

The 25th Annual Meeting of the  
**Japanese Society of  
Dysphagia Rehabilitation**

# ONSET AND EVOLUTION OF DYSPHAGIA IN HUNTINGTON'S DISEASE

Nicole Pizzorni, Jenny Sassone, Agnese Rossi, Francesca Pirola, Lorenzo Nanetti, Caterina Mariotti,  
Marco Stramba Badiale, Vincenzo Silani, Andrea Ciammola, Antonio Schindler

<sup>1</sup> Department of Biomedical and Clinical Sciences "Luigi Sacco", University of Milan, Milan, Italy

<sup>2</sup> Division of Neuroscience San Raffaele Scientific Institute, Milan, Italy

<sup>3</sup> Department of Geriatrics and Cardiovascular Medicine, IRCCS Istituto Auxologico Italiano, Milan, Italy

<sup>4</sup> Department of Diagnostic and Technology, Unit of Medical Genetics, Fondazione IRCCS Istituto Neurologico Carlo Besta, Milan, Italy

<sup>5</sup> Department of Neurology and Laboratory of Neuroscience, IRCCS Istituto Auxologico Italiano, Milan, Italy



UNIVERSITÀ  
DEGLI STUDI  
DI MILANO



ISTITUTO  
AUXOLOGICO  
ITALIANO

Istituto di ricovero e cura a carattere scientifico

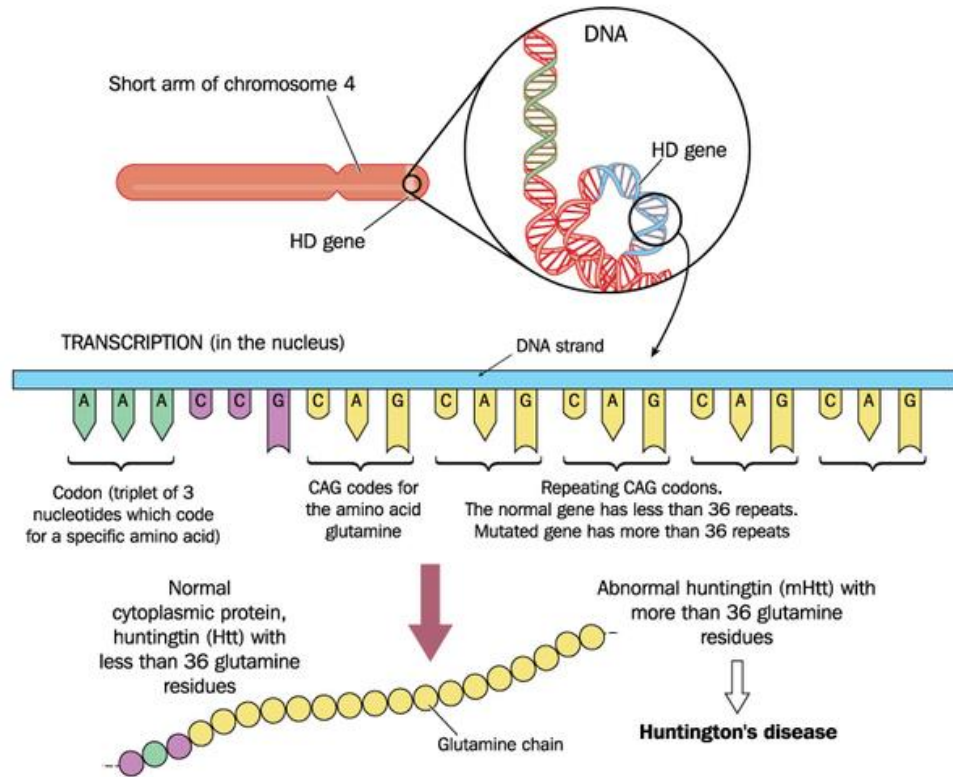


FONDAZIONE I.R.C.C.S.  
ISTITUTO  
NEUROLOGICO  
CARLO  
BESTA



UniSR  
UNIVERSITÀ  
VITA-SALUTE  
SAN RAFFAELE

# Huntington's disease



Source: <https://ghr.nlm.nih.gov>

## THE MEDICAL AND SURGICAL REPORTER.

No. 789.] PHILADELPHIA, APRIL 13, 1872. [Vol. XXVI.—No. 15.

