

Journal Pre-proof

Long term complications in patients implanted with subcutaneous implantable defibrillators Real-world data from the Extended ELISIR experience

Alessio Gasperetti, MD, Marco Schiavone, MD, Matteo Ziacchi, MD, Julia Vogler, MD, Alexander Breitenstein, MD, Mikael Laredo, MD, Pietro Palmisano, MD, Danilo Ricciardi, MD, Gianfranco Mitacchione, MD-PhD, Paolo Compagnucci, MD, Antonio Bisignani, MD, Andrea Angeletti, MD, Michela Casella, MD-PhD, Francesco Picarelli, MD, Thomas Fink, MD, Lukas Kaiser, MD, Samer Hakmi, MD, Leonardò Calò, MD, Carlo Pignalberi, MD, Luca Santini, MD, Carlo Lavalle, MD, Ennio Pisanò, MD, Iacopo Olivotto, MD, Claudio Tondo, MD-PhD, Antonio Curnis, MD, Antonio Dello Russo, MD-PhD, Nicolas Badenco, MD, Jan Steffel, MD, Charles J. Love, MD, Roland Tilz, MD, Giovanni Forleo, MD-PhD, Mauro Biffi, MD

PII: S1547-5271(21)01866-X

DOI: <https://doi.org/10.1016/j.hrthm.2021.07.008>

Reference: HRTM 8893

To appear in: *Heart Rhythm*

Received Date: 9 May 2021

Revised Date: 21 June 2021

Accepted Date: 7 July 2021

Please cite this article as: Gasperetti A, Schiavone M, Ziacchi M, Vogler J, Breitenstein A, Laredo M, Palmisano P, Ricciardi D, Mitacchione G, Compagnucci P, Bisignani A, Angeletti A, Casella M, Picarelli F, Fink T, Kaiser L, Hakmi S, Calò L, Pignalberi C, Santini L, Lavalle C, Pisanò E, Olivotto I, Tondo C, Curnis A, Russo AD, Badenco N, Steffel J, Love CJ, Tilz R, Forleo G, Biffi M, Long term complications in patients implanted with subcutaneous implantable defibrillators Real-world data from the Extended ELISIR experience *Heart Rhythm* (2021), doi: <https://doi.org/10.1016/j.hrthm.2021.07.008>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that,



during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier Inc. on behalf of Heart Rhythm Society.

Long term complications in patients implanted with subcutaneous implantable defibrillators Real-world data from the Extended ELISIR experience

Alessio Gasperetti,MD^{1,19}; Marco Schiavone,MD¹; Matteo Ziacchi,MD²; Julia Vogler,MD³;
Alexander Breitenstein,MD⁴; Mikael Laredo,MD⁵; Pietro Palmisano,MD⁶; Danilo Ricciardi,MD⁷;
Gianfranco Mitacchione,MD-PhD⁸; Paolo Compagnucci,MD⁹; Antonio Bisignani,MD¹⁰; Andrea
Angeletti,MD²; Michela Casella,MD-PhD⁹; Francesco Picarelli,MD⁷; Thomas Fink,MD³; Lukas
Kaiser,MD¹¹; Samer Hakmi,MD¹¹; Leonardò Calò,MD¹²; Carlo Pignalberi,MD¹³; Luca
Santini,MD¹⁴; Carlo Lavalle,MD¹⁵; Ennio Pisanò,MD¹⁶; Iacopo Olivotto,MD¹⁷; Claudio
Tondo,MD-PhD¹⁸; Antonio Curnis,MD⁸; Antonio Dello Russo,MD-PhD⁹; Nicolas Badenco,MD⁵;
Jan Steffel,MD⁴; Charles J. Love,MD¹⁹; Roland Tilz,MD³; Giovanni Forleo,MD-PhD^{1*}; Mauro
Biffi,MD^{2*}

¹Cardiology, Luigi Sacco University Hospital, Milan(IT)

² Cardiology, IRCCS, Department of Experimental, Diagnostic and Specialty Medicine,
Sant'Orsola Hospital, University of Bologna, Bologna(IT)

³Herzzentrum Lubeck, Lubeck, Germany(GE)

⁴Cardiology, Zurich University Hospital, Zurich(CH)

⁵APHP, Hôpital Pitié Salpêtrière, Paris(FR)

⁶Ospedale di Tricase, Tricase(IT)

⁷Cardiology, Campus-Bio-Medico, Rome(IT)

⁸Cardiology, Spedali Civili Brescia, Brescia(IT)

⁹Cardiology and Arrhythmology Clinic, University Hospital "Umberto I-Salesi-Lancisi",
Ancona(IT)

¹⁰Cardiology, Ferrari Hospital, Castrovillari, Cosenza(IT)

¹¹Cardiology, Asklepios Klinik Hamburg, Hamburg(GE)

¹²Cardiology, Policlinico Casilino, Rome(IT)

¹³Cardiology, Ospedale San Filippo Neri, Rome(IT)

¹⁴Cardiology, Ospedale G.B. Grassi, Ostia(IT)

¹⁵Cardiology, Policlinico Umberto I, Rome(IT)

¹⁶Cardiology, Vito Fazzi Hospital, Lecce(IT)

¹⁷Cardiomyopathy Unit, Careggi University Hospital, Florence(IT)

¹⁸Heart Rhythm Center, Monzino Cardiology Center, IRCCS, Milan(IT)

¹⁹Cardiology, Johns Hopkins Medicine, Baltimore(USA)

Corresponding Author:

Alessio Gasperetti, MD

Luigi Sacco University Hospital

Viale G.B. Grassi, 74 – 20157, Milan-IT

alessio.gasperetti93@gmail.com

Wordcount: 5000

1 **Funding source:** none.

2 **Disclosures:** L.S. is a consultant for Boston Scientific. C.T is a member of Boston Scientific
3 advisory board. J.S. has received consultant and/or speaker fees and grant support through his
4 institution from Boston Scientific. Other authors report no disclosures.

5

6

Journal Pre-proof

1 ABSTRACT

2 **Background.** Recently, the Food and Drug administration issued a recall for the subcutaneous
3 implantable cardioverter defibrillator (S-ICD) due to the possibility of lead ruptures and accelerated
4 battery depletion.

5
6 **Objective.** Aim of this study is to evaluate device-related complications over time in a real-world
7 multicentered large S-ICD cohort.

8
9 **Methods.** Patients implanted with S-ICD from January 2015 to June 2020 were enrolled from a 19
10 institution European registry (ELISIR NCT0473876). Device-related complication rates over
11 follow-up were collected. Last follow-up of patients was performed after the Boston Scientific
12 recall issue.

13
14 **Results.** A total of 1254 patients (52.0 [41.0–62.2] years, 77.6% male, 30.9% ischemic) was
15 enrolled. Over a follow-up of 23.2 [12.8–37.8] months, complications were observed in 117 (9.3%)
16 patients, for a total of 127 device-related complications (23.6% managed conservatively, 76.4%)
17 requiring reintervention). Twenty-seven (2.2%) patients had an unanticipated generator
18 replacement, after 3.6 [3.3–3.9] years, while 4 (0.3%) had a lead rupture. BMI (HR 1.063 [1.028–
19 1.100]; p=0.000), chronic kidney disease (HR 1.960 [1.191–3.225]; p=0.008), and oral
20 anticoagulation (HR 1.437 [1.010–2.045]; p=0.043) were associated with an increase of overall
21 complications whereas older age (HR 0.980 [0.967–0.994]; p=0.007) and procedure performed in
22 high volume centers (HR 0.463 [0.300–0.715]; p=0.001) were protective factors.

23
24 **Conclusion.** The overall complication rate over 23.2 months of follow-up in a multicentered S-ICD
25 cohort was 9.3%. Early unanticipated device battery depletions occurred in 2.2% of patients, while
26 lead fracture was observed in 0.3%, in line with the expected rates reported from Boston Scientific.

1

2 **Keywords:** sub-cutaneous implantable cardioverter defibrillator; S-ICD; device complications;

3 device recall; lead complications.

