

1 **Grapevine stilbenoids as natural food preservatives: calorimetric and spectroscopic insights on**  
2 **the interaction with model cell membranes**

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10

11 **Abstract:**

12 Food contamination with pathogenic microorganisms, such as *Listeria monocytogenes*, *Salmonella*  
13 *enterica*, *Staphylococcus aureus* and *Bacillus cereus*, is a common health concern. Natural product,  
14 which have been the main source of antimicrobials for centuries, may represent a turning point in  
15 alleviating the antibiotic crisis, and plant polyphenolic compounds are considered a promising source  
16 for new antibacterial agents. Resveratrol and resveratrol-derived monomers and oligomers  
17 (stilbenoids) have been shown to exert a variegated pattern of efficacies as antimicrobials depending  
18 on both the polyphenols' structure and the nature of the microorganisms, and the bacterial cell  
19 membrane seems to be one of their primary targets.

20 In this scenario and basing on the thermodynamic information reported in the literature about cell  
21 membranes, this study aimed at the investigation of the direct interaction of selected stilbenoids with  
22 a simple but informative model cell membrane. Three complete stilbenoid “monomer / dimer /  
23 dehydro-dimer” sets were chosen according to different geometries and substitution patterns. Micro-  
24 DSC was performed on 2:3 DPPC:DSPC small unilamellar vesicles with incorporated polyphenols  
25 at physiological pH and the results were integrated by complementary NMR data. The study  
26 highlighted the molecular determinants and mechanisms involved in the stilbenoid-membrane  
27 interaction, and the results were well correlated with the microbiological evidence previously  
28 assessed.

29

30 **Keywords: Stilbenoids; Antimicrobials; Model Membrane; Micro-DSC; NMR.**

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## 33 **Introduction**

34 Foodborne diseases caused by pathogens including *Salmonella* sp., *Staphylococcus aureus*,  
35 *Clostridium perfringens*, *Bacillus cereus*, *Escherichia coli*, *Vibrio parahaemolyticus* and *Listeria*  
36 *monocytogenes* have become a major concern for the food industry worldwide.<sup>1,2</sup> In the past years,  
37 considerable effort has been made to effectively control the growth of several pathogenic and spoilage  
38 microorganisms by combining physical methods and chemical preservatives<sup>3</sup>, as well as to reduce the  
39 deriving negative effects on food nutritive values, sensory properties and on human health<sup>4</sup>.

40 In this context, several studies have been dedicated to find naturally occurring compounds as  
41 effective and safe food-preservative alternatives. In particular, plant polyphenolic compounds have  
42 been extensively screened<sup>5</sup>. Among others, stilbenoids represent an attractive class of plant  
43 polyphenols studied in the last decades because of their various bioactivities such as anti-  
44 inflammatory, neuroprotective, anticancer, antidiabetic effects<sup>6,7</sup>, as well as for their antioxidant and  
45 antimicrobial properties, which have made them excellent natural food-preservative candidates<sup>8,9</sup>.

46 Stilbenoids are both woody constitutive metabolites and phytoalexins, *i.e.*, molecules produced  
47 to protect the plant against biotic and abiotic stresses. Oligostilbenoids are produced by the  
48 oligomerization of monomeric units, which generates a large variety of chemotypes, characterized by  
49 different connections between aromatic rings, number, type and position of substituents, three-  
50 dimensional shape and geometry<sup>10,11</sup>.

51 Grapevine wastes have been reported to be a valuable source of stilbenoids. For instance, up to  
52 41 stilbenes have been identified in grapevine canes, especially in the *Vitis vinifera* genus, in a  
53 concentration ranging from 2000 to 6000 mg/kg dry weight.<sup>12</sup> However, huge variability in both their  
54 identification and quantification has been observed. Notably cultivar variety, climate conditions, soil  
55 and grapevine management affect the stilbene content, as well as different extraction and analysis  
56 methods.<sup>13</sup>

57 Due to the wide pattern of bioactivities shown, grapevine extracts have already found their own  
58 applicability in the food sector.<sup>13,14</sup> For instance, the use of a commercially distributed grapevine-  
59 shoot stilbene extract (Vineatrol<sup>®</sup>) as an alternative to SO<sub>2</sub> in winemaking treatments has been  
60 studied, revealing its ability to preserve the enological parameters of wines, though the dosage needs  
61 to be optimized in order to avoid side effects on sensory properties.<sup>15-17</sup> Similar extracts have also  
62 been embedded in biodegradable plastics for packaging in order to limit the action of pathogenic  
63 microorganisms and the deterioration process of food.<sup>14,18</sup> Furthermore, extracts from grape pomace  
64 have also been introduced in the agricultural field as antifungals, insecticides, nematicides and as bio-  
65 stimulants in grapevines.<sup>13,19</sup>

66 As a consequence of the above reported potentialities, the extraction of *trans*-resveratrol and its  
67 oligomers from grapevine (*Vitis vinifera* L.) wastes as roots, stems, and shoots from the viticulture  
68 industry has been reported to have an estimated global economic value of >\$45 billion.<sup>20,21</sup>  
69 Nonetheless, although grapevine sourced monomers (*e.g.*, resveratrol and pterostilbene) have been  
70 extensively studied, less information is available about oligostilbenoids.

71 Recently, we have evaluated the antimicrobial activity of a collection of resveratrol-derived  
72 monomers and dimers against a panel of foodborne pathogens.<sup>22</sup> The most promising dimeric  
73 compound, dehydro- $\delta$ -viniferin, was tested against *Listeria monocytogenes*, resulting in a loss of  
74 cultivability, viability, and cell membrane potential. TEM analysis revealed severe morphological  
75 modifications on the cell membrane and leakage of intracellular content, suggesting that the  
76 membrane might be the principal biological target of the tested derivative. The findings are in good  
77 agreement with previous reports about the conformational changes in membrane structure induced by  
78 other natural polyphenols.<sup>23</sup>

79 Nevertheless, the molecular aspects of the stilbenoid-membrane interaction are still missing in  
80 the literature and deserve to be addressed to directly assess such an interaction and to highlight  
81 peculiarities of each compound. Indeed, previous calorimetric and spectroscopic studies<sup>24-28</sup> revealed  
82 that cell membranes may be severely affected by external agents, but the interaction effects are usually  
83 peculiar and strongly dependent on the stereochemistry and geometry of the compound.

84 To fulfil this gap, we investigated the interaction of eight compounds including stilbenoid  
85 monomers (resveratrol and pterostilbene), dimers and dehydro-dimers with a model cell membrane  
86 to assess the structural and thermodynamic determinants that dictate the membrane stability  
87 alterations and to verify whether they may be correlated to the observed antimicrobial activity. In  
88 order to perform a systematic study, compounds with different geometry and substitution pattern were  
89 chosen as to investigate three complete “monomer / dimer / dehydro-dimer” sets.

90 Based on previous thermodynamic studies<sup>24-26</sup>, the phospholipid composition of the model cell  
91 membrane was selected to be simple but informative, *i.e.*, able to discriminate the main contributions  
92 of the constituents, together with the effects of the interacting stilbenoids. Specifically, Small  
93 Unilamellar Vesicles (SUVs) at 2:3 DPPC:DSPC molar ratio were prepared as model system and the  
94 interaction of stilbenoids with the model membrane at a maximum incorporation level was assessed  
95 at physiological pH by using the micro-DSC technique. Furthermore, in order to gain further insights  
96 on their initial interaction with the membrane’s external surface, an investigation of the stilbenoids  
97 inclusion process into the hydrophobic portion of the membrane was additionally carried out on some  
98 selected compounds, also discriminating the influence of both the molecular geometry and the  
99 substitution pattern.

