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Multi-locus sequence typing reveals genotypic similarity in Nigerian *Cryptococcus neoformans* AFLP1/VNI of environmental and clinical origins

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Abstract:	<p>Introduction: Pigeon droppings are among the major environmental sources of <i>Cryptococcus neoformans</i> AFLP1/VNI, from where the organism infects susceptible humans and animals resulting in cryptococcosis. Until now, <i>C. neoformans</i> AFLP1B/VNII was the only molecular type reported in Nigeria. Effective clinical treatment of this infection has occasionally been stymied by the emergence of antifungal non-susceptible, and resistant strains of <i>C. neoformans</i> AFLP1/VNI.</p> <p>Hypothesis/Gap statement: Pigeon droppings harbour <i>C. neoformans</i> and HIV/AIDS patients are among the susceptible population to develop cryptococcal infection. Epidemiological data on cryptococcal prevalence is limited in Nigeria.</p> <p>Aim: To investigate the environmental prevalence of <i>C. neoformans</i> in South eastern Nigeria and compare the isolates with other lineages by using molecular and microbiological tools.</p> <p>Methodology: A total of 500 pigeon droppings and 300 blood samples of HIV/AIDS patients were collected, respectively, from 5 market squares and 3 tertiary healthcare centers within the Nsukka area of South eastern Nigeria. The antifungal susceptibility of the <i>C. neoformans</i> isolates to amphotericin B, fluconazole, 5-fluorocytosine, itraconazole, voriconazole, posaconazole, and isavuconazole was investigated based on the CLSI M27-A3 protocol. Yeasts were identified by MALDI-TOF MS, thereafter <i>Cryptococcus</i> MLST was performed according to the International Society for Human and Animal Mycology (ISHAM) consensus scheme.</p> <p>Results: <i>C. neoformans</i> was recovered from 6 (1.2%) pigeon droppings and 6 (2%) blood cultures of HIV/AIDS patients. Molecular analyses indicated that all cryptococcal isolates belong to serotype A and the AFLP1/VNI molecular type with sequence type (ST) 32. Infection with <i>C. neoformans</i> was independent of sex and age of the patients investigated. All <i>C. neoformans</i> isolates were susceptible to the seven antifungal agents.</p> <p>Conclusion: This is the first report on the prevalence of <i>C. neoformans</i> AFLP1/VNI (ST32) in environmental and clinical samples from Nigeria. The antifungal susceptibility indicates that antifungal resistance by <i>C. neoformans</i> is yet a rare occurrence in Nigeria.</p>
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Dear Prof. dr. Kidd,

Hereby we submit our revised manuscript entitled 'Multi-locus sequence typing reveals genotypic similarity in Nigerian *Cryptococcus neoformans* AFLP1/VNI of environmental and clinical origins' for your consideration for publication in the Journal of Medical Microbiology. The manuscript is co-authored by Paul E. Chidebelu, Emeka I. Nweze, Jacques F. Meis, Massimo Cogliati, and Ferry Hagen. All authors have seen and approved the manuscript and agreed with the content. Results reported are not published or considered for publication elsewhere.

We have adapted the manuscript according to the reviewer's comments. A rebuttal letter with a point-by-point feedback is separately provided.

We hope that you find our study of interest to be published in the Journal of Medical Microbiology.

We look forward receiving your decision.

Yours sincerely,

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1 Multi-locus sequence typing reveals genotypic similarity in Nigerian *Cryptococcus*
2 *neoformans* AFLP1/VNI of environmental and clinical origins

3

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30 **Keywords:** *Cryptococcus neoformans*, pigeon droppings, HIV/AIDS, antifungal susceptibility
31 testing, multi-locus sequence typing.

32

33 **Repositories:** Multi-locus sequence typing data is available via GenBank accession numbers

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35

36

37 **Abstract**

38 **Introduction:** Pigeon droppings are among the major environmental sources of *Cryptococcus*
39 *neoformans* AFLP1/VNI, from where the organism infects susceptible humans and animals
40 resulting in cryptococcosis. Until now, *C. neoformans* AFLP1B/VNII was the only molecular
41 type reported in Nigeria. Effective clinical treatment of this infection has occasionally been
42 stymied by the emergence of antifungal non-susceptible, and resistant strains of *C. neoformans*
43 AFLP1/VNI.

