

1 **Title:** Benefits of e-cigarettes in smoking reduction and in pulmonary health among Chronic Smokers  
2 Undergoing a Lung Cancer Screening Program at 6 Months

3 **Running head:** Benefits of e-Cigarettes at 6 months

4

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31 **ABSTRACT**

32 **Introduction:** Electronic cigarettes (e-cigarettes) might be a valid and safe device to support smoking  
33 cessation. However, the available evidence is divergent. The aim of the present work was to assess  
34 the effects of an e-cigarette program on pulmonary health (cough, breath shortness, catarrh) and to  
35 evaluate the effectiveness of e-cigarettes in reducing tobacco consumption.

36 **Methods:** The study is a double-blind randomized controlled trial. Two hundred and ten smokers  
37 were randomized into three groups: nicotine e-cigarette (8mg/mL nicotine concentration), nicotine-  
38 free e-cigarettes (placebo), and control with 1:1:1 ratio. All participants received a 3 months cessation  
39 program that included a cognitive-behavioral intervention aimed at supporting people in changing  
40 their behavior and improving motivation to quit.

41 **Results:** Pulmonary health, assessed with self-reported measures, clinical evaluations and the  
42 Leicester Cough Questionnaire, improved in participants who stopped smoking compared to their  
43 own baseline. No differences in pulmonary health were found between groups. Statistical tests  
44 showed a significant effect of Group ( $F(2, 118) = 4.005, p < .020$ ) on daily cigarette consumption:  
45 after 6 months participants in the nicotine e-cigarette group smoked fewer cigarettes than any other  
46 group. Moreover, participants in this group showed the lowest level of exhaled carbon monoxide  
47 (CO) ( $M=12.012, S.D.=8.130$ ), and the lowest level of dependence ( $M=3.12, S.D.=2.29$ ) compared  
48 to the nicotine-free e-cigarette and control conditions.

49 **Conclusions:** After 6 months about 20% of the entire sample stopped smoking. Participants who used  
50 e-cigarettes with nicotine smoked fewer tobacco cigarettes than any other group after 6 months ( $p <$   
51  $.020$ ). Our data add to the efficacy and safety of e-cigarettes in helping smokers reducing tobacco  
52 consumption and improving pulmonary health status.

53 **Keywords:** e-cigarettes; smoking cessation; nicotine-free e-cigarettes; pulmonary health; clinical  
54 trial

55

56 **Introduction**

57 Electronic cigarettes (e-cigarettes) are an increasingly popular alternative to tobacco cigarettes among  
58 smokers worldwide (Diez, Cristello, Dillon, De La Rosa, & Trucco, 2019). The National Cancer  
59 Institute Dictionary of Cancer Terms defined the e-cigarette as a battery-powered electronic delivery  
60 that does not contain tobacco and that does not require combustion. The e-cigarette is composed of a  
61 battery, a heating element and a tank that contains a solution of nicotine, flavorings and other chemical  
62 products, such as propylene glycol (King, Gammon, Marynak, & Rogers, 2018; NCI, 2016; Polosa  
63 et al., 2011).

64 Unlike conventional nicotine replacement therapies, e-cigarettes simulate the visual, sensory, and  
65 behavioral aspects of smoking (Corbin L & Spearing E, 2015). Studies confirmed that e-cigarettes  
66 deliver sufficient levels of nicotine to convey both physiological and behavioral effects (Polosa,  
67 Caponnetto, Maglia, Morjaria, & Russo, 2014; Strongin, 2019). A number of studies addressed the  
68 question if e-cigarettes might be considered an effective device to be used in smoking cessation  
69 programs (Caponnetto et al., 2013; Hajek et al., 2019; Hartmann-Boyce, McRobbie, Begh, Stead, &  
70 Hajek, 2016). However, data are not convergent and the debate is open, also considering possible  
71 dangerous side effects. For example, Ghosh and colleagues reported that the e-cigarettes may have  
72 biological effects on the lungs and that these effects seem to be linked to the propylene  
73 glycol/vegetable glycerine included in juices. Alterations of lungs might also favour chronic diseases  
74 (Ghosh et al., 2018). Moreover, (Bullen, Howe, & Laugesen, 2014) reported serious side effects in  
75 27 out of 241 participants who consumed a 16 mg/mL e-liquid, and 5 out of 57 of participants who  
76 used nicotine-free e-cigarettes at 6 months. Conversely, a Cochrane review (Hartmann-Boyce et al.,  
77 2016) found no serious side effects considering short to midterm outcomes, since most side-effects  
78 reported (95.6%) by the reviewed studies were not directly connected to the use of e-cigarettes.