100 The results achieved through the calorimetric approach were integrated with complementary  
101 information by mono- and bidimensional NMR spectroscopy studies on simpler monolayer systems  
102 based on SDS micelles.

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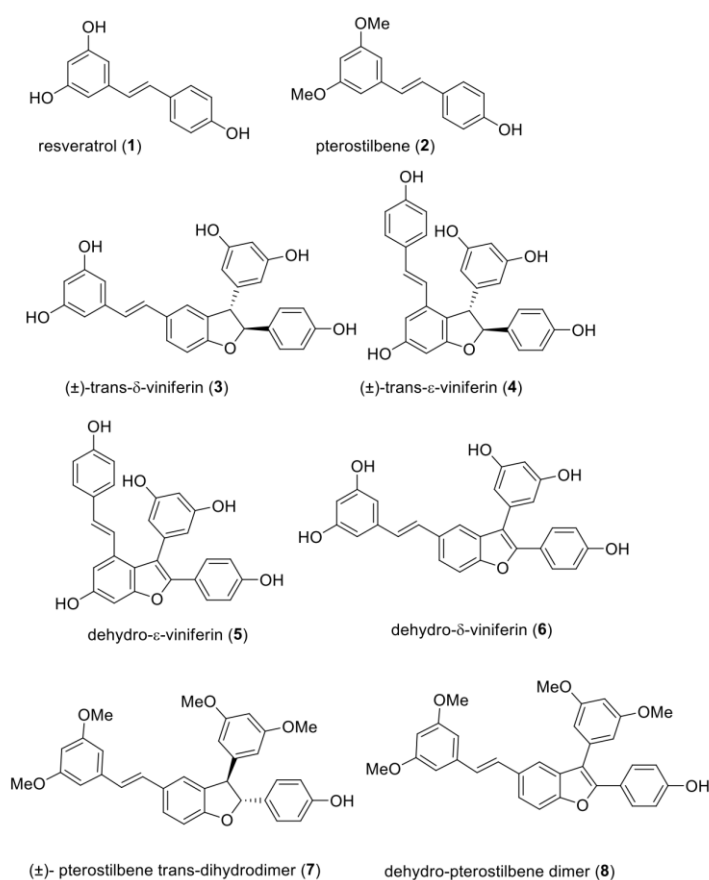
## 105 **Materials and methods**

### 106 *Materials*

107 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC) and 1,2-dipalmitoyl-sn-glycero-3-  
108 phosphocholine (DPPC) powders were purchased from Avanti Polar Lipids (purity certified by the  
109 supplier >99%) and were used without any further purification, and sodium dodecyl sulphate (SDS),  
110 other chemicals and all solvents were obtained from Sigma-Aldrich and were of analytical grade.

111 All stilbenoids used are numbered and shown in Figure 1. Trans-Resveratrol **1** and pterostilbene  
112 **2** were purchased from Sigma-Aldrich (Milan, Italy). Stilbenoids **3-7** were prepared as previously  
113 reported in the literature<sup>22</sup>; compound **8** was synthesised as reported in the Supplementary Material.  
114 All compounds were stored in darkness at -20°C before use.

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116

117 **Figure 1.** Structures of stilbenoid monomers (**1,2**) dimers (**3,4,7**) and dehydro-dimers (**5,6,8**).

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119

### 120 *Liposomes preparation*

121 Liposomes were prepared through thin-film hydration<sup>29</sup>. The phospholipid mixtures for the free  
122 liposomes (reference system) were dissolved in chloroform, whereas phospholipids:stilbenoid  
123 mixtures (10:1 molar ratio) were dissolved in chloroform:methanol 9:1 in a round-bottomed flask.  
124 The mixtures were dried under a stream of dry nitrogen gas and evaporated to dryness through rotary  
125 evaporation (Heidolph Laborota 4000 efficient, WB eco, Schwabach, Germany) at 40-45°C. The  
126 films were kept under vacuum for at least 3 hours to remove solvent traces and then aged overnight  
127 at 4°C. For the hydration, 10 mM phosphate buffer (pH 7.4) at a temperature above the gel-to-liquid-  
128 crystal transition of the lipid system was added up to a 10 mg/mL lipid concentration. After the  
129 complete dispersion of the lipid films, the obtained mixtures were slowly stirred in water bath, at the  
130 same temperature chosen for the buffer, for about an hour until the induction of a homogenous  
131 suspension, ensuring to protect samples from light. The Multilamellar Lipid Vesicles (MLVs)  
132 dispersions obtained were extruded through polycarbonate filters (pore size of 100 nm) mounted on  
133 a heated mini-extruder (Avanti Polar Lipids, Alabaster, AL, USA) fitted with two 1 mL gastight  
134 syringes (Hamilton, Reno, NV, USA) in order to obtain suspensions of Small Unilamellar Vesicles  
135 (SUVs). An odd number of passages, usually 41, was performed to avoid any contamination by  
136 liposomes that might have not passed through the filters, as suggested elsewhere<sup>30</sup>.

137 According to a previous study<sup>26</sup>, we demonstrated that the protocol applied for the SUVs  
138 preparation produces unilamellar vesicles with a distribution around the nominal provided by the  
139 supplier (100 nm), as indicated by dynamic light scattering data. Furthermore, deviations in liposome  
140 size and polydispersity are not able to influence the micro-DSC thermograms in the case of  
141 multicomponent systems. For this reason, this characterization was not repeated here.

142

### 143 *Thermal analysis measurements*

144 Calorimetry was used to determine the thermodynamic stability of the membranes with specific  
145 reference to transitions of the lipid phases. Micro-DSC was selected as the most suitable technique  
146 for liposome investigation<sup>31</sup>. The instrument used was a Setaram micro DSCIII (Setaram  
147 Instrumentation, Caluire, France) operating with 1 mL hermetically closed pans at 0.5 °C/min  
148 scanning rate. After the conclusion of the liposomes' preparation protocols, each dispersion was  
149 allowed to anneal for at least 30 min at room temperature before launching the DSC measurement.  
150 SUVs samples were diluted up to 2.8 mM phospholipid concentration. The phospholipid  
151 concentration was derived by accurately considering the lipids weight and the dilution volumes at

152 each step of the liposome preparation protocol (the validity of such approach was assessed in previous  
153 works)<sup>24,26</sup>.

154 The influence of a different phospholipid:stilbenoid ratio (10:2 molar ratio) as well as the role of  
155 the steric hindrance given by the compound's molecular size (monomer vs dimer) were also verified  
156 by using compounds **1** and **3** (Figure 1) from the first set (see Supplementary Material).

157 In some specific cases, additional measurements were also performed on samples prepared by  
158 adding stilbenoids to the preformed reference liposomes in order to evaluate the process of insertion  
159 of the compounds into the phospholipid bilayer. In particular, just before launching the measurement,  
160 a volume of 1  $\mu\text{L}$  of highly concentrated stilbenoid solutions in DMSO was added and well mixed  
161 with 1 mL of SUVs at 2.8 mM phospholipid concentration, reaching the same lipid:stilbenoid ratio  
162 used for the other experiments (10:1 lipid:stilbenoid molar ratio). The influence of the external  
163 addition of such a DMSO % v/v was assessed and does not affect the vesicles thermotropic behaviour,  
164 as also confirmed by the literature (see Supplementary Material)<sup>32,33</sup>.