44 Hypothesis/Gap statement: Pigeon droppings harbour *C. neoformans* and HIV/AIDS patients
45 are among the susceptible population to develop cryptococcal infection. Epidemiological data
46 on cryptococcal prevalence is limited in Nigeria.

47 **Aim:** To investigate the environmental prevalence of *C. neoformans* in South eastern Nigeria
48 and compare the isolates with other lineages by using molecular and microbiological tools.

49 **Methodology:** A total of 500 pigeon droppings and 300 blood samples of HIV/AIDS patients
50 were collected, respectively, from 5 market squares and 3 tertiary healthcare centers within the
51 Nsukka area of South eastern Nigeria. The antifungal susceptibility of the *C. neoformans*
52 isolates to amphotericin B, fluconazole, 5-fluorocytosine, itraconazole, voriconazole,
53 posaconazole, and isavuconazole was investigated based on the CLSI M27-A3 protocol. Yeasts
54 were identified by MALDI-TOF MS, thereafter *Cryptococcus* MLST was performed according
55 to the International Society for Human and Animal Mycology (ISHAM) consensus scheme.

56 **Results:** *C. neoformans* was recovered from 6 (1.2%) pigeon droppings and 6 (2%) blood
57 cultures of HIV/AIDS patients. Molecular analyses indicated that all cryptococcal isolates
58 belong to serotype A and the AFLP1/VNI molecular type with sequence type (ST) 32. Infection
59 with *C. neoformans* was independent of sex and age of the patients investigated. All *C.*
60 *neoformans* isolates were susceptible to the seven antifungal agents.

61 **Conclusion:** This is the first report on the prevalence of *C. neoformans* AFLP1/VNI (ST32) in
62 environmental and clinical samples from Nigeria. The antifungal susceptibility indicates that
63 antifungal resistance by *C. neoformans* is yet a rare occurrence in Nigeria.

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64 Introduction

65 *Cryptococcus neoformans* is the main etiologic agent of cryptococcosis and this
66 basidiomycetous yeast can be morphologically described as an encapsulated, round to oval
67 yeast cell measuring 2–7µm in diameter (1, 2). Its major virulence factors include among
68 others, the large polysaccharide capsule, melanin pigments, and secretion of extracellular
69 enzymes (2). Seven species within the *C. neoformans/C. gattii* species complexes have been
70 recognized, being *C. neoformans* (serotype A; genotype AFLP1/VNI, AFLP1A/VNB/VNII
71 and AFLP1B/VNII), *C. deneoformans* (serotype D; genotype AFLP2/VNIV), *C. gattii sensu*
72 *stricto* (serotype B; genotype AFLP4/VGI), *C. bacillisporus* (serotype B and C; genotype
73 AFLP5/VGIII), *C. deuterogattii* (serotype B; genotype AFLP6/VGII), *C. tetragattii* (serotype
74 C; genotype AFLP7/VGIV), *C. decagattii* (serotype B; genotype AFLP10/VGIII and VGIV)
75 (2). These species are widely distributed across different countries and different regions (1,2)

76 Pigeon droppings are among the major environmental sources of the pathogen (2-4),
77 and as its mode of transmission, the aerosolized, desiccated basidiospores in the environment
78 are usually acquired through inhalation (5). Predisposition to the infection is determined by
79 certain underlying medical conditions including HIV/AIDS, solid organ transplant, diabetes,
80 hepatic cirrhosis, haematological malignancies, tobacco smoking, among others (6).
81 Consequently, the increased global burden of HIV/AIDS has spurred a concomitant surge in
82 the emergence and spread of opportunistic infections including cryptococcosis (1). It is one of
83 the leading opportunistic infections associated with HIV/AIDS, and 223,100 new cryptococcal
84 meningitis cases are estimated to occur annually (7). In HIV/AIDS endemic settings, the
85 infection is life-threatening where it causes cryptococcal meningitis, and other fulminant
86 infections with a very high (40%) morbidity and mortality rate (8).

