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Title: Efficacy and safety of immunotherapy in elderly patients with non-small cell lung cancer

Article Type: Short communication

Keywords: Non Small Cell Lung Cancer; Immunotherapy; Elderly; Efficacy; Safety

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Abstract: Objectives Most trials with Immune Checkpoint Inhibitors (ICIs) for Non-Small Cell Lung Cancer (NSCLC) included only small subgroups of patients aged  $\geq 65$ . As NSCLC is often diagnosed in patients aged  $\geq 70$ , real-world data about efficacy and safety of immunotherapy (IO) in elderly patients are essential.

Materials and Methods We retrospectively collected data about all patients with advanced NSCLC treated with IO at our Institution between April 2013 and March 2019. The patients were stratified for age as follows:  $<70$  year-old, 70-79 year-old,  $\geq 80$  year-old. Chi-square test was used to compare qualitative variables. Survival was estimated with Kaplan-Meier method. Log-rank test was used to compare curves. Multivariate analyses were performed with Cox model.

Results We reviewed 290 cases, with a median age of 67 (range: 29-89). Patients aged  $<70$ , 70-79 and  $\geq 80$  year-old were 180, 94 and 16, respectively. Clinical/pathological variables were uniformly distributed across age classes, except for a higher rate of males (p 0.0228) and squamous histology (p 0.0071) in the intermediate class. Response Rate (RR) was similar across age groups (p 0.9470). Median Progression Free Survival (PFS) and Overall Survival (OS) did not differ according to age (p 0.2020 and 0.9144, respectively). Toxicity was comparable across subgroups (p 0.6493). The only variables influencing outcome were performance status (PS) (p $<0.0001$  for PFS, p 0.0192 for OS), number of metastatic sites (p 0.0842 for PFS, p 0.0235 for OS) and IO line (p $<0.0001$  for both PFS and OS).

Conclusion Advanced age was not associated to a reduced efficacy of IO in our case series. Furthermore, no toxicity concern emerged even among the eldest pts. To our opinion, ICIs should be considered irrespective of age, provided an optimal PS at baseline. Of note, IO is often the only therapeutic option applicable to these cases considering the toxicity of chemotherapy.





June, 7<sup>th</sup> 2019

**Prof. Rolf Stahel, MD**

**Editor-in-Chief**

***Lung Cancer***

Dear Editor,

we are pleased to submit the enclosed manuscript entitled “Efficacy and safety of immunotherapy in elderly patients with non-small cell lung cancer” to consider it for publication in *Lung Cancer*.

This is a short report about the performance of immunotherapy in our case series of elderly patients with Non-Small Cell Lung Cancer (NSCLC). In brief, we retrospectively reviewed all the patients treated with Immune Checkpoint Inhibitors (ICIs) at our Institution and we divided them into three age classes: 70, 70-79 and  $\geq 80$  year-old. When comparing data of objective response rate, progression free and overall survival, no significant differences were evidenced among these subgroups. Notably, no toxicity concerns emerged even among the eldest patients. Although previous works reported data about the use of ICIs in the elderly, we believe that this large case series, including also a quite large group of cases aged  $\geq 80$  year-old, can contribute to increase the knowledge of this topic in the specific field of NSCLC. In particular, the finding that performance status, instead of age, has a strong predictive and prognostic role during immunotherapy emphasizes the need of accurately selecting patients that are best candidate to receive ICIs even at very advanced age.

We confirm that this article has not been published previously and it is not under consideration for publication elsewhere. No funding has been received for its preparation and writing. The described research has been conducted in line with the principles of the Declaration of Helsinki and all the patients signed a written informed consent for the use of personal data. All named authors of this paper have directly participated in the elaboration and writing of the manuscript, and have read and approved the final version submitted. Authors’ conflicts of interest are reported in the appropriate section of the manuscript.

We hope that this research will be of interest to your readership. We look forward to your comments regarding our submission. Should you have any concern regarding this article, please do not hesitate to contact us.

On behalf of the authors,

Yours faithfully,

Giulia Galli, MD

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**Manuscript word count: 1897**



August 13<sup>th</sup>, 2019

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Dear "Lung Cancer" Editorial Office,

Dear Reviewer,

Thank you for your comments.

