68

90

91

92

Raffaella Folgieri University of Milan and The Open University raffaella.folgieri@unimi.it

Enrico Daga The Open University enrico.daga@open.ac.uk

Claudio Lucchiari University of Milan claudio.lucchiari@unimi.it Paul Arnold Affiliation4 Author4@ismir.edu

ABSTRACT

⁵ One of the ambitions of computational musicology con-⁶ sists in characterising music harmony in a symbolic sys-⁷ tem. In the context of the EU project Polifonia, we are ex-⁸ ploring the possibility to associate EEG data to character-⁹ ise harmony from a *cognitive* and *emotional* point of view. ¹⁰ Data will be collected using a Brain Computer Interface ¹¹ (BCI). In a further step, we aim to train a ML classifier to ¹² automate the pattern recognition process.

¹³ To obtain the EEG characterisation, we will consider chord
¹⁴ sequences. This choice represents *per sè* a novelty, consid¹⁵ ering that in literature mainly sounds and tracks have been
¹⁶ explored with BCI interfaces. We present preliminary
¹⁷ findings and on that basis sketch research hypotheses to be
¹⁸ further developed.

19

1

2

3

4

1. INTRODUCTION

²⁰ Polifonia¹ is an EU Horizon 2020 funded project aiming to ²¹ recreate the connections between music, people, places ²² and events from the sixteenth century to the modern-day ²³ to enhance our understanding of European musical herit-²⁴ age. With the help of Artificial Intelligence, Polifonia will ²⁵ analyse sounds, texts and musical scores to support musi-²⁶ cologists in developing new perspectives on the history of ²⁷ European musical heritage. One of the objectives of the ²⁸ Polifonia project is to explore how AI can be ap-plied to ²⁹ support musicological studies. Here we focus on acquiring ³⁰ traces of human experiences of *harmony*.

³¹ From a cognitive point of view, it is vital to understand ³² how harmony is processed by the brain and how the results ³³ of this processing affect the body. Emotions are a privi-³⁴ leged way to study embodied cognition, and harmony can ³⁵ be thought to be a sort of symbolic means that modulates ³⁶ the relationship between basic embodied reactions to feel-³⁷ ings and higher cognitive processes.

³⁸ Thus, the first research question we aim to reply is related ³⁹ to feelings: isn't the feeling a result of the chord in relation ⁴⁰ to the reference key or are there other factors involved?

⁴¹ In this paper, we report on an experiment aimed at meas-⁴² uring by EEG the emotional reactions elicited within a col-⁴³ lection of prototypical chord progressions. In the past, ex-⁴⁴ periments have been conducted using tracks and sounds, ⁴⁵ such as for the IADS (International Affective Digitized ⁴⁶ Sounds – IADS) [1] and for musical videoclips/songs (Da-⁴⁷ tabase for Emotion Analysis using Physiological signals – ⁴⁸ DEAP) [2]. However, to the best of our knowledge, this is ⁴⁹ the first attempt at recording EEG measurements of expe-⁵⁰ riencing isolated, prototypical chord progressions.

⁵¹ The motivation for focusing on chord progressions rather ⁵² than full pieces of music is related to the need of reducing ⁵³ the impact of familiarity. In fact, according to Thammasan ⁵⁴ et al. [3], music familiarity influences both the power spec-⁵⁵ tra of brain-rhythms and the brain functional connectivity. ⁵⁶ Empirical results suggests that the use of only songs with ⁵⁷ low familiarity level can enhance the performance of EEG-⁵⁸ based emotion classification. In their work, Naser and ⁵⁹ Saha [4] show the influence of music liking on EEG-based ⁶⁰ emotion recognition. It is then necessary to avoid familiar-⁶¹ ity with genre or pieces including known patterns.

62 Following literature and to pursue the aim of finding a gen-63 eral pattern within a track, we identified a set of impact 64 factors (HTTK): (a) Harmony (chord progression); (b) 65 Timbre (instrumentation); (c) Tempo (fast, slow, etc.); and 66 (d) Key change. We focus here on chord progression and 67 tempo, as detailed in the following chapter.