87 Since the endemicity of HIV/AIDS is high in Africa (9), environmental and clinical
88 investigations remain necessary in order to understand the epidemiological patterns of
89 cryptococcal infection. Molecular techniques such as PCR fingerprinting (1), *URA5*-RFLP
90 (10), multi-locus sequence typing (MLST) (11), amplified fragment length polymorphisms
91 (AFLP) analysis(2), and microsatellite typing (12) have been adopted for differentiation and
92 confirmation of the various cryptococcal species/molecular types and isolates. Studies have
93 shown that matrix-assisted laser desorption ionization-time of flight mass spectrometry
94 (MALDI-TOF MS) is a powerful tool for routine identification (13) and typing (14, 15) of
95 pathogenic yeasts. Similarly, among the various molecular identification protocols, MLST is
96 the preferred method for *Cryptococcus* genotyping (16).

97 In Sub-Saharan Africa, the annual mortality due to cryptococcosis is reportedly
98 between 93,900 and 163,900(2). Concurrently, the sub-region records the greatest burden of
99 cryptococcal meningitis constituting 50–70% globally (17). Comparing the 25 African
100 countries already studied for occurrence of *C. neoformans* and *C. gattii* isolates, South Africa
101 was found to have the highest prevalence rate of about 79% (1). Despite this, epidemiological
102 information on clinical and environmental cryptococcal isolates remain obscure in many parts
103 of Africa (18,19), including Nigeria (20,21). Also, the overt inconsistency in antifungal
104 susceptibility profile complicated with constant development and acquisition of antimicrobial
105 resistance by many clinical pathogens, has continued to generate speculation on the emergence
106 of antifungal non-susceptible strains of *C. neoformans* (22). Paradoxically, the geometric rise
107 in the immunodeficiency conditions especially that due to HIV/AIDS (7) is yet unparalleled
108 with ample epidemiological data on antifungal susceptibilities of clinical and environmental
109 strains of *C. neoformans* in many parts of Africa, which has further reinforced the rising index
110 of morbidity from cryptococcosis (17). These epidemiological concerns made it necessary to
111 investigate the prevalence of *C. neoformans* in both pigeon droppings and among HIV/AIDS

112 patients within our study area, and also study the *in vitro* susceptibility pattern of isolates to
113 seven antifungal drugs.

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114 **Material and methods**

115 *Study area background*

116 Nsukka is a geo-political zone in Enugu state of Southeast Nigeria. The area has a
117 latitude of 6°51'24''N and a longitude of 7° 23'45''E and covers about 45.38 km² with the
118 approximate elevation of 422.94 m. Most of the communities within the area practice
119 agriculture including poultry farming as their major occupation.

120 *Environmental samplings*

121 Environmental samplings were performed in five major local markets chosen within
122 the Nsukka geo-political area, where trading, handling, and exposure to various breeds of birds
123 such as pigeons is a common practice. A total of 500 pigeon dropping samples were collected
124 (100 from each market) using sterile collection tubes, from the following market squares: Ikpa
125 Commodity Market (IM) in Nsukka local government area (LGA); OrieOrba Market (OOM)
126 in Udeno LGA; AforObollo-Afor (AOA) in Udeno LGA; NkwoIbagwa Market (NI) in Igboeze
127 South LGA; Eke Enugwu-Ezike Market (EEM) in Igboeze North LGA.

128 The pigeon droppings were weighed 5 g each into 50 mL of normal saline solution (1:
129 10) supplemented with 0.05 g/L chloramphenicol, and vortexed. The mixture was allowed to
130 stand for about 10 min, and inoculated in aliquots of 100 µL into Sabouraud dextrose agar
131 (SDA) (Titan Biotec, Delhi, India) supplemented with 0.05 g/L chloramphenicol. Suspected
132 yeast colonies were subcultured onto modified caffeic acid agar (23). All the cultures were
133 incubated at 37°C for up to 1 week.