### ORIGINAL DEPARTMENT.

#### Communications.

#### ON CHOREA.

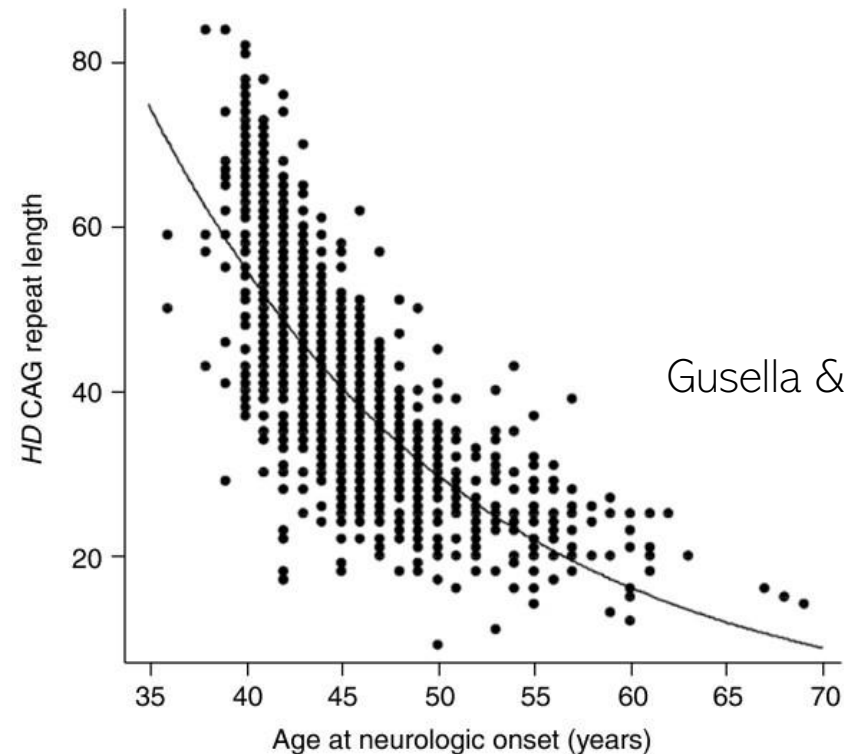
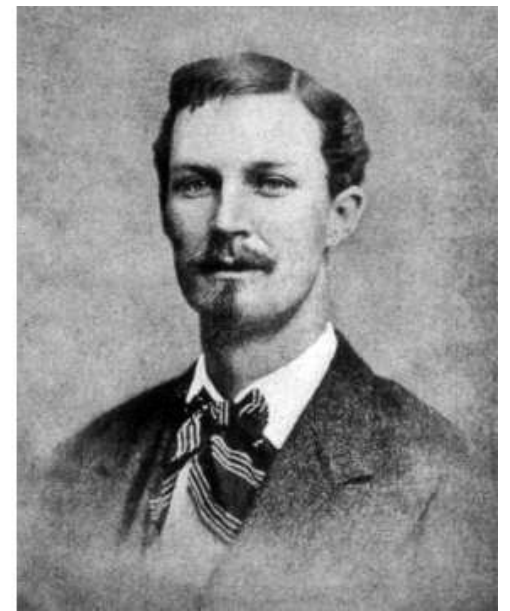
By GEORGE HUNTINGTON, M. D.,  
Of Pomeroy, Ohio.

Essay read before the Meigs and Mason Academy of Medicine at Middleport, Ohio, February 15, 1872

Chorea is essentially a disease of the nervous system. The name "chorea" is given to the disease on account of the *dancing* propensities of those who are affected by it, and it is a very appropriate designation. The disease, as it is commonly seen, is by no means a dangerous or serious affection, however distressing it may be to the one suffering from it, or to his friends. Its most marked and char-

The upper extremities may be the first affected, or both simultaneously. All the voluntary muscles are liable to be affected, those of the face rarely being exempted.

If the patient attempt to protrude the tongue it is accomplished with a great deal of difficulty and uncertainty. The hands are kept rolling—first the palms upward, and then the backs. The shoulders are shrugged, and the feet and legs kept in perpetual motion; the toes are turned in, and then everted; one foot is thrown across the other, and then suddenly withdrawn, and, in short, every conceivable attitude and expression is assumed, and so varied and irregular are the motions gone through with, that a complete description of



Gusella & MacDonald, 2009

# Clinical manifestation

## MOTOR SIGNS

Chorea	Impairment of fine motor skills
Bradykinesia	Gait and postural instability
Incoordination	Dysphagia
Myoclonus	Dysarthria
Motor impersistence	Dystonia
Incoordination	

## COGNITIVE IMPAIRMENT

Executive functions impairment  
Delayed acquisition of new motor skills  
Cognitive inflexibility

## NEUROENDOCRINE ALTERATIONS

Involuntary weight loss  
Muscle wasting  
Metabolic dysfunction  
Endocrine alterations

## BEHAVIOURAL ALTERATIONS

Depression  
Dysphoria  
Irritability  
Obsessive compulsive behaviour  
Apathy  
Anxiety

# Causes of death

**TABLE 1** Causes and places of death among patients with manifest Huntington's disease included in the REGISTRY study

Variable	No. of patients (%)
<b>Causes of death</b>	
→ Pneumonia	104 (19.5)
Other infection	37 (6.9)
Suicide	35 (6.6)
Cancer	18 (3.4)
Stroke	14 (2.6)
Trauma	5 (0.9)
Other	194 (36.4)
Unknown	126 (23.6)
<b>Places of death</b>	
Hospital	152 (29.8)
Home	122 (23.9)
Nursing home	101 (19.8)
Hospice care	17 (3.3)
Unknown	118 (23.1)

REGISTRY of the European Huntington's Disease Network  
Rodrigues et al, 2017

Cause of death	N	%
Pneumonia	81	55.1
Suffocation	6	4.1
Pulmonary embolism	6	4.1
Cachexia	11	7.5
Cardiac diseases	16	10.9
Other neurological diseases	3	2.0
Shock/sepsis	7	4.8
Suicide	2	1.4
Euthanasia	5	3.4
Other causes	10	6.8

→ 38/81 with autopsy  
16 aspiration  
17 possible aspiration  
5 primary infectious

Heemsker & Ross, 2012

## Dysphagia in Huntington's Disease: A Review

Anne-Wil Heemskerk · Raymond A. C. Roos

**Table 2** Overall conclusions of previous studies on dysphagia in HD

Preparatory oral	Oral	Pharyngeal	Esophageal
Postural instability [15, 17]	Impaired voluntary swallowing [13]	Coughing [13–17]	Vomiting [13, 15]
Abrupt postural changes caused flux to the pharynx [15]	Swallow incoordination [15]	Choking [14, 15]	Early satiety [15]
Hyperextension of head and trunk [13, 15]	Short oral transit time (0.23 s) [17]	Aspiration [13–15]	Abnormal esophageal motility [13, 15]
Difficulty controlling rate and amount of food intake [13]	Repetitive swallows [15]	Eructions [15]	Diaphragmatic chorea [15]
Rapidly and impulsively consuming food [13, 17]	Swallow latency [15]	Aerophagia [13, 15]	Reflux [15]
Tachyphagia [15]	Residue after swallowing solid foods [13, 17]	Audible swallows [15]	
Inadequate mastication [13–15, 17]	Intraoral bolus retention [15]	Prolonged laryngeal elevation [15]	
Poor lingual control [14, 17]	Segmented lingual transfer [15]	Difficulty descent larynx [16, 17]	
Tongue protrusion [16]		Phonation during swallow [15]	
Premature liquid transfer [15, 17]		Pharyngeal stasis [13, 15, 17]	
Delayed lingual transfer [15]		Impaired cricopharyngeal function [13]	
Lingual chorea [15]		Inability to stop respiration [15]	
		Wet vocal quality [15]	
		Laryngeal chorea [15, 16]	
		Epiglottis tilt not inferoposterior [17]	