79 With regard to the efficacy issue, a meta-analysis of eight studies comparing the efficacy of the e-  
80 cigarettes (both with and without nicotine) with no concomitant interventions failed to show a benefit  
81 in smoking cessation (El Dib et al., 2017). Instead, in our previous work, we reported short-term (3  
82 months) results that showed e-cigarettes to be helpful in reducing tobacco consumption, when  
83 combined with low-intensity counseling in a sample of motivated smokers (Masiero et al., 2018).

84 This low uniformity of data about the effectiveness of e-cigarettes may depend on many factors (El  
85 Dib et al., 2017). In particular, the samples considered are heterogeneous for age, history of smoking  
86 and motivation to stop. For example, some studies pointed to smokers who had a low motivation to  
87 quit (Caponnetto et al., 2013) while others included only high motivated smokers. This way, data  
88 may diverge due to the role of motivation in smoking cessation (Williams et al., 2006). Another  
89 important issue has been raised by a survey (Farsalinos, Poulas, Voudris, & Le Houezec, 2016), which

90 found a link between the regular use of e-cigarettes and chronic smoking so that smokers who have  
91 never used e-cigarettes were more likely to succeed in a quitting attempt. Thus, it seems that e-  
92 cigarettes might sustain smoking when used ad libitum, instead of promoting a positive change.  
93 However, we still need sound data to assess e-cigarettes efficacy in tobacco cessation and to suggest  
94 best practices (Van der Aalst, De Koning, Van den Bergh, Willemsen, & Van Klaveren, 2012), also  
95 taking into consideration specific settings. In particular, cancer screening programs are now  
96 considered positive contexts to provide anti-smoking advice and promote smoking interruption (Van  
97 der Aalst et al., 2012). Accruing evidence (Tammemägi, Berg, Riley, Cunningham, & Taylor, 2014;  
98 Taylor et al., 2007, 2017) confirmed the pivotal role of the screening as a “teachable moment”, that  
99 is a context where participants are motivated to change their maladaptive behaviors such as smoking.  
100 Some authors suggested (for example, Lawson & Flocke, 2009) that people enrolled in a screening  
101 program are more receptive to the clinical suggestions, since they are more aware of the risks their  
102 behaviors imply.

103 For instance, results from the NELSON trial, a program that assessed the effectiveness of a lung  
104 cancer computed tomography (CT) screening, showed a positive association between the number of  
105 the follow-up recommendations and the abstinence rate (AalstVan Der, R.J. Van Klaveren, Van Den  
106 Bergh, Willemsen, & De Koning, 2011). More recently, the SCALE trial outcomes stressed that the  
107 screening may be effective to promote smoking cessation for two main reasons: first, it may increase  
108 the interaction with health professionals providing continued opportunity to receive clinical advice to  
109 quit smoking; second, positive and negative scan results may improve the importance of the smoking  
110 interruption increasing the attention on harms and benefits (Joseph et al., 2018). Even only minimal  
111 advice permits to scale-up the awareness about the health benefits of the interruption (Lucchiari,  
112 Masiero, Botturi, & Pravettoni, 2016; Masiero, Riva, Fioretti, & Pravettoni, 2016). Furthermore,  
113 Glasgow and colleagues suggested that the clinical setting and the time spent in hospital may provide  
114 to the health professionals an opportunity to offer anti-smoking interventions (Glasgow, Stevens,  
115 Vogt, Mullooly, & Lichtenstein, 1991), as well as minimal advice to sustain motivation to give-up or  
116 more structured intervention based on psychological counseling and/or pharmacotherapy.

