, if $\{V_{ij}^{D_0}\}_i$, for $1 \leq i \leq N_j$, indicate the vertices on the face F_j of D_0

$$|\tilde{\mathcal{U}}(V_{ij}^{D_0}) \cdot \nu_j| \leq \omega_0(m_1), \quad \text{for all } 1 \leq i \leq N_j$$

where ν_j is the unit outward normal to the face F_j . In particular, recalling the definition of $\tilde{\mathcal{U}}$ on ∂D_0 , we get

$$\left| \frac{(V_{ij}^{D_0} - V_{ij}^{D_1}) \cdot \nu_j}{|W|} \right| \leq \omega_0(m_1), \quad \text{for all } 1 \leq i \leq N_j.$$

We can repeat this on any face F_j so that

$$\left| \frac{(V_{ij}^{D_0} - V_{ij}^{D_1}) \cdot \nu_j}{|W|} \right| \leq \omega_0(m_1), \quad \text{for all } 1 \leq i \leq N_j, j \in \{1, \dots, H\}, \quad (5.40)$$

and ν_j normal to the face F_j . Let

$$|V_{i_0 j_0}^{D_0} - V_{i_0 j_0}^{D_1}| = \max_{i,j} |V_{ij}^{D_0} - V_{ij}^{D_1}|.$$

Then

$$\frac{|V_{i_0 j_0}^{D_0} - V_{i_0 j_0}^{D_1}|}{|W|} \geq \frac{1}{N},$$

where N is the total number of vertices of D_0 and D_1 , see Proposition 3.9. Moreover, since, for the a priori information, there are three linearly independent unit directions ν for which (5.40) holds for $i = i_0$ and $j = j_0$ then it holds for every unit direction, in particular by choosing $\bar{\nu}$ parallel to $V_{i_0 j_0}^{D_0} - V_{i_0 j_0}^{D_1}$, we get

$$\frac{1}{N} \leq \frac{|V_{i_0 j_0}^{D_0} - V_{i_0 j_0}^{D_1}|}{|W|} = \frac{|(V_{i_0 j_0}^{D_0} - V_{i_0 j_0}^{D_1}) \cdot \bar{\nu}|}{|W|} \leq \omega_0(m_1),$$

which gives

$$m_1 \geq \omega_0^{-1} \left(\frac{1}{N} \right),$$

and recalling (5.38) we have

$$m_1 \geq \min \left(\omega_0^{-1} \left(\frac{1}{N} \right), \frac{1}{C_1} \right).$$

Finally, from the estimate (5.19) and (5.20), we get

$$\|F'(0)\| \geq m_0 d_H,$$

with $m_0 = C^{-1} \min \left(\omega_0^{-1} \left(\frac{1}{N} \right), \frac{1}{C_1} \right)$. □

6 Lipschitz stability: proof of Theorem 2.5

Let δ_0 be as in Proposition 3.9 and let ε_0 be such that

$$\tilde{\omega}(\varepsilon_0) \leq \delta_0 \tag{6.1}$$

where $\tilde{\omega}$ is the logarithmic modulus of continuity given in Theorem 4.5.

Let us assume first that

$$\varepsilon := \|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_* \leq \varepsilon_0,$$

so that, by Theorem 4.5,

$$d_H := d_H(\partial D_0, \partial D_1) \leq \tilde{\omega}(\varepsilon_0) \leq \delta_0.$$

For $f, g \in H_{co}^{\frac{1}{2}}(\Sigma)$ the map $F(t, f, g)$ is well defined for $t \in [0, 1]$.

Notice that, by definition of F and by (6.1)

$$|F(1, f, g) - F(0, f, g)| = \left| \left\langle \left(\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma \right) f|_{[\Sigma, g]} \right\rangle \right| \leq \varepsilon \|f\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g\|_{H_{co}^{\frac{1}{2}}(\Sigma)}. \tag{6.2}$$

Let us write

$$\begin{aligned} F(1, f, g) - F(0, f, g) &= \int_0^1 F'(t, f, g) dt \\ &= F'(0, f, g) - \int_0^1 [F'(t, f, g) - F'(0, f, g)] dt, \end{aligned}$$

hence

$$|F(1, f, g) - F(0, f, g)| \geq |F'(0, f, g)| - \int_0^1 |F'(t, f, g) - F'(0, f, g)| dt, \tag{6.3}$$