Journal Pre-proof

1 INTRODUCTION

2
3 In recent times, the subcutaneous implantable cardioverter defibrillator (S-ICD) has become a valid
4 alternative to the transvenous implantable cardioverter defibrillator (TV-ICD) for sudden cardiac
5 death (SCD) prevention. Despite their life-saving role, TV-ICD are associated with short- and long-
6 term complications leading to considerable morbidity and mortality, such as lead failure and
7 infections^{1,2}. If TV-ICD related infection rates may vary between 0.67% and 1.49% over a 3- to 12-
8 month follow-up period³, lead failure rates significantly differ according to the lead type, the year of
9 implantation (with older leads more likely to fail) and the follow-up duration. Indeed, if the Riata⁴
10 and the Fidelis⁵ leads have shown the highest rates of lead failure (up to 25%) and they have
11 thereby been recalled, when assessing of the most used leads (Durata, Endotak Reliance, Sprint
12 Quattro Secure, Linx), the estimated rates of freedom from lead failure at 5-year ranged from
13 97.7% to 98.9%⁶. In this analysis, the authors used lead replacement as a surrogate for lead failure,
14 that may indeed have led to an underestimation of total lead failure events. In a recent metanalysis,
15 including Fidelis, Riata, Durata, Endotak and Quattro leads, an overall incidence of lead failure (%
16 per/year) of 2.23%, 1.17%, 0.45%, 0.36% and 0.29%, was reported, respectively⁷.

17 Although S-ICDs have failed to show lower rates of infection and are associated with a higher risk
18 of pocket complications, they have been extensively used in recent years due to a lower rate and a
19 safer management of lead and major procedure-related complications^{1,8}, as well as to an easier
20 management of both, especially in the event of lead extraction^{9,10}. Recently, Boston Scientific Inc.
21 (*Marlborough, Massachusetts, USA*) recalled the S-ICD subcutaneous electrode (Model 3501)
22 because of the risk of fractures at a specific level (distal to the proximal sense ring). Twenty-seven
23 cases of lead body fractures at this location have been reported, with 1 death as a result of that
24 specific lead complication; although the S-ICD generator and electrode were not returned for a
25 post-mortem analysis, a contributing role related to malfunctioning could not be excluded¹¹.
26 Moreover, the manufacturer identified approximately 38,350 active S-ICDs (models A209 and
27 A219) with a certain likelihood of a low voltage capacitor causing accelerated battery depletion as

1 well as of moisture entrance into the S-ICD generator, potentially causing a short-circuit when the
2 device delivers high voltage shocks. Thus, the Food and Drug administration (FDA) has identified
3 this recall as a Class I recall¹². Nevertheless, to date, no independent real-world analysis has been
4 run on S-ICD related complications. Therefore, aim of this study is to evaluate all device-related
5 complications over time, as well as the need for re-interventions to manage them.

6

Journal Pre-proof

1 **METHODS**

2 The ELISIR project (Experience from the Long-term Italian S-ICD registry; ClinicalTrials.gov
3 Identifier NCT0473876) is a multi-center, open-label, independent, and physician-initiated
4 observational registry. At the time of this manuscript drafting, 19 Public and Private Healthcare
5 Institutions from 4 different countries in Europe were involved in the registry. The project was
6 approved by each institutional review board and drafted in accordance with the tenets of the Helsinki
7 Declaration.

8 9 *Registry population and data collection*

10 From January 2015 to June 2020, all consecutive patients undergoing implantation of an S-ICD
11 device were retrospectively enrolled in the registry. For every patient enrolled, demographics and
12 baseline data comprising of cardiovascular risk factors, arrhythmic substrate, peri-procedural data,
13 device programming, and outcome data were collected. For patients undergoing defibrillation testing
14 (DT), ventricular fibrillation (VF) was induced using transthoracic 50 Hz burst pacing. No specifics
15 regarding shock energy and the use of either general anesthesia or deep sedation for the procedure
16 were given. In all patients for whom a post-implant 2-views chest X-ray was available, the
17 PRAETORIAN score was calculated and patients were classified having a low-, intermediate-, or
18 high-risk for conversion failure according to the score definition¹³.

19 20 *Follow-up and outcome definition*

21 Follow-up strategy was left to each center's policy, with most patients being evaluated at 1-, 6-, 12-
22 months, and every 6 months thereafter. Remote device monitoring was used if accepted by each
23 country regulatory policy; all patients provided specific informed consent. All device-related
24 complications were collected over the entire follow-up period, as well as the need for reinterventions
25 to manage them and the subsequent length of hospital stay. As per registry protocol, complications
26 were defined as follows: major pocket hematoma requiring a transfusion or a pocket revision; pocket

1 infection; air entrapment causing inappropriate shocks; lead displacement impacting device
2 functioning and requiring reintervention; lead fracture; lead infection; device extraction; device
3 replacement for excessive inappropriate shocks; unexpected early battery depletion (defined as within
4 5 years from implantation in patients with a low arrhythmic burden at follow-up); and unexpected
5 pneumothorax. Early complications were defined as any of the aforementioned complication
6 presenting within the first 48 hours following device placement. Arrhythmia episodes and therapy
7 delivered, either appropriate or inappropriate, were collected during follow-up. Cardiovascular and
8 total mortality were also documented. The primary outcome of the study was defined as the
9 occurrence of any device-related complication since implantation through the entire follow-up. As
10 secondary analysis, the following outcomes were assessed: freedom from sustained ventricular
11 arrhythmic events; freedom from inappropriate shocks; rate of ineffective shocks; overall mortality.

13 *Event definition*

14 An appropriate shock was defined as a therapy delivered because of correctly diagnosed shockable
15 rhythm. An inappropriate shock was defined as shock delivered due to: 1) a supraventricular (SV)
16 tachycardia; 2) oversensing of either cardiac or non-cardiac signals; 3) any other cause resulting in
17 device shock in the absence of a clinical arrhythmia. An ineffective shock was defined as a shock
18 delivered on an adequately recognized shockable rhythm, ineffective to terminate VT/VF. An
19 untreated arrhythmia was defined as VT/VF not treated by the device due to: 1) undersensing of the
20 cardiac signal during VT/VF; 2) misclassification of VT/VF due to the device discrimination
21 algorithm; 3) VT/VF presenting at a lower rate than the cutoff value for device intervention, as
22 established by defibrillator programming.

24 *Predictors definition*

1 S-ICD implantation learning curve was considered completed after the placement of 10. A center was
2 considered a high-volume center after the performance of 13 procedure/year for at least three year in
3 a row¹⁴.

4

5 *Statistical analysis*

6 All analysis were performed using STATA v. 14.0 (StataCorp LLC, College Station, TX). Continuous
7 variables were expressed as mean±standard deviation (s.d.) if normally distributed or as median
8 [inter-quartile range (IQR)]. Categorical variables were expressed as count (percentage).
9 Comparisons between categorical variables were performed using the Exact Chi-Square or Fisher's
10 Exact test, as appropriate. Associations between predictors and time-dependent outcomes were tested
11 using univariate Cox regression models; time intervals were set as time elapsed from device
12 implantation to either the event or the last available follow-up. A parsimonious model including only
13 variables reaching a $p < 0.10$ at univariate analysis was built, to adjust for confounders. Event-free
14 survival and cumulative complication rates were reported using Kaplan-Meier curves. All two-tailed
15 p values < 0.05 were considered significant.

1 RESULTS

2 *Patient population*

3 A total of 1254 patients were enrolled in the current study. The median age of the population at device
4 implant was 52.0 [41.0–62.2] years, with 77.6% of patients being male. Device implantation occurred
5 as primary prevention of SCD in 786 (62.7%) patients of the cohort. Most implantation procedures
6 were performed using the two-incision technique (90.3%). The devices were most commonly placed
7 in an inter-muscular position between the musculus serratus anterior and the musculus latissimus
8 dorsi (81.1%). Adequate post-procedural radiological imaging to assess the PRAETORIAN score
9 was available in 836 (66.6%) patients. The vast majority of the cohort showed a low risk of conversion
10 failure (n=679). Baseline characteristics of the cohort are reported in **Table1**. Peri-procedural
11 characteristics have been reported in Table S The median follow-up of the study was 23.2 [12.8–37.8]
12 months. Complete follow-up data are shown in **Table2**.

15 *Primary outcomes*

16 The primary outcome was observed in 117 (9.3%) patients, for a total of 127 device-related
17 complications; 30 (23.6%) of these were managed conservatively, while the remaining 97 (76.4%)
18 required a reintervention (**Figure1**). Pocket-associated complications were the most common
19 (n=54), pocket hematoma representing 25.2% of the overall complications. A total of 27 patients
20 (2.2%) had unanticipated generator replacement, after a median of 3.6 [3.3–3.9] years. Overall
21 complications were evenly distributed when the investigated cohort was split into a young and old
22 patient subgroup (9.8% vs 5.6% respectively, p=0.108). High-volume centers presented lower rates
23 of complications than non-high-volume centers (8.5% vs 12.8%, p=0.041) (**Figure2**). BMI
24 (adjusted hazard ratio, aHR 1.063 [1.028–1.100]; p<0.001), chronic kidney disease (CKD) (aHR
25 1.960 [1.191–3.225]; p=0.008), and the use of oral anticoagulation (aHR 1.437 [1.010–2.045];
26 p=0.043) resulted significantly associated with an increased risk of any complication at follow-up,
27 while an older age (aHR per year 0.980 [0.967–0.994]; p=0.007) and the performance of the
28

1 procedure in a high-volume center (aHR 0.463 [0.300–0.715]; p=0.001) resulted protective factors.
2 When assessing individually infective and non-infective S-ICD complications instead, CKD (aHR
3 2.436 [1.057–5.615], p=0.037), and the development of a pocket hematoma (aHR 6.075 [2.426–
4 15.207], p<0.001) were associated with infective complications, while a higher BMI (aHR 1.059
5 [1.014–1.105], p=0.009), use of oral anticoagulation (aHR 1.738 [1.207–2.505], p=0.003), and the
6 procedure being performed at a high-volume center (aHR 0.315 [0.182–0.547], p<0.001) were
7 predictors of non-infective complication. **Table3** summarizes the entire univariate and multivariate
8 cox regression analysis. **Figure3** represents graphically univariate analysis for predictors of overall
9 and by-type complications.

