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The presence of gastroesophageal reflux disease increases the risk of developing post-operative shoulder stiffness after arthroscopic rotator cuff repair.

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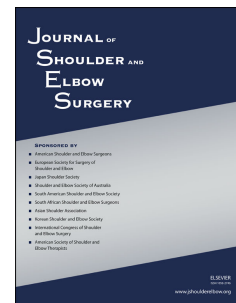
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# **The presence of gastroesophageal reflux disease increases the risk of developing post-operative shoulder stiffness after arthroscopic rotator cuff repair.**

*Running title: Shoulder stiffness and gastroesophageal reflux disease*

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Conflicts of interest: None

### **Informed consent**

Informed consent was obtained from all individual participants included in the study

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. The study protocol was approved by the Regional Ethical Committee (authorization number Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico - Milano Area 2, Lombardia, Milan, n°123/2017, Milan, 27-02-2017).

**The presence of gastroesophageal reflux disease increases the risk of developing postoperative shoulder stiffness after arthroscopic rotator cuff repair.**

**Purpose:** Postoperative shoulder stiffness (SS) after arthroscopic rotator cuff (RC) repair has been reported with variable incidence and numerous preoperative risk factors have been described. This prospective study aims to document the incidence of postoperative SS and to evaluate the role of preoperative risk factors in the development of this complication, with special focus on the role of gastroesophageal reflux disease (GERD).

**Methods:** Preoperative risk factors for SS were prospectively evaluated in 237 consecutive patients undergoing single-row arthroscopic RC repair. The presence of GERD was evaluated with the GerdQ diagnostic tool. Postoperative SS was diagnosed according to the criteria described by Brislin and colleagues in 2007.

**Results:** The incidence of postoperative SS was 8.02%. The presence of GERD was significantly associated with development of postoperative SS (OR: 5.265; 95% CI, 1.657-1.731;  $p=0.005$ ). Older age (OR: 0.896; 95% CI, 0.847-0.949;  $p<0.001$ ), male gender (OR: 0.126; 95% CI, 0.0252-0.632;  $p=0.012$ ) and number of pregnancies (OR: 0.47; 95% CI, 0.228-0.967;  $p=0.040$ ) emerged as protective factors.

**Conclusions:** The presence of GERD significantly influences the development of postoperative SS after single-row arthroscopic RC repair. An underlying a specific pro-inflammatory condition, characterized by increased expression of TNF- $\alpha$  and TGF- $\beta$ , and disorders in retinoid metabolism are hypothesis which could explain this previously unknown association. The documented incidence of postoperative SS falls among previously reported ranges, with females significantly more affected than men.

**Level of Evidence:** Level II; Prospective Cohort Design; Treatment Study

**Keywords:** shoulder stiffness; arthroscopy; range of motion; complication; rotator cuff; gastroesophageal reflux disease

29

30 Shoulder stiffness (SS) is defined as a painful restriction in active and passive glenohumeral joint  
31 range of motion (ROM) and its occurrence after shoulder arthroscopy for rotator cuff (RC) repair  
32 has been reported with variable incidence in literature <sup>2,26</sup>.

33 Numerous risk factors for both primary and secondary SS have been described and different  
34 possible etiologies have been reported for postoperative SS, which is considered a subgroup of  
35 secondary SS <sup>14,24</sup>. However, few studies were specifically designed to investigate how preoperative  
36 conditions may affect the incidence of postoperative SS <sup>4</sup>. Furthermore, a recent publication  
37 described for the first time a possible role of gastroesophageal diseases in increasing the risk of  
38 developing postoperative SS – even though this study had a retrospective design, an unspecific  
39 primary goal and subsequently a limited statistical power <sup>15</sup>. This association was never reported in  
40 literature before and to confirm or deny it could have relevant clinical implications. The goals of  
41 this study are to document the incidence of postoperative SS in a cohort of consecutive patients who  
42 underwent shoulder arthroscopy for single-row RC repair and to evaluate the role of preoperative  
43 risk factors in the development of postoperative SS, with special focus in evaluating if the presence  
44 of gastroesophageal reflux disease (GERD) is associated with the development of postoperative SS.

45

## 46 **Materials and Methods**

### 47 *Study design*

48 The primary aim of this study was to test the hypothesis that the presence of GERD was associated  
49 with a higher rate of postoperative SS after arthroscopic single-row RC repair.