117 According to this, (Lucchiari, Masiero, Veronesi, et al., 2016) our primary outcome was to assess the  
118 effects of an e-cigarette program on pulmonary health. We argued that the reduction of cigarette  
119 tobacco consumption due to e-cigarette use instead of tobacco consumption would lead to a  
120 significant decrease of cough, breath shortness, and to increase the cough related quality of life at 6  
121 months. Secondary, we aimed to evaluate the impact of e-cigarettes (with or without nicotine) use on  
122 smoked daily cigarettes. We expected that the use of e-cigarette with nicotine e-liquid (8 mg/mL)  
123 would be more effective in reducing smoking than nicotine-free devices (Lucchiari, Masiero,

124 Veronesi, et al., 2016). In addition, the study was conducted on a specific population of smokers  
125 enrolled in a screening program for early detection of lung cancer named COSMOS II (Continuous  
126 Observation of SMOKing Subjects) (Veronesi et al., 2008, 2012). This provided the opportunity to  
127 assess the effectiveness of e-cigarettes in a clinically controlled setting and in a sample of motivated  
128 smokers. Coherently, we argued that the adopted setting is crucial for a successful outcome since it  
129 takes advantage of a so-called “teachable moment” (Taylor et al., 2017).

130

## 131 **Materials and Methods**

### 132 **Study design and participants**

133 The study is a double-blind randomized controlled trial (Clinicaltrials.gov NCT02422914). It was  
134 approved by the ethical committees of the European Institute of Oncology (IEO) and the University  
135 of Milan. Participants were enrolled at the IEO within the COSMOS II (Continuous Observation of  
136 SMOKing Subjects) screening program. COSMOS II allows assessing early detection of lung cancer  
137 by a low-dose computed tomography (CT) scan and blood tests. The inclusion criteria of the  
138 COSMOS II program include being 55 years old or more, having smoked an average of 10 cigarettes  
139 or more a day for at least the past 10 years (Veronesi et al., 2012). All participants received full details  
140 about the study by a trained psychologist and signed the informed consent. The study was conducted  
141 in accordance with the principles stated in the Declaration of Helsinki (59th WMA General Assembly,  
142 Seoul, 2008). The research protocol with full methods details are reported elsewhere (Lucchiari,  
143 Masiero, Veronesi, et al., 2016).

### 144 **Procedure**

145 The first randomization was on 30 September 2015, and the last follow-up was on 31 January 2016.  
146 A randomization list using a permuted block design (40 blocks of 6 subjects randomly assigned to 1  
147 of the 3 treatment arms) had been previously prepared by independent personnel. According to this  
148 randomization, three groups have been identified (Lucchiari, Masiero, Veronesi, et al., 2016; Masiero  
149 et al., 2018): Nicotine e-cigarette group (E-cigarette and Support, n=70): each participant received an  
150 e-cigarette kit and 12 10-mL liquid cartridges (8 mg/mL nicotine concentration). During the first  
151 week, participants could use the e-cigarette ad libitum. At the end of the first week, they were solicited  
152 to use only the e-cigarette for the next 11 weeks. Nicotine-free cigarette group (Placebo and Support,  
153 n=70): the same program of nicotine e-cigarette group but with nicotine-free e-cigarettes. This was a  
154 double-blind placebo condition. The control group (Support-Only, n=70): each participant received  
155 only support following the same protocol of other groups.

156

157 All participants independent of the group received low-intensity counseling (support) delivered by  
158 phone at weeks 1, 4, 8 and 12. The low-intensity counseling is a cognitive/behavioral intervention  
159 aimed at supporting people in changing their behavior. It promotes awareness about personal smoking  
160 and supports motivation to quit. This intervention is routinely provided in screening programs  
161 (Lucchiari, Masiero, Botturi, & Pravettoni, 2016).

162 After the end of the trial, participants enrolled in the nicotine e-cigarette group and nicotine-free  
163 cigarette group were asked to return all the liquid cartridges, which were delivered at the beginning  
164 of the study. Participants then were free to continue to use e-cigarettes on their own and/or tobacco  
165 cigarettes. During follow-up at 6 and 12 months, the smoking behaviour was assessed as well as  
166 pulmonary health.

167

168

## 169 **Instruments**

170 *Expired carbon monoxide (CO)*: it was assessed using the Micro+™ Smokerlyzer® (Bedfont  
171 Scientific Ltd), which has less than 5% H<sub>2</sub> cross-sensitivity. A value from 1 to 5 particles per million  
172 (ppm) is normally considered within the normal limits for non-smokers (Lucchiari, Masiero,  
173 Veronesi, et al., 2016; Masiero et al., 2018).

174 *Abstinence*: continuous smoking abstinence (self-reported complete abstinence over the previous  
175 month). Self-report abstinence was tested for confirmation with the CO value. Only participants who  
176 declared to be abstinent and with a CO level lower or equal than 7 ppm were considered abstinent for  
177 subsequent analyses.

