

# 1           **May smart technologies reduce the environmental impact of nitrogen** 2                           **fertilization? A case study for paddy rice**

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## 15    **Abstract**

16    Precision agriculture is increasingly considered as a powerful solution to mitigate the  
17    environmental impact of farming systems. This because of its ability to use multi-source  
18    information in decision support systems to increase the efficiency of farm management.  
19    Among the agronomic practices for which precision agriculture concepts were applied in  
20    research and operational contexts, variable rate (VR) nitrogen fertilization plays a key role.  
21    A promising approach to make quantitative, spatially distributed diagnoses to support VR N  
22    fertilization is based on the combined use of remote sensing information and few smart  
23    scouting-driven ground estimates to derive maps of nitrogen nutrition index (NNI). In this study,  
24    a new smart app for field NNI estimates (PocketNNI) was developed, which can be  
25    integrated with remote sensing data. The environmental impact of using PocketNNI and  
26    Sentinel 2 products to drive fertilization was evaluated using the Life Cycle Assessment  
27    approach and a case study on rice in northern Italy. In particular, the environmental  
28    performances of rice fertilized according to VR information derived from the integration of

29 PocketNNI and satellite data was compared with a treatment based on uniform N  
30 application. Primary data regarding the cultivation practices and the achieved yields were  
31 collected during field tests.

32 Results showed that VR fertilization allowed reducing the environmental impact by 11.0% to  
33 13.6% as compared to uniform N application. For Climate Change, the impact is reduced  
34 from 937.3 to 832.7 kg CO<sub>2</sub> eq/t of paddy rice. The highest environmental benefits – mainly  
35 due to an improved ratio between grain yield and N fertilizers – were achieved in terms of  
36 energy consumption for fertilizer production and of emission of N compounds. Although  
37 further validation is needed, these preliminary results are promising and provide a first  
38 quantitative indication of the environmental benefits that can be achieved when digital  
39 technologies are used to support N fertilization.

40

#### 41 **Keywords**

42 Impact assessment, Life Cycle Assessment, Nitrogen Nutrition Index, PocketNNI, Precision  
43 Agriculture, Sentinel.

## 44 **1. Introduction**

45 Precision agriculture (PA) – i.e., the use of multi-source information in decision support systems  
46 to increase the efficiency of farm management (Blackmore, 1994; Bouma et al., 1999) – is  
47 increasingly catalysing the attention of scientists and farmers because of its potential to  
48 reduce environmental pollution while increasing farm profits and product quality (Srinivasan,  
49 2006). The adoption of PA techniques proved to enhance the economic return of farming  
50 activities by improving the efficiency in the use of technical inputs (Balafoutis et al., 2017a;  
51 van Evert et al., 2017), although to a different extent according to the crop, the technical  
52 input considered, and the cost of the technology used to implement PA principles  
53 (Lowenberg-DeBoer and Erickson, 2019, Griffin and Lowenberg-DeBoer, 2005). In practical  
54 terms, PA aims at managing variability in space and time (McBratney et al., 2005), that is,  
55 doing the right thing in the right place at the right time, and in the right way (Pierce et al.,  
56 1994). A variety of studies confirmed the positive expectations behind the application of PA  
57 techniques, for both herbaceous (e.g., Basso et al., 2016) and tree species (e.g., Balafoutis et  
58 al., 2017b; van Evert et al., 2017). However, PA often requires the adoption of advanced  
59 machineries and technological systems, whose construction, maintenance and use could, to  
60 a certain extent, reduce the potential environmental and economic benefits deriving from its  
61 implementation (Sadler et al., 2005). As an example, the application of PA principles to water  
62 management normally requires the use of drip irrigation (e.g., Smith and Baillie, 2009; Mafuta  
63 et al., 2013; Prathyusha et al., 2013; Kisekka et al., 2017), which may have a higher  
64 environmental impact (evaluated through LCA) compared to less sophisticated irrigation  
65 systems characterized by lower water use efficiencies (Guiso et al., 2015) because of the  
66 production, laying and disposal of part of the plastic pipes.

67 These considerations are far from being aimed at casting a shadow over PA and, in general,  
68 over technology. They rather underline the need of evaluating in a quantitative way the  
69 actual economic and environmental impacts of technologies in specific production contexts.  
70 Among agricultural practices, variable rate (VR) nitrogen (N) fertilization is likely one of those  
71 for which the largest number of studies was performed (e.g., Basso et al., 2016). VR fertilization  
72 can be based (i) on static information derived from soil data (e.g., Grisso et al., 2009), remote

73 sensing (Casa et al., 2017) or yield maps from previous years (e.g., Stafford et al., 1999), or (ii)  
74 on dynamic crop monitoring using real-time or near-real-time information (Nutini et al., 2018).  
75 The latter refers to topdressing N fertilization and can be based on sensors mounted on the  
76 operating tractor (e.g., GreenSeeker, Trimble, CA, USA; Raun et al., 2005), on remote sensing  
77 information (Schwalbert et al., 2019), or on diagnostic portable instruments (Rogovska et al.,  
78 2019).

79 The most quantitative approaches for dynamic VR fertilization are based on the combined  
80 use of remote sensing information and smart scouting-based ground data collection for  
81 estimating N nutrition index (NNI) (e.g., Chen, 2015; Huang et al., 2015; Ata-Ul-Karim et al.,  
82 2014; Nutini et al., 2018), the latter being defined as actual (PNC) to critical (Ncrit) plant N  
83 concentration ratio (Lemaire et al., 2008). The integration of few ground measurements and  
84 remote sensing information allows obtaining spatially distributed maps of NNI (i) with a limited  
85 effort compared to using only ground data and (ii) with a quantification of crop needs more  
86 reliable compared to the sole use of remote sensing data (Nutini et al., 2018).

87 Besides the need of species- or cultivar-specific calibration curves to derive PNC values from  
88 indirect proximal or remote estimates (Varinderpal et al., 2011), obstacles to the adoption of  
89 systems to support VR fertilization deal – like for many decision support systems (Rose et al.,  
90 2016) – with their time- and cost-effectiveness and usability (Korsaeth and Riley, 2006). A  
91 system to support VR topdressing fertilization based on smart apps – PocketN (Confalonieri et  
92 al., 2015) for PNC estimates and PocketLAI (Confalonieri et al., 2013) to derive Ncrit according  
93 to Confalonieri et al. (2011) – and satellite data was recently proposed and evaluated for rice  
94 (Nutini et al., 2018). The system is scientifically sound (based on the NNI concept) and  
95 inexpensive, being based on free-of-charge Sentinel 2 products and smartphones for ground  
96 data collection.

97 This study aimed at (i) evaluating a new smart app for determining NNI (PocketNNI) under  
98 operational farming conditions, and (ii) evaluating with a dedicated case study the  
99 environmental performances of fertilization strategies based on the integration of PocketNNI  
100 and satellite data for VR fertilization in rice as compared to adopting standard N  
101 management. Given PocketNNI allows to explicitly account for crop N nutritional status while

102 applying VR top-dressing fertilizations, it has the potential to increase N use efficiency and, in  
103 turn, the environmental sustainability of rice-based cropping systems. PocketNNI (**Figure 1**)  
104 integrates PocketLAI, PocketN and the calibration curves to derive PNC from PocketN  
105 readings developed for European rice cultivars by Paleari et al. (2019). Being estimates geo-  
106 referenced, PocketNNI can be easily coupled to satellite data or used as a standalone tool in  
107 case of production contexts characterized by small fields. PocketNNI is the first standalone  
108 tool able to directly estimate NNI without the need of integrating readings from different  
109 instruments and without the need of transforming variables in external environments.  
110 Moreover, this is the first time LCA was performed to evaluate VR fertilization in rice, the only  
111 two studies available – to our knowledge – being for pear orchards (Vatsanidou et al., 2017)  
112 and maize (Li et al., 2016).

113

## 114 **2. Material and Methods**

### 115 **2.1 The smart app PocketNNI**

116 PocketNNI (**Figure 1**) estimates the actual (PNC) to critical (Ncrit) plant N concentration ratio  
117 (NNI) by integrating the algorithms of the smart apps PocketLAI (Confalonieri et al., 2013) and  
118 PocketN (Confalonieri et al., 2015), the calibration curves needed to estimate PNC values  
119 from PocketN readings (Paleari et al., 2019), and the model to derive Ncrit as a function of  
120 leaf area index (LAI) (Confalonieri et al., 2011).