50 Secondary goals were to document the incidence of postoperative SS and to evaluate the role of  
51 other previously described preoperative conditions as risk factors in the development of  
52 postoperative SS after arthroscopic RC repair.

53 Prior to study begin, a literature review was performed to identify the preoperative risk factors  
54 possibly involved in the development of SS. After literature review, the following factors were

55 selected for investigation: age, body mass index (BMI), sex, dominant side, smoking habits,  
56 diabetes mellitus, hypertension, GERD, chronic obstructive pulmonary disease (COPD), anxiety or  
57 depressive disorders, hyperthyroidism, hypothyroidism, dislipidemia, hypercholesterolemia. For  
58 female patients, specific attention was paid to menarche age, menopausal age, pregnancies,  
59 miscarriages and treatment with any hormonal therapy.

60 Furthermore, previous publications were consulted to select a suitable set of criteria to define the  
61 presence of postoperative SS, among the numerous available <sup>2</sup>. The criteria described by Brislin et  
62 al were selected for this study, since they refer to a fixed postoperative follow-up time point,  
63 provide a rigorous and reproducible definition of each item and do not consider patient's  
64 satisfaction as a rule-out criterion, which is expected to reduce the number of false negatives <sup>6</sup>.

65 These criteria are reported in Table 1.

66 The study protocol was approved by the Regional Ethical Committee (authorization number  
67 Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico - Milano Area 2, Lombardia, Milan,  
68 n°123/2017, Milan, 27-02-2017).

69

#### 70 ***Enrolment, preoperative evaluation***

71 Patients who referred to our institution to undergo surgery for arthroscopic RC repair for  
72 degenerative posterosuperior RC tears were assessed for eligibility. Preoperative exclusion criteria  
73 were previous history of trauma, and presence of unequivocally diagnosed concomitant disorders of  
74 the shoulder, including glenohumeral arthritis, fracture, osteonecrosis or infection. Intra-operative  
75 exclusion criteria were the presence of an isolated subscapularis tear and the use of a double-row  
76 repair technique.

77 The presence of the aforementioned risk factors was evaluated with a detailed and targeted patient's  
78 medical history supported by the evaluation of the clinical records. The preoperative presence of  
79 GERD was evaluated with a specific diagnostic tool, the "GerdQ" questionnaire <sup>27</sup>. This is a  
80 patient-centered, self-assessment questionnaire developed in a large international study performed

in a primary care population presenting with upper gastrointestinal symptoms. The GerdQ has a diagnostic accuracy similar to that of a gastroenterologist supported by endoscopy and esophageal pH monitoring and is therefore recommended to diagnose GERD without initial specialist referral or endoscopy<sup>27</sup>.

### ***Operative and perioperative procedures***

Surgery was performed under sedation and brachial plexus block with the patient in a lateral decubitus position, with the upper limb kept at about 30° of abduction and 30° of flexion. Diagnostic arthroscopy was performed from standard posterior, midglenoid and lateral portals; the size of the tear was classified according to the Southern California Orthopaedic Institute (SCOI) classification<sup>59</sup>. The tendon was repaired by use of double- or triple-loaded suture anchors (Super Revo® FT and ThRevo® FT Suture Anchors, Conmed, Utica, NY, USA). A standard single-row suture anchor repair was used in all included patients. Acromioplasty was performed with Sampson's cutting block technique in patients with type 2 or 3 acromial morphology according to Bigliani's classification<sup>3</sup>. All the patients were operated by a single surgeon (P.S.R.). Pantoprazol (40 mg) was administered once a day postoperatively for 20 days to all patients, as part of the standardized institution's internal protocols. Patients were discharged the day after the operation wearing a sling (Ultrasling II; Don Joy, Carlsbad, CA, USA) and instructed to wear it day and night for 28 days, allowing to remove it to eat, perform personal hygiene and early self-assisted light passive ROM exercises as well as mobilization of the elbow and scapulothoracic joint. From the 29<sup>th</sup> day, patients began formal passive rehabilitation assisted by a dedicated physical therapist to recover the full ROM of the shoulder joint and began active training once a satisfactory passive ROM was reached. From the end of the second month the main focus of the physical therapy was to regain full muscle strength.