10

11 *Secondary outcomes*

12 One hundred-eighteen (9.4%) patients received at least one appropriate shock. Arrhythmia-free
13 survival being is shown in **FigureS1**. A total of 12 ineffective shocks were observed, with multiple
14 shocks required for arrhythmia termination in 8 patients, and 4 requiring resuscitation maneuvers
15 and external defibrillation. In the study cohort, 112 (8.9%) patients received inappropriate shocks
16 during the study follow-up. T-wave oversensing (4.4%), muscle noise (1.4%), and AF episodes
17 (1.4%) were the most common triggers of inappropriate shocks. Overall mortality in the registry
18 was 3.4%, end-stage heart failure being the leading cause (1.5%). No device-related deaths were
19 observed. Regression for all other secondary outcomes have been reported in **TableS2**.

20

1 **DISCUSSION**

2 This is the first large independent multicentered cohort study assessing S-ICD complications in the
3 real-world setting after the issue of the Boston Scientific recall by the FDA^{11,12}.

4 The main results from our study are as follows:

- 5 - Over a median follow-up time of 23.2 months, 9.3% of patients experienced device-related
6 complications. Pocket related complications were the most common, with pocket hematoma
7 representing the leading one.
- 8 - The rate of unanticipated generator replacement was 2.2%, with a median replacement time
9 below 4 years. Four patients (0.3%) experienced a lead fracture, requiring lead replacement.
- 10 - Management of all device-related complications was safe, with no device-related deaths
11 observed.
- 12 - One hundred-eighteen (8.9%) patients experienced inappropriate shocks, with T-wave
13 oversensing and atrial fibrillation representing the most common triggers. Advanced age and
14 the use of the SMART PASS algorithm resulted protective factors from inappropriate shocks.
- 15 - Younger age, higher BMI, CKD, and the chronic use of oral anticoagulants were the main
16 predictors for all complications at follow-up. Procedure performance in a high-volume center
17 resulted associated with a significant reduction in overall complications.

18 19 *Device or lead-related complications and the current FDA recall*

20 Long-term complications in TV-ICD are currently estimated around 5%, with infections and lead-
21 related adverse events being the most common¹⁵. TV-ICD infectious or lead-related complications
22 might result in endocarditis or lead extraction, with non-negligible mortality rates, especially with
23 older devices. The S-ICD technology was indeed developed specifically to reduce device-related
24 complications, and to manage these issues more easily. Although the peri-procedural complication
25 rate resulted close to 10% for unexperienced operators, a halving of the complication rates after the
26 initial learning curve phase was observed. In our analysis, the S-ICD complication rate at follow-up

1 resulted noteworthy (9.3%), similar to TV-ICD but, as expected, with a much more favorable
2 outcome profile, with no device-related deaths being reported, though hospitalization and
3 reinterventions were required. Patients requiring lead extraction and repositioning did not experience
4 significant post-operative consequences. Differently from the report of *Knops* et al. on peri-
5 procedural complications¹⁴, the overall long-term complications had no trend towards improvement
6 with operators' experience in our study, while overall center volume seemed to have a significant
7 impact, especially on non-infective complications. Indeed, we hypothesize that center's volume
8 importance extends beyond the simple number of procedures performed by the single operator, but
9 also accounts for more experience scrub teams, better peri-procedural flow, and a proactive hospital
10 in-ward environment. Our data seems to strongly point towards the centralization of S-ICD
11 procedures into high-volume centers to reduce overall complications and related downsides.

12 In addition to the crude complication rate, the type of complications should be discussed as
13 well. The PRAETORIAN trial showed comparable complication rates between S-ICD and TV-ICD,
14 with subcutaneous devices presenting more surgical complications and transvenous devices
15 presenting more lead related complications¹⁶. Our study partially confirmed these findings. The main
16 reasons for S-ICD complications in our study were indeed surgical, with pocket complications
17 resulting the most frequent. However, we also detected a non-negligible number of lead-related
18 complications, with around 20% of all complications being lead related. We observed a similar rate
19 of lead fracture to that declared in the medical device advisory recently published by Boston Scientific
20 (0.3%)¹¹, alongside several lead dislodgements and infections (**Figure4**). Until recently, the S-ICD
21 lead reliability was proposed as the cornerstone for its broad clinical adoption, with only rare case
22 reports of lead-associated complications. However, despite the reported fractures, the long-term
23 performance of S-ICD leads still remains significantly better than endovascular leads^{10,17}.
24 Additionally, the big advantage of S-ICDs over TV-ICDs is represented by the relative safety with
25 which leads can be explanted and replaced, with virtually no mortality risk for the patient.

1 Our results also confirmed the rate of premature battery depletion predicted by the medical
2 device advisory¹², with 2.2% of EMBLEM S-ICD devices requiring an unanticipated replacement.
3 In a single center cohort, *Ip*¹⁸ reported a prevalence of 3.4% of premature battery failure in his cohort,
4 occurring at an average of 1095 days, in a cohort extending beyond the initial advisory subset. We
5 report slightly lower battery depletion rates at follow-up, in a larger dataset of patients.

6 7 *Inappropriate shocks*

8 The number of patients experiencing inappropriate shocks in our study was 9.4% at almost 2 years
9 of follow-up. The leading cause was T-wave oversensing and an important age-dependency was
10 observed (**FigureS2**). This high rate of inappropriate shocks was unexpected, considering the device
11 setting of VT/VF cutoff, and the availability of the smart pass algorithm in 85% patients. Our results
12 were similar to first S-ICD release reported in the EFFORTLESS study, but higher when compared
13 to the inappropriate shocks reported in the PRAETORIAN trial^{16,19}. Despite the efforts made in trying
14 to better set the devices and improve the discrimination algorithms, inappropriate shocks remain a
15 relevant S-ICD complication, differently from TV-ICD, where the programming optimization led to
16 a clear reduction of oversensing-related inappropriate shocks over the years²⁰. Nevertheless, it should
17 be underlined that SV tachycardia still represent the leading cause of inappropriate shocks in TV-
18 ICD, while it seem to have a lower weight in the S-ICD system¹.

19 20 *Complication predictors*

21 The strongest overall predictor for any device-related complication at follow-up in our cohort was
22 CKD. As expected, CKD was mostly associated with infective complications (**Table3**). Our findings
23 may appear partially in contrast with the report of *El Chami* et al, who showed that patients on
24 hemodialysis may actually be safely treated with S-ICDs, since the complication rate was similar to
25 the general population of S-ICD recipients (7.9%)²¹. Nevertheless, both experiences reported overall
26 complication rates within comparable ranges, far lower than those reported in TV-ICDs recipients

1 with CKD and hemodialysis^{22,23}. This underlines the importance of using a completely extravascular
2 system for these patients (especially if on hemodialysis), being the S-ICD the best option for these
3 patients in absence of the need for pacing.

4 A higher BMI was also associated with a higher complication rate, impacting both infective
5 and non-infective ones. This finding is not unexpected: an excess of subcutaneous adipose tissue may
6 interfere with the correct placement of both the lead and the generator of the S-ICD, potentially
7 leading to higher rates of lead/generator displacements. Additionally, the creation of an adequate
8 pocket in patients with a higher BMI may result challenging, potentially exposing to a higher risk of
9 pocket hematomas and/or infections. An elevated BMI has also been associated with more ineffective
10 shocks and a lower effectiveness of the S-ICD device, and it is an important correction factor of the
11 PRAETORIAN score^{13,24,25}. Given all these findings, the use of S-ICD devices in morbidly obese
12 patients should be carefully evaluated and TV-ICD may be beneficial in some cases.