We modified the text of the manuscript LUNGCANCER-D-19-00747 according to your observations as follows:

**# Reviewer 1:**

- 1) *"I just want to outline the last sentence of the conclusion: <<Of note, IO is often the only therapeutic option applicable to these cases considering the toxicity of chemotherapy>>. I don't think that we can conclude that at the end of this study. The authors should limit their conclusion to the fact that IO should be considered irrespective of age, provided an optimal PS at baseline."*

**Author's response:** We agree with this comment. We eliminated the sentence from the revised manuscript.

**REFERENCE IN THE TEXT → page 7, lines 164-167.**

- 2) *"This is the same comment page 5 for this sentence: <<Furthermore, the general fair tolerability of IO renders this treatment option a chance for elderly people, who are often unsuited for chemotherapy due to frailty and comorbidities>>. It is not demonstrated that IO is superior to chemo or even allow to achieve a benefit in a population considered as unsuited for chemo because of frailty and comorbidities."*

**Author's response:** Again, we agree with this comment and amended the manuscript consequently.

**REFERENCE IN THE TEXT → pages 3, lines 60-61.**

- 3) *"In the discussion, the authors should outline the fact that patients were not geriatrically characterized. This is a limit of this study. Concerning the toxicities, the authors should add a sentence explaining that due to its retrospective aspect, perhaps that report of toxicities especially of low grades could not have been exhaustive."*

**Author's response:** We added a paragraph making clear the limitation of the study, particularly referring to the points raised in this comment.

**REFERENCE IN THE TEXT → page 7, lines 155-161.**

We sincerely believe that these changes bettered our manuscript.

We hope that the result will satisfy your requests and we make ourselves fully available to further changes.

We precise that all the authors approved manuscript adjustments.

Thanking you in advance for your consideration,

Yours sincerely

Dr. Giulia Galli

## Highlights

- Data about immunotherapy (IO) in elderly patients with Non-Small Cell Lung Cancer (NSCLC) are few
- We divided our series of NSCLC patients treated with IO as follows: <70 , 70-79, ≥80 year-old
- We found no difference in response rate, progression free survival and overall survival
- The incidence of moderate/severe adverse events was similar in the three subgroups
- We confirm that IO can be a safe and effective option for elderly patients with advanced NSCLC

1 **Efficacy and safety of immunotherapy in elderly patients with non-small cell lung cancer**

2 Giulia Galli<sup>1</sup>, Alessandro De Toma<sup>1</sup>, Filippo Pagani<sup>1</sup>, Giovanni Randon<sup>1</sup>, Benedetta Trevisan<sup>1</sup>, Arsela Prelaj<sup>1</sup>,  
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4 Braud<sup>1</sup>, Marina Chiara Garassino<sup>1</sup>, Giuseppe Lo Russo<sup>1</sup>

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23 **Abstract**

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## 48 **Introduction**

49 Non-Small Cell Lung Cancer (NSCLC) is the second most common malignancy worldwide and its incidence  
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51 In recent years, treatment advances have deeply changed the approach to metastatic NSCLC. In particular,  
52 several trials have proved the efficacy of immunotherapy (IO) in first and more advance lines [2]. However,  
53 despite the high incidence of NSCLC in the elderly, most trials have excluded such patients from enrolment.

54 Recent retrospective data and some systematic reviews have tried to fill the gap of knowledge about  
55 efficacy and safety of IO in the elderly. However, most of them did not focus on a specific disease or  
56 included only a small subgroups of cases aged  $\geq 80$ . Therefore, data are still scant in particular for NSCLC  
57 and for patients in the most advanced age class [3].

58 Given the progressive increase in median age of the global population, and the positive correlation  
59 between age and incidence of NSCLC, a deep comprehension of its effects in the elderly in a real-world  
60 setting is crucial.

61 We tried to address this topic, reviewing our Institutional case series of patients with advanced or  
62 metastatic NSCLC treated with IO.

## 63 **Materials and Methods**

64 Data about all consecutive patients with NSCLC treated with ICIs at Istituto Nazionale dei Tumori, Milan,  
65 Italy, between April 2013 and March 2019 were collected from Institutional database. All cases with  
66 advanced NSCLC receiving at least one administration of IO were considered eligible for the analysis.

67 Response Rate (RR) was evaluated through Response Evaluation Criteria for Solid Tumors (RECIST) 1.1.

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71 whenever expressed at  $\geq 1\%$  level at Institutional evaluation (with DAKO22C3 kit), or positive at central

72 evaluation for patients enrolled in clinical trials.

73 For the purpose of the analysis, the patients were stratified according to age at the beginning of IO into  
74 three classes: <70 year-old, 70-79 year-old, ≥80 year-old.