### ***Postoperative evaluation***

107 As part of the standardized institution's protocols after arthroscopic rotator cuff repair, routine  
108 clinical evaluation was scheduled one, three and six months after surgery. Follow-up was extended  
109 beyond this time point only for symptomatic patients. Postoperative SS was diagnosed according to  
110 the criteria described by Brislin et al, when one of the following was present (Table 1): total passive  
111 external rotation with the arm at the side of less than 10°, total passive external rotation with the  
112 arm in 90° abduction of less than 30°, or total passive forward flexion of less than 100°. The  
113 diagnosis of stiffness was made only when these motion deficits persisted for at least 90 days  
114 postoperatively<sup>6</sup>.

115 In patients diagnosed with postoperative SS, recommendations to reduce pain-generating  
116 rehabilitation exercises was given, as well as encouragement to perform physiotherapist-assisted  
117 mobilization, stretching and exercises for deltoid and rotator cuff activation, always outside the pain  
118 range and associated with deep myofascial massage. Furthermore, according to SS severity and  
119 patients' comorbidities, cortisone therapy was initiated, either as up to three repeated injections of  
120 40 mg Triamcinolone acetonide or as oral therapy with Methylprednisolone (8 mg each day for 4  
121 days, followed by 4 mg each day for 15 days and then 4 mg every second day for 30 days,  
122 associated with the prolongation of pantoprazole coverage for 60 days).

### 123 Statistical analysis

124 A power analysis prior to study begin indicated that a minimal sample size of 236 patients was  
125 sufficient to test the hypothesis that the prevalence of GERD is double among the patients who  
126 develop SS as compared to that among the patients who do not develop SS, assuming a prevalence  
127 of GERD in the overall European population of 15%<sup>51</sup> and an incidence of postoperative SS of  
128 10%<sup>6</sup>.

129 Statistical analysis (A.M.) was performed using GraphPad Prism v 6.0 software (GraphPad  
130 Software Inc.). Continuous variables were expressed as the mean  $\pm$  standard deviation (SD) or  
131 medians and first and third quartiles [Q1 - Q3], as appropriate. The Shapiro-Wilk normality test was  
132 used to evaluate the normal distribution of the sample and, if the null hypothesis of this test could



not be rejected, the non-parametric Mann-Whitney test (U test) was applied for the analysis of the samples. Variables with a Gaussian distribution were analyzed with Student's t-test. Categorical variables are expressed in numbers of cases and frequencies; their differences were tested using with the chi-square test or Fisher's exact test. Variables significant at univariate analysis were inserted in a multivariable logistic regression model to correct for confounding and avoid multiple test correction and to estimate multivariate odds ratios (ORs) for evaluating the association between covariates and postoperative SS. For all analyses, the significance level was set at p-value lower than 0.05.

141

## 142 Results

Four hundred four patients were considered eligible and 237 patients were included in the final analysis. A flow diagram illustrates the grouping and flow of patients in our clinical study (Figure 1). Patients' demographics are reported in Table 2.

The incidence of postoperative SS in the study population was 8.02% (95% CI:4.90-12.24) and all but two of the patients diagnosed with postoperative SS were women ( $p=0.0005$ ) (Table 2). Age at surgery was significantly lower in the population with postoperative SS ( $p=0.0051$ ).

Univariate analysis on the whole study population (Table 3) revealed a significant association between the development of postoperative SS and the presence of GERD ( $p=0.0026$ ) as well as the presence of anxiety or depression ( $p=0.0305$ ).

152

A multivariable logistic regression model could confirm GERD (OR: 5.265; 95% CI, 1.657-1.731;  $p=0.005$ ) and number of miscarriages (OR: 4.002; 95% CI, 1.154-13.887;  $p=0.029$ ) as significant risk factors associated with the development of postoperative SS, whereas older age (OR: 0.896; 95% CI, 0.847-0.949;  $p<0.001$ , male gender (OR: 0.126; 95% CI, 0.0252-0.632;  $p=0.012$ ) and number of pregnancies (OR: 0.47; 95% CI, 0.228-0.967;  $p=0.040$ ) emerged as protective factors (Table 4, Figure 2).

159 No statistically significant associations were found between the presence of any of the other  
160 examined potential risk factors and postoperative SS.

161 Of the 19 patients who developed postoperative SS, only five (26%) presented complete remission  
162 of the symptoms six months post operatively. One year after surgery, all but two of the initially  
163 symptomatic patients fully recovered. SS was treated with appropriate modifications of the  
164 rehabilitation protocol, combined with oral or intra-articular corticosteroid administration in 79 %  
165 of the symptomatic cases.