178 *Fagerstrom Test for Nicotine Dependence (FTND)*: A 6-item self-report questionnaire assessing  
179 nicotine dependence. The Italian version was proved to be valid and reliable (Fekketich, Fossati, &  
180 Apolone, 2009).

181 *Motivational questionnaire*: A 4-item self-report questionnaire assessing the motivation to quit  
182 smoking (Marino, 2002). The total score enables classification of smokers into 1 of 4 motivational  
183 categories: 4-6 = low (not yet seriously considering giving up smoking); 7-10 = middle (the person  
184 evaluated both the benefits of quitting and the risks of smoking); 11-14 = high (there are moments in  
185 which the person is determined to quit smoking); 15-19 = very high (the person is ready to give up  
186 smoking).

187 *Hospital Anxiety and Depression Scale (HADS)*: A 14-item self-report questionnaire assessing  
188 anxiety and depression (Zigmond & Snaith, 1983). The Italian version (Costantini et al., 1999) has

189 proved validity and feasibility similar to the original version (Bjelland, Dahl, Haug, & Neckelmann,  
190 2002).

191 *Leicester Cough Questionnaire (LCQ)*: A 19-item self-report questionnaire to assess the impact of an  
192 acute and chronic cough on quality of life, is composed of two subscales: physical and psychological.  
193 The overall score ranges from 3 to 21, with higher scores indicating a better quality of life (Birring et  
194 al., 2003). Berkhof and colleagues (2012) reported a Cronbach's  $\alpha$  ranging from .74 to .80 (Berkhof  
195 et al., 2012).

196 *Respiratory Symptoms*: Self-reported measures were used to assess respiratory symptoms such as dry  
197 cough, catarrh, and breathlessness. In addition, during the visit at month 6, the self-report items were  
198 discussed with the researcher in charge in order to further investigate and eventually confirm the self-  
199 assessment.

200 *Side effects*: Self-reported measures were used to assess side effects. Participants were asked to  
201 complete a checklist of symptoms likely to be related to e-cigarette (Burning throat, Cough, Nausea,  
202 Headache and so on).

203 *E-cigarettes use*: an ad-hoc self-report questionnaire was used to collect qualitative data about e-  
204 cigarettes acceptability and to offer participants the opportunity to report their experience about the  
205 use of the device.

206 Additional information on the trial is published elsewhere (Lucchiari, Masiero, Veronesi, et al., 2016;  
207 Masiero et al., 2018).

208

## 209 **Statistical analysis**

210 The primary aim of the clinical trial was to assess a change in pulmonary health due to smoking  
211 reduction, while the secondary aim was to assess the efficacy of e-cigarettes to reduce smoking. Using  
212 a two-sided Z test, a sample of 70 participants in either the Nicotine e-cigarette group or Nicotine-  
213 free e-cigarette group and 70 in control group will reach 80% power, at .05 significance level, to  
214 detect a 20% reduction in the frequency of respiratory symptoms from the baseline in either of the e-  
215 cigarette groups (Nicotine e-cigarette group and Nicotine-free e-cigarette group) compared to a 5%  
216 reduction in the control group (Lucchiari, Masiero, Veronesi, et al., 2016).

217 The Chi-squared test was used to assess differences in frequencies of respiratory symptoms,  
218 participants who stopped smoking, and any other categorical variable. We identified two main groups:  
219 Abstinence and Reduction. The Abstinence group involved participants who stopped smoking  
220 tobacco cigarettes. Continuous smoking abstinence (defined as the self-reported abstinence over the  
221 previous month and confirmed at 6 months by expired CO) was considered. The reduction group  
222 involved participants that showed at least a 20% decrease of daily tobacco smoking compared with  
223 the baseline independent of the study group and it did not include participants who were abstinent at  
224 the evaluation point. For this group an Intention-to-treat analysis (ITT) was used. ANCOVA tests  
225 were used to evaluate significant changes from baseline to 6-month in cigarette consumption and  
226 other continues variables (CO, Dependence Level, LCQ, HADS). All the analyses were performed  
227 with the SPSS package (version 23.0, IBM, USA, 2014).

