

The path to a better biomarker: application of a risk management framework for the implementation of PD-L1 and TILs as immuno-oncology biomarkers in breast cancer clinical trials and daily practice

Paula I Gonzalez-Ericsson^{1*}, Elisabeth S Stovgaard², Luz F Sua³, Emily Reisenbichler⁴, Zuzana Kos⁵, Jodi M Carter⁶, Stefan Michiels⁷, John Le Quesne^{8,9}, Torsten O Nielsen¹⁰, Anne-Vibeke Lænkholm¹¹, Stephen B Fox^{12,13}, Julien Adam¹⁴, John MS Bartlett^{15,16}, David L Rimm⁴, Cecily Quinn¹⁷, Dieter Peeters^{18,19}, Maria V Dieci^{20,21}, Anne Vincent-Salomon²², Ian Cree²³, Akira I Hida²⁴, Justin M Balko^{1,25,26}, Harry R Haynes^{27,28}, Isabel Frahm²⁹, Gabriela Acosta-Haab³⁰, Marcelo Balancin³¹, Enrique Bellolio³², Wentao Yang³³, Pawan Kirtani³⁴, Tomoharu Sugie³⁵, Anna Ehinger³⁶, Carlos A Castaneda³⁷, Marleen Kok³⁸, Heather McArthur³⁹, Kalliopi Siziopikou⁴⁰, Sunil Badve⁴¹, Susan Fineberg⁴², Allen Gown⁴³, Giuseppe Viale^{44,45}, Stuart J Schnitt^{46,47}, Giancarlo Pruner^{48,49}, Frederique Penault-Llorca⁵⁰, Stephen Hewitt⁵¹, E Aubrey Thompson⁵², Kimberly H Allison⁵³, William F Symmans⁵⁴, Andrew M Bellizzi⁵⁵, Edi Brogi⁵⁶, David A Moore⁵⁷, Denis Larsimont⁵⁸, Deborah A Dillon⁴⁶, Alexander Lazar⁵⁴, Huangchun Lien⁵⁹, Matthew P Goetz⁶⁰, Glenn Broeckx⁶¹, Khalid El Bairi⁶², Nadia Harbeck⁶³, Ashley Cimino-Mathews⁶⁴, Christos Sotiriou⁶⁵, Sylvia Adams⁶⁶, Shi-wei Liu⁶⁷, Sibylle Loibl⁶⁸, I-Chun Chen⁶⁹, Sunil R Lakhani⁷⁰, Jonathan W Juco⁷¹, Carsten Denkert⁷², Elizabeth F Blackley⁷³, Sandra Demaria⁷⁴, Roberto Leon-Ferre⁶⁰, Oleg Gluz⁷⁵, Dimitrios Zardavas⁷⁶, Kenneth Emancipator⁷¹, Scott Ely⁷⁷, Sherene Loi^{13,78}, Roberto Salgado^{78,79}, and Melinda Sanders^{1,26*}, on behalf of the International Immuno-Oncology Biomarker Working Group

¹ Breast Cancer Research Program, Vanderbilt University Medical Center, Nashville, TN, USA

² Department of Pathology, Herlev and Gentofte Hospital, University of Copenhagen, Herlev, Denmark

³ Department of Pathology and Laboratory Medicine, Fundación Valle del Lili, and Faculty of Health Sciences, Universidad ICESI, Cali, Colombia

⁴ Department of Pathology, Yale School of Medicine, New Haven, CT, USA

⁵ Department of Pathology, BC Cancer Agency, Vancouver, Canada

⁶ Department of Laboratory Medicine and Pathology, Mayo Clinic, Rochester, MN, USA

⁷ Biostatistics and Epidemiology Service, Centre de Recherche en Épidémiologie et Santé des Populations, Gustave Roussy, Université Paris-Sud, Villejuif, France

⁸ Leicester Cancer Research Centre, University of Leicester, Leicester, UK

⁹ MRC Toxicology Unit, University of Cambridge, Leicester, UK

¹⁰ Department of Pathology and Laboratory Medicine, University of British Columbia, Vancouver, Canada

¹¹ Department of Surgical Pathology, Zealand University Hospital, Roskilde, Denmark

¹² Department of Pathology, Peter MacCallum Cancer Centre, Melbourne, Australia

¹³ Sir Peter MacCallum Department of Oncology, University of Melbourne, Parkville, Australia

¹⁴ Department of Pathology, Gustave Roussy, Grand Paris, France

¹⁵ Ontario Institute for Cancer Research, Toronto, Canada

¹⁶ Edinburgh Cancer Research Centre, Institute of Genetics and Molecular Medicine, Edinburgh, UK

¹⁷ Department of Pathology, St Vincent's University Hospital and University College Dublin, Dublin, Ireland

¹⁸ HistoGeneX NV, Antwerp, Belgium

¹⁹ AZ Sint-Maarten Hospital, Mechelen, Belgium

²⁰ Department of Surgery, Oncology and Gastroenterology, University of Padova, Padova, Italy

²¹ Medical Oncology 2, Istituto Oncologico Veneto – IRCCS, Padova, Italy

²² Department of Pathology, Institut Curie, Paris, France

²³ International Agency for Research on Cancer (IARC), World Health Organization, Lyon, France

²⁴ Department of Pathology, Matsuyama Shimin Hospital, Matsuyama, Japan

²⁵ Department of Medicine, Vanderbilt University Medical Center, Nashville, TN, USA

²⁶ Department of Pathology, Microbiology and Immunology, Vanderbilt University Medical Center, Nashville, TN, USA

²⁷ Department of Cellular Pathology, North Bristol NHS Trust, Bristol, UK

²⁸ Translational Health Sciences, University of Bristol, Bristol, UK

²⁹ Department of Pathology, Sanatorio Mater Dei, Buenos Aires, Argentina

³⁰ Department of Pathology, Hospital de Oncología María Curie, Buenos Aires, Argentina

³¹ Department of Pathology, Faculty of Medicine, University of São Paulo, São Paulo, Brazil

³² Department of Pathology, Universidad de La Frontera, Temuco, Chile

³³ Department of Pathology, Fudan University Shanghai Cancer Centre, Shanghai, PR China

³⁴ Department of Histopathology, Manipal Hospitals Dwarka, New Delhi, India

³⁵ Breast Surgery, Kansai Medical University Hospital, Hirakata, Japan

³⁶ Department of Clinical Genetics and Pathology, Skane University Hospital, Lund University, Lund, Sweden

³⁷ Department of Medical Oncology, Instituto Nacional de Enfermedades Neoplásicas, Lima, Peru

³⁸ Divisions of Medical Oncology, Tumor Biology & Immunology, The Netherlands Cancer Institute, Amsterdam, The Netherlands

³⁹ Medical Oncology, Department of Medicine, Cedars-Sinai Medical Center, Los Angeles, CA, USA

- ⁴⁰ Department of Pathology, Breast Pathology Section, Northwestern University, Chicago, IL, USA
- ⁴¹ Department of Pathology and Laboratory Medicine, Indiana University, Indianapolis, IN, USA
- ⁴² Department of Pathology, Montefiore Medical Center and the Albert Einstein College of Medicine, Bronx, NY, USA
- ⁴³ PhenoPath Laboratories, Seattle, WA, USA
- ⁴⁴ Department of Pathology, Istituto Europeo di Oncologia IRCCS, Milan, Italy
- ⁴⁵ University of Milan, Milan, Italy
- ⁴⁶ Department of Pathology, Dana-Farber Cancer Institute, Boston, MA, USA
- ⁴⁷ Department of Pathology, Brigham and Women's Hospital and Harvard Medical School, Boston, MA, USA
- ⁴⁸ Department of Pathology, IRCCS Fondazione Istituto Nazionale Tumori, Milan, Italy
- ⁴⁹ Department of Biology and Pathology, Centre Jean Perrin, Clermont Ferrand, France
- ⁵⁰ UMR INSERM 1240, Université Clermont Auvergne, Clermont Ferrand, France
- ⁵¹ Experimental Pathology Laboratory, Laboratory of Pathology, Center for Cancer Research, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA
- ⁵² Department of Cancer Biology, Mayo Clinic, Jacksonville, FL, USA
- ⁵³ Department of Pathology, Stanford University, Stanford, CA, USA
- ⁵⁴ Department of Pathology, Division of Pathology and Laboratory Medicine, The University of Texas, MD Anderson Cancer Center, Houston, TX, USA
- ⁵⁵ Department of Pathology, University of Iowa Hospitals and Clinics, Iowa City, IA, USA
- ⁵⁶ Department of Pathology, Memorial Sloan Kettering Cancer Center, New York, NY, USA
- ⁵⁷ CRUK Lung Cancer Centre of Excellence, UCL Cancer Institute, and Department of Cellular Pathology, UCLH, London, UK
- ⁵⁸ Department of Pathology, Institut Jules Bordet, Université Libre de Bruxelles, Brussels, Belgium
- ⁵⁹ Graduate Institute of Pathology, National Taiwan University, Taipei, Taiwan
- ⁶⁰ Department of Oncology, Mayo Clinic, Rochester, MN, USA
- ⁶¹ Department of Pathology, University Hospital Antwerp, Edegem, Belgium
- ⁶² Cancer Biomarkers Working Group, Faculty of Medicine and Pharmacy, Mohamed Ist University, Oujda, Morocco
- ⁶³ Breast Center, Department of OB&GYN and CCC (LMU), University of Munich, Munich, Germany
- ⁶⁴ Department of Pathology and Oncology, The Johns Hopkins Hospital, Baltimore, MD, USA
- ⁶⁵ Department of Medical Oncology, Institut Jules Bordet, Université Libre de Bruxelles, Brussels, Belgium
- ⁶⁶ Perlmutter Cancer Center, New York University Medical School, New York, NY, USA
- ⁶⁷ Sichuan Cancer Hospital, Chengdu, PR China
- ⁶⁸ German Breast Group, Neu-Isenburg, Germany
- ⁶⁹ Department of Medical Oncology, National Taiwan University Cancer Center, Taipei, Taiwan
- ⁷⁰ The University of Queensland, Centre for Clinical Research, and Pathology Queensland, Royal Brisbane and Women's Hospital, Herston, Australia
- ⁷¹ Translational Medicine, Merck & Co, Inc, Kenilworth, NJ, USA
- ⁷² Institute of Pathology, Universitätsklinikum Gießen und Marburg GmbH, Standort Marburg and Philipps-Universität Marburg, Marburg, Germany
- ⁷³ Department of Medical Oncology, Peter MacCallum Cancer Centre, Melbourne, Australia
- ⁷⁴ Department of Radiation Oncology, Department of Pathology and Laboratory Medicine, Weill Cornell Medicine, New York, NY, USA
- ⁷⁵ Johanniter GmbH - Evangelisches Krankenhaus Bethesda Mönchengladbach, West German Study Group, Mönchengladbach, Germany
- ⁷⁶ Oncology Clinical Development, Bristol-Myers Squibb, Princeton, NJ, USA
- ⁷⁷ Translational Medicine, Bristol-Myers Squibb, Princeton, NJ, USA
- ⁷⁸ Division of Research, Peter MacCallum Cancer Centre, Melbourne, Australia
- ⁷⁹ Department of Pathology, GZA-ZNA Hospitals, Antwerp, Belgium

*Correspondence to: PI Gonzalez-Ericsson, Breast Cancer Research Program, Vanderbilt University, 1301 Medical Center Dr, TVC 4918, Nashville, TN 37232, USA. E-mail: paula.i.gonzalez.ericsson@vumc.org; or M Sanders, Department of Pathology, Microbiology and Immunology, and Breast Cancer Research Program, Vanderbilt University, 1301 Medical Center Dr, TVC 4918, Nashville, TN 37232, USA. E-mail: melinda.sanders@vumc.org

Abstract

Immune checkpoint inhibitor therapies targeting PD-1/PD-L1 are now the standard of care in oncology across several hematologic and solid tumor types, including triple negative breast cancer (TNBC). Patients with metastatic or locally advanced TNBC with PD-L1 expression on immune cells occupying $\geq 1\%$ of tumor area demonstrated survival benefit with the addition of atezolizumab to nab-paclitaxel. However, concerns regarding variability between immunohistochemical PD-L1 assay performance and inter-reader reproducibility have been raised. High tumor-infiltrating lymphocytes (TILs) have also been associated with response to PD-1/PD-L1 inhibitors in patients with breast cancer (BC). TILs can be easily assessed on hematoxylin and eosin-stained slides and have shown reliable inter-reader reproducibility. As an established prognostic factor in early stage TNBC, TILs are soon anticipated to be reported in daily practice in many pathology laboratories worldwide. Because TILs and PD-L1 are parts of an immunological spectrum in BC, we propose the systematic implementation of combined PD-L1 and TIL analyses as a more comprehensive immuno-oncological biomarker for patient selection for PD-1/PD-L1 inhibition-based therapy in patients with BC. Although practical and regulatory considerations differ by jurisdiction, the pathology community has the responsibility to patients to implement assays that lead to optimal patient selection. We propose herewith a risk-management framework that may help mitigate the risks of suboptimal patient selection for immuno-therapeutic approaches in clinical trials and daily practice based on combined TILs/PD-L1 assessment in BC.