166

## 167 **Discussion**

168 The most relevant finding of this study is that patients affected by GERD are at higher risk of  
169 developing postoperative SS after arthroscopic single-row RC repair. Furthermore, the results of  
170 this study confirm the data previously published on the incidence of postoperative SS and the  
171 association between female sex and the development of this complication. Finally, previously  
172 unpublished association between postoperative SS and the presence of anxiety or depression  
173 emerged from this prospective cohort.

174 Codman first used the term “frozen shoulder” to describe “many conditions which cause spasm of  
175 the short rotators or adhesions about the joint or bursae”<sup>13</sup>. More recently, the Upper Extremity  
176 Committee of ISAKOS discouraged the generic use of the terms “frozen shoulder” and “adhesive  
177 capsulitis”, recommending using etiology-based definitions: primary idiopathic SS, or frozen  
178 shoulder, which develops without any trauma or specific shoulder disease and secondary SS if a  
179 known cause is recognized<sup>26</sup>. Postoperative SS is a subgroup of secondary SS, for which various  
180 different definitions have been proposed<sup>2</sup>. Historically, SS was considered one of the most  
181 devastating complications of shoulder surgeries, especially after open procedures and after  
182 prolonged periods of immobilization<sup>22</sup>.

183 Nowadays, arthroscopic RC repair is accepted as gold standard in surgical treatment of most RC  
184 tears<sup>48</sup>, having proven to be effective and safe, with a high clinical success rate that is durable over

time<sup>50</sup>; however, numerous possible complications have been described, including postoperative SS. The frequency of postoperative SS varies widely in literature, partly depending on the selected study population and on the rehabilitation protocol, and partly owing to the lack of common criteria used to define postoperative SS<sup>2,61</sup>. SS is a commonly reported complication after arthroscopic RC repair, with rates ranging from 1.5 % to 11.1 %<sup>49</sup>. Huberty et al published the up-to-date largest consecutive series available indicating a rate of postoperative SS of 4.9 %<sup>24</sup>. In the present cohort, the incidence of postoperative SS was higher than in the study by Huberty et al<sup>24</sup>; this could be related to the fact that a stricter definition of SS was used in this study<sup>6</sup>, which encompasses both patients dissatisfied and satisfied with a their ROM, as opposed to the less strict “patients’ dissatisfaction with their range of motion” chosen by Huberty et al which is likely to rule out stiff but satisfied patients<sup>24</sup>.

Numerous risk factors have been related to the occurrence of primary and secondary SS; however, just a small number of publications analyzed the role of preoperative risk factors in the development of postoperative SS after shoulder surgery<sup>4,11,38,56</sup>. A possible role of gastroesophageal diseases in increasing the risk of developing postoperative SS was suggested in a recent study, however biased by its retrospective design and the low statistical power<sup>15</sup>. Therefore, special attention was paid in the present study to address prospectively the presence of GERD in a simple but effective way, using a validated diagnostic tool, the GerdQ. The diagnostic accuracy of this self-assessment questionnaire was tested in a large international study and appeared to be similar to that of a gastroenterologist supported by endoscopy and esophageal pH monitoring: this makes the GerdQ questionnaire recommended to diagnose GERD in a primary care population, without specialist referral or endoscopy<sup>27</sup>. As a consequence, this study could demonstrate that a significant association between the presence of GERD and the development of postoperative SS exists. However, the biological reasons for this association remain unknown. An inflammatory cascade involving synovial cells and capsular fibroblasts and resulting in fibrosis is likely to lie behind the development of SS, with recent studies confirming the presence of several inflammatory mediators