134

135

136 *Blood samples from HIV/AIDS patients*

137 Clinical surveillance of cryptococcosis was carried out in tertiary healthcare institutions
138 of Nsukka with facilities for the management of HIV/AIDS condition. Blood samples (5 mL)
139 were collected from individuals who tested positive for HIV/AIDS and were also undergoing
140 treatment in the facilities, using sterile blood culture containers (sterile McCartney bottles
141 containing biphasic brain heart infusion/agar media with addition of 0.6 mg/ml polyanionic
142 anticoagulant and sodium polyanethosulfonate). The ratio of blood to broth was 1:10, cultures
143 were incubated aerobically at 37°C for up to 3 weeks. Information on the demography and the
144 CD4 counts of the study population was also obtained

145

146

147 *Characterization and identification of the C. neoformans/C. gattii species complex isolates*

148 *Cryptococcus neoformans/C. gattii* species complex isolates were phenotypically
149 identified by macroscopic and microscopic examination of yeast colonies, urease activity test,
150 inositol assimilation, growth at 37°C, and melanin production on both the modified tobacco
151 and caffeic acid agar culture media (23). The selected fresh colonies for identification were
152 those showing the characteristic dark brown pigmentation on the agar media, and also indian
153 ink positive on microscopy.

154

155 *Species identification by MALDI-TOF MS*

156 Isolates were grown on Sabouraud dextrose agar for 48 h and whole cell proteins were
157 extracted using the ethanol-formic acid extraction method as described before (15).
158 Measurements were performed with a Microflex LT mass spectrometer (Bruker Daltonics).
159 Spectral processing and identification were performed using MALDI-Biotyper 3.0 software

160 (Bruker Daltonics). For each tested isolate, using the MALDI-Biotyper automation control
161 software version 2.0.43.8 (Bruker Daltonics), a composite of six spectra was generated,
162 resulting in a main spectrum (MSP), which contains the frequencies of the most significant
163 peaks, average mass and intensity. The MSP of each isolate was used for pattern matching
164 against the extended Biotyper 3.0 library database and an in-house MSP library entries
165 consisting of 20 *Cryptococcus* isolates for each major molecular type (160 MSP). Identification
166 scores were generated using the Biotyper 3.0 software (Bruker Daltonics). Values of 2.300–
167 3.000 are rated as secure genus identification with probable species identification.

168

169 *Multi-locus sequence typing (MLST) and evolutionary studies*

170 MLST was performed according to the International Society for Human and Animal
171 Mycology (ISHAM) consensus scheme (16). Genomic DNA was extracted as previously
172 described (24). Amplification of the seven genetic loci and the subsequent sequencing analysis
173 was done as described before (25).

174 Studies on the regional distribution of the isolates and sequence types of *C.*
175 *neoformans* across Africa was done as described before (26). Allele type (AT), for each
176 locus, and sequence type (ST), for each strain, were assigned comparing the sequences to
177 those present in the global MLST database (11). The ST of the Nigerian isolates was then
178 compared to a set of 111 STs from all African strains reported in the literature so far (27-30).
179 A 1,000 bootstrapped unrooted maximum likelihood phylogenetic tree was inferred using
180 MEGA v6.06 software (31) based on the alignment of 111 concatenated sequences of the
181 seven MLST loci. The ATs combinations of the same set of African strains were used to infer
182 a minimum spanning tree by goeBurst algorithm in Phyloviz software (32). A clonal complex
183 (CC) was defined as a group containing STs with single or double locus variants.

184

185 *Antifungal susceptibility testing*

186 Susceptibilities of the *C. neoformans* isolates to seven antifungal drugs were done using
187 the reference CLSI M27-A3 protocol for broth dilution antifungal susceptibility testing of
188 yeasts (33). The included antifungal drugs were amphotericin B (Bristol – Meyers Squibb,
189 Epernon, France), posaconazole (Schering-Plough Research Institute, Kenilworth, NJ, USA),
190 isavuconazole (Basilea Pharmaceutica, Basel, Switzerland), itraconazole (Janssen Research
191 Foundation, Beerse, Belgium), 5-fluorocytosine (F. Hoffmann – La Roche, Basel,
192 Switzerland), fluconazole, and voriconazole (Pfizer, New York, NJ, USA). *Candida*
193 *parapsilopsis* (ATCC 22019 = CBS 604) was used as a control strain.