By Proposition 5.4,

$$\int_0^1 |F'(t, f, g) - F'(0, f, g)| dt \leq \frac{C d_H^{1+\beta_3}}{1+\beta_3} \|f\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \quad \forall f, g \in H_{co}^{\frac{1}{2}}(\Sigma) \tag{6.4}$$

and by Proposition 5.5, there exist $f_0, g_0 \in H_{co}^{\frac{1}{2}}(\Sigma)$ such that

$$|F'(0, f_0, g_0)| \geq \frac{m_0 d_H}{2} \|f_0\|_{H_{co}^{\frac{1}{2}}(\Sigma)} \|g_0\|_{H_{co}^{\frac{1}{2}}(\Sigma)}. \tag{6.5}$$

Hence by (6.2), (6.3), (6.4) (for $f = f_0$ and $g = g_0$) and by (6.5) we have that

$$\varepsilon \geq \left(\frac{m_0}{2} - \frac{C d_H^{\beta_3}}{1+\beta_3} \right) d_H. \tag{6.6}$$

Now, by Theorem 4.5 there is $\varepsilon_1 \leq \varepsilon_0$ depending only on the a priori data, such that, if

$$\varepsilon := \|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_* \leq \varepsilon_1,$$

then

$$\frac{m_0}{2} - \frac{Cd_H^{\beta_3}}{1 + \beta_3} \geq \frac{m_0}{4}$$

and, by (6.6),

$$\varepsilon \geq \frac{m_0}{4} d_H \tag{6.7}$$

Let us now consider the case

$$\|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_* \geq \varepsilon_1, \tag{6.8}$$

(that includes the case $\|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_* > \varepsilon_0$).

We have

$$d_H \leq 2 \operatorname{diam}(\Omega) \leq 2R_0 \leq \frac{2R_0}{\varepsilon_1} \|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_*. \tag{6.9}$$

By (6.7) and (6.9) estimate (2.16) holds for

$$C = \max \left\{ \frac{m_0}{4}, \frac{2R_0}{\varepsilon_1} \right\}$$

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A Upper and lower bounds for $S(y, z)$.

In this section, we provide the proofs of Proposition 4.2 and Proposition 4.3.

Proof of Proposition 4.2. We divide the proof of the proposition into four steps.

Step 1: for all $y, z \in B_{r_1}(P_0)$, with $P_0 \in \Omega_0$, it holds

$$|S(y, z)| \leq C\varepsilon, \tag{A.1}$$

where C is a constant depending on the a priori data.

Proof of Step 1. By the Alessandrini identity (4.1) specialized to the case $u_0(\cdot) = G_0^\sharp(\cdot, y)$ and $u_1(\cdot) = G_1^\sharp(\cdot, z)$, we find

$$\begin{aligned} |S(y, z)| &= \left| \langle (\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma) G_0^\sharp(\cdot, y)|_\Sigma, G_1^\sharp(\cdot, z)|_\Sigma \rangle \right| \\ &\leq \|\Lambda_{\gamma_{D_0}}^\Sigma - \Lambda_{\gamma_{D_1}}^\Sigma\|_* \|G_0^\sharp(\cdot, y)\|_{H^1(\Omega)} \|G_1^\sharp(\cdot, z)\|_{H^1(\Omega)} \leq C\varepsilon \end{aligned}$$

thanks to (4.11) and (4.13), where C depends only on the a priori data. \square

In the next step, we get an estimate of $S(y, z)$, when the point y belongs to Ω_0 while z is in \mathcal{G} but is far from edges and vertices of $\Omega_{\mathcal{G}}$ where H^1 -estimates of the Green function do not hold.

Step 2: let $y \in B_{r_1}(P_0)$, where $P_0 \in \Omega_0$. For all $C_1 > 1$ and $z \in \Omega^\# \setminus \left(\Omega_{\mathcal{G}} \cup \bigcup_{P_1 \in \sigma_{ij}^{\Omega_{\mathcal{G}}}} B_{\frac{r_0}{C_1}}(P_1) \right)$, with $i \neq j$, there exists a constant C depending on the a priori data and C_1 such that

$$|S(y, z)| \leq C d_z^{-\frac{1}{2}}. \quad (\text{A.2})$$

where $d_z = \text{dist}(z, \partial\Omega_{\mathcal{G}})$.