## Autonomic symptoms in patients and pre-manifest mutation carriers of Huntington's disease

N. A. Aziz<sup>a</sup>, G. V. Anguelova<sup>a</sup>, J. Marinus<sup>a</sup>, J. G. van Dijk<sup>a,b</sup> and R. A. C. Roos<sup>a</sup>

<sup>a</sup>Department of Neurology, Leiden University Medical Center, Leiden; and <sup>b</sup>Department of Clinical Neurophysiology, Leiden University Medical Center, Leiden, the Netherlands

**Table 2** Autonomic symptoms severity (range 0–100) and frequency (% with an item score  $\geq 1$ ) in the study population

	Patients with HD	Pre-manifest	Partners	Controls	<i>P</i> -value <sup>a</sup>
Total score (median, IQR) <sup>b</sup>	16 (10–24) <sup>c,d</sup>	14 (7–18)	7 (4–12)	10 (6–14)	< 0.001 <sup>**</sup>
Gastrointestinal domain (median, IQR) <sup>b</sup>	14 (5–19) <sup>c,d,e</sup>	5 (2–10) <sup>f</sup>	0 (0–5)	5 (0–10)	< 0.001 <sup>**</sup>
Swallowing/choking (%)	71 <sup>c,d,e</sup>	48 <sup>f,g</sup>	5 <sup>h</sup>	16	< 0.001 <sup>**</sup>
Sialorrhea (%)	32 <sup>c,d,e</sup>	0	0	11	< 0.001 <sup>**</sup>
Dysphagia (%)	35 <sup>c,d</sup>	14	5	8	< 0.001 <sup>**</sup>
Early abdominal fullness (%)	32 <sup>c</sup>	24	25	16	0.206
Constipation (%)	11	10	5	9	0.882
Straining for defecation (%)	37 <sup>d</sup>	33	10	27	0.134
Fecal incontinence (%)	16 <sup>c</sup>	5	0	3	0.021 <sup>*</sup>

# Dysphagia in Huntington's Disease: Correlation with Clinical Features

Marina de Tommaso Angela Nuzzi Anna Rita Dellomonaco Vittorio Sciruicchio  
Claudia Serpino Claudia Cormio Giovanni Franco Marisa Megna

**Table 3.** Correlations between DOSS scores and main clinical features

	UHDRS-M	Chorea (total)	Chorea (oral)	Bradikinesia	Dystonia	Disarthria	Tongue protrusion	TFC	UHDRS-COG	Age	Illness age
Pearson	-0.542	-0.229	-0.008	-0.315	-0.231	-0.451	-0.477	0.199	0.149	-0.351	0.320
p	<b>0.0001</b>	n.s.	n.s.	<b>0.029</b>	n.s.	<b>0.003</b>	<b>0.001</b>	n.s.	n.s.	<b>0.017</b>	<b>0.027</b>
n	37	37	37	37	37	37	37	37	37	37	37

UHDRS-M = Motor assessment of UHDRS; UHDRS-COG = cognitive assessment of UHDRS.  
The significant results were represented in bold character. n.s. = Not significant.

DOSS scores derived from clinical swallow assessment (Bedside Swallowing Assessment Scale + Water swallow test)

# Gap of knowledge and Clinical relevance

No data are available on the prevalence and the characteristics of dysphagia in different stages of HD, as assessed by instrumental evaluation of swallowing

These data may be relevant to define the best timing of swallowing first assessment and re-assessment in this population



# Research questions

- Does dysphagia affect patients with HD already at an early disease stage?
- Do signs of dysphagia differ among the disease stages?
- Are there neurological clinical factors that can suggest the presence of dysphagia?

# Methods Study design

Cross-sectional study with prospective consecutive recruitment

Approved by the Ethics Committees of the Luigi Sacco Hospital and the IRCCS Istituto Auxologico Italiano

Written informed consent was obtained from participants or their caregivers

# Methods Patients

43 genetically confirmed HD patients (CAG $\geq$ 39)

18M, 25F

Age  $57 \pm 2$  years (27-78)

CAG  $43.5 \pm 0.6$  (39-59)

Onset  $49.2 \pm 1.9$  years (23-71)

Disease duration  $7.9 \pm 0.7$  years (1-19)

**Exclusion criteria** History of head and neck cancer, other neurological diseases, self-reported or documented dysphagia prior to HD diagnosis

# Methods Neurological assessment

Unified Huntington's Disease Rating Scale (UHDRS)

Subscale	Domain
UHDRS I	Motor assessment
UHDRS II	Cognitive assessment
UHDRS III	Behavioural assessment
UHDRS IV	Independence scale
UHDRS V	Functional assessment
UHDRS VI	Total functional capacity

Disease staging based on UHDRS Functional Capacity

score 13-7 **Early** 20 patients (Shoulson-Fahn stage 1-2)

score 6-4 **Moderate** 10 patients (Shoulson-Fahn stage 3)

