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Vitamin K effects in human health: new insights beyond bone and cardiovascular health.

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Author Comments:	Dear Professor Gambaro, Thank you for accepting our manuscript for submission. We appreciate the reviewer comments and have addressed them below. Sincerely, Pascale Khairallah and Maria Fusaro
Response to Reviewers:	Dear Professor Gambaro, Thank you for accepting our manuscript for submission. We appreciate the reviewer comments and have addressed them below. Sincerely, Pascale Khairallah and Maria Fusaro

Reviewer #1: The new version is improved.

Comment 1: Please note, line 300 edit 'those' into 'whose' or change the phrase. Thank you'. We have changed 'those' to 'whose' as highlighted in the text.

Reviewer #2: The authors provide an overview on actions of vitamin k beyond bone and cardiovascular health. Now, the authors have improved the readability and the scope of the manuscript becomes way more clear.
I have few comments left.

Comment 1: Passage on MGP- first sentence- revised.

I still do not agree with the phrasing. 'MGP....after carboxylation shows 5 gamma carboxyglutamic acid residues'- it can show up to 5 carboxylated residues, as also explained later in this passage.

Thank you for your comment. We have changed the sentence from 'MGP is a 14 kDa vitamin K-dependent protein which after carboxylation shows 5 gamma-carboxyglutamic acid residues' to 'MGP is a 14 kDa vitamin K-dependent protein which after carboxylation can have up to 5 gamma-carboxyglutamic acid residues'

Comment 2: Vitamin K as ligand of Nuclear Receptors

I think the connection between vitamin D and vitamin K is highly interesting, especially as a passage on CKD follows. A remark on the pathology of CKD-MBD would strengthen the importance of this pathway.

Thank you for this comment, indeed it's very intriguing the connection between Vitamin D and Vitamin K highlighted by an enhanced vitamin D3 effects on BGP gene expression and osteoblast precursor following supplementation MKn (see Fusaro et al, Vitamin K and bone: Clin Cases Miner Bone Metab 2017 - Review. PMID 29263734 Free PMC article). However, Vitamin D involves one aspect of CKD-MBD. Discussing the pathology of CKD-MBD only briefly will not be sufficient to highlight the different underlying processes and their importance.

Comment 3: Periostin

Contrary to the other VKD proteins, the passage lacks information on the carboxylation state of the described effects. As this is unknown, this should be stated.

Thank you for this comment. We have added the following sentence 'Similar to other VKDPs, the carboxylation of periostin is dependent on vitamin K. However, it is unknown how the carboxylation status of periostin influences its functions in different tissues.'

Comment 4: Conclusion

The authors want to 'develop techniques that can directly measure vitamin K'. Why? First, the technique is available- second, it just mainly reflects the short term intake of vitamin K and not the general vitamin K status.

Thank you for the comment. The technique is available but it is not standardized. We have added in the sentence standardized.

1 **Vitamin K effects in human health: new insights beyond bone and cardiovascular health**

2

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16

17 **Keywords:** Vitamin K, Bone disease, Vascular calcifications, Cancer, Chronic kidney disease

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22 **Abstract:**

23 Vitamin K is a cofactor for the function of the enzyme γ -glutamyl carboxylase, necessary for the
24 activation of multiple vitamin K dependent-proteins. Vitamin K dependent-proteins (VKDPs) have
25 important roles in bone health, vascular health, metabolism, reproduction as well as in cancer
26 progression. Vitamin K deficiency is common in different conditions, including kidney disease, and
27 it may influence the activity of VKDPs. This review discusses vitamin K status in human health and
28 the physiologic and pathologic roles of VKDPs, beyond the established effects in skeletal and
29 cardiovascular health.

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49 **Introduction:**

50 The vitamin K family is comprised of a group of fat-soluble molecules that share the 2-methyl-1,4-
51 naphthoquinone (3-) groups. Vitamin K exists in 3 main forms, K1 and K2 which are the natural
52 form, and K3 or menadione which is the synthetic form of the vitamin [1]. Vitamin K1, also known
53 as phyloquinone, is found in vegetables, while vitamin K2, also known as menaquinone, is found in
54 fermented food or produced by the intestinal microbiota. Vitamin K1 can be converted into vitamin
55 K2. Two mechanisms of action of vitamin K have been described to date. It is an essential cofactor
56 for the function of the enzyme γ -glutamyl carboxylase, and it acts as a ligand of the steroid and
57 xenobiotic receptor (SXR) and pregnane X receptor (PXR, murine ortholog) [2].

58 Vitamin K-dependent proteins (VKDPs) play important roles in human physiology and can be an
59 important link between the bone and the vasculature. This link becomes particularly important in
60 patients with chronic kidney disease (CKD) who have a high prevalence of both mineral bone
61 disorders (MBD) and vascular calcification (VC) [3] and whose primary cause of death is
62 cardiovascular disease. Osteocalcin (OCN) is a VKDP known to be involved in bone mineralization,
63 while Matrix GLA protein (MGP) is a known VC inhibitor whose deficiency is associated with
64 increased risk for VC in CKD. New VKDPs have been discovered, and they have been found to play
65 important roles in various cancers and their therapies.

66 While many questions have been answered, many more remain regarding the roles of the VKDPs in
67 bone and vascular physiology. This review will discuss the roles of VKDPs and vitamin K in different
68 pathologies.

69

70 **Vitamin K Dependent Protein (VKDPs)**

71 Vitamin K is an essential cofactor required for the activation of the gamma glutamyl carboxylase
72 which converts glutamic acid to γ -glutamic acid residues. There are several vitamin K dependent
73 proteins (VKDPs) [4]. These include the coagulation factors proteins C, S, M, Z, factors VII, IX, X
74 and prothrombin. VKDPs also include Bone Gla Protein (BGP, or osteocalcin), Matrix Gla Protein
75 (MGP), Gas6 (Growth Arrest-Specific 6 Protein), GRP (Gla Rich Protein) and Periostin. VKDPs play
76 established roles in coagulation, in bone health and in cardiovascular health.

77

78 **Bone Gla Protein (BGP): Beyond Skeletal Health**

79 BGP or osteocalcin is the most abundant protein in bone. It is mainly secreted by osteoblasts, with a
80 smaller amount secreted by chondrocytes[5]. BGP undergoes three carboxylation events to be
81 transformed from the undercarboxylated form into the fully functional form. These carboxylation
82 events require vitamin K as a cofactor[6]. Several mechanisms describing the BGPs role in bone

83 physiology have been proposed, including the inhibition of bone mineralization[7], the regulation of
84 the rate of mineral maturation[8], and the formation of a complex between bone matrix and collagen
85 in order to increase bone toughness[9]. However, none of these mechanisms are fully proven.

86

87 More recently, the relationship between BGP and glucose metabolism has been elucidated. In this
88 role, BGP is thought to be released into the circulation and to exert an action similar to a hormonal
89 effect[10]. This shed light into the peripheral functions of BGP and led to increased interest in this
90 protein, therefore uncovering a wide range of functions.

91

92 The role of BGP in glucose metabolism and insulin signaling was first discovered by Lee et al[11]
93 whose experiments showed that BGP knockout mice develop glucose intolerance, insulin resistance,
94 and increased adipose tissue. The circulating form of BGP exerting the metabolic effects is mostly
95 the undercarboxylated form (ucBGP). By binding to the receptor *Gprc6a*, in animals ucBGP acts on
96 the pancreatic beta cells [10]. The influence of BGP on insulin sensitivity may be mediated via its
97 effect on adiponectin, independent of insulin secretion[11]. Human studies have not shown this
98 metabolic effect, however. When Basu et. al administered insulin to seven diabetic and seven non-
99 diabetic patients and assessed the association with bone turnover markers, the change in the insulin
100 levels did not influence BGP and ucBGP levels[12]. In humans, BGP also acts on Leydig cells thereby
101 affecting the reproductive function of males[13].

102

103 Beyond the metabolic functions, BGP is involved in vascular calcification (VC) modulation through
104 its effect on adiponectin[11]. Adiponectin inhibits osteoblastic differentiation of vascular smooth
105 muscle cells, therefore protecting against VC[14]. In apolipoprotein E-deficient mice, daily injections
106 of BGP for 12 weeks resulted in endothelium protection from atherosclerosis, but whether this was
107 also mediated by the concomitant improvement in glucose metabolism is unknown[15]. Similarly,
108 diabetic rats given daily injections of BGP had an improvement in arterial stiffness as assessed by
109 pulse wave velocity[16].

110 The role of BGP in modulating and possibly preventing VC was confirmed in humans. BGP may
111 exert this effect through its interaction with adiponectin, as seen by Bacchetta et al. when they found
112 a significant association between BGP and adiponectin in CKD patients[17].

113 In human cardiovascular tissues, BGP was found in higher concentrations in calcified aorta and
114 valves as compared to non-calcified tissue[18]. Fusaro et al. found lower BGP levels in patients with
115 aortic and iliac calcifications as compared to patients without calcifications[19]. In men aged 51-85

116 years old in the MINOS study, higher total BGP levels were associated with slower progression of
117 abdominal aortic calcification after a 10 year follow up[20].

118

119 In contrast to the above findings, in the Study of Osteoporotic Fractures (SOF) which enrolled 363
120 elderly women, total BGP levels were not associated with abdominal aortic calcification[21].