121

122 **Figure 1 – Around here**

123

124 PocketN derives an index that quantifies leaf greenness (dark green colour index, DGCI,  
125 unitless; Karcher and Richardson, 2003) by using the hue (H), saturation (S) and brightness (B)  
126 values (HSB colour space) of a 25-pixel portion of leaf images acquired on a dedicated  
127 expanded polyvinyl chloride background panel that flats reflectance across the visible  
128 spectrum. This allows characterizing leaf greenness on images acquired under consistent  
129 exposure regardless of the illumination conditions.

130

$$131 \quad DGCI = \frac{\frac{H-60}{60} + 2 - S - B}{3} \quad (\text{Eq. 1})$$

132

133 In PocketNNI, *DGCI* is automatically converted into PNC values by using the calibration  
134 curves developed for European rice varieties by Paleari et al. (2019).

135 *N<sub>crit</sub>* is estimated according to the model proposed by Confalonieri et al. (2011), which uses  
136 the inverse of the fraction of radiation intercepted by the canopy to reproduce the dilution  
137 of N in plant tissues due to the remobilization of N from senescent leaves (Eq. 2):

138

$$139 \quad N_{crit} = \frac{N_{mat}}{1 - e^{-k \cdot LAI}} \quad (2)$$

140

141 The parameter *N<sub>mat</sub>* (%) represents the value of *N<sub>crit</sub>* at maturity, and *k* (-) is the extinction  
142 coefficient for solar radiation. As in Confalonieri et al. (2011), *N<sub>mat</sub>* and *k* were set to 1% and  
143 0.5, respectively. Within PocketNNI, LAI is derived by implementing the algorithms of  
144 PocketLAI (Confalonieri et al., 2013). According to this method, the gap fraction (*P<sub>0</sub>*) is  
145 estimated through the automatic segmentation of images acquired at 57.5° zenith angle  
146 from below the canopy while the user is rotating the smartphone along its main axis. The 57.5°  
147 angle is identified in real time, by applying plain vector algebra to the components of the *g*  
148 vector provided by the 3-axis accelerometer of the device. LAI values are then derived by  
149 inverting the light transmittance model proposed by Baret et al. (2010) (Eq. 3):

150

$$151 \quad LAI = - \left[ \frac{\cos(57.5^\circ)}{0.5} \right] \log[P_0(57.5^\circ)] \quad (3)$$

152

153 This model uses the gap fraction estimated at 57.5° because it has been proved that the  
154 information acquired from this particular view angle are independent from the leaf angle  
155 distribution (Baret et al., 2010).

156 Further details on PocketLAI, PocketN and on the PocketN calibration curves are provided as  
157 supplementary material (Figure S1, S2 and Table S1) and by Confalonieri et al. (2013, 2015)  
158 and Paleari et al. (2019).

159

## 160 **2.2 Description of the field experiment**

161 Rice (*Oryza sativa* L., cv. Volano) was scatter seeded on 7 May 2018 in a 2 ha field in  
162 Gaggiano (Milan province; 45°23'N, 9°02'E, 126 m a.s.l.) and grown under continuous  
163 flooding conditions. Rice was grown in the field since the last decade, reflecting the high  
164 level of specialization of rice farms in Northern Italy. The site is representative of the conditions  
165 experienced by rice in the eastern part of the main European rice district. Soil was silt loam  
166 (USDA), subacid, with medium organic matter content and cation exchange capacity, and  
167 unlimiting values for available P and exchangeable K. Crop management allowed a  
168 complete control of weeds, pests and diseases.

169 In general, temperatures during the 2018 rice season were in line with the 10-year average in  
170 the site (Figure S3) and, despite mean daily temperatures during summer months were  
171 sometimes higher than the average, they never exceeded the optimal range for rice  
172 (Sanchez et al., 2014). The 2018 season was consistent with mean climatic conditions in the  
173 study area also in terms of precipitations (Figure S4), with spring and autumn rainfall peaks,  
174 and drier conditions during summer.

175 Two different fertilization strategies were considered (**Table 1**), each applied to half of the  
176 field, with the latter divided along the same direction of a fertility gradient generated by the  
177 union of two fields and by the levelling of the resulting one. In the first fertilization strategy  
178 (Baseline Scenario - BS), topdressing N fertilization was applied based on the standard  
179 farming practices in the study area and on the farmer's perception of crop needs. For this  
180 scenario, N was distributed without differentiating the dose in the different parts of the field.

181 In the second strategy (Alternative Scenario - AS), PocketNNI and satellite data were used to  
182 derive spatially distributed estimates of NNI, whose values were grouped in five classes: (i)  
183 severe N stress ( $NNI < 0.7$ ), (ii) light N stress ( $0.7 \leq NNI < 0.9$ ), (iii) neutral ( $0.9 \leq NNI \leq 1.1$ ), (iv) light  
184 luxury consumption ( $1.1 < NNI \leq 1.3$ ), and (v) severe luxury consumption ( $NNI > 1.3$ ). The

185 membership of field portions to the NNI classes was used by the farmer to define the N dose  
186 corresponding to each portion (i.e., prescription map), in light of the fertilization strategy  
187 adopted (how many fertilization events), of the cultivar features and of his knowledge of the  
188 specific field (e.g., soil drainage, organic matter content). As demonstrated and discussed by  
189 Paleari et al. (2019), indeed, differences in cultivar features, and the variability in soils  
190 properties and management strategies mostly prevent using constant relationships to derive  
191 N doses from NNI values.

192 The clustering of Sentinel 2 NDRE (Normalized Difference Red-Edge) images acquired before  
193 the topdressing fertilizations allowed driving ground data collection with the aim of finding  
194 the best compromise between the need of properly capturing field variability and the need  
195 of keeping to the minimum the number of ground measurements (Nutini et al., 2018). In  
196 practice, one site in the field was identified for each of six NDRE clusters, and PocketNNI  
197 readings were taken at each site.

198 In case of directly spatializing NNI values via relationships with vegetation indices (Fitzgerald  
199 et al., 2010; Cao et al., 2013), NNI values provided by PocketNNI can be directly used. In this  
200 study, we chose to indirectly estimate NNI values at pixel level (Huang et al., 2015) based on  
201 NDRE-PNC and NDVI-LAI relationships derived using ground PNC and LAI values provided by  
202 PocketNNI at the six scouting sites (Nutini et al., 2018). These relationships were then used to  
203 estimate PNC and LAI values for each pixel, with PNC values derived with the calibration  
204 curve implemented in PocketNNI for cv. Volano:  $PNC = 6.77 \cdot PocketN\ index - 2.25$  (Paleari et  
205 al., 2019). LAI was converted into Ncrit by using the approach described above (Confalonieri  
206 et al., 2011), and the NNI map was derived from pixel-level PNC to Ncrit ratios.

207 Details on management practices – including those not related with N fertilization – are  
208 provided in [Table 1](#).

209 At harvest, yield of the Baseline and Alternative scenario was determined with a combined  
210 harvester, sampling eleven subplots along each half of the field.

211



212 **2.3 Life cycle assessment**

213 The Life Cycle Assessment (LCA) approach is a holistic method defined by two ISO standards  
214 (ISO, 2006a; ISO, 2006b) to evaluate the potential environmental impacts related to a  
215 product or a service throughout its entire life cycle.

216

217 **2.3.1 Goal and scope**

218 In this study, LCA was applied to rice production in Northern Italy in order to compare two  
219 different fertilization strategies: one based on conventional practices in the area (uniform N  
220 distribution according to farmer's perception of crop needs), the other based on the  
221 combined use of a new smart app and of satellite data to get a spatially-distributed, real-  
222 time diagnosis of N nutritional status. In developed countries, the outcomes of this study can  
223 support rice growers in increasing nitrogen use efficiency and policymakers in defining public  
224 subsidy frameworks targeting the reduction of the environmental impacts of rice-based  
225 cropping systems.

226 Specifically, this LCA study was aimed at:

- 227 - evaluating the potential environmental impact of rice production in a case study  
228 carried out in Northern Italy during 2018,
- 229 - quantifying the potential environmental benefits achievable by applying VR fertilization  
230 through the combined use of satellite data and of a new smart app developed to quantify  
231 NNI.