Proof of Step 2. Let us consider (4.8). Then

$$|S(y, z)| \leq |k-1| \left\{ \int_{D_0} |\nabla G_0^\#(x, y) \cdot \nabla G_1^\#(x, z)| dx + \int_{D_1} |\nabla G_0^\#(x, y) \cdot \nabla G_1^\#(x, z)| dx \right\}$$

hence, for $i = 0, 1$, we have

$$\begin{aligned} \int_{D_i} |\nabla G_0^\#(x, y) \cdot \nabla G_1^\#(x, z)| dx &\leq C \|\nabla G_0^\#(\cdot, y)\|_{L^2(D_i)} \|\nabla G_1^\#(\cdot, z)\|_{L^2(D_i)} \\ &\leq C \|G_0^\#(\cdot, y)\|_{H^1(\Omega^\# \setminus B_{r_1}(y))} \|G_1^\#(\cdot, z)\|_{H^1(\Omega^\# \setminus B_{d_z}(z))} \\ &\leq C d_z^{-\frac{1}{2}}, \end{aligned}$$

where C is a constant depending only on the a priori data. \square

Step 3: for all $y \in B_{r_1}(P_0)$, with $P_0 \in \Omega_0$, it holds

$$|S(y, \xi_h)| \leq C \frac{\varepsilon^\eta}{h^{\frac{1}{2}}}, \quad (\text{A.3})$$

where

$$\eta = \beta_2 \tau \left(\frac{\lfloor \log \frac{h}{d_1} \rfloor}{\lfloor \log x \rfloor} + 1 \right),$$

and $0 < \beta_2 < 1$ depending on the a priori data.

Remark A.1. Before proving Step 3, we note that as a consequence of Proposition 3.8 is always possible to construct a path \mathfrak{c} joining a point $x \in B_{r_1}(P_0)$ to a point in \mathcal{G} and a tubular neighborhood of \mathfrak{c} , where its radius now depends also on r_1, M_1 .

Remark A.2. In the proof of the proposition, we make an extensive use of the three spheres inequality for harmonic functions. We refer the reader to [30, 31, 8] for more details. For the sake of simplicity, we recall here the statement which is adapted to our case: for every solution $w \in H^1(B_{\varrho_0}(x))$, where $B_{\varrho_0}(x) \subset \mathcal{G}$ of the equation

$$\Delta w = 0 \quad \text{in } B_{\varrho_0}(x)$$

and for all $0 < \varrho_1 < \varrho_2 < \varrho_3 \leq \varrho_0$, it holds

$$\|w\|_{L^\infty(B_{\varrho_2}(x))} \leq \|w\|_{L^\infty(B_{\varrho_1}(x))}^\tau \|w\|_{L^\infty(B_{\varrho_3}(x))}^{1-\tau}, \quad (\text{A.4})$$

where $0 < \tau < 1$ depends on $\frac{\varrho_2}{\varrho_3}, \frac{\varrho_1}{\varrho_3}$.

Proof of Step 3. Thanks to Proposition 3.8, we use (A.1) and the three spheres inequality (A.4) to propagate the smallness of $S(y, z)$ inside $\Omega^\sharp \setminus \Omega_G$ till reaching the point Q . In our notation, we choose $w = S(y, \cdot)$ and $\varrho_0 = R$ in (A.4). Then, applying once the three spheres inequality, we get

$$\|S(y, \cdot)\|_{L^\infty(B_{\varrho_2}(z))} \leq \|S(y, \cdot)\|_{L^\infty(B_{\varrho_1}(z))}^\tau \|S(y, \cdot)\|_{L^\infty(B_{\varrho_3}(z))}^{1-\tau}.$$