165 The raw data were worked out with the dedicated software "THESEUS"<sup>34,35</sup>. Briefly, the  
166 apparent specific heat trace,  $C_p^{app}(T)$ , was scaled to obtain the excess specific heat,  $C_p^{exc}(T)$ , with  
167 respect to the low temperature lipids state. Due to such a treatment, the area beneath the recorded  
168 peaks directly corresponds to the relevant transition enthalpy  $\Delta H^\circ$  of the lipid phase. Four heating-  
169 cooling cycles were applied to the samples to ensure the achievement of stable lipid phases (all cycles  
170 heating curves were superimposable unless otherwise indicated). All transitions were reversible, and  
171 the second cycle heating curves were respectively considered to evaluate the parameters of the  
172 thermotropic transitions observed. Errors were evaluated on the basis of at least three replicas.

173 In order to quantitatively compare and discuss the DSC data in terms of transition cooperativity  
174 between different systems, which are always characterized by complex and multiphasic signals, we  
175 adopted the transition average temperature,  $\bar{T}$ , and the average cooperativity index,  $ACI$ , defined  
176 elsewhere<sup>26</sup>. Briefly, the transition average temperature,  $\bar{T}$ , is defined as

177 
$$\bar{T} = \int_{T_0}^{T_f} T \cdot f(T) dT$$

178 being  $T_0$  and  $T_f$  the initial and final limit of the observable peak, respectively, and the frequency  
179 function  $f(T)$  is the normalized calorimetric peak distribution

180 
$$f(T) = \frac{C_p^{exc}(T)}{\Delta H^\circ},$$

181 whereas the average cooperativity index,  $ACI$ , is defined as

182 
$$ACI = \sqrt{\int_{T_0}^{T_f} (T - \bar{T})^2 \cdot f(T) dT}.$$

183 Following the ACI definition reported above, which reflects the width of the peak, the higher the ACI  
184 value, the lower the cooperativity of the transition. A further detail about the calorimetric profile is  
185 the peak asymmetry index, which can be obtained through the  $|\bar{T} - T_{max}|$  difference.

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### 188 *NMR spectroscopy*

189 Resveratrol, dehydro- $\delta$ -viniferin and ( $\pm$ )-*trans*- $\epsilon$ -viniferin were dissolved in 0.6 mL of SDS  
190 (Sodium dodecyl sulphate) in D<sub>2</sub>O pH 6.0 to the concentration of 15 mM. The concentration of SDS  
191 solution (24.8 mM) was greater than critical micelle concentration (8.2 mM)<sup>36</sup>. SDS micelles are often  
192 used as membrane models in NMR spectroscopy<sup>37</sup>. The NMR spectra were carried out at 25°C on a  
193 Bruker AV600 spectrometer operating at a frequency of 600.10 MHz, equipped with a z-gradient  
194 5mm TXI probe. Chemical shifts (ppm) were referenced to residual solvent signal at 4.78 ppm. The  
195 protons of resveratrol, dehydro- $\delta$ -viniferin and ( $\pm$ )-*trans*- $\epsilon$ -viniferin were assigned using an  
196 integrated series of 2D experiments such as ROESY, NOESY, COSY and TOCSY. ROESY spectra  
197 were recorded with spin-lock of 250 and 400 ms. Phase sensitive NOESY spectra were acquired in  
198 TPPI mode, with 2048 x 1024 complex FIDs. Mixing times ranged from 100 ms to 400 ms. TOCSY  
199 spectra were acquired with the use of a MLEV-17 spin-lock pulse (60 ms total duration). All spectra  
200 were transformed and weighted with a 90° shifted sine-bell squared function to 4K x 4K real data  
201 points.

202

203

## 204 **Results and discussion**

### 205 *Differential scanning calorimetry*

206 Cell membrane thermodynamic stability depends on several factors such as size, lipid  
207 composition, phospholipid headgroup and tails, unsaturation level, etc. These aspects has been  
208 extensively studied and reported in recent works<sup>24-26</sup> starting from simple systems up to fifteen  
209 component complex membranes. This systematic dissection of the thermodynamic determinants  
210 dictating the cell membrane stability and the assessment of an interaction hierarchy allowed to  
211 downgrade the complexity of the system and to design a simple but informative model lipid  
212 membrane that highly reproduced the thermodynamic behaviour exhibited by real cell membranes<sup>24</sup>.

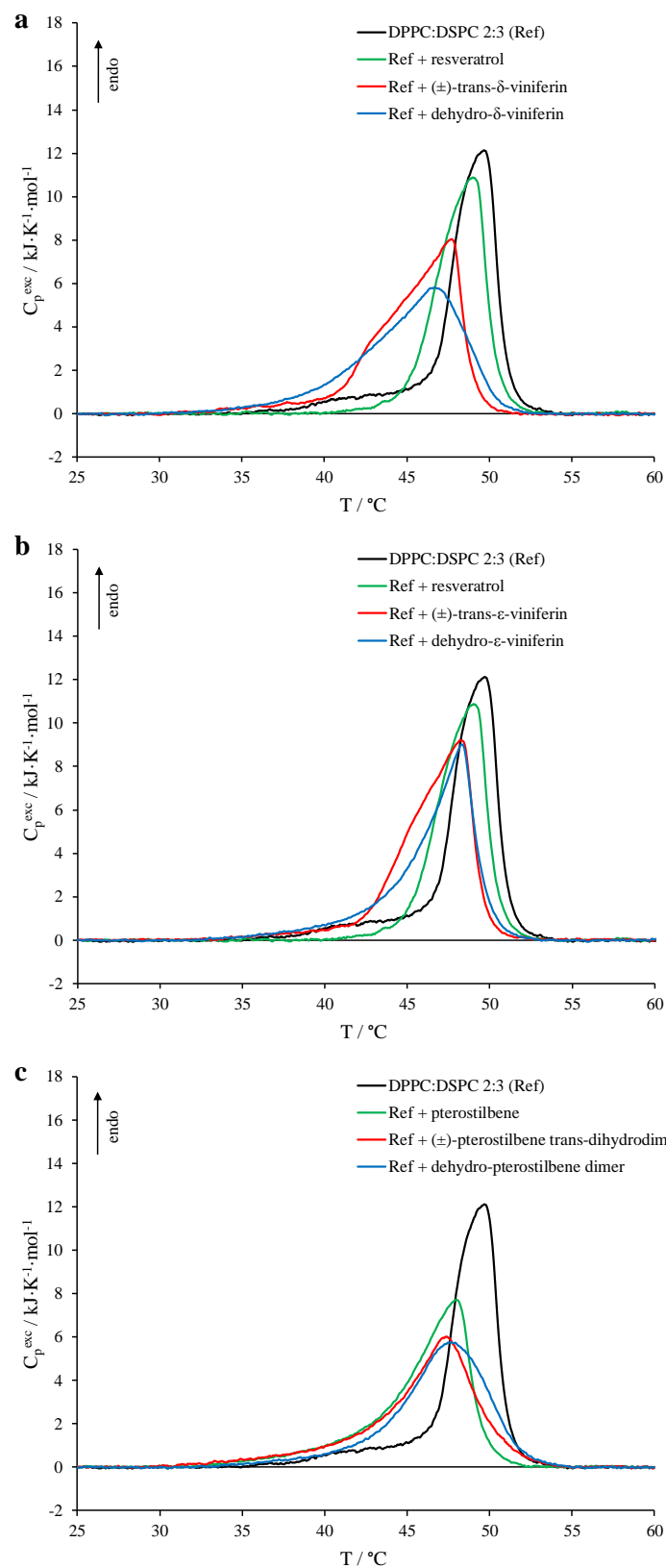
213 Nonetheless, this work is not aimed at accurately mimicking the bacterial cell membrane  
214 composition, but its purpose is to evaluate the direct interaction of selected stilbenoids (Figure 1) with  
215 a phospholipid vesicle expressly designed in order to highlight the forces that drive such an  
216 interaction.