194

195 **Results**

196 *Isolates from pigeon droppings and blood samples*

197 Six *C. neoformans* species complex isolates were recovered out of the 500 pigeon
198 droppings collected from the five market squares. Three out of 100 samples from NIM, 2/100
199 samples from EEM and 1/100 from AOM yielded *C. neoformans* growth (Table 1). Samples
200 taken at markets ICM and OOM remained negative. *Cryptococcus neoformans* was also
201 identified in six out of the 300 blood samples of HIV/AIDS patients (Table 2).

202

203 *Molecular type of the isolates*

204 Identification by MALDI-TOF MS confirmed that the clinical and environmental
205 isolates were *C. neoformans sensu stricto*. Further molecular characterisation by using MLST
206 showed that they all belonged to molecular type AFLP1/VNI and genotype ST32 (GenBank

207 accession numbers LC338036-LC338042). The phylogenetic tree summarizes the evolutionary
208 relatedness of the isolates with similar strains previously characterized (Figure 1). A total of
209 111 sequence types (STs) of *C. neoformans* were reported across the African continent so far.
210 The majority of African STs are grouped in a large clade that includes only STs that belong to
211 molecular type AFLP1/VNI (59 STs), a second well-defined clade include most of the VNII
212 STs (12 STs), whereas VNB STs are not all grouped in a specific clade but share genetic
213 similarities with some VNI STs. Some STs (NC5, NC17, ST210, ST263, ST249, ST221, and
214 ST222) seems to be genetically distant from the clades described above. In this current work,
215 the ST32 belonging to the largest VNI clade, had also been found in four other African
216 countries: South Africa, Uganda, Tanzania, and D. R. Congo.

217 Distribution of the genotypes based on minimum spanning tree identified eight clonal
218 complexes (CCs) among African *C. neoformans* isolates (Figure 2). These include two CCs
219 among VNI isolates, two CCs among VNB, two CCs among VNII. and two CCs containing
220 both VNI and VNB isolates. The CC that contained the sequence type of Nigerian isolates
221 (ST32) showed two major clonal lineages including ST93 (reported in Uganda and South
222 Africa) and ST31 (reported in Botswana, South Africa, Uganda, and Malawi). Variants STs
223 of ST32 are ST39, ST91, and ST92 were from Uganda, and ST3, ST199, and ST200 were from
224 South Africa.

225

226 *Antifungal susceptibility testing*

227 Susceptibilities of the *C. neoformans* isolates to the seven antifungal agents tested are listed in
228 Table 3. All *C. neoformans* isolates had wild-type susceptibility to the tested antifungal agents.

229

230

231 **Discussion**

232 *Cryptococcus neoformans sensu stricto* is globally the major cryptococcosis causing culprit
233 (1,2). The present study reports novel epidemiological information on the prevalence of
234 environmental and clinical isolates of *C. neoformans* in Nigeria. *Cryptococcus neoformans* was
235 recovered from pigeon droppings with a frequency of 1.2% ($n=6/500$) in three out of the five
236 markets investigated. A much higher isolation rate (22%) was reported in a related prevalence
237 study involving environmental sample sources within Southeastern Nigeria(4). The prevalence
238 of *C. neoformans* from HIV/AIDS patients whose blood samples were cultured was 2%
239 ($n=6/300$). Few studies on the clinical isolates of *C. neoformans* in Nigeria had until now relied
240 on screening for seroprevalence of cryptococcal antigens among HIV/AIDS individuals
241 (34,35). This study was therefore the first successful attempt at recovering *C. neoformans* from
242 blood cultures of these patients in Nigeria. Despite routine utilization of cultures, serological
243 techniques such as the lateral flow assays could offer a more sensitive and faster diagnostic
244 approach (36). Our results are consistent with an earlier observation by Oladele and
245 colleagues(34) who also found a seroprevalence rate of above 1%. Our findings indicate that
246 patients with very low CD4⁺ counts of ≤ 200 cells/ μ L were more susceptible to *C. neoformans*
247 infection than patients with higher CD4⁺ counts, which is in agreement with other findings (37-
248 39).