232

233 **2.3.2 Functional unit**

234 According to ISO standards, the functional unit (FU) is defined as the main function of the  
235 system expressed in quantitative terms (ISO, 2006a). In this study, it was considered as 1 t of  
236 rice grain at the commercial moisture (86% of dry matter). The choice of the FU is in  
237 agreement with previous studies on rice in Italy (Fusi et al., 2014; Bacenetti et al., 2016a;  
238 Bacenetti et al., 2016b), Iran (Khoshnevisan et al., 2014), USA (Brodft et al., 2014, Fertitta-  
239 Roberts et al., 2019), Korea (Jeong et al., 2018), Japan (Hokazono and Hayashi, 2012),

240 Thailand (Thanawong et al., 2014), and Brazil (Coltro et al., 2017), thus allowing a direct  
241 acomparison of results.

242

### 243 **2.3.3 System boundary**

244 A “from cradle to farm gate” approach was applied in this study. The system boundary  
245 includes all the activities carried out from the extraction of the raw materials to the drying of  
246 rice grains. In particular, the following stages of the production process were considered: i)  
247 extraction of raw materials (e.g., fossil fuels, metals and minerals); ii) manufacture,  
248 maintenance and disposal of the capital goods (e.g., tractors, agricultural machines, shed  
249 and grain dryer); iii) production of the different inputs (fertilizers, pesticides, electricity, diesel,  
250 etc.); iv) emissions related to the use of input factors (e.g., emissions due to fertilizers  
251 application, diesel fuel emissions related to diesel combustion in the tractor engine).

252 Distribution, processing, packaging, use and end-of-life were excluded from the system  
253 boundary because they are the same in the two scenarios. Allocation was not applied since  
254 straws are left into the field in both scenarios.

255 **Figure 2** shows the system boundary for the rice production process.

256

257 **Figure 2** – Around here.

258

### 259 **2.3.4 Inventory data collection**

260 Two different types of inventory data were used: primary data directly collected at the farm  
261 during field tests and surveys and secondary data retrieved from databases, literature or  
262 estimated using specific models. The collected primary data refer to the consumption of the  
263 different inputs (e.g., diesel for the different field operations and for drying, seeds, fertilizers,  
264 plant protection products, machinery and tractors, infrastructures such as the dryer and the  
265 sheds for equipment). **Table 1** reports the main data concerning the cultivation practice.

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267 **Table 1** – Around here

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Secondary data were instead considered for the emissions of methane and nitrogen and phosphorous compounds. For the emissions of methane in atmosphere, the IPCC model (IPCC, 2006) was used and different scaling factors (for pre- and in-season water management, application of organic fertilizer and soil type) were applied to the baseline emission value for continuously flooded field without organic amendments (1.3 kg CH<sub>4</sub> ha<sup>-1</sup> day<sup>-1</sup>). **Table 2** reports the main information used for the estimation of methane emissions. Although the cultivation practice is the same, the AS showed a slightly higher methane emissions per unit area (113.0 and 122.7 kg CH<sub>4</sub> ha<sup>-1</sup> day<sup>-1</sup>, in BS and AS, respectively) because of a higher amount of straw<sup>1</sup> produced and incorporated into the soil.

**Table 2 – Around here.**

Nitrogen emissions (nitrate leaching; ammonia volatilization, and nitrous oxide emissions in atmosphere) were computed following the IPCC Guidelines (2006), whereas the phosphate emissions in water (leaching to groundwater and surface runoff) were calculated following Prahsun (2006).

Pesticide emissions were estimated according to the Product Category Rules for Arable Crops (Environdec, 2013) and, consequently, the active ingredient of pesticides was considered totally released into the soil.

Background data regarding the production of the different inputs (fertilizers, pesticides, diesel, electricity, tractors and agricultural machines, dryer) were retrieved from the Ecoinvent database® v.3.5 (Weidema et al., 2013; Moreno Ruiz et al., 2018). **Table 3** reports the list of different Ecoinvent® processes used and highlights the changes made.

**Table 3 – around here**

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<sup>1</sup> The mass of the straw has been assessed considering a Harvest Index of 0.55

295 **2.3.5 Impact assessment**

296 Using the characterisation factors reported by the midpoint ILCD method (ILCD, 2011), the  
297 following impact categories were considered: Climate change (CC), Ozone depletion (OD),  
298 Human toxicity, cancer effects (HTc), Human toxicity, non-cancer effects (HTnoc), Particulate  
299 matter formation (PM), Photochemical oxidant formation (POF), Terrestrial acidification (TA),  
300 Freshwater eutrophication (FE), Terrestrial eutrophication (TE), Marine eutrophication (ME),  
301 Freshwater ecotoxicity (FEx), Mineral fossil and renewable resource depletion (MFRD).

302 The ILCD (2011) Midpoint method was released by the European Commission Joint Research  
303 Centre and supports the correct use of the characterisation factors for impact assessment as  
304 recommended in the ILCD guidance document "Recommendations for Life Cycle Impact  
305 Assessment in the European context - based on existing environmental impact assessment  
306 models and factors" (Hauschild et al., 2011).

307

308

309 **3. Results and Discussions**

310 **3.1 PocketNNI/satellite-driven N management**

311 The combined use of PocketNNI and satellite data allowed to effectively explore the in-field  
312 variability of PNC, Ncrit, and NNI (**Figure 3**).

313 In general, the observed within-field heterogeneity in N nutritional status was representative of  
314 intensive rice-based cropping systems, with most NNI values close to 1 even before top-  
315 dressing fertilizations (Paleari et al., 2019). The real-time diagnosis of N nutritional status via the  
316 combined use of PocketNNI and satellite data allowed capturing the spatial variability in NNI  
317 and, thus, to fine tune N distribution in the different areas of the field. This turned into a 12.8 %  
318 higher grain yield for AS as compared to BS, with only 2% more N applied (**Table 1**), thus  
319 demonstrating the system effectiveness in preventing both N stress (decreasing yield  
320 potential) and luxury consumption.

321

322 **Figure 3 – around here**

323

324 The increase in productivity is similar to what reported for cereals by other authors (Koch et  
325 al., 2004; Biermacher et al., 2006; Sharf et al., 2011), although it is likely larger than what could  
326 be achieved on average for rice in the area because of the pronounced heterogeneity that  
327 characterized the experimental field, in turn due to the fertility gradient generated by the  
328 union of two smaller fields. This – as mentioned in the description of the field experiment – may  
329 have increased the positive effect of VR N fertilization. The total amount of N applied for AS  
330 was slightly higher (+3 kg ha<sup>-1</sup> over the entire season). This is probably due to the tendency of  
331 many Italian farmers to limit N fertilization to reduce the risk of increasing the susceptibility to  
332 fungal pathogens, given tricyclazole – a fungicide widely used to tackle rice blast disease  
333 since many years – has been recently banned (Titone et al., 2015).

334 Besides the potential for increasing productivity and N use efficiency, other advantages of  
335 PocketNNI derive from its technological features. Indeed, although other methods are  
336 available to support topdressing N fertilization based on the NNI concept (e.g., Huang et al.,  
337 2015, Chen, 2015), PocketNNI is the first tool able to provide directly NNI as output, without  
338 the need for dedicated instruments and for data export and analysis in external  
339 environments. This allows farmers being independent in assessing N nutritional status, with  
340 clear advantages in terms of cost-effectiveness and timeliness of the analysis. Moreover,  
341 when coupled with satellite data and smart-scouting techniques (Nutini et al., 2018),  
342 PocketNNI allows deriving high resolution NNI maps with just few ground measurements. The  
343 system is scientifically sound, being based on the NNI concept, and the information provided  
344 (NNI) is easy to interpret. All these aspects make the proposed system highly promising for  
345 overcoming most of the barriers that limit the adoption of PA techniques in operational  
346 contexts (Lowenberg-DeBoer and Erickson, 2019).

347

### 348 **3.2 Life Cycle Assessment**

349 **Figures 4** and **5** show the relative contributions to the overall environmental impact of the  
350 production factors and of the emissions sources for BS and AS, respectively.