75 Progression Free Survival (PFS) was calculated as the time interval between the first administration of ICI  
76 and disease progression or death for any cause, whichever came first. Overall Survival (OS) was calculated  
77 as the time interval between the first administration of ICI and death for any cause. Alive patients were  
78 right-censored at the time of last contact.

79 Chi square or Fisher's exact test were used to compare proportions. PFS and OS were estimated through  
80 Kaplan-Meier method. Differences between survival curves were analyzed with log-rank test. Cox  
81 proportional hazard model was applied for multivariate analysis. Statistical analyses were performed using  
82 SAS (version 9.4, SAS Institute, Cary, NC, USA).

### 83 **Results**

84 A total of 290 cases were identified, with a median age of 67 (range: 29-89). One hundred eighty patients  
85 were aged <70, 94 were aged 70-79, 16 were aged ≥80. In the global population there was a slight  
86 prevalence of male gender (61.7%), and most patients were current or former smoker at the beginning of  
87 IO (80.7%). Tumor histology was non-squamous in 77.6% of cases. PD-L1 was classified as positive in 119  
88 patients, negative in 78; in the remaining cases, the level of expression was unknown. About half of the  
89 patients had PS 1, 37.2% had PS 0 and 12.1% had PS 2 at the first administration of ICI. More than 2 sites of  
90 distant disease were documented in 166 patients at the beginning of IO. Two hundred five pts received an  
91 anti-PD1, 77 an anti-PDL1, 8 an anti-CTLA4 or a combo-IO. ICIs were globally well tolerated, as less than one  
92 third of patients developed a toxicity graded ≥2. Most clinical and pathological characteristics were  
93 uniformly distributed across age classes, except for gender and histology. In particular, a higher prevalence  
94 of male gender and squamous histology was observed in the intermediate age group (70-79 year-old  
95 patients). Patient and treatment characteristics are detailed in Table 1.

96 When stratifying the global population according to age, no toxicity concerns emerged even among the  
97 eldest patients. In particular, the incidence of adverse events graded  $\geq 2$  was comparable across age groups  
98 (35.8% vs 32.7% vs 37.5% for pts aged  $<70$  vs 70-79 vs  $\geq 80$  year-old, p 0.6493).

99 As regards IO efficacy, no differences in Response Rate (RR) emerged between the three classes (21.5% vs  
100 22.3% vs 18.8% for pts aged  $<70$  vs 70-79 vs  $\geq 80$  yo, respectively; p 0.9470). Median PFS of the global  
101 population was 3.0 months (95%CI 2.57-3.75); median OS was 9.93 months (95%CI 8.26-12-11). Considering  
102 age as a continuous variable, the impact of this factor on both PFS and OS was not significant (p 0.1263 and  
103 p 0.7077, respectively). Consistently with this finding, the three classes of patients did not show  
104 significantly different outcome, median PFS being 2.8 months for patients aged  $<70$ , 3.5 months for  
105 patients aged 70-79, 2.6 months for patients aged  $\geq 80$  (p 0.2020). Corresponding median OS was 9.1  
106 months for patients aged  $<70$ , 11.3 months for patients aged 70-79, 9.6 months for patients aged  $\geq 80$  (p  
107 0.5154). Results were comparable after stratification for gender (p 0.516 for PFS, p 0.5154 for OS) and  
108 histology (p 0.9057 for PFS, p 0.1002 for OS), which were the variables showing an imbalance among age  
109 classes. Kaplan-Meier curves for PFS and OS according to age classes are reported in Figure 1 and 2.

110 At univariate analysis, the variables showing an impact on survival were PD-L1 status (p 0.0026 for PFS, p  
111 0.0242 for OS), ECOG PS (p  $< 0.0001$  for PFS and OS), number of metastatic sites (p 0.0019 for PFS, p 0.0006  
112 for OS) and IO line (p  $< 0.0001$  for PFS, 0.0006 for OS). Multivariate analysis confirmed an independent role  
113 on PFS for ECOG PS and IO line, on OS for ECOG PS and number of metastatic sites. Results of univariate  
114 and multivariate analyses are reported in Table 2 and 3.