228

## 229 **Results**

### 230 *Sample characteristics*

231 Two hundred and ten smokers (132 men and 78 women) with a mean age of 62.8 (S.D. = 4.58)  
232 accepted to participate. Overall, the age of the first cigarette was 17.40 years (S.D. = 3.68) and the  
233 number of the daily cigarette smoked was 19.38 (S.D. = 7.84). The mean value of the CO assessed  
234 for ppm was 14.84 (S.D. = 6.09; min. 3 ppm and max. 33 ppm). No significant differences between  
235 groups on smoking behaviour, psychological wellbeing (HAD scale) and LCQ scores were present at  
236 baseline. Due to missing data, at the 6-month the sample was of 155 participants. In particular, 52 in  
237 Nicotine e-cigarette group (19 women and 33 men), 51 in Nicotine-free e-cigarette group (21 women  
238 and 31 men) and 52 in Control group (13 women and 38 men). Further characteristics of the actual  
239 participants at month 6 are shown in table 1.

240 Missing data at T1 (month 1) was 26 (12.4), at T2 (month 3) 38 (18.1%) and at T3 (month 6) 55  
241 (26%).

242 *Table 1 here*

243 **Figure 1.** Insert here

244

245

246 *Primary outcome assessment: improving in pulmonary health*

247 Participants who stopped smoking reported a significant improvement in pulmonary health. In  
248 particular, independent of the study group only 9% of former smokers reported cough versus about  
249 57% of current smokers. Similarly, about 6% of former smokers had a pulmonary disease (bronchitis)  
250 during the 6 months before the evaluation time, versus about 18% of current smokers (Table 2). To  
251 evaluate changes in cough-related quality of life (LCQ) and general psychological well-being  
252 (HADS) we run two ANCOVA tests with baseline value as covariate and the smoking status at 6-  
253 month as fixed factor. We found that former smokers had a significant improvement with respect to  
254 baseline in anxiety HADS subscale ( $F(1, 141) = 7.457, p = .007$ ) and the general LCQ score ( $F(1,$   
255  $141) = 12.555, p = .001$ ). In particular, former smokers showed a significant improvement of cough-  
256 related quality of life at 6 months compared to baseline (Figure 2). Abstinent smokers also showed a  
257 significant weight increase ( $F(1, 141) = 22.958, p < .001$ ). The positive effect of quitting on cough-  
258 related quality of life and psychological well-being was equally present in all groups considered. We  
259 also ran an analysis considering Group as independent variable to test if pulmonary health was  
260 affected by the treatment. We failed to find any differences.

261 **Figure 2** Insert here

262 **Table 2.** Insert here

263 *Secondary outcome assessment: reduction of tobacco smoking*

264 The number of participants who stopped smoking after 6 months by groups is reported in table 3.  
265 Nicotine e-cigarette group and nicotine-free e-cigarette group include more abstinent smokers than  
266 control; however, we failed to find significant differences between groups ( $p = .691$ ). Most  
267 participants who had stopped smoking at 3-month remained abstinent at 6. In particular, 1 in Nicotine  
268 e-cigarette group, 2 in Nicotine-free e-cigarette group and 1 in Control group relapsed.

269 **Table 3.** Insert Here

270 Focusing on smoking reduction, we ran three ANCOVA tests using the corresponding baseline value  
271 as a covariate and the group as a fixed factor. In the first, we compared the number of daily cigarettes

272 smoked by groups. Results showed that participants who used e-cigarettes with nicotine smoked  
273 fewer tobacco cigarettes than any other group ( $F(2, 118) = 4.005, p < .020$ ). Indeed, smokers in the  
274 nicotine e-cigarette group smoked a mean of 11.007 (S.D.= 6.51), while smokers in nicotine-free e-  
275 cigarette group and control groups smoked respectively 14.026 (S.D.=7.92) and 13.454 (S.D.= 6.49)  
276 daily cigarettes.

277 The second ANCOVA was on exhaled CO. We found significant differences between groups ( $F(2,$   
278  $117) = 4.233, p < .025$ ). Participants in nicotine e-cigarette group had a mean exhaled CO of 12.01  
279 (S.D. = 8.13), while higher levels in nicotine-free e-cigarette group ( $M = 15.28, S.D. = 11.43$ ) and  
280 control group ( $M = 16.52; S.D. = 10.24$ ) were recorded.

281 Finally, we tested whether there were differences between groups on the level of nicotine dependence  
282 as measured by the FTND. Also in this case, the ANCOVA test revealed significant differences ( $F$   
283  $(2, 117) = 3.561, p < .032$ ). Smokers in nicotine e-cigarette group had a lower level of dependence  
284 ( $M = 3.12, S.D = 2.29$ ) with respect to smokers in nicotine-free e-cigarette group ( $M = 4.32, S.D. =$   
285  $2.03$ ) and control group ( $M = 3.59, S.D. = 2.32$ ).