The first and second terms on the right-hand side of the previous inequality are estimated by (A.1) and (A.2), respectively, noticing that the worst case in (A.2) is given by $d_z = h$, where h appears in the definition of ξ_h . Therefore, we find

$$\|S(y, \cdot)\|_{L^\infty(B_{\varrho_2}(z))} \leq C\varepsilon^\tau \frac{1}{h^{\frac{1}{2}(1-\tau)}}$$

where the last inequality comes from the fact that $0 < \tau < 1$. Then, we apply the three spheres inequality along a chain of balls to reach the point Q , that is, we get

$$\|S(y, \cdot)\|_{L^\infty(B_{\varrho_2}(Q))} \leq C\varepsilon^{\tau\tilde{\beta}_2} \frac{1}{h^{\frac{1}{2}(1-\tau\tilde{\beta}_2)}} \leq C\varepsilon^{\tau\tilde{\beta}_2} \frac{1}{h^{\frac{1}{2}}},$$

where $\tilde{\beta}_2$ is the number of iterations of the three spheres inequality and C depends on the a priori data. In order to propagate the smallness from Q to ξ_h , we use the same procedure proposed in [8, 9], iterating an application of the three spheres inequality (A.4) over a chain of balls of decreasing radius and contained in a suitable cone of vertex P and axis $\nu = e_3$. Finally reasoning as in [8], we find

$$\|S(y, \cdot)\|_{L^\infty(B_{\rho_k(h)}(\xi_h))} \leq C \frac{\varepsilon^{\beta_2\tau \frac{|\log \frac{h}{d_1}|}{|\log \chi|} + 1}}{h^{\frac{1}{2}}},$$

where C depends on the a priori constant and $0 < \beta_2 < 1$. Hence, (A.3) follows. \square

Step 4: final step. For all $C_1 > 1$ and $y, z \in \Omega^\sharp \setminus \left(\Omega_G \cup \bigcup_{P_1 \in \sigma_{ij}^{\Omega_G}} B_{\frac{r_0}{C_1}}(P_1) \right)$, with $i \neq j$, one can repeat the same argument as in Step 2 to get

$$|S(y, z)| \leq C(d_y d_z)^{-\frac{1}{2}},$$

where C depends on the a priori data and on C_1 . In particular, choosing $y = z = \xi_h$, we find the estimate

$$|S(\xi_h, \xi_h)| \leq \frac{C}{h}. \tag{A.5}$$

Similarly as in Step 3, we can apply Proposition 3.8 and an iteration of chain of balls joining a point $y \in B_{r_1}(P_0)$, where $P_0 \in \Omega^\sharp$, to Q . In the application of the three spheres inequality, estimates (A.3) and (A.5) are now used. It holds

$$\|S(\cdot, \xi_h)\|_{L^\infty(B_{\varrho_2}(Q))} \leq C \frac{\varepsilon^{\tilde{\beta}_1\tau \frac{|\log \frac{h}{d_1}|}{|\log \chi|} + 1}}{h}$$

where $0 < \tilde{\beta}_1 < 1$. Finally, we apply again the three spheres inequality along a chain of balls of decreasing radius using the same construction of Step 3. Therefore, we get

$$\|S(\cdot, \xi_h)\|_{L^\infty(B_{\rho_k(h)}(\xi_h))} \leq C \frac{\varepsilon^{\beta_1\tau \frac{2|\log \frac{h}{d_1}|}{|\log \chi|} + 2}}{h}. \tag{A.6}$$

Defining $A = 1/d_1$ and $B = \frac{2}{|\log \chi|}$, we get by (A.6) the estimate

$$|S(\xi_h, \xi_h)| \leq C \frac{\varepsilon^{\beta_1 \tau^{2+B|\log A| h^B |\log \tau|}}}{h}.$$

The assertion of the theorem follows defining C_3 and C_4 as

$$C_3 = \beta_1 \tau^{2+2\frac{|\log A|}{|\log \chi|}}, \quad \text{and} \quad C_4 = 2 \frac{|\log \tau|}{|\log \chi|}$$

□

Next, we provide the proof of Proposition 4.3.

Proof of Proposition 4.3. Let ϱ be as in (4.17) and consider ξ_h and $0 < h < \bar{h}\varrho$, with $\bar{h} \in (0, \frac{1}{2})$ to be chosen later. By equation (4.8), we get

$$\begin{aligned} \frac{|S(\xi_h, \xi_h)|}{|k-1|} &\geq \left| \int_{D_0} \nabla G_0^\sharp(x, \xi_h) \cdot \nabla G_1^\sharp(x, \xi_h) dx \right| \\ &\quad - \left| \int_{D_1} \nabla G_0^\sharp(x, \xi_h) \cdot \nabla G_1^\sharp(x, \xi_h) dx \right| =: |I_1| - |I_2|. \end{aligned} \quad (\text{A.7})$$