© 2020 Pathological Society of Great Britain and Ireland. Published by John Wiley & Sons, Ltd.

Keywords: PD-L1; TILs; breast cancer; biomarker risk-management; immunotherapy

Received 6 February 2020; Accepted 18 February 2020

Conflict of interest statement: ACM is a consultant for and reports research grant support from Bristol-Myers Squibb. AE is on the Roche advisory board and is a lecturer paid by Roche, Amgen, and Novartis. AIH reports honoraria from Chugai Pharmaceutical, Taiho Pharmaceutical, and Novartis Pharma. CQ is chair of the European Working Group for Breast Screening Pathology (EWGBSP), which has received funding from various companies for group meetings and also reports honoraria from Roche and Exact Sciences. DAM reports speaker fees from AstraZeneca. DAD is on the advisory board of Oncology Analytics, Inc., and consults for Novartis. DLR is on the advisory board for Amgen, AstraZeneca, Cell Signaling Technology, Cepheid, Daiichi Sankyo, GlaxoSmithKline, Konica Minolta, Merck, NanoString, Perkin Elmer, Roche, Ventana and Ultivue. He is a consultant for Biocept, NextCure, Odonate, and Sanofi and he is a founder and equity holder of PixelGear. He reports research support from AstraZeneca, Cepheid, Navdigate BioPharma, NextCure, Eli Lilly, and Ultivue and instrument support from Ventana, Akoya, Perkin Elmer, and NanoString. He reports travel honorarium from Bristol-Myers Squibb and royalties from Rarecyte. DZ is an employee of Bristol-Myers Squibb with stock ownership. FPL is an advisor for AstraZeneca, Bayer, Bristol-Myers Squibb, Diaceutics, Eli Lilly, Illumina, MSD, and Roche, and reports research funding from AstraZeneca, Bayer, Bristol-Myers Squibb, MSD, and Roche. GV reports honoraria from Roche, Ventana, Agilent, MSD, Bristol-Myers Squibb, and AstraZeneca. HM is a consultant for Amgen, Bristol-Myers Squibb, Celgene, Eli Lilly, Genentech/Roche, Immunomedics, Merck, OBI Pharma, Pfizer, Puma, Spectrum Pharmaceuticals, Syndax Pharmaceuticals, Peregrine, Calithera, Daiichi-Sankyo, and TapImmune, and has research supported by Bristol-Myers Squibb, MedImmune, LLC AstraZeneca, BTG, and Merck. JA is an advisor for AstraZeneca, Bayer, Bristol-Myers Squibb, MSD, Roche, and Diaceutics, and reports research funding from MSD, Bayer, and Pierre Fabre. JB is a consultant for Insight Genetics Inc, BioNTech AG, Biotheranostics Inc, Pfizer, RNA Diagnostics Inc, and oncoX-change, and reports honoraria from NanoString Technologies, Oncology Education, and Biotheranostics Inc. He reports research funding from Thermo Fisher Scientific, Genoptix, Agendia, NanoString Technologies, Stratifyer GmbH, and Biotheranostics Inc, and travel expenses from Biotheranostics Inc and NanoString Technologies. He possesses patents regarding biomarker evaluation and gene signatures to predict response to treatment. JMB reports research support from Genentech/Roche, Bristol-Myers Squibb, and Incyte Corporation; has received consulting expert witness fees from Novartis, and is an inventor on provisional patents regarding immunotherapy targets and biomarkers in cancer. JJ is a full-time employee of Merck & Co., Inc. and owns stock in Merck & Co., Inc., Regeneron, and Illumina. KE is a full-time employee of Merck & Co., Inc. and owns stock in that company as well as Bayer AG and Johnson & Johnson. His wife is a full-time employee of Bristol-Myers Squibb and owns stock in that company. KS reports one-time honorarium from Roche Ventana. MK is on the Advisory board for Bristol-Myers Squibb and Daiichi (uncompensated) and her institute receives funding from BMS, Roche, and AstraZeneca. OG reports travel support from Roche, Celgene, and Daiichi, and is on honoraria advisory boards from Roche, Celgene, Novartis, Pfizer, Eli Lilly, GHI, NanoString, Amgen, and MSD. RLF reports travel support from Immunomedics. RS reports research funding from Roche-Genentech, Puma Biotechnology, and Merck; honoraria for consulting for Bristol-Myers Squibb; and travel funding from Roche Genentech, Merck, and AstraZeneca. SD reports past research grant support from Lytix Biopharma and Nanobiotix, and honorarium for consulting from EMD Serono and Mersana Therapeutics. SE is an employee of Bristol-Myers Squibb with stock ownership. SF served one time on an expert panel for Genomic Health. SL receives research funding to her institution from Novartis, Bristol-Myers Squibb, Merck, Roche-Genentech, Puma Biotechnology, Pfizer, AstraZeneca, Eli Lilly, and Seattle Genetics. She has acted as consultant (not compensated) to Seattle Genetics, Pfizer, Novartis, Bristol-Myers Squibb, Merck, AstraZeneca, and Roche-Genentech. She has acted as a consultant (paid to her institution) to Aduro Biotech, Novartis, and G1 Therapeutics. TON has a proprietary interest in the PAM50 subtype classifier from Bioclassifier LLC, and NanoString Technologies. TS reports a speaker fee from AstraZeneca, Novartis, Chugai, Pfizer, Eisai, Takeda, Kyowa Kirin, Eli Lilly, MSD, and Genomic Health; and a research grant from Chugai, Pfizer, and Eisai. SBF is an Associate Editor of The Journal of Pathology.

Introduction

Immune checkpoint inhibitor (ICI) therapies targeting programmed cell death 1 (PD-1) and programmed death ligand 1 (PD-L1) are now the standard of care in oncology. Anti-PD-1 pembrolizumab (Keytruda, Merck & Co. Inc., Kenilworth, NJ, USA) and nivolumab (Opdivo, Bristol-Myers Squibb Company, New York, NY, USA), and anti-PD-L1 atezolizumab (Tecentriq, Genentech Inc, South San Francisco, CA, USA), durvalumab (Imfinzi, AstraZeneca plc, Cambridge, UK), and avelumab (Bavencio, Merck KGaA, Darmstadt, Germany) have been approved to treat multiple tumor types, in many countries. To date, atezolizumab specifically has been approved for triple-negative breast cancer (TNBC). At the same time, immunohistochemistry (IHC)-based detection of PD-L1 expression has been proposed as the predictive biomarker to select patients that may benefit from these therapies. Five primary antibody clones have been developed in the form of assays paired with a specific staining platform. PD-

L1 22C3 (Agilent Technologies Inc., Santa Clara, CA, USA), 28-8 (Agilent Technologies Inc.), SP142 (Roche Tissue Diagnostics, Tucson, AZ, USA), SP263 (Roche Tissue Diagnostics), and 73-10 (Agilent Technologies Inc.) have been used in clinical trials of the above-mentioned drugs, respectively. In addition, laboratory-developed tests (LDTs) using any of the above-mentioned primary antibodies or the E1L3N clone with different staining platforms are in use in research and clinical scenarios. Parallel to the multiple assays, multiple scoring systems exist. Table 1 shows technical details and defines scoring methods used for each antibody. Furthermore, different cut-offs are used to define PD-L1 positivity for different tumor types, whereas for certain indications PD-L1 testing is not required for PD-1/PD-L1 inhibition-based therapy, from now on referred to as ICI.

For several years the oncology and pathology communities have raised concerns about the reliability of IHC-based detection of PD-L1 to appropriately select patients for ICI. To date, although PD-L1 is currently

Table 1. Technical details, scoring system, and use on completed breast cancer clinical trials for each PD-L1 antibody

Commercial diagnostic assays used in clinical trials					Biosimilar diagnostic antibodies used in clinical practice
Assay	SP142	22C3	SP263	73-3	28-8
Binding epitope	C-terminus cytoplasmic domain	Discontinuous segments on the extracellular domain	C-terminus cytoplasmic domain	C-terminus cytoplasmic domain	Discontinuous segments on the extracellular domain
Platform	Ventana BenchMark ULTRA	Agilent Link 48	Ventana BenchMark ULTRA	Agilent Link 48	Agilent Link 48
Scored cell type	IC	IC and TC	IC or TC	IC or TC	TC
Scoring system	IC _A : $\frac{PD-L1^{+}IC}{PD-L1^{+}IC + PD-L1^{+}TC}$ tumor area	CPS: $\frac{PD-L1^{+}IC + PD-L1^{+}TC}{TC}$ TPS: $\frac{PD-L1^{+}TC}{TC}$	$\frac{PD-L1^{+}TC}{PD-L1^{+}IC}$ IC _{TC} : $\frac{PD-L1^{+}TC}{IC}$	$\frac{PD-L1^{+}TC}{PD-L1^{+}TC}$ TC	$\frac{PD-L1^{+}TC}{PD-L1^{+}TC}$ TC
Partner drug	Atezolizumab	Pembrolizumab	Durvalumab	Avelumab	Nivolumab
Breast cancer clinical trials	IMpassion130 NCT01375842 NCT01633970 KATE-2	KEYNOTE-119 KEYNOTE-150 KEYNOTE-086 KEYNOTE-012 PANACEA KEYNOTE-173 KEYNOTE-552 TONIC (Nivolumab)	GeparNuevo	JAVELIN	
					Any None

CPS, combined positive score; IC, immune cells; TC, tumor cells; TPS, tumor positive score.

the only approved biomarker for these agents, it remains controversial given the complexities of its clinical use due to variability in assay performance of the PD-L1 IHC antibodies, spatial and temporal heterogeneity, absence of a unified scoring system, and concerns about inter-reader reproducibility for scoring PD-L1 on immune cell (ICs). Due to these inconsistencies, some patients who could benefit might not receive treatment, whereas others may be treated based on erroneous test results, exposing them to potential adverse side effects with no drug benefit. In addition, because PD-1/PD-L1 interaction is only one of many factors that may determine the clinical response to immunotherapeutics, it is unlikely that a single biomarker will sufficiently predict clinical outcomes in response to ICI. The use of composite biomarkers can provide biologically relevant information on multiple factors that determine response. In a meta-analysis, combined biomarker approaches such as PD-L1 IHC and tumor mutational burden (TMB) and multiplex fluorescent IHC-evaluating protein co-expression and spatial relationships, demonstrated an improved performance over PD-L1 or TMB alone [1]. As guardians of patient's samples, pathologists partnered with clinicians, industry, and regulators must guide evidence-based inclusion of biomarkers in clinical trials and daily practice to ensure the best patient outcomes possible. Stromal tumor-infiltrating lymphocytes (TILs) have also been studied as a predictive biomarker of response to ICI for a variety of cancers including breast cancer (BC). TILs can be assessed on a simple hematoxylin and eosin (H&E) slide with reliable reproducibility among pathologists when they adhere to the standardized method [2,3]. We propose PD-L1 and TILs as a more comprehensive composite biomarker.

A good biomarker should be analytically valid, robust, reproducible, and clinically useful. To be incorporated into daily practice, it must also be affordable and accessible to pathologists in both academic and community-hospital practices worldwide [4]. In this review, we propose a systematic implementation of combined PD-L1 and TIL analysis as a comprehensive immuno-oncological biomarker for patient selection for ICI in both clinical trials and daily practice. In support of this position, we outline the evolution of PD-L1 and TILs as biomarkers, from the analytical and clinical validation phases through clinical implementation, review the challenges we have encountered, and propose mitigation approaches within a risk-management framework as previously published [5]. The collective of available evidence anticipates enhancement of patient selection and safety by the systematic implementation of combined PD-L1 and TIL analysis.

Technical validation phase: analytical validity of PD-L1 IHC

Biomarker development starts with an initial discovery in pre-clinical studies, which we do not cover in this

review, followed by a validation phase in which the biomarker is adapted to clinically applicable assay platforms and subjected to analytical and clinical validation [6]. For PD-L1 IHC, analytical validity refers to the accuracy and consistency of the technique to detect the presence of PD-L1 protein. To be able to analyze the accuracy and consistency of the test we must first define the presence of PD-L1 protein. PD-L1 can be expressed on solid and hematologic tumor cells (TCs) and on ICs, including macrophages, dendritic cells, lymphocytes, and granulocytes [7,8]. PD-L1 is expressed in the cytoplasm and/or on the cell membrane. A PD-L1-positive (PD-L1+) TC has been defined as showing partial or complete membranous staining of any intensity [8–13]. Accompanying cytoplasmic staining is often observed but ignored in TC. On the other hand, a PD-L1+ IC is one that shows membranous or cytoplasmic staining of any intensity. Cytoplasmic staining may show a punctate or granular pattern, most commonly observed with SP142 [11,12,14]. IC can be observed in aggregates or as single cells dispersed in the intratumoral or peritumoral stroma as well as admixed with TC [8,14].