286 The only side effect possibly related to the use of e-cigarettes recorded is burning throat, which  
287 frequency increases from 3 to 6 months (see table 4).

288 **Table 4.** Insert here

289

## 290 **Discussion**

291 In this study, we tested if the use of e-cigarettes in well-motivated smokers coming from a lung cancer  
292 screening population could be effective in improving pulmonary health. We presented here outcomes  
293 at six months. First, we did not record any severe adverse events linked to the use of e-cigarettes, and  
294 the few side effects reported were well tolerated. However, burning throat increased from month 3 to  
295 month 6 in participants who used nicotine e-cigarettes. We argue, that it could be linked to the device  
296 and/or to the specific e-liquid used, different from the one provided during the study. Though some  
297 studies reported severe adverse events linked to the use of e-cigarettes, in our study we showed the  
298 safety of their use in a clinical controlled trial, where participants had the possibility to report any  
299 possible issues and a researcher was always available to support them. However, this aspect needs  
300 further investigation, indeed, recently the e-cigarette has been associated with respiratory disorders  
301 such as asthma and chronic obstructive pulmonary disease (Wills, Pagano, Williams, & Tam, 2019).  
302 Otherwise, a recent narrative review suggested treating with caution results about health risks related  
303 to long-term vaping (Polosa, O’Leary, Tashkin, Emma, & Caruso, 2019). We believe that more  
304 studies should be performed to better understand the real impact of the e-cigarette and e-liquids used  
305 both in habitual smokers, as well as never smokers in order to provide guidelines for using e-cigarette  
306 as a safe device to quit smoking.

307 At the same time, we found a positive effect of our program on the pulmonary health of participants,  
308 who independent of the study group reported a meaningful improvement in all the investigated areas.  
309 This improvement was linked to the smoking status at month 6 (current smokers or formers smokers).  
310 Coherently with other studies (Walele et al., 2018), we found a positive association between the use  
311 of e-cigarettes and tobacco smoking reduction. After 6 months from the beginning of the study, about  
312 20% of the evaluated participants stopped smoking (about 15% of the original sample included in the  
313 ITT analysis). Furthermore, about 95% of the evaluated participants reduced the number of daily  
314 cigarettes. However, we did not find any difference between groups with regard to pulmonary health,  
315 cough-related quality of life, and mood, since the found differences were related only to the smoking  
316 status.

317 The use of nicotine e-liquids during the program seems to play an important role. Indeed, participants  
318 who used nicotine significantly reduced their daily tobacco cigarettes and the nicotine dependence  
319 more than any other participants did. Moreover, the use of nicotine-free e-cigarettes seems to have a  
320 positive effect only at the short-term (Masiero et al., 2018), while in mid- and probably long-term an  
321 inverse trend may be observed. Participants who used the latter not only did not report a higher  
322 reduction rate with respect to control participants (who received only low-intensity counseling), but

323 they also reported a higher level of dependence. We argue that this latter datum may suggest that the  
324 mismatch between the expectation raised by the use of an e-cigarette and the null physiological effect  
325 due to the absence of nicotine-delivering may have induced a reinforcing effect to smoke desire due  
326 to the behavioral pattern associated with using a cigarette-like device. The present study has also  
327 some limitations mainly due to the sample characteristics. First, outcomes were powered on group  
328 differences, rather than on differences between abstinent and not abstinent smokers. Thus, inferences  
329 about the effectiveness of e-cigarettes on quitting must be considered in light of the study design and  
330 its statistical power. Second, our sample includes only people older than 55-year-old with a long  
331 history of smoking who decided to take part in a screening program and with a high motivation to  
332 stop smoking. However, even if the present study targeted a particular smokers group, we feel that  
333 our results are not only interesting for this population, but they also support the idea that future  
334 research in different samples may prove the profitability of e-cigarettes use.

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### 337 **Protocol**

338 Clinicaltrials.gov NCT02422914; <https://clinicaltrials.gov/ct2/show/NCT02422914>

### 339 **Conflicts of interest**

340 The authors declare no conflicts of interest.

### 341 **Authors' Contributions**

342 CL, MM, GP, PM, and GV conceived and designed the study. CL coordinated the study, CL and GP  
343 acquired legal authorizations, and MM, KM, and SS managed participants. Statistical analyses were  
344 provided by CL, while data management was provided by RB. E-cigarettes and liquids were managed  
345 by JC and EOS. Drafting and writing of the manuscript were handled by MM, KM, CL, and GP. All  
346 authors have read and approved the final manuscript.

