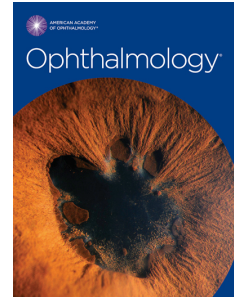


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Ranibizumab or Aflibercept for Diabetic Macular Edema: Comparison of One-Year Outcomes from the Fight Retinal Blindness! Registry

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1 **Ranibizumab or Aflibercept for Diabetic Macular Edema:**  
2 **Comparison of One-Year Outcomes from the Fight Retinal**  
3 **Blindness! Registry**

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20 **Conflict of Interest:** Gillies and Barthelmes are inventors of the software used to  
21 collect the data for this analysis

22 **Running head:** Aflibercept or ranibizumab for DME: One-year real-world outcomes

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27 **Abbreviations and Acronyms**

28 CI - Confidence Interval, CST – Central Subfield Thickness, CSME - Clinically  
29 Significant Macular Edema, DME – Diabetic Macular Edema, DR – Diabetic  
30 Retinopathy, DRCR.net – Diabetic Retinopathy Clinical Research Network, LOESS -  
31 Locally Weighted Scatterplot Smoothing, logMAR - logarithm of the minimum angle of  
32 resolution, OCT – Optical Coherence Tomography, Q – Quartiles, SD – Standard  
33 Deviation, VA – Visual Acuity, VEGF – Vascular Endothelial Growth Factor

## 34 **Abstract**

35 **Purpose:** Both ranibizumab and aflibercept improved vision and decreased macular  
36 thickness in eyes with diabetic macular edema (DME) in clinical trials. This study  
37 compares the 12 month treatment outcomes of each drug in routine clinical practice.

38 **Design:** Retrospective analysis of data from a prospectively designed observational  
39 outcomes registry: the Fight Retinal Blindness! Project.

40 **Participants:** Treatment-naïve eyes starting intravitreal injections of either ranibizumab  
41 (0.5mg) or aflibercept (2mg) for DME from 1 December 2013 to 1 June 2018 that were  
42 tracked in the registry.

43 **Methods:** Visual acuity (VA) was analyzed at 12 months in all eyes (completers, non-  
44 completers and eyes that switched treatment).

45 **Main Outcome measures:** The primary outcome was the mean change in VA (number  
46 of letters read on a logarithm of the minimum angle of resolution chart) from baseline to  
47 12 months.

48 **Results:** We identified 383 eyes (Ranibizumab – 166, Aflibercept – 217) of 291  
49 patients. Eyes of patients in the aflibercept group had a lower mean VA (mean  
50 difference [MD] -3.1 letters) and a thicker maculae (MD +26 $\mu$ m) than those of  
51 ranibizumab at baseline which was not significantly different. Patients on ranibizumab  
52 were older (MD +2.7 years). The adjusted MD in VA change and central subfield  
53 thickness (CST) reduction were +1 letter (1.4 for aflibercept versus 0.4 for ranibizumab,  
54  $p = 0.4$ ) and -30 microns (-85 *versus* -55,  $p < 0.01$ ) in eyes with initial VA  $\geq 20/40$  and +3  
55 letters (10.6 *versus* 7.6,  $p < 0.01$ ) and -46 microns (-148 *versus* -102,  $p < 0.02$ ) in those  
56 presenting with VA  $\leq 20/50$ . Eyes in the aflibercept group received more injections over

57 12 months, median (Q1, Q3) of 8 (6, 9), than the ranibizumab group, 6 (4, 8), though  
58 this difference was not significant ( $p = 0.13$ ). Treatment switches, albeit low, were more  
59 frequent from ranibizumab to aflibercept than *vice versa*. Significantly more eyes in the  
60 aflibercept group were lost to follow-up within 12 months (21% *versus* 9% ranibizumab,  
61  $P < 0.01$ ).

62 **Conclusions:** Both drugs were beneficial for DME. Aflibercept-treated eyes, which had  
63 borderline worse vision and thicker maculae at baseline, had larger reductions in CST  
64 after 12 months of treatment. Larger gains in VA was observed with aflibercept  
65 treatment when the initial VA was  $\leq 20/50$ .

## 66 Introduction

67 Ranibizumab (Lucentis, Genetech Inc/Novartis) and aflibercept (Eylea, Bayer) are  
68 vascular endothelial growth factor (VEGF) inhibitors used first-line in the management  
69 of diabetic macular edema (DME).<sup>1-4</sup> The Diabetic Retinopathy Clinical Research  
70 (DRCR) Network protocol T study found that aflibercept (2mg) was more effective than  
71 ranibizumab (0.3mg) in improving vision at 1 year in eyes presenting with visual acuity  
72 (VA) of  $\leq 68$  letters (Snellen equivalent 20/50) while there was no difference in those  
73 presenting with VA  $\geq 69$  letters (20/40).<sup>5</sup> This difference was not observed two years  
74 after starting treatment.<sup>6</sup> A meta-analysis of twenty-four clinical trials of anti-VEGF  
75 treatments for DME produced “moderate” evidence that aflibercept had an advantage  
76 over ranibizumab one year after starting treatment in terms of VA and reduction in  
77 macular edema.<sup>7</sup>