121 Moreover, in a meta-analysis of 46 clinical studies evaluating the relationship between BGP and VC,
122 no definite associations could be found between the different forms of BGP (ucBGP, cBGP and total
123 BGP) and VC. However, sound physiological conclusions cannot be drawn based on these findings.

124 In fact, 44% of the included studies did not adjust for confounding variables and the BGP forms were
125 measured using different assays in the different studies[22]. Moreover, BGP displays a circadian
126 rhythm with levels falling in the morning and reaching the peak in the evening [23]. Therefore, the
127 timing of blood draws may impact the results of the studies. It is also important to note that BGP is
128 cleared by the kidneys[24]. Therefore any decline in renal function results in an elevation in BGP
129 levels [24]. This is particularly notable when the glomerular filtration rate drops below 20 ml/min[24]

130 . Additionally, based on the aforementioned studies, gender appears to be a confounding factor with
131 the effects of BGP being differential between males and females. Vitamin K levels are obvious
132 confounders. Moreover, menopausal status, adipose tissue, diabetic status are all expected to be
133 confounders as well [25]. If we want studies that more accurately unravel the effect of BGP on the
134 vasculature, we should standardize our BGP serum measurements and understand more carefully the
135 confounders that should be accounted for.

136

137 **Matrix Gla Protein (MGP): Beyond Cardiovascular Health**

138 MGP is a 14 kDa vitamin K-dependent protein which after carboxylation can have up to 5 gamma-
139 carboxyglutamic acid residues [26]. In addition to gamma-carboxylation, MGP requires post-
140 translational serine phosphorylation. Phosphorylation occurs at 3 serine residues via the enzyme
141 casein kinase[26, 27]. Phosphorylation regulates the protein secretion into the extracellular
142 environment[26]. Based on the degree of carboxylation and phosphorylation, multiple forms of MGP
143 can be found in the circulation and the extracellular matrix (**Figure 1**). MGP is released from vascular
144 smooth muscle cells and chondrocytes [28]. It was the first calcification inhibitor to be
145 characterized[28]. The exact mechanism through which MGP inhibits VC is not completely
146 understood. However, the carboxylated active form of MGP is believed 1) to bind to calcification
147 crystals in blood vessels forming vesicles and apoptotic bodies, 2) to directly prevent calcium
148 phosphate precipitation, and 3) to prevent the trans-differentiation of vascular smooth muscles cells
149 into an osteogenic phenotype [26, 29].

150 The different forms of MGP can be used as a biomarker of vitamin K deficiency[30]. Vitamin K
151 deficiency in CKD leads to a decrease in the levels of the phosphorylated-carboxylated MGP (p-
152 cMGP) and a rise in the levels of dephosphorylated undercarboxylated MGP (dp-ucMGP) [31].
153 Plasma dp-ucMGP levels increase as CKD advances with the highest levels found in CKD stage
154 5[31]. Plasma dp-ucMGP is positively associated with VC and might be utilized as an early marker
155 for vascular calcification in CKD patients[30, 31].

156
157 Beyond the well-established effects of MGP in VC[32] studies also suggest that it has a role in skeletal
158 health. Mice deficient in MGP develop diffuse VC as well as inappropriate calcification of the growth
159 plate[28]. Mice overexpressing *Mgp* in osteoblasts have a decrease in bone mineralization
160 particularly in the tooth dentin and cementum. Thus, MGP affects bone mineralization[33]. MGP
161 interacts with both osteoblasts and osteoclasts. Phosphate regulates MGP expression in osteoblast
162 cultures via the ERK1/2-Fra-1 pathway [34]. Via Src/Rac1 signaling, MGP modulates
163 osteoclastogenesis; MGP depletion favors while MGP excess inhibits osteoclast differentiation [35].

164
165 In clinical studies, homozygosity of the MGP rs1800802 minor allele, but not total serum MGP levels
166 was associated with 0.56 times lower prevalence of hand osteoarthritis compared with having ≥ 1
167 major allele at this locus (95% CI 0.32-0.99, $p < 0.05$), suggesting a role for MGP in osteoarthritis[36].
168 Among 145 participants in the European Vertebral Osteoporosis Study, men with the homozygous
169 MGP-7AA polymorphism had significantly more femoral bone loss as compared to those with
170 genotypes -7GG and -7GA [37]. Those homozygous for MGP 83Ala-Ala had significantly more
171 femoral neck loss as well as a greater tendency to vertebral fractures as compared to those with the
172 genotypes 83Thr-Thr and 83Thr-Ala. A decrease in BMD was observed only in MGP-7AA and
173 MGP 83Ala-Ala genotypes. These associations were not found in the 151 women who participated
174 in the study possibly because 94% of the women were post-menopausal and had independent post-
175 menopausal bone loss that could have confounded the effect of the MGP polymorphisms.

176 The effect of MGP on fractures and bone density was similarly seen following kidney transplantation.
177 Evenepoel et al. evaluated vitamin K deficiency as measured by dp-ucMGP levels in 468 de novo
178 kidney transplant recipients. The patients with the highest tertile of dp-ucMGP levels had lower bone
179 mineral density and had higher incident fractures independently of common fracture determinants
180 (HR 2.21; 95% CI, 1.00 to 4.91; $p < 0.05$) [38].

181
182 Studies evaluating the relationship between renal clearance and MGP levels are rare. In 842
183 outpatients with stable cardiovascular disease and a mean GFR of 76 ± 23 mL/min, each 10 mL/ min

184 lower GFR was associated with a 79 nM lower ucMGP serum level ($p < 0.001$), and a 0.1 mg/L
185 higher cystatin-C was associated with a 39 nM lower ucMGP serum levels ($p < 0.001$) in multivariate
186 adjusted models [39]. However, when Rennenberg et al. looked at this association, they found no
187 significant correlations between total MGP levels in renal arterial and venous blood and renal
188 clearance of 90 patients with hypertension[40]. It is important to note however that none of the
189 patients in this cohort had a GFR <26 mL/min[40]. A relationship between MGP levels and renal
190 clearance at a GFR <26 mL/min is therefore still possible.

191

192

193 **Vitamin K as Ligand of Nuclear Receptors**

194 Vitamin K can act as ligand of the nuclear Steroid and Xenobiotic Receptor (SXR) and its murine
195 ortholog, Pregnane X Receptor (PXR)[41]. SXR/PXR is present in different tissues, including
196 osteoblastic cell lines [42, 43]. The presence of SXR/PXR in osteoblastic tissue is important as it
197 could be the pathway through which vitamin K improves bone health [44].

198 Transcriptome analysis has revealed a number of bone-related genes which are involved in the
199 vitamin K-SXR pathway. These include tsukushi and matrilin-2, which are involved in collagen and
200 extracellular matrix assembly [45, 46]. In sarcoma cells, vitamin K up-regulates osteoblastic bone
201 markers [43]. SXR/PXR knockout mice have increased bone resorption and decreased bone
202 formation [47].

203

204 SXR is additionally involved in bone metabolism via its effect on vitamin D metabolism. In this role,
205 SXR activation can have two effects. SXR activation by some drugs can lead to CYP3A4 expression
206 (exerting 24- and 25-hydroxylase activity) and resultant vitamin D metabolism and deficiency. SXR
207 activation can also lead to inhibition of CYP24A1 (24-hydroxylase activity) in the kidney therefore
208 increasing 1,25(OH)D levels [48]. These data suggest that SXR/PXR is another pathway through
209 which vitamin K is involved in bone homeostasis.

210

211 **Vitamin K in Chronic Kidney Disease (CKD)**

212 The western diet does not provide enough vitamin K to activate VKDPs in all tissues[49]. This
213 deficiency is more pronounced in adults over the age of 40. Patients with CKD have even greater
214 rates of vitamin K deficiency as compared to the general population. The number of CKD patients
215 who have vitamin K deficiency reaches 70-90% of that population [50, 51, 52] (**Table 1**). Poor oral
216 intake of vitamin K is the main cause of deficiency [50, 53]. When compared to healthy individuals,
217 the vitamin K intake of HD patients is particularly low on days of dialysis and the weekend[54]. The

218 use of phosphorus binders in the dialysis population contributes to vitamin K deficiency as well [55].
219 Being lipophilic, vitamin K should not be removed via dialysis. However, studies to validate this
220 hypothesis are needed, because serum levels of 25(OH)-vitamin D, another lipophilic molecule,
221 decreased in patients who were switched from conventional hemodialysis to online hemodiafiltration
222 [56].

223

224 There are known implications of vitamin K deficiency in population-based studies and in kidney
225 disease patients [57, 58]. In the Rotterdam study of 7983 men and women over the age of 55, intake
226 of menaquinone protected against incident coronary heart disease (RR of highest tertile of
227 menaquinone intake as compared to lowest tertile = 0.59, $p=0.007$), and against coronary heart disease
228 related mortality (RR of highest tertile of menaquinone intake as compared to lowest tertile = 0.43,
229 $p=0.005$). Additionally, the odds ratio of severe aortic calcification was significantly lower in the
230 patients with the highest intake of menaquinone intake as compared to those with lowest intake (OR
231 0.48, $p <0.001$) [59]. In the VIKI study, a cohort of 387 dialysis patients, 35.4% of patients had
232 menaquinone-7 deficiency, 23.5% of patients had vitamin K1 deficiency and 14.5% of patients had
233 menquinone-4 deficiency [57]. Patients with menaquinone-4 deficiency had significantly higher
234 aortic calcification (10.6% versus 1.3%, $p = 0.01$). Menaquinone-7 deficiency was associated with
235 significantly higher iliac calcifications (41% versus 28.2%, $p = 0.009$) [57].