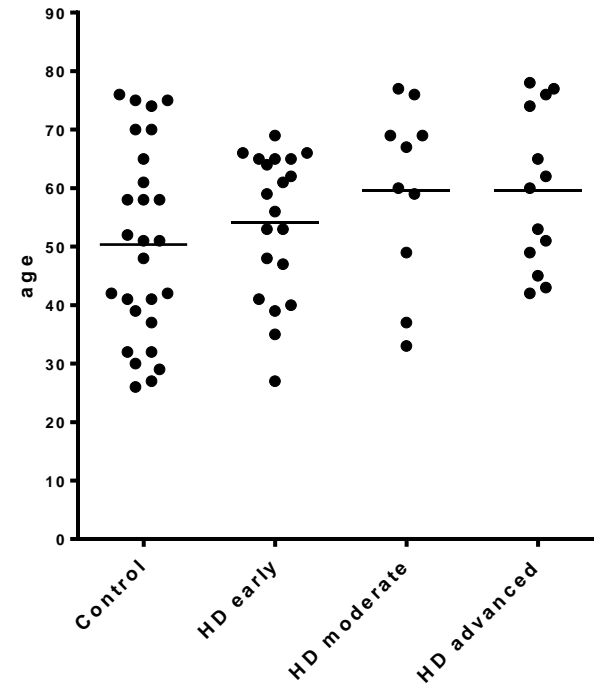
score 3-0 **Advanced** 13 patients (Shoulson-Fahn stage 4-5)

# Methods Controls

27 age-matched healthy volunteers

14M, 13F

Age  $50.4 \pm 3.2$  years



**Inclusion criteria** Age > 20 years, no medical history of voice, swallowing, gastroenterological, respiratory, neurologic, metabolic, hematologic or neoplastic disorders

# Methods Swallowing assessment

Fiberoptic endoscopic examination of swallowing (FEES)

5ml, 10ml, 20ml liquid x 3

5ml, 10ml, 20ml semisolid x 3

½ cracker x 2



Dysphagia severity Dysphagia Outcome and Severity Scale (DOSS)

Swallowing safety Penetration Aspiration Scale (PAS)

Swallowing efficiency Yale Pharyngeal Residue Severity Rating Scale (YPRSRS)

2 independent raters + 3<sup>rd</sup> rater for disagreements

# Methods Swallowing assessment



Test of Masticating and Swallowing Solids (TOMASS)

Standard ½ cracker (Gran Pavesi™)

Instruction to eat the cracker ‘as quickly as is comfortably possible and when you have finished, say your name out loud’

## MEASURES

N bites	→	N masticatory cycles / Bite
N swallows		N swallows / Bite
N masticatory cycles		Time / Bite
Time		Time / Masticatory cycle
		Time / Swallow

# Methods Swallowing assessment

## Mealtime Assessment Scale (MAS)

Observation of consumption of a full typical meal



### SAFETY SCORE

- Oral control of the bolus
- Residue in the oral cavity
- Presence of cough or throat clearing
- Voice quality post-swallow

### EFFICACY SCORE

- Food leakage while chewing
- Oral preparation
- Ability to complete the meal without exhortations
- Fatigue
- % Meal eaten
- Amount of food eaten

### MEAL DURATION

Minutes



# Methods Data analysis

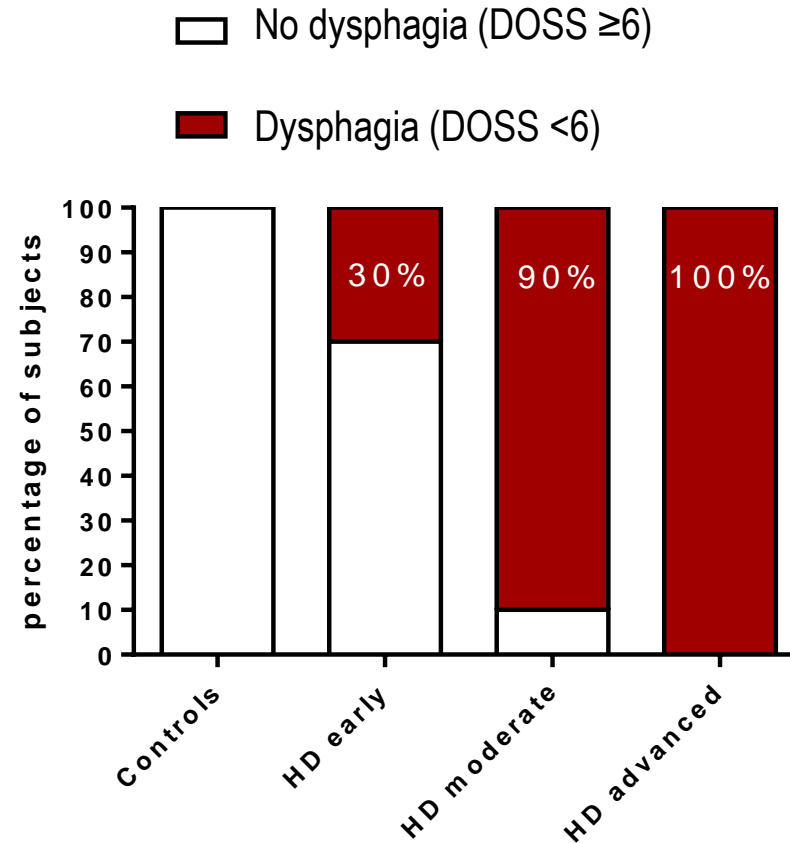
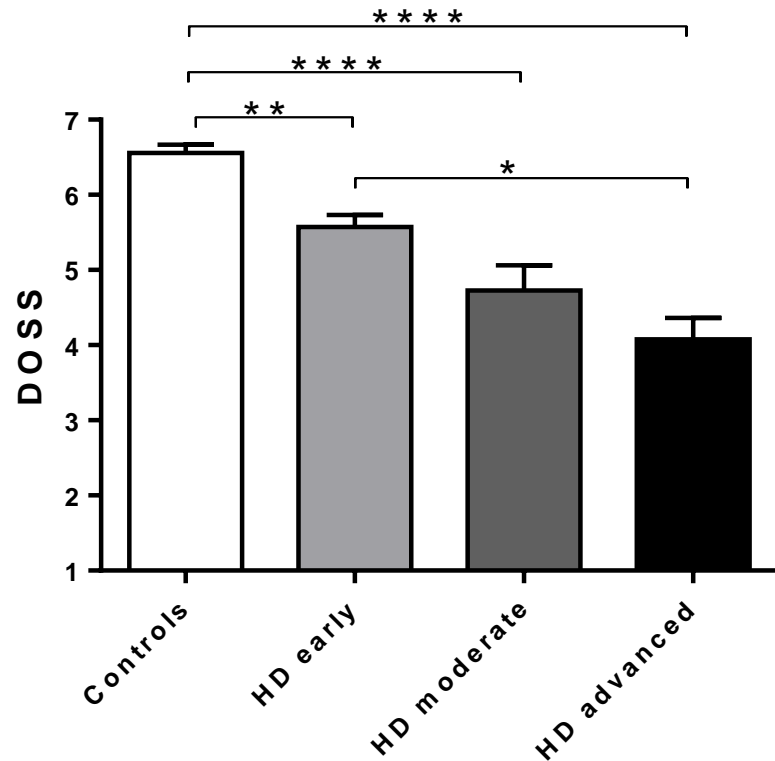
One-way ANOVA or Kruskal-Wallis test + post-hoc multiple comparisons test with Bonferroni correction to compare DOSS, PAS, and YPRSRS, TOMASS, and MAS among controls and patients with different disease stage