Chromogenic IHC-based detection of PD-L1 has been largely concordant with other methods to detect PD-L1 expression, such as immunofluorescence, mass spectrometry, and RNA *in situ* hybridization [9,15–18]. Each PD-L1 diagnostic kit has shown precision, reproducibility, and robustness when standard operating procedures and optimization of conditions are followed [8,14,19–21]. Studies comparing PD-L1 assays performance on archival, routine clinical practice, and clinical trial TNBC samples have shown discrepancies among SP142, SP263, and 22C3 assays. PD-L1 positivity defined as the proportion of tumor area occupied by PD-L1-positive immune cells ($IC_A \geq 1\%$) with SP142 showed between 20 and 38, 10 and 35, and 7 and 19% fewer PD-L1+ cases compared to SP263 $IC_A \geq 1\%$ and 22C3 combined positive score (CPS) ≥ 1 and $IC_A \geq 1\%$, respectively [22–26]. Prevalence with each assay is shown in Table 2. Similar findings were observed in previous multi-institutional studies on archival clinical non-small cell lung cancer (NSCLC) and urothelial carcinoma specimens, in which results between 22C3, 28-8, SP263, 73-3, and E1L3N assays were broadly comparable, whereas SP142 has shown lower PD-L1 expression on both TC and IC [9,10,12,13,16,38–43].

To investigate this discordance, a study mapped the antibody-binding sites for each antibody [44]. SP142, SP263, and E1L3N bind amino acid residues in the cytoplasmic tail of PD-L1 [14,44,45], whereas 22C3 and 28-8 target the extracellular domain [44,46]. 22C3 and 28-8 binding sites contain N-linked glycosylation sites, which may lead to variability in antigen retrieval. N-glycosylation may also affect binding efficacy of antibodies with cytoplasmic binding; differences between mass spectrometry and E1L3N IHC were reported on melanoma samples with high glycan modifications, suggesting that posttranslational

Table 2. Prevalence of PD-L1 according to assay in breast cancer

Study	Samples number and site	SP142	SP263	22C3	Others
Scott <i>et al</i> [22]	196 TNBC	IC _A ≥ 1%:32% CPS ≥ 1: 35% TC ₀ ≥ 1%: 11%	IC _A ≥ 1%:54% CPS ≥ 1: 64% TC ₀ ≥ 1%: 53%	IC _A ≥ 1%:51% CPS ≥ 1: 60% TC ₀ ≥ 1%: 50%	28-8 IC _A ≥ 1%:46% CPS ≥ 1: 52% TC ₀ ≥ 1%: 35%
Noske <i>et al</i> [23]	30 primary TNBC samples	IC _A ≥ 1%: 50%	IC _A ≥ 1%: 87%	IC _A ≥ 1%: 57% CPS ≥ 1: 60%	28-8 IC _A ≥ 1%: 63%
Noske <i>et al</i> [23]	104 primary TNBC samples	IC _A ≥ 1%: 44%	IC _A ≥ 1%: 82%		
Reisenbichler <i>et al</i> [25]	68–76 primary TNBC samples	IC _A ≥ 1%: 58% (n = 68)	IC _A ≥ 1%: 78% (n = 76)		
IMpassion130 NCT02425891 [24]	614 primary and metastatic TNBC samples	IC _A ≥ 1%: 46%	IC ≥ 1%:75%	CPS ≥ 1: 81%	
IMpassion130 NCT02425891 [24,27,28]	902 primary and metastatic TNBC samples	All: IC _A ≥ 1%:41% primary: IC _A ≥ 1%:44% metastatic: IC _A ≥ 1%:36% All: TC ₀ ≥ 1%: 9% (900)			
FDA SSED [14]	2744 primary and 50 metastatic TNBC samples	All: IC _A ≥ 1%:50% primary: IC _A ≥ 1%:50% metastatic: IC _A ≥ 1%:78%			
Carter <i>et al</i> [29]	500 chemotherapy naïve TNBC	IC _A ≥ 1%: 46% TC ₀ ≥ 1%: 9%			
Downes <i>et al</i> [26]	30 BC	IC _A ≥ 1%:47–50%		CPS ≥ 1: 53–63%	E1L3N: IC _A ≥ 1%:53–63% CPS ≥ 1: 53–67%
NCT01633970 [30]	24 TNBC	IC _A ≥ 1%: 50% TC ≥ 1%:17% (of which 92% were IC _A ≥ 1%)			
NCT01375842 [7]	112 TNBC	IC _A ≥ 1%: 78%*		CPS ≥ 1: 65%	
KEYNOTE-119 NCT02555657 [31]	622 TNBC			CPS ≥ 10: 31% CPS ≥ 20: 18% CPS ≥ 1: 59%	
KEYNOTE-012 NCT01848834 [32]	111 TNBC			CPS ≥ 1: 62%	
KEYNOTE-086 NCT02447003 [33]	170 primary and metastatic samples TNBC			CPS ≥ 1: 46%	
KEYNOTE-150 NCT02513472 [34]	107 TNBC				
JAVELIN NCT01772004 [35]	136 BC, 48 TNBC				73-3 All: IC ≥ 10%: 9% TNBC: IC ≥ 10%: 19%
TONIC NCT02499367 [36]	70 metastatic TNBC samples			IC ≥ 1%: 86% IC ≥ 5%: 67%	
GeparNuevo NCT02685059 [37]	158 TNBC		IC _{IC} and/or TC ₀ ≥ 1%: 87%		

CPS, combined positive score; FDA SSED, U.S. Food and Drug Administration summary of safety and effectiveness data; IC, immune cells; met, metastatic or non-primary sample; n, number of patients included in the analysis; prim, primary sample; TC, tumour cells.

*The first 25 patients were selected only if PD-L1+, then enrolment was extended to all patients, explaining the higher PD-L1 prevalence.

modifications could interfere with recognition of binding sites [17]. SP142 and SP263 bind to the same epitope [44]; hence the above-described discordance between these assays may be due to differences in assay protocol leading to insufficient antibody saturation. The visualization and amplification methods have been shown to affect the extent and pattern of expression of PD-L1 on IC and TC [47], at least partly explaining the discordance among assays.

Inter-observer reproducibility represents a major challenge to the reliable assessment of any IHC assay; this is especially true for PD-L1. Although inter-pathologist reproducibility for the assessment of PD-L1 on TC is high, concordance has been lower for IC evaluation

across multiple tumor types [10,13,39], irrespective of the assay. Scoring IC is more difficult from a methodological standpoint. Identification of IC may be straightforward in some cases, but complex in others, especially when attempting to differentiate between TC and intra-tumoral monocytic (macrophages/dendritic) cells, which cannot be easily distinguished on H&E. In addition, the four kits reportedly show different IC staining patterns: 22C3, 28-8, and SP263 assays mainly stain macrophages and dendritic cells, whereas the SP142 assay, while staining a lower number of ICs, also identifies some lymphocyte-like cells [47]. Using SP142, the majority of non-neoplastic cells were CD68+, whereas 5% were CD8+ [48]. Two multi-institutional studies,

Table 3. Studies evaluating inter-reader reproducibility on breast cancer samples

Study	Assay and scoring	Participating pathologists	Samples evaluated	Training	Concordance
Reisenbichler <i>et al</i> [25]	SP142 CDA IC _A ≥1% SP263 CDA IC _A ≥1%	19	68 primary TNBC	No specific training for the study.	ICC 0.560, OPA 41% ICC 0.513
Noske <i>et al</i> [23]	SP142 CDA IC _A ≥1% SP263 CDA IC _A ≥1% 22C3 CDA IC _A ≥1% 28-8 CDA IC _A ≥1%	7	30 primary TNBC	Trained on digital platform for the evaluation of PD-L1 IC with SP142 and had to pass a proficiency exam.	ICC 0.805 ICC 0.616 ICC 0.605 ICC 0.460
FDA SSED [14]	SP142 CDA IC _A ≥1%	3	60 TNBC	Not specified.	OPA 91.1%
Dennis <i>et al</i> [49]	SP142 CDA IC _A ≥1%	903	28 TNBC	Regional trainer lead sessions and digital platform training conducted by Roche International Pathologist Training program. A proficiency test was evaluated.	OPA 98%
Downes <i>et al</i> [26]	SP142 CDA IC _A ≥1% 22C3 CDA CPS ≥1 E1L3N LDT IC ≥1% E1L3N LDT CPS ≥1	3	30 BC	Not specified.	ICC 0.956, OPA 98% ICC 0.862, OPA 93% ICC 0.862, OPA 93% ICC 0.815, OPA 91%
Solinas <i>et al</i> [50]	E1L3N LDT IC ≥1%	2	441 BC	Not specified.	ICC 0.10–0.58 for primary treatment naïve tumours, ICC 0.94[0.84–0.97] for NAC treated, ICC 0.00 [–0.54–0.35] for relapses

Overall percentage agreement (OPA) is calculated as the total number of times in which the readers agree, divide by the total number of readings. The OPA is expected to vary by classification difficulty and by the number of observers but does not take chance into account. Kappa does and should therefore be calculated as an associated measurement. Agreement measurements focus on the reliability of evaluations between different readers and do not require a standard reference, thus should not be confused with studies of accuracy. When using these measures of agreement, the FDA recommends to clearly state the calculations being performed. These calculations were not available for all the studies in Table 3 precluding fair comparison among studies.

CDA, commercial diagnostic assay; FDA SSED, U.S. Food and Drug Administration summary of safety and effectiveness data; ICC, interclass correlation coefficient; LDT, laboratory developed test; OPA, overall percent agreement.

including up to 19 pathologists, show moderate agreement (interclass correlation coefficient [ICC] 0.560–0.805) between pathologists for SP142 assay on TNBC samples [23,25]. Pathologists were trained on the evaluation of PD-L1 IHC and were required to pass a proficiency test in one of these studies [23]. Agreement for other assays was slightly lower. Table 3 shows details of studies evaluating inter-observer reproducibility on BC samples. Of interest, SP142 has been shown to have the highest concordance among readers for PD-L1 IC ≥1% in studies including other tumor types [10–12], although the differences are not statistically significant. This may be because SP142 stains TC with lower prevalence, allowing the IC staining to be more easily identified.

Overall percent agreement (OPA) is the proportion of samples that are classified the same by all observers. The U.S. Food and Drug Administration (FDA) summary of safety and effectiveness data for SP142 showed an OPA of 91.1%; however, this study included only three pathologists [14]. In contrast, the study including 19 pathologists found an OPA of 41% with SP142. Recently, Reisenbichler *et al* [25] showed a new method for analysis of OPA as a function of the number of observers. The resulting graphs reaches a plateau at the number of observers required to provide realistic concordance estimate. If there is high concordance, then the plot

will plateau at a high OPA with a small number of observers. In contrast, OPA for PD-L1 IC_A ≥1% decreased as the number of observers increased, reaching a plateau of 40% at nine observers. Results of real-world training conducted by Roche demonstrated an OPA of 98% between 903 pathologists from 75 countries assessing 28 TNBC cases in a proficiency test; however, the methodology for calculating OPA was not disclosed on the abstract [49]. On re-analysis of the National Comprehensive Cancer Network (NCCN) study with lung cancer samples, OPA between 13 pathologists increased from 0% with a three-category score to 18% using a two-category scale (IC ≥1 and <1%), or even 67% if an outlier pathologist is excluded [38], showing that two categories are more reproducible. Moreover, low values, such as 1%, show lower inter-reader reproducibility [51].

