

Characterization and antibacterial activity of gelatin-based film incorporated with *Arbutus unedo* L. fruit extract on *Sardina pilchardus*

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Keywords:	<i>Arbutus unedo</i> , antibacterial activity, antimicrobial gelatin-based film, <i>Sardina pilchardus</i> , phenolic compounds

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3 1 **Characterization and antibacterial activity of gelatin–based film incorporated with**
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5 2 ***Arbutus unedo* L. fruit extract on *Sardina pilchardus***

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10 4 **Running title:** antimicrobial gelatin films with *A. unedo* extract
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Abstract

Gelatin-based films incorporated with *Arbutus unedo* fruit extract (AFE) were prepared and characterized. LC-DAD analysis demonstrated that the most abundant phenolic compounds in AFE were procyanidine B2 and gallic acid. The incorporation of AFE in gelatin tested film (TF) caused a remarkable decrease in water vapor permeability (5.01×10^{-9} g.mm/h.cm².Pa) compared to control films (CF). FTIR analyses presented a broadening of amide A and I bands in the spectrum corresponding to the tested film.

Films were used to coat samples on fresh fillets of *Sardina pilchardus* intentionally inoculated with *Staphylococcus aureus*, *Listeria monocytogenes*, and *Pseudomonas aeruginosa*. Fish samples were stored refrigerated for 12 days; TF exhibited important antimicrobial activity against the tested bacteria, especially against *S. aureus*.

The obtained results will encourage the use of gelatin-based film containing AFE extract in active food packaging systems to control surface contamination by foodborne pathogenic microorganisms.

Novelty Impact Statement:

A. unedo extract (AFE) decreased gelatin water vapor permeability and contributed to the formation of hydrogen bonds between the phenolic compounds and the protein matrix.

Active gelatin films were able to exert a remarkable antibacterial effect against *S. aureus*, *L. monocytogenes* and *P. aeruginosa*, intentionally inoculated into sardine fillets.

This study demonstrated that the application of gelatin-based film containing AFE on fresh sardine fillets could have a potential in controlling the growth of pathogenic and spoilage bacteria.

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3 50 **Keywords:** antimicrobial gelatin-based film, antibacterial activity, *Arbutus unedo*, phenolic
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5 51 compounds, *Sardina pilchardus*
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9 10 53 **1. Introduction**

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12 54 Marine food and especially fish represents a significant source of nutrients in the
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14 55 Mediterranean eating regime. Among other species, sardine represents the most consumed fish
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16 56 in this region (Zlatanov & Laskaridis, 2007). Due to their relatively cheap source of animal
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18 57 protein for the population and their high content of omega-3 fatty acids, sardines are among
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20 58 the most important commercial fish. In addition, the demand for sardines is substantially high
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22 59 **due to** the high price of beef, poultry and other fish species (Odhiambo et al., 2018).

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26 60 Nevertheless, sardine (as most type of fish) is a perishable **food** whose shelf life is limited by
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28 61 enzymatic and microbiological deterioration. Indeed, psychrophilic bacteria are the main
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30 62 **group** of microorganisms responsible for spoilage in chilled seafood: several studies have
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32 63 reported on the incidence of pathogenic bacteria which can cause major health problems to
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34 64 consumers **due to the presence of** *Salmonella* spp., *Vibrio* spp., *Listeria*, and many spoilage
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36 65 bacteria including *Pseudomonas* (Mol et al., 2007).

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40 66 Apart from conventional methods such as smoking, drying, frying, freezing, canning and
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42 67 sometimes salting to preserve sea food, in the last decade new strategies of preservation have
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44 68 been setup, in particular the development of active food packaging materials, not only to
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46 69 ensure food safety, but to improve the shelf life of perishable food products (Espitia et al.,
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48 70 2016; Rollini et al., 2016).

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51 71 Active packaging is defined as “a mode of packaging in which package, product and
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53 72 environment interact to prolong shelf life or enhance safety and/or quality of the food product”
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55 73 (Suppakul et al., 2003). Active packaging can be incorporated with compounds, such as plant
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57 74 extracts and essential oils, with specific antioxidant and/or antimicrobial activities. In recent
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75 years, one of the most promising solutions is **the** antimicrobial packaging, in which the
76 package is able to inhibit microbial growth due to the controlled release of trapped bioactive
77 compounds (Ahmed et al., 2018; Rollini et al., 2020; Vahedikia et al., 2019).

78 The choice of using naturally occurring antimicrobial substances in biodegradable films and
79 coatings for food packaging applications is noteworthy, coupling the possibility of reducing
80 the use of plastics with the possibility of increasing food shelf-life (Sung et al., 2013). Several
81 researches **have** focused on the use of plant extract and purified phenolic compounds in active
82 packaging: green tea extracts (Amankwaah et al., 2020), oregano (Kazemi & Rezaei, 2015),
83 clove bud essential oil (Otoni et al., 2014), wormwood (*Artemisia scoparia*) extract (Hanif et
84 al., 2019), curcuma extract (Roy & Rhim, 2019) as well as tomato by-products hydrolysate
85 (Gallego et al., 2020).

86 *Arbutus unedo* L., (*A. unedo*) or strawberry tree (*Ericaceae*) is one of the most largely
87 distributed plants in the Mediterranean region (Oliveira et al., 2011). *A. unedo* fruit is a source
88 of vitamin C, dietary fibers and bioactive compounds (Ruiz-Rodriguez et al., 2011), in
89 particular flavonoid and polyphenols with important antioxidant and antimicrobial power
90 (Oliveira et al., 2011; Ben Salem et al., 2018). However, this plant remains largely under-
91 exploited even if several global organizations are currently undertaking to increase the use of
92 this species (FAO, 2010).