## 115 **Discussion**

116 Pre-clinical data have shown that aging can induce measurable changes in some functions of systemic  
117 immunity. In particular a progressive and global remodelling of immune functions during aging involving  
118 both innate and adaptive immunity, known as immunosenescence, may potentially predict benefit from IO  
119 in NSCLC patients [4]. Mice experiments have also proved that elderly animals have a reduced variability of  
120 T cell populations, a slower lymphocyte proliferation after antigen stimulation and a reduced cytokine

121 secretion [5]. Furthermore, after exposure to IO, elderly mice have an increased risk of severe immune-  
122 related adverse events than young controls, due to uncontrolled release of pro-inflammatory mediators [6].  
123 Nonetheless, data on cancer patients are less clear. Therefore, some reviews and meta-analyses have been  
124 performed with the purpose of pooling data from clinical trials. Such works have generally focused on  
125 different tumors (NSCLC, urothelial carcinoma, prostate cancer, melanoma) and compounds (nivolumab,  
126 pembrolizumab, combined IO). Their results have been concordant in showing a PFS benefit also for elderly  
127 patients treated with IO, while there was a trend towards a higher Hazard Ratio (HR) in OS as compared to  
128 younger patients, in particular for nivolumab and for NSCLC. No data have supported the suspicion of an  
129 increased incidence of toxicity among elderly patients [7].

130 A recent work have addressed the topic of IO in the elderly in the real-world, analyzing a cohort of  
131 squamous NSCLC patients enrolled in Italian nivolumab expanded access program. The authors have  
132 divided the patients into three groups: <65, 65-75 and >75 year-old. No differences in RR and PFS could be  
133 observed among the classes, while OS was shorter for the eldest patients as a likely consequence of  
134 comorbidities leading to death from other causes. IO was well tolerated across all age groups. The authors  
135 concluded that nivolumab appears as a safe and effective second line treatment for elderly patients with  
136 squamous NSCLC in a real-life setting [8].

137 Furthermore, a pooled analysis of three studies comparing pembrolizumab to chemotherapy (Keynote 024,  
138 Keynote 042 and Keynote 010) has been recently presented. Data about almost 400 NSCLC patients aged  
139 >75 have shown that IO improved OS also in the eldest cohort of the trials, irrespective of line of therapy  
140 and PD-L1 cutoff (>1% vs >50%). Elderly patients treated with IO experienced less adverse events than  
141 those receiving chemotherapy; toxicity of pembrolizumab was similar to that reported in the younger  
142 cohort of the trials [9].

143 In our case series, we chose to stratify the patients using different age cutoffs. Our purpose was to evaluate  
144 safety and efficacy of IO in a cohort of patients with a considerably older age than that included in most  
145 trials. Although the group of the eldest patients was quite small, we could not evidence any difference in  
146 RR, PFS and even OS. A numeric trend towards a longer survival of the intermediate class was observed, but

147 it was likely an effect of the small number of cases in each group. However, these slight differences were  
148 not significant. Indeed, the performance of IO was fair also for patients aged  $\geq 80$ , with results that are  
149 comparable to those reported in clinical trials. Notably, a safe toxicity profile was confirmed in our case  
150 series across all age groups. This result is particularly relevant considering that literature data are scarce for  
151 patients aged  $\geq 75$  and even  $\geq 80$ . A report of four patients aged  $>90$  treated successfully and safely with IO  
152 have been recently published, but, at the best of our knowledge, this is the largest case series of NSCLC  
153 patients aged  $\geq 80$  treated with ICIs [10].

154 This study presents some limitation. First of all, the only estimate of patients' global functioning we could  
155 evaluate was ECOG performance status. Patients were not evaluated through a comprehensive geriatric  
156 characterization and no standardized geriatric scales were applied. This surely impairs the possibility of  
157 generalize data and compare them with other case series from different Institutions. Secondly, the  
158 retrospective nature of the analysis may have implied an under-report of adverse events and reduced the  
159 reliability of toxicity grading.

160 Given such limitation, however, this single Institution experience confirms a satisfactory safety and toxicity  
161 profile of IO in elderly patients. On the contrary, a poor PS appears as a strong negative predictive and  
162 prognostic factor during treatment with ICIs. This underlines the importance of considering PS, instead of  
163 age, when evaluating patients potentially candidate to IO. Therefore, a comprehensive evaluation of each  
164 cancer patient in advanced age, with the cooperation of a specialist in geriatric medicine, considering the  
165 whole medical picture and the global functioning besides the age, should be performed when deciding if a  
166 patient is candidate or not to receive active treatment.