2. EXPERIMENTAL SETUP

To set up the experiment, we started creating a library 70 of progressions to measure the elicited emotions, that is the 71 same harmony with different timbre, tempo and key, com-72 bining these elements. In this way we could guarantee the 73 non-familiarity, isolate the harmony and play with the 74 HTTK factors fixing one of them and varying the others, 75 obtaining, so, many combinations to experiment.

To do this, we created a library of 19 progressions se-77 lected among common ones (from: <u>https://en.wikipe-</u> 78 <u>dia.org/wiki/List of chord progressions</u>). The list in-79 cludes well-known chord progressions from several genres 80 of Western music, spanning from pop, blues, to classic. 81 Chord sequences can be major, minor, or modal (e.g. mix-82 olidian). Regarding the timbre, in this first experiment we 83 considered only Piano. Three tempos have also been con-84 sidered: 90bpm, 120bpm and 150bpm.

The library of chord progressions' midi files and the corresponding list of chord progressions can be found at (inserire link a gthub)

⁸⁸ To include participants to the experiment, we adopted the ⁸⁹ following criteria:

- No hearing impairments
- Distributed in age and sex
- Numerosity: 10/track/experimental group

93 Following Bonneville-Roussy et al. [5], the chosen age 94 ranges are: 14-20; 21-45; over 45, covering generational

¹ Polifonia Project: <u>http://polifonia-project.eu</u>

⁹⁵ trends. Participants were isolated by noise through head-⁹⁶ phones, sitting relaxed and wearing MUSE headset to col-⁹⁷ lect EEG data. MUSE is an EEG-based BCI headset¹, that ⁹⁸ is a portable scalp electroencephalography (EEG) system, ⁹⁹ battery powered and provided of four active electrodes lo-¹⁰⁰ cated at 10-20 coordinates TP9, AF7, AF8, and TP10.

¹⁰¹ Each participant has been submitted to the 19 stimuli in the ¹⁰² three different bpm, played randomly and each preceded ¹⁰³ by 30 seconds of silence to record subjects' baseline.

104 After the experiment, a questionnaire has been also sub-105 mitted to the participants, asking if they were somehow fa-106 miliar with the patterns. Also, participants have been asked 107 to report the felt emotion on arousal (calm to excited) 108 scale. The rating has been collected by Self-Assessment 109 Manikin (SAM) [6] where arousal ranges from 1, depicted 110 by a relaxed, sleepy figure, to 9, an excited, wide-eyed fig-111 ure.

3. PRELIMINARY RESULTS

112

¹¹³ In this first exploratory work, we focused on the analysis ¹¹⁴ of the beta/theta ratio evaluation, already used in the liter-¹¹⁵ ature to establish a subject's arousal levels. In this case, the ¹¹⁶ lower the ratio, the lower the arousal, i.e. the degree of the ¹¹⁷ engagement of an organism with its surroundings. A low ¹¹⁸ arousal is generally associated with cognitive tasks or ¹¹⁹ emotional states that do not require attentional resources. ¹²⁰ As hypothesised, arousal is higher with time 150bpm and ¹²¹ 120bpm across all the subjects and age-range. Particularly, ¹²² arousal is higher in 120bpm for 21-45 age-range and it is ¹²³ higher in 150bmp both for 14-21 bpm and for over-45 age ¹²⁴ range.

¹²⁵ We discuss our findings considering the Arousal/Valence ¹²⁶ model by Russell [7].

127 In the Russell's model, emotions are represented by the va-128 lence and arousal dimensions [6]. Following psychology, 129 valence represents the attractiveness or aversion of an 130 event or object, varying from negative to positive, indicat-131 ing the level of pleasantness perceived by the individual 132 related to an event or an item. Arousal is defined as the 133 physiological and psychological state of being prompt to 134 reply to a stimulus. The arousal level ranges from low 135 (sleepy-like sate) to high (excited state) and it modulates 136 all the organism's functions. Low arousal emotions are 137 characterized by a disengagement tendency, since the in-138 dividual does not feel the urgency to do something to 139 change their state. The emotions can be both positive (e.g., 140 calm) and negative (e.g., sadness). High arousal emotions, 141 instead, call in attention resources and prepare the organ-142 ism to give a response. Positive high arousal emotions 143 (e.g., happiness) can considered the apex of a demanding 144 process that results in a positive experience.