249 The ratio of male to female patients who were positive for *C. neoformans* infection was
250 found to be 1:2. The apparent sex distribution of cryptococcosis may not reflect the
251 comprehensive burden of the disease among HIV/AIDS patients in our study area, since there
252 was unequal sampling between the two sexes. Although it has been suggested that a higher
253 incidence of cryptococcal infections in men occur because of their higher risk of HIV infection
254 (40), there was no significant correlation between cryptococcosis and patients' sex in
255 concordance with a previous report (41).

256 The molecular analysis revealed that all 12 Nigerian *C. neoformans* isolates belong to
257 the molecular type VNI and genotype ST32. This result emphasized the general observation
258 indicating that ST32 is one of the most distributed sequence types worldwide (1,2). Although
259 several studies have confirmed molecular diversity and sequence types of *C. neoformans* VNI
260 in some African countries (28-30), this is the first study reporting the molecular characterization
261 of *C. neoformans* VNI in Nigeria. Another related study showed the occurrence of *C.*
262 *neoformans* molecular type VNII with genotype ST43 from pigeon droppings in Jos, plateau
263 state of North central Nigeria (21,37). Because of the limited epidemiology of *C. neoformans*
264 in Nigeria, it is difficult to attribute the distribution of molecular types to the environmental
265 differences existing between the northern region, where molecular type VNII was reported(42),
266 and the southern region investigated in the present study. The findings presented here
267 corroborate earlier observations confirming that molecular type VNI is the most globally
268 distributed in both environmental and clinical samples, and as such the most common cause of
269 cryptococcosis among the vulnerable individuals (1,2). Furthermore, the study outcome
270 apparently reinforces the earlier suggestion that the origin of the molecular type is traceable to
271 Africa (28). The data from our molecular studies showed that the environmental and clinical
272 isolates are of similar phenotype and genotype and thus, supporting a previous claim that *C.*
273 *neoformans* infection is acquired by inhalation of basidiospores abundant in the environment
274 (5). The phenotypic and genotypic similarity between the environmental and clinical isolates
275 as reported in this work, could suggest that *C. neoformans* is common in pigeon droppings
276 within our study area and may serve as a possible source of human infection consistent with a
277 related report (26). However, microsatellite study of isolates from Cuba could not establish the
278 link between the pigeon guano-derived *C. neoformans* isolates and those recovered from human
279 infections and authors suggested the inclusion of other environmental sources (43,44). It also
280 agrees with an observation from Vietnam that *C. neoformans* molecular type VNI was

281 responsible for infections in more than 70% of non-HIV and up to 100% HIV-infected patients
282 (45).. From the phylogenetic tree analysis for evolutionary relatedness, it is evident that the *C.*
283 *neoformans* molecular type VNI has not been reported previously from Nigeria.. Thus, this is
284 apparently the first from the sub-region, while the sequence type has been found in only four
285 other African countries, namely D.R. Congo, South Africa, Tanzania, and Uganda as recorded
286 in the ISHAM MLST database (<http://mlst.mycologylab.org/>). Epidemiological investigations
287 on the prevalence and transmission of cryptococcosis should therefore be of high considerations
288 especially in HIV/AIDS endemic regions.

289 There are sufficient reports on the susceptibility of clinical and environmental *C.*
290 *neoformans* isolates to many antifungal drugs (2,47). Previous observation also showed that
291 both clinical and environmental isolates exhibit similar pattern of susceptibility to antifungal
292 agents without any record of resistance (48). We tested the antifungal susceptibility of the
293 Nigerian *C. neoformans* isolates using a total of seven antifungal drugs including amphotericin
294 B, 5-fluorocytosine, fluconazole, itraconazole, posaconazole, voriconazole, and isavuconazole.
295 Interestingly, all isolates were susceptible to the antifungal drugs used.