236

237 There is no gold-standard for the measurement of vitamin K levels and there is a lack in
238 standardization. Instead, functional deficiency of vitamin K is used as a surrogate of vitamin K status
239 in individuals. Vitamin K deficiency in CKD leads to a decrease in the levels of active MGP, a rise
240 in the levels of dp-ucMGP, as well as a rise in the levels of ucBGP[37]. Plasma dp-ucMGP levels
241 increase as CKD advances with highest levels being in CKD stage 5[38]. A dp-ucMGP level of >500
242 pmol/L, ucBGP >4.5 ng/mL[59] or protein induced by vitamin K absence-II (PIVKA-II) >2 nM/L
243 are indicative of vitamin K deficiency [30, 60].

244

245 In 53 dialysis patients, vitamin K2 supplementation resulted in a dose dependent decrease in
246 functional vitamin K deficiency. After a 6-week supplementation regimen, dp-ucMGP levels were
247 reduced 77% and 93% in the groups receiving daily oral administration of 135 μg and 360 μg of K2,
248 respectively[61]. In 200 HD patients receiving vitamin K2 at dose of 360, 720 or 1080 μg thrice
249 weekly for 8 weeks, dp-uc-MGP levels decreased by 17%, 33% and 46% respectively[62]. Several
250 studies show the same pattern (**Table 2**).

251

252 Although kidney transplantation is associated with an improvement in vitamin K levels[55], a
253 deficiency in vitamin K was still found in up to 91% of kidney transplant patients. This deficiency
254 may persist as long as 188 months post transplantation[38,-63]. Moreover, in at least one study,
255 vitamin K deficiency in kidney transplant patients was associated with an almost 3 times increase in
256 all-cause mortality [63].

257

258 **How Current therapy of MBD in CKD Influences Vitamin K levels and VKDPs**

259 While MBD derangements contribute to renal osteodystrophy and to VC in CKD [64], treatments of
260 MBD have not been sufficiently successful at reversing VC, improving cardiovascular events or
261 decreasing mortality. We hypothesize that this might be partly explained by the negative impact of
262 some of the MBD treatments on vitamin K levels. One such treatment is sevelamer. Sevelamer is
263 thought to bind fat-soluble vitamins [65, 66]. Since vitamin K is a fat-soluble vitamin, Jansz et al.
264 assessed the impact of sevelamer on vitamin K in patients who received a kidney transplantation.
265 They found that sevelamer is associated with higher dpu-cMGP levels reflecting vitamin K deficiency
266 [55]. This finding points to the possible need of giving vitamin K supplements to patients treated with
267 sevelamer, but this approach should first be substantiated by a specific study.

268

269 However, some MBD treatments are associated with improvements in VKDPs. In an analysis of the
270 VIKI study [57], the use of calcimimetics and vitamin D analogs was associated with higher levels
271 of BGP. Calcimimetic use was also associated with higher levels of total MGP [19]. Therefore, this
272 data suggests that calcimimetics and vitamin D analogs can help preserve or improve the activity of
273 VKDPs.

274

275 **VKDPs Beyond Bone and Vascular Health**

276

277 **Growth Arrest-Specific Protein 6 (Gas6)**

278 Gas6 is a gamma-carboxyglutamic acid (Gla) domain-containing protein, member of the VKDPs
279 family, which is present in several different tissues (e.g. vascular endothelium, kidney, heart, and the
280 bone marrow). It is a ligand for the TAM (Tyro3-Axl-Mer) receptor family [67] and is thought to be
281 involved in the stimulation of cell proliferation, migration and apoptosis [68, 69].

282 Gas6 and protein S are two homologous secreted proteins depending on vitamin K for a wide range
283 of their biological functions. A discrete subset of these functions is mediated through their binding to
284 and activation of the receptor tyrosine kinases Axl, Sky and Mer; in particular, the vitamin K-
285 dependent protein Gas6 activates receptor tyrosine kinases of the Axl family [69].

286 A hallmark of the Gas6-Axl system is the unique ability of both Gas6 and protein S to tether their
287 non receptor-binding regions to the negatively charged membranes of apoptotic cells. A relevant
288 amount of evidence suggests that the Gas6-Axl system is able to regulate cell survival, proliferation,
289 migration, adhesion and phagocytosis. Consequently, an altered expression, or a compromised
290 activity of its components have been detected in a variety of diseases, including different cancer types.
291 Moreover, Axl overactivation can equally occur without ligand binding, which has implications for
292 tumorigenesis. [70]

293 Upregulation of Gas6 has been described in different malignancies [71], and an increased expression
294 of either Gas6 or TAM receptor proved to be predictive of poor prognosis[72]. A number of animal
295 studies highlighted the role of Gas6 in the processes of carcinogenesis [71, 72, 73], while clinical
296 studies are rarer, but ultimately show consistent findings. Ovarian cancer samples from 90 patients
297 had significantly higher expression of Gas6 and Axl as compared to normal ovarian tissue [73], RNA
298 PCR from 42 glioblastoma frozen sections demonstrated that Gas6 and Axl are overexpressed both
299 in the tumoral, as well as in the surrounding vascular, tissue [74]. Furthermore, glioblastoma patients
300 whose tumors expressed higher Gas6 and Axl levels had significantly higher risk of tumor relapse as
301 well as shorter time to relapse [74]. A similar observation has been reported in osteosarcoma; indeed,
302 in 62 osteosarcoma patients, Axl was highly expressed in 43.5% of the cases, characterized by a
303 significantly higher rate of recurrence, lung metastases, as well as a lower survival [75]. Gas6-Axl is
304 also important as mechanisms of resistance to anticancer therapy; indeed, resistance to tyrosine kinase
305 inhibitors in non-small cell cancer and renal cell carcinoma (RCC) was found to be driven by Axl
306 [76].

307 As far as RCC, the Axl protein proved to be highly expressed in clear cell RCC cells deficient in
308 functional von Hippel-Lindau (VHL) protein, a tumor suppressor gene often inactivated in ccRCC.
309 VHL reconstituted cells expressed decreased levels of Axl protein, but not Axl mRNA, suggesting
310 that VHL may regulate Axl expression. Furthermore, Gas6-mediated activation of Axl in ccRCC cells
311 resulted in Axl phosphorylation, receptor down-regulation, decreased cell-viability, as well as
312 migratory capacity, whilst no effects of the Gas6/Axl system could be detected on invasion.
313 Moreover, in ccRCC tumor tissues, Axl was phosphorylated and Gas6 gamma-carboxylated,
314 suggesting these molecules to be active in vivo. [77]

315 All the above has practical therapeutic implications, as targeting the Gas6-Axl pathway through the
316 multikinase inhibitor cabozantinib proved to be an active treatment option for metastatic RCC
317 patients progressing on standard antiangiogenic therapy [78].

318

319 **Periostin**

320 Periostin is another member of the VKDP family. Similar to other VKDPs, the carboxylation of
321 periostin is dependent on vitamin K. However, it is unknown how the carboxylation status of periostin
322 influences its functions in different tissues. Periostin is an extracellular matrix protein that binds
323 integrins playing a role in cellular adhesion and migration[79]. It plays a role in collagen assembly in
324 several tissues and is upregulated when tissues are subjected to stress[79-81]. Following cardiac
325 injury, periostin is expressed in cardiac myofibroblasts and vascular smooth muscle cells contributing
326 to a profibrotic phenotype[81-83]. Similar to other VKDPs, periostin has also been found in many
327 cancers [84-86]. Periostin induces tumor angiogenesis[84, 85] and lymphangiogenesis [85], and its
328 association with cancer confers a worse prognosis to patients[85]. The role of periostin in breast
329 cancer has been described. Periostin is expressed in invasive ductal carcinoma cells [87]. Its
330 expression increases with the cancer grade, suggesting that periostin may play a role in cancer
331 progression[88]. Periostin can also serve as marker of breast cancer metastasis. Human breast cancer
332 exosomes contain periostin. Further, periostin enriched exosomes were found in patients with lymph
333 node metastasis as compared to those with localized disease[89]. Finally, periostin may have a role
334 in breast cancer prognostication. In 259 breast cancer patients who underwent surgical and radiation
335 therapy, local recurrence-free survival, distant metastasis-free survival and overall survival were
336 significantly lower in the patients whose tumors expressed periostin as compared to those whose
337 tumors were negative for periostin [90].

338
339

340 **Gla-Rich Protein (GRP)**

341
342 GRP is one of the newest members of the VKDP family. Its name derives from the large amount of
343 Gla residues, which comprise 22% of its composition[91], and which make it the VKDP with the
344 highest concentration of Gla residues. Since its discovery, GRP has been found to have a role as an
345 anti-inflammatory protein[92]. In vivo, it prevents osteoarthritis progression[93]. It additionally plays
346 a role in mineralization. In both animal models and in humans, GRP has been found to colocalize
347 with mineral deposits at sites of calcification[94]. Further work demonstrates that similar to MGP,
348 GRP in its carboxylated but not in its undercarboxylated form is a calcification inhibitor [95].
349 Although GRP role in cancer is less established as compared to other VKDPs, there is growing
350 interest surrounding this protein. The undercarboxylated form as compared to the carboxylated form
351 of GRP is found in more abundance in skin and breast cancer cells, particularly in microcalcifications
352 associated with these tumors [96]. Therefore, GRP may be involved in cancer-related calcifications
353 and as such may prove to be a therapeutic target for some types of cancer.

354

355 **Vitamin K in Cancer**

356 Several VKDPs are involved in tumorigenesis[71, 84, 85] (**Table 3**). Vitamin K2 administration in
357 vivo inhibits the cellular proliferation of several cancers [96, 97]. This led to a number of studies
358 investigating the role of vitamin K intake and supplementation in preventing cancer development,
359 progression and recurrence. In the European Prospective Investigation into
360 Cancer and Nutrition-Heidelberg cohort study which included 24,340 cancer-free participants
361 followed up for 10 years, there was a significant inverse association between vitamin K2 intake and
362 cancer mortality, but not cancer incidence[98]. Similarly, in the Prevención con Dieta Mediterránea
363 study, which enrolled 7216 participants followed up for a median of 4.8 years, subjects who increased
364 their dietary intake of both vitamin K1 and K2 had decreased cancer incidence[99].
365 The undercarboxylated form of prothrombin (PIVKAI), a VKDP, is upregulated in hepatocellular
366 carcinoma (HCC) [100]. Vitamin K2 supplementation in patients who underwent curative
367 hepatectomy or radiofrequency ablation for HCC suppressed HCC recurrence, though this effect
368 did not reach statistical significance in any of these studies[101, 102]. In contrast, 45 mg per day
369 of vitamin K2 supplementation resulted in significantly lower risk of HCC development in 21
370 women who had viral cirrhosis as compared to 19 women with viral cirrhosis who did not receive
371 supplementation[103]. This suggests that vitamin K2 may play a role in preventing the development
372 of HCC in high risk patients. Overall, the association and the relationship of vitamin K with cancer
373 is still uncertain and under investigation. Further studies are needed to define this role of vitamin
374 K.

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376 **Conclusion**

377 Substantial research has made it clear that VKDPs or Vitamin-K related pathways can be used in the
378 future to diagnose, treat and prognosticate a number of health conditions. There are still more vitamin
379 K-related roles to be uncovered and which will further our understanding of the physiological and
380 pathological importance of vitamin K status. It will also prove important to recognize the differential
381 actions of vitamin K1 and vitamin K2, and to develop standardized techniques that can directly
382 measure vitamin K levels instead of our current reliance on functional vitamin K status as measured
383 by VKDPs levels [104]. This will allow to develop trials that can evaluate selective and optimal
384 vitamin K supplementation strategies in order to further understand their effect on clinical outcomes.

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386 **Compliance with Ethical Standards**

387 **Conflict of interest:** The authors declare that they have no conflict of interest.

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655 **Table 1. Vitamin K and VKDP levels in Kidney Disease**

AUTHOR, YEAR	NUMBER OF PARTICIPANTS	KIDNEY DISEASE STAGE	VITAMIN K FORM MEASURED	% OF PATIENTS WITH VITAMIN K DEFICIENCY	VKDP MEASURED	% OF PATIENTS WITH MEASURED VKDP
Kolheimer M., 1997 [105]	68	ESKD -HD	Phylloquinone	33%		
Pilkey RM., 2007 [106]	142	ESKD	Phylloquinone	29%	ucBGP	93%
Holden RM, 2008 [52]	21	ESKD- PD	Phylloquinone	24%	ucBGP	60%
Holden RM, 2010 [107]	172	CKD 3-5	Phylloquinone	6%	ucBGP	60%
					PIVKaII	97%
Schurgers LJ, 2010 [108]	107	CK2-5 and ESKD-HD			dp-ucMGP	50%
Schlieper G, 2011 [109]	188	ESKD-HD			PIVKA-II	63%
					dp-ucMGP	100%
Cranenburg EC, 2012 [54]	40	ESKD-HD	Phylloquinone	45%	PIVKAII	82.5%
			Menaquinone	100%	dp-ucMGP	100%
Westendfeld R, 2012 [61]	53	ESKD-HD	Menaquinone	100%	PIVKAII	92.5%
					dp-ucMGP	100%
Fusaro M, 2012 [57]	387	ESKD-HD	Phylloquinone	23.5%	ucBGP	100%
			Menaquinone-4	14.5%		
			Menaquinone-7	35.4%		
Boxma PY, 2012 [110]	60	Post-Transplantation			dp-ucMGP	80%
Caluwe R, 2013 [62]	165	ESKD-HD			dp-ucMGP	100%
Delanaye P, 2014 [111]	160	ESKD-HD			dp-ucMGP	100%
CA, 2015 [63]	518	Post-Transplantation			dp-ucMGP	91%
Aoun M, 2017 [112]	50	ESKD-HD			dp-ucMGP	100%

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659

660 **Table 2. Effect of Vitamin K supplementation on dephosphorylated-undercarboxylated MGP**
 661 **levels in ESKD**

AUTHOR, YEAR	STUDY DESIGN	NUMBER OF PARTICIPANTS	KIDNEY DISEASE STAGE	INTERVENTION	OUTCOMES MEASURED	RESULTS
Schlieper G., 2011 [109]	Prospective	17	ESKD	Vitamin K2 at 135 µg/d for 6 weeks	dp-ucMGP level	Vitamin K2 supplementation resulted in a 27% reduction in dp-ucMGP levels p = 0.0027
Westenfeld R., 2012 [61]	Prospective	53	ESKD	Vitamin K2 at 45, 135, or 360 µg/d for 6 weeks	dp-ucMGP level	Vitamin K2 supplementation resulted in a dose-dependent decrease in the levels of dp-uc-MGP by 17.9%, 36.7%, and 61.1% in the 45-, 135-, and 360-µg groups, respectively, compared with baseline values. p<0.005
Caluwe R, 2014 [62]	Prospective	200	ESKD	Vitamin K2 at 60, 720 or 1080 µg thrice weekly for 8 weeks	dp-uc-MGP level	Vitamin K2 resulted in a dose-dependent decrease in the levels of dp-uc-MGP by 17%, 33% and 46% in the 360-, 720- and 1080-µg groups, respectively, compared to baseline values. p < 0.001
Aoun M, 2017 [112]	Prospective	50	ESKD	360 µg of vitamin K2 (menaquinone-7) for 4 weeks	dp-uc-MGP level	Vitamin K2 reduced dp-ucMGP by 86% P<0.05

662
 663 **Table 3. Effects of VKDPs on cancer development and progression.**

664

Vitamin K dependent proteins activity may modulate cancer behavior	
Gas 6	<ul style="list-style-type: none"> • Angiogenesis • Tumor Progression • Higher Tumor Recurrence • Metastasis and Poorer Prognosis • Cancer Therapy Resistance
Periostin	<ul style="list-style-type: none"> • Angiogenesis • Lymphangiogenesis • Tumor Progression • Poorer Prognosis
PIVKaII	<ul style="list-style-type: none"> • Tumor Progression

665

666

667

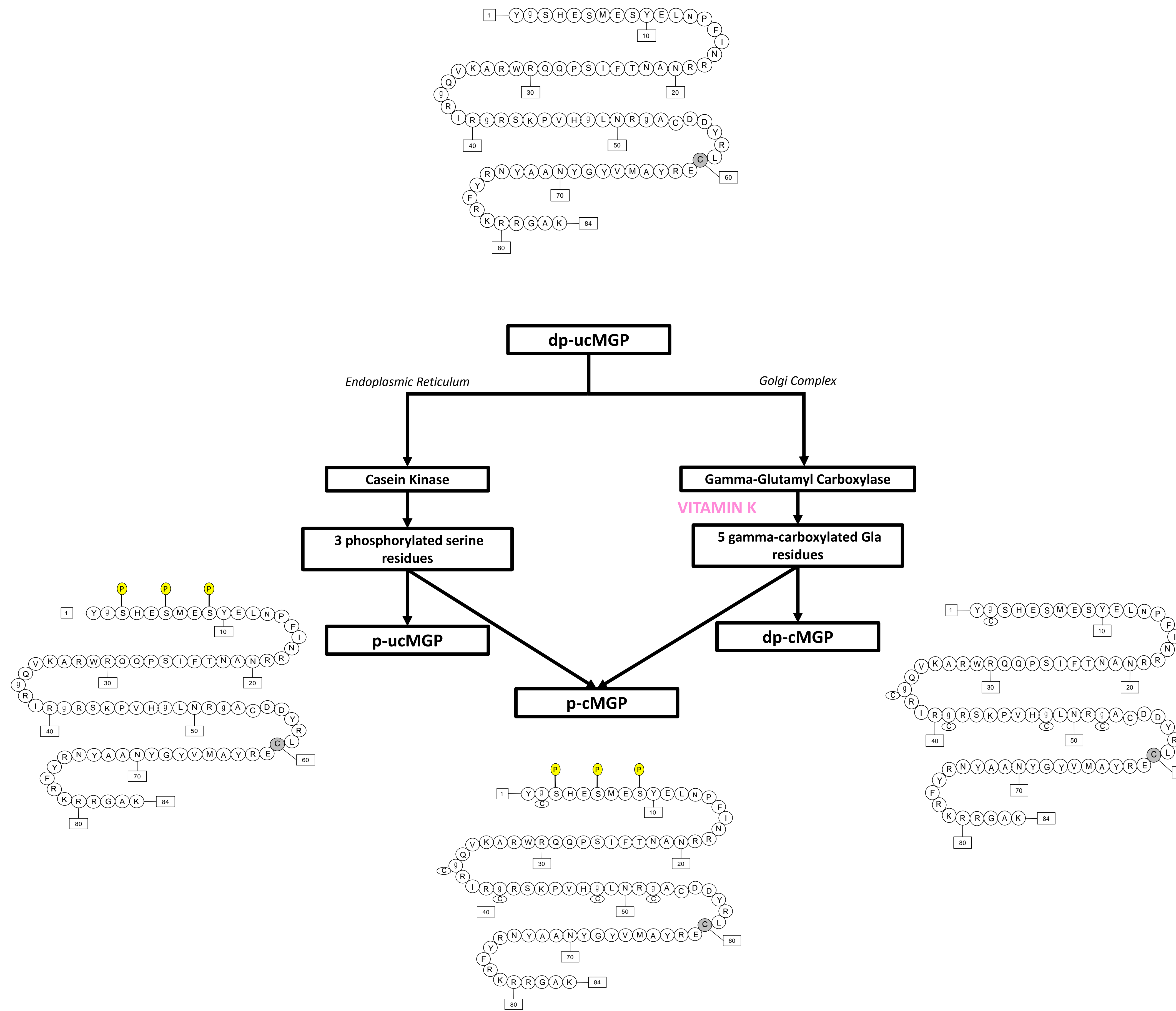
668 **Figure legends**

669 **Figure 1. Different Forms of matrix Gla protein (MGP).**

670

671

672



Dear Professor Gambaro,

Thank you for accepting our manuscript for submission. We appreciate the reviewer comments and have addressed them below.

Sincerely,
Pascale Khairallah and Maria Fusaro

Reviewer #1: The new version is improved.

Comment 1: *Please note, line 300 edit ‘those’ into ‘whose’ or change the phrase. Thank you’.* We have changed ‘those’ to ‘whose’ as highlighted in the text.

Reviewer #2: The authors provide an overview on actions of vitamin k beyond bone and cardiovascular health. Now, the authors have improved the readability and the scope of the manuscript becomes way more clear.
I have few comments left.

Comment 1: *Passage on MGP- first sentence- revised.*

I still do not agree with the phrasing. ‘MGP....after carboxylation shows 5 gamma carboxyglutamic acid residues’- it can show up to 5 carboxylated residues, as also explained later in this passage.

Thank you for your comment. We have changed the sentence from ‘MGP is a 14 kDa vitamin K-dependent protein which after carboxylation shows 5 gamma-carboxyglutamic acid residues’ to ‘MGP is a 14 kDa vitamin K-dependent protein which after carboxylation can have up to 5 gamma-carboxyglutamic acid residues’

Comment 2: *Vitamin K as ligand of Nuclear Receptors*

I think the connection between vitamin D and vitamin K is highly interesting, especially as a passage on CKD follows. A remark on the pathology of CKD-MBD would strengthen the importance of this pathway.

Thank you for this comment, indeed it’s very intriguing the connection between Vitamin D and Vitamin K highlighted by an enhanced vitamin D3 effects on BGP gene expression and osteoblast precursor following supplementation MKn (see Fusaro et al, Vitamin K and bone: Clin Cases Miner Bone Metab 2017 - Review. PMID 29263734 Free PMC article). However, Vitamin D involves one aspect of CKD-MBD. Discussing the pathology of CKD-MBD only briefly will not be sufficient to highlight the different underlying processes and their importance.

Comment 3: *Periostin*

Contrary to the other VKD proteins, the passage lacks information on the carboxylation state of the described effects. As this is unknown, this should be stated.

Thank you for this comment. We have added the following sentence ‘Similar to other VKDPs, the carboxylation of periostin is dependent on vitamin K. However, it is unknown how the carboxylation status of periostin influences its functions in different tissues.’

Comment 4: *Conclusion*

The authors want to ‘develop techniques that can directly measure vitamin K’. Why? First, the technique is available- second, it just mainly reflects the short term intake of vitamin K and not the general vitamin K status.

Thank you for the comment. The technique is available but it is not standardized. We have added in the sentence standardized.

1 **Vitamin K effects in human health: new insights beyond bone and cardiovascular health**

2

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16

17 **Keywords:** Vitamin K, Bone disease, Vascular calcifications, Cancer, Chronic kidney disease

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21

22 **Abstract:**

23 Vitamin K is a cofactor for the function of the enzyme γ -glutamyl carboxylase, necessary for the
24 activation of multiple vitamin K dependent-proteins. Vitamin K dependent-proteins (VKDPs) have
25 important roles in bone health, vascular health, metabolism, reproduction as well as in cancer
26 progression. Vitamin K deficiency is common in different conditions, including kidney disease, and
27 it may influence the activity of VKDPs. This review discusses vitamin K status in human health and
28 the physiologic and pathologic roles of VKDPs, beyond the established effects in skeletal and
29 cardiovascular health.

30

31

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49 **Introduction:**

50 The vitamin K family is comprised of a group of fat-soluble molecules that share the 2-methyl-1,4-
51 naphthoquinone (3-) groups. Vitamin K exists in 3 main forms, K1 and K2 which are the natural
52 form, and K3 or menadione which is the synthetic form of the vitamin [1]. Vitamin K1, also known
53 as phyloquinone, is found in vegetables, while vitamin K2, also known as menaquinone, is found in
54 fermented food or produced by the intestinal microbiota. Vitamin K1 can be converted into vitamin
55 K2. Two mechanisms of action of vitamin K have been described to date. It is an essential cofactor
56 for the function of the enzyme γ -glutamyl carboxylase, and it acts as a ligand of the steroid and
57 xenobiotic receptor (SXR) and pregnane X receptor (PXR, murine ortholog) [2].

58 Vitamin K-dependent proteins (VKDPs) play important roles in human physiology and can be an
59 important link between the bone and the vasculature. This link becomes particularly important in
60 patients with chronic kidney disease (CKD) who have a high prevalence of both mineral bone
61 disorders (MBD) and vascular calcification (VC) [3] and whose primary cause of death is
62 cardiovascular disease. Osteocalcin (OCN) is a VKDP known to be involved in bone mineralization,
63 while Matrix GLA protein (MGP) is a known VC inhibitor whose deficiency is associated with
64 increased risk for VC in CKD. New VKDPs have been discovered, and they have been found to play
65 important roles in various cancers and their therapies.

66 While many questions have been answered, many more remain regarding the roles of the VKDPs in
67 bone and vascular physiology. This review will discuss the roles of VKDPs and vitamin K in different
68 pathologies.

69

70 **Vitamin K Dependent Protein (VKDPs)**

71 Vitamin K is an essential cofactor required for the activation of the gamma glutamyl carboxylase
72 which converts glutamic acid to γ -glutamic acid residues. There are several vitamin K dependent
73 proteins (VKDPs) [4]. These include the coagulation factors proteins C, S, M, Z, factors VII, IX, X
74 and prothrombin. VKDPs also include Bone Gla Protein (BGP, or osteocalcin), Matrix Gla Protein
75 (MGP), Gas6 (Growth Arrest-Specific 6 Protein), GRP (Gla Rich Protein) and Periostin. VKDPs play
76 established roles in coagulation, in bone health and in cardiovascular health.

77

78 **Bone Gla Protein (BGP): Beyond Skeletal Health**

79 BGP or osteocalcin is the most abundant protein in bone. It is mainly secreted by osteoblasts, with a
80 smaller amount secreted by chondrocytes[5]. BGP undergoes three carboxylation events to be
81 transformed from the undercarboxylated form into the fully functional form. These carboxylation
82 events require vitamin K as a cofactor[6]. Several mechanisms describing the BGPs role in bone

83 physiology have been proposed, including the inhibition of bone mineralization[7], the regulation of
84 the rate of mineral maturation[8], and the formation of a complex between bone matrix and collagen
85 in order to increase bone toughness[9]. However, none of these mechanisms are fully proven.

86
87 More recently, the relationship between BGP and glucose metabolism has been elucidated. In this
88 role, BGP is thought to be released into the circulation and to exert an action similar to a hormonal
89 effect[10]. This shed light into the peripheral functions of BGP and led to increased interest in this
90 protein, therefore uncovering a wide range of functions.

91
92 The role of BGP in glucose metabolism and insulin signaling was first discovered by Lee et al[11]
93 whose experiments showed that BGP knockout mice develop glucose intolerance, insulin resistance,
94 and increased adipose tissue. The circulating form of BGP exerting the metabolic effects is mostly
95 the undercarboxylated form (ucBGP). By binding to the receptor *Gprc6a*, in animals ucBGP acts on
96 the pancreatic beta cells [10]. The influence of BGP on insulin sensitivity may be mediated via its
97 effect on adiponectin, independent of insulin secretion[11]. Human studies have not shown this
98 metabolic effect, however. When Basu et. al administered insulin to seven diabetic and seven non-
99 diabetic patients and assessed the association with bone turnover markers, the change in the insulin
100 levels did not influence BGP and ucBGP levels[12]. In humans, BGP also acts on Leydig cells thereby
101 affecting the reproductive function of males[13].

102
103 Beyond the metabolic functions, BGP is involved in vascular calcification (VC) modulation through
104 its effect on adiponectin[11]. Adiponectin inhibits osteoblastic differentiation of vascular smooth
105 muscle cells, therefore protecting against VC[14]. In apolipoprotein E-deficient mice, daily injections
106 of BGP for 12 weeks resulted in endothelium protection from atherosclerosis, but whether this was
107 also mediated by the concomitant improvement in glucose metabolism is unknown[15]. Similarly,
108 diabetic rats given daily injections of BGP had an improvement in arterial stiffness as assessed by
109 pulse wave velocity[16].

110 The role of BGP in modulating and possibly preventing VC was confirmed in humans. BGP may
111 exert this effect through its interaction with adiponectin, as seen by Bacchetta et al. when they found
112 a significant association between BGP and adiponectin in CKD patients[17].

113 In human cardiovascular tissues, BGP was found in higher concentrations in calcified aorta and
114 valves as compared to non-calcified tissue[18]. Fusaro et al. found lower BGP levels in patients with
115 aortic and iliac calcifications as compared to patients without calcifications[19]. In men aged 51-85

116 years old in the MINOS study, higher total BGP levels were associated with slower progression of
117 abdominal aortic calcification after a 10 year follow up[20].

118

119 In contrast to the above findings, in the Study of Osteoporotic Fractures (SOF) which enrolled 363
120 elderly women, total BGP levels were not associated with abdominal aortic calcification[21].

121 Moreover, in a meta-analysis of 46 clinical studies evaluating the relationship between BGP and VC,
122 no definite associations could be found between the different forms of BGP (ucBGP, cBGP and total
123 BGP) and VC. However, sound physiological conclusions cannot be drawn based on these findings.

124 In fact, 44% of the included studies did not adjust for confounding variables and the BGP forms were
125 measured using different assays in the different studies[22]. Moreover, BGP displays a circadian
126 rhythm with levels falling in the morning and reaching the peak in the evening [23]. Therefore, the
127 timing of blood draws may impact the results of the studies. It is also important to note that BGP is
128 cleared by the kidneys[24]. Therefore any decline in renal function results in an elevation in BGP
129 levels [24]. This is particularly notable when the glomerular filtration rate drops below 20 ml/min[24]

130 . Additionally, based on the aforementioned studies, gender appears to be a confounding factor with
131 the effects of BGP being differential between males and females. Vitamin K levels are obvious
132 confounders. Moreover, menopausal status, adipose tissue, diabetic status are all expected to be
133 confounders as well [25]. If we want studies that more accurately unravel the effect of BGP on the
134 vasculature, we should standardize our BGP serum measurements and understand more carefully the
135 confounders that should be accounted for.

136

137 **Matrix Gla Protein (MGP): Beyond Cardiovascular Health**

138 MGP is a 14 kDa vitamin K-dependent protein which after carboxylation **can have up to** 5 gamma-
139 carboxyglutamic acid residues [26]. In addition to gamma-carboxylation, MGP requires post-
140 translational serine phosphorylation. Phosphorylation occurs at 3 serine residues via the enzyme
141 casein kinase[26, 27]. Phosphorylation regulates the protein secretion into the extracellular
142 environment[26]. Based on the degree of carboxylation and phosphorylation, multiple forms of MGP
143 can be found in the circulation and the extracellular matrix (**Figure 1**). MGP is released from vascular
144 smooth muscle cells and chondrocytes [28]. It was the first calcification inhibitor to be
145 characterized[28]. The exact mechanism through which MGP inhibits VC is not completely
146 understood. However, the carboxylated active form of MGP is believed 1) to bind to calcification
147 crystals in blood vessels forming vesicles and apoptotic bodies, 2) to directly prevent calcium
148 phosphate precipitation, and 3) to prevent the trans-differentiation of vascular smooth muscles cells
149 into an osteogenic phenotype [26, 29].

150 The different forms of MGP can be used as a biomarker of vitamin K deficiency[30]. Vitamin K
151 deficiency in CKD leads to a decrease in the levels of the phosphorylated-carboxylated MGP (p-
152 cMGP) and a rise in the levels of dephosphorylated undercarboxylated MGP (dp-ucMGP) [31].
153 Plasma dp-ucMGP levels increase as CKD advances with the highest levels found in CKD stage
154 5[31]. Plasma dp-ucMGP is positively associated with VC and might be utilized as an early marker
155 for vascular calcification in CKD patients[30, 31].

156
157 Beyond the well-established effects of MGP in VC[32] studies also suggest that it has a role in skeletal
158 health. Mice deficient in MGP develop diffuse VC as well as inappropriate calcification of the growth
159 plate[28]. Mice overexpressing *Mgp* in osteoblasts have a decrease in bone mineralization
160 particularly in the tooth dentin and cementum. Thus, MGP affects bone mineralization[33]. MGP
161 interacts with both osteoblasts and osteoclasts. Phosphate regulates MGP expression in osteoblast
162 cultures via the ERK1/2-Fra-1 pathway [34]. Via Src/Rac1 signaling, MGP modulates
163 osteoclastogenesis; MGP depletion favors while MGP excess inhibits osteoclast differentiation [35].

164
165 In clinical studies, homozygosity of the MGP rs1800802 minor allele, but not total serum MGP levels
166 was associated with 0.56 times lower prevalence of hand osteoarthritis compared with having ≥ 1
167 major allele at this locus (95% CI 0.32-0.99, $p < 0.05$), suggesting a role for MGP in osteoarthritis[36].
168 Among 145 participants in the European Vertebral Osteoporosis Study, men with the homozygous
169 MGP-7AA polymorphism had significantly more femoral bone loss as compared to those with
170 genotypes -7GG and -7GA [37]. Those homozygous for MGP 83Ala-Ala had significantly more
171 femoral neck loss as well as a greater tendency to vertebral fractures as compared to those with the
172 genotypes 83Thr-Thr and 83Thr-Ala. A decrease in BMD was observed only in MGP-7AA and
173 MGP 83Ala-Ala genotypes. These associations were not found in the 151 women who participated
174 in the study possibly because 94% of the women were post-menopausal and had independent post-
175 menopausal bone loss that could have confounded the effect of the MGP polymorphisms.

176 The effect of MGP on fractures and bone density was similarly seen following kidney transplantation.
177 Evenepoel et al. evaluated vitamin K deficiency as measured by dp-ucMGP levels in 468 de novo
178 kidney transplant recipients. The patients with the highest tertile of dp-ucMGP levels had lower bone
179 mineral density and had higher incident fractures independently of common fracture determinants
180 (HR 2.21; 95% CI, 1.00 to 4.91; $p < 0.05$) [38].

181
182 Studies evaluating the relationship between renal clearance and MGP levels are rare. In 842
183 outpatients with stable cardiovascular disease and a mean GFR of 76 ± 23 mL/min, each 10 mL/ min

184 lower GFR was associated with a 79 nM lower ucMGP serum level ($p < 0.001$), and a 0.1 mg/L
185 higher cystatin-C was associated with a 39 nM lower ucMGP serum levels ($p < 0.001$) in multivariate
186 adjusted models [39]. However, when Rennenberg et al. looked at this association, they found no
187 significant correlations between total MGP levels in renal arterial and venous blood and renal
188 clearance of 90 patients with hypertension[40]. It is important to note however that none of the
189 patients in this cohort had a GFR <26 mL/min[40]. A relationship between MGP levels and renal
190 clearance at a GFR <26 mL/min is therefore still possible.

191

192

193 **Vitamin K as Ligand of Nuclear Receptors**

194 Vitamin K can act as ligand of the nuclear Steroid and Xenobiotic Receptor (SXR) and its murine
195 ortholog, Pregnane X Receptor (PXR)[41]. SXR/PXR is present in different tissues, including
196 osteoblastic cell lines [42, 43]. The presence of SXR/PXR in osteoblastic tissue is important as it
197 could be the pathway through which vitamin K improves bone health [44].

198 Transcriptome analysis has revealed a number of bone-related genes which are involved in the
199 vitamin K-SXR pathway. These include tsukushi and matrilin-2, which are involved in collagen and
200 extracellular matrix assembly [45, 46]. In sarcoma cells, vitamin K up-regulates osteoblastic bone
201 markers [43]. SXR/PXR knockout mice have increased bone resorption and decreased bone
202 formation [47].

203

204 SXR is additionally involved in bone metabolism via its effect on vitamin D metabolism. In this role,
205 SXR activation can have two effects. SXR activation by some drugs can lead to CYP3A4 expression
206 (exerting 24- and 25-hydroxylase activity) and resultant vitamin D metabolism and deficiency. SXR
207 activation can also lead to inhibition of CYP24A1 (24-hydroxylase activity) in the kidney therefore
208 increasing 1,25(OH)D levels [48]. These data suggest that SXR/PXR is another pathway through
209 which vitamin K is involved in bone homeostasis.

210

211 **Vitamin K in Chronic Kidney Disease (CKD)**

212 The western diet does not provide enough vitamin K to activate VKDPs in all tissues[49]. This
213 deficiency is more pronounced in adults over the age of 40. Patients with CKD have even greater
214 rates of vitamin K deficiency as compared to the general population. The number of CKD patients
215 who have vitamin K deficiency reaches 70-90% of that population [50, 51, 52] (**Table 1**). Poor oral
216 intake of vitamin K is the main cause of deficiency [50, 53]. When compared to healthy individuals,
217 the vitamin K intake of HD patients is particularly low on days of dialysis and the weekend[54]. The

218 use of phosphorus binders in the dialysis population contributes to vitamin K deficiency as well [55].
219 Being lipophilic, vitamin K should not be removed via dialysis. However, studies to validate this
220 hypothesis are needed, because serum levels of 25(OH)-vitamin D, another lipophilic molecule,
221 decreased in patients who were switched from conventional hemodialysis to online hemodiafiltration
222 [56].

223

224 There are known implications of vitamin K deficiency in population-based studies and in kidney
225 disease patients [57, 58]. In the Rotterdam study of 7983 men and women over the age of 55, intake
226 of menaquinone protected against incident coronary heart disease (RR of highest tertile of
227 menaquinone intake as compared to lowest tertile = 0.59, $p=0.007$), and against coronary heart disease
228 related mortality (RR of highest tertile of menaquinone intake as compared to lowest tertile = 0.43,
229 $p=0.005$). Additionally, the odds ratio of severe aortic calcification was significantly lower in the
230 patients with the highest intake of menaquinone intake as compared to those with lowest intake (OR
231 0.48, $p < 0.001$) [59]. In the VIKI study, a cohort of 387 dialysis patients, 35.4% of patients had
232 menaquinone-7 deficiency, 23.5% of patients had vitamin K1 deficiency and 14.5% of patients had
233 menquinone-4 deficiency [57]. Patients with menaquinone-4 deficiency had significantly higher
234 aortic calcification (10.6% versus 1.3%, $p = 0.01$). Menaquinone-7 deficiency was associated with
235 significantly higher iliac calcifications (41% versus 28.2%, $p = 0.009$) [57].

236

237 There is no gold-standard for the measurement of vitamin K levels and there is a lack in
238 standardization. Instead, functional deficiency of vitamin K is used as a surrogate of vitamin K status
239 in individuals. Vitamin K deficiency in CKD leads to a decrease in the levels of active MGP, a rise
240 in the levels of dp-ucMGP, as well as a rise in the levels of ucBGP[37]. Plasma dp-ucMGP levels
241 increase as CKD advances with highest levels being in CKD stage 5[38]. A dp-ucMGP level of >500
242 pmol/L, ucBGP >4.5 ng/mL[59] or protein induced by vitamin K absence-II (PIVKA-II) >2 nM/L
243 are indicative of vitamin K deficiency [30, 60].

244

245 In 53 dialysis patients, vitamin K2 supplementation resulted in a dose dependent decrease in
246 functional vitamin K deficiency. After a 6-week supplementation regimen, dp-ucMGP levels were
247 reduced 77% and 93% in the groups receiving daily oral administration of 135 μg and 360 μg of K2,
248 respectively[61]. In 200 HD patients receiving vitamin K2 at dose of 360, 720 or 1080 μg thrice
249 weekly for 8 weeks, dp-uc-MGP levels decreased by 17%, 33% and 46% respectively[62]. Several
250 studies show the same pattern (**Table 2**).

251

252 Although kidney transplantation is associated with an improvement in vitamin K levels[55], a
253 deficiency in vitamin K was still found in up to 91% of kidney transplant patients. This deficiency
254 may persist as long as 188 months post transplantation[38,-63]. Moreover, in at least one study,
255 vitamin K deficiency in kidney transplant patients was associated with an almost 3 times increase in
256 all-cause mortality [63].

257

258 **How Current therapy of MBD in CKD Influences Vitamin K levels and VKDPs**

259 While MBD derangements contribute to renal osteodystrophy and to VC in CKD [64], treatments of
260 MBD have not been sufficiently successful at reversing VC, improving cardiovascular events or
261 decreasing mortality. We hypothesize that this might be partly explained by the negative impact of
262 some of the MBD treatments on vitamin K levels. One such treatment is sevelamer. Sevelamer is
263 thought to bind fat-soluble vitamins [65, 66]. Since vitamin K is a fat-soluble vitamin, Jansz et al.
264 assessed the impact of sevelamer on vitamin K in patients who received a kidney transplantation.
265 They found that sevelamer is associated with higher dpu-cMGP levels reflecting vitamin K deficiency
266 [55]. This finding points to the possible need of giving vitamin K supplements to patients treated with
267 sevelamer, but this approach should first be substantiated by a specific study.

268

269 However, some MBD treatments are associated with improvements in VKDPs. In an analysis of the
270 VIKI study [57], the use of calcimimetics and vitamin D analogs was associated with higher levels
271 of BGP. Calcimimetic use was also associated with higher levels of total MGP [19]. Therefore, this
272 data suggests that calcimimetics and vitamin D analogs can help preserve or improve the activity of
273 VKDPs.

274

275 **VKDPs Beyond Bone and Vascular Health**

276

277 **Growth Arrest-Specific Protein 6 (Gas6)**

278 Gas6 is a gamma-carboxyglutamic acid (Gla) domain-containing protein, member of the VKDPs
279 family, which is present in several different tissues (e.g. vascular endothelium, kidney, heart, and the
280 bone marrow). It is a ligand for the TAM (Tyro3-Axl-Mer) receptor family [67] and is thought to be
281 involved in the stimulation of cell proliferation, migration and apoptosis [68, 69].

282 Gas6 and protein S are two homologous secreted proteins depending on vitamin K for a wide range
283 of their biological functions. A discrete subset of these functions is mediated through their binding to
284 and activation of the receptor tyrosine kinases Axl, Sky and Mer; in particular, the vitamin K-
285 dependent protein Gas6 activates receptor tyrosine kinases of the Axl family [69].

286 A hallmark of the Gas6-Axl system is the unique ability of both Gas6 and protein S to tether their
287 non receptor-binding regions to the negatively charged membranes of apoptotic cells. A relevant
288 amount of evidence suggests that the Gas6-Axl system is able to regulate cell survival, proliferation,
289 migration, adhesion and phagocytosis. Consequently, an altered expression, or a compromised
290 activity of its components have been detected in a variety of diseases, including different cancer types.
291 Moreover, Axl overactivation can equally occur without ligand binding, which has implications for
292 tumorigenesis. [70]

293 Upregulation of Gas6 has been described in different malignancies [71], and an increased expression
294 of either Gas6 or TAM receptor proved to be predictive of poor prognosis[72]. A number of animal
295 studies highlighted the role of Gas6 in the processes of carcinogenesis [71, 72, 73], while clinical
296 studies are rarer, but ultimately show consistent findings. Ovarian cancer samples from 90 patients
297 had significantly higher expression of Gas6 and Axl as compared to normal ovarian tissue [73], RNA
298 PCR from 42 glioblastoma frozen sections demonstrated that Gas6 and Axl are overexpressed both
299 in the tumoral, as well as in the surrounding vascular, tissue [74]. Furthermore, glioblastoma patients
300 whose tumors expressed higher Gas6 and Axl levels had significantly higher risk of tumor relapse as
301 well as shorter time to relapse [74]. A similar observation has been reported in osteosarcoma; indeed,
302 in 62 osteosarcoma patients, Axl was highly expressed in 43.5% of the cases, characterized by a
303 significantly higher rate of recurrence, lung metastases, as well as a lower survival [75]. Gas6-Axl is
304 also important as mechanisms of resistance to anticancer therapy; indeed, resistance to tyrosine kinase
305 inhibitors in non-small cell cancer and renal cell carcinoma (RCC) was found to be driven by Axl
306 [76].

307 As far as RCC, the Axl protein proved to be highly expressed in clear cell RCC cells deficient in
308 functional von Hippel-Lindau (VHL) protein, a tumor suppressor gene often inactivated in ccRCC.
309 VHL reconstituted cells expressed decreased levels of Axl protein, but not Axl mRNA, suggesting
310 that VHL may regulate Axl expression. Furthermore, Gas6-mediated activation of Axl in ccRCC cells
311 resulted in Axl phosphorylation, receptor down-regulation, decreased cell-viability, as well as
312 migratory capacity, whilst no effects of the Gas6/Axl system could be detected on invasion.
313 Moreover, in ccRCC tumor tissues, Axl was phosphorylated and Gas6 gamma-carboxylated,
314 suggesting these molecules to be active in vivo. [77]

315 All the above has practical therapeutic implications, as targeting the Gas6-Axl pathway through the
316 multikinase inhibitor cabozantinib proved to be an active treatment option for metastatic RCC
317 patients progressing on standard antiangiogenic therapy [78].

318

319 **Periostin**

320 Periostin is another member of the VKDP family. Similar to other VKDPs, the carboxylation of
321 periostin is dependent on vitamin K. However, it is unknown how the carboxylation status of periostin
322 influences its functions in different tissues. Periostin is an extracellular matrix protein that binds
323 integrins playing a role in cellular adhesion and migration[79]. It plays a role in collagen assembly in
324 several tissues and is upregulated when tissues are subjected to stress[79-81]. Following cardiac
325 injury, periostin is expressed in cardiac myofibroblasts and vascular smooth muscle cells contributing
326 to a profibrotic phenotype[81-83]. Similar to other VKDPs, periostin has also been found in many
327 cancers [84-86]. Periostin induces tumor angiogenesis[84, 85] and lymphangiogenesis [85], and its
328 association with cancer confers a worse prognosis to patients[85]. The role of periostin in breast
329 cancer has been described. Periostin is expressed in invasive ductal carcinoma cells [87]. Its
330 expression increases with the cancer grade, suggesting that periostin may play a role in cancer
331 progression[88]. Periostin can also serve as marker of breast cancer metastasis. Human breast cancer
332 exosomes contain periostin. Further, periostin enriched exosomes were found in patients with lymph
333 node metastasis as compared to those with localized disease[89]. Finally, periostin may have a role
334 in breast cancer prognostication. In 259 breast cancer patients who underwent surgical and radiation
335 therapy, local recurrence-free survival, distant metastasis-free survival and overall survival were
336 significantly lower in the patients whose tumors expressed periostin as compared to those whose
337 tumors were negative for periostin [90].

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340 **Gla-Rich Protein (GRP)**

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342 GRP is one of the newest members of the VKDP family. Its name derives from the large amount of
343 Gla residues, which comprise 22% of its composition[91], and which make it the VKDP with the
344 highest concentration of Gla residues. Since its discovery, GRP has been found to have a role as an
345 anti-inflammatory protein[92]. In vivo, it prevents osteoarthritis progression[93]. It additionally plays
346 a role in mineralization. In both animal models and in humans, GRP has been found to colocalize
347 with mineral deposits at sites of calcification[94]. Further work demonstrates that similar to MGP,
348 GRP in its carboxylated but not in its undercarboxylated form is a calcification inhibitor [95].
349 Although GRP role in cancer is less established as compared to other VKDPs, there is growing
350 interest surrounding this protein. The undercarboxylated form as compared to the carboxylated form
351 of GRP is found in more abundance in skin and breast cancer cells, particularly in microcalcifications
352 associated with these tumors [96]. Therefore, GRP may be involved in cancer-related calcifications
353 and as such may prove to be a therapeutic target for some types of cancer.

354

355 **Vitamin K in Cancer**

356 Several VKDPs are involved in tumorigenesis[71, 84, 85] (**Table 3**). Vitamin K2 administration in
357 vivo inhibits the cellular proliferation of several cancers [96, 97]. This led to a number of studies
358 investigating the role of vitamin K intake and supplementation in preventing cancer development,
359 progression and recurrence. In the European Prospective Investigation into
360 Cancer and Nutrition-Heidelberg cohort study which included 24,340 cancer-free participants
361 followed up for 10 years, there was a significant inverse association between vitamin K2 intake and
362 cancer mortality, but not cancer incidence[98]. Similarly, in the Prevención con Dieta Mediterránea
363 study, which enrolled 7216 participants followed up for a median of 4.8 years, subjects who increased
364 their dietary intake of both vitamin K1 and K2 had decreased cancer incidence[99].
365 The undercarboxylated form of prothrombin (PIVKAI), a VKDP, is upregulated in hepatocellular
366 carcinoma (HCC) [100]. Vitamin K2 supplementation in patients who underwent curative
367 hepatectomy or radiofrequency ablation for HCC suppressed HCC recurrence, though this effect
368 did not reach statistical significance in any of these studies[101, 102]. In contrast, 45 mg per day
369 of vitamin K2 supplementation resulted in significantly lower risk of HCC development in 21
370 women who had viral cirrhosis as compared to 19 women with viral cirrhosis who did not receive
371 supplementation[103]. This suggests that vitamin K2 may play a role in preventing the development
372 of HCC in high risk patients. Overall, the association and the relationship of vitamin K with cancer
373 is still uncertain and under investigation. Further studies are needed to define this role of vitamin
374 K.

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376 **Conclusion**

377 Substantial research has made it clear that VKDPs or Vitamin-K related pathways can be used in the
378 future to diagnose, treat and prognosticate a number of health conditions. There are still more vitamin
379 K-related roles to be uncovered and which will further our understanding of the physiological and
380 pathological importance of vitamin K status. It will also prove important to recognize the differential
381 actions of vitamin K1 and vitamin K2, and to develop **standardized** techniques that can directly
382 measure vitamin K levels instead of our current reliance on functional vitamin K status as measured
383 by VKDPs levels [104]. This will allow to develop trials that can evaluate selective and optimal
384 vitamin K supplementation strategies in order to further understand their effect on clinical outcomes.

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386 **Compliance with Ethical Standards**

387 **Conflict of interest:** The authors declare that they have no conflict of interest.

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655 **Table 1. Vitamin K and VKDP levels in Kidney Disease**

AUTHOR, YEAR	NUMBER OF PARTICIPANTS	KIDNEY DISEASE STAGE	VITAMIN K FORM MEASURED	% OF PATIENTS WITH VITAMIN K DEFICIENCY	VKDP MEASURED	% OF PATIENTS WITH MEASURED VKDP
Kolheimer M., 1997 [105]	68	ESKD -HD	Phylloquinone	33%		
Pilkey RM., 2007 [106]	142	ESKD	Phylloquinone	29%	ucBGP	93%
Holden RM, 2008 [52]	21	ESKD- PD	Phylloquinone	24%	ucBGP	60%
Holden RM, 2010 [107]	172	CKD 3-5	Phylloquinone	6%	ucBGP	60%
					PIVKaII	97%
Schurgers LJ, 2010 [108]	107	CK2-5 and ESKD-HD			dp-ucMGP	50%
Schlieper G, 2011 [109]	188	ESKD-HD			PIVKA-II	63%
					dp-ucMGP	100%
Cranenburg EC, 2012 [54]	40	ESKD-HD	Phylloquinone	45%	PIVKAII	82.5%
			Menaquinone	100%	dp-ucMGP	100%
Westendfeld R, 2012 [61]	53	ESKD-HD	Menaquinone	100%	PIVKAII	92.5%
					dp-ucMGP	100%
Fusaro M, 2012 [57]	387	ESKD-HD	Phylloquinone	23.5%	ucBGP	100%
			Menaquinone-4	14.5%		
			Menaquinone-7	35.4%		
Boxma PY, 2012 [110]	60	Post-Transplantation			dp-ucMGP	80%
Caluwe R, 2013 [62]	165	ESKD-HD			dp-ucMGP	100%
Delanaye P, 2014 [111]	160	ESKD-HD			dp-ucMGP	100%
CA, 2015 [63]	518	Post-Transplantation			dp-ucMGP	91%
Aoun M, 2017 [112]	50	ESKD-HD			dp-ucMGP	100%

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660 **Table 2. Effect of Vitamin K supplementation on dephosphorylated-undercarboxylated MGP**
 661 **levels in ESKD**

AUTHOR, YEAR	STUDY DESIGN	NUMBER OF PARTICIPANTS	KIDNEY DISEASE STAGE	INTERVENTION	OUTCOMES MEASURED	RESULTS
Schlieper G., 2011 [109]	Prospective	17	ESKD	Vitamin K2 at 135 µg/d for 6 weeks	dp-ucMGP level	Vitamin K2 supplementation resulted in a 27% reduction in dp-ucMGP levels p = 0.0027
Westenfeld R., 2012 [61]	Prospective	53	ESKD	Vitamin K2 at 45, 135, or 360 µg/d for 6 weeks	dp-ucMGP level	Vitamin K2 supplementation resulted in a dose-dependent decrease in the levels of dp-uc-MGP by 17.9%, 36.7%, and 61.1% in the 45-, 135-, and 360-µg groups, respectively, compared with baseline values. p<0.005
Caluwe R, 2014 [62]	Prospective	200	ESKD	Vitamin K2 at 60, 720 or 1080 µg thrice weekly for 8 weeks	dp-uc-MGP level	Vitamin K2 resulted in a dose-dependent decrease in the levels of dp-uc-MGP by 17%, 33% and 46% in the 360-, 720- and 1080-µg groups, respectively, compared to baseline values. p < 0.001
Aoun M, 2017 [112]	Prospective	50	ESKD	360 µg of vitamin K2 (menaquinone-7) for 4 weeks	dp-uc-MGP level	Vitamin K2 reduced dp-ucMGP by 86% P<0.05

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 663 **Table 3. Effects of VKDPs on cancer development and progression.**

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Vitamin K dependent proteins activity may modulate cancer behavior	
Gas 6	<ul style="list-style-type: none"> • Angiogenesis • Tumor Progression • Higher Tumor Recurrence • Metastasis and Poorer Prognosis • Cancer Therapy Resistance
Periostin	<ul style="list-style-type: none"> • Angiogenesis • Lymphangiogenesis • Tumor Progression • Poorer Prognosis
PIVKaII	<ul style="list-style-type: none"> • Tumor Progression

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668 **Figure legends**

669 **Figure 1. Different Forms of matrix Gla protein (MGP).**

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