Spearman's correlation test to correlate dysphagia severity (DOSS) and disease severity (UHDRS)

Receiver Operating Characteristic curves with area under the curve (AUC) to test diagnostic accuracy of the UHDRS I (Total Motor scale) to detect presence of dysphagia

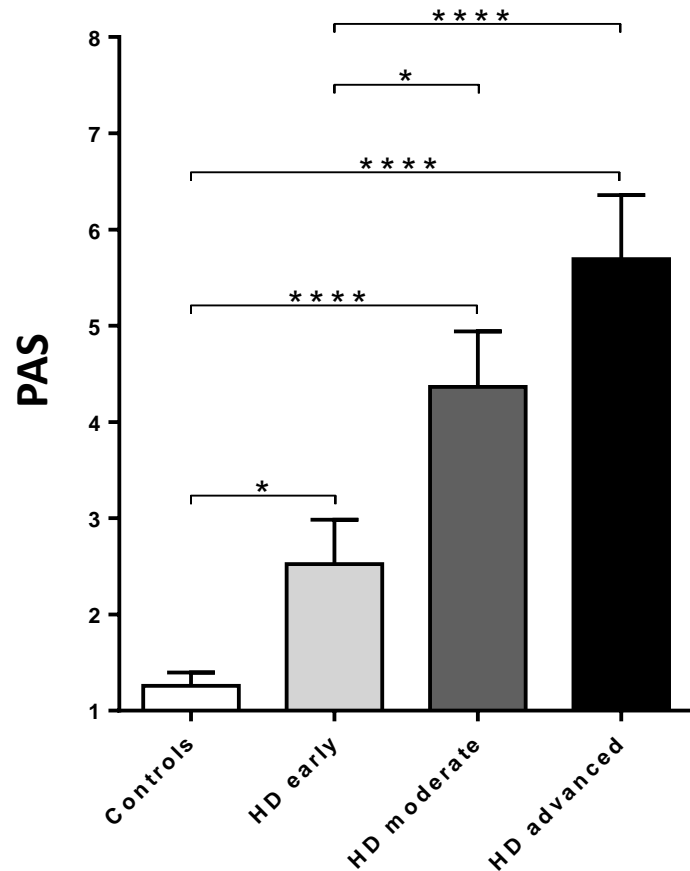
# Results

Does dysphagia affect HD patients already at an early disease stage?



# Results

Do signs of dysphagia differ among disease stages?



Silent aspiration (PAS =8)