93 **In this frame, the objective of this study is to develop an antimicrobial gelatin-based film**
94 **incorporated with *A. unedo* fruit extract (AFE), and to investigate its antibacterial effect**
95 **against foodborne pathogens as *Listeria monocytogenes* and *Staphylococcus aureus*, as well as**
96 **spoilage bacteria such as *Pseudomonas aeruginosa*, in sardine fillets during refrigerated**
97 **storage.** To the best of our knowledge, no papers on gelatin-based films incorporated with *A.*
98 *unedo* extract are present in the literature.

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100 2. Materials and Methods

101 2.1. Materials

102 2.1.1. Plant material

103 Samples of *A. unedo* mature fruit were collected in Texenna forest (about 20 km South of
104 Jijel, Eastern North of Algeria; 700 m of altitude) in November–December 2016. The fruit
105 was cut into small pieces and dried in an air-oven (Memmert, Germany) at 37°C and then
106 ground into fine powder using a blender. To prevent the oxidation of phenolic compounds,
107 dried samples were stored at 4°C in the darkness until use.

108 2.1.2. Bacterial strains

109 Three strains of the most common foodborne bacteria have been used in this study, all coming
110 from the official American Type Culture Collection (ATCC), namely *S. aureus* 25923, *L.*
111 *monocytogenes* 25922 and *P. aeruginosa* 27853.

112 2.1.3. Sardine samples

113 Fresh sardine samples (*Sardina pilchardus*) were purchased from a local market in Ain-Smara
114 Constantine (Algeria), and transferred into the laboratory in refrigerated containers (4°C).
115 Once arrived to the lab, fish samples were immediately eviscerated and rinsed with sterile
116 distilled water; sardine fillet samples were then used in storage trials.

117 2.1.4. Reagents and standards

118 Methanol, glycerol, sodium bromide (BrNa) and silica gel were all purchased from Biochem-
119 Chemopharma (Cosne-Cours-sur-Loire, France).

120 **Commercial gelatin was obtained from Porcine skin Type A (300 g bloom, isoelectric point**
121 **7).** Standards used for the identification and quantification of phenolic acids and flavonoids
122 chlorogenic acid, ferulic acid, caffeic acid, ellagic acid, gallic acid, vanillic acid, (+)-catechin,
123 procyanidin B2, quercetin, rutin (quercetin-3-*O*-rutinoside), isoquercetin (quercetin-3-*O*-
124 glucoside), apigenin, apigenin-7-*O*-glucoside, myricetin, narigenin and kaempferol were

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3 125 acquired from Sigma Chemical Co. (St. Louis, MO, USA). Solvents and reagents used for the
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5 126 preparation of mobile phases and stock solutions were also purchased from Sigma-Aldrich:
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7 127 water (Chromasolv[®] for HPLC), methanol (Chromasolv[®] for HPLC \geq 99.9%) and formic acid
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9 128 (reagent grade, \geq 95%).

12 129 **2.2. *A. unedo* extraction conditions**

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15 130 The extraction was realized as described by Isbilir *et al.* (2012). In brief, 10 g of *A. unedo*
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17 131 ripened fruit powder was macerated in 50 mL of absolute methanol; the mixture was left at
18
19 132 room temperature overnight with stirring. The extract was then filtered through Whatman No.
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21 133 4 paper and residues were macerated twice in methanol (1:1, w/v). The solvent was then
22
23 134 evaporated at 40° C using a Rotavapor (Buchi, Switzerland). After having determined the
24
25 135 extraction yield, the crude extract was dissolved in distilled water in order to obtain a
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27 136 concentration of 2 mg/mL; the obtained extract (AFE) was then stored at 4 °C in the darkness
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29 137 for further trials.

33 138 **2.3. HPLC-DAD analysis of AFE**

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35
36 139 The main polyphenol composition of AFE was assessed by HPLC/diode-array detector (DAD)
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38 140 analysis, performed using a HPLC JascoExtrema LC-4000 system (Jasco Inc., Easton, MD,
39
40 141 USA) fitted with an auto sampler, a binary solvent pump, and a diode-array detector (DAD).
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43 142 The separation and quantification were achieved using Synergy Polar-RP C18 column (250 ×
44
45 143 4.6 mm I.D., 5 μ m particle size (Phenomenex, Torrance, CA, USA) preceded by a Polar RP
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47 144 security guard cartridge. The column temperature was set at 35 °C. The mobile phase
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49 145 consisted of 0.1% (v/v) formic acid in distilled water (A) and acetonitrile (B). Injection
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51 146 volume was 20 μ L and flow rate was kept at 1 mL/min. Elution was performed according to
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53 147 the following conditions: 0–2 min 90% (A), 2-17 min from 90 to 40% (A), 17-22 min 40%
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55 148 (A), 22-28 min from 40 to 90% (A), 28-33 min 90% (A) (Annunziata *et al.*, 2019).
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57 149 HPLC/DAD analyses were performed monitoring three different wavelengths: 280 nm for
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3 150 procyanidin and flavanols, 315 nm for hydroxycinnamic acids and 360 nm for flavanols.
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5 151 Phenolic compounds were identified by comparing retention time and UV absorption spectra
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7 152 with available standards. Quantification was performed with standard curves of external
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9 153 standards generated by plotting HPLC peak areas against the concentrations (mg/L) ($R^2 > 0.99$).
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14 155 **2.4. Gelatin-based film preparation**