217 With this purpose, we decided to downgrade the complexity of the system at minimum, designing  
218 a specific model cell membrane with a simple composition as 2:3 molar ratio of DPPC:DSPC.  
219 Specifically, being aware that cell membranes are always characterized by the presence of a certain  
220 percentage of unsaturated phospholipids, two saturated phospholipids were purposely chosen for this  
221 study. Indeed, in general, both the enthalpic and entropic contributions to the membrane stability  
222 provided by saturated phospholipids result to be additive, *i.e.*, simply follows the constituents'  
223 proportion<sup>26</sup>. Such a property, which would have been lost in the presence of unsaturated constituents,  
224 together with the high simplicity of the system, permits an easier discrimination and interpretation of  
225 the interactions observed basing on the knowledge about each thermodynamic contribution to the cell  
226 membrane stability. Moreover, the same headgroup (choline) was selected in order to better  
227 discriminate the effects of two different tail length (the shortest palmitoyl chain in DPPC and longest  
228 stearyl one in DSPC) seeking the enhancement of the thermodynamic phase separation, already  
229 present in a DPPC:DSPC binary system, upon any interaction of the compounds with the hydrophobic  
230 portion of the bilayer<sup>26</sup>. Indeed, such an enhancement of the phase separation would magnify any  
231 possible preferential interaction of the compounds with specific portions of the bilayer, which might  
232 be driven by thermodynamic and/or structural factors.

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236 **Figure 2.** Micro-DSC thermograms for DPPC:DSPC 2:3 vesicles alone (reference black traces) and  
 237 incorporating the stilbenoid monomers (green traces), dimers (red traces) and dehydro-dimers (blue traces)  
 238 shown in Figure 1. Specifically, the “monomer / dimer / dehydro-dimer” sets reported are a) resveratrol **1** /  
 239 ( $\pm$ )-*trans*- $\delta$ -viniferin **3** / dehydro- $\delta$ -viniferin **6**, b) resveratrol **1** / ( $\pm$ )-*trans*- $\epsilon$ -viniferin **4** / dehydro- $\epsilon$ -viniferin **5**  
 240 and c) pterostilbene **2** / ( $\pm$ )-pterostilbene *trans*-dihydrodimer **7** / dehydro-pterostilbene dimer **8**. (**1**'s trace is  
 241 repeated in panel b only for showing the complete second set of compounds)

242 **Table 1.** Thermodynamic parameters evaluated from micro-DSC investigations for DPPC:DSPC 2:3 vesicles  
 243 alone (reference system) and incorporating the stilbenoid monomers, dimers and dehydro-dimers shown in  
 244 Figure 1. The second cycle heating curves were used to obtain the main transition enthalpy ( $\Delta H^\circ$ ), the peak  
 245 maximum temperature ( $T_{max}$ ), the transition average temperature ( $\bar{T}$ ) and the average cooperativity index  
 246 (ACI).  
 247

	$\Delta H^\circ$ kJ·mol <sup>-1</sup>	$T_{max}$ °C	$\bar{T}$ °C	ACI °C
DPPC:DSPC 2:3 (Ref)	45 ± 2	49.8 ± 0.1	48.1 ± 0.1	2.8 ± 0.1
<b>Set 1</b>				
Ref + Resveratrol <b>1</b>	39 ± 2	49.1 ± 0.2	48.1 ± 0.1	1.7 ± 0.1
Ref + (±)- <i>trans</i> - $\delta$ -viniferin <b>3</b>	41 ± 3	47.6 ± 0.3	45.3 ± 0.3	2.8 ± 0.4
Ref + Dehydro- $\delta$ -viniferin <b>6</b>	41 ± 2	47.1 ± 0.5	45.1 ± 0.3	3.4 ± 0.1
<b>Set 2</b>				
Ref + Resveratrol <b>1</b>	39 ± 2	49.1 ± 0.2	48.1 ± 0.1	1.7 ± 0.1
Ref + (±)- <i>trans</i> - $\epsilon$ -viniferin <b>4</b>	44 ± 2	48.3 ± 0.1	46.4 ± 0.2	2.7 ± 0.1
Ref + Dehydro- $\epsilon$ -viniferin <b>5</b>	41 ± 2	48.3 ± 0.1	46.3 ± 0.1	3.2 ± 0.2
<b>Set 3</b>				
Ref + Pterostilbene <b>2</b>	39 ± 2	48.0 ± 0.1	45.7 ± 0.1	3.2 ± 0.1
Ref + (±)-pterostilbene <i>trans</i> -dihydrodimer <b>7</b>	38 ± 2	47.4 ± 0.1	45.8 ± 0.3	3.7 ± 0.3
Ref + Dehydro-pterostilbene dimer <b>8</b>	39 ± 2	47.8 ± 0.1	46.6 ± 0.1	3.3 ± 0.1

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249

250 The micro-DSC thermograms obtained for the DPPC:DSPC 2:3 reference vesicles and for those  
 251 incorporating the stilbenoid monomers, dimers and dehydro-dimers shown in Figure 1 (10:1  
 252 lipid:stilbenoid molar ratio) are reported in Figure 2, whereas the relevant thermodynamic parameters  
 253 are listed in Table 1. Further direct comparisons of monomers and dimers effects were also reported  
 254 in separate figures in Supplementary Material for a better visibility.

255 All peaks shown in Figure 2 reflect the well-known Ising-like gel-to-liquid crystal transition, *i.e.*, the  
 256 membrane phase transition from the gel phase, where the phospholipids exhibit the highest order level  
 257 and tail-tail interaction, to the liquid crystalline phase, in which the phospholipid acyl chains acquire  
 258 higher mobility leading to a partial loosening of tail-tail van der Waals interactions and level of order.  
 259 The resulting enthalpy variation ( $\Delta H^\circ$ ) corresponds to the area beneath the calorimetric peak.

260 The gel-to-liquid crystalline phase transition undergone by the DPPC:DSPC 2:3 reference system  
 261 provides a broad calorimetric peak (black traces in Figure 2) placed within the temperature range  
 262 defined by the  $T_{max}$  values of the single DPPC's and DSPC's transitions (about 41.6 °C and 54.9 °C,

263 respectively<sup>26</sup>, as typically occurs for multicomponent systems. Specifically, such a profile's width is  
264 compatible with systems whose constituents present only partial thermodynamic compatibility,  
265 giving rise to a polydisperse distribution of the microstates transition equilibrium constants that  
266 presents distinguishable stability clusters or, in other words, a thermodynamic phase separation which  
267 is detectable as peak asymmetries and/or shoulders on the calorimetric signal<sup>26,38,39</sup>. Indeed, the  
268 profile presents a slight shoulder (at about 48-49 °C) towards the transition temperature  
269 corresponding to the phospholipid with the shortest tails (DPPC, the lower- $T_m$  phospholipid),  
270 indicating the formation of phases characterized by different lipid molar ratios, as further highlighted  
271 by the small step at the beginning of the peak due to the presence of DPPC-rich phases. In any case,  
272 we observe that the entropic contributions deriving from the phospholipid constituents follow their  
273 proportions, as expected, resulting in a positioning of the calorimetric trace closer to the DSPC  $T_{max}$   
274 value. Such an additivity of the contributions is also reflected on the transition enthalpy variation,  
275  $\Delta H^\circ$  (Table 1)<sup>26</sup>.