Clinical validation phase: Clinical validity and utility of PD-L1 IHC and TILs as predictive biomarkers of response to PD-1/PD-L1 inhibitors

Clinical validation refers to how reliably the biomarker correlates with response to ICI and divides the patient population into groups with divergent expected

Table 4. Studies evaluating clinical validity and utility of PD-L1 IHC and/or TILs as a predictive biomarker of response to PD1/PD-L1 inhibitors in breast cancer

Clinical trial	Drug	Tumor type (n)	Biomarker details (n)	Predictive capacity of PD-L1/TILs
Advanced setting				
IMpassion130 NCT02425891 [24,27,28]	Nab paclitaxel +/- atezolizumab randomized phase III	UnTx LAdv or mTNBC (902)	PD-L1 (SP142) was prospectively tested at BTx (902) and used as a stratification factor for randomization. TILs were evaluated retrospectively (460 and 614). PD-L1 SP263 and 22C3 were performed retrospectively on BEP (614) post-hoc exploratory analysis.	Improved PFS (HR 0.62[0.49–0.78]) and OS (HR 0.62[0.45–0.86]) with the addition of atezolizumab in PD-L1+ tumors (SP142 IC _A ≥ 1%). ORR 56 versus 46% in the ITT population and 59 versus 43% in PD- L1+ tumors ($p = 0.002$). Better PFS (0.53[0.38–0.74]) and OS (0.57[0.35–0.92]) for TIL > 10% PD-L1 ≥ 1% population ($n = 460$). PD-L1+ cases showed higher median TILs (10%[QR:5–20]) on BEP. Improved PFS and OS (0.64 [0.53–0.79]; 0.75 [0.59–0.96]) with the addition of atezolizumab in SP263 (IC ≥ 1%) and (0.68 [0.56–0.82]; 0.78 [0.62–0.99]) 22C3 (CPS ≥ 1) on BEP. Median PFS SP142 4.2 months, 22C3 2.1 months, SP263 2.2 months, and median OS SP142 9.4 months, 22C3 2.4 months, SP263 3.3 months.
NCT01375842[7]	Atezolizumab single arm phase Ib	PreTx mTNBC (116)	PD-L1 (SP142) tested prospectively (116). TICs (116).	PD-L1 IC _A ≥ 1% (ORR:12 versus 0%; HR: 0.55[0.33–0.92]) and TICs > 10% (HR:0.54[0.35–0.83]) were associated with better outcome. TICs > 10% was independently associated with ORR, PFS and OS in multivariate analysis. PD-L1 TC ≥ 1% was not associated with response.
NCT01633970[30]	Atezolizumab + nab paclitaxel single arm phase Ib	UnTx (13) (33)	PD-L1 (SP142) and TILs tested retrospectively at BTx (23 and 20) and PostTx (11 and 15, respectively).	No statistically significant association of baseline PD-L1 or TILs with response. Numerically higher ORR (41.7 versus 33.3%) and longer PFS (6.9 versus 5.1mo) and OS (21.9 versus 11.4mo) in PD-L1+ tumor (IC _A ≥ 1%). Numerically higher OS in TILs > 5%. Changes in PD-L1 or TILs were not associated with clinical response.
KEYNOTE-119 NCT02555657 [31,55]	Physician's choice chemo +/- pembrolizumab randomized phase III	PreTx mTNBC (622)	PD-L1 (22C3) was prospectively tested at BTx (622) and used as a stratification factor for randomization.	No improved outcome in ITT population or PD-L1+ tumors (CPS ≥ 10 $p = 0.057$; CPS ≥ 1 $p = 0.073$). For CPS ≥ 20 HR OS:0.58 [0.38–0.88]. Better OS for TILs ≥ 5% (0.75[0.59–0.96]) in the pembrolizumab arm but not the chemotherapy arm (1.46[1.11–1.92]). TILs and PD-L1 CPS moderately correlated (0.45). TILs ($p = 0.004$) and CPS ($p = 0.09$) were independently predictive.
KEYNOTE-150 NCT02513472 [34]	Pembrolizumab + eribulin single arm phase Ib/II	PreTx and UnTx mTNBC (107)	PD-L1 (22C3)	ORR independent (30.6 versus 22.4%) of PD-L1 status (CPS ≥ 1).5
KEYNOTE-086 NCT02447003 [33,56,57]	Pembrolizumab single arm phase II	A: PreTx mTNBC (170) B: UnTx PD-L1+ mTNBC (84)	PD-L1 (22C3) was prospectively tested at BTx (254).	ORR independent of PD-L1 status (CPS ≥ 1) on cohort A (5.7 versus 4.7%). No difference in PFS or OS between PD-L1+ and PD-L1-. 21.4% ORR cohort B.

(Continues)

Table 4. Continued

Clinical trial	Drug	Tumor type (n)	Biomarker details (n)	Predictive capacity of PD-L1/TILs
KEYNOTE-012 NCT01848834 [32]	Pembrolizumab single arm phase Ib	PreTx PD-L1+ mTNBC (32)	TILs were evaluated retrospectively (193).	Better ORR in pts with TILs > median in cohort A (6 versus 2%) and B (39 versus 9%) and combined cohorts (OR: 1.26[1.03–1.55]). Higher median Higher TILs in responders versus non-responders in cohort A (10 versus 5%) and cohort B (50 versus 15%).
TONIC NCT02499367 [36]	Nivolumab +previous induction therapy (Rx/chemo) randomized phase II	PreTx and UnTx mTNBC (67)	PD-L1 (22C3) was prospectively tested at BTx (32)	Increasing ORR ($p = 0.028$) and reduction in HR ($p = 0.012$) with increasing PD-L1 expression.
PANACEA NCT02129556 [58]	Pembrolizumab + trastuzumab single arm phase Ib/II	PreTx LAdv or mHER2+ BC Ib: PD-L1+ (6) II: PD-L1+ & PD-L1- (52)	PD-L1 (22C3) and TILs at BTx, after induction and PostTx.	Higher BTx TILs (median 12.5 versus 6%, $p = 0.004$) and PD-L1 on IC (median 15 versus 5%) on responders versus non-responders. Better PFS and OS was observed in PD-L1 IC $\geq 5\%$ patients. No difference was observed between PD-L1 TC ≥ 1 and $<1\%$ populations.
KATE-2 NCT02924883 [59]	T-DM1 +/- atezolizumab randomized phase II	PreTx LAdv or mHER2+ BC (202)	PD-L1 (QualTek/ 22C3) tested prospectively at BTx (58). TILs were evaluated retrospectively (48).	II: Higher ORR (15 versus 0%) in PD-L1+ (CPS ≥ 1). Longer OS for PD-L1+ population. Higher TILs levels in objective responders (median ~25 versus 1.5% $p = 0.006$) and in PD-L1+ population ($p = 0.0004$).
JAVELIN NCT01772004 [35]	Avelumab single arm phase Ib	PreTx LAdv or mBC (168)	PD-L1 (SP142) tested prospectively (202).	PFS survival benefit (HR0.60[0.32–1.1]) and numerically higher ORR (54 versus 33%) in PD-L1+ tumors (IC _A $\geq 1\%$) with the addition of atezolizumab.
Neoadjuvant setting				
KEYNOTE-552 NCT03036488 [60]	Neoadjuvant paclitaxel + carboplatin + AC/EC +/- pembrolizumab randomized phase III	UnTx TNBC (602)	PD-L1 (73-10) evaluated prospectively on IC and TC (168).	Better ORR in PD-L1+ (IC $\geq 10\%$) BC (16.7 versus 1.6% $p = 0.039$, 22.2 versus 2.6% in TNBC). No association between outcome and PD-L1+ (HR PFS:0.66[0.34–1.26], OS: 0.62[0.25–1.54]). PD-L1 on TC showed no association with response.
KEYNOTE-173 NCT02622074 [61,62]	Neoadjuvant pembrolizumab + nab-paclitaxel +/- carboplatin +/-AC randomized phase Ib	UnTx LAdv TNBC (60)	PD-L1 (22C3) retrospectively tested on BTx (52). TILs were retrospectively evaluated on BTx (53) and OnTx (50) samples.	pCR achieved irrespective PD-L1 status (CPS ≥ 1) with the addition of pembrolizumab (68.9 versus 45.3%).
				Higher BTx ($p = 0.028$) and OnTx TILs ($p = 0.005$) and BTxPD-L1 CPS ($p = 0.021$) were associated with pCR. Responders had higher median pre (40 versus 10%) and OnTx (65 versus 22.5%) TILs.

Δ, change between baseline and after treatment; AC, doxorubicin + cyclophosphamide; BEP, biomarker evaluable population; BTx, baseline or pre-treatment; CPS, combined positive score; EC, epirubicin + cyclophosphamide; HR, hazard ratio; IC, immune cells; IQR, interquartile range; LAdv, unresectable locally advanced; ITT, intention-to-treat population; mBC, metastatic breast cancer, all subtypes; mTNBC, metastatic TNBC; n, number of patients included in the analysis; OnTx, on treatment; OR, odds ratio; ORR, objective response rates; OS, overall survival; PostTx, post-treatment; PreTx, previously treated; PFS, progression free survival; Rx, radiation; TC, tumor cells; TIC, tumor infiltrating immune cells (lymphocytes, macrophages, dendritic cells and granulocytes) scored as a percentage of tumor area; UnTx, untreated.

outcomes. Clinical utility is a measure of whether clinical use of a test improves clinical outcome and assists clinical decision-making [52]. The gold standard for evaluating biomarker clinical utility is the outcome of prospective randomized trials, which include biomarker evaluation in the study design, such that it is powered to specifically evaluate the benefit derived from the new drug according to biomarker status [52–54]. However, most randomized trials adopt a primary end point of drug efficacy and do not employ a biomarker design. Table 4 shows the characteristics and results of clinical trials utilizing PD-L1 IHC and TILs as predictive biomarkers of response to ICI in BC.

Patients with newly diagnosed metastatic or locally advanced PD-L1 IC_A ≥1% TNBC demonstrated survival benefit with the addition of the PD-L1 inhibitor atezolizumab to nab-paclitaxel in the randomized phase III IMpassion130 trial in which all patients were prospectively tested for PD-L1 with SP142 [28]. Evaluation of progression-free survival (PFS) and overall survival (OS) in the PD-L1+ subgroup was one of the primary efficacy end points. Although the primary endpoint of OS for the intention-to-treat (ITT) population was not reached, and although a pre-specified statistical testing hierarchy prevented further formal analysis, OS was improved within the PD-L1+ subgroup with the addition of atezolizumab [28,63].

No improved outcome was observed for pre-treated metastatic TNBC patients with PD-1 inhibitor pembrolizumab as monotherapy or compared to chemotherapy (treatment per physician choice: vinorelbine, capecitabine, or gemcitabine) in the ITT population or PD-L1+ populations (PD-L1 CPS ≥1 or CSP ≥10 with 22C3) on the randomized phase III KEYNOTE-119 study [31]. Large randomized trials with survival end points, like the aforementioned, are generally required to establish the medical utility of a predictive biomarker. Nevertheless, retrospective analysis of specimens collected from prospective trials may also establish biomarker clinical utility if appropriately designed and if archival tissue is available from enough patients to have adequate statistical power [64]. An exploratory analysis with a cut-off of CPS ≥20 did show a longer benefit in OS with the addition of pembrolizumab to chemotherapy [31]. To further reliably establish clinical utility, these results should be validated in similar, but separate cohorts [64]. Likewise, response to pembrolizumab monotherapy or in combination with chemotherapy was independent of PD-L1 status (CPS ≥1) on a single-arm phase II KEYNOTE-086 and KEYNOTE-150 trials, respectively [33,34]. Of note, patients participating in these studies were pre-treated. TNBC patients with PD-L1 IC ≥1% and IC ≥5% showed improved survival outcomes with nivolumab after induction treatment on the phase II TONIC trial [36].

For patients with metastatic trastuzumab-resistant HER2-positive (HER2+) BC, PD-L1 CPS ≥1 was predictive of response to the pembrolizumab plus trastuzumab combination in the single-arm phase II

PANACEA trial [58]. Conversely, on the phase II randomized KATE-2 trial, although the response was numerically higher in patients with PD-L1 IC_A ≥1% tumors, no significant benefit was observed with the addition of atezolizumab to T-DM1 [59]. Notably, in an exploratory biomarker-analysis, the hazard ratio (HR) for OS was similar for PD-L1 as for TILs in this trial, suggesting that both predict benefit from the addition of atezolizumab to T-DM1.

In the neoadjuvant setting, an increase in pathological complete response (pCR) rate observed with the addition of pembrolizumab to chemotherapy was independent of PD-L1 status (CPS ≥1) on the randomized phase III KEYNOTE-552 trial [60]. Similarly, PD-L1 IC_{IC} % ≥1% not only failed to predict pCR after the addition of durvalumab to chemotherapy, but in fact was predictive of response in the chemotherapy-only arm on the phase II randomized GeparNuevo trial [37].

Exploratory analysis of the randomized phase III KEYNOTE-119 trial showed that patients with TILs higher than the median (5%) had better OS in the pembrolizumab monotherapy arm but not in the chemotherapy arm [55]. TILs greater than the median were also shown to be predictive of response to single-agent pembrolizumab regardless of PD-L1 status on retrospective biomarker analysis of the previously treated PD-L1 unselected cohort A of KEYNOTE-086 (median TILs 5%), but even more so within PD-L1+ treatment-naïve cases on cohort B (median TILs 17.5%) [57]. Furthermore, patients with TNBC and HER2+ BC who responded to treatment with pembrolizumab alone and in combination with trastuzumab showed higher median TILs on the single arm phase II KEYNOTE-086 and PANACEA trials [57,58] and on the TONIC phase II trial evaluating nivolumab after induction treatment [36].

In the neoadjuvant setting, baseline TILs evaluated as a continuous variable and stratified (<10, 11–59, ≥60%) were predictive of pCR in both the durvalumab plus chemotherapy and chemotherapy plus placebo arms of GeparNuevo [37]. In addition, overall T-cell density was associated with pCR in response to pembrolizumab in the randomized phase II I-SPY 2 trial [65].