13 Finally, it should be noted that pocket hematomas were very strong predictors of more severe
14 infective complications in an S-ICD recipient, regardless of their conservative management or a
15 reintervention. This finding is in line with what has been observed in TV-ICDs, which presents
16 significantly increased risks of infection requiring hospitalization due to pocket infection, bacteremia
17 or endocarditis after developing clinically relevant pocket hematoma. Our data did highlight a strong
18 *liason* between significant pocket hematomas and subsequent infection for S-ICD, similarly to the 7-
19 fold increased for TV-ICD observed in the BRUISE registry²⁶.

21 *Limitations*

22 The first limitation is inherently associated to the non-randomized, observational nature of this
23 European, real-world, multicentered registry of unselected patients undergoing S-ICD implantation.
24 Moreover, due to the retrospective nature of our registry, all complications could be not centrally
25 adjudicated by a central committee, and no audit committee that might sample a statistically
26 meaningful number of randomly selected charts to confirm (or deny) that under-reporting

1 complication was not a significant issue was present. Indeed, also because proceduralist sometimes
2 may under-report their complications, a certain rate of under-reported (or not) complications might
3 have occurred. Nevertheless, most complication are self-evident, easy to define and uncontroversial,
4 such as infective events or lead displacement, while others always require engineering evaluation
5 from the company, with subsequent official report of the issue, thereby providing consistency
6 throughout the entire follow-up.

7

Journal Pre-proof

1 CONCLUSIONS

2 In this European multicenter study assessing long-term complications in patients undergoing S-ICD
3 implantation, the overall complication rate was 9.3% during the first two years after implantation.
4 Younger age, higher BMI, CKD, and the use of oral anticoagulants were main predictors for any
5 complication during follow-up. Procedural performance in high-volume centers was associated with
6 a significant reduction in overall complications. In our population, an early unanticipated battery
7 depletion occurred in 2.2% of patients, while lead fracture was observed rarely (0.3%).

8

Journal Pre-proof

1
2
3**Table 1**

Baseline Characteristics (n=1254)	
Age (years), median[IQR]	52.0[41.0–62.2]
Male, n(%)	973(77.6)
BMI, median[IQR]	25.0[23.0–28.0]
Diabetes, n(%)	186(16.8)
Hypertension, n(%)	484(38.6)
Sport Practice, n(%)	99(12.3)
CKD, n(%)	209(16.7)
LVEF (%), mean±d.s	43.0±15.9
Primary Prevention Implant, n(%)	786(62.7)
Underlying Cardiac Disease	
<i>Ischemic cardiomyopathy, n(%)</i>	387(30.9)
<i>Dilatative cardiomyopathy, n(%)</i>	283(22.6)
<i>Hypertrophic cardiomyopathy, n(%)</i>	115(9.2)
<i>Arrhythmogenic cardiomyopathy, n(%)</i>	58(4.6)
<i>Brugada syndrome, n(%)</i>	125(10.0)
<i>Idiopathic VF, n(%)</i>	132(9.6)
<i>Alcoholic Cardiomyopathy, n(%)</i>	6(0.4)
<i>Valvular Cardiomyopathy, n(%)</i>	37(2.9)
<i>Other, n(%)</i>	111(8.8)
Atrial Fibrillation, n(%)	246(19.6)
<i>Paroxysmal, n(%)</i>	149(11.9)
<i>Persistent, n(%)</i>	55(4.4)
<i>Permanent, n(%)</i>	42(3.6)
Removal of previous TV device, n(%)	153(12.2)
Beta-blockers, n(%)	901(71.8)
Antiarrhythmics IC, n(%)	35(2.8)
Amiodarone, n(%)	148(11.8)

4
5 *Percentages were calculated on patients for which the data was available

6

1 **Table 2**

2

Follow-up data (n = 1254)	
Follow-up time (months), median[IQR]	23.2[12.8–37.8]
Patients experiencing device-related complications, n(%)	117(9.3)
Device-related complications, n(%)	127(100)
Within 48 hours, n(%)	15(11.8)
Not requiring reintervention, n(%)	4(3.1)
Pocket Hematoma, n(%)	1(0.8)
Air entrapment, n(%)	3(2.4)
Requiring reintervention, n(%)	11(8.7)
Pocket Hematoma, n(%)	5(3.9)
Lead displacement, n(%)	5(3.9)
Sub-cutaneous emphysema, n(%)	1(0.8)
After 48 hours, n(%)	112(88.2)
Not requiring reintervention, n(%)	26(20.5)
Pocket-associated complications, n(%)	20(15.7)
Pocket hematoma, n(%)	18(14.2)
Pocket infection, n(%)	2(1.5)
Air Entrapment, n(%)	6(4.8)
Requiring reintervention, n(%)	86(67.7)
Lead-associated complications, n(%)	21(16.5)
Lead displacement, n(%)	5(3.9)
Lead rupture, n(%)	4(3.1)
Lead infection, n(%)	12(9.5)
Pocket-associated complications, n(%)	28(22.0)
Pocket hematoma, n(%)	14(11.0)
Pocket infection, n(%)	14(11.0)
Unanticipated generator replacement, n(%)	27(21.3)
Excessive inappropriate shocks, n(%)	8(6.9)
Non-infective peri-generator skin erosion, n(%)	2(1.5)
Patients experiencing appropriate shocks, n(%)	118(9.4)
Patients experiencing inappropriate shocks, n(%)	112(8.9)
Reason for inappropriate shock:	
<i>AF, n(%)</i>	17(1.4)
<i>TWO, n(%)</i>	55(4.4)
<i>Myopotentials, n(%)</i>	18(1.4)
<i>Atrial tachycardia, n(%)</i>	3(0.2)
<i>VAD Interference, n(%)</i>	1(0.1)
<i>Lead Problem, n(%)</i>	6(0.5)
<i>Air Entrapment, n(%)</i>	9(0.7)
<i>Twiddler's, (%)</i>	2(0.2)
Patients experiencing ineffective shocks, n(%)	12(1.0)
Deaths, n(%)	42(3.4)
Cardiovascular death, n(%)	29(2.3)

3

1 **Table 3**

2

Primary combined outcome						
	HR	C.I.	p	aHR	C.I.	p
Age	0.989	[0.978–1.000]	0.064	0.980	[0.967–0.994]	0.007
Male sex	1.144	[0.725–1.806]	0.562			
Hypertension	0.916	[0.629–1.335]	0.651			
BMI	1.055	[1.021–1.090]	0.001	1.063	[1.028–1.100]	<0.001
Diabetes	0.767	[0.428–1.374]	0.373			
CKD	1.821	[1.202–2.759]	0.005	1.960	[1.191–3.225]	0.008
LVEF	1.002	[0.991–1.014]	0.693			
Two incision technique	0.917	[0.548–1.533]	0.741			
Inter-muscular placement	0.729	[0.486–1.095]	0.128			
Patients on OAC	1.391	[1.015–1.905]	0.040	1.437	[1.010–2.045]	0.043
High Volume Center	0.634	[0.420–0.957]	0.030	0.463	[0.300–0.715]	0.001
Learning Curve Completed	1.152	[0.714–1.859]	0.561			
Infective complications						
Age	0.982	[0.959–1.005]	0.121			
Male sex	1.625	[0.564–4.686]	0.369			
Hypertension	1.074	[0.501–2.300]	0.854			
BMI	1.064	[0.999–1.132]	0.052	1.042	[0.974–1.114]	0.232
Diabetes	1.013	[0.347–2.953]	0.980			
CKD	3.871	[1.830–8.189]	<0.001	2.436	[1.057–5.615]	0.037
LVEF	0.963	[0.938–0.989]	0.005	0.974	[0.948–1.002]	0.070
Two incision technique	0.695	[0.257–1.880]	0.867			
Intramuscular placement	0.809	[0.344–1.903]	0.628			
Patients on OAC	1.284	[0.672–2.453]	0.449			
Pocket Hematoma	7.711	[3.094–19.217]	<0.001	6.075	[2.426–15.207]	<0.001
High Volume Center	1.464	[0.507–4.226]	0.481			
Learning Curve Completed	0.914	[0.344–2.428]	0.857			
Non-infective complications						
Age	0.994	[0.980–1.009]	0.460			
Male sex	1.167	[0.651–2.093]	0.603			
Hypertension	1.081	[0.674–1.731]	0.746			
BMI	1.051	[1.008–1.095]	0.018	1.059	[1.014–1.105]	0.009
Diabetes	0.672	[0.306–1.478]	0.323			
CKD	1.843	[1.092–3.114]	0.022	1.504	[0.844–2.682]	0.166
LVEF	0.999	[0.985–1.015]	0.995			
Two incision technique	0.743	[0.380–1.452]	0.385			
Intramuscular placement	0.615	[0.369–1.026]	0.063	0.744	[0.416–1.334]	0.322
Patients on OAC	1.645	[1.182–2.286]	0.003	1.738	[1.207–2.505]	0.003
High Volume Center	0.457	[0.279–0.746]	0.002	0.315	[0.182–0.547]	<0.001
Learning Curve Completed	0.894	[0.488–0.639]	0.717			

3

4

5

1 **FIGURE LEGENDS**

2

3 **Figure 1:** Cumulative incidence of primary outcome (complication rate) over time.