296 Central to the treatment of cryptococcosis is amphotericin B used either singly or as a
297 combined amphotericin B and 5-fluorocytosine therapy, as the choice antifungal regimen
298 especially at the induction phase in cryptococcal meningitis (49). Rare occurrence of resistance
299 to amphotericin B among *C. neoformans* isolates may be one of the important considerations
300 for its consistent clinical use (50). They reported that both clinical and environmental
301 cryptococcal isolates are susceptible with equal MIC values when tested against amphotericin
302 B. This is even more evident in our present observation as we also indicated a low and equal
303 MIC value (0.25 µg/ml) of amphotericin B for both clinical and environmental isolates.
304 Although there is a sparse indication of elevated MICs of the drug when used against some

305 isolates (51), our result suggested similarity in antifungal susceptibility of the isolates to
306 amphotericin B irrespective of source.

307 Within the clinical isolates, fluconazole, voriconazole, isavuconazole, and 5-
308 fluorocytocine showed different values of MIC's against *C. neoformans* isolates. The results
309 showed that fluconazole and 5-fluorocytocine have equal MIC range (2-4 µg/ml), while
310 voriconazole and isavuconazole similarly showed equivalent MIC ranges of 0.031-0.063
311 µg/ml. This is consistent with previous work which showed equivalent, but narrower MIC
312 ranges of 0.015-0.5 µg/ml for voriconazole, isavuconazole, and posaconazole (52).

313 Similarly, the susceptibility patterns of *C. neoformans* isolates from the environmental
314 group to the antifungal agents tested showed a slight variation in MIC ranges of some of the
315 antifungals with voriconazole and isavuconazole presenting the lowest MIC ranges (0.031-
316 0.063 µg/ml). This has been corroborated in other studies suggesting that these drugs seem to
317 offer treatment advantage over other antifungal drugs (53).

318 Antifungal susceptibility among *C. neoformans* isolates is not source-dependent as
319 revealed by this present work and thus, supports the observation that no significant difference
320 in antifungal susceptibility exists between the environmental and clinical isolates (48,54). Most
321 of the newer azole derivatives unlike fluconazole, are either not available or yet to be approved
322 for use in some settings, but it remains of high clinical relevance to investigate their efficiency
323 and ease of use in the clinical management of cryptococcosis given the continuous observation
324 of non-susceptible *C. neoformans* isolates (22). Our results have also helped to allay the fear of
325 emerging resistant strains of *C. neoformans* within our study area, though can be envisaged but
326 at least yet to be encountered.

327 Obviously, the newer azole antifungal agents such as voriconazole, isavuconazole, and
328 posaconazole. which showed relatively high *in vitro* activities against *C. neoformans*, can serve

329 as excellent and cheaper alternatives that can be included in the treatment of cryptococcosis in
330 resource-limited settings particularly. This is necessary to put in check the possible emergence
331 of antifungal resistant *Cryptococcus* strains, especially in HIV/AIDS endemic regions, where
332 cryptococcosis threatens the clinical outcome and survival of the victims.

333 Conclusion

334 Pigeon droppings are a major environmental source for *C. neoformans* in the study area. With
335 extensive environmental studies of other samples in the study area, identification of sequence
336 types other than ST32, is likely. We did not observe antifungal resistance, continuous clinical
337 surveillance and adherence to antifungal therapy administration guidelines are key to
338 sustaining the antifungal susceptibility profile of this pathogen.

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340 **Author's contributions**

341 Conceptualisation: PEC, EIN, JFM, MC, FH; Methodology: PEC, EIND, MC, FH; Formal
342 analysis: PEC, MC, FH; Investigation: PEC, EIN, JFM, MC, FH; Resources: EIN, JFM, MC,
343 FH; Data curation: EIN, MC, FH; Writing: PEC, EIN, JFM, MC, FH.