16 156 Film forming solutions (FFS) were prepared as described by Gómez-Estaca et al. (2009).
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18 157 Gelatin powder (final concentration in FFS of 4g/100ml) was initially dissolved in distilled
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20 158 water to a ratio of 4g/50ml. On the basis of the study reported by Thomazine et al. (2005),
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22 159 glycerol (0.3 g/g of gelatin) was added as plasticizer. After appropriate mixing, AFE was
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24 160 incorporated at a ratio of 1:1 (dissolved gelatin: AFE). The final concentration of the AFE in
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26 161 FFS for tested films (TF) was 1 mg/ml. FFS for Control films (CF) were prepared as described
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28 162 above by replacing the AFE with distilled water.
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31 163 Each FFS was heated at 40 °C and stirred for 15 min to obtain homogeneous solutions, then
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33 164 gently and equitably poured into trays to obtain uniform thickness (0.1 mm). FFSs were then
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35 165 dried in a ventilated oven (Mettler, Germany) at 45 °C for 15 h. The obtained films were
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37 166 conditioned in a desiccator over a saturated BrNa solution (Relative Humidity 58%) at 22 °C
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39 167 for 2 days before use.
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44 168 **2.5. Analyses of active films**

46 169 **2.5.1. Visual aspect**

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48 170 Before using the prepared films in trials, they were examined for their visual appearance by
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50 171 evaluating the homogeneity of the color and the presence of insoluble particles.
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53 172 **2.5.2. Water vapor permeability**

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55 173 Water vapor permeability (WVP) of films was measured using a modified ASTM method
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57 174 (1989) as described by Shiku et al. (2004). Films were attached over the openings of a glass
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3 175 cups with silicone vacuum grease and an O-ring to hold the film in place, each cup contains
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5 176 dry silica gel (0% relative humidity). Cups were then placed in a desiccator with a flask
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7 177 containing distilled water at 30°C. Cups were weighed at 1 hour intervals over a 7 hours
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10 178 period and Water vapor permeability was calculated using the equation:

$$13 \quad 179 \quad WVP = \frac{w \times x}{A \times t \times (P_2 - P_1)}$$

15 180 Where, w: weight gain of the cup (g), x: film thickness (mm), A: the area of exposed film
16
17 181 (cm²), t: the elapsed time for the weight gain (h), and (P₂-P₁) the partial vapor pressure
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19 182 difference between the dry atmosphere and pure water. WVP was expressed as
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21 183 (g.mm/h.cm².Pa).

24 184 **2.5.3. Opacity**

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27 185 The barrier properties of prepared films to visible light were measured using a UV-Visible
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29 186 recording spectrophotometer (UV-1800, Shimadzu Co., Kyoto, Japan). Films were cut into
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31 187 rectangle pieces and directly placed into a spectrophotometer test cell, using an empty test cell
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33 188 as blank. The opacity index (O) of the films was calculated by following equation (Han &
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35 189 Floros, 1997):

$$38 \quad 190 \quad O = (Abs_{600})/x$$

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41 191 Where, Abs₆₀₀: absorbance at 600 nm, and x: film thickness (mm).

43 192 **2.5.4. Water solubility**

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45 193 Firstly, the dry weight of 4 cm² film portions was determined; portions were subsequently
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47 194 placed in beakers and 15 mL of distilled water was added to each portion; beakers were then
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49 195 closed and moderately stirred for 15 h at 22 °C. The mixtures were then filtered through no. 1
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51 196 Whatman filter paper to recuperate the remaining undissolved films, which were desiccated at
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53 197 105 °C for 24 h. Film solubility (FS) was calculated by the following equation (Gómez-Estaca
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55 198 et al., 2009):

$$FS(\%) = \frac{(W_0 - W_f)}{W_0} \times 100$$

Where, W_0 : the initial dry weight of the film (g), and W_f : the weight of the undissolved desiccated film residue.

2.5.5. Fourier transforms infrared spectroscopy

Infrared spectra were obtained at room temperature using a Perkin–Elmer spectrometer (PERKIN ELMER, USA) at a resolution of 8 cm^{-1} . Fourier Transforms Infrared (FTIR) technique was used in the transmission mode at a wave range of $4000\text{-}400 \text{ cm}^{-1}$ (120 scans for each sample). About ~30 mg of each film was then compressed with 100 mg of KBr at 150 MPa isostatic press (CIP) in order to obtain a pellet of 200-300 μm of thickness.

All infrared spectra are reporting absorbance ($A = -\log(I/I_0)$) as a function of the incident wave numbers.

2.6. Microbiological analyses

The initial microbial loads of sardine samples were evaluated. Total aerobic microbial count (TAMC), coliforms, Salmonella, *S. aureus*, *L. monocytogenes*, *P. aeruginosa*, yeasts and moulds have been investigated using classical microbiological techniques described by Guiraud (2003).

2.6.1. Antimicrobial activity of active films on sardine fillets

The antibacterial effect of the active AFE films was determined against three bacterial strains, i.e., *S. aureus*, *L. monocytogenes*, and *P. aeruginosa* during sardine fillets storage at refrigerated temperature.

Sardine fillets (length 10 cm, width 1.8 cm) were separately inoculated with each bacterial suspension containing approximately 10^5 CFU/g ; the bacterial suspension loads were standardized using McFarland solution. Fillets were then divided into four groups: in the first and the second group, samples were wrapped in TF and CF (not containing AFE), respectively. In the third group, sardine fillets were covered (swabbing) with a layer of filter-

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3 224 sterilized AFE (without gelatin). The fourth group remained without any treatment as negative
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5 225 control (NG). All the four sets were placed in sterile containers and stored in 4°C for 12 days.
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7 226 The sampling was carried out every 3 days to assess the bacterial growth of inoculated strains.
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11 12 228 **2.7. Statistical analysis of data**

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14 229 All experiments were performed in triplicate. Data were subjected to analysis of variance
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16 230 (ANOVA), and trials related to intentionally inoculated fish samples were compared to each
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18 231 other using Tukey test at significance levels of $p < 0.05$, 0.01, 0.001. Dunnett test was used to
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20 232 compare each experiment group to the negative control. All statistics has been realized using
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22 233 STATISTICA software version 11.0 (Copyright© Stat Soft, Inc.1984- 2012).
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25 26 234 **3. Results and discussion**

27 28 235 **3.1 Characterization of AFE Extract**

29
30 236 In this study, the extraction yield of *A. unedo* fruit was 49.06 % of dry matter, slightly higher
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32 237 but not statistically different from that found by Oliveira et al. (2011), i.e. 45.0 %.