78 Clinical trials determine the effects of new treatments in controlled conditions for a  
79 selected group of patients that may not be representative of the general population with  
80 the disease. The validity of results of clinical trials are ideally confirmed in the general  
81 population by population-based post-marketing observational studies. Real-world  
82 studies have found that ranibizumab and aflibercept treatment significantly improved VA  
83 and macular thickness 1 year after starting treatment in eyes with DME.<sup>8,9</sup> A direct  
84 comparison of treatment outcomes of the two VEGF inhibitors for DME in real-world  
85 clinical practice has yet to be performed. This study aimed to compare the visual and  
86 anatomic outcomes and frequency of treatments of ranibizumab *versus* aflibercept in  
87 treatment naïve eyes with DME in routine clinical practice.

## 88 **Methods**

### 89 **Design and setting**

90 This was a retrospective analysis of data tracked in the prospectively designed  
91 observational database – The Fight Retinal Blindness! Registry of real-world treatment  
92 outcomes of macular diseases.<sup>10</sup> The registry has modules to collect data in age-related  
93 macular degeneration, retinal vein occlusion and DME. The DME module was  
94 implemented in Australia, New Zealand and Switzerland in April 2015. This has now  
95 expanded to other countries in Europe and Asia. Eyes receiving treatment for clinically  
96 significant diabetic macular edema (CSME) in routine clinical practice are eligible in the  
97 DME module. Investigators undertook to enter all eyes starting treatment for DME in  
98 their practices from when they started data entry. Australian practitioners undertake to  
99 track at least 85% of their eligible patients to satisfy the mandatory self-audit  
100 requirement for annual registration. This analysis included treatment-naïve eyes that  
101 started ranibizumab or aflibercept for DME. Participants in this analysis were patients  
102 from practices in Australia, France, Italy, Switzerland and the United Kingdom.  
103 Institutional approval was obtained from the Royal Australian and New Zealand College  
104 of Ophthalmologists Human Research Ethics Committee, the South Eastern Sydney  
105 Local Health District Human Research Ethics Committee, the French Institutional  
106 Review Board (Société Française d’Ophtalmologie Institutional Review Board), the  
107 Ethics Committee of the University of Milan, the Cantonal Ethics Committee Zurich and  
108 the Caldicott Guardian at the Royal Free London NHS Foundation Trust. Informed  
109 consent (“opt-in consent”) was sought from patients in France, Italy and Switzerland.

110 Ethics committees in Australia approved the use of “opt-out” patient consent. Data in the  
111 registry are anonymized and compliant with the UK Policy Framework for Health and  
112 Social Care Research. This study adhered to the tenets of the Declaration of Helsinki.

### 113 **Data Sources and Measurements**

114 The Fight Retinal Blindness! Registry has a module that collects data from eyes being  
115 treated for DME. The data recorded at each clinical visit include the number of letters  
116 read on a logarithm of the minimum angle of resolution (logMAR) VA Chart (best of  
117 uncorrected, corrected or pinhole), treatment given, the central subfield thickness (CST  
118 [ $\mu\text{m}$ ]) measured using spectral domain optical coherence tomography (OCT), the  
119 activity of DME (center-involving clinically significant macular edema [CSME], non-  
120 center involving CSME or no CSME), procedures and ocular adverse events.<sup>11</sup> Duration  
121 and type of diabetes, grading of diabetic retinopathy (DR) and previous treatment for  
122 DME were recorded at the baseline visit. All treatment decisions, including choice of  
123 treatment and frequency of visits, were based on VA and OCT at the discretion of the  
124 practitioner in consultation with the patient thereby reflecting real-world practice.

### 125 **Patient selection**

126 Treatment naïve eyes that started DME treatment with either ranibizumab (0.5mg  
127 Lucentis, Genetech Inc/Novartis) or aflibercept (2mg Eylea, Bayer) from 1 December  
128 2013 to 1 June 2018 were studied, thereby allowing the possibility of having at least 12  
129 months of observations after the initial treatment. Eyes that did not receive the initial 2  
130 injections of the same drug were excluded from the analysis. Eyes that completed at  
131 least 12 months of visits were defined as “completers”. Switchers were defined as eyes



132 that received  $\geq 2$  injections of the other drug prior during this time. Visits occurring after  
133 the switch to the other drug were censored for analysis. Eyes that did not complete 12  
134 months of observations were defined as “non-completers”.

### 135 **Outcomes**

136 The main outcome was the mean change in VA in the ranibizumab and the aflibercept  
137 treatment groups at 12 months. Secondary outcomes were the mean change in CST,  
138 frequency of treatments and visits, the proportions of eyes with VA  $\geq 70$  letters (20/40  
139 Snellen equivalent) and  $\leq 35$  letters (20/200) and the proportions of eyes that gained  $\geq 10$   
140 letters and those that lost  $\geq 10$  letters at 12 months. Outcomes were also analyzed in  
141 eyes stratified by baseline VA into two groups,  $\geq 69$  letters (20/40) and  $\leq 68$  letters  
142 (20/50), to study the relationship of baseline VA on the VA change. Other outcomes of  
143 interest were the proportion of eyes that switched treatment and the rate of non-  
144 completion in each of the groups at 12 months.