344

345 **Conflicts of interest**

346 None of the authors declared a conflict of interest.

347

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350

351 **Ethical Approval**

352 Ethical approval from the Research and Ethics Committee (REC) of Bishop Shanahan
353 Hospital Nsukka, EnugwuEzike District Hospital, and Nsukka District Hospital, all within
354 Nsukka study area, Enugu State Nigeria, were obtained with respective reference numbers
355 19/01/2015-20/02/2015; 02/03/2015 - 01/04/2015; and 04/05/2015 - 06/06/2015. Informed
356 consent of the volunteered study population were sought prior to their enrolment. Throughout
357 the study period, utmost confidentiality was observed in handling and analysis of the
358 research data.

359

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544 **Figure legends**

545 **Figure 1.** Unrooted maximum likelihood phylogenetic tree inferred using MEGA v6.06
546 software (27) based on the alignment of 111 concatenated sequences of seven MLST loci
547 (*CAP59*, *GPD1*, *IGS1*, *LAC1*, *PLB1*, *SOD1*, and *URA5*). The analysis includes
548 all *Cryptococcus neoformans* sequence types identified in Africa so far. Different colors of the
549 squares indicate different molecular types. The red arrow indicates the position of ST32 in
550 the phylogenetic tree. NC indicates genotypes described in the literature but not deposited in
551 a public MLST database.

552

553 **Figure 2.** Minimum spanning tree inferred using goeBurst algorithm in Phyloviz software
554 (28). Clonal complexes are grouped in solid lines and included sequence types that do not
555 differ in more than two loci (double locus variant). Different colors of the solid lines indicate
556 different molecular types. The number of locus variants is indicated on each linkage line.
557 Each circle represents a single sequence type and its size is proportional to the number of
558 strains with the same genotype. Different colors of the circles indicate different African
559 countries.

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567 Table 1: Environmental distribution of the *Cryptococcus neoformans* isolates

S/No	Site of sample collection	Number of samples collected	Number of samples positive (%)
1	Ikpa Commodity Market (ICM)	100	0 (0.00)
2	Nkwo Ibagwa Market (NIM)	100	3 (0.60)
3	Orie-Orba Market (OOM)	100	0 (0.00)
4	Afor-Obollo Market (AOM)	100	1 (0.20)
5	Eke Enugu Ezike Market (EEM)	100	2 (0.40)
	Total	500	6 (1.20)

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571 Table 2: Demography and CD4 counts of the sample population positive for *C.neoformans*

Age range (years)	Sample population (%)	Total number positive (%)	Sex	CD4 count (cells/mm ³)
< 9	24 (8.0)	1 (16.66)	F	51

10 – 19	18 (6.0)	0 (0)		
20 – 29	60 (20.0)	1 (16.66)	F	4
30 – 39	123 (41.0)	1 (16.66)	F	169
40 – 49	60 (20.0)	1 (16.66)	M	71
50 – 59	14 (4.7)	1 (16.66)	F	110
60 – 69	1 (0.3)	1 (16.66)	M	440
Total	300	6		

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574 **Table 3. Minimal inhibitory concentration ($\mu\text{g/ml}$) of clinical and environmental isolates.**

Isolates	AMB	FLC	ITC	VOR	POS	ISA	5FC
Env. 1	0.25	4	0.063	0.031	0.063	0.031	4
Env. 2	0.25	4	0.063	0.031	0.063	0.031	4
Env. 3	0.25	4	0.063	0.063	0.063	0.031	2
Env. 4	0.25	4	0.125	0.063	0.063	0.063	4
Env. 5	0.25	4	0.063	0.031	0.063	0.031	4
Env. 6	0.25	4	0.063	0.031	0.063	0.031	2
Clin. 7	0.25	2	0.063	0.031	0.063	0.031	2
Clin. 8	0.25	2	0.063	0.031	0.063	0.031	2
Clin. 9	0.25	4	0.063	0.031	0.063	0.031	2
Clin. 12	0.25	4	0.063	0.063	0.063	0.063	4
Clin. 13	0.25	4	0.063	0.063	0.063	0.031	4
Clin. 14	0.25	4	0.063	0.031	0.063	0.031	4

575 AMB = Amphotericin B, FLC = Fluconazole, ITC = Itraconazole, VOR = Voriconazole, POS
576 = Posaconazole, ISA = Isavuconazole, 5FC = 5-fluorocytosine.

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