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34 238 HPLC-DAD analysis evidenced that 10 out of the 16 phenolic standards were present and
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36 239 identified in AFE, as shown in Table 1; the extract is rich of polyphenols, especially
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38 240 flavonoids and phenolic acids. Procyanidin B2 was the most abundant flavonoid (5.77
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40 241 mg/mL) while others, such as quercetin -3-O- glycoside, rutin, catechin, mirecitin and
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42 242 apigenin-7-O glycoside, were detected at lower concentrations. Concerning phenolic acids,
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44 243 gallic acid was the most present in AFE (4.54 mg/mL), while ferulic, caffeic and vanillic acids
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46 244 were present only in trace.

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48 245 In their study on Tunisian fully mature *A. unedo* fruit, Ben Salem *et al.* (2018) found that the
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50 246 phenolic fraction was dominated by galloyl derivatives. Flavonols (quercetine, quercetin-3-
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52 247 rutinoside, quercetin-3-xyloside, and quercetin-3-rhamnoside) and three flavan-3-ols, catechin
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54 248 and epicatechin, procyanidin dimer were also found in the fruit extract.
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3 249 Similarly, Masmoudi et al. (2020) found that phenolic acids and their derivatives, as well as
4
5 250 certain flavonoids, were mainly present in the methanolic extract of unripe *A. unedo* fruit
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7 251 (green-yellow color) from Tunisia, while quinic acid was the most abundant followed by
8
9 252 catechin and gallic acid.

253 **3.2 Characterization of gelatin-based films incorporated with AFE**

254 **3.2.1. Visual aspect**

255 Gelatin-based films were incorporated with AFE to evaluate their antibacterial potential on
256 some foodborne bacteria commonly found in sardine fillets.

257 Out of all biopolymers, gelatin was chosen as is broadly utilized as a raw material for films
258 development. Gelatin films have excellent film forming capacity and good oxygen and water
259 barrier properties (Lee & Song, 2017). Moreover, it is known for its low cost, high
260 availability, reducing color loss and aroma deterioration properties, these latter making
261 gelating a good choice for prolonging the quality and shelf life of meat products (Odhiambo et
262 al., 2018).

263 Furthermore, the use of gelatin in packaging of highly perishable food products such as meat
264 and fish is based on its interesting mechanical (flexibility, tension) and optical (brightness and
265 opacity) properties, structural resistance to water and microorganisms as well as sensory
266 acceptability (Ramos et al., 2016).

267 Note also that gelatin can be used as a carrier to incorporate a wide variety of compounds,
268 such as natural phenolic compounds that may be used to improve the functional properties of
269 coatings and the shelf life of food products (Gallego et al., 2020).

270 In our study the prepared gelatin-based films (CF, TS) exhibit good homogeneity and absence
271 of insoluble particles, and they were transparent in color, nevertheless TF was slightly yellow
272 due to the natural color of AFE as shown in Fig. 1.

273 **3.2.2. Water vapor permeability**

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3 274 As water vapor permeability (WVP) measures the movement of water vapor molecules on the
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5 275 film matrix, it affects the shelf life of food products (Nor Adilah *et al.*, 2018). According to
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7 276 Table 2, WVP of gelatin films decreased when AFE was incorporated ($p < 0.001$). This can be
8
9 277 explained by the presence of fruit extract molecules in TF matrix that limits the penetration of
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11 278 water molecules through the film. In particular, the presence of phenolic compounds
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13 279 exhibiting hydrophilic and hydrophobic groups can cause cross-linking with the hydrophobic
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15 280 regions of gelatin proteins, as reported by Wu *et al.* (2013). Gómez-Guillén *et al.* (2007) also
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17 281 registered a decrease in this parameter when adding murta extract to tuna-fish gelatin films.
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21 282 **3.2.3. Opacity**

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23 283 Both CF and TF have similar opacity ($p > 0.05$); this can be attributed to the concentration of
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25 284 AFE (2 mg/mL) added in TF which might be considered too low to cause a significant change
26
27 285 in opacity (Table 2). This characteristic is an important parameter for food packaging
28
29 286 materials because it allows both determining the degree of exposure to ultraviolet and visible
30
31 287 rays that could affect food spoilage and at the same time the film ability to act as a protective
32
33 288 barrier to prevent oxidation of packaged food products (Rubilar *et al.*, 2013). Nor Adilah *et al.*
34
35 289 (2018) found that the opacity of a fish gelatin-based film incorporated with mango peel
36
37 290 extract, increased with extract concentrations. Gómez-Guillén *et al.* (2007) and Gómez-Estaca
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39 291 *et al.* (2009) recorded high opacity values for films containing plant extracts; they also
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41 292 explained this result as the enrichment of the films with polyphenols and, to a certain extent,
42
43 293 to polyphenol-protein interactions.
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49 294 **3.2.4. Water solubility**

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51 295 The addition of AFE to the gelatin did not produce any significant variation in water solubility
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53 296 ($p > 0.05$) (Table 2). According to Sifuentes-Nieves *et al.* (2015), water solubility of films is an
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55 297 important factor in determining the biodegradability potential, since polymers most likely to
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57 298 dissolve are easier to be hydrolyzed to smaller molecules.
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299 The non-significant difference between water solubility value of CF and TF suggests that AFE
300 did not interfere with the arrangement of protein chains in gelatin matrix and, for that reason,
301 the film retains its hydrophilic characteristic, at least at the tested extract concentration.