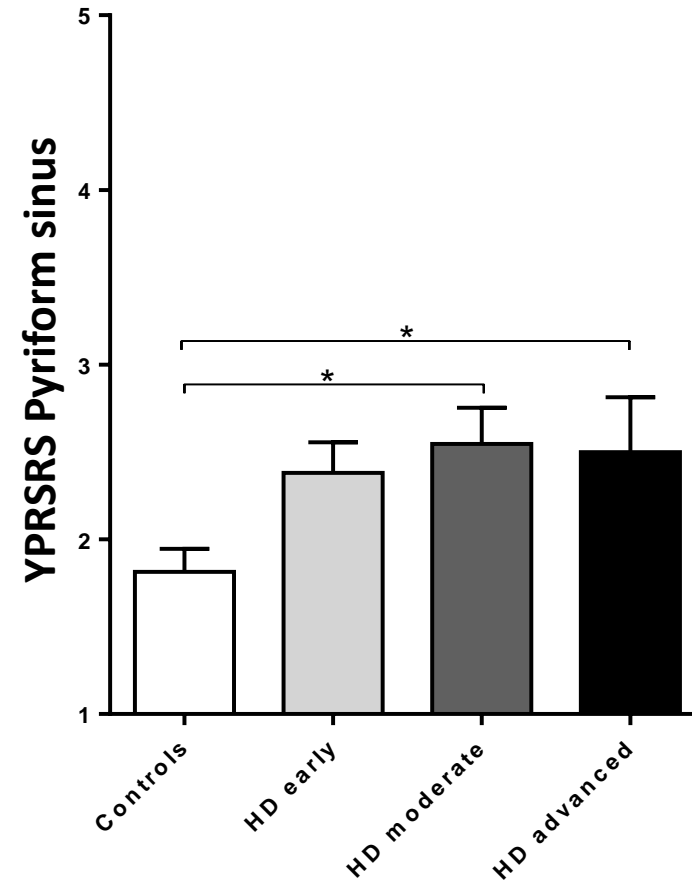
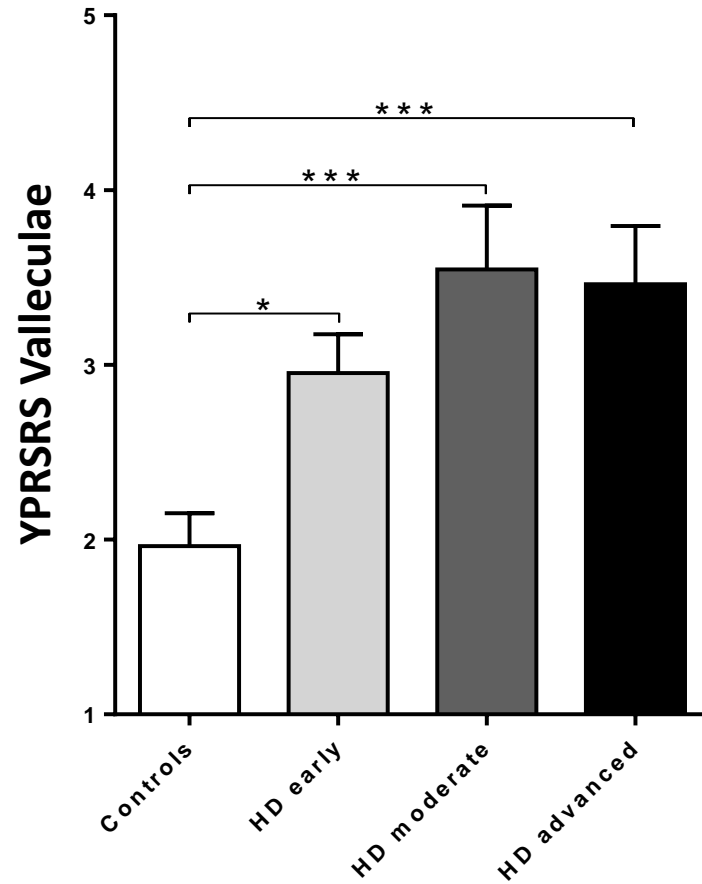
10% Early stage

10% Moderate-stage

31% Advanced-stage

# Results

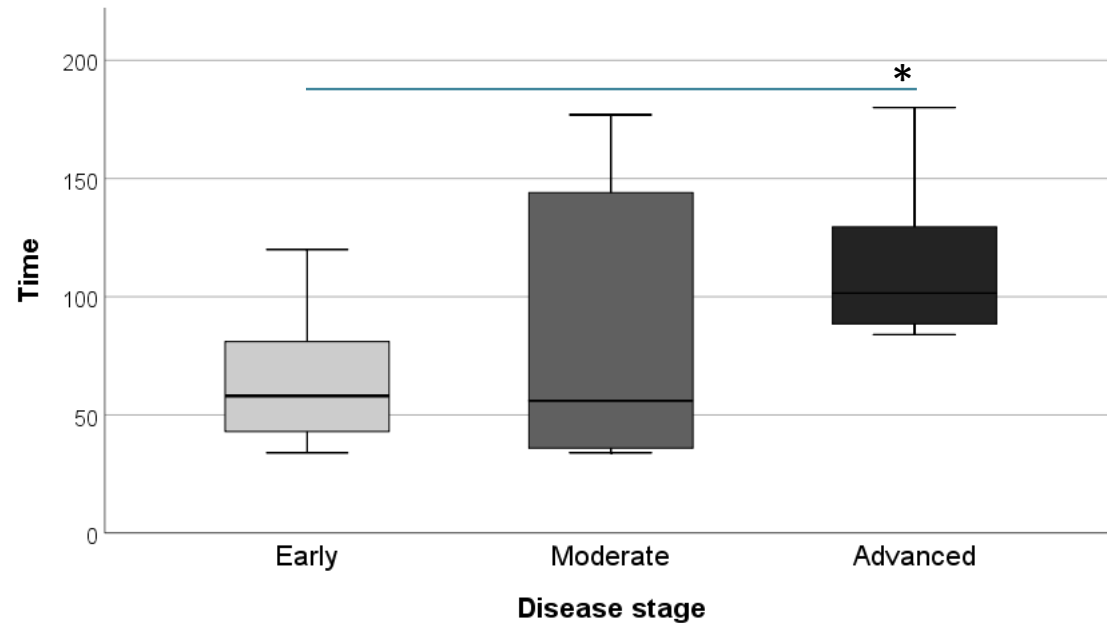
Do signs of dysphagia differ among disease stages?



# Results

## Do signs of dysphagia differ among disease stages?

TOMASS was not performed for safety reasons in 2 patients in the early and in the moderate stages and in 5 patients in the advanced stage