351

352 **Figure 4** and **Figure 5** – Around here

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354 Different main contributors (namely "environmental hotspots") were identified for the different  
355 impact categories:

356 - the main responsible of CC were the emissions of methane and dinitrogen monoxide  
357 from the soil (44-45% of the total impact). Methane emissions contributed also to POF  
358 (7.9% and 8.4% in BS and AS, respectively);

359 - the emissions related to the fertilization were the main hotspot for PM, TA and ME. In  
360 particular, ammonia emission was responsible for PM, TA and ME, nitrate leaching for  
361 ME and phosphorous run-off for FE;

362 - the mechanisation of field operations was the responsible for HT-noc, mainly due to the  
363 emissions of pollutants (e.g., hydrocarbon, nitrogen oxides) in the exhaust gas of the  
364 tractor engine and POF, mainly because of the consumption of diesel and the  
365 emission of non-methane volatile organic compound (NMVOC);

366 - fertilizers production was the main responsible of MFRD, given the high energetic cost  
367 for the production of N fertilizers;

368 - the impact of seed and pesticides production was lower than 10% for all the evaluated  
369 impact categories but OD (mainly due to herbicides production), HT-c, FE and FEx  
370 (seed production);

371 - for FEx, it was related to the emissions of pesticides into the soil (about 35%) to the  
372 production of fertilizers (about 30%).

373 Despite the main contributors to the overall environmental impact were similar in the two  
374 scenarios, some differences can be highlighted:

375 - for OD, the grain drying was responsible for 27.8% of the impact in BS, whereas its  
376 contribution was larger in AS (32.4%) because of the higher transpiration (768 kg in BS  
377 and 956 kg in AS) due to the higher crop growth, which – beside the final yield -  
378 affected the amount of transpiring tissues;

379 - the impact related to transport was higher (both in relative and absolute terms) in AS  
380 because of the higher biomass produced.

381 **Table 4** reports the absolute environmental impact for the two scenarios, **Figure 6** shows the  
382 comparison between the two scenarios. Regardless of the evaluated impact categories, AS  
383 showed the best results, with impact reduction ranging from 11.0% for OD to 13.6% for MFRD.  
384 The impact reduction, mainly due to the yield increase (7.97 and 8.99 t ha<sup>-1</sup> at commercial  
385 moisture in BS and AS, respectively), was considerable and it was only related to the  
386 combined use of PocketNNI and satellite data. This reduction was larger for the impact  
387 categories more affected by the energy consumption for fertilizer production (MFRD) and by  
388 the emissions of N compounds due to fertilization (TA, TE, FE and ME). The impact reduction  
389 was lower for:

- 390 - OD, the impact category mainly affected by grain drying, because of the higher  
391 amount of water to be removed given the higher yield;
- 392 - CC, since the higher yield involves a higher production of straw that, being  
393 incorporated into the soil, leads to higher methane emissions.

394

395

396 **Figure 6** – Around here

397

398 **Table 4** – Around here

399

### 400 **3.2.1 Uncertainty analysis**

401 To test the robustness of the results achieved while comparing the two scenarios, a  
402 quantitative uncertainty analysis was carried out by using Monte Carlo techniques as  
403 sampling method (1,000 iterations and a confidence interval of 95%). The results are reported  
404 in **Figure 7**. The bars represent the probability that the environmental impact of BS is higher  
405 than (or equal to) the one of AS. The uncertainty due to selection of the data from  
406 databases, partial model adequacy and variability of data does not significantly affect the  
407 quantification of the environmental impact for all the categories, the only exception being  
408 the toxicity related ones.

409

410 **Figure 7** – Around here

411

#### 412 **4. Conclusions**

413 Among the different agricultural activities, fertilisation is responsible of serious environmental  
414 concerns because of the impacts deriving from the processes of fertilizer production  
415 (especially for N-based ones) and from the emissions of nitrogen and phosphorous  
416 compounds in ground- and surface water and in the atmosphere. This context is generating a  
417 growing demand for smart solutions able to drive the timing of fertilisation events and the  
418 amounts of products distributed.

419 In this study, a VR fertilization strategy based on PocketNNI (a new smart app for NNI  
420 estimates) and satellite data was compared – in terms of environmental performances – with  
421 a strategy based on the uniform distribution of N according to standard practices in the area.  
422 The combined use of PocketNNI and remote sensing products allowed achieving a  
423 considerable increase in yield at the cost of a negligible increase in the amount of nitrogen  
424 fertilisers consumed, thus reducing the amount of N used per unit of product. This, from an  
425 environmental point of view, leads to a double benefit: the reduction of the impact for all the  
426 categories considered due to the increase in productivity and – especially for acidification  
427 and eutrophication – the reduction of the emissions of N compounds.

428 In terms of economic sustainability, the proposed system has both direct (higher yield to  
429 fertilizer ratio) and indirect (lower risk of losses due to diseases and lodging) benefits. Future  
430 development will refer to the automatic integration and processing of satellite data.

431 Despite the analysis was performed using data from only one growing season, the achieved  
432 results are promising and highlight how the environmental impact of agricultural activities can  
433 be effectively reduced by using smart technologies (cost-effective and familiar for potential  
434 users) to improve fertilisation efficiency.

435



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631 **Table 1** – Cultivation practice: Field operations and production factors consumed

Subsystem	Field Operation	Operative machine	Tractor		Fuel Cons.	Input		Working Time
			kW	kg	kg · ha <sup>-1</sup>	Product	Amount (· ha <sup>-1</sup> )	h · ha <sup>-1</sup>
<b>Soil tillage and sowing</b>	Harrowing	Rotary harrow	91	5000	19.5			1.70
	Mineral fertilization	Fertilizer spreader	91	5000	3.5	Potassium chloride	152.9 kg	0.25
	Flooding							
	Sowing	Fertilizer spreader	91	5000	8.4		229.3 kg	0.30
<b>Crop Management</b>	Mineral fertilization	Fertilizer spreader	91	5000	3.5	Biammonic phosphate	138 kg	0.25
	Weed control pre seeding	Sprayer	91	5000	3.0	Rifit (pretilachlor) Cadou (flufenacet) Ronstar (Oxadiazon)	1.52 kg 0.61 kg 0.61 kg	0.20
	Weed control pre seeding	Sprayer	91	5000	3.0	Glyphosate Ronstar (Oxadiazon)	3.06 kg 0.30 kg	0.20
	Weed control post germination	Sprayer	91	5000	3.0	Tripion (MCPA) Viper (Penoxsulam) Gulliver (azimsulphuron) Contest (alpha-cypermethrin)	1.53 kg 1.53 kg 0.024 kg 0.12 kg	0.20
	Mineral fertilization	Fertilizer spreader	91	5000	3.5	Urea	153 kg in BS 156 kg in AS	0.25
	Mineral fertilization	Fertilizer spreader	91	5000	3.5	23-0-30	138 kg	0.25
	Disease control	Sprayer	91	5000	3.0	Azbany (alpha-cypermethrin) Opinion (propiconazole)	1 L 0.5 L	0.20
	Disease control	Sprayer	91	5000	3.0	Azbany Siapton (alpha-cypermethrin)	1 L 1.5 L	0.20
<b>Harvesting &amp; Storage</b>	Harvest	Combine harvester	335	12000	39.1		6.85 t in BS 7.73 t in AS	0.80
	Transport	Trailer	91	5000	11.5			0.80
	Transport	Trailer	100	5050	13.5			0.80
	Drying	Dryer				Diesel	Moisture from 21% to 14%	-



632

633

634 **Table 2** – Main information regarding water and straw management.