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23 **Abstract**

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## 48 **Introduction**

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51 In recent years, treatment advances have deeply changed the approach to metastatic NSCLC. In particular,  
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53 despite the high incidence of NSCLC in the elderly, most trials have excluded such patients from enrolment.  
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59 between age and incidence of NSCLC, a deep comprehension of its effects in the elderly in a real-world  
60 setting is crucial. Furthermore, the general fair tolerability of IO renders this treatment option a chance for  
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## 64 **Materials and Methods**

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76 Progression Free Survival (PFS) was calculated as the time interval between the first administration of ICI  
77 and disease progression or death for any cause, whichever came first. Overall Survival (OS) was calculated  
78 as the time interval between the first administration of ICI and death for any cause. Alive patients were  
79 right-censored at the time of last contact.

80 Chi square or Fisher's exact test were used to compare proportions. PFS and OS were estimated through  
81 Kaplan-Meier method. Differences between survival curves were analyzed with log-rank test. Cox  
82 proportional hazard model was applied for multivariate analysis. Statistical analyses were performed using  
83 SAS (version 9.4, SAS Institute, Cary, NC, USA).

## 84 **Results**

85 A total of 290 cases were identified, with a median age of 67 (range: 29-89). One hundred eighty patients  
86 were aged <70, 94 were aged 70-79, 16 were aged  $\geq 80$ . In the global population there was a slight  
87 prevalence of male gender (61.7%), and most patients were current or former smoker at the beginning of  
88 IO (80.7%). Tumor histology was non-squamous in 77.6% of cases. PD-L1 was classified as positive in 119  
89 patients, negative in 78; in the remaining cases, the level of expression was unknown. About half of the  
90 patients had PS 1, 37.2% had PS 0 and 12.1% had PS 2 at the first administration of ICI. More than 2 sites of  
91 distant disease were documented in 166 patients at the beginning of IO. Two hundred five pts received an  
92 anti-PD1, 77 an anti-PDL1, 8 an anti-CTLA4 or a combo-IO. ICIs were globally well tolerated, as less than one  
93 third of patients developed a toxicity graded  $\geq 2$ . Most clinical and pathological characteristics were  
94 uniformly distributed across age classes, except for gender and histology. In particular, a higher prevalence  
95 of male gender and squamous histology was observed in the intermediate age group (70-79 year-old  
96 patients). Patient and treatment characteristics are detailed in Table 1.

97 When stratifying the global population according to age, no toxicity concerns emerged even among the  
98 eldest patients. In particular, the incidence of adverse events graded  $\geq 2$  was comparable across age groups  
99 (35.8% vs 32.7% vs 37.5% for pts aged  $<70$  vs 70-79 vs  $\geq 80$  year-old, p 0.6493).

100 As regards IO efficacy, no differences in Response Rate (RR) emerged between the three classes (21.5% vs  
101 22.3% vs 18.8% for pts aged  $<70$  vs 70-79 vs  $\geq 80$  yo, respectively; p 0.9470). Median PFS of the global  
102 population was 3.0 months (95%CI 2.57-3.75); median OS was 9.93 months (95%CI 8.26-12-11). Considering  
103 age as a continuous variable, the impact of this factor on both PFS and OS was not significant (p 0.1263 and  
104 p 0.7077, respectively). Consistently with this finding, the three classes of patients did not show  
105 significantly different outcome, median PFS being 2.8 months for patients aged  $<70$ , 3.5 months for  
106 patients aged 70-79, 2.6 months for patients aged  $\geq 80$  (p 0.2020). Corresponding median OS was 9.1  
107 months for patients aged  $<70$ , 11.3 months for patients aged 70-79, 9.6 months for patients aged  $\geq 80$  (p  
108 0.5154). Results were comparable after stratification for gender (p 0.516 for PFS, p 0.5154 for OS) and  
109 histology (p 0.9057 for PFS, p 0.1002 for OS), which were the variables showing an imbalance among age  
110 classes. Kaplan-Meier curves for PFS and OS according to age classes are reported in Figure 1 and 2.

111 At univariate analysis, the variables showing an impact on survival were PD-L1 status (p 0.0026 for PFS, p  
112 0.0242 for OS), ECOG PS (p  $< 0.0001$  for PFS and OS), number of metastatic sites (p 0.0019 for PFS, p 0.0006  
113 for OS) and IO line (p  $< 0.0001$  for PFS, 0.0006 for OS). Multivariate analysis confirmed an independent role  
114 on PFS for ECOG PS and IO line, on OS for ECOG PS and number of metastatic sites. Results of univariate  
115 and multivariate analyses are reported in Table 2 and 3.