### 145 **Statistical analysis**

146 Descriptive data included the mean (standard deviation), median (first and third  
147 quartiles) and percentages where appropriate. Eyes were considered to have been  
148 observed from the first treatment visit up to their 12 month ( $365 \pm 30$  days) visit. T-tests,  
149 Wilcoxon rank sum tests, Chi-square tests and Fisher’s exact tests were used as  
150 appropriate to compare baseline characteristics between ranibizumab and aflibercept  
151 treated eyes. Locally weighted scatterplot smoothing (LOESS) regression curves were  
152 used to visualize VA results in eyes throughout the follow-up. Calculation of crude visual

153 outcomes at 12 months used the last-observation-carried-forward for switchers and  
154 non-completers.

155 We compared VA and CST outcomes between treatments at 12 months using mixed-  
156 effects longitudinal generalized additive models with the interaction between initial  
157 injection and time as the main predictor variable. Longitudinal models included all visits  
158 from completers, switchers (until the time of switch) and non-completers (last  
159 observation before the drop-out), and were adjusted for age, baseline VA, baseline CST  
160 (fixed-effects), and practice and intra-patient correlation for bilateral cases (random-  
161 effects). We used predictions from this model to plot VA and the difference in the mean  
162 VA and CST change over 12 months in all eyes. Quasi-Poisson regression models  
163 adjusted for age, baseline VA, baseline CST (fixed-effects), and practice and intra-  
164 patient correlation (random-effects) with log days of follow-up included as an offset  
165 variable were used to compare the number of injections and visits. Cox proportional  
166 hazards regression models adjusted for age, VA and CST at baseline (fixed-effects),  
167 and practice and intra-patient correlation (random-effects) were used to compare the  
168 median time to non-completion and switching over 12 months. Kaplan-Meier survival  
169 analysis was used to plot survival curves for time to non-completion and switching.

170 All analyses were conducted using R version 3.5.3 (<http://www.R-project.org/>) with the  
171 *lme4* package (V1.1-21) for mixed-effects regression analysis, *mgcv* package (V1.8-24)  
172 for generalized additive (mixed) model computation, *emmeans* package (V1.3.3) for  
173 pairwise comparison of adjusted means, *coxme* package (V2.2-10) to calculate the  
174 median time to non-completion and switching and *survival* package (V 2.38) for dropout  
175 analysis.<sup>12-17</sup>

## 176 **Results**

### 177 **Study participants**

178 A total of 383 treatment-naïve eyes (166 ranibizumab and 217 aflibercept), from 291  
179 patients that started DME treatment with either ranibizumab or aflibercept from 1  
180 December 2013 to 1 June 2018, were identified. Table 1 summarizes the baseline  
181 characteristics of the eyes in each of the groups. Patients receiving ranibizumab were  
182 significantly older than those receiving aflibercept (mean 65.4 vs. 62.7 years;  $P = 0.04$ )  
183 and had diabetes for a longer duration (mean 16 vs. 15 years;  $P = 0.04$ ). Eyes with  
184 severe DR grades (severe non-proliferative DR and proliferative DR) were more likely to  
185 receive aflibercept. Eyes receiving ranibizumab tended to have better mean vision (67.8  
186 vs. 64.7 letters;  $P = 0.05$ ) and somewhat lower mean CST (407 vs. 433  $\mu\text{m}$ ;  $P = 0.05$ ) at  
187 baseline. Most eyes had center-involving CSME (92% for both ranibizumab and  
188 aflibercept; Table 1).

### 189 **Visual outcomes at 12 months**

190 The crude mean (95% confidence interval) VA change at 12 months for all eyes, using  
191 the last observation carried forward for switchers and dropouts, was higher for  
192 aflibercept (6.1 [4.5, 7.7] *versus* 3.3 [1.6, 5.1] letters for ranibizumab;  $p = 0.02$ )  
193 (Supplementary Table 1). The mean (95% CI) adjusted VA change, using longitudinal  
194 models adjusted for age, baseline VA and baseline CST, was also greater in the  
195 aflibercept group (5.4 [4.1, 6.7] vs. 3.3 [1.9, 4.7] letters for ranibizumab [ $p < 0.01$ ]  
196 (Supplementary Table 1). The adjusted mean VA over 12 months for all eyes is shown  
197 in Figure 1A. The adjusted mean difference in the VA change was significantly in favor

198 of aflibercept for most of the 12 months after starting treatment (Figure 1B). The  
199 proportion of all eyes with VA  $\geq$  70 letters and those with VA  $\leq$  35 letters at 12 months in  
200 both the groups were similar. More eyes in the aflibercept group gained  $\geq$  10 letters at  
201 12 months while similar proportions of each group lost  $\geq$  10 letters.