Parameter	Date
Date of sowing	7 May
Beginning of flooding	2 April
End of flooding	21 August
Straw incorporation into the soil	28 February
Number of aerations	2
Days of flooding	141

635

636

637 **Table 3** – List of processes retrieved from the Ecoinvent database v. 3.5

Ecoinvent® 3.5 Process	Used for	Modifications
Diesel {RER}  market group for   APOS, U	Diesel fuel consumed during field operations	Emissions related to diesel combustion were included <sup>[1]</sup>
Tractor, 4-wheel, agricultural {GLO}  market for   APOS, U	Tractors used during field operations	A life span of 12 years was considered <sup>[2]</sup>
Agricultural machinery, tillage {GLO}  market for   APOS, U	For ploughing and harrowing	A life span of 8 years was considered for the machinery used for soil tillage <sup>[2]</sup>
Agricultural machinery, unspecified {GLO}  market for   APOS, U	For field operations excluding soil tillage	The following life span were considered: 6 years for sprayer, 8 years for fertiliser, 12 years for farm trailers and 10 years for combine harvester <sup>[2]</sup>
Transport, tractor and trailer, agricultural {GLO}  processing   APOS, U	Transport of paddy rice from the field to the farm	n/a
Rice seed, for sowing {GLO}  market for   APOS, U	Crop sowing	No uptake of heavy metals and CO <sub>2</sub> were considered. 7.7 t/ha (14% of moisture) was considered as yield
Urea, as N {GLO}  market for   APOS, U	Mineral fertilization application	n/a
Nitrogen fertiliser, as N {RER}  diammonium phosphate production   APOS, U		
Potassium chloride, as K <sub>2</sub> O {GLO}  market for   APOS, U		
Pesticide, unspecified {RER}  production   APOS, U	For the application of pesticides	For the emissions into the soil the specific active ingredient (pretilachlor, flufenacet, oxadiazon, azoxystrobin and tricyclazole) was considered.
Glyphosate {RER}  production   APOS, U	Weed control	
Cypermethrin, at plant/RER Mass		

Shed {CH}  construction   APOS, U	For all the different field operations	n/a
Drying of bread grain, seed and legumes {CH}  processing   APOS, U	For drying of the harvested paddy rice	The fuel consumption was modified considering primary data. Italian electricity mix was considered for the electric energy consumption
Electricity, medium voltage {IT}  market for   APOS, U	Electricity consumed during drying	n/a

638 <sup>[1]</sup> Bacenetti et al., 2018. <sup>[2]</sup> Lovarelli and Bacenetti (2017).

639

640

641 **Table 4** – Absolute environmental impact for the two scenarios (FU = 1 t of rice grain at

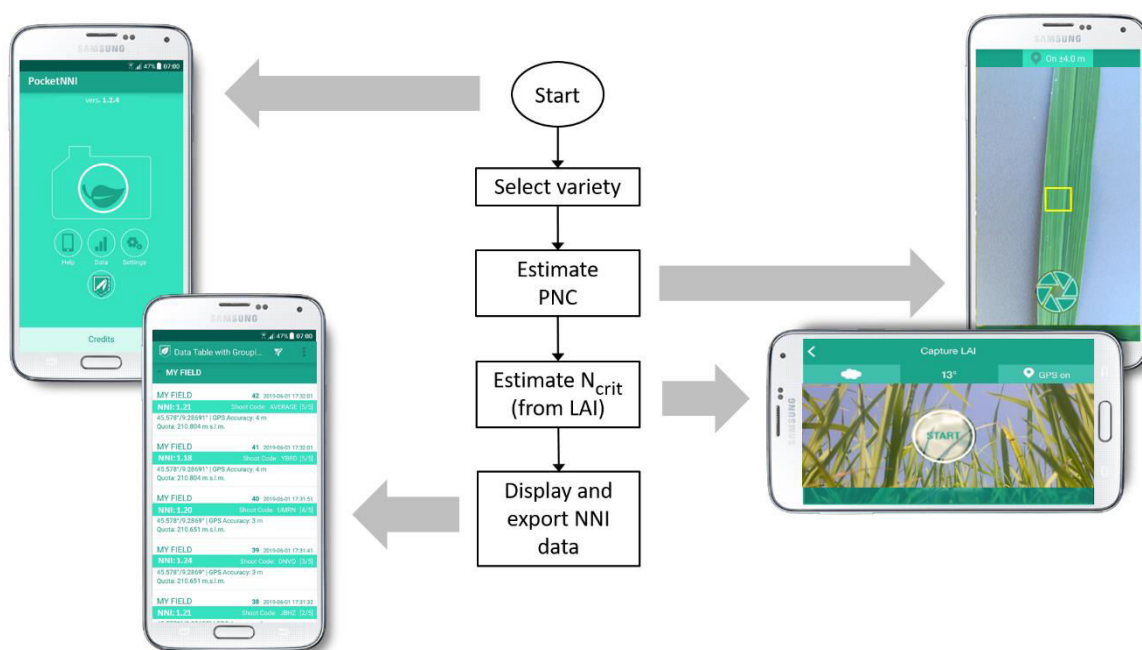
642 commercial moisture; Δ = impact variation of AS respect to BS).

Impact Category	BS	AS	Δ
CC	937.3 kg CO <sub>2</sub> eq	832.7 kg CO <sub>2</sub> eq	-11.2%
OD	49.27 mg CFC-11 eq	43.83 mg CFC-11 eq	-11.0%
HT-noc	1.66 · 10 <sup>-4</sup> CTUh	1.46 · 10 <sup>-4</sup> CTUh	-12.2%
HT-c	2.11 · 10 <sup>-5</sup> CTUh	1.87 · 10 <sup>-5</sup> CTUh	-11.3%
PM	0.439 kg PM2.5 eq	0.383 kg PM2.5 eq	-12.8%
POF	2.13 kg NMVOC eq	1.86 kg NMVOC eq	-12.8%
TA	8.89 molc H+ eq	10.20 molc H+ eq	-12.9%
TE	41.00 molc N eq	35.63 molc N eq	-13.1%
FE	0.154 kg P eq	0.134 kg P eq	-12.5%
ME	6.68 kg N eq	5.81 kg N eq	-13.0%
FEx	5091 CTUe	4423 CTUe	-13.1%
MFRD	27.52 g Sb eq	23.79 g Sb eq	-13.6%

643

644

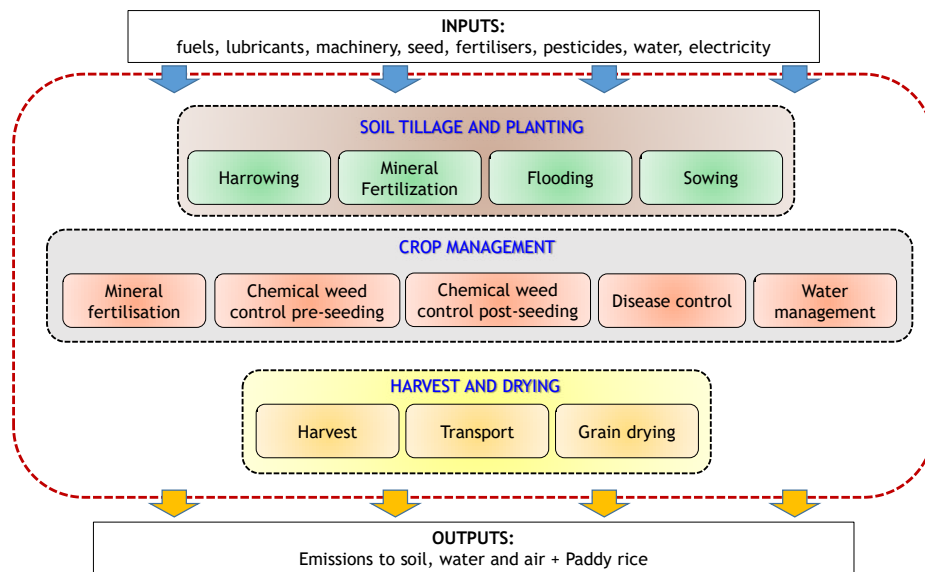
## FIGURE CAPTIONS



646

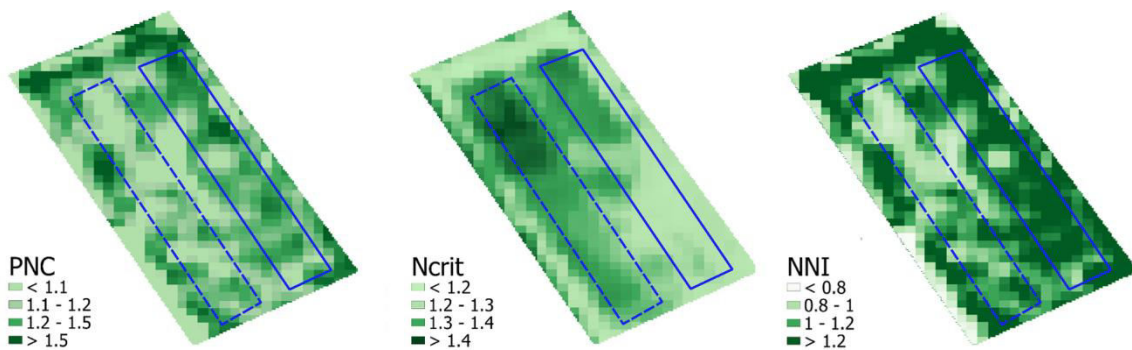
647 **Figure 1** – Flowchart of the app PocketNNI. Actual plant N content (PNC) is estimated based  
 648 on Confalonieri et al. (2015), whereas critical N content (N<sub>crit</sub>) is derived from leaf area index  
 649 estimates (Confalonieri et al., 2013), in turn used to derive N<sub>crit</sub> based on Confalonieri et al.  
 650 (2011). N Nutritional Index (NNI) is calculated as PNC to N<sub>crit</sub> ratio. NNI estimates are stored in  
 651 an internal database together with GPS coordinates, and they can be exported in different  
 652 formats (e.g., .csv, .shp).