4

5 **Figure 2:** Complication rate distribution by age (70+ and <70 y.o.) and by center volume.

6 *Cons=conservative.*

7

8 **Figure 3:** Univariate predictors of overall (red), non-infective (teal), and infective complications
9 (blue).

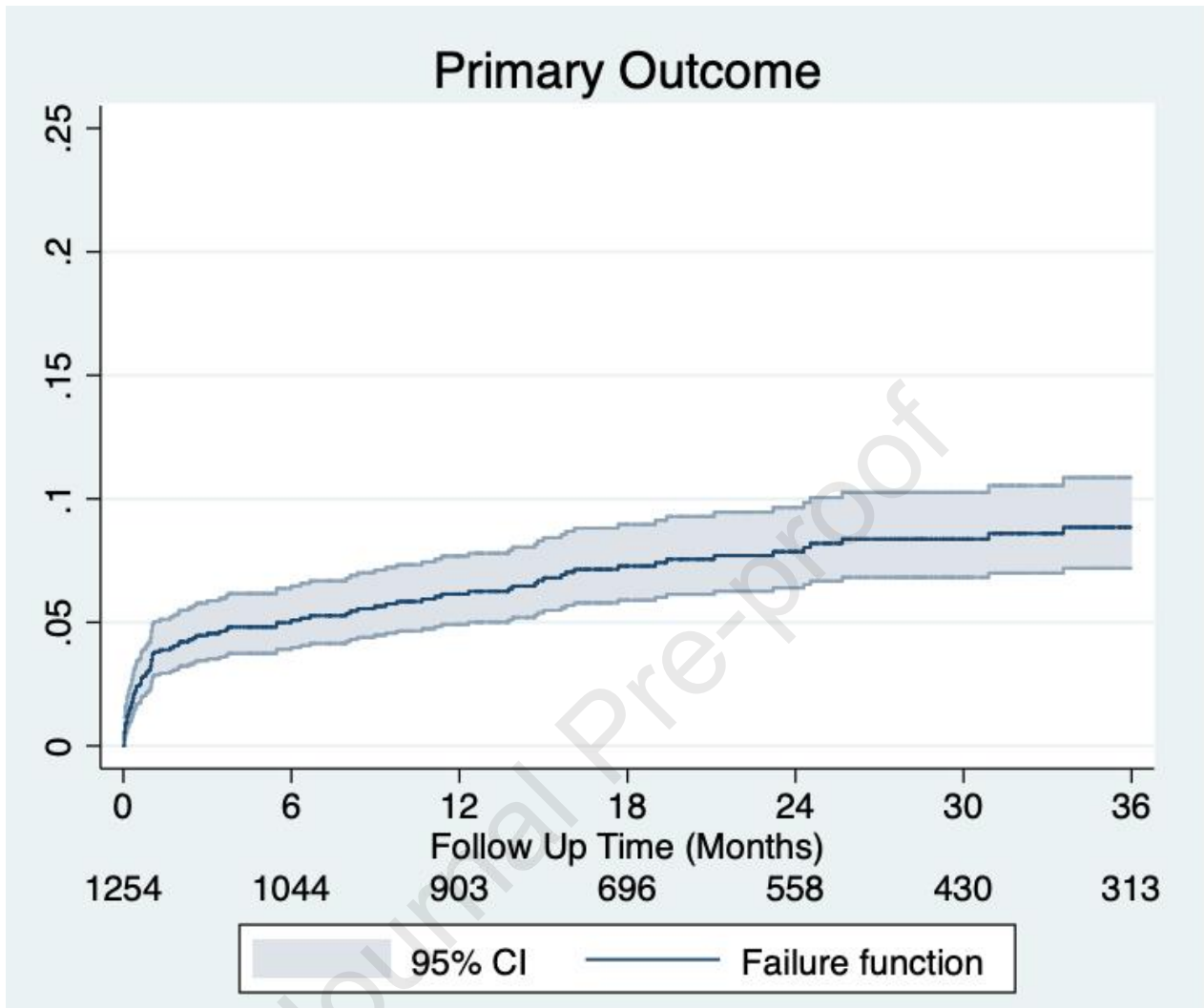
10 *BMI=body mass index; CKD=chronic kidney disease; HTN=hypertension; HVC=high-volume*
11 *center; LC=learning curve; LVEF=left ventricular ejection fraction; OAC=oral anti-coagulation;*
12 *TIT=two-incision technique.*

13

14 **Figure 4:** Lead extraction after lead fracture in an S-ICD patient.