202 We divided the cohort into two groups according to the VA at baseline, eyes with VA  $\geq$   
203 69 letters (191 eyes [53%]) and those with VA  $\leq$  68 letters (192 eyes [47%]), to study  
204 the relationship of initial vision on VA gain with treatments. The mean VA change at 12  
205 months of treatment in eyes with good vision at baseline (VA  $\geq$  69 letters) was similar for  
206 both aflibercept and ranibizumab though more eyes on aflibercept gained  $\geq$  10 letters at  
207 12 months (19% vs 4%;  $p < 0.01$ ; Supplementary Table 2). However, the mean (95% CI)  
208 VA gain at 12 months in eyes with initial vision  $\leq$  68 letters was significantly higher in  
209 eyes on aflibercept, 10.6 (7.9, 13.2) letters, than in those on ranibizumab, 7.6 (4.4, 10.8;  
210  $p = 0.01$ ) letters.

211 Figure 2 shows the mean VA over 12 months of three hundred and three eyes (79%)  
212 that completed 12 months on monotherapy (aflibercept – 167 [77%], ranibizumab – 136  
213 [82%]) (Supplementary Table 1). The mean (SD) VA of these eyes in the ranibizumab  
214 and the aflibercept groups at baseline and 12 months were similar. The crude mean VA  
215 change was similar for the two groups at 12 months, but the adjusted mean VA change  
216 was significantly higher for the aflibercept group (Supplementary Table 1). The  
217 proportion of eyes with VA  $\geq$  70 letters and those with VA  $\leq$  35 letters at 12 months was  
218 similar in both groups as was the proportion of eyes that gained  $\geq$  10 letters and those  
219 that lost  $\geq$  10 letters at 12 months.

**220 Macular thickness**

221 Both drugs were effective in reducing macular thickness (Figure 1). Eyes in the  
222 aflibercept group had a significantly greater reduction in mean (95% CI) adjusted CST  
223 at 12 months than those in the ranibizumab group (-126 [-144, -98] vs. -89 [-109, -69]  
224  $\mu\text{m}$ ;  $p < 0.01$ ) (Supplementary Table 1). The difference in the mean CST change  
225 between the two anti-VEGFs at 12 months significantly favored aflibercept (Figure 1C).  
226 The advantage of aflibercept over ranibizumab in reducing macular thickness was  
227 observed irrespective of whether the initial VA was  $\geq 69$  letters or  $\leq 68$  letters  
228 (Supplementary Table 2). Figure 2 illustrates the mean CST over 12 months in eyes  
229 that completed 12 months in both groups.

**230 Treatments and visits**

231 The median (Q1, Q3) number of anti-VEGF injections and visits in eyes that completed  
232 12 months of continuous treatment in the two groups were: 8 (6, 9) for aflibercept vs. 6  
233 (4, 8;  $p = 0.13$ ) injections for ranibizumab; 10 (8, 12) visits vs. 10 (7, 12) [ $p = 0.11$ ],  
234 (Supplementary Table 1). The number of additional treatments, macular laser and  
235 intravitreal steroid injections (triamcinolone and Ozurdex®), in each of the groups during  
236 the 12 months were also similar (Supplementary Table 1). There was no difference in  
237 the median number of treatments (including additional macular laser and steroids) and  
238 visits between ranibizumab and aflibercept groups when eyes were stratified based on  
239 the initial VA (Supplementary Table 2).

**240 Treatment switch**

241 Treatment switches occurring within 12 months were uncommon (19 eyes [5%]) and  
242 were more frequent from ranibizumab to aflibercept than *vice versa* (9% vs 2%;  $p < 0.01$ ;  
243 Figure 3A). The median (Q1, Q3) times to switch from ranibuzumab to aflibercept was  
244 231 (117, 296) days, and from aflibercept to ranibizumab was 196 (113, 264) days. The  
245 mean VA in eyes at baseline and at the time of switch in each of the groups that  
246 switched treatment, the mean changes in VA and CST from the start of treatment to the  
247 switch and the number of anti-VEGF injections and visits from the start of treatment to  
248 the time of switching are shown in supplementary table 1.

#### 249 **Non-completion rate at 12 months**

250 Sixty-one eyes (16%) discontinued treatment before completing 12 months of follow-up.  
251 The non-completion rate was higher in the aflibercept group (21% vs. 9% in eyes  
252 receiving ranibizumab;  $p < 0.01$ ; Figure 3B). The median (Q1, Q3) time to dropout was  
253 223 (121.5, 278) days for aflibercept and 196 (112.5, 264) days for ranibizumab. Eyes  
254 that discontinued treatment in both groups had similar mean VA at baseline and at their  
255 last visit before they discontinued treatment. The mean (95%CI) VA change, was +7.7  
256 (4.8, 10.7) letters for aflibercept and 3.0 (-1.2, 7.2) letters for ranibizumab ( $p = 0.06$ )  
257 from the start of treatment to their last visit (Supplementary Table 1). The maculae of  
258 the aflibercept group were significantly thicker than eyes in the ranibizumab group when  
259 they started treatment. The mean drop in CST at the time treatment was discontinued  
260 was also significantly greater in eyes on aflibercept treatment than those on  
261 ranibizumab. The median (Q1, Q3) number of anti-VEGF injections, 6 (3, 7) aflibercept  
262 vs. 4 (3, 5.5;  $p = 0.51$ ) ranibizumab, and visits, 7 (4.2, 8) vs. 5 (3, 7.5;  $p = 0.22$ ), in the  
263 two groups from the start of treatment to their last visit were similar.