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655 **Figure 2** – System boundary for the two evaluated scenarios.

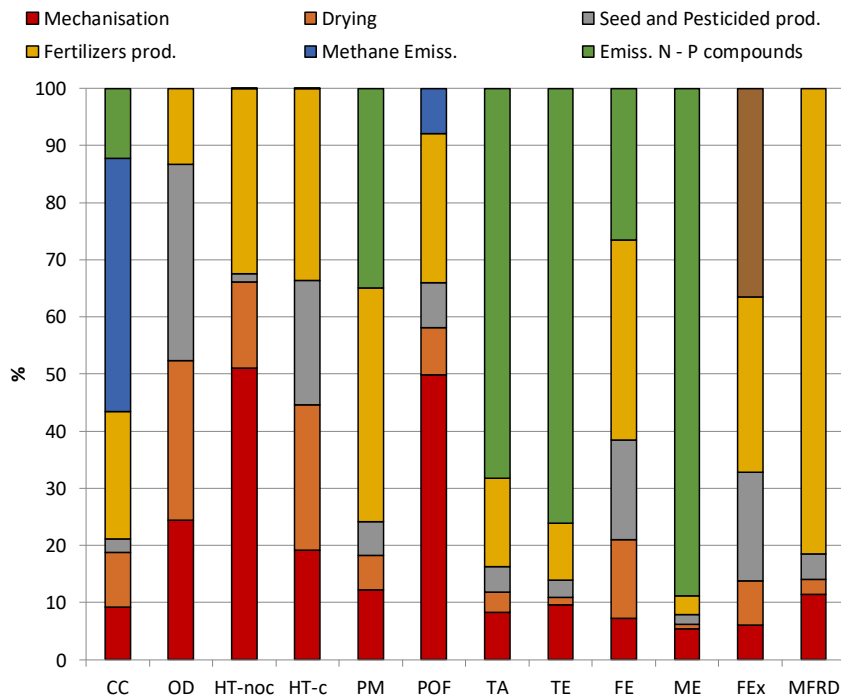


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657 **Figure 3** - Plant Nitrogen Content (PNC), Critical Nitrogen ( $N_{crit}$ ), and Nitrogen Nutrition Index  
 658 (NNI) maps derived by integrating Sentinel 2 data (NDRE and NDVI) and PocketNNI readings  
 659 few days before the second top-dressing fertilization. The two fertilization strategies “baseline  
 660 scenario” (BS, with uniform N distribution) and “alternative scenario” (AS, with PocketNNI-  
 661 driven variable rate N application) were applied, respectively, in the areas bordered with  
 662 dotted and continuous lines.

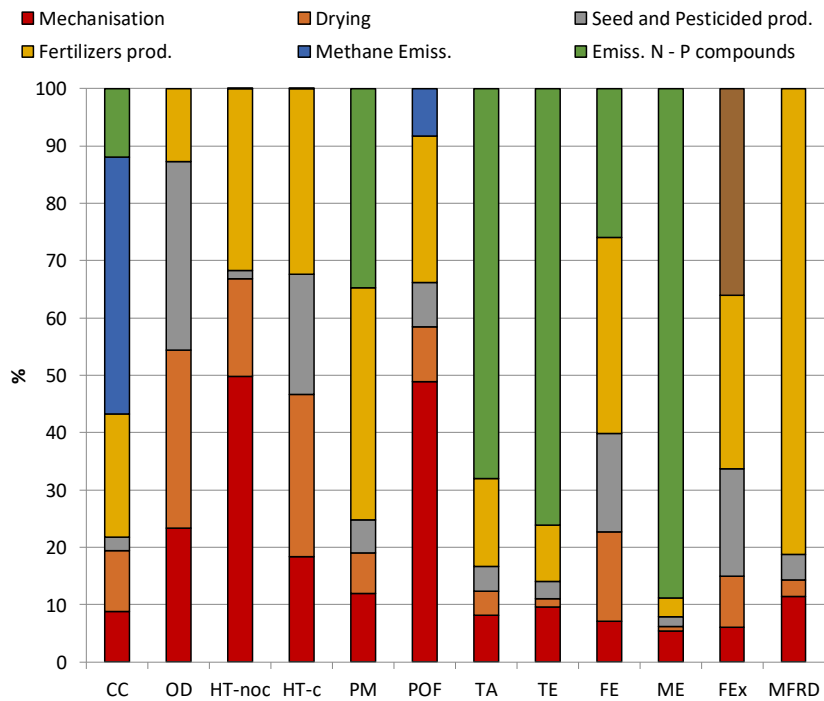
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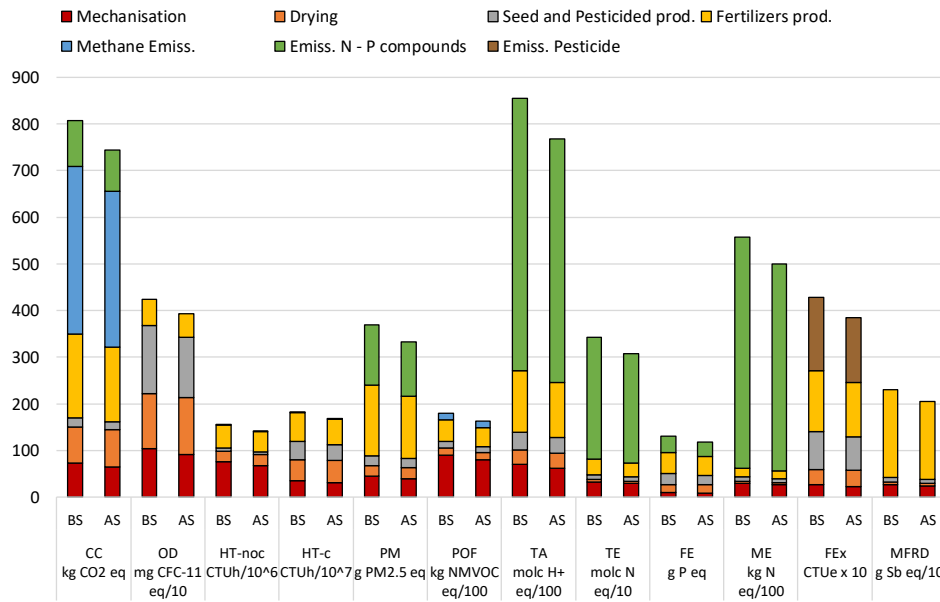
666 **Figure 4** – Relative contributions to the overall environmental impact for the baseline scenario