1 **Figure 1**

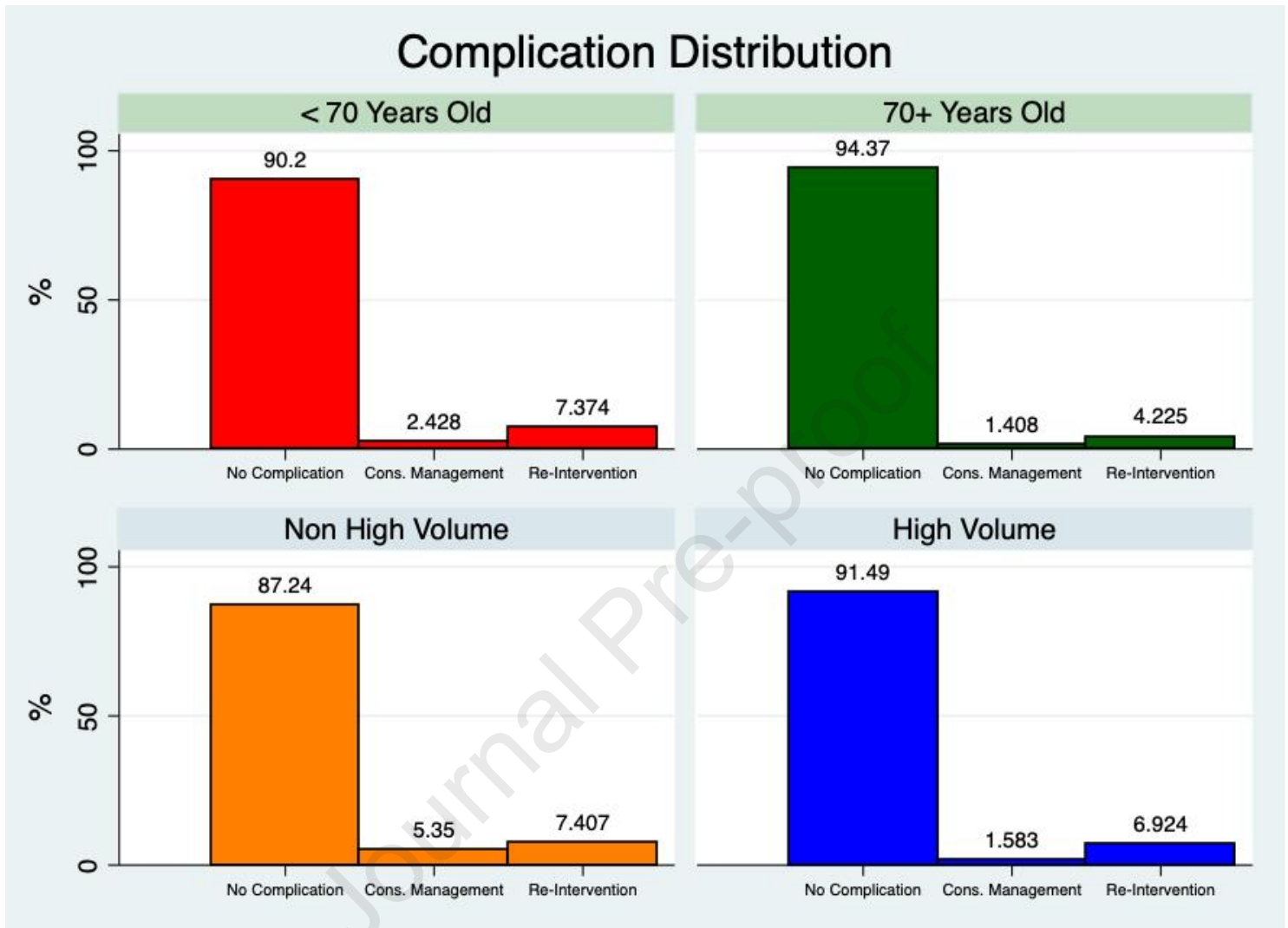
2



3

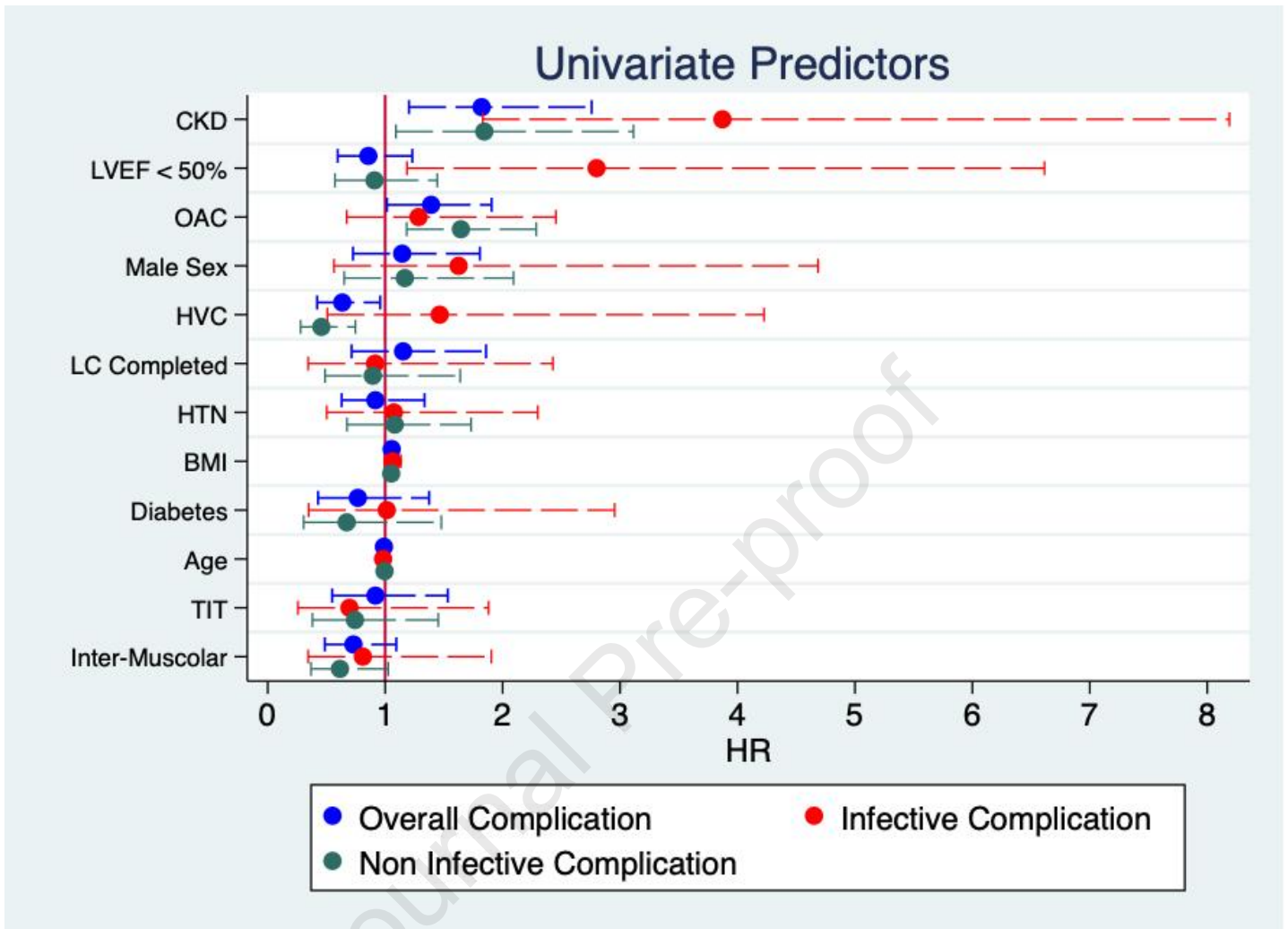
4

1 **Figure2**
2
3



1 **Figure3**

2



3

4

5

1 **Figure 4**

2



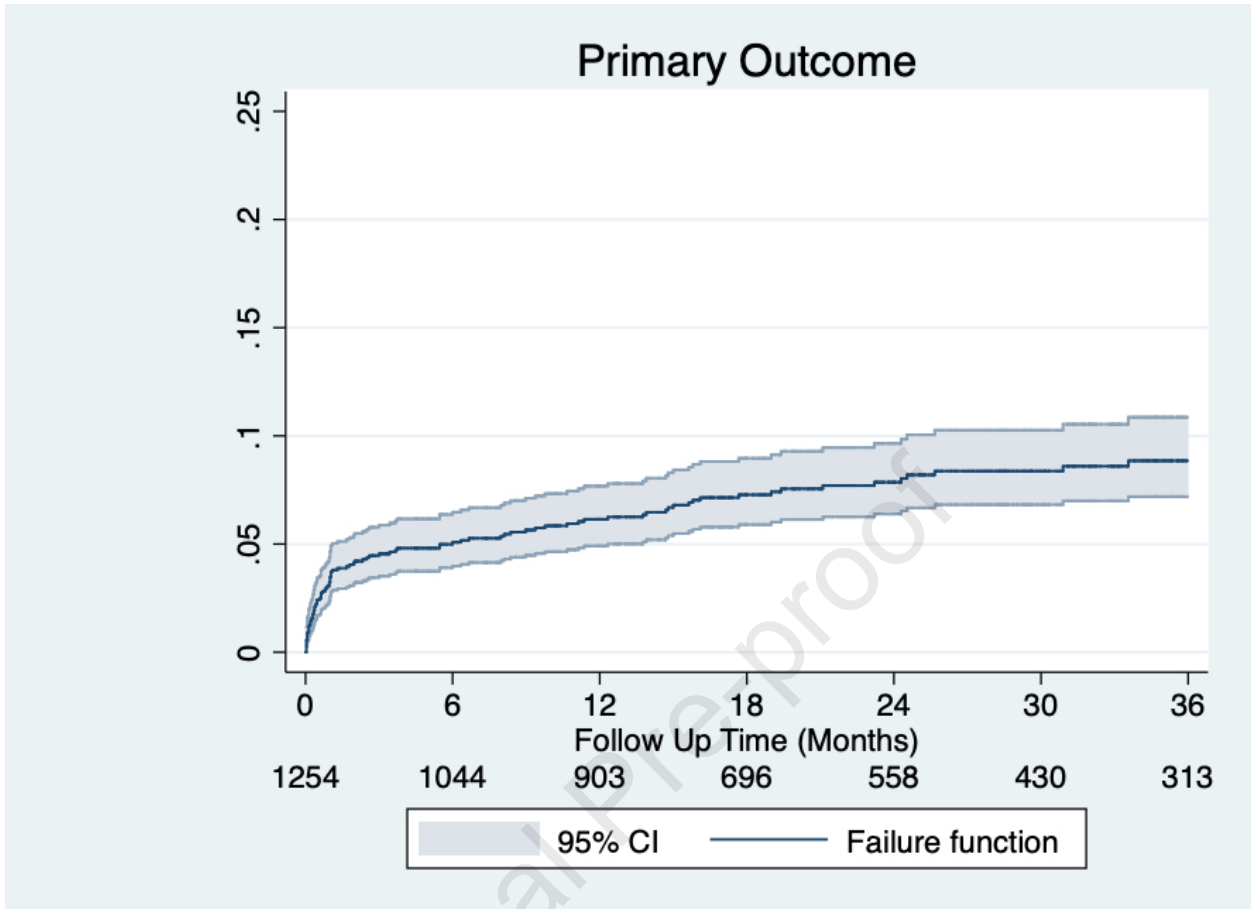
3

Journal Pre-proof

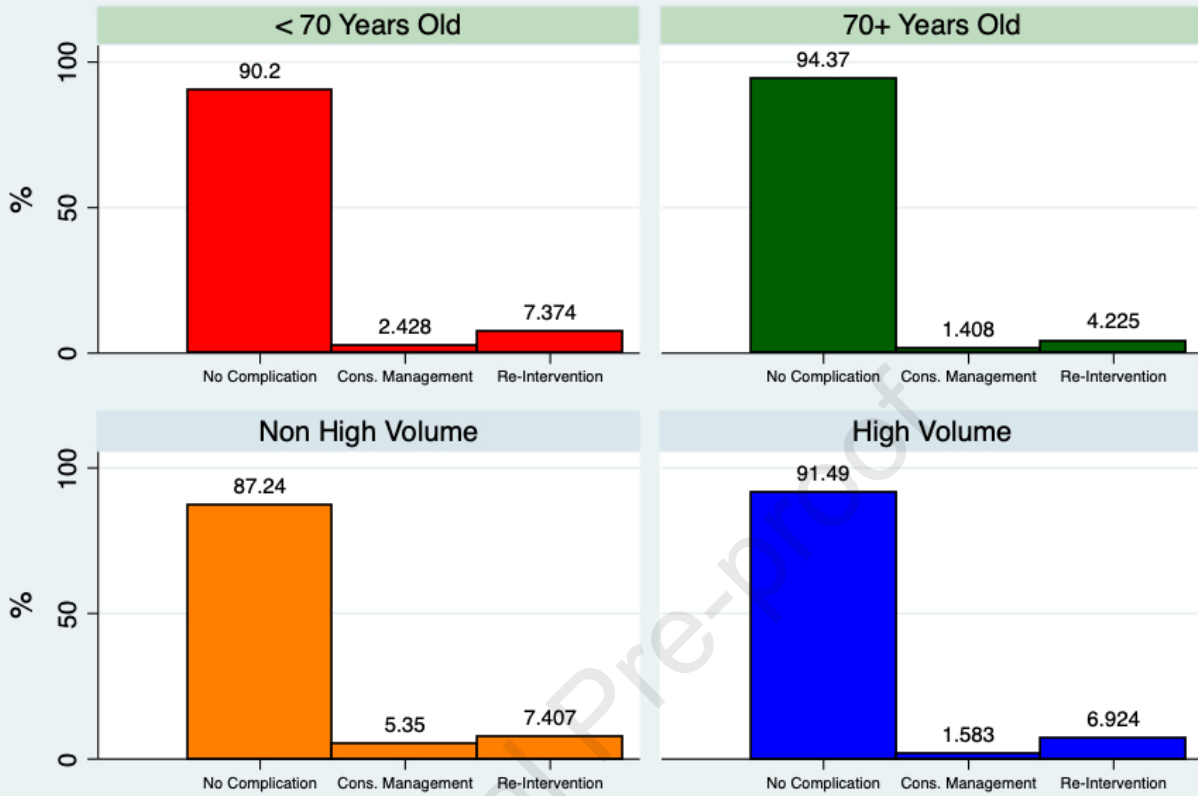
1 **REFERENCES**

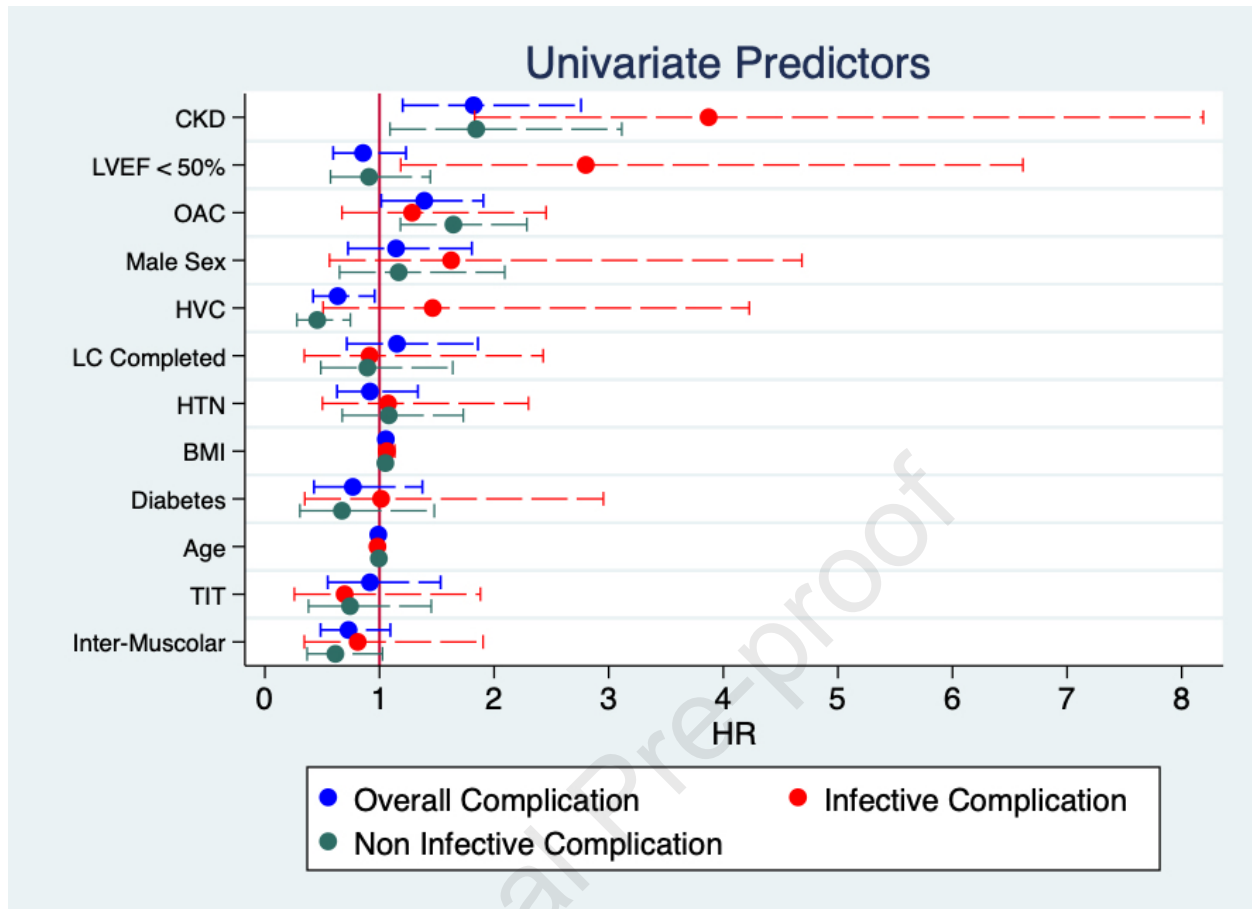
- 2 1. Basu-Ray I, Liu J, Jia X, et al.: Subcutaneous Versus Transvenous Implantable Defibrillator
3 Therapy: A Meta-Analysis of Case-Control Studies. *JACC: Clinical Electrophysiology* 2017;
4 3:1475–1483.
- 5 2. Kirkfeldt RE, Johansen JB, Nohr EA, Jorgensen OD, Nielsen JC: Complications after
6 cardiac implantable electronic device implantations: An analysis of a complete, nationwide cohort
7 in Denmark. *European Heart Journal* 2014; .
- 8 3. Peterson PN, Varosy PD, Heidenreich PA, et al.: Association of single- vs dual-chamber
9 ICDs with mortality, readmissions, and complications among patients receiving an ICD for primary
10 prevention. *JAMA - Journal of the American Medical Association* 2013; 309:2025–2034.
- 11 4. Hauser RG, McGriff D, Retel LK: Riata implantable cardioverter-defibrillator lead failure:
12 Analysis of explanted leads with a unique insulation defect. *Heart Rhythm* 2012; 9:742–749.
- 13 5. Hauser RG, Hayes DL: Increasing hazard of Sprint Fidelis implantable cardioverter-
14 defibrillator lead failure. *Heart Rhythm* 2009; 6:605–610.
- 15 6. Zeitler EP, Selzman KA: Defibrillator Lead Survival: Where Is the Threshold? *Circ:*
16 *Cardiovascular Quality and Outcomes* [Internet] 2020 [cited 2021 Jun 16]; 13. Available from:
17 <https://www.ahajournals.org/doi/10.1161/CIRCOUTCOMES.120.006649>
- 18 7. Providência R, Kramer DB, Pimenta D, et al.: Transvenous Implantable Cardioverter-
19 Defibrillator (ICD) Lead Performance: A Meta- Analysis of Observational Studies. *JAHA*
20 [Internet] 2015 [cited 2021 Jun 16]; 4. Available from:
21 <https://www.ahajournals.org/doi/10.1161/JAHA.115.002418>
- 22 8. Rordorf R, Casula M, Pezza L, et al.: Subcutaneous versus transvenous implantable
23 defibrillator: An updated meta-analysis. *Heart Rhythm* 2020; .
- 24 9. Behar N, Galand V, Martins RP, et al.: Subcutaneous Implantable Cardioverter-Defibrillator
25 Lead Extraction: First Multicenter French Experience. *JACC: Clinical Electrophysiology* 2020;
26 6:863–870.
- 27 10. Mitacchione G, Schiavone M, Gasperetti A, Viecca M, Curnis A, Forleo GB: Neglected lead
28 tip erosion: An unusual case of S-ICD inappropriate shock. *Journal of Cardiovascular*
29 *Electrophysiology* 2020; .