264 The reasons for treatment discontinuation were tracked in 25 of the 61 eyes (41%). The  
265 main reason was transferred care to another physician (52%, ranibizumab – 6 eyes,  
266 aflibercept – 7 eyes). Other reasons were patient declined further treatment (24%,  
267 ranibizumab – 0 eyes, aflibercept – 6 eyes), patient death (16%, ranibizumab – 3,  
268 aflibercept – 1), lack of response to treatment (4%, ranibizumab – 1, aflibercept – 0) and  
269 successful treatment (4%, ranibizumab – 1, aflibercept – 0).

## 270 Discussion

271 This analysis in real-world clinical practice from a prospectively designed observational  
272 registry found that both aflibercept and ranibizumab improved vision and reduced  
273 macular thickness in eyes with DME after one year of treatment. Changes in VA for the  
274 two treatment groups, +1.4 letters for aflibercept *versus* 0.4 letters for ranibizumab ( $p =$   
275 0.4), was similar (adjusted mean difference of 1 letter) in eyes with initial VA  $\geq 69$  letters  
276 (20/40) and that the greater improvement with aflibercept, 10.6 *versus* 7.6 letters  
277 ( $p < 0.01$ ), was observed in eyes with initial VA  $\leq 68$  letters (20/50). Aflibercept-treated  
278 eyes had significantly greater reductions in macular thickness (mean CST change:  $-128$   
279  $\mu\text{m}$  vs.  $-80 \mu\text{m}$ ,  $p < 0.01$ ). Some of this difference might be related to differences in  
280 baseline characteristics and injection numbers. Eyes in the aflibercept group received  
281 more injections over 12 months, median (Q1, Q3) of 8 (6, 9), than the ranibizumab  
282 group, 6 (4, 8), though this difference was not significant ( $p = 0.13$ ). A few treatment  
283 switches occurred during the 12 months, more from ranibizumab to aflibercept than *vice*  
284 *versa* but the drop-out rate was higher in the aflibercept group. Eyes that dropped-out  
285 in both groups had similar treatment frequencies and visits from the start of treatment to  
286 their last visit.

287 Eyes receiving aflibercept tended to have more advanced disease with somewhat lower  
288 mean VA and thicker maculae when they started treatment. Patients on ranibizumab  
289 treatment were on average 2 years older than those on aflibercept, which is consistent  
290 with a previous observation in eyes on anti-VEGF treatment for neovascular age-related  
291 macular degeneration.<sup>18</sup> This could have resulted from the physicians' concerns on the



292 risk of stroke with aflibercept treatment in older patients which was mentioned in a  
293 report from Europe.<sup>19</sup> We compared treatment outcomes between the two groups after  
294 adjusting for age, baseline VA and CST and nesting within practices and patients for  
295 bilateral cases.<sup>20</sup>

296 Consistent with pivotal clinical trials and a recent Cochrane meta-analysis, aflibercept  
297 and ranibizumab both improved vision in eyes with DME in clinical practice.<sup>1, 2, 5, 7</sup> We  
298 found eyes in the aflibercept group had larger vision gains than those in the  
299 ranibizumab group at 12 months from the start of treatment, although baseline  
300 characteristics were not ideally matched. A Cochrane meta-analysis identified a visual  
301 advantage of aflibercept over ranibizumab 0.3mg one year after starting treatment.<sup>7</sup>

302 Here we have found that aflibercept also appears to be more effective than 0.5 mg  
303 ranibizumab in improving vision at one year in eyes with VA  $\leq$  68 letters at the start of  
304 treatment, as was reported by The DRCR.net Protocol T for 0.3mg ranibizumab.<sup>5</sup> The  
305 visual gains in both the treatment groups were similar in eyes with initial VA  $\geq$  69 letters,  
306 perhaps due to the ceiling effect when treating patients with good vision at baseline.

307 Vision improvements in the present study in both groups were lower than the mean VA  
308 gains of 6.8 to 13.1 letters reported after 1 year of treatment in the major clinical trials of  
309 anti-VEGF for DME.<sup>5, 21-23</sup> VA improvements in observational studies have usually been  
310 lower than those reported by clinical trials.<sup>8, 9, 24</sup> This may be because of different  
311 inclusion/exclusion criteria and/or because they receive inadequate treatment.<sup>25</sup> Eyes in  
312 the present study received a median of 6 ranibizumab or 8 aflibercept injections over 12  
313 months compared with 10 ranibizumab (50% eyes received additional laser) or 9  
314 aflibercept (35% eyes received additional macular laser) injections in the DRCR.net

315 study.<sup>5</sup> However, the mean final visual acuity of around 71 letters observed one year  
316 after the start of treatment was similar to those reported in the DRCR.net study,  
317 suggesting the lower gains in the present study may be partly due to the better starting  
318 visual acuity.<sup>5</sup> The mean VA change in the present study was about 9 letters worse in  
319 eyes with initial vision  $\leq 68$  letters and 4 letters better in eyes with initial VA  $\geq 69$  letters  
320 than those of the DRCR.net study.