It is important to keep in mind that TILs have also proven predictive of response to neoadjuvant chemotherapy (NAC) in patients with TNBC and HER2+ BC [66,67] and strongly prognostic of outcome in patients with early TNBC treated with standard anthracycline-based adjuvant chemotherapy [68–70] on phase III and pooled trials. In addition, in early stage treatment-naïve TNBC patients, high TIL-counts predict >98% 5-year survival, suggesting that the benefit of chemotherapy is probably very limited in this group [71,72]. PD-L1 baseline expression has also been positively associated with response to anthracycline-based NAC in hormone receptor-positive BC [73] and TNBC [74]. However, both PD-L1 and TILs are predictive of response to monotherapy ICI, proving predictive capacity beyond chemotherapy treatment.

Clinical implementation: Inclusion of PD-L1 and TILs in clinical trials

Given the existing evidence, we propose systematic implementation of combined PD-L1 and TIL analyses as a comprehensive immuno-oncological integral biomarker for patient selection for ICI in BC clinical trials. Because both have proven to be influential determinants of response to ICI, the use of both markers as stratification factors on randomized clinical trial designs could improve the balance of baseline characteristics among arms. Trial design should include PD-L1 and TIL analyses in real time, pre-specifying the inclusion of both biomarkers in the protocol and ensuring well-powered biomarker clinical utility data that can be used for regulatory submissions of both TILs and PDL1 as markers of efficacy for immunotherapy. In addition, new protocols can be written to conduct prospective-retrospective biomarker analysis on archival tissues from completed trials. All studies must be conducted and analyzed in a standardized manner per Reporting Recommendations for Tumor Marker Prognostic Studies (REMARK) criteria [75,76]. TILs should be scored as recommended by the International Immuno-oncology Biomarker Working Group (TIL-WG) [2,3] as a continuous variable with clinically relevant cut-offs in mind. A recent publication demonstrated the feasibility of the application of a web-based TIL scoring platform to enable the use of TILs as a stratification factor in an immunotherapy clinical trial for TNBC within a risk-management framework [77]. This pilot study proposes a standardize workflow that can be used in future clinical trials.

In BC, both PD-L1 and TILs have shown higher expression in primary tumors samples than in metastases [2,24,57]. Nonetheless, PD-L1 expression on either primary breast (HR PFS: 0.61[0.47–0.81]) or metastatic lesion samples (HR OS: 0.55[0.32–0.93]) was predictive of response to atezolizumab and nab-paclitaxel combination [24]. Although the most recent sample may be more representative of the current immunologic status, evaluating all available samples on clinical trials would provide useful data to define the most appropriate time point for testing. Pre- and on-treatment TILs have been associated with response to ICI [61,62]. On-treatment biopsies could be included in protocols, since they may provide real-time information to help guide future treatment choices.

Furthermore, the existence of multiple scoring systems for PD-L1 assays precludes the harmonization of assays and complicates reproducibility of scoring among pathologists. A single scoring system would allow a more accurate and direct comparison among assays and simplify scoring, likely facilitating adoption into clinical practice. For BC patients, clinical benefit has been correlated with PD-L1 expression on IC [7,27,35,36]. Moreover, PD-L1 expression on macrophages was associated with outcome in response to neoadjuvant durvalumab [78]. Although PD-L1 expression on TCs with SP263 was predictive of response to durvalumab in the

neoadjuvant setting [37], in the advanced setting, expression on TCs evaluated by SP142 [7,24,27], 22C3 [36], and 73-10 [35] was not predictive. We therefore encourage reporting PD-L1 expression as IC, TC_%/tumor positive score (TPS), and CPS separately for all assays in clinical trials to assess which scoring system is most clinically relevant for each setting. Note that IC scored as proportion of tumor area occupied by PD-L1 expressing IC is not equivalent to IC as a percent of TC, given that most BCs contain distinct stromal areas in-between tumor areas; a score normalized by cross-sectional area produces lower scores than a score normalized by number of TCs.

We believe that the application of systematic criteria for combined PD-L1 and TIL analyses to future clinical trial designs will produce reliable data to better understand which patients will benefit the most from ICI. The resultant data could ultimately allow the conduction of a meta-analysis to provide clinically impactful data. Nevertheless, PD-L1 expression and IC presence are subject to dynamic regulation processes that are incompletely understood biologically. In addition, several other factors also influence responses to ICI, including tumor neoantigen load, IC composition, and expression of other costimulatory and inhibitory molecules. Additional biomarkers may help further refine patient selection. These potential biomarkers will likely be predictive in a tumor type-specific dependent manner. For instance, TMB has been showed to be a predictive biomarker of response to ICI across multiple cancers in retrospective studies [79]. However, mutational load is relatively low in BC. In addition, in TMB, estimates are variable across laboratories [80], with slower turnaround and higher cost compared to IHC. Class II major histocompatibility complex (MHC-II) tumor expression has been associated with response to ICI in breast [81] and other tumor types. Further investigation of these and other biomarkers in correlative studies in clinical trials is warranted, such as those evaluated by multiplex fluorescence IHC or gene-expression profiling.

Clinical implementation: Inclusion of PD-L1 and TILs in daily practice

An analytically and clinically validated biomarker assay can be implemented into clinical care, but level 1 evidence is needed to change clinical practice. Results from randomized phase III IMpassion130 [28] led to the accelerated approval of atezolizumab and nab-paclitaxel as the standard treatment regimen for PD-L1+ (IC_A ≥1%) metastatic TNBC in many countries. Clinical implementation of a biomarker requires three key elements: Regulatory approval, reimbursement by health systems, and incorporation into clinical practice guidelines [6]. Regulatory approval is different in every country. Only the SP142 assay has been approved by regulatory agencies as the companion diagnostic test for the administration of atezolizumab and nab-

Table 5. Risks associated with the integration of PD-L1 as immuno-oncological biomarkers for clinical trials and the daily practice

Risk	Description of risk	Mitigation approach/Recommendation
Risks to patient safety		
Provision of inappropriate treatment because of false-positive or false-negative test results	Inter-pathologist variability and use of different assays with different sensibilities may mislead categorization of PD-L1 status. Incorrect results lead to inappropriate treatment allocation and put patient safety at risk.	See below.
Physical harm or inconvenience associated with tissue biopsy	Heterogeneity of PD-L1 expression between primary and metastatic lesions in TNBC [14] can lead to misleading categorization depending on the sample tested.	Define optimal sample for PD-L1 testing from data of future clinical trials. When both primary and metastatic samples available, test both if possible.
Operational risks		
Failure of sample collection, processing and quality	Poor quality samples can result in unreliable test results.	Ensure correct sample fixation for 6 to 72 h and processing. Determine sample adequacy on H&E: presence of TC and tumor-associated IC. Cut 4 µm sections for PD-L1 IHC testing along with sections for other IHC to preserve tissue in biopsy samples. Use within 2 months of cutting [14].
Within laboratory assay variability	Drifts in assay results over time can result in unreliable test results.	Follow staining protocol with optimized conditions. Include control tissue (tonsil) to test acceptance criteria [14]. Internal and external quality assurance. Audit positivity rates [87].
Risks to biomarker development		
Difference in PD-L1 expression prevalence among assays	SP142 has shown PD-L1 expression on a lower number of TC and IC compared to the other assays [9,10,12,13,16,22–24,38–42].	It is more important that an assay identifies the patients who will most likely respond, than identifying a greater proportion of PD-L1 positive patients. Even though assays are not analytically equivalent, clinical utility interchangeability must be further studied.
Use of multiple scoring systems	The existence of multiple scoring systems for the PD-L1 assays preclude the homologation of assays and complicate reproducibility.	For BC, PD-L1 expressed in IC and not in TC has been shown to be predictive of response [7,27,35,36]. Future clinical trials should evaluate the most effective PD-L1 scoring system. Cut-points must be reproducible.
Inter pathologist variability to read assay	Quantification of PD-L1 on IC has been shown not be reproducible to expected standards [10–13,18,23,38,39].	Ensure training on expected staining profile and cut-off for pathologist participating in clinical trials. Use of a single scoring system. Automated quantification by computer-based image analysis. Evaluate interobserver variability with a sufficiently large and statistically powered number of pathologists to ensure reproducibility.
Temporal and Spatial heterogeneity	Both PD-L1 and TILs have demonstrated higher expression in primary tumors than in metastases [2,24,57].	Evaluating all available samples on clinical trials would provide useful data, since the most appropriate time point for testing has not yet been clearly established.
Unique biomarker as companion diagnostic test	Due to the complexity of immune response it is unlikely a single biomarker will sufficiently predict response to ICI.	Since both PD-L1 and TILs have shown to be predictive of response to ICI [28,57] the use of both as stratification factors and for composite biomarker analysis in future clinical trials may help further optimize patient selection. Enough samples should be secured to further investigate other biomarkers on exploratory analysis.
Risks to biomarker implementation into daily practice		
Regulatory approval differs per country	Implementation into daily practice is dependent on regulatory approval.	Thorough and timely scientific interaction between the pathology community, industry and regulatory and national reimbursement agencies is needed.
Biomarker accessibility and affordability	PD-L1 testing is not yet covered by health insurance in many countries.	Thorough and timely scientific interaction between the pathology community, industry and regulatory and national reimbursement agencies is needed.
Use of multiple PD-L1 assays for a single analyte	With multiple PD-L1 assays available, pathology labs cannot be expected to have all tests available, causing variability in test results between laboratories.	Choice of assay will depend on regional regulations, availability of antibody, automated staining platform and optimized assay in currently in use. Consider LDTs. Outsource to reference laboratories.
Difference in PD-L1 expression prevalence among assays	SP142 has shown PD-L1 expression on a lower number of TC and IC compared to the other assays [9,10,12,13,16,22–24,38–42].	It is more important that an assay identifies the patients who will most likely respond, than identifying a greater proportion of PD-L1+ patients. For BC SP142, SP263 and 22C3 have shown to identify patients that derive better outcome in response to atezolizumab and nab-paclitaxel [24].
Inter pathologist variability to read assay	Quantification of PD-L1 on IC has been shown not be reproducible to expected standards [10–13,18,23,38,39].	Training on expected staining profile and cut-off. Interpretation guideline. Use of a single scoring system. Automated quantification by computer-based image analysis.

(Continues)

Table 5. Continued

Risk	Description of risk	Mitigation approach/Recommendation
Unique biomarker as companion diagnostic test	Due to the complexity of immune response it is unlikely a single biomarker will sufficiently predict response to ICI. The use of PD-L1+ IC score as a unique biomarker test maybe suboptimal in real world conditions.	Since TILs and PD-L1 are part of an immunological spectrum and PD-1/PD-L1 interaction is only one of many factors that may determine the clinical outcome of immunotherapeutic therapies, assessing both as a composite biomarker could be a better way to identify patients most likely to respond to ICI.

H&E, hematoxylin and eosin; IC, immune cells; IHC, immunohistochemistry; LDTs, laboratory developed test; PD-L1+ IC, proportion of tumor area covered by IC with discernible PD-L1 staining of any intensity expressed as a percentage; ICI, PD-1/PD-L1 inhibition based therapy; TC, tumor cell; TILs, tumor infiltrating lymphocytes; TNBC, triple negative breast cancer.

paclitaxel in countries such as the United States, Japan, Sweden, Peru, and Argentina. Whereas in certain countries in the European Union (EU), China, and Brazil, *any PD-L1 assay can be used as long as it has been validated*. In the EU, drugs are generally not regulatorily linked to a companion diagnostic test. The NCCN and other guidelines [82] include PD-L1 diagnostic testing as part of the workup for recurrent or metastatic TNBC as well as other tumor types. However, to date, in most countries, PD-L1 testing is not performed routinely on metastatic TNBC, but mainly upon oncologist request.

Following regulatory approval and incorporation into clinical practice guidelines, a biomarker must also be affordable and accessible to pathologists in both academic and community-hospital practices worldwide to be successfully incorporated into daily practice. In Japan, where the SP142 assay is the approved companion diagnostic test for TNBC, only this assay is covered by the health system. In the United States, the SP142 assay and LDTs are covered by health insurance. In Peru, PD-L1 testing is covered by prepaid health insurance but it is not yet covered by the public health system. In Argentina, Australia, Brazil, Chile, India, Morocco, and some countries in the EU, the test is not yet covered by the health system. In the UK, the National Institute for Health and Care Excellence (NICE), the UK regulatory agency that evaluates drug efficacy, reported: 'Atezolizumab with nab-paclitaxel [...] does not meet NICE's criteria for inclusion in the Cancer Drugs Fund. This is because it does not have the potential to be cost effective at the current price, and there is no clear evidence that further trial data would resolve the uncertainties' [83].

Subsequently, each pathology laboratory faces challenges including sample selection, sample processing, choice of assay, quality assurance, and interpretation to ensure correct implementation and consequent accurate patient selection. Table 5 summarizes these and previously stated risks along with proposed mitigation approaches to ease the implementation of PD-L1 testing into clinical practice. It has been suggested that labs should test as many time points as are available such as to maximize patient eligibility for treatment. However, such an approach will be costly without proven benefit to the patient. It is also unclear whether insurance companies will pay for testing of multiple samples.