- 30 11. Boston Scientific Recalls EMBLEM S-ICD Subcutaneous Electrode (Model 3501) Due to
31 Risk of Fractures | FDA [Internet]. [cited 2021 Feb 21],. Available from:
32 [https://www.fda.gov/medical-devices/medical-device-recalls/boston-scientific-recalls-emblem-s-](https://www.fda.gov/medical-devices/medical-device-recalls/boston-scientific-recalls-emblem-s-icd-subcutaneous-electrode-model-3501-due-risk-fractures)
33 [icd-subcutaneous-electrode-model-3501-due-risk-fractures](https://www.fda.gov/medical-devices/medical-device-recalls/boston-scientific-recalls-emblem-s-icd-subcutaneous-electrode-model-3501-due-risk-fractures)
- 34 12. Boston Scientific Corporation Recalls EMBLEM S-ICD (Subcutaneous Implantable
35 Cardioverter Defibrillator) System Due to Risk of Short-Circuit | FDA [Internet]. [cited 2021 Feb
36 21],. Available from: [https://www.fda.gov/medical-devices/medical-device-recalls/boston-](https://www.fda.gov/medical-devices/medical-device-recalls/boston-scientific-corporation-recalls-emblem-s-icd-subcutaneous-implantable-cardioverter)
37 [scientific-corporation-recalls-emblem-s-icd-subcutaneous-implantable-cardioverter](https://www.fda.gov/medical-devices/medical-device-recalls/boston-scientific-corporation-recalls-emblem-s-icd-subcutaneous-implantable-cardioverter)
- 38 13. Quast AFBE, Baalman SWE, Brouwer TF, et al.: A novel tool to evaluate the implant
39 position and predict defibrillation success of the subcutaneous implantable cardioverter-
40 defibrillator: The PRAETORIAN score. *Heart Rhythm* 2019; 16:403–410.
- 41 14. Knops RE, Brouwer TF, Barr CS, et al.: The learning curve associated with the introduction
42 of the subcutaneous implantable defibrillator. *Europace* 2016; 18:1010–1015.
- 43 15. Biffi M, Ammendola E, Menardi E, et al.: Real-life outcome of implantable cardioverter-
44 defibrillator and cardiac resynchronization defibrillator replacement/upgrade in a contemporary
45 population: Observations from the multicentre DECODE registry. *Europace* 2019; 21:1527–1536.
- 46 16. Knops RE, Olde Nordkamp LRA, Delnoy P-PHM, et al.: Subcutaneous or Transvenous
47 Defibrillator Therapy. *New England Journal of Medicine* 2020; 383:526–536.
- 48 17. Gutleben K, Nelovic V, Pujdak K, Werner M, Osmani I, Kähler J: Fracture of an S- ICD
49 lead after two prior transvenous lead- related complications with conventional defibrillators. *Pacing*
50 *and Clinical Electrophysiology* Blackwell Publishing Inc., 2020; 43:1491–1494.
- 51 18. Ip JE: Premature battery depletion of EMBLEM subcutaneous implantable cardioverter-