## 116 **Discussion**

117 Pre-clinical data have shown that aging can induce measurable changes in some functions of systemic  
118 immunity. In particular a progressive and global remodelling of immune functions during aging involving  
119 both innate and adaptive immunity, known as immunosenescence, may potentially predict benefit from IO  
120 in NSCLC patients [4]. Mice experiments have also proved that elderly animals have a reduced variability of  
121 T cell populations, a slower lymphocyte proliferation after antigen stimulation and a reduced cytokine

122 secretion [5]. Furthermore, after exposure to IO, elderly mice have an increased risk of severe immune-  
123 related adverse events than young controls, due to uncontrolled release of pro-inflammatory mediators [6].  
124 Nonetheless, data on cancer patients are less clear. Therefore, some reviews and meta-analyses have been  
125 performed with the purpose of pooling data from clinical trials. Such works have generally focused on  
126 different tumors (NSCLC, urothelial carcinoma, prostate cancer, melanoma) and compounds (nivolumab,  
127 pembrolizumab, combined IO). Their results have been concordant in showing a PFS benefit also for elderly  
128 patients treated with IO, while there was a trend towards a higher Hazard Ratio (HR) in OS as compared to  
129 younger patients, in particular for nivolumab and for NSCLC. No data have supported the suspicion of an  
130 increased incidence of toxicity among elderly patients [7].

131 A recent work have addressed the topic of IO in the elderly in the real-world, analyzing a cohort of  
132 squamous NSCLC patients enrolled in Italian nivolumab expanded access program. The authors have  
133 divided the patients into three groups: <65, 65-75 and >75 year-old. No differences in RR and PFS could be  
134 observed among the classes, while OS was shorter for the eldest patients as a likely consequence of  
135 comorbidities leading to death from other causes. IO was well tolerated across all age groups. The authors  
136 concluded that nivolumab appears as a safe and effective second line treatment for elderly patients with  
137 squamous NSCLC in a real-life setting [8].

138 Furthermore, a pooled analysis of three studies comparing pembrolizumab to chemotherapy (Keynote 024,  
139 Keynote 042 and Keynote 010) has been recently presented. Data about almost 400 NSCLC patients aged  
140 >75 have shown that IO improved OS also in the eldest cohort of the trials, irrespective of line of therapy  
141 and PD-L1 cutoff (>1% vs >50%). Elderly patients treated with IO experienced less adverse events than  
142 those receiving chemotherapy; toxicity of pembrolizumab was similar to that reported in the younger  
143 cohort of the trials [9].

144 In our case series, we chose to stratify the patients using different age cutoffs. Our purpose was to evaluate  
145 safety and efficacy of IO in a cohort of patients with a considerably older age than that included in most  
146 trials. Although the group of the eldest patients was quite small, we could not evidence any difference in  
147 RR, PFS and even OS. A numeric trend towards a longer survival of the intermediate class was observed, but

148 it was likely an effect of the small number of cases in each group. However, these slight differences were  
149 not significant. Indeed, the performance of IO was fair also for patients aged  $\geq 80$ , with results that are  
150 comparable to those reported in clinical trials. Notably, a safe toxicity profile was confirmed in our case  
151 series across all age groups. This result is particularly relevant considering that literature data are scarce for  
152 patients aged  $\geq 75$  and even  $\geq 80$ . A report of four patients aged  $>90$  treated successfully and safely with IO  
153 have been recently published, but, at the best of our knowledge, this is the largest case series of NSCLC  
154 patients aged  $\geq 80$  treated with ICIs [10].

155 This study presents some limitation. First of all, the only estimate of patients' global functioning we could  
156 evaluate was ECOG performance status. Patients were not evaluated through a comprehensive geriatric  
157 characterization and no standardized geriatric scales were applied. This surely impairs the possibility of  
158 generalize data and compare them with other case series from different Institutions. Secondly, the  
159 retrospective nature of the analysis may have implied an under-report of adverse events and reduced the  
160 reliability of toxicity grading.

161 Given such limitation, however, this single Institution experience confirms a satisfactory safety and toxicity  
162 profile of IO in elderly patients. On the contrary, a poor PS appears as a strong negative predictive and  
163 prognostic factor during treatment with ICIs. This underlines the importance of considering PS, instead of  
164 age, when evaluating patients potentially candidate to IO. Considering that elderly patients commonly  
165 present comorbidities that can contra-indicate the prescription of chemotherapy or increase the risk of  
166 severe toxicity with cytotoxic treatment, IO could often become the only applicable treatment option for  
167 these cases. Therefore, a comprehensive evaluation of each cancer patient in advanced age, with the  
168 cooperation of a specialist in geriatric medicine, considering the whole medical picture and the global  
169 functioning besides the age, should be performed when deciding if a patient is candidate or not to receive  
170 active treatment.