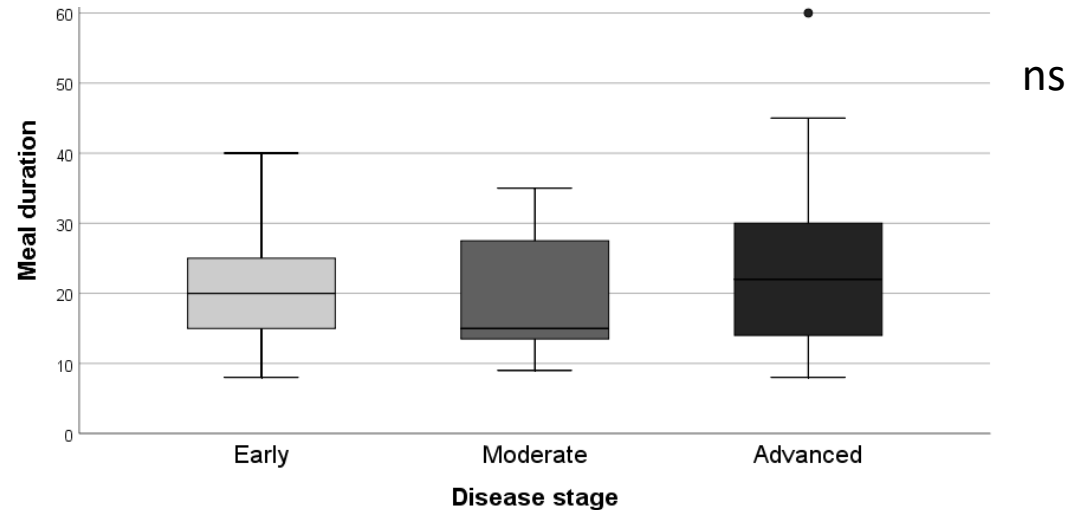
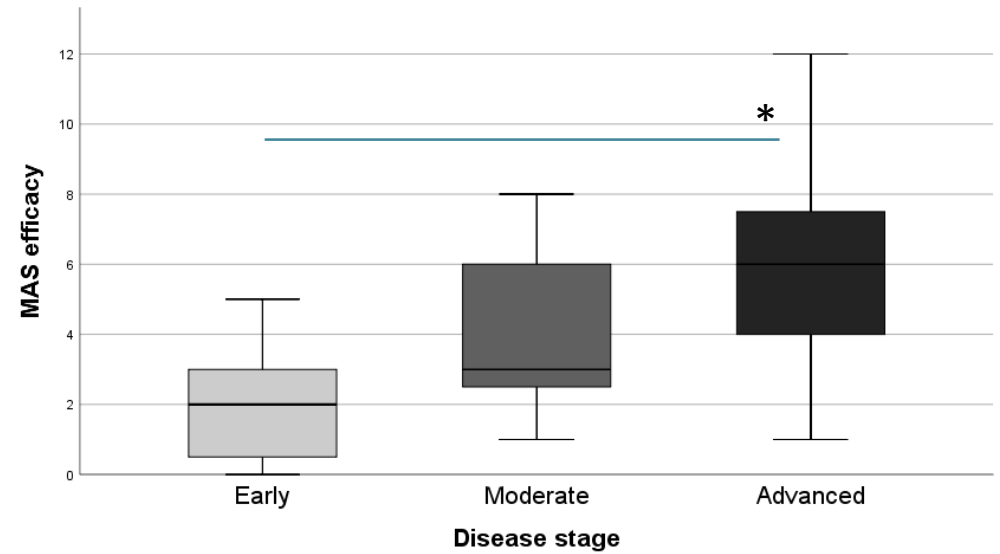
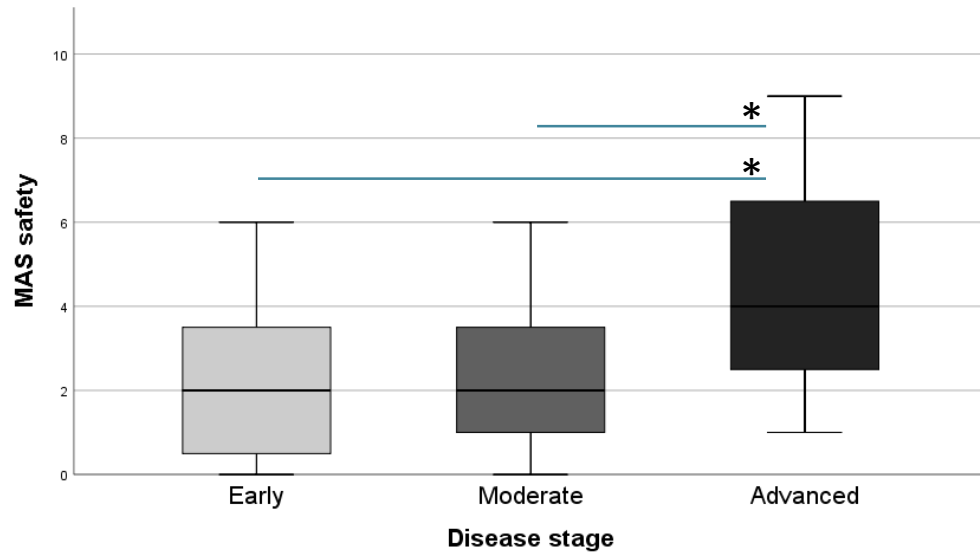


Significant differences in derived measures:

- ✓ Time/Bite Early vs Advanced
- ✓ Time/Masticatory cycle Early vs Advanced + Moderate vs Advanced
- ✓ Time/Swallow Early vs Advanced + Moderate vs Advanced