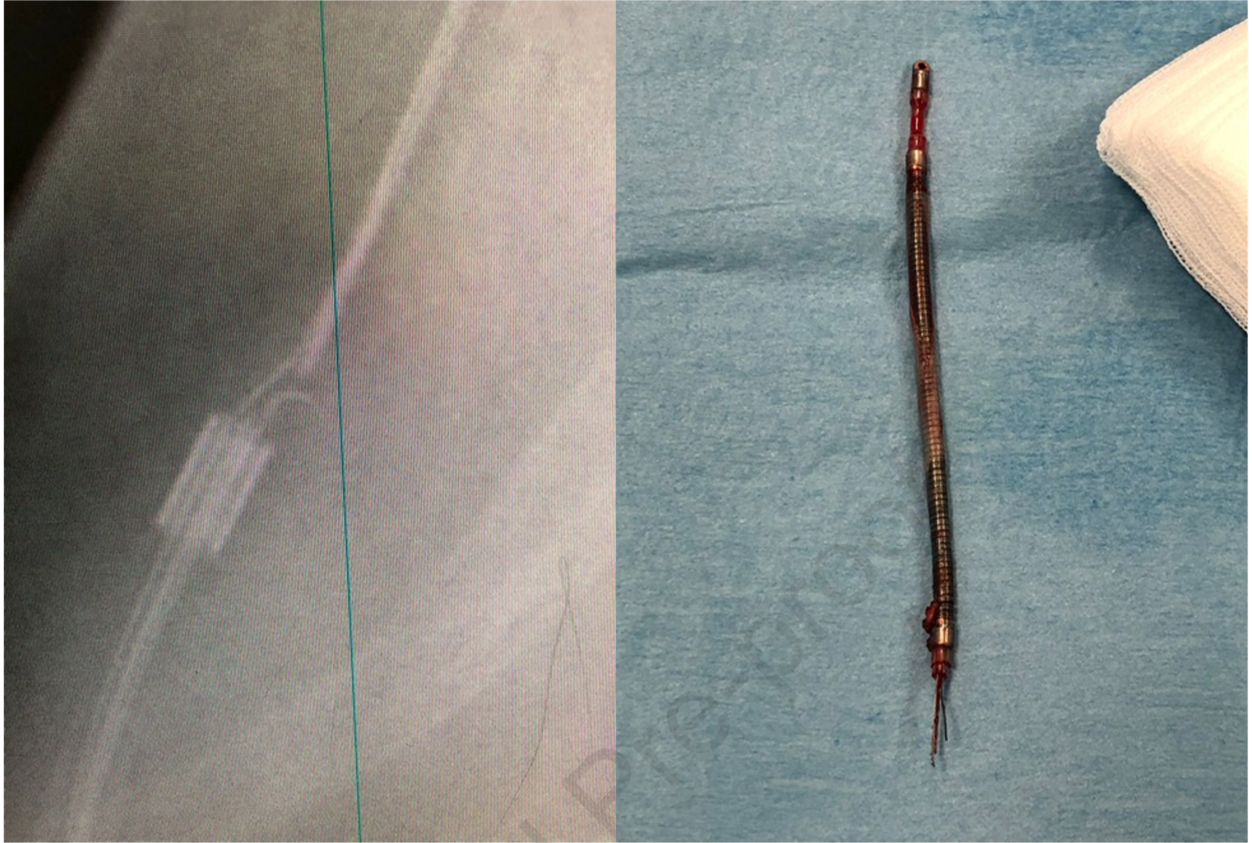
- 1 defibrillators. *Journal of Cardiovascular Electrophysiology J Cardiovasc Electrophysiol*, 2021;
2 :jce.14935.
- 3 19. Burke MC, Gold MR, Knight BP, et al.: Safety and efficacy of the totally subcutaneous
4 implantable defibrillator: 2-year results from a pooled analysis of the IDE study and EFFORTLESS
5 registry. *Journal of the American College of Cardiology* 2015; 65:1605–1615.
- 6 20. Auricchio A, Schloss EJ, Kurita T, et al.: Low inappropriate shock rates in patients with
7 single- and dual/triple-chamber implantable cardioverter-defibrillators using a novel suite of
8 detection algorithms: PainFree SST trial primary results. *Heart Rhythm* 2015; .
- 9 21. El-Chami MF, Burke MC, Herre JM, et al.: Outcomes of subcutaneous implantable
10 cardioverter-defibrillator in dialysis patients: Results from the S-ICD post-approval study. *Heart*
11 *Rhythm* 2020; 17:1566–1574.
- 12 22. Tompkins C, McLean R, Cheng A, et al.: End-stage renal disease predicts complications in
13 pacemaker and ICD implants. *Journal of Cardiovascular Electrophysiology* 2011; .
- 14 23. Barakat AF, Wazni OM, Tarakji KG, et al.: Transvenous Lead Extraction in Chronic Kidney
15 Disease and Dialysis Patients with Infected Cardiac Devices. *Circulation: Arrhythmia and*
16 *Electrophysiology* 2018; 11.
- 17 24. Brunner MP, Cronin EM, Duarte VE, et al.: Clinical predictors of adverse patient outcomes
18 in an experience of more than 5000 chronic endovascular pacemaker and defibrillator lead
19 extractions. *Heart Rhythm* 2014; .
- 20 25. Frankel DS, Burke MC, Callans DJ, Stivland TM, Duffy E, Epstein AE: Impact of Body
21 Mass Index on Safety and Efficacy of the Subcutaneous Implantable Cardioverter-Defibrillator.
22 *JACC: Clinical Electrophysiology* 2018; .
- 23 26. Essebag V, Verma A, Healey JS, et al.: Clinically significant pocket hematoma increases
24 long-term risk of device infection: BRUISE CONTROL INFECTION study. *Journal of the*
25 *American College of Cardiology* 2016; 67:1300–1308.
- 26
27



Complication Distribution







Journal Pre-proof