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### **Conflict of interest statement**

CP declares travel accommodations and honoraria with MSD International GmbH, BMS, Eli Lilly. DS declares travel accommodations and honoraria with AstraZeneca, MSD International GmbH, BMS. FdB provided consultation, attended advisory boards and/or provided lectures for the following organizations, from whom received honoraria or education grants: Amgen, AstraZeneca, Boehringer-Ingelheim, BMS, Eli Lilly, F. Hoffmann-La Roche, Ignyta, Merck Sharp and Dohme, Merck Serono, Novartis, Pfizer. MCG declares personal financial interests with the following organizations: AstraZeneca, MSD International GmbH, BMS, Boehringer Ingelheim Italia S.p.A, Celgene, Eli Lilly, Ignyta, Incyte, Inivata, MedImmune, Novartis, Pfizer, Roche, Takeda; she also declares Institutional financial interests with the following organizations: Eli Lilly, MSD, Pfizer (MISP), AstraZeneca, MSD International GmbH, BMS, Boehringer Ingelheim Italia S.p.A, Celgene, Ignyta, Incyte, Inivata, MedImmune, Novartis, Pfizer, Roche, Takeda, Tiziana, Foundation Medicine; at the end, she has received research funding from the following organizations: AIRC, AIFA, Italian Moh, TRANSCAN. GLR declares travel accommodations and honoraria with AstraZeneca, MSD International GmbH, BMS, Eli Lilly. All other authors have no relevant conflicts of interest to disclose.



## Tables

**Table 1.** Patient and treatment characteristics with Chi square test.

	Age <70, N <sup>1</sup> (%)	Age 70-79, N <sup>1</sup> (%)	Age ≥80, N <sup>1</sup> (%)	Total
<b>Gender</b>				
<i>Male</i>	102 (56.7)	63 (67.0)	14 (87.5)	179 (61.7)
<i>Female</i>	78 (43.3)	31 (33.0)	2 (12.5)	111 (38.3)
	<b><i>p 0.0228</i></b>			
<b>Smoking status</b>				
<i>Current/former smoker</i>	144 (80.0)	78 (83.0)	12 (75.0)	234 (80.7)
<i>Never smoker</i>	36 (20.0)	16 (17.0)	4 (25.0)	56 (19.3)
	<i>p 0.4879</i>			
<b>Histology</b>				
<i>Squamous NSCLC<sup>2</sup></i>	31 (17.2)	32 (34.0)	2 (12.5)	65 (22.4)
<i>Non-squamous NSCLC<sup>2</sup></i>	149 (82.8)	62 (66.0)	14 (87.5)	225 (77.6)
	<b><i>p 0.0071</i></b>			
<b>PD-L1 status</b>				
<i>Positive</i>	69 (38.4)	43 (45.7)	7 (43.8)	119 (41.0)
<i>Negative</i>	58 (32.2)	16 (17.0)	4 (25.0)	78 (26.9)
<i>Unknown</i>	53 (29.4)	35 (37.3)	5 (31.2)	93 (32.1)
	NA			
<b>PS<sup>3</sup> ECOG</b>				
0	74 (41.1)	32 (34.0)	2 (12.5)	108 (37.2)
1	88 (48.9)	47 (50.0)	12 (75.0)	147 (50.7)
2	18 (10.0)	15 (16.0)	2 (12.5)	35 (12.1)
	<i>p 0.1153</i>			
<b>N<sup>1</sup> of metastatic sites</b>				
1	74 (41.1)	44 (46.8)	6 (37.5)	124 (42.8)

$\geq 2$	106 (58.9)	50 (53.2)	10 (62.5)	166 (57.2)
	<i>p 0.6034</i>			
<b>ICI<sup>4</sup> class</b>				
<i>Anti-PD1</i>	120 (66.7)	72 (76.6)	13 (81.3)	205 (70.7)
<i>Anti-PDL1</i>	53 (29.4)	21 (22.3)	3 (18.8)	77 (26.5)
<i>Combo-IO<sup>5</sup> or other</i>	7 (3.9)	1 (1.1)	0 (0)	8 (2.8)
	<i>p 0.1459</i>			
<b>Toxicity graded <math>\geq 2</math></b>				
<i>Yes</i>	48 (26.7)	26 (27.7)	6 (37.5)	80 (27.6)
<i>No</i>	132 (73.3)	68 (72.3)	10 (62.5)	210 (72.4)
	<i>p 0.8636</i>			
<b>Total</b>	180 (62.1)	94 (32.4)	16 (5.5)	290 (100)