347

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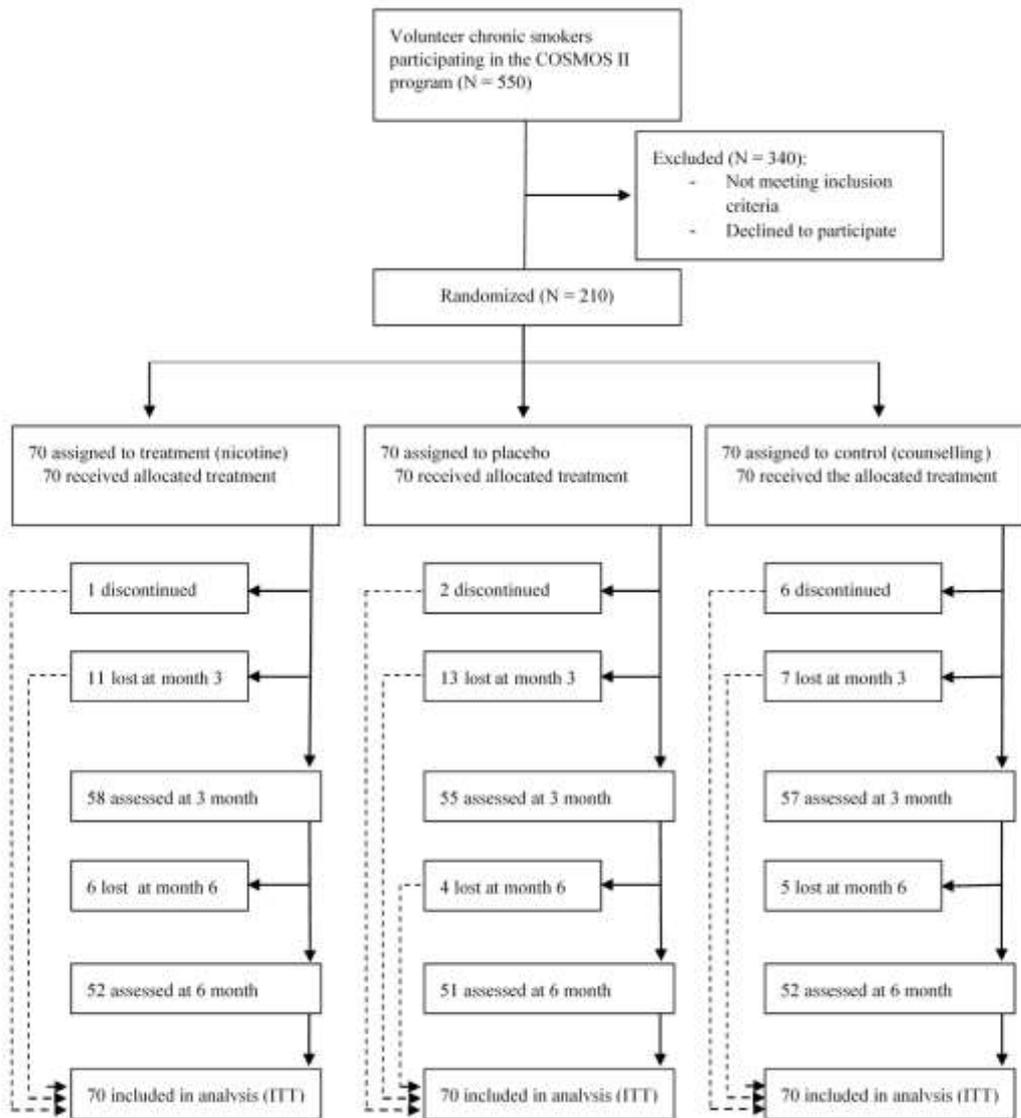
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477 **Figure 1.** CONSORT diagram for reporting trials



478

479 **Table 1** Descriptive statistics for smoking starting age, smoked daily cigarettes, e-CO (ppm value),  
 480 dependence level, and motivational level.