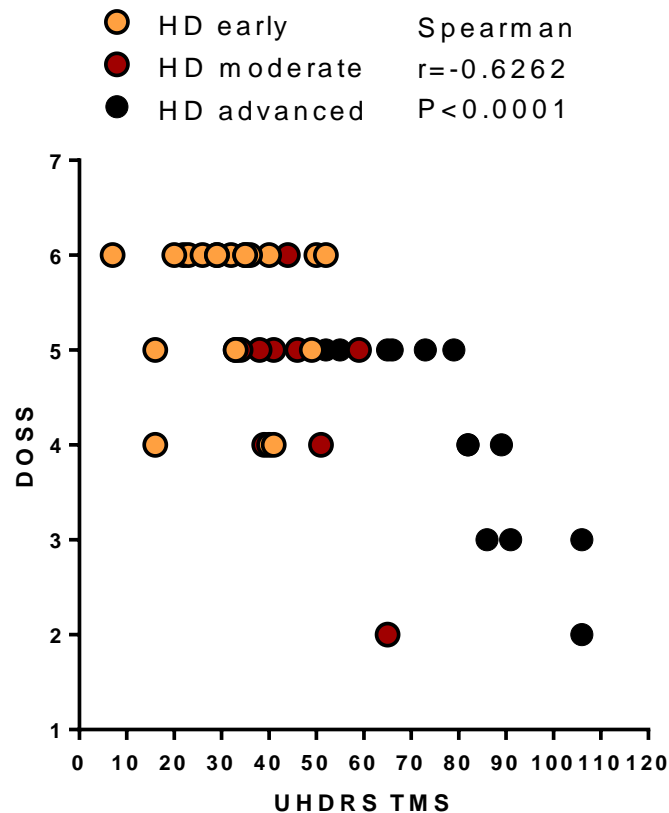
# Results

## Do signs of dysphagia differ among disease stages?



# Results

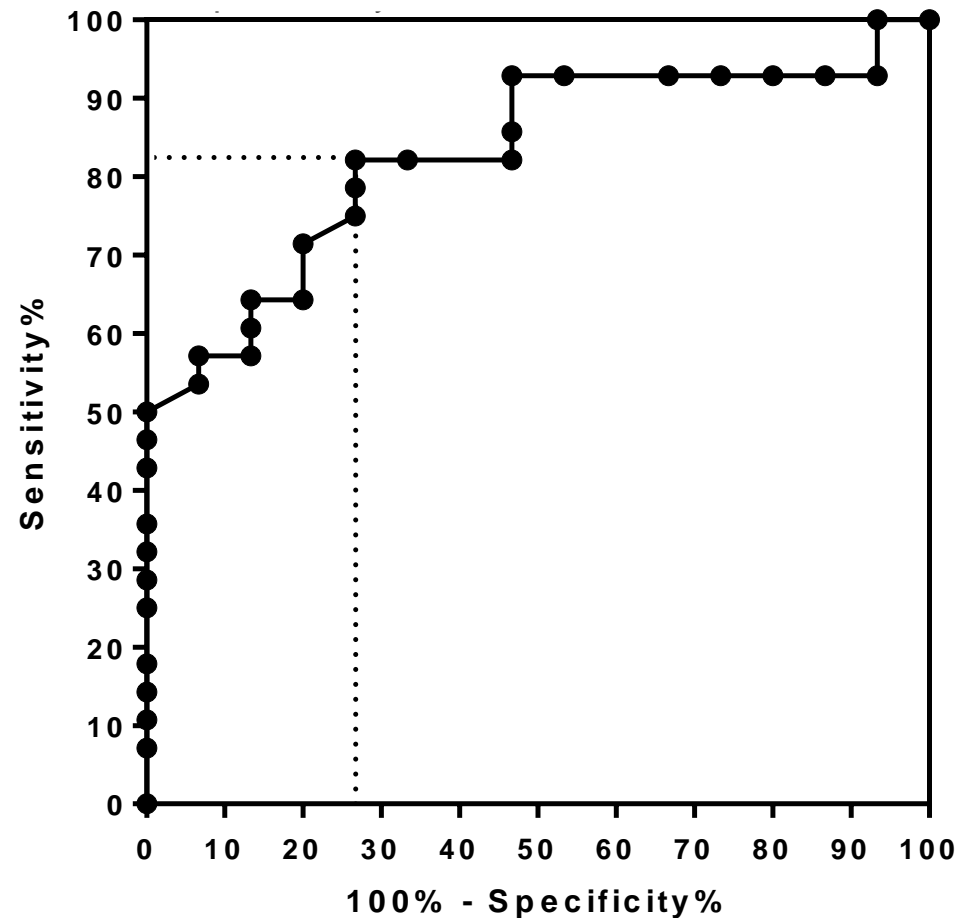
Are there neurological clinical factors that can suggest the presence of dysphagia?



UHDRS / TMS item	Spearman r	p value
Ocular pursuit	-0.4997	<b>0.0006</b>
Saccade initiation	-0.5260	<b>0.0003</b>
Saccade velocity	-0.5285	<b>0.0003</b>
Dysarthria	-0.5435	<b>0.0002</b>
Tongue protrusion	-0.4281	<b>0.0042</b>
Finger taps	-0.5859	<b>&lt;0.0001</b>
Pronate/supinate hands	-0.6051	<b>&lt;0.0001</b>
Luria	-0.5276	<b>0.0003</b>
Rigidity-arms	-0.4760	<b>0.0013</b>
Bradykinesia-body	-0.4250	<b>0.0045</b>
Maximal dystonia	-0.4732	<b>0.0014</b>
Maximal chorea	-0.2870	0.0620
Gait	-0.4996	<b>0.0006</b>
Tandem walking	-0.5227	<b>0.0003</b>
Retropulsion pull test	-0.4571	<b>0.0021</b>

# Results

Are there neurological clinical factors that can suggest the presence of dysphagia?



AUC 0.83 (95%CI 0.71-0.96)

**UHDRS I TMS  $\geq 37$**

Sensitivity 82%

Specificity 73%



# Conclusions

- 30% of patients with HD with **early-stage** disease exhibit **dysphagia** during FEES and 10% shows **silent aspiration**. Thus, swallowing assessment is warranted starting already at an early stage of HD.
- Except for penetration and aspiration, swallowing did not significantly changed between the early and the moderate stages. Conversely, both swallowing **safety** and **efficiency** significantly worsened in the **advanced stage**. Thus, both pulmonary and nutritional consequences should be strictly monitored at this stage.
- **Multidimensional assessment** of swallowing is necessary to record changes in both swallowing safety and efficiency in this population, only partially recorded by FEES.
- Dysphagia severity strongly correlated with the motor function. A UHDRS **TMS $\geq$ 37** can be used as a clinical cut-off for referral to the swallowing team, even in case of no symptoms.

# Future perspectives

- Improve sample size within each disease stage
- Longitudinal study on the evolution of dysphagia in HD
- Impact of early dysphagia management on its health and psychosocial consequences
- Treatment possibilities