¹⁴⁵ In this preliminary work, we first focused on the arousal
¹⁴⁶ values since the research is still *in fieri*. We aim at giving
¹⁴⁷ exact EEG values for valence, confirming our findings
¹⁴⁸ through the self-assessment test submitted to the partici¹⁴⁹ pants. In fact, considering that valence is a subjective part
¹⁵⁰ of an emotion, it usually measured by asking the partici¹⁵¹ pants to the experiment to give feedback about their per-

152 ception through a self-assessment test, such as SAM. Con-153 sequently, valence is usually considered only for its posi-154 tive or negative value. Moreover, in literature some suc-155 cessful attempt to give an exact measure of valence have 156 been performed. Consequently, we aim at applying ap-157 proaches similar to those found in literature to associate to 158 each chord progression a specific EEG value so to capture 159 the whole emotional experience of the individual starting 160 by the combination of arousal and valence.

¹⁶¹ We conclude with a summary of relevant findings and pos-¹⁶² sible hypotheses for future research:

- We recorded a consistent increase of Arousal in relation to Tempo for the subjects in all age groups. Crucially, the results of the Theta rhythm analysis is consistent with the Beta/Theta ratio, which further support this finding. It means that Tempo may be considered a reliable modulator of one's arousal level.
 - Valence appears to be consistent on the majority of chord progressions, although further research is needed to characterise the mood associated with each chord sequence.

170

171

172

173

187

174 The next step in this research is to develop a set of data 175 visualisations that could be used by musicologists to de-176 velop explanations for the patterns that we observed in the 177 EEG data. In addition, we aim at performing new experi-178 ments accompanying the EEG observation with survey 179 questionnaire that may better help to related Va-180 lence/Arousal levels with EEG traces. Future work also in-181 cludes reproducing the experiment with different BCI de-182 vices, in order to increase the confidence in the observa-183 tions. Finally, we aim at enriching the collection of chord 184 patterns and publish a dataset to be used as a benchmark 185 for researching on characterising harmony via BCI-186 mediated observations.

4. REFERENCES

- M. M. Bradley and P. J. Lang, "The International Affective Digitized Sounds: Affective ratings of sounds and instruction manual",). Gainesville, FL: University of Florida, NIMH Center for the Study of Emotion and Attention, Technical Report No. B-3, 2007.
- In Soleymani, J.-S. Lee, A. Yazdani, T.Ebrahimi, T. Pun, A. Nijholt, and I. Patras, "DEAP: A database for emotion analysis using physiological signals," IEEE Trans. Affec-tive Computing, vol.3, no.1, pp.18–31, 2012.
- N. Thammasan, K. Moriyama, K. I. Fukui and M. Numao, "Familiarity effects in EEG-based emotion recognition", *Brain informatics*, 4(1), 39-50, 2017.
- ²⁰¹ [4] D. S. Naser and G. Saha, "Influence of music liking
 ²⁰² on EEG based emotion recognition", *Biomedical Sig-* ²⁰³ nal Processing and Control, 64, 102251, 2021
- A. Bonneville-Roussy, P. J. Rentfrow, M. K. Xu and
 J. Potter, "Music through the ages: Trends in musical
 engagement and preferences from adolescence

¹Muse, RRID:SCR_014418, <u>http://www.choosemuse.com</u>

- through middle adulthood", *Journal of personality and social psychology*, *105*(4), 703, 2013.
- M. M. Bradley, P. J. and Lang, "Measuring emotion:the self-assessment manikin and the semantic differ-
- ential", Journal of behavior therapy and experi-
- 212 *mental psychiatry*, 25(1), pp.49-59, 1994
- 213 [7] J.A. Russell, "A circumplex model of affect", Jour-
- nal of personality and social psychology, Dec,
 39(6):1161, 1980