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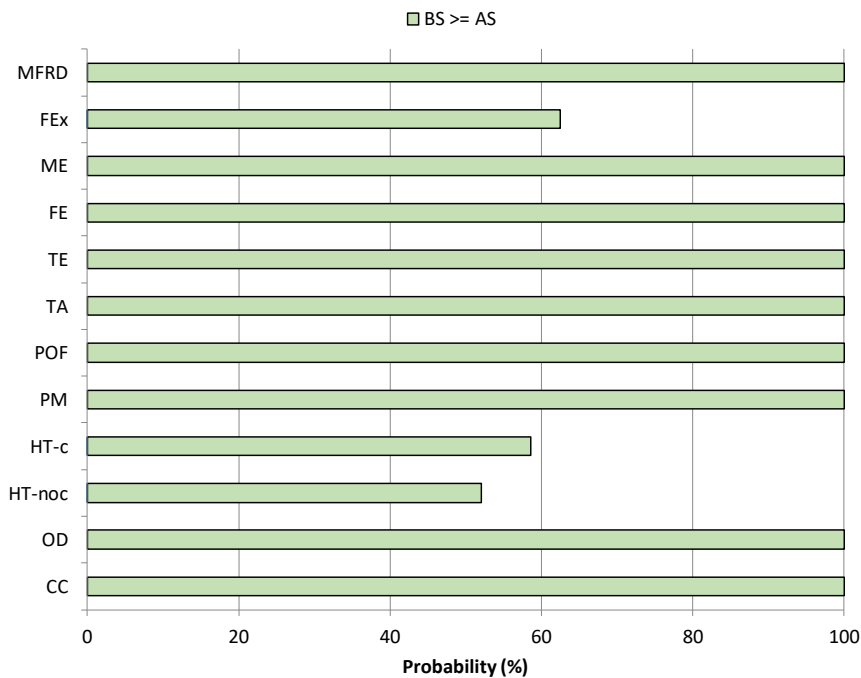
668 **Figure 5** – Relative contributions to the overall environmental impact for the alternative  
 669 scenario

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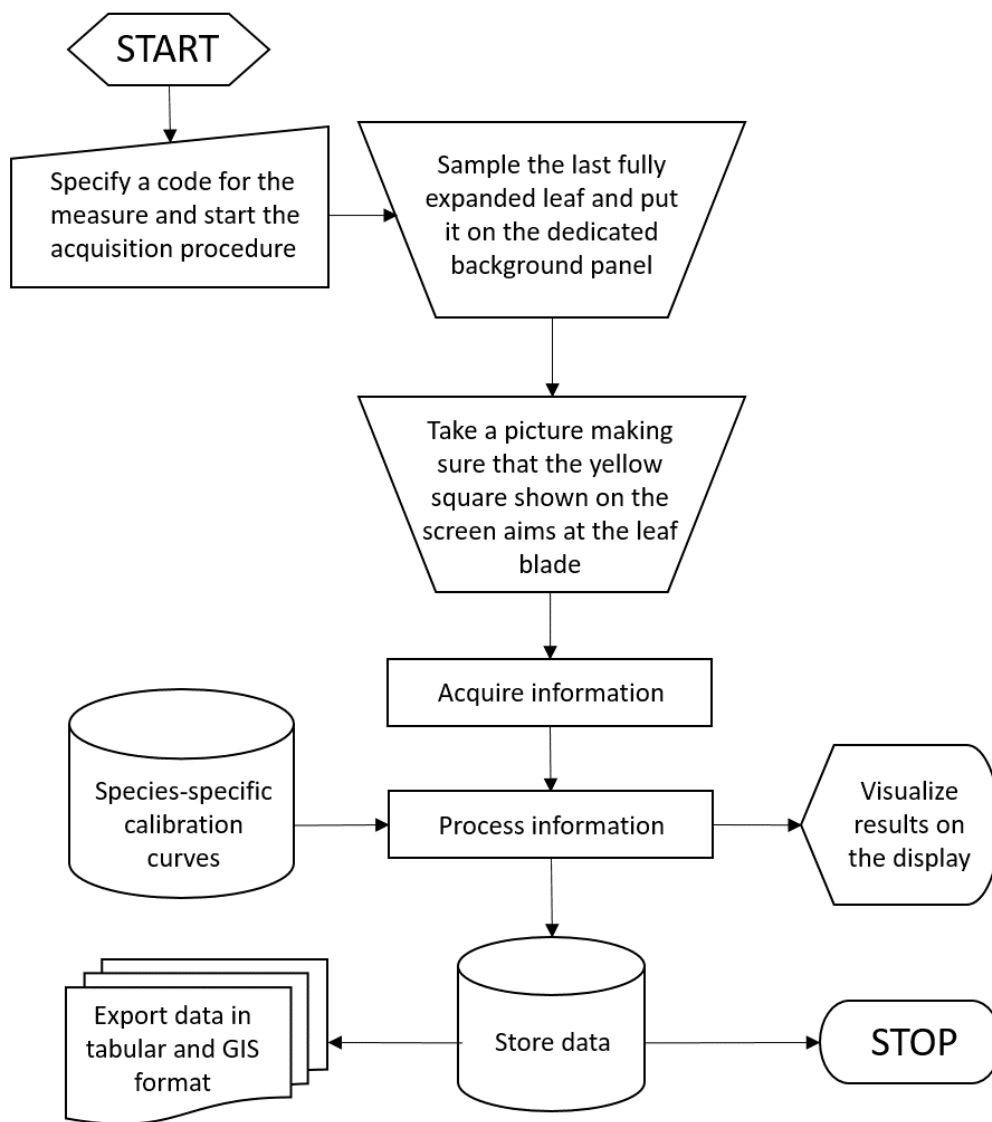
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672 **Figure 6** – Comparison between the two scenarios. BS: baseline scenario; AS: alternative  
 673 scenario (Note: for graphical reasons, for some impact categories, the absolute value has been multiplied or  
 674 divided by 10 or multiple. For all the evaluated impact categories the unit of measure is reported in the X-axis).



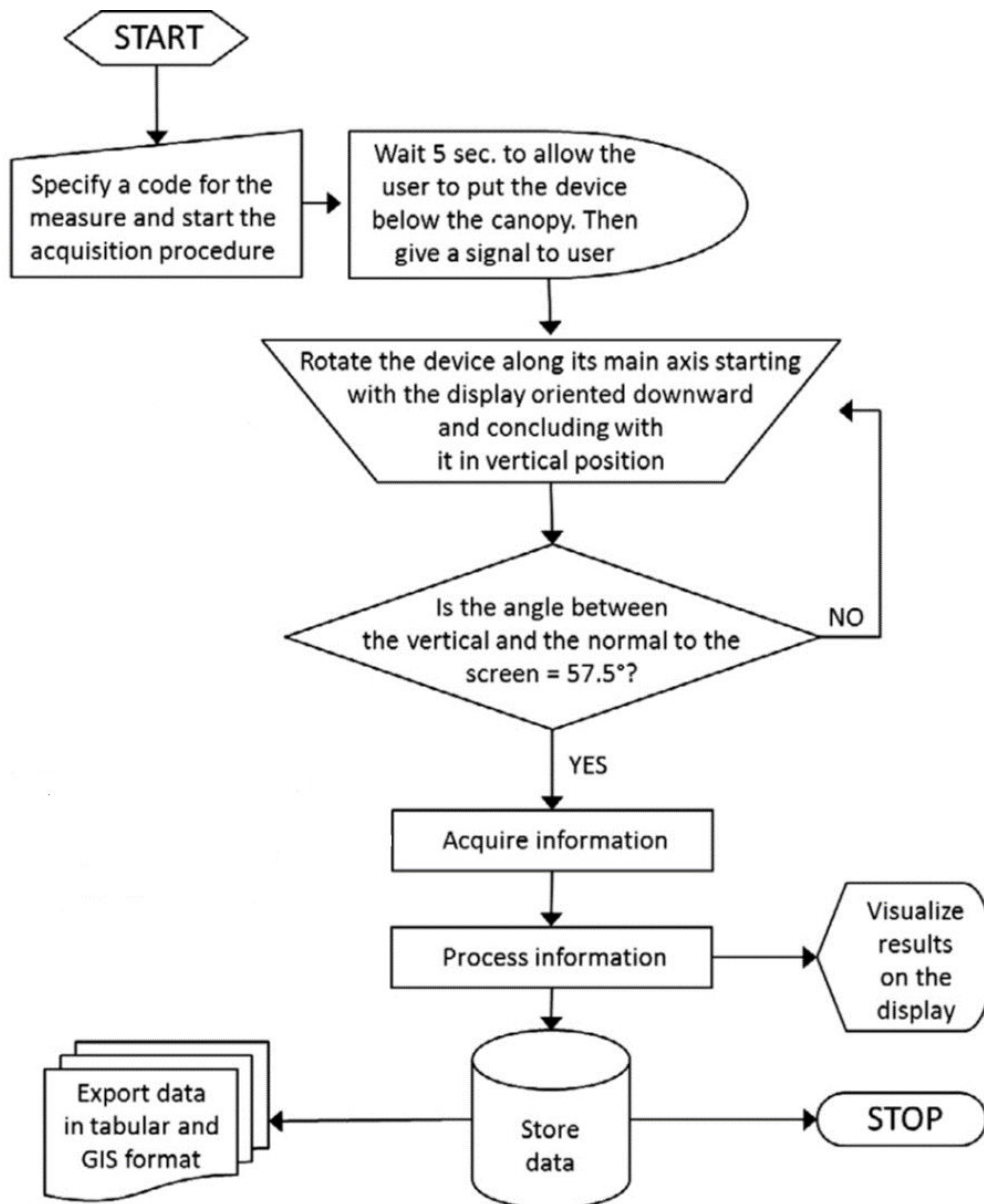
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676 **Figure 7** – Uncertainty analysis results regarding the comparison between Baseline Scenario  
 677 and Alternative Scenario.