**Table 2.** Univariate and multivariate analysis for PFS and OS.

	PFS <sup>6</sup> (months)			OS <sup>7</sup> (months)				
	Median	95%CI	<i>p value</i>	Median	95%CI	<i>p value</i>		
<b>Gender</b>								
<i>Male</i>	2.93	2.43	3.75	0.5168	9.57	6.97	12.10	0.5154
<i>Female</i>	2.99	2.34	5.13		9.93	7.83	15.17	
<b>Smoking status</b>								
<i>Never smoker</i>	2.99	1.91	5.49	0.4254	11.32	5.07	20.69	0.6830
<i>Current/former smoker</i>	3.16	2.57	3.82		10.23	8.26	13.39	
<b>IO<sup>5</sup> agent</b>								
<i>Anti-PD1</i>	2.99	2.57	3.82	0.0631	9.08	7.43	11.32	0.4688
<i>Anti-PDL1</i>	3.31	2.01	5.62		11.25	7.53	17.76	
<i>Anti-CTLA4 or combo-IO<sup>5</sup></i>	2.16	1.25	3.56		14.21	3.16	24.18	

<b>Histology</b>								
<i>Squamous NSCLC</i> <sup>2</sup>	3.19	2.34	4.64	0.9429	7.83	5.23	11.32	0.1053
<i>Non-squamous NSCLC</i> <sup>2</sup>	2.90	2.43	3.82		10.26	8.29	13.49	
<b>PD-L1 status</b>				<b>0.0026</b>				<b>0.0242</b>
<i>Positive</i>	4.44	3.13	6.65		9.08	5.92	13.22	
<i>Negative</i>	2.57	1.91	3.72		13.52	9.57	24.44	
	<i>HR</i>	<i>95%CI</i>		<i>p value</i>	<i>HR</i>	<i>95%CI</i>		<i>p value</i>
	0.754	0.526	1.054	0.0964	0.727	0.489	1.078	0.1128
<b>PS<sup>3</sup> ECOG</b>								
<i>0</i>	5.49	3.75	7.83	<b>&lt;0.0001</b>	21.91	13.39	24.44	<b>&lt;0.0001</b>
<i>1</i>	2.63	2.17	3.26		7.57	5.13	10.26	
<i>≥2</i>	1.88	1.45	2.60		2.67	1.52	5.13	
	<i>HR</i>	<i>95%CI</i>		<i>p value</i>	<i>HR</i>	<i>95%CI</i>		<i>p value</i>
	1.610	1.236	2.097	<b>0.0004</b>	2.347	1.705	3.231	<b>&lt;0.0001</b>
<b>N<sup>1</sup> of metastatic sites</b>								
<i>1</i>	3.82	2.99	5.13	<b>0.0019</b>	13.39	9.64	21.91	<b>0.0006</b>
<i>≥2</i>	2.57	2.10	2.83		7.76	5.43	10.23	
	<i>HR</i>	<i>95%CI</i>		<i>p value</i>	<i>HR</i>	<i>95%CI</i>		<i>p value</i>
	1.337	0.950	1.882	0.0959	1.689	1.136	2.539	<b>0.0098</b>
<b>Line of IO<sup>5</sup></b>								
<i>1</i>	6.81	4.18	11.25	<b>&lt;0.0001</b>	22.01	12.11	NR	<b>0.0006</b>
<i>2</i>	2.63	2.30	3.26		7.76	5.43	9.93	
<i>≥3</i>	1.92	1.84	2.99		8.59	5.23	13.39	
	<i>HR</i>	<i>95%CI</i>		<i>p value</i>	<i>HR</i>	<i>95%CI</i>		<i>p value</i>
	1.345	1.084	1.669	<b>0.0070</b>	1.151	0.888	1.492	0.2890

Table legend

<sup>1</sup>Number

<sup>2</sup> Non-Small Cell Lung Cancer

<sup>3</sup> Performance Status

<sup>4</sup> Immune Checkpoint Inhibitor

<sup>5</sup> Immunotherapy

<sup>6</sup> Progression Free Survival

<sup>7</sup> Overall Survival