302 To the best of our knowledge, no papers on gelatin-based films incorporated with *A. unedo*
303 extract are present in the literature. However, Bodini et al. (2013), reported similar results
304 about gelatin-based film with propolis extract. Gómez-Estaca et al. (2009) also reported that
305 the addition of oregano and rosemary aqueous extracts to bovine skin gelatin produced no
306 significant difference in films solubility.

307 **3.2.5. Fourier-transform infrared spectroscopy (FTIR)**

308 FTIR analysis was used to detect functional groups and structural changes in gelatin films at
309 molecular level (Dammak & Sobral, 2019). Figure 2 presents the FTIR spectra of TF and CF
310 respectively.

311 It is known that the specific regions of the FTIR spectrum representing the characteristic
312 protein bands consisting of amide A ($3600\text{--}3100\text{ cm}^{-1}$) derived mainly from stretching N-H,
313 while amide I ($1750\text{--}1600\text{ cm}^{-1}$) originated mainly from stretching C=O (Barth, 2007).

314 Figure 2 shows that the bandwidth of the amide A and I regions which appeared at 3416 cm^{-1} -
315 1618 cm^{-1} and 3468 cm^{-1} - 1638 cm^{-1} for CF and TF respectively has broadened in the spectrum
316 corresponding to TF because of the addition of AFE and more precisely with the presence of
317 phenolic compounds.

318 In the literature, the broadening of amide A and I bands in the indicated regions is due to the
319 formation of hydrogen bonds between proteins and phenolic compounds (Alkan et al., 2011).

320 *He et al.* (2011) also reported that band broadening at amide A and amide I of the spectrum of
321 collagen films containing procyanidins suggested the formation of hydrogen bonds between
322 collagen and phenolic compounds.

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3 323 In addition, absorption in the amide I region is most used for FTIR analysis of the secondary
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5 324 structure of proteins. Yakimes et al. (2005) reported that the absorption peak at 1633 cm^{-1} is
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7 325 characteristic of the spiral structure of gelatin. The displacement of the amide I band from
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9 326 1618 cm^{-1} in CF to 1638 cm^{-1} in TF suggested that AFE might affect the helical structure of
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11
12 327 gelatin.

13
14 328 Zhao et al. (2016), proved the effect of natural extract on the modification of gelatin structure
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16
17 329 by playing the role of a cross linking agent, leading to the formation of hydrogen bonds
18
19 330 between water and the free hydroxyl groups of amino acids and phenolic compounds.
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21 331 From all these findings, we also suggest that AFE incorporation into gelatin film can
22
23 332 significantly improve and strengthen its structure.

24 25 26 27 333 **3.3. Antibacterial activity of AFE films**

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29 334 Fish is one of the most perishable food products: its deterioration occurs mainly due to
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31 335 microbial growth and metabolic activity, generating undesirable or unacceptable compounds
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33 336 such as sulfides, alcohols, aldehydes, ketones, amines and organic acids (Yazgan et al., 2019).
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35 337 Therefore, microbiological control of bacteria positively influences the quality and shelf life of
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37 338 fresh fish; nevertheless, such aspect is a major problem for the fish processing industry.
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40 339 Recently, researchers have focused on the use of natural antimicrobial additives to fish to
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42 340 reduce microbial deterioration (Ozogul et al., 2017; Yazgan et al., 2019).

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45 341 This paper focuses on the antibacterial effect of AFE on some of the most spoiling (*P.*
46
47 342 *aeruginosa*) and pathogenic bacteria (*L. monocytogenes*, and *S. aureus*) of sardines.

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49 343 The final concentration of AFE in TF (1 mg/ml) was chosen on the basis of previous Minimal
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51 344 Inhibitory Concentrations results (MIC), i.e. $\sim 0.75\text{ mg/ml}$. The AFE concentration was
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53 345 calculated to be upper than MIC, in a way to insure the effective threshold of the extract on
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55 346 tested microorganisms; we tried also to minimize the effect of condensed tannins on gelatin
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57 347 film characteristics as its primary concentration in AFE was about $\sim 12\text{ mg}$ equivalent tannic
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3 348 acid/g of extract). The use of glycerol as plasticizer enhances plasticity and elongation of
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5 349 gelatin film facilitating, by consequence, the manipulation and the wrapping of sardines
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8 350 samples. The used glycerol/gelatin ratio was chosen on the basis of previous studies (Peña-
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10 351 Rodriguez et al., 2014; Thomazine et al., 2005). Other studies suggested a ratio of 0.250 g/g of
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12 352 gelatin in the presence of higher concentrations of tannins in the FFS (10-30%) (Ortiz-Zarama
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14 353 et al., 2016; Peña et al., 2010). Tannins and glycerol have a synergistic effect in improving
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16 354 gelatin film properties such as tensile strength, elastic modulus, temperature and enthalpy of
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18 355 gelatin denaturation, UV-blocking capacity (Kriechbaum & Bergström, 2020; Ortiz-Zarama et
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20 356 al., 2016; Peña et al., 2010; Tammineni et al., 2014). Tannins also have the ability to reduce
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22 357 total soluble matter and water vapor permeability of gelatin films and lower the negative effect
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24 358 of glycerol over these properties (Ortiz-Zarama et al., 2016). On the other hand, condensed
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26 359 tannins are known of lowering gelatin crystallinity by decreasing protein–protein interactions
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28 360 leading to the precipitation of gelatin (Gómez-Estaca et al., 2009; Naczek et al., 2006; Peña et
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30 361 al., 2010).