To estimate I_2 , note that, since $\xi_h \notin \partial\Omega_G$, we can add and subtract the gradient of the biphasse fundamental solution in I_2 , that is

$$\begin{aligned} |I_2| &= \left| \int_{D_1} \left(\nabla G_0^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_0(x, \xi_h) \right) \cdot \left(\nabla G_1^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_1(x, \xi_h) \right) dx \right. \\ &\quad + \int_{D_1} \left(\nabla G_0^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_0(x, \xi_h) \right) \cdot \nabla \widehat{\Gamma}_1(x, \xi_h) dx \\ &\quad + \int_{D_1} \nabla \widehat{\Gamma}_0(x, \xi_h) \cdot \left(\nabla G_1^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_1(x, \xi_h) \right) dx \\ &\quad \left. + \int_{D_1} \nabla \widehat{\Gamma}_0(x, \xi_h) \cdot \nabla \widehat{\Gamma}_1(x, \xi_h) dx \right| =: |I_{21}| + |I_{22}| + |I_{23}| + |I_{24}|. \end{aligned} \quad (\text{A.8})$$

Integral I_{21} can be estimated by (4.5), hence

$$|I_{21}| \leq \int_{\Omega^\sharp} |\nabla G_0^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_0(x, \xi_h)| |\nabla G_1^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_1(x, \xi_h)| dx \leq C. \quad (\text{A.9})$$

Integral I_{22} and I_{23} can be treated analogously. For example, by Cauchy-Schwarz inequality and (4.5), we find that

$$\begin{aligned} |I_{22}| &\leq \int_{D_1} |\nabla G_0^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_0(x, \xi_h)| |\nabla \widehat{\Gamma}_1(x, \xi_h)| dx \\ &\leq C \|\nabla G_0^\sharp - \nabla \widehat{\Gamma}_0\|_{L^2(D_1)} \|\nabla \widehat{\Gamma}_1\|_{L^2(D_1)} \leq C \|\nabla \widehat{\Gamma}_1\|_{L^2(\Omega^\sharp \setminus B_h(\xi_h))}. \end{aligned} \quad (\text{A.10})$$

To estimate the last term in the previous inequality, we use the result in [8, Proposition 3.4], that is, using the explicit behaviour of the biphasse fundamental solution, that is

$$|\nabla \widehat{\Gamma}_i(x, y)| \leq \frac{C}{|x-y|^2}, \quad \forall x, y \in \mathbb{R}^3, \quad x \neq y, \quad i = 0, 1,$$

and spherical coordinates, it is straightforward to prove that

$$\|\nabla \widehat{\Gamma}_1\|_{L^2(\Omega^\sharp \setminus B_h(\xi_h))} \leq \frac{C}{h^{\frac{1}{2}}},$$

hence, the use of this result in (A.10) gives

$$|I_{22}| \leq \frac{C}{h^{\frac{1}{2}}}, \quad \text{and similarly} \quad |I_{23}| \leq \frac{C}{h^{\frac{1}{2}}}. \quad (\text{A.11})$$

Integral I_{24} is estimated by using again the result in [8] and the fact that $B_h(\xi_h) \subset B_\varrho(P)$, since $h \leq \frac{\varrho}{2}$, and moreover $D_1 \cap B_\varrho(P) = \emptyset$. Then,

$$\begin{aligned} |I_{24}| &\leq \int_{D_1} |\nabla \widehat{\Gamma}_0(x, \xi_h)| |\nabla \widehat{\Gamma}_1(x, \xi_h)| dx \leq C \int_{D_1} \frac{C}{|x - \xi_h|^4} dx \\ &\leq C \int_{\mathbb{R}^3 \setminus B_\varrho(P)} \frac{C}{|x - \xi_h|^4} dx. \end{aligned} \quad (\text{A.12})$$

Note that $|x - \xi_h| \geq |x| - h$, hence, from the application of spherical coordinates to the last term of (A.12), we find

$$|I_{24}| \leq C \int_\varrho^{+\infty} \frac{r^2}{(r-h)^4} dr,$$

and since $h \leq \frac{\varrho}{2} \leq \frac{r}{2}$, we have that $r - h \geq \frac{r}{2}$, hence

$$|I_{24}| \leq C \int_\varrho^{+\infty} \frac{1}{r^2} dr = \frac{C}{\varrho}, \quad (\text{A.13})$$

where C depends only on the a priori data. Finally, by estimates (A.9), (A.11) and (A.13) in (A.8), we find

$$|I_2| \leq C_1 + \frac{C_2}{h^{\frac{1}{2}}} + \frac{C_3}{\varrho}, \quad (\text{A.14})$$

where C_1, C_2, C_3 depend on the a priori data.