From a clinical perspective, it is imperative that an assay identifies patients likely to respond to ICI, rather than identifying a greater proportion of PD-L1+ patients.

The lower prevalence of PD-L1+ cases detected by the SP142 assay could potentially lead to fewer patients selected for therapy (false-negative tests), whereas use of SP263 or 22C3 could lead to greater patient eligibility at the expense of false-positive tests, unnecessarily subjecting a subset of these patients to toxicity and financial costs without clinical benefit. In an exploratory post hoc analysis of IMpassion130, the PD-L1+ population identified by each assay independently showed clinical benefit with similar hazard ratio (HR) (HR [95% CI]: SP142 IC_A ≥ 1%: PFS: 0.60 [0.47–0.78], OS: 0.74 [0.54–1.101]), 22C3 CPS ≥ 1: PFS: 0.68 [0.56–0.82], OS: 0.78 [0.62–0.99], SP263 IC ≥ 1%: PFS: 0.64 [0.53–0.79], OS: 0.75 [0.59–0.96]) [24]. 22C3 and SP263 identified a larger PD-L1+ population, of which the SP142 positive cases are a subgroup. Of note, the biomarker evaluable population (BEP) included only 68% of the original ITT population, and although it may be adequately sized to reliably identify a larger treatment effect in the two-category test-positive patients, it could be underpowered to analyze a tripartite population of dual-assay analysis. OPA for analytical concordance with SP142 (IC_A ≥ 1%) was 64% (22C3 CPS ≥ 1) and 69% (SP263 IC ≥ 1%), demonstrating that the assays are not equivalent [24]. Nevertheless, even if mostly driven by the SP142-positive subpopulation, SP263 and 22C3 identified patients that showed improved PFS and OS, making them clinically interchangeable, since they identify populations with near-similar clinical outcomes [9]. Further studies such as this, done in partnership between academia, industry, and regulatory entities, need to be encouraged, preferably before formal regulatory approval of an assay as a companion diagnostic linked to a specific drug. In a meta-analysis including samples from various tumor types, each diagnostic kit was found to better match with properly validated corresponding LDTs than with other diagnostic kit assays [43]. Although further studies are warranted, the use of LDTs is a reality in daily practice.

From a practical point of view, a single pathology laboratory cannot have all assays available. Labs performing PD-L1 IHC testing for NSCLC already use other assays, most commonly 22C3 and SP263 assays or an LDT [38,40]. Developing and validating the SP142 assay could be an unwarranted burden for some laboratories. SP142 and 22C3 commercial diagnostic assays are performed on different platforms, each a large capital

expenditure. In countries where regulatory agencies permit, PD-L1 could be performed as an LDT, if analytically validated. For the SP142 antibody, similar PD-L1 expression was observed with different platforms [15], although using a different detection method has proven to impact assay performance [47]. In countries where the regulatory agencies mandate the use of the SP142 assay, smaller hospitals will likely need to outsource testing to a reference laboratory. To date, in most countries, only a handful of large academic hospitals and reference labs are performing PD-L1 testing for TNBC. The choice of assay should be an agreement between pathologist, oncologist, and patients, and be directed by good laboratory practices and common sense. Patient advocates need to be aware of how the choice of an assay can influence treatment decisions.

For quality assurance purposes, tonsil-control tissue must be included as positive and negative controls alongside the clinical case to accept or reject the assay run. Tonsil tissue is recommended because it demonstrates granular punctate staining on lymphocytes arranged in aggregates and dispersed single-cell patterns, diffuse staining in the reticulated crypt epithelium, and absence of staining on superficial squamous epithelium [8]. A control sample staining close to the cut-off point is also recommended [87]. Unlike HER2, PD-L1 has no reflex alternative testing method that can be employed to ascertain accuracy. In addition, because the different PD-L1 assays are not equivalent, they cannot be tested against each other for accuracy. Pathology laboratories must audit their PD-L1 positivity rates as part of internal quality assurance. Prevalence of PD-L1+ ($IC_A > 1\%$) TNBC with SP142 was 41% (44% on primary and 36% on metastatic samples) on IMpassion130 [24,28]. Other studies have shown a similar range of prevalence 32–58% on TNBC samples using SP142 $IC_A \geq 1\%$ [14,22–25,28–30]; one study had an outlier prevalence of 78%, in which the first 25 patients were selected only if PD-L1+; then enrollment was extended to all patients [7]. However, PD-L1+ prevalence reaches 54–87 and 46–86% when using SP263 $IC_A \geq 1\%$ and 22C3 CPS ≥ 1 , respectively [22–25,31–34]. Prevalence of PD-L1+ on each of the cited studies is shown on Table 2. As part of an external quality assessment and validation, samples with known PD-L1 expression should be tested and compared on proficiency tests. A validated standardized PD-L1 Index Tissue Microarray [16] containing cell-line samples with known varying PD-L1 expression levels could be used for this purpose. For LDTs, laboratories must show results comparable to those obtained in clinical trials, with a diagnostic assay validated to predict potential response to a particular drug in a particular disease as a gold standard [84]. The Canadian Association of Pathologists has published a guide to ensure the quality of PD-L1 testing [85].

As discussed previously, inter-observer reproducibility is one of the main pitfalls regarding PD-L1 validity as a viable prognostic or predictive marker. These errors in patient selection not only put patients at risk, but also generate extra costs for health systems, generating issues

at the national regulatory level regarding reimbursement criteria. Pathologists must be trained to interpret and score PD-L1 assays. Training material developed by assay manufacturers, including a digital training platform with a proficiency test, can be accessed freely [86,88]. The value of training should be established in statistically rigorous studies that include post-training evaluation with proper decay time. In addition, pathologists must participate in external quality assurance programs. A guideline for the interpretation of PD-L1 IHC developed by pathologists for pathologists, like those for TILs [2,3,89], ER [90], and HER2 [91], is needed. Such a guideline developed by the International Association for the Study of Lung Cancer is available [92]. Even though reproducibility among pathologists has been shown to be higher with two-category scoring [38], we believe the percentage of PD-L1+ IC_A should be incorporated into the pathology report in addition to a positive or negative PD-L1 deliberation.

Another tool available for pathologists that can improve reproducibility is digital image analysis of whole-slide images. Evaluation of TILs in solid tumors is a highly suitable application for computational assessment; automated quantification by computer-based image analysis provides accurate and reproducible results that can aid pathologists, especially for borderline cases surrounding the clinically relevant 1% cut-off that are challenging to distinguish by eye. In the basic retrospective research realm, image analysis algorithms have shown better or comparable concordance between the automated algorithm score and the mean pathologist score than between pathologists [9,93]. Like any biomarker, computer-based image analysis algorithms would need to be analytically and clinically validated with demonstrated clinical utility such that results are consistent with trial materials used to established cut-points for clinical decision-making and approved by corresponding regulatory agencies before they can be applied in the daily practice. A recent publication outlines possible workflows and challenges for analytical and clinical validation of computational TIL assessment [94], paving the path for its incorporation into clinical trials and daily practice.

In view of the considerable level Ib evidence for the prognostic value of TILs, the expert panels at St Gallen 2019 [95] and authors of the 2019 edition of the *World Health Organization Classification of Tumors of the Breast* recommended quantification of TILs in TNBC. Internationally, some institutions have already begun incorporating TILs into pathology reports, paving the way for TIL counts to inform BC therapies. Going forward, a standardized format for reporting TIL counts, similar to those used to report hormone receptors, will need to be adopted. Given the inherent variability in TIL distribution and heterogeneity of sampling, we propose that TIL counts should be scored in treatment-naïve and advanced-setting BC specimens, while in the clinical post-treatment setting TILs should be scored only on clinical trial samples according to established guidelines [96]. TILs should be scored as recommend by the

TIL-WG [2,3] as a continuous variable, with clinically relevant cut-offs in mind.

Even though TILs will require validation in accordance with regulatory standards prior to being clinically recommended as a predictive biomarker for response to ICI, TILs $\geq 5\%$ have been shown to be predictive of response to pembrolizumab on the exploratory analysis of the randomized phase III KEYNOTE-119 clinical trial [57]. In addition, TILs have been analytically validated, with three ring studies showing reliable inter-reader reproducibility [97–99], and have the advantage of being easily assessed on a simple H&E slide with an existing standardized method that is available to the pathology community though numerous publications and at the TIL-WG website [2,89]. In a recent publication, an analysis of the most discordant cases on the ring studies identified possible pitfalls for scoring TILs, including technical factors, sample heterogeneity, variability in defining tumor boundaries, differentiating lymphocytes from mimics, and limited stroma for evaluation. Approaches to avoid these pitfalls have been covered in the publication, and associated educational resources are available at the TIL-WG website [89,97]. Once pathologists score TILs in their daily practice for prognostic purposes, this information will already be present in the report. As shown by Liu *et al* using SP142 LDT, a significant proportion of PD-L1+ ICs are macrophages [48], whereas TILs are composed of lymphocytes and plasma cells. In addition to providing this biologically relevant predictive information, TILs can also serve as a starting point. It is improbable that a tumor with no TILs will be PD-L1+. Similarly, PD-L1 borderline cases are likely to have low TILs. At the same time, cases with high TILs are highly likely to be PD-L1+, as evidenced on the BEP of IMPassion130 exploratory analysis, in which virtually all cases with TILs $>20\%$ were PD-L1+ [24]. Therefore, used in combination with TILs it may conceptually not matter which PD-L1 assay is used, as long as it is validated according to international standards. TILs are highly likely to be the backbone of predictive and prognostic information.

In conclusion, pathologists have a responsibility to patients to implement assays that lead to the most optimal selection of patients for immunotherapies. Solving the current issues in implementation of PD-L1 assays in clinical trials and daily practice requires a partnership between industry, academia, and regulating agencies, involving patient advocates. Because TILs and PD-L1 are part of an immunological spectrum in BC, and PD1-PD-L1 interaction is only one of many factors that may determine the clinical outcome of immunotherapeutic therapies, assessing both as a composite biomarker may be the best way to identify patients most likely to respond to ICI. However, reality and regulatory implementations dictate that practices will vary across different jurisdictions. We propose herewith a risk-management framework that may help mitigate the risks of suboptimal patient selection for immunotherapeutic approaches in BC.

Acknowledgements

The authors recognize the members of the International Immuno-Oncology Biomarker Working Group for reviewing and providing critical feedback on the manuscript. RS is supported by the Breast Cancer Research Foundation, New York, USA. SL is supported by the National Breast Cancer Foundation of Australia Endowed Chair and the Breast Cancer Research Foundation, New York. EAT is supported by the Breast Cancer Research Foundation.

Author contributions statement

RS conceived the presented idea, PGE did the literature search and took the lead in writing the manuscript, with the guidance of RS and MS. All authors provided critical feedback and helped shape the manuscript.

Disclaimer

This work includes contributions from, and was reviewed by, individuals who are employed by Bristol-Myers Squibb and Merck & Co, Inc. The content is solely the responsibility of the authors and does not necessarily represent the official views of Bristol-Myers Squibb or Merck & Co, Inc. Where authors are identified as personnel of the International Agency for Research on Cancer/World Health Organization, the authors alone are responsible for the views expressed in this article and they do not necessarily represent the decisions, policy or views of the International Agency for Research on Cancer/World Health Organization.

References

1. Lu S, Stein JE, Rimm DL, *et al*. Comparison of biomarker modalities for predicting response to PD-1/PD-L1 checkpoint blockade: a systematic review and meta-analysis. *JAMA Oncol* 2019; **5**: 1195–1204.
2. Hendry S, Salgado R, Gevaert T, *et al*. Assessing tumor-infiltrating lymphocytes in solid tumors: a practical review for pathologists and proposal for a standardized method from the International Immunooncology Biomarkers Working Group: part 1: assessing the host immune response, TILs in invasive breast carcinoma and ductal carcinoma in situ, metastatic tumor deposits and areas for further research. *Adv Anat Pathol* 2017; **24**: 235–251.
3. Hendry S, Salgado R, Gevaert T, *et al*. Assessing tumor infiltrating lymphocytes in solid tumors: a practical review for pathologists and proposal for a standardized method from the International Immunooncology Biomarkers Working Group: part 2: TILs in melanoma, gastrointestinal tract carcinomas, non-small cell lung carcinoma and mesothelioma, endometrial and ovarian carcinomas, squamous cell carcinoma of the head and neck, genitourinary carcinomas, and primary brain tumors. *Adv Anat Pathol* 2017; **24**: 311–335.
4. Salgado R, Solit DB, Rimm DL, *et al*. Addressing the dichotomy between individual and societal approaches to personalised medicine in oncology. *Eur J Cancer* 2019; **114**: 128–136.