276 As far as the interaction with vesicles is concerned, we observed an increasing destabilizing effect  
277 moving from monomers to dimers and to dehydro-dimers (Figure 2). As shown in Table 1, all the  
278 destabilizing effects involve both entropic (lowering of the transition average temperature,  $\bar{T}$ , and  
279 reduction of the transition cooperativity, ACI) and enthalpic (lowering of the transition enthalpy,  
280  $\Delta H^\circ$ ) modifications with respect to the reference, being the last ones a clear indication of the  
281 interposition of the molecules between the phospholipid tails, which are responsible for the enthalpy  
282 variation  $\Delta H^\circ$  upon the gel-to-liquid crystal transition through the loosening of van der Waals  
283 interactions. On the other hand, the difference in enthalpic contribution among compounds is very  
284 small, indicating that their peculiar behaviour is mainly due to entropic contributions, pointing out  
285 their role as order-disorder agents.

286 Going into more details, as regards the first set (Figure 2a, compounds **1-3-6**), the presence of  
287 resveratrol **1** within the bilayer (green trace) leads to the loss of the initial step related to the less  
288 stable lipid phases and to an overall downshift of the gel-to-liquid crystal transition interval. A further  
289 destabilization is progressively observed when moving from **3** to **6** (red and blue traces, respectively)  
290 in terms of loss of transition cooperativity (increasing ACI values in Table 1) and downshift of the  $\bar{T}$ .  
291 Indeed, larger and shouldered peaks are produced and reflect an enhancement of a phase separation  
292 favouring the formation of DPPC-rich phases. The severe difference between the DSC profiles of  
293 dimer **3** and dehydro-dimer **6** might be merely ascribable to different geometrical features and  
294 exclusion volumes, that influence the polydispersity of the phospholipid phase stability distribution  
295 and the phase separation<sup>31</sup>. Indeed, ( $\pm$ )-*trans*- $\delta$ -viniferin **3** is characterized by a three-dimensional  
296 structure that likely encumbers the lipid packing and seems to promote phospholipid packing

297 reorganization leading to the formation of visible DPPC-rich lipid phases (low-temperature shoulder  
298 on red profile, Figure 2a)<sup>25</sup>. Instead, the geometry of **6** allows a more homogeneous lipid phase  
299 distribution reflected in the absence of evident shoulders in the DSC profile even though presenting  
300 a broadening towards the least stable phases.

301 To sum up, all the compounds of the first set are well incorporated into the membrane and the  
302 magnitude of the destabilizing effects follows the order **1** < **3** < **6**.

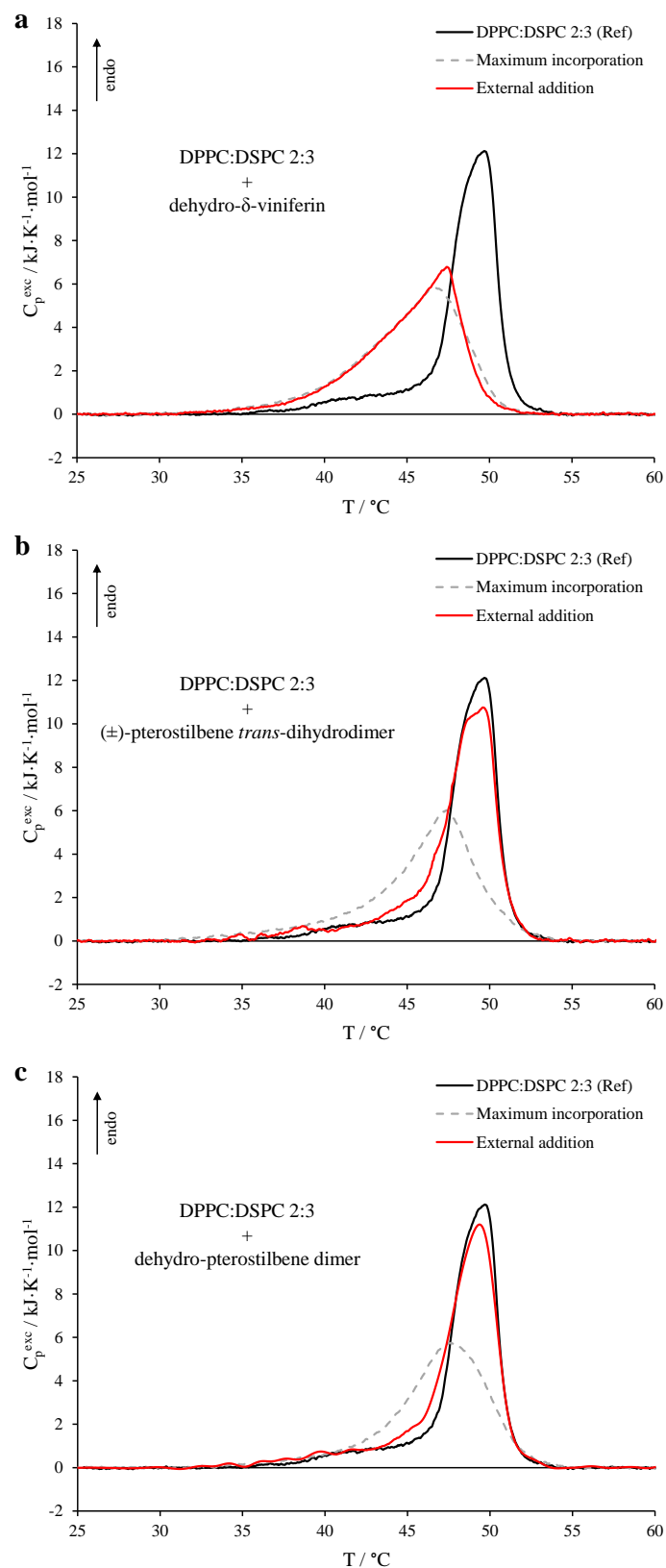
303 The same general trend observed was also maintained for the second set, which includes the  $\epsilon$ -  
304 dimers (**1** < **4** < **5**, Figure 2b). However, we observed that  $\epsilon$ -dimer **4** and  $\epsilon$ -dehydrodimer **5** cause less  
305 thermodynamic destabilization of the membrane than the respective  $\delta$ -homologues **3** and **6**, which  
306 may be ascribable to their compact geometry and hence a less perturbation bilayer's lipid packing.

307 The picture emerged from the last set, *i.e.*, the compounds with the presence of the -OMe  
308 substitution (Figure 2c, compounds **2-7-8**), indicates that pterostilbene **2** produces a severe entropic  
309 and enthalpic destabilization of the membrane already as a monomer, as also indicated by the  
310 parameters in Table 1. Such a different behaviour with respect to resveratrol **1** may be ascribed to its  
311 higher lipophilicity, which allows **2** to reside better within the membrane hydrophobic core. On the  
312 other hand, dimer **7** and dehydro-dimer **8** produce both similar effects, *i.e.*, a slight further entropic  
313 destabilization of the membrane with respect to **2** (**2** < **7**  $\approx$  **8**) (Table 1).

314 We may highlight here that, during the aforementioned experiments, we directly mixed  
315 phospholipids and the selected stilbenoid prior to dissolve them in the organic solvent for the  
316 preparation of the liposomal dispersions, in order to assess their effects at the maximum incorporation  
317 level. Nevertheless, considering that in real antimicrobial assays the first stilbenoid-bilayer  
318 interaction occurs on the outer surface of the microbial membrane, for the sake of completeness we  
319 performed additional measurements aimed at highlighting peculiarities on the inclusion process of  
320 the compounds from the external environment. For this purpose, we added selected compounds to the  
321 preformed model 2:3 DPPC:DSPC vesicles up to the same lipid:stilbenoid ratio considered above  
322 (see Materials and Methods section). Specifically, compounds **6**, **7** and **8**, which exhibited major  
323 effects on the membrane stability when already incorporated, were selected to investigate the effects  
324 of both the geometry and substitution pattern on the inclusion process from the external environment.