For the first integral in (A.7), we use the following decomposition of the domain $D_0 = (D_0 \cap B_\varrho(P)) \cup (D_0 \setminus B_\varrho(P))$, that is

$$\begin{aligned} |I_1| &\geq \left| \int_{D_0 \cap B_\varrho(P)} \nabla G_0^\sharp(x, \xi_h) \cdot \nabla G_1^\sharp(x, \xi_h) dx \right| + \\ &\quad - \left| \int_{D_0 \setminus B_\varrho(P)} \nabla G_0^\sharp(x, \xi_h) \cdot \nabla G_1^\sharp(x, \xi_h) dx \right| =: |I_{11}| - |I_{12}| \end{aligned} \quad (\text{A.15})$$

The term I_{12} can be estimated using the same procedure adopted for I_2 , hence

$$|I_{12}| \leq C_1 + \frac{C_2}{h^{\frac{1}{2}}} + \frac{C_3}{\varrho}. \quad (\text{A.16})$$

In I_{11} we add and subtract the gradient of the biphasic fundamental solution $\widehat{\Gamma}_1$, that is

$$\begin{aligned} |I_{11}| &\geq \left| \int_{D_0 \cap B_\varrho(P)} \nabla \widehat{\Gamma}_0(x, \xi_h) \cdot \nabla \widehat{\Gamma}_1(x, \xi_h) dx \right| + \\ &\quad - \left| \int_{D_0 \cap B_\varrho(P)} \left[\nabla G_0^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_0(x, \xi_h) \right] \cdot \nabla G_1^\sharp(x, \xi_h) dx \right| =: |I_{111}| - |I_{112}|. \end{aligned}$$

For the estimation of I_{112} we use (4.6) and (4.7), that is

$$|I_{112}| \leq C \int_{\Omega^\sharp \setminus B_h(\xi_h)} |\nabla G_1^\sharp(x, \xi_h)| dx \leq \frac{C}{h^{\frac{1}{2}}}. \quad (\text{A.17})$$

In the term I_{111} , we add and subtract the gradient of the biphase fundamental solution $\widehat{\Gamma}_1$, that is

$$\begin{aligned} |I_{111}| &\geq \left| \int_{D_0 \cap B_\varrho(P)} \nabla \widehat{\Gamma}_0(x, \xi_h) \cdot \nabla \widehat{\Gamma}_1(x, \xi_h) dx \right| + \\ &\quad - \left| \int_{D_0 \cap B_\varrho(P)} \nabla \widehat{\Gamma}_0(x, \xi_h) \cdot \left[\nabla G_1^\sharp(x, \xi_h) - \nabla \widehat{\Gamma}_1(x, \xi_h) \right] dx \right| \\ &=: |I_{1111}| - |I_{1112}|. \end{aligned}$$

For the term I_{1112} , we use similar arguments adopted in the previous calculations and (4.5), hence

$$|I_{1112}| \leq \frac{C}{h^{\frac{1}{2}}}. \quad (\text{A.18})$$

Finally, from the results in [13, 17], we have that

$$|I_{1111}| \geq \frac{C}{h}. \quad (\text{A.19})$$

From (A.15), by estimates (A.19), (A.18), (A.17) and (A.16), we find

$$|I_1| \geq \frac{C}{h} - C_1 - \frac{C_2}{h^{\frac{1}{2}}} - \frac{C_3}{\varrho}. \quad (\text{A.20})$$

Finally, using (A.20) and (A.14) into (A.7), we get

$$|S(\xi_h, \xi_h)| \geq \frac{C}{h} \left(1 - C_1 h^{\frac{1}{2}} - \frac{C_2 h}{\varrho} - C_3 h \right),$$

where the constants C, C_1, C_2, C_3 depend on the a priori data. Therefore, there exists $\bar{h} > 0$ such that, for any $0 < h < \bar{h}\varrho$, the estimate (4.16) follows. \square

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