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35 362 To avoid such effect, we kept condensed tannins concentration at a lowest level possible with
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37 363 maintaining the concentration of AFE higher than MIC of tested microorganisms.

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40 364 Nevertheless, a deeper investigation and optimization of gelatin-based film preparation
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42 365 (formula and AFE glycerol/ gelatin ratio) will be needed.

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44 366 The analysis of fresh sardines' primary bacterial load showed the absence of all investigated
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46 367 germs (coliforms, salmonella, yeast and mould) excepting TAMC with initial population of
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48 368 around 1.18×10^4 CFU/g. These results indicate an acceptable quality of fresh fish as the
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50 369 proposed upper limit for aerobic plate count is 5.10^5 CFU/g according to the International
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52 370 Commission of Microbiological Specifications for Foods (ICMSF, 1986).

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56 371 After intentional inoculation and during cold storage, the count of each inoculated bacterial
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58 372 strain was measured and the growth curves were established (Figure 3). At the end of storage,
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3 373 the count of *S. aureus* was significantly reduced ($p < 0.01$) from 5 to almost 1 log CFU/g in TF
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5 374 samples, to 2.5 log CFU/g with AFE (Fig. 3a). Differently, the count of *S. aureus* in NC
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7 375 samples increased gradually during the storage, and was significantly higher than TF, CF and
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9 376 AFE samples ($P < 0.05$) since day 8.

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12 377 As shown in Fig. 3b and 3c, the counts of *P. aeruginosa* and *L. monocytogenes* showed an
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14 378 increase until day 5 in all samples ($P < 0.05$). After day 5, their population gradually decreased
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16 379 until the end of the trial (day 12) only in samples containing AFE, when *L. monocytogenes*
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18 380 reached 0.7 and 0.3 log CFU/g for TF and AFE respectively. For *P. aeruginosa*, the count of
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20 381 this bacterium on sardine fillets wrapped in TF recovers its initial number (10^5 CFU/g) by day
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22 382 12.

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25 383 The antibacterial activity of *A. unedo* fruit extract against *P. aeruginosa* and *S. aureus* has
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27 384 been determined in previous study (Ben Salem et al., 2018). The most abundant phenolic
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29 385 compound in AFE is procyanidin B2 (Table 1). Procyanidins exert a very strong inhibitory
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31 386 and bactericidal effect on *S. aureus* by destroying the integrity and permeability of the cell
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33 387 wall and cell membrane, thereby affecting protein synthesis and binding to DNA (Li et al.,
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35 388 2017).

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38 389 Another important compound of AFE, gallic acid, is known for its relative toxicity towards
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40 390 microorganisms: specifically, it has a potent antibacterial activity, the primary target being the
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42 391 bacterial cell membrane which leads to irreversible changes in permeability, rupture and pore
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44 392 formation (Borges et al., 2013). Furthermore, Luís et al. (2014) noted that gallic acid was the
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46 393 most active compound against *S. aureus* ATCC 25923, able to influence cell adhesion
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48 394 properties and to inhibit the oxidation of proline, resulting a disruption of critical energy
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50 395 metabolism. Moreover, the same authors proposed that the action mechanism of caffeic acid
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52 396 (also present in AFE) is associated with cell membrane damage and changes in the aerobic
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54 397 metabolism of *S. aureus* cells.
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3 398 Also flavonols including rutin, myricetin and quercetin-3-glucoside (present in AFE) exert
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5 399 antimicrobial activity, due to their ability to bind to the lipid bilayer of the membrane. They
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8 400 were found also to stimulate the formation of aggregates and agglutination of staphylococcal
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10 401 cell wall (Kajiya et al., 2002; Shah et al., 2008).

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12 402 In the present context, the significant reduction of *S. aureus* count may be attributed to the
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14 403 combined action of AFE rich in flavonoids and phenolic acids endowed with strong
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16 404 antimicrobial activity, together with the micro-environment created by the gelatin film at low
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19 405 temperature. Films containing active molecules such as plant extracts are rich in phenolic
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21 406 compounds which tend to positively retard microbial proliferation in meat products (Umaraw
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23 407 *et al.*, 2020).

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26 408 Chibane et al. (2018) reported that the use of films and coatings as vectors of bioactive
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28 409 molecules can ensure their efficacy on the site of action.

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31 410 The limited antibacterial effects of TF and AFE evidenced during the first five days of storage
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33 411 against *P. aeruginosa* and *L. monocytogenes* could be attributed to the psychrophilic nature of
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35 412 these two bacteria, which could have limited AFE antimicrobial activity (Ravishankar et al.,
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37 413 2009).

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40 414 Nevertheless, AFE (rich of gallic acid, procyanidin B2 and flavonols) showed remarkable
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42 415 antimicrobial activity *in vitro* (data not shown here). Sorrentino et al. (2018) reported a good
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44 416 *in vitro* antimicrobial activity of gallic acid against *Pseudomonas* spp. Zhao *et al.* (2015) also
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46 417 demonstrated that gallic acid caused irreversible damage to cell membranes by altering
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49 418 hydrophobicity and local rupture or pore formation, leading to leakage of intracellular
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51 419 constituents. Moreover, this acid not only reduced microbial contamination due to
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53 420 *Pseudomonas* spp., but also exerted bacteriostatic/bactericidal action against other undesirable
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55 421 microorganisms (Sorrentino et al., 2018).
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3 422 In a more recent study, gallic and ferulic acids have been reported to irreversibly change the
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5 423 properties of bacterial membranes. For example, gallic acid mainly inhibits the growth of *L.*
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7 424 *monocytogenes* through its ability to decrease extracellular pH (Pernin et al., 2019).
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10 425 In our opinion, the antibacterial effect of AFE on tested microorganisms may be the result of
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12 426 synergistic effect exerted by all the present components. The incorporation of AFE in gelatin
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14 427 is particularly interesting, not only due to the possibility of maintaining its antimicrobial effect
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16 428 against foodborne pathogens, but also because the direct contact between AFE and sardine is
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18 429 avoided: especially in the use of natural preservatives, at high concentrations they can alter the
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20 430 taste and aroma of food, affecting consumer's acceptance (Lv et al., 2011).
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26 432 **Conclusions**