481

<i>Variable</i>	<b>Nicotine e-cigarette group</b>		<b>Nicotine-free e-cigarette group</b>		<b>Control group</b>	
	M	SD	M	SD	M	SD
Smoking starting age	17.55	3.77	16.90	3.58	17.76	3.68
Smoked daily cigarettes	19.17	6.14	19.70	8.25	19.27	8.93
e-CO (ppm value)	15.34	5.29	14.58	5.90	14.63	6.99
Dependence Level	4.53	1.77	4.49	1.88	4.09	1.95
Motivational Level	12.66	2.25	13.35	2.79	13.10	2.49

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483

484 **Table 2.** Respiratory symptoms at 6 months

		Dry cough (%)	Catarrh (%)	Breathlessness (%)	Bronchitis (%)
<u>Nicotine e-cigarette</u>					
	Former smokers	13.9	7.8	46.1	7.4
	Current smokers	62.6	67.4	86.4	25.3
<u>Nicotine-free e-cigarette</u>					
	Former smokers	6.1	10	69.9	9.6
	Current smokers	46.1	50.1	81.5	18.9
<u>Control</u>					
	Former smokers	8.54	14.3	71.5	3.8
	Current smokers	62.2	58.6	76.3	11.4
<u>All groups</u>					
	Former smokers	9.7	10.1	60.1	6.4
	Current smokers	56.6	57.5	81.4	17.9

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487

488 **Table 3.** Numbers of current smokers and former smokers at month 6 by groups

	Abstinent Smokers	Current Smokers	Tot
Nicotine e-cigarette group	13 (16%)	57 (84%)	70
Nicotine-free e-cigarette group	11 (19%)	59 (81%)	70
Control group	7 (10%)	63 (89%)	70
Tot	31 (10%)	179 (80%)	210

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490

491 **Table 4.** E-cigarettes Side Effects at months 3 and 6

	Burning throat	Cough	Nausea	Headache	Insomnia	Stomachache	Confusion
<i>3 Month</i>							
Nicotine e-cigarette group	5.7%	10%	1.4%	-	1.4%	-	1.4%
Nicotine-free e-cigarette group	2.9%	2.9%	2.9%	-	-	-	-
<i>6 Month</i>							
Nicotine e-cigarette group	15.9%	5.8%	5.8%	-	1.4%	4.3%	1.4%
Nicotine-free e-cigarette group	5.6%	2.8%	7%	1.4%	-	4.2%	-

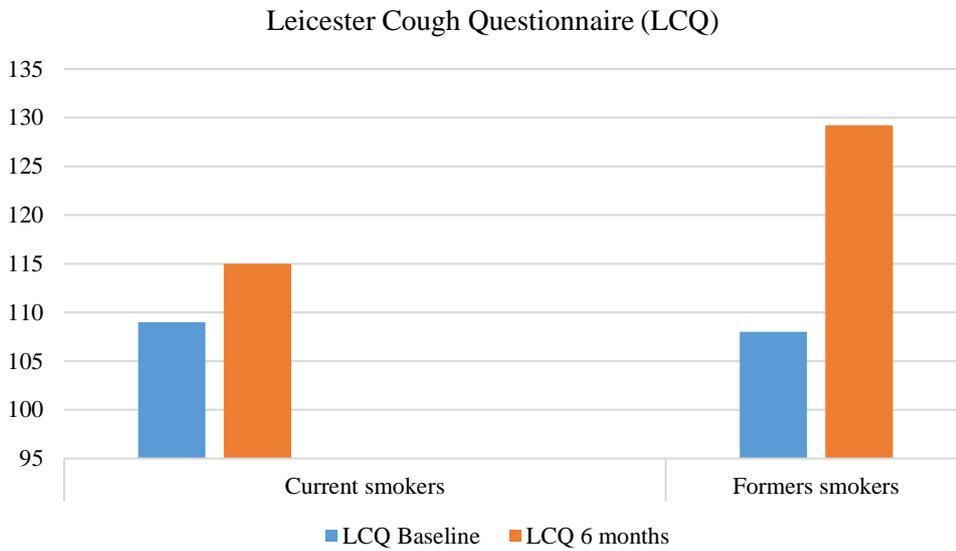
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495 **Figure 2** Leicester Cough Questionnaire (LCQ) scores at baseline and at 6 months

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498 In this graph, differences in cough related quality of life as measured by the LCQ are showed.  
499 Participants who stopped smoking during the study (former smokers) showed a significant increase  
500 in LCQ score with respect to baseline values. Participants who continued smoking, instead, showed  
501 a non significant increase.