211 within the joints of patients with SS <sup>9,30,31,34</sup>. This inflammatory-fibrotic cascade is triggered by  
212 over-expression of transforming growth factor-beta (TGF- $\beta$ ), tumor necrosis factor-alpha (TNF- $\alpha$ )  
213 and other cytokines <sup>36,37</sup>, and leads first to a fibroblastic hyperplasia of the synovium, then to a  
214 phenotypic shift of fibroblasts to myofibroblasts and an imbalance in matrix metalloproteinases  
215 (MMPs) homeostasis; this eventually leads to the alterations of the connective tissue in the  
216 glenohumeral capsule typical of the final stage of the disease <sup>36</sup>. In some clinical conditions  
217 associated to increased risk of both primary and postoperative SS <sup>4</sup>, TGF- $\beta$  and TNF- $\alpha$  are hyper-  
218 expressed: diabetes mellitus is associated with increased TGF- $\beta$ 1 and TNF- $\alpha$  serum concentrations  
219 <sup>8,28,47</sup> and subclinical hypothyroidism with high serum levels of TGF- $\beta$ 1, markers of endothelial  
220 dysfunction <sup>1</sup> and, in experimental models, with high TNF- $\alpha$  serum concentrations <sup>25</sup>. Furthermore,  
221 gene polymorphisms of TGF- $\beta$ 1, interleukin 6 and MMPs have been associated to increased  
222 susceptibility to SS and other fibrotic diseases <sup>38,39</sup>. Changes in proteins related to inflammation and  
223 tissue homeostasis have been identified also in patients affected by GERD <sup>10,29,46,58</sup>. These  
224 evidences support the hypothesis that an underlying a specific pro-inflammatory condition,  
225 characterized by increased expression of TNF- $\alpha$  and TGF- $\beta$ , could represent a “phenotypic pattern”  
226 underlying to multiple diseases and represent itself a predisposing risk factor for the development of  
227 SS <sup>14,45</sup>.

228 Another possible hypothesis to explain the relation between GERD and SS is related to  
229 malabsorption and retinoid metabolism. Hagiwara et al recently demonstrated that processes related  
230 to retinoid metabolism and lipid metabolism were downregulated in the inferior glenohumeral  
231 ligament of patients affected by primary SS <sup>20</sup>. Since all-*trans*-retinoic acid has the potential to  
232 inhibit chondrogenic cell differentiation <sup>42</sup>, the authors postulate that a decrease in retinoid  
233 metabolism could accelerate chondrogenesis and subsequently development of SS <sup>20</sup>. However, if  
234 the presence of GERD is sufficient to negatively affect absorption of Vitamin A in a way that can  
235 indirectly affect a metabolic pattern in a specific region of the shoulder joint is currently not proven,  
236 so that this remains an intriguing hypothesis which requires further studies to be confirmed.

237 This newly described association between GERD and postoperative SS has an important clinical  
238 relevance and this suggests evaluating the presence of this condition prior to surgery. Considering  
239 that the GerdQ is a short and simple questionnaire, with a high diagnostic accuracy, its use in the  
240 preoperative evaluation could easily help identifying patients at risk, for whom a closer monitoring  
241 of the postoperative rehabilitation would be recommended. Furthermore, stratification of  
242 preoperative patient risk could help differentiating physical therapy and pharmacological treatment  
243 protocols in a preventive way between patients with high and low risk of developing postoperative  
244 SS.

245 The role of a perioperative prophylaxis with proton-pump inhibitors was not addressed in the  
246 present study, since the administration of Pantoprazol (40 mg once a day postoperatively for 20  
247 days) already belonged to the standardized institution's postoperative protocols and the study design  
248 did not require changing this. The national recommendation for prescription of this medication has  
249 been meanwhile restricted to high risk patients (age > 65, prior ulcers, aspirin use, high dose or  
250 combined use of different NSAIDs or NSAIDs and antiplatelet or anticoagulant drug), so that  
251 routine administration is not considered necessary after arthroscopic shoulder surgery unless legal  
252 reasons guide this choice<sup>54,55</sup>.

253 Furthermore, in the authors' hypothesis it is the presence of GERD itself as a disease (with its  
254 underlying pro-inflammatory condition) that affects the development of postoperative SS, rather  
255 than the presence of its symptoms: therefore, it is regarded as unlikely that this medication could  
256 play a role in preventing postoperative SS, since proton-pump inhibitors act as a symptomatic  
257 treatment, blocking the final step of the pathologic events in GERD<sup>57</sup>.

258

259 Besides to the main study goal, this study also revealed that patients who developed postoperative  
260 SS were younger than those who did not, which is in accordance with the data reported by Huberty  
261 et al<sup>24</sup>, but contrasting with other more recent publications<sup>11,43,56</sup>. In the present series, no  
262 significant difference was found between incidence of postoperative SS in patients with and without

263 diabetes mellitus, thyroid diseases, lipid metabolism disorders, although all these diseases have  
264 been reported to associate with primary and secondary SS<sup>4,7,23,35,41,52,62,64,67</sup>. Finally, a female  
265 predominance in the SS group, already described by numerous authors, was confirmed in the  
266 present study, suggesting that sexual hormones may contribute to SS etiology<sup>21,41,65</sup>.

267 Rehabilitation also plays an important role in regaining ROM and function after arthroscopic RC  
268 repair. Ideally, the most efficacious postoperative rehabilitation program is one that protects the  
269 repair allowing for optimal tendon-to-bone healing, simultaneously restoring motion and strength.  
270 Efforts to avoid stiffness led to two relevant advancements in current rehabilitation protocols: first,  
271 postoperative positioning of the arm in a brace in light abduction, which can help to keep the  
272 inferior glenohumeral joint capsule stretched out, avoiding early contracture. Second,  
273 encouragement of an early passive range of motion protocol, which was believed to reduce the rate  
274 of postoperative SS<sup>19</sup>. Nevertheless, too-rapid advancing motion protocols could lead to an  
275 inflammatory response, increasing the risk of postoperative adhesions and producing strain on the  
276 RC with potential higher risk of retear<sup>43,44,60</sup>, and the clinical superiority of strict early passive  
277 ROM protocols is debated<sup>12,40,53</sup>. Therefore, current recommendation advise tailoring rehabilitation  
278 on intra-operative conditions as well as patients' pain during therapy<sup>33,63</sup>. The protocol used in the  
279 current study included the use of an abduction sling and patient-tailored rehabilitation.

280 This study has some limitations: first of all, it was primarily focused on the evaluation of  
281 preoperative risk factors in the development of postoperative SS, therefore, surgery-related  
282 variables and the role of rehabilitation in development of postoperative SS were not investigated.  
283 Previous reports identified that tears less than 3 cm in diameter, partial articular-sided tears, calcific  
284 tendinosis, concomitant labral repair, single tendon repair and open surgery are potential risk factors  
285 for the development of SS after RC repair<sup>6,11,24,26,43,56</sup>.

286 Secondly, the diagnosis of SS was based on merely clinical criteria. Although this is widely  
287 accepted in literature, the lack of common criteria to define SS makes comparison among outcomes

from different studies difficult; imaging modalities could in the future be used to help to confirm the diagnosis<sup>66</sup>.

## Conclusions

The presence of GERD is significantly associated with the development of postoperative SS after single-row arthroscopic RC repair. An underlying a specific pro-inflammatory condition, characterized by increased expression of TNF- $\alpha$  and TGF- $\beta$  and disorders in retinoid metabolism, could explain this previously unknown association. The incidence of postoperative SS encountered in this study falls within previously reported ranges, with females being significantly more affected than men.

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508

509 **Legends**510 **Figure 1.** Flow diagram of the study.

511 **Figure 2.** Relevant factors influencing the development of postoperative shoulder stiffness after  
512 rotator cuff repair according to the multivariate analysis. Left column: risk factors: female  
513 gender (A), GERD (C), number of miscarriages (E). Right column: protective factors: older  
514 age (B), number of pregnancies (D). For all analyses, the significance level was set at P  
515 values < 0.05: \*: P < 0.05; \*\*: P < 0.01; \*\*\* P < 0.001.

516

517 Table 1: Diagnostic criteria for postoperative shoulder stiffness, adapted from Brislin et al. <sup>6</sup>.

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519 Table 2: Patients' demographics.

520

521 Table 3: Summary of the main results of the univariate analysis for the study population.

522

523 Table 4. Odds Ratios and 95% confidence intervals of significant risk factors for the development  
524 of postoperative shoulder stiffness in the study population and in the subgroup of female patients  
525 (\*) calculated by a multivariable logistic regression model on variables significant at univariate  
526 analysis.

527

**Table 1: Diagnostic criteria for post-operative shoulder stiffness, adapted from Brislin et al. <sup>6</sup>.**

One of the following deficit present for at least 90 days post-operatively:	
Total passive external rotation with the arm at the side	Less than 10°
Total passive external rotation with the arm in 90° abduction	Less than 30°
Total passive forward flexion	Less than 100°

**Table 2: Patients' demographics.**

Group	Overall	Post-operative SS <sup>+</sup>	Post-operative SS <sup>-</sup>	<i>p-value</i>
Age (years)	59.5 [52.9-66.7]	53.3 (± 9.8)	60.0 [53.2-67.2]	<b>0.0051</b>
BMI (kg/m <sup>2</sup> )	25.7 (± 3.6)	24.3 (± 4.1)	25.7 (± 3.6)	0.1144 ( <i>n.s.</i> )
Gender (F/M ratio)	0.51/0.49	0.89/0.11	0.48 /0.52	<b>0.0005</b>
Dominant side (L/R ratio)	0.04 /0.96	0.05 /0.95	0.04 /0.96	0.5737 ( <i>n.s.</i> )
Lesion dimension (< 1cm/≥1 cm)	0.48/0.52	0.47/0.53	0.48/0.52	1.0000 ( <i>n.s.</i> )

Continuous variables were expressed as mean ± standard deviation (SD) or as median and interquartile range (first and third quartiles, Q1-Q3), as appropriate, while the dichotomous variables are expressed in numbers of cases and frequencies. BMI: body mass index; F/M: female/male; L/R: left/right; *n.s.*: not significant; SS <sup>+</sup>: post-operative shoulder stiffness; SS <sup>-</sup>: no post-operative shoulder stiffness.



**Table 3: Summary of the main results of the univariate analysis for the study population.**

Group	Overall	Post-operative SS <sup>+</sup>	Post-operative SS <sup>-</sup>	<i>p-value</i>
Number of patients per subgroup	237	19	218	
Surgery on dominant side	149 (62.9%)	8 (42.1%)	141 (64.7%)	<i>0.0804 (n.s.)</i>
Pre-operative shoulder stiffness	9 (3.8%)	1 (5.3%)	8 (3.7%)	<i>0.5350 (n.s.)</i>
Relatives with shoulder stiffness	20 (8.4%)	3 (15.8%)	17 (7.8%)	<i>0.2071 (n.s.)</i>
Smoking	112 (47.2%)	5 (26.3%)	107 (49.1%)	<i>0.0913 (n.s.)</i>
DM	23 (9.7%)	1 (5.3%)	22 (10.1%)	<i>0.7032 (n.s.)</i>
Relatives with DM	78 (32.9%)	5 (26.3%)	73 (33.5%)	<i>0.6177 (n.s.)</i>
Hypertension	88 (37.1%)	4 (21.1%)	84 (38.5%)	<i>0.1463 (n.s.)</i>
GERD	44 (18.6%)	9 (47.4%)	35 (16.1%)	<b><i>0.0026</i></b>
COPD	9 (3.8%)	0 (0.0%)	9 (4.1%)	<i>1.0000 (n.s.)</i>
Depression or anxiety	24 (10.1%)	5 (26.3%)	19 (8.7%)	<b><i>0.0305</i></b>
Hyperthyroidism	2 (0.8%)	1 (5.3%)	1 (0.5%)	<i>0.1542 (n.s.)</i>
Hypothyroidism	33 (13.9%)	3 (15.8%)	30 (13.8%)	<i>0.7243 (n.s.)</i>
Dyslipidaemia	24 (10.1%)	2 (10.5%)	22 (10.1%)	<i>1.0000 (n.s.)</i>
Hypercholesterolemia	57 (24.1%)	5 (26.3%)	52 (23.9%)	<i>0.7835(n.s.)</i>

Dichotomous variables are expressed as number of cases with investigated condition (frequency of the investigated condition in the subgroup, %). COPD: chronic obstructive pulmonary disease; DM: diabetes mellitus; GERD: gastroesophageal reflux disease; n.s.: not significant; SS <sup>+</sup>: post-operative shoulder stiffness; SS <sup>-</sup>: no post-operative shoulder stiffness; Y/N: yes/no.

**Table 4. Odds Ratios and 95% confidence intervals of significant risk factors for the development of post-operative shoulder stiffness in the study population and in the subgroup of female patients (\*) calculated by a multivariable logistic regression model on variables significant at univariate analysis.**

	<b>Odds Ratio</b>	<b>[95% CI]</b>	<b><i>p-value</i></b>
<b>Male gender</b>	.126	.0252 - .632	<b>0.012</b>
<b>Older age</b>	.896	.847 - .949	<b>&lt; 0.001</b>
<b>Presence of GERD</b>	5.265	1.657 - 16.731	<b>0.005</b>
<b>Depression or anxiety</b>	2.085	.561 - 7.743	<i>0.272 (n.s.)</i>
<b>Number of pregnancies*</b>	.470	.228 - .967	<b>0.040</b>
<b>Number of miscarriages*</b>	4.002	1.154 - 13.887	<b>0.029</b>
<b>Menopause*</b>	1.375	.259 - 7.300	<i>0.708 (n.s.)</i>

\*: multivariate analysis performed only on the subgroup of female patients.

CI: confidence interval; GERD: gastroesophageal reflux disease; n.s.: not significant.