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**Figure S1.** Flowchart of the app PocketN (Confalonieri et al., 2015) showing the functioning of the app. Tutorials on the use of the app PocketN can also be found at [www.cassandralab.com](http://www.cassandralab.com). When used within PocketNNI, at the end of the PocketN acquisition the PocketLAI app is automatically opened.



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**Figure S2.** Flowchart of the app PocketLAI (redrawn from Confalonieri et al., 2013). Tutorials on the use of the app PocketLAI can also be found at [www.cassandralab.com](http://www.cassandralab.com). When used within PocketNNI, the PocketLAI app is automatically opened at the end of the PocketN measure. In this case there is no need to specify again the code for the measure, being the same entered for the PocketN acquisition.



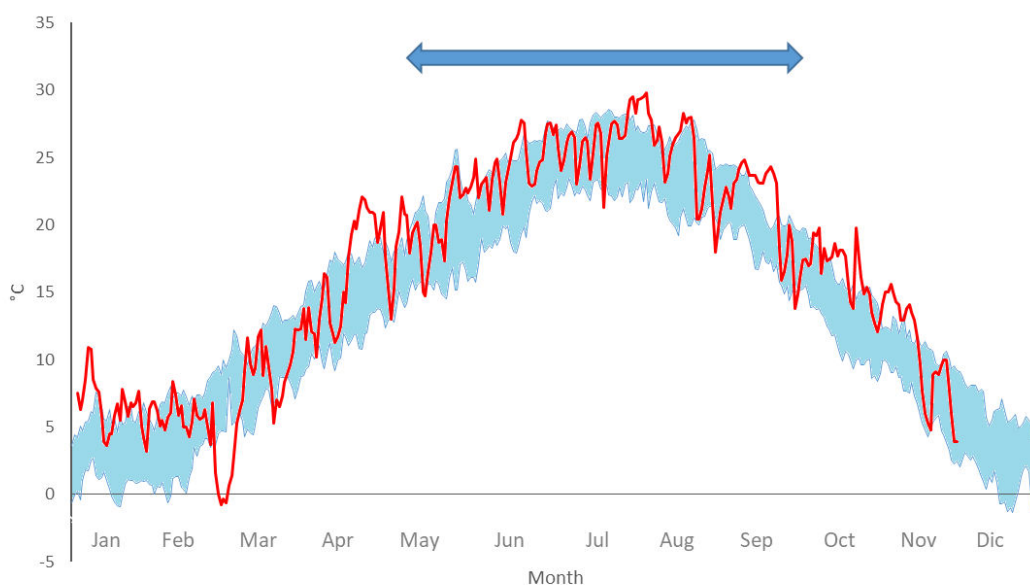
695 **Table S1.** Calibration curves for the main rice varieties grown in Italy, to convert PocketN  
 696 readings (-) into plant nitrogen content (PNC, %) values (from Paleari et al., 2019). Rice  
 697 varieties belonging to each cluster and corresponding cluster-specific calibration curves  
 698 are reported.

Cluster	Cultivars <sup>a</sup>	Calibration Curve Parameters <sup>b</sup>		R <sup>2</sup>	p-value
		a	b		
1	Centauro, Ellebi, Leonardo, Opale	5.42	-1.24	0.76	<0.001
2	Brio, Carnise, Dardo, Meco	10.90	-4.02	0.85	<0.001
3	Galileo, Gladio	17.22	-7.03	0.83	0.002
4	Cammeo, Generale	9.97	-3.43	0.50	0.051
5	Carnaroli, Gloria, LunaCL <sup>®</sup> , Puma, SoleCL <sup>®</sup>	7.99	-2.87	0.95	<0.001
6	Augusto, Caravaggio, Crono, MareCL <sup>®</sup> , Mirko, Thaibonnet,	9.04	-2.79	0.79	<0.001
7	Balilla, Fedra, Onice, Ronaldo, Volano	6.77	-2.25	0.91	<0.001
8	Arborio, Baldo, Carnise Precoce, Karnak, Loto, SirioCL <sup>®</sup> , Ulisse, Vasco	11.25	-4.19	0.79	<0.001

699 <sup>a</sup> Aiace, BaroneCL<sup>®</sup>, Cerere, Cleopatra, CRLB1, Keope, and Selenio cultivars are not included  
 700 in any cluster, see Paleari et al., 2019 for cultivar-specific calibration curves. <sup>b</sup> Calibration  
 701 curves defined as PNC = a PocketN index + b.

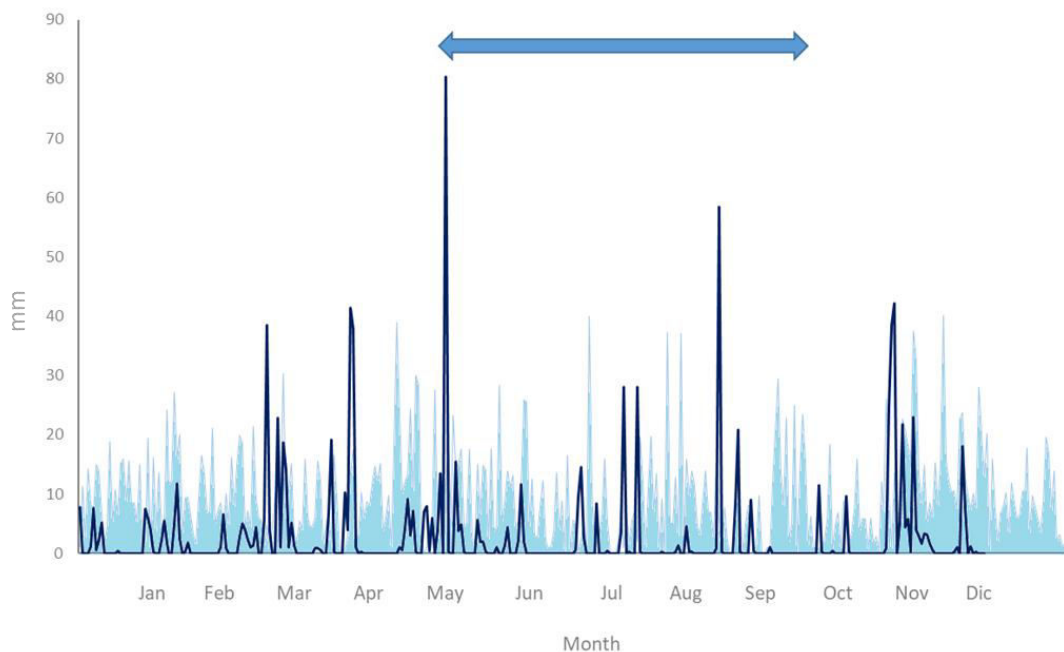
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705 **Figure S3.** Mean daily temperature (°C) characterizing the study area (Gaggiano, Italy). The  
706 red line indicates temperatures measured during the 2018, whereas the blue area refers to  
707 the mean  $\pm 1$  standard deviation for the 10-year average. The blue arrow indicates the rice  
708 campaign.  
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713 **Figure S4.** Precipitation distribution at the study area (Gaggiano, Italy). The dark blue line  
714 indicates the daily rainfall (mm) recorded during the 2018, whereas the light blue area refers  
715 to the mean + 1 standard deviation for the 10-year average. The blue arrow indicates the  
716 rice campaign.  
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