321 Both ranibizumab and aflibercept also reduced macular thickness to an extent similar to  
322 that reported by Wells et al., with a significantly greater mean reduction in eyes  
323 receiving aflibercept which was observed in both strata of VA at the initiation of  
324 treatment.<sup>5</sup> Eyes in the aflibercept group in the present study had somewhat thicker  
325 maculae at presentation and received somewhat more treatments than eyes in the  
326 ranibizumab group, both of which could have contributed for the higher mean drop in  
327 macular thickness.

328 Studies that evaluate treatment outcomes may be biased by eyes that switch treatment  
329 or are lost to follow-up since these events may be related to a poor outcome. The rate  
330 of switching, though low in the present study (5%), was significantly higher from  
331 ranibizumab to aflibercept than *vice versa*. The non-completion rate at 12 months in the  
332 present study was higher in the aflibercept group. The primary outcome of the present  
333 study included data from all eyes, irrespective of whether they completed, switched or  
334 discontinued treatment, to address the potential bias that could arise from asymmetric  
335 switching or loss to follow-up. However, the true 12-month outcomes of monotherapy in  
336 switchers and non-completers cannot be known and our comparison relies on the  
337 assumptions of the model, most notably that the data are missing at random. Thus, we

338 assume the 12-month outcomes for these eyes can be reasonably inferred based on  
339 their observed response and they did not experience an unobserved deviation from their  
340 observed trajectory.

341 A discontinuation or switching rate of 21% as we found after 12 months is typical of  
342 observational studies. Reasons for discontinuation, which were recorded in 41% of eyes  
343 that did so, were unrelated to poor outcomes in most cases and included transfers to  
344 another physician and death (72% of all that discontinued). The remainder were likely  
345 related to poor outcomes, including “further treatment considered futile”.

346 This study has limitations that are inherent in real-world studies. Treatment decisions in  
347 routine clinical practice, in contrast to the randomized clinical trials, are made without  
348 reference from a reading center or guided by study protocols. Selection of cases and  
349 treatment regimen may also differ from clinical trials and among physicians. The data  
350 presented here do not provide reasons for the choice of a particular VEGF inhibitor for  
351 each eye or for any treatment switch. Nevertheless, we have compared the two VEGF  
352 inhibitors for DME treatment as they are actually being used in routine clinical practice.

353 A carefully designed observational study, such as the present study, is unlikely to  
354 overestimate the therapeutic effectiveness of an agent.<sup>26</sup> There was a lack of  
355 prospective randomization to treatment groups but we have partially offset this with  
356 statistical analysis that was adjusted for potentially impactful baseline factors such as  
357 VA, age, CST and nesting of outcomes within practice.

358 The apparent stronger effect of aflibercept over ranibizumab for DME contrasts with  
359 similar observational and clinical studies which have reported no discernible difference

360 in the efficacy of the 2 drugs when they are used for neovascular age-related macular  
361 degeneration.<sup>27, 28</sup> Perhaps this is because greater levels of aflibercept, which is a much  
362 larger molecule than ranibizumab, reach the retinal circulation rather than the subretinal  
363 space due to barriers to diffusion of the larger molecule, including the outer limiting  
364 membrane and the retinal pigment epithelium for type 1 neovascularization.<sup>29</sup>

365 This study found that both aflibercept and ranibizumab were effective for DME over 12  
366 months, with aflibercept having somewhat better anatomic outcomes. Larger VA gains  
367 were observed in eyes on aflibercept treatment when the initial VA was  $\leq 68$  letters  
368 (20/50). Longer-term observational studies of intravitreal therapy for DME are warranted  
369 to ensure that our patients continue to get the best possible outcomes.

370

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470 **Legends**

471 **Figure 1.** Line graphs showing (A) the mean predicted visual acuity (VA, solid lines) in  
472 logMAR letters (y-axis) and central subfield thickness (CST, dashed lines) in microns (z-  
473 axis); (B) the difference in the mean change in VA and (C) CST between ranibizumab  
474 (purple) and aflibercept (blue) treated eyes over 12 months in all eyes irrespective of  
475 whether they completed, switched (visits at the time of switch) or did not complete 12  
476 months of observations from starting treatment. The grey shaded area in figures B and  
477 C represents the 95% confidence interval. Red dashed lines in B and C indicate areas  
478 where the 95% confidence interval does not intersect with 0. Predictions were made  
479 from a generalized additive model considering adjustments for age, VA and central  
480 subfield thickness at baseline (fixed-effects) and the practice and intra-patient  
481 correlation for bilateral cases (random-effects).