5. Hall JA, Salgado R, Lively T, *et al.* A risk-management approach for effective integration of biomarkers in clinical trials: perspectives of an NCI, NCRI, and EORTC working group. *Lancet Oncol* 2014; **15**: 184–193.
6. Goossens N, Nakagawa S, Sun X, *et al.* Cancer biomarker discovery and validation. *Transl Cancer Res* 2015; **4**: 256–269.
7. Emens LA, Cruz C, Eder JP, *et al.* Long-term clinical outcomes and biomarker analyses of atezolizumab therapy for patients with metastatic triple-negative breast cancer: a phase 1 study. *JAMA Oncol* 2019; **5**: 74–82.
8. Vennapusa B, Baker B, Kowanetz M, *et al.* Development of a PD-L1 complementary diagnostic immunohistochemistry assay (SP142) for atezolizumab. *Appl Immunohistochem Mol Morphol* 2019; **27**: 92–100.
9. Tretiakova M, Fulton R, Kocherginsky M, *et al.* Concordance study of PD-L1 expression in primary and metastatic bladder carcinomas: comparison of four commonly used antibodies and RNA expression. *Mod Pathol* 2018; **31**: 623–632.
10. Tsao MS, Kerr KM, Kockx M, *et al.* PD-L1 immunohistochemistry comparability study in real-life clinical samples: results of blueprint phase 2 project. *J Thorac Oncol* 2018; **3**: 1302–1311.
11. Scheel AH, Dietel M, Heukamp LC, *et al.* Harmonized PD-L1 immunohistochemistry for pulmonary squamous-cell and adenocarcinomas. *Mod Pathol* 2016; **29**: 1165–1172.
12. Schwammborn K, Ammann JU, Knüchel R, *et al.* Multicentric analytical comparability study of programmed death-ligand 1 expression on tumor-infiltrating immune cells and tumor cells in urothelial bladder cancer using four clinically developed immunohistochemistry assays. *Virchows Arch* 2019; **475**: 1–10.
13. Hirsch FR, McElhinny A, Stanforth D, *et al.* PD-L1 immunohistochemistry assays for lung cancer: results from phase 1 of the blueprint PD-L1 IHC assay comparison project. *J Thorac Oncol* 2017; **12**: 208–222.
14. US Food and Drug Administration. Summary of Safety and Effectiveness Data. VENTANA PD-L1 (SP142) Assay. [Accessed 24 April 2020]. Available from: https://www.accessdata.fda.gov/cdrh_docs/pdf16/p160002s009b.pdf
15. Humphries MP, Hynes S, Bingham V, *et al.* Automated tumour recognition and digital pathology scoring unravels new role for PD-L1 in predicting good outcome in ER-/HER2+ breast cancer. *J Oncol* 2018; **2018**: 2937012.
16. Martinez-Morilla S, McGuire J, Gaule P, *et al.* Quantitative assessment of PD-L1 as an analyte in immunohistochemistry diagnostic assays using a standardized cell line tissue microarray. *Lab Invest* 2019; **100**: 4–15.
17. Morales-Betanzos CA, Lee H, Gonzalez-Ericsson PI, *et al.* Quantitative mass spectrometry analysis of PD-L1 protein expression, N-glycosylation and expression stoichiometry with PD-1 and PD-L2 in human melanoma. *Mol Cell Proteomics* 2017; **16**: 1705–1717.
18. Rehman JA, Han G, Carvajal-Hausdorf DE, *et al.* Quantitative and pathologist-read comparison of the heterogeneity of programmed death-ligand 1 (PD-L1) expression in non-small cell lung cancer. *Mod Pathol* 2017; **30**: 340–349.
19. Rebelatto MC, Midha A, Mistry A, *et al.* Development of a programmed cell death ligand-1 immunohistochemical assay validated for analysis of non-small cell lung cancer and head and neck squamous cell carcinoma. *Diagn Pathol* 2016; **11**: 95.
20. Roach C, Zhang N, Corigliano E, *et al.* Development of a companion diagnostic PD-L1 immunohistochemistry assay for pembrolizumab therapy in non-small-cell lung cancer. *Appl Immunohistochem Mol Morphol* 2016; **24**: 392–397.
21. Phillips T, Millett MM, Zhang X, *et al.* Development of a diagnostic programmed cell death 1-ligand 1 immunohistochemistry assay for nivolumab therapy in melanoma. *Appl Immunohistochem Mol Morphol* 2018; **26**: 6–12.
22. Scott M, Scorer P, Barker C, *et al.* Comparison of patient populations identified by different PD-L1 assays in triple-negative breast cancer (TNBC). *Ann Oncol* 2019; **30**(suppl_3): 1–26.
23. Noske A, Ammann J, Wagner D, *et al.* Reproducibility and concordance of 4 clinically developed programmed death-ligand 1 (PD-L1) immunohistochemistry (IHC) assays in triple negative breast cancer (TNBC). *Ann Oncol* 2019; **30**(suppl_5): v104–v142.
24. Rugo H, Loi S, Adams S, *et al.* Performance of PD-L1 immunohistochemistry (IHC) assays in unresectable locally advanced or metastatic triple-negative breast cancer (mTNBC): post-hoc analysis of IMPassion130. *Ann Oncol* 2019; **30**(suppl_5): v851–v934.
25. Reisenbichler ES, Pelekanou V, Yaghoobi V, *et al.* Prospective multi-institutional evaluation of pathologist assessment of PD-L1 assays in triple negative breast cancer. *Cancer Res* 2020; **80**(suppl 4): PD5-01; DOI: 10.1158/1538-7445.SABCS19-PD5-01.
26. Downes MR, Slodkowska E, Katabi N, *et al.* Inter- and intraobserver agreement of programmed death ligand 1 scoring in head and neck squamous cell carcinoma, urothelial carcinoma and breast carcinoma. 2020; **76**: 191–200.
27. Emens LA, Loi S, Rugo HS, *et al.* IMPassion130: efficacy in immune biomarker subgroups from the global, randomized, double-blind, placebo-controlled, phase III study of atezolizumab+ nab-paclitaxel in patients with treatment-naïve, locally advanced or metastatic triple-negative breast cancer. https://www.sabcs.org/SABCS/2018/AllAbstracts_2018-12-03_Updated.pdf. *Cancer Res* 2019; **79**(suppl 4): GS1-04; DOI: 10.1158/1538-7445.SABCS18-GS1-04
28. Schmid P, Adams S, Rugo HS, *et al.* Atezolizumab and nab-paclitaxel in advanced triple-negative breast cancer. *N Engl J Med* 2018; **379**: 2108–2121.
29. Carter JM PM, Sinnwell JP, Leon-Ferre RA, *et al.* Frequency, characteristics and prognostic factors of PD-L1+ triple negative breast cancer using the PD-L1 SP142 companion assay. *Cancer Res* 2020; **80**(suppl 4): PD1-08; DOI: 10.1158/1538-7445.SABCS19-PD1-08
30. Adams S, Diamond JR, Hamilton E, *et al.* Atezolizumab plus nab-paclitaxel in the treatment of metastatic triple-negative breast cancer with 2-year survival follow-up: a phase 1b clinical trial. *JAMA Oncol* 2019; **5**: 334–342.
31. Cortés J, Lipatov O, Im S, *et al.* KEYNOTE-119: phase 3 study of pembrolizumab (pembro) versus single-agent chemotherapy (chemo) for metastatic triple negative breast cancer (MTNBC). *Ann Oncol* 2019; **30**(suppl_5): v851–v934.
32. Nanda R, Chow LQ, Dees EC, *et al.* Pembrolizumab in patients with advanced triple-negative breast cancer: phase 1b KEYNOTE-012 study. *J Clin Oncol* 2016; **34**: 2460–2467.
33. Adams S, Schmid P, Rugo HS, *et al.* Phase 2 study of pembrolizumab (pembro) monotherapy for previously treated metastatic triple-negative breast cancer (mTNBC): KEYNOTE-086 cohort A. *J Clin Oncol* 2017; **35**(suppl): 1008–1008.
34. Tolaney SKK, Kaklamani V, *et al.* Phase 1b/2 study to evaluate eribulin mesylate in combination with pembrolizumab in patients with metastatic triple negative breast cancer. *Cancer Res* 2018; **78**(suppl): PD6–PD13.
35. Dirix LY, Takacs I, Jerusalem G, *et al.* Avelumab, an anti-PD-L1 antibody, in patients with locally advanced or metastatic breast cancer: a phase 1b JAVELIN solid tumor study. *Breast Cancer Res* 2018; **167**: 671–686.
36. Voorwerk L, Slagter M, Horlings HM, *et al.* Immune induction strategies in metastatic triple-negative breast cancer to enhance the sensitivity to PD-1 blockade: the TONIC trial. *Nat Med* 2019; **25**: 920–928.
37. Loibl S, Untch M, Burchardi N, *et al.* A randomised phase II study investigating durvalumab in addition to an anthracycline taxane-based neoadjuvant therapy in early triple negative breast cancer: clinical results and biomarker analysis of GeparNuevo study. *Ann Oncol* 2019; **30**: 1279–1288.