325 The micro-DSC thermograms obtained for DPPC:DSPC 2:3 vesicles with the external addition  
326 of dehydro- $\delta$ -viniferin **6**, ( $\pm$ )-pterostilbene *trans*-dihydrodimer **7** and dehydro-pterostilbene dimer **8**  
327 from DMSO solutions are reported in Figure 3. For these measurements, the first heating scans were  
328 compared since they may be considered as the first shot of the stilbenoid-membrane interaction.

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331 **Figure 3.** Micro-DSC thermograms (first heating scans) obtained for DPPC:DSPC 2:3 vesicles with the  
 332 external addition of a) dehydro- $\delta$ -viniferin **6**, b) ( $\pm$ )-pterostilbene *trans*-dihydrodimer **7** and c) dehydro-  
 333 pterostilbene dimer **8** from DMSO solutions (red curves). The profiles obtained for DPPC:DSPC 2:3 vesicles  
 334 and for those with the maximum incorporation of the respective stilbenoids are also reported from Figure 2 for  
 335 the sake of comparison (black solid curve and grey dashed one, respectively).

336 The external addition of **6** to the model membrane immediately results in similar effects to those  
337 achieved by the direct mixing of the compound with phospholipids (Figure 3a). Indeed, the DSC  
338 profile (red trace) is superimposable to the one obtained with the maximum incorporation discussed  
339 above (reported as a grey dashed trace for the sake of comparison), and the same enthalpy was  
340 observed upon the gel-to-liquid crystal transition ( $\Delta H^\circ = 40 \pm 2 \text{ kJ}\cdot\text{mol}^{-1}$ ), confirming the ability of  
341 **6** to go beyond the hydrophilic surface of the membrane and to full incorporate into the hydrophobic  
342 core. On the other hand, the external addition of **8**, which has the same planar structure as **6** and only  
343 differs for the -OMe substitution, leads to no significant effects on the thermotropic behaviour of the  
344 model membrane (red trace in Figure 3c), revealing the poor insertion of the compound into the  
345 bilayer. The same behaviour was observed for compound **7**, characterized by a different geometry  
346 but the same substitution pattern as **8**, as clearly visible in Figure 3b.

347 This evidence suggests that the -OH substitution plays a crucial role on the insertion mechanism.  
348 Indeed, the five hydroxyl groups of **6** may easily interact with the phospholipid headgroups by means  
349 of hydrogen bonds. Such interactions might drive the approach of the compound to the vesicle outer  
350 leaflet and then the insertion of the whole structure into the bilayer for hydrophobic effect. On the  
351 other hand, when four out of five hydroxyl groups are methylated to get **7** and **8**, the residual -OH  
352 group is no longer sufficient to anchor the molecules at the vesicle surface, resulting in a poor  
353 insertion of the compounds into the liposome hydrophobic core.

354 Nevertheless, we should consider here that the addition of the compounds was performed at room  
355 temperature, *i.e.*, at a temperature in which the model membrane is in the “gel” phase. Instead, real  
356 cell membranes at physiological conditions may present regions that are already in the “liquid  
357 crystalline” phase, whose properties might allow an easier insertion of the compounds. For this  
358 reason, in order to provide the compounds with a membrane in the liquid crystalline phase, as well as  
359 to ensure the absence of metastable lipid phases, further heating/cooling cycles were performed.  
360 Although the resulting thermograms show a slight enhancement of the interaction between **7-8** and  
361 the membrane, the kind and the magnitude of such interaction do not modify the overall picture  
362 emerging from Figure 3 (see Supplementary Material).

363 Although the simplicity of the model membrane used and being aware that the microbiological  
364 systems are very complex and other pathways by which stilbenoids may explicate their antimicrobial  
365 activity cannot be excluded, the overall picture emerged by means of the micro-DSC technique as  
366 concerns the magnitude of the stilbenoid-membrane destabilizing interaction well correlates with the  
367 reported microbiological activity data<sup>22</sup>.

368 As regards the first set of compounds (Figure 2a), the enhancement of the destabilizing  
369 interactions (**1** < **3** < **6**) observed by DSC perfectly matches the trend of the previously reported

370 minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) activity  
371 with **6** as the most active compound<sup>22</sup>. Analogously, the picture exhibited by the second set (**1** < **4** <  
372 **5**, Figure 2b) reflects the microbiological data trend as well. Since the above-mentioned compounds  
373 do not present -OMe groups, we may conclude that they are able to insert into the membrane and to  
374 exhibit different membrane destabilizing actions following the pattern revealed by Figure 2,  
375 according to their structure.

376 By contrast, in the case of the third set, which is characterized by -OMe substitutions, the  
377 correlation between the interaction order emerged from the DSC analysis (**2** < **7**  $\approx$  **8**, Figure 2c)  
378 obtained for the systems which already included the compounds into the membrane hydrophobic core  
379 and the microbiological data is missing, but, on the other hand are coherent with the external insertion  
380 data highlighting the crucial role of -OH groups the insertion step (Figure 3).

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### 383 *NMR spectroscopy*

384 Complementary information about the stilbenoid-membrane interaction were achieved through  
385 NMR Nuclear Overhauser effect spectroscopy (NOESY), which is based on the transfer of nuclear  
386 spin polarization from one nuclear spin population to another spin via dipole-dipole cross-relaxation.  
387 NOESY is a powerful tool for studying intermolecular complexes. However, its application to  
388 molecules-cell membranes interaction studies may be difficult, because relaxation times for  
389 phospholipid aggregates are too large compared with the NMR chemical shift time scale.<sup>40-44</sup>  
390 Therefore, the hydrophobic environment of a bacterial membrane was simulated by sodium  
391 dodecylsulfate (SDS), one of the most widely used surfactants for the membrane modeling in NMR  
392 field.<sup>45-50</sup> Indeed, the SDS micelles have a larger correlation time with respect to the NMR time-scale  
393 and their small size allows a good spectral resolution.<sup>40</sup> Furthermore, the SDS polar headgroup (the  
394 sulphate moiety) mimics the membranes surface and many important phenomena which take place in  
395 bilayer membranes can be elucidated by experiments on the monolayer at an interface.<sup>37,51</sup>