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29 433 From an overall look at the obtained results, it can be concluded that AFE incorporation in
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31 434 gelatin film exhibited several positive effects in increasing shelf life of sardines. This extract
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33 435 was found able to decrease gelatin water vapor permeability and contributed to the formation
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35 436 of hydrogen bonds between the phenolic compounds and the protein matrix, which makes it
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37 437 possible to improve films characteristics. In addition, this film was found to exert a
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39 438 remarkable antibacterial effect against the tested strains, intentionally inoculated into sardine
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41 439 fillets.
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45 440 This study demonstrated that the application of gelatin-based film containing AFE on fresh
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47 441 sardine fillets could have a potential in controlling the growth of pathogenic bacteria.
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50 442

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2
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4
5 447 study.

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10 449 **Declarations of interest**

11
12 450 The authors declare no known competing financial interests or personal relationships that
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14 451 could have appeared to influence the work reported in this paper.

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19 453 **Authors contributions**

20
21 454 I. Bouhanna has made substantial contribution the analyses, wrote the original draft, and made
22
23 455 the first review and editing. A. Boussaa has made contributions to conception and design of
24
25 456 the study. A. Boumaza was involved in the setup of the applied methodologies. D. Rigano, M.
26
27 457 Maisto and A. Basile made substantial contribution in films characterization. S. Limbo and M.
28
29 458 Rollini supervised and edited the entire manuscript. T. Idoui supervised the entire research
30
31 459 project.

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36 461 **References**

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For Review Only

675 **Table 1.** Identification and quantification of phenolic compounds present in AFE by LC-
676 DAD.

Phenolic compound	Concentration (mg/mL)	Phenolic compound	Concentration (mg/mL)
Ferulic acid	0.002	Catechin	0.285
Caffeic acid	0.006	Mirecitin	0.020
Gallic acid	4.544	Procyanidin B2	5.770
Vanillic acid	0.013	Apigenin-7-O glycoside	0.044
Rutin	0.428	Quercetin -3-O-glycoside	0.431

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682 **Table 2.** Physical characteristics of the developed gelatin-based films expressed as mean \pm

683 SD. CF: control film; TF: tested film containing AFE.

Tests	Type of film	
	CF	TF
WVP (g.mm/h.cm ² .Pa)	$8.98 \times 10^{-9} \pm 0.41 \times 10^{-9(a)}$	$5.01 \times 10^{-9} \pm 0.11 \times 10^{-9(b)}$
Opacity (mm ⁻¹)	$0.210 \pm 0.001^{(a)}$	$0.220 \pm 0.004^{(a)}$
Water solubility (%)	$57.33 \pm 2.92^{(a)}$	$61.32 \pm 2.30^{(a)}$

684 Different letters (a, b) indicate significant differences ($p < 0.05$) as a function of the film type.

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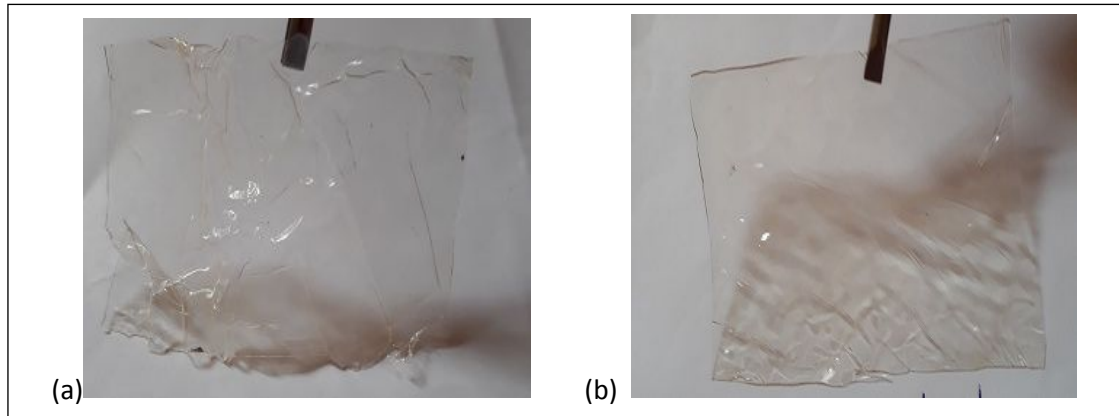


Figure 1. Visual aspect of prepared films: (a) control film, CF; (b) tested film containing AFE, TF.