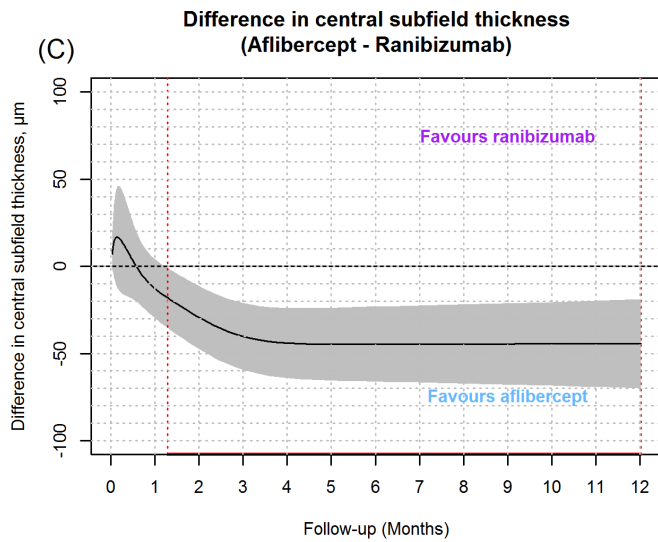
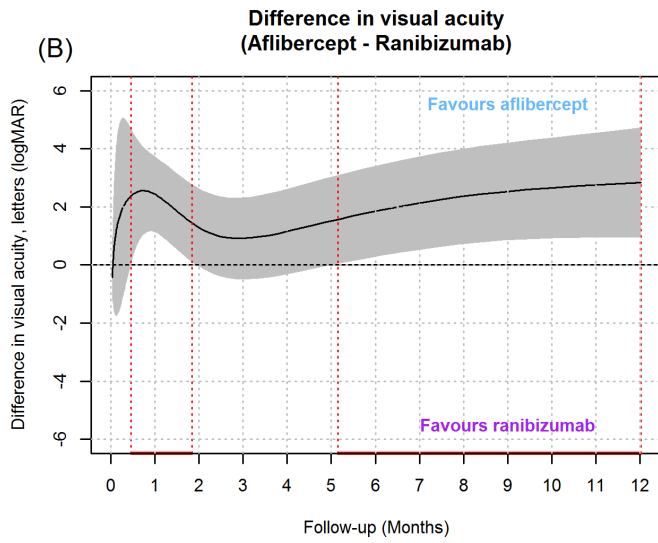
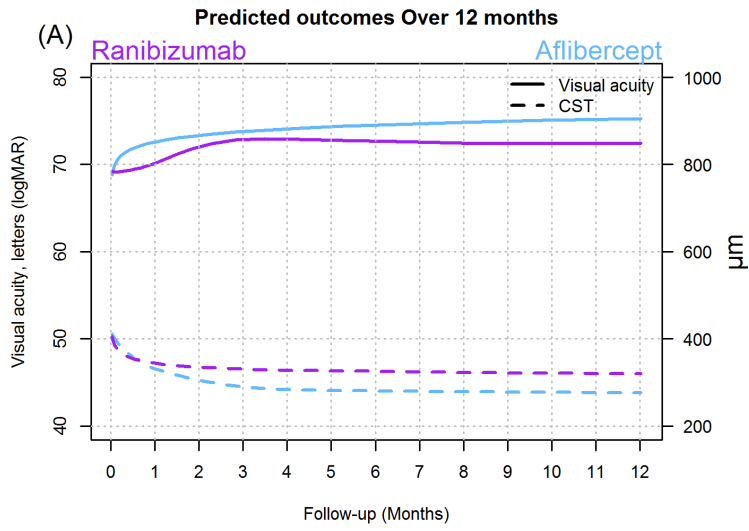
482 **Figure 2.** Locally weighted scatterplot smoothing (LOESS) regression curves showing  
483 the mean visual acuity (solid lines) in logMAR letters (y-axis) and central subfield  
484 thickness (dashed lines) in microns (z-axis) in ranibizumab (purple) and aflibercept  
485 (blue) treated eyes completing 12 months of observations from the start of treatment (x-  
486 axis).

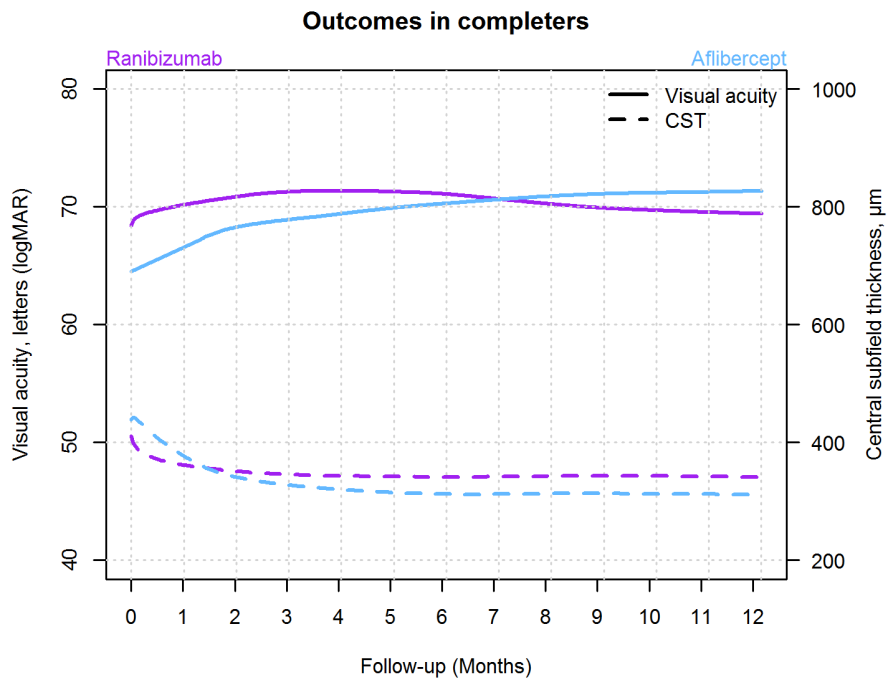
487 **Figure 3.** Kaplan-Meier plots for time from starting treatment to (A) treatment switch and  
488 (B) dropout in eyes treated with ranibizumab (purple) and aflibercept (blue) treated eyes  
489 over 12 months.