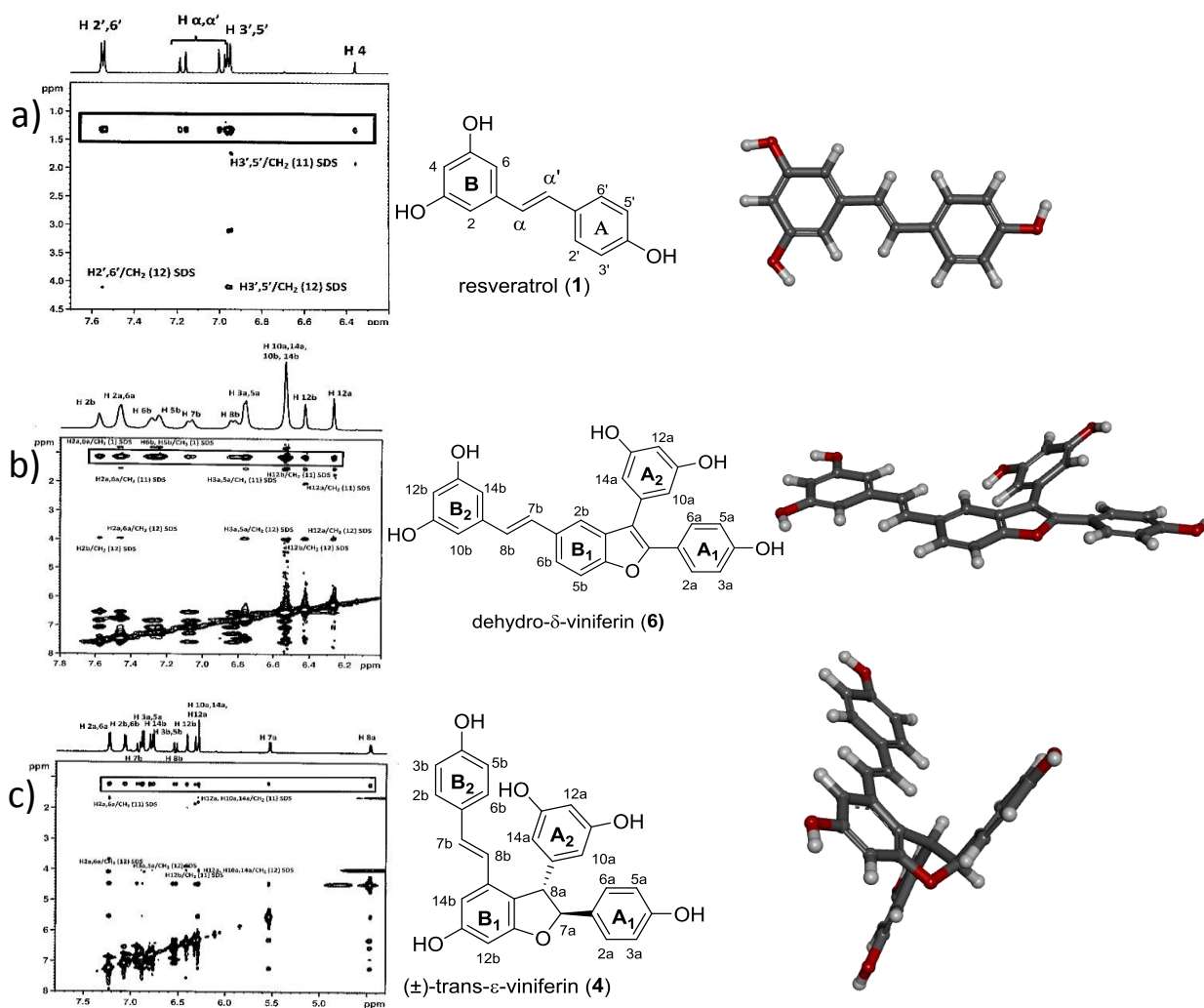
396 NMR experiments were conducted on water soluble derivatives, namely resveratrol **1** as  
397 monomeric compound and the two dimers ( $\pm$ )-*trans*- $\epsilon$ -viniferin **4** and dehydro- $\delta$ -viniferin **6**, the least  
398 active and most active antimicrobial compounds, respectively.<sup>22</sup>

399 Initially, we focused of the parent compound resveratrol **1**, whose interactions with model  
400 membranes have already been studied by other groups.<sup>52,53</sup> The resveratrol protons' signals appear  
401 sharp and well separated and they are easily assigned: four signals in the range of 7.6-6.9 ppm are  
402 assigned to the olefinic ( $\alpha, \alpha'$ ) and aromatic protons (H2', 6' and H3', 5') of *para*-substituted ring (A),

403 whilst only one aromatic proton of the *para*-substituted ring (B) (H4) is observed in our conditions  
 404 because of the exchange mechanism that involves the H 2,6 aromatic protons with D<sub>2</sub>O (Table S1).

405 The contacts of the ligand with the SDS micelles can occur: (i) at the level of methylene group  
 406 close to the SDS' hydrophilic head (CH<sub>2</sub>-12, signals at 4.11 ppm in the <sup>1</sup>H NMR spectrum) and of  
 407 the neighboring methylene group (CH<sub>2</sub>-11, signal at 1.72 ppm); (ii) at the level of the terminal methyl  
 408 group (CH<sub>3</sub>-1, signal at 0.90 ppm) and/or the nine remaining methylenes (signal at 1.31 ppm) in the  
 409 inner hydrophobic core of the micelle.

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414 **Figure 4.** Selected region of 2D ROESY spectra with SDS micelles and models of spatial structures of (a) **1**;  
 415 (b) **6** and (c) **4**. The boxes in 2D spectra display the intermolecular NOE interactions between lipophilic chain  
 416 of SDS with all protons of **1**, **6** and **4**.

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419 To evaluate the interactions of resveratrol with SDS micelles we performed ROESY experiments,  
 420 *i.e.*, NOE experiments in a rotating frame. It is worth noting that ROESY experiments were chosen



421 to avoid spin diffusion (indirect magnetization transfer) that can occur at long mixing times.  
422 NOE/ROE cross peaks between two protons allows to deduce that the protons are close within a  
423 distance of 5 Å.

424 2D ROESY experiments showed that all resveratrol protons, including olefinic  $\alpha$  and  $\alpha'$ , have  
425 contacts with the methylene groups of the lipophilic chain of SDS. Interestingly, no NOEs  
426 interactions are detected between resveratrol protons and CH<sub>3</sub> (1) of the tail of SDS.

427 H2',6' and H3',5' aromatic protons on ring A interact with methylene protons 11 and 12 of the  
428 polar head of SDS (Figure 4a). Overall, these findings indicate that resveratrol is inserted with ring  
429 B close to the lipophilic chain whereas ring A is near to the polar head of the SDS, assuming a  
430 "parallel" position with respect to the mimetic membrane (Table 2).

431 Successively, the interactions of compounds **6** and **4** were investigated. The dehydro- $\delta$ -viniferin  
432 **6** signals appear quite broad in the <sup>1</sup>H NMR spectrum. 2D COSY experiment allowed to assign the  
433 H7 and H8 olefinic protons at 7.09 and 6.84 ppm and all the aromatic protons (Table S1 and Figure  
434 S6).

435 2D ROESY experiments allowed to detect a certain number of intra- and intermolecular NOE  
436 contacts: a) all dehydro- $\delta$ -viniferin's protons, including olefinic H7 and H8, show contacts with  
437 methylene groups of the lipophilic chain of SDS; b) aromatic protons of ring A1 show NOE contacts  
438 with CH<sub>2</sub> (12) and CH<sub>2</sub>(11) of the polar head of SDS. The aromatic protons H10a,14a and H10b,14b  
439 show interactions with the polar head of SDS as well, but it was not possible to unambiguously assign  
440 them because of their overlapping. Additionally, a small NOE contact of H2b of ring B1 was detected  
441 with the polar head group of the SDS; c) aromatic protons H5b and H6b show NOE contacts with  
442 methyl group of the lipophilic chain of SDS. No NOE were observed between olefinic H7 and H8  
443 protons with the methyl group of SDS. Beside these NOEs contacts, interactions between H12b/CH<sub>2</sub>  
444 (12), CH<sub>2</sub> (11) and H2,6a/methyl group were observed (Table 2 and Figure 4b). Overall, these  
445 findings indicate that the dehydro- $\delta$ -viniferin is inserted with the rings B2 into the micelles while the  
446 rings A1 and A2 are positioned close to the polar head of the SDS in an "inclined" orientation respect  
447 to the SDS chain.