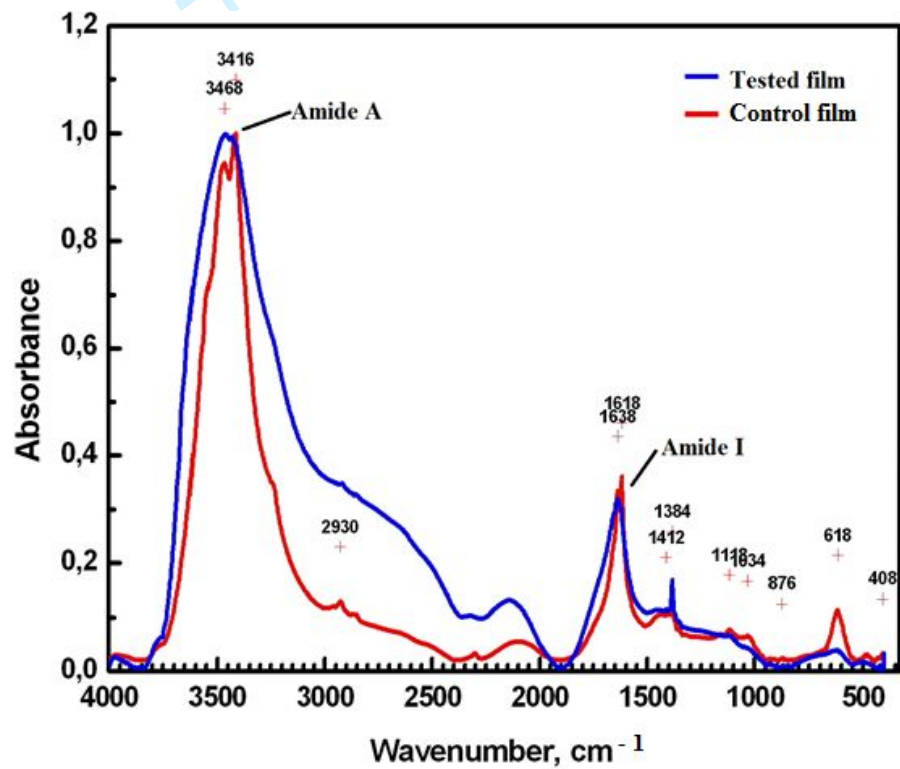


Figure 2. FTIR spectrum of control film (CF) and tested film containing AFE (TF).

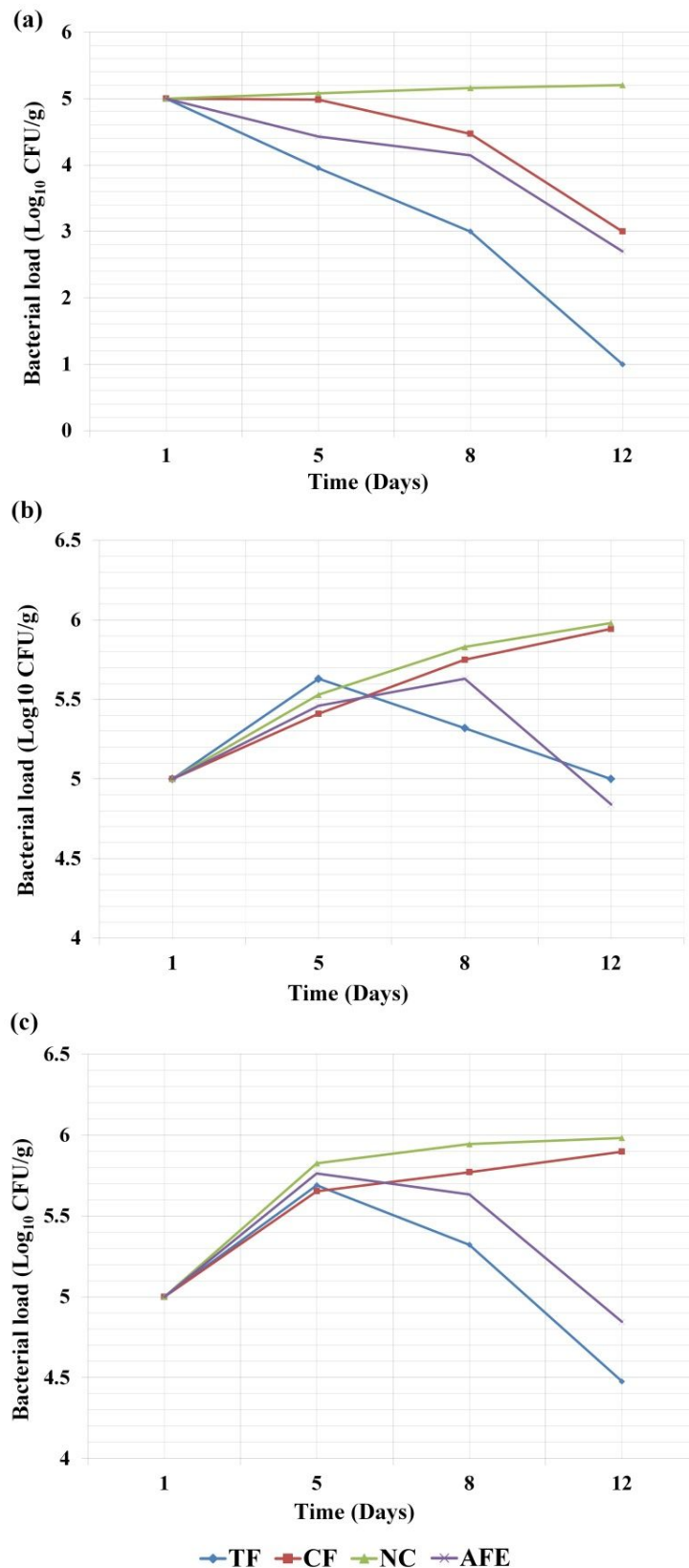


Figure 3. Change of bacterial count during cold storage of sardine samples: (a) *S. aureus*; (b) *P. aeruginosa*; (c) *L. monocytogenes*, (TF: Tested film with AFE, CF: Control film, NC: Negative control, AFE: *Arbutus fruit extract*). CV in the range 8-10%.