38. Rimm DL, Han G, Taube JM, *et al.* Reanalysis of the NCCN PD-L1 companion diagnostic assay study for lung cancer in the context of PD-L1 expression findings in triple-negative breast cancer. *Breast Cancer Res* 2019; **21**: 72.
39. Rimm DL, Han G, Taube JM, *et al.* A prospective, multi-institutional, pathologist-based assessment of 4 immunohistochemistry assays for PD-L1 expression in non-small cell lung cancer. *JAMA Oncol* 2017; **3**: 1051–1058.
40. Velcheti V, Patwardhan PD, Liu FX, *et al.* Real-world PD-L1 testing and distribution of PD-L1 tumor expression by immunohistochemistry assay type among patients with metastatic non-small cell lung cancer in the United States. *PLoS One* 2018; **13**: e0206370.
41. Eckstein M, Erben P, Kriegsmair MC, *et al.* Performance of the Food and Drug Administration/EMA-approved programmed cell death ligand-1 assays in urothelial carcinoma with emphasis on therapy stratification for first-line use of atezolizumab and pembrolizumab. *Eur J Cancer* 2019; **106**: 234–243.
42. Zavalishina L, Tsimafeyu I, Povilaitis P, *et al.* RUSSCO-RSP comparative study of immunohistochemistry diagnostic assays for PD-L1 expression in urothelial bladder cancer. *Virchows Arch* 2018; **473**: 719–724.
43. Torlakovic E, Lim HJ, Adam J, *et al.* “Interchangeability” of PD-L1 immunohistochemistry assays: a meta-analysis of diagnostic accuracy. *Mod Pathol* 2020; **33**: 4–17.
44. Lawson NL, Dix CI, Scorer PW, *et al.* Mapping the binding sites of antibodies utilized in programmed cell death ligand-1 predictive immunohistochemical assays for use with immuno-oncology therapies. *Mod Pathol* 2020; **33**: 518–530.
45. US Food and Drug Administration. Summary of safety and effectiveness data: VENTANA PD-L1 (SP263) Assay. [Accessed 24 April 2020]. Available from: https://www.accessdata.fda.gov/cdrh_docs/pdf16/P160046B.pdf.
46. US Food and Drug Administration. Summary of safety and effectiveness data: PD-L1 IHC 28-8 pharmDx. [Accessed 24 April 2020]. Available from: https://www.accessdata.fda.gov/cdrh_docs/pdf15/P150025b.pdf.
47. Schats KA, Van Vré EA, Boeckx C, *et al.* Optimal evaluation of programmed death ligand-1 on tumor cells versus immune cells requires different detection methods. *Arch Pathol Lab Med* 2018; **142**: 982–991.
48. Liu Y, Zugazagoitia J, Ahmed FS, *et al.* Immune cell PD-L1 co-localizes with macrophages and is associated with outcome in PD-1 pathway blockade therapy. *Clin Cancer Res* 2020; **26**: 970–977.
49. Dennis E, Kockx M, Harlow G, *et al.* Effective and globally reproducible digital pathologist training program on PD-L1 immunohistochemistry scoring on immune cells as a predictive biomarker for cancer immunotherapy in triple negative breast cancer. *Cancer Res* 2020; **80** (suppl 4): PD5-02; DOI: 10.1158/1538-7445.SABCS19-PD5-02
50. Solinas C, Van den Eynden G, De Wind A, *et al.* Reliability of immune biomarker assessment in breast cancer: a report on interobserver variability from studies at a single institution. *Cancer Res* 2018; **78**(suppl): 1624.
51. Marchetti A, Barberis M, Franco R, *et al.* Multicenter comparison of 22C3 PharmDx (Agilent) and SP263 (Ventana) assays to test PD-L1 expression for NSCLC patients to be treated with immune checkpoint inhibitors. *J Thorac Oncol* 2017; **12**: 1654–1663.
52. Dobbin KK, Cesano A, Alvarez J, *et al.* Validation of biomarkers to predict response to immunotherapy in cancer: volume II—clinical validation and regulatory considerations. *J Immunother Cancer* 2016; **4**: 77.
53. Freidlin B, McShane LM, Korn EL. Randomized clinical trials with biomarkers: design issues. *J Natl Cancer Inst* 2010; **102**: 152–160.
54. Sargent DJ, Conley BA, Allegra C, *et al.* Clinical trial designs for predictive marker validation in cancer treatment trials. *J Clin Oncol* 2005; **23**: 2020–2027.
55. Loi S, Winer E, Lipatov O, *et al.* Relationship between tumor-infiltrating lymphocytes (TILs) and outcomes in the KEYNOTE-119 study of pembrolizumab vs chemotherapy for previously treated metastatic triple-negative breast cancer (mTNBC). *Cancer Res* 2020; **80**(suppl 4): PD5-03; DOI: 10.1158/1538-7445.SABCS19-PD5-03
56. Adams S, Loi S, Toppmeyer D, *et al.* Phase 2 study of pembrolizumab as first-line therapy for PD-L1-positive metastatic triple-negative breast cancer (mTNBC): preliminary data from KEYNOTE-086 cohort B. *J Clin Oncol* 2017; **35**(Suppl): 1088–1088.
57. Loi S, Adams S, Schmid P, *et al.* Relationship between tumor infiltrating lymphocyte (TIL) levels and response to pembrolizumab (pembro) in metastatic triple-negative breast cancer (mTNBC): results from KEYNOTE-086. *Ann Oncol* 2017; **28**(suppl 5): v605–v649.
58. Loi S, Giobbie-Hurder A, Gombos A, *et al.* Pembrolizumab plus trastuzumab in trastuzumab-resistant, advanced, HER2-positive breast cancer (PANACEA): a single-arm, multicentre, phase 1b–2 trial. *Lancet Oncol* 2019; **20**: 371–382.
59. Emens L, Esteva F, Beresford M, *et al.* Overall survival (OS) in KATE2, a phase II study of programmed death ligand 1 (PD-L1) inhibitor atezolizumab (atezo)+ trastuzumab emtansine (T-DM1) vs placebo (pbo)+ T-DM1 in previously treated HER2+ advanced breast cancer (BC). *Ann Oncol* 2019; **30**(suppl 5): v104–v142.
60. Schmid P, Cortés J, Dent R, *et al.* KEYNOTE-522: phase 3 study of pembrolizumab (pembro)+ chemotherapy (chemo) vs placebo (pbo) + chemo as neoadjuvant treatment, followed by pembro vs pbo as adjuvant treatment for early triple-negative breast cancer (TNBC). *Ann Oncol* 2019; **30**(suppl 5): v851–v934.
61. Loi S, Schmid P, Cortes J, *et al.* Relationship between tumor infiltrating lymphocytes (TILs) and response to pembrolizumab (pembro) + chemotherapy (Chemo) as neoadjuvant treatment (NAT) for triple negative breast cancer (TNBC): phase 1b KEYNOTE-173 trial. *Cancer Res* 2019; **79**(suppl): P3-10-09.
62. Loi S, Schmid P, Aktan G, *et al.* Relationship between tumor infiltrating lymphocytes (TILs) and response to pembrolizumab (pembro)+ chemotherapy (CT) as neoadjuvant treatment (NAT) for triple-negative breast cancer (TNBC): phase 1b KEYNOTE-173 trial. *Ann Oncol* 2019; **30**(suppl 3): iii1–iii26.
63. Schmid P, Rugo HS, Adams S, *et al.* Atezolizumab plus nab-paclitaxel as first-line treatment for unresectable, locally advanced or metastatic triple-negative breast cancer (IMpassion130): updated efficacy results from a randomised, double-blind, placebo-controlled, phase 3 trial. *Lancet Oncol* 2020; **21**: 44–59.
64. Simon RM, Paik S, Hayes DF. Use of archived specimens in evaluation of prognostic and predictive biomarkers. *J Natl Cancer Inst* 2009; **101**: 1446–1452.
65. Campbell MJ, Yau C, Bolen J, *et al.* Analysis of immune cell infiltrates as predictors of response to the checkpoint inhibitor pembrolizumab in the neoadjuvant I-SPY 2 TRIAL. *Cancer Res* 2019; **79** (suppl): Abstract CT003.
66. Denkert C, Von Minckwitz G, Bräse JC, *et al.* Tumor-infiltrating lymphocytes and response to neoadjuvant chemotherapy with or without carboplatin in human epidermal growth factor receptor 2-positive and triple-negative primary breast cancers. *J Clin Oncol* 2015; **33**: 983–991.
67. Denkert C, von Minckwitz G, Darb-Esfahani S, *et al.* Tumour-infiltrating lymphocytes and prognosis in different subtypes of breast cancer: a pooled analysis of 3771 patients treated with neoadjuvant therapy. *Lancet Oncol* 2018; **19**: 40–50.
68. Adams S, Gray RJ, Demaria S, *et al.* Prognostic value of tumor-infiltrating lymphocytes in triple-negative breast cancers from two phase III randomized adjuvant breast cancer trials: ECOG 2197 and ECOG 1199. *J Clin Oncol* 2014; **32**: 2959–2966.
69. Loi S, Drubay D, Adams S, *et al.* Tumor-infiltrating lymphocytes and prognosis: a pooled individual patient analysis of early-stage triple-negative breast cancers. *J Clin Oncol* 2019; **37**: 559–569.

70. Loi S, Michiels S, Salgado R, *et al*. Tumor infiltrating lymphocytes are prognostic in triple negative breast cancer and predictive for trastuzumab benefit in early breast cancer: results from the FinHER trial. *Ann Oncol* 2014; **25**: 1544–1550.
71. Park JH, Jonas SF, Bataillon G, *et al*. Intrinsic prognostic value of tumor infiltrating lymphocytes (TILs) in early-stage triple negative breast cancer (TNBC) not treated with adjuvant chemotherapy. A pooled analysis of 4 individual cohorts. *Ann Oncol* 2019; **30**: 1941–1949.
72. Leon-Ferre RA, Polley M-Y, Liu H, *et al*. Impact of histopathology, tumor-infiltrating lymphocytes, and adjuvant chemotherapy on prognosis of triple-negative breast cancer. *Breast Cancer Res Treat* 2018; **167**: 89–99.
73. Wimberly H, Brown JR, Schalper K, *et al*. PD-L1 expression correlates with tumor-infiltrating lymphocytes and response to neoadjuvant chemotherapy in breast cancer. *Cancer Immunol Res* 2015; **3**: 326–332.
74. Cerbelli B, Pernazza A, Botticelli A, *et al*. PD-L1 expression in TNBC: a predictive biomarker of response to neoadjuvant chemotherapy? *Biomed Res Int* 2017; **2017**: 1750925.
75. McShane LM, Altman DG, Sauerbrei W, *et al*. Reporting recommendations for tumor marker prognostic studies (REMARK). *J Natl Cancer Inst* 2005; **97**: 1180–1184.
76. Sauerbrei W, Taube SE, McShane LM, *et al*. Reporting recommendations for tumor marker prognostic studies (REMARK): an abridged explanation and elaboration. *J Natl Cancer Inst* 2018; **110**: 803–811.
77. Hudecek J, Voorwerk L, van Seijen M, *et al*. Application of a risk-management framework for integration of stromal tumor infiltrating lymphocytes in clinical trials. *NPJ Breast Cancer* 2020. <https://doi.org/10.1038/s41523-020-0155-1>.
78. Ahmed FS, McGuire J, Gaule P, *et al*. Quantitative assessment of PD-L1 protein expression on macrophages and tumor cells as predictive markers of response to neoadjuvant durvalumab and chemotherapy in triple negative breast cancer (TNBC). *Cancer Res* 2020; **80**(suppl 4): P4-10-14; <https://doi.org/10.1158/1538-7445.SABCS19-P4-10-14>.
79. Samstein RM, Lee C-H, Shoushtari AN, *et al*. Tumor mutational load predicts survival after immunotherapy across multiple cancer types. *Nat Genet* 2019; **51**: 202–206.
80. Merino DM, McShane L, Butler M, *et al*. TMB standardization by alignment to reference standards: phase II of the Friends of Cancer Research TMB Harmonization Project. *J Clin Oncol* 2019; **37**(suppl): 2624–2624.
81. Wulfkühle JD, Yau C, Wolf DM, *et al*. Quantitative MHC II protein expression levels in tumor epithelium to predict response to the PD1 inhibitor pembrolizumab in the I-SPY 2 trial. *J Clin Oncol* 2019; **37**(suppl): 2631–2631.
82. National Comprehensive Cancer Network. National Comprehensive Cancer Network Guidelines, 2019. [Accessed 20 January 2020]. Available from: https://www.nccn.org/professionals/physician_gls/default.aspx.
83. NICE: National Institute for Health and Care Excellence. Atezolizumab with nab-paclitaxel for treating PD L1-positive, triple-negative, advanced breast cancer, 2019. [Accessed 24 April 2020]. Available from: <https://www.nice.org.uk/guidance/gid-ta10433/documents/129>.
84. Cheung CC, Lim HJ, Garratt J, *et al*. Diagnostic accuracy in fit-for-purpose PD-L1 testing. *Appl Immunohistochem Mol Morphol* 2019; **27**: 251–257.
85. Cheung CC, Barnes P, Bigras G, *et al*. Fit-for-purpose PD-L1 biomarker testing for patient selection in immuno-oncology: guidelines for clinical laboratories from the Canadian Association of Pathologists-Association Canadienne Des Pathologistes (CAP-ACP). *Appl Immunohistochem Mol Morphol* 2019; **27**: 699–714.
86. Roche Tissue Diagnostics. Pathology Education Portal, 2018. [Accessed 20 January 2020]. Available from: education.ventana.com.
87. Cree IA, Booton R, Cane P, *et al*. PD-L1 testing for lung cancer in the UK: recognizing the challenges for implementation. *Histopathology* 2016; **69**: 177–186.
88. Aligent. PD-L1 IHC 22C3 Training Program, 2020. [Accessed 20 January 2020]. Available from: <https://www.agilent.com/en-us/products/pharmdx/pd-l1-ihc-22c3-training-program>.
89. International Immuno-Oncology Biomarker Working Group on Breast Cancer. TILs Breast Cancer, 2019. [Accessed 24 April 2020]. Available from: <https://www.tilsinbreastcancer.org/>.
90. Hammond MEH, Hayes DF, Dowsett M, *et al*. American Society of Clinical Oncology/College of American Pathologists guideline recommendations for immunohistochemical testing of estrogen and progesterone receptors in breast cancer (unabridged version). *Arch Pathol Lab Med* 2010; **134**: 48–72.
91. Wolff AC, Hammond MEH, Allison KH, *et al*. Human epidermal growth factor receptor 2 testing in breast cancer: American Society of Clinical Oncology/College of American Pathologists clinical practice guideline focused update. *Arch Pathol Lab Med* 2018; **142**: 1364–1382.
92. International Association for the Study of Lung Cancer. IASLC Atlas of PD-L1 immunohistochemistry testing in lung cancer, 2017. [Accessed 20 January 2020]. Available from: https://www.iaslc.org/Portals/0/iaslc_pd-l1_atlas_mar2018_lo-res.pdf?ver=2019-06-06-153849-143.
93. Taylor CR, Jadhav AP, Gholap A, *et al*. A multi-institutional study to evaluate automated whole slide scoring of immunohistochemistry for assessment of Programmed Death-Ligand 1 (PD-L1) expression in non-small cell lung cancer. *Appl Immunohistochem Mol Morphol* 2019; **27**: 263–269.
94. Amgad M, Stovgaard ES, Balslev E, *et al*. Report on computational assessment of tumor infiltrating lymphocytes from the International Immuno-Oncology Biomarker Working group. *NPJ Breast Cancer* 2020; NPJBCANCER-00427R1 <https://doi.org/10.1038/s41523-020-0154-2>.
95. Balic M, Thomssen C, Würstlein R, *et al*. St. Gallen/Vienna 2019: a brief summary of the consensus discussion on the optimal primary breast cancer treatment. *Breast Care* 2019; **14**: 103–110.
96. Dieci MV, Radošević-Robin N, Fineberg S, *et al*. Update on tumor-infiltrating lymphocytes (TILs) in breast cancer, including recommendations to assess TILs in residual disease after neoadjuvant therapy and in carcinoma in situ: a report of the International Immuno-Oncology Biomarker Working Group on Breast Cancer. *Semin Cancer Biol* 2018; **52**: 16–25.
97. Kos Z, Roblin E, Kim R, *et al*. Pitfalls in assessing stromal tumor infiltrating lymphocytes (sTILs) in breast Cancer. *NPJ Breast Cancer* 2020; <https://doi.org/10.1038/s41523-020-0156-0>.
98. Denkert C, Wienert S, Poterie A, *et al*. Standardized evaluation of tumor-infiltrating lymphocytes in breast cancer: results of the ring studies of the international immuno-oncology biomarker working group. *Mod Pathol* 2016; **29**: 1155–1164.
99. Kim RS, Song N, Gavin PG, *et al*. Stromal tumor-infiltrating lymphocytes in NRG oncology/NSABP B-31 adjuvant trial for early-stage HER2-positive breast cancer. *J Natl Cancer Inst* 2019; **111**: 867–871.