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456 **Table 2.** Intermolecular NOEs observed between SDS and resveratrol **1**, dehydro- $\delta$ -viniferin **6** and ( $\pm$ )-*trans*-  
 457  $\epsilon$ -viniferin **4**.

SDS protons	<b>1</b>	<b>6</b>	<b>4</b>
CH <sub>2</sub> (12)	H3',5'	H3a,5a	H3a,5a
CH <sub>2</sub> (12)	H2',6'	H2a,6a	H2a,6a
CH <sub>2</sub> (12)		H12a	
CH <sub>2</sub> (12)	-	H2b	
CH <sub>2</sub> (12)	-	H12b	H12b
CH <sub>2</sub> (11)	H3',5'	H3a,5a	H3a,5a
CH <sub>2</sub> (11)	H2',6'	H2a,6a	H2a,6a
CH <sub>2</sub> (11)		H12a	
CH <sub>2</sub> (11)	-	H12b	H12b
CH <sub>2</sub> (chain)	All protons	All protons	All protons
CH <sub>3</sub> (1)	Zero NOEs	H5b	
CH <sub>3</sub> (1)	-	H6b	
CH <sub>3</sub> (1)	-	H2a,6a	

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460 The ( $\pm$ )-*trans*- $\epsilon$ -viniferin **4** protons appear sharp in SDS micelles (Table S1 and Figure S7). All the  
 461 ( $\pm$ )-*trans*- $\epsilon$ -viniferin protons show contacts with the methylene groups of the lipophilic chain of SDS  
 462 and a weak NOE was detected between aromatic protons of A1 ring and H12b with methylene 11 and  
 463 12 of SDS. No NOEs interactions were found between ( $\pm$ )-*trans*- $\epsilon$ -viniferin **4** protons and CH<sub>3</sub>(1) of  
 464 the tail of SDS (Table 2 and Figure 4c). From our results it emerges that **4** inserts into the SDS micelles  
 465 with the A1 and A2 rings near the polar head of SDS but with a different orientation respect to the  
 466 dehydro- $\delta$ -viniferin. However, whereas the most favorable location for **6** in SDS micelles is within  
 467 the core, for **1** and **4** the best location is closer to the head groups.

468 NMR findings are in agreement and well complement those obtained by DSC studies. Overall, our  
 469 results suggest that the shape, stereochemistry and substitution pattern of stilbenoid scaffold play a  
 470 crucial role for the interaction with the membrane.

471 The overall data suggest that the effects of stilbenoids on the membrane bilayer may be ascribed  
 472 to the penetration of the compounds into the phospholipid bilayer. In our hypothesis, stilbenoids  
 473 approach the bilayer membrane by forming strong hydrogen bonds between their -OH groups and  
 474 oxygen atoms of the lipid headgroups and then penetrate the bilayer through hydrophobic interactions  
 475 with the lipid tail region. Our hypothesis is in agreement with molecular dynamics study on the

476 biophysical interactions of catechins with POPC (1-palmitoyl-2-oleoylphosphatidylcholine) lipid  
477 bilayer model of cell membranes.<sup>54</sup>

478 The different location in the SDS micelles of **1**, **4** and **6** can be explained by their conformational  
479 structure. Resveratrol **1**, which possesses a completely planar structure (Figure 4a), is able to enter  
480 and accommodate into the lipid layer, with a moderate disturbing effect on the membrane assembly.  
481 Compound **6** can penetrate into the hydrophobic region of fatty acyl chains of the membrane as well,  
482 due to the high degree of hydrophobicity of the aromatic hydrocarbon and hydrogen bonding of the  
483 phenolic hydroxyl groups, as well as to the planarity of the aromatic system. However, the orientation  
484 of ring A2, which is not coplanar with the system A1-B1-B2, forces a rearrangement of the lipid  
485 packing (Figure 4b). This evidence is in line with the micro-DSC data and the effect may compromise  
486 the cell membrane functionality and eventually lead to the death of the bacteria. Conversely, despite  
487 the shape of compound **4** (Figure 4c) allows the penetration of the molecule into the lipophilic layer,  
488 it creates a weaker disturbing effect on the membrane, which is in line with the very low antimicrobial  
489 activity of the compound.

490

## 491 **Conclusions**

492 The data presented in this work allowed to confirm the direct stilbenoid-membrane interaction,  
493 discriminating among the thermodynamic and structural determinants that drive the resveratrol-  
494 derived monomers and dimers influence on the membrane stability. In particular, three complete  
495 “monomer / dimer / dehydro-dimer” sets were investigated by evaluating the effects deriving from  
496 the maximum stilbenoid-membrane interaction, as well as the peculiarities of the process of  
497 stilbenoids incorporation into the hydrophobic portion of phospholipid bilayer.

498 The experimental data indicate that the stilbenoids interaction with the membrane is strongly  
499 influenced by the structure of the compound, both in terms of geometry and substitution pattern.  
500 Specifically, the increase of steric hindrance and lipophilicity occurring when moving from  
501 monomers (**1** and **2**) to dimers (**3**, **4** and **7**) induces a severe phase separation that displays a favourable  
502 interaction of the compounds with the DPPC (the phospholipid with the shortest tail and the lowest  
503  $T_m$ ), and whose magnitude strictly depends on the substitution pattern (**3** vs **7**) and the isomerism (**3**  
504 vs **4**). On the other hand, the stilbenoid-membrane interaction is further enhanced when moving to  
505 dehydro-dimers (**5**, **6** and **8**). Indeed, the inclusion of planar structures between the phospholipid tails  
506 leads to an increased entropic membrane destabilization.

507 Nevertheless, the involvement of the cell membrane in the antimicrobial action of such  
508 stilbenoids against food pathogens does not overlook the process of inclusion of the compounds into  
509 the membrane’s hydrophobic core, being negatively affected in some cases. Indeed, our experiments

510 show how an adequate hydrophilicity is needed to gain a successful approach of the compounds to  
511 the membrane's outer polar headgroups by establishing hydrogen bonds and hence drive the  
512 compound into the membrane (**6** vs **7-8**).

513 We would like to emphasize here again that the microbiological systems are very complex and  
514 other pathways by which stilbenoids may explicate their antimicrobial activity cannot be excluded.  
515 However, being aware of this possibility, the overall picture emerged from this work as concerns the  
516 magnitude of the stilbenoid-membrane destabilizing interaction well correlates with the  
517 microbiological activity data previously reported in the literature.<sup>22</sup>

518 In order to have a clear picture of the interaction of stilbenoids with a model membrane, all the  
519 experiments were performed using single pure compounds. However, it should be stressed that, in a  
520 real setting, stilbenoid extracts contain complex phenolic profiles and their action on cell membranes  
521 would be difficult to predict *ab initio* due to potential synergistic or competitive effects. In a future  
522 prospective, efforts will be directed on the investigation of crude and enriched extracts following the  
523 results emerged from this study.

524 To conclude, the present study offers insights into the forces that drive the interaction of  
525 stilbenoids with cell membranes and may help to identify bioactive health-promoting compounds in  
526 the frame of healthcare, human food and animal feeds.

527

## 528 **Author contributions**

529 Conceptualization – SD, AP and DF; Data curation – SM and MS; Formal analysis – FS, SM,  
530 DF; Investigation – FS, SM and LM; Methodology – FS, SM and DF; Resources – SD, AP and DF;  
531 Supervision – AP and DF; Writing – original draft – FS and SM; Writing - review & editing – all  
532 authors. All authors have read and agreed to the published version of the manuscript.

533

## 534 **Conflicts of interest**

535 There are no conflicts of interest to declare.

536

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540

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