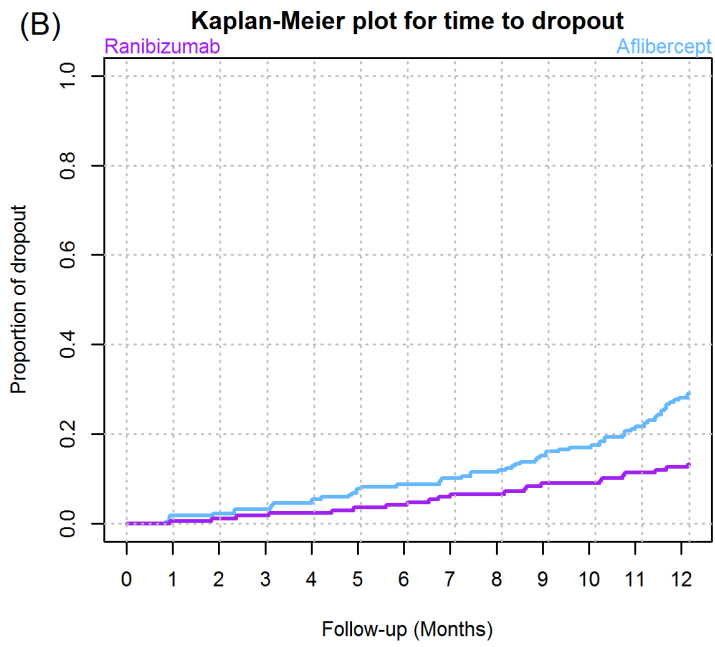
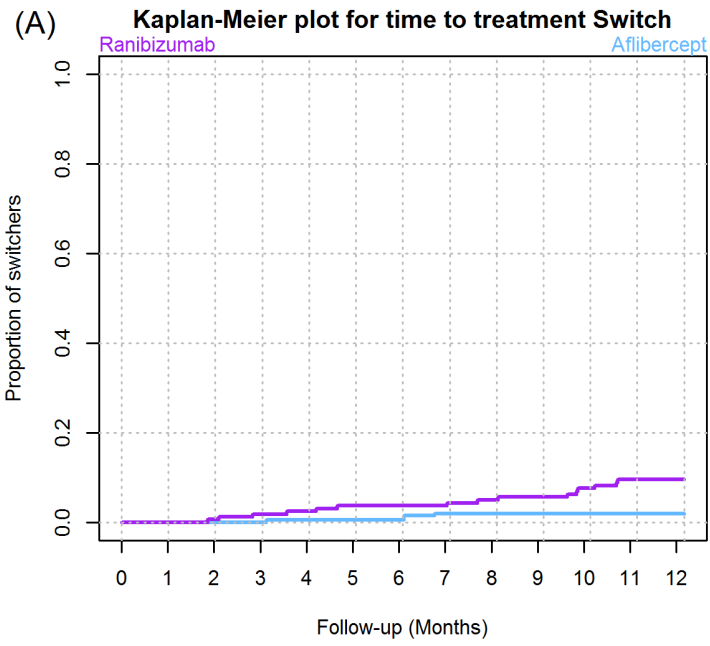


**Table 1.** Baseline characteristics of eyes treated with Ranibizumab and Aflibercept

	Ranibizumab	Aflibercept	P value
Eyes, n	166	217	
Patients, n	134	158	
Female, n (%)	55 (41)	58 (37)	0.39
Diabetes duration years, mean (SD)	16 (18)	15 (19)	0.04
Diabetes type, %			
Type 1,	7	9	0.56
Type 2	93	91	
Diabetic Retinopathy grades, %			
Mild NPDR	19	8	<0.01
Moderate NPDR	44	41	
Severe NPDR	28	32	
PDR – Low Risk	5	9	
PDR – High Risk	4	10	
Baseline age years, mean (SD)	65.4 (12.4)	62.7 (12.3)	0.04
Baseline VA letters, mean (SD)	67.8 (14.3)	64.7 (16)	0.05
VA $\geq$ 70 letters, %	51	49	0.72
VA $\leq$ 35 letters, %	3	5	0.46
CST $\mu$ m, mean (SD)	407 (108)	433 (138)	0.05
DME activity, %			
Centre involving CSME	92	92	0.22
Non-center involving CSME	8	7	
No CSME	0	2	
n - Number, SD – Standard Deviation, NPDR – Non-Proliferative Diabetic Retinopathy, PDR – Proliferative Diabetic Retinopathy, VA – Visual Acuity (logMAR letters), CST – Central Subfield Thickness, DME – Diabetic Macular Edema, CSME – Clinically Significant Macular Edema			







Aflibercept (2mg) and ranibizumab (0.5mg) both were beneficial for diabetic macular edema treatment. Aflibercept resulted in larger reductions in macular thickness over 12 months, but vision improvement was found when initial visual acuity was  $